Article title: Safety margin evaluations for transtympanic laser stimulation of the cochlea

from the outer ear in Mongolian gerbils (*Meriones unguiculatus*)

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conditioning

Abstract

Infrared laser cochlear stimulation has been suggested as an alternative to traditional auditory prostheses. Previous research has mainly examined the short-term effects of laser stimulation, but to effectively use this technique, it is necessary to study whether prolonged laser exposure can safely and sustainably induce cochlear responses. This study assessed the effect of laser-induced cochlear damage using classically-conditioned Mongolian gerbils. The white noise was presented as a conditioned stimulus, and reward licking was recorded as a conditioned response. After training, the subject's cochlea was exposed to a continuous pulsed laser for 15 h. The licking rate drastically decreased after the exposure of 26.4 W/cm^2, while it did not change after 6.6 W/cm^2 or weaker. These findings showed, for the first time, that the safety margin of long-term, at least several hours, cochlear laser stimulation exists and will contribute to the safe and effective delimitation of laser parameters in future research.

Introduction

Hearing impairment is a prevalent sensory disorder worldwide. Approximately 466 million people, 5% of the world's population, suffer from hearing deficits, resulting in severe communication difficulties and social isolation [1-3]. Hearing aids are among the most widely used therapeutic options to compensate for these problems. These devices improve speech comprehension and social interaction in hard-of-hearing individuals [4-6]. However, these patients require an intact middle ear to ensure sufficient and proper hearing. Hard-of-hearing individuals with middle ear dysfunction must use an implantable auditory prosthesis (e.g., bone-anchored hearing devices, middle ear implants, or cochlear implants) that bypasses the function of the middle ear to stimulate the peripheral auditory system. One of the most significant drawbacks preventing the widespread use of these devices is surgical intervention, which increases the risk of complications post-surgery [7-9].

Infrared laser stimulation has been discussed as a possible alternative to conventional auditory devices [10]. The advantage of laser stimulation is that it can activate spatially focused cell populations in a non-contact manner without the need to deliver any exogenous agent into tissues, such as a viral vector [11]. Early research by Izzo *et al.* [12] suggested that pulsed laser stimulation of the auditory nerves induced a cochlear response.

Several later studies that focused on the detailed relationship between the irradiation site

of the cochlea and laser-evoked neural response indicated that laser stimulation could

elicit spatially specific neural activities [13-16]. That is, precisely manipulating the laser

stimulation system can improve the spectral resolution of cochlear implants. Our previous

studies leveraged the benefit of the contactless feature of laser stimulation to reduce the

invasiveness of surgical implantation of the auditory prosthesis. They revealed that

transtympanic laser stimulation from the outer ear could evoke a cochlear response,

bypassing the middle ear function [17,18]. The auditory cortical activity elicited by

transtympanic laser stimulation depends on stimulus intensity [19]. These results imply that

a hearing prosthesis with infrared laser stimulation could be a noninvasive alternative to

the conventional implantable auditory prosthesis.

For the clinical application of transtympanic laser stimulation to auditory

prostheses, the safety margin of laser irradiation should be assessed. Because the laser-

evoked neural response is probably related to the thermal elevation of the tissue [20-22],

thermal build-up might be the main reason for laser-induced damage [23-25]. Therefore,

thermal damage to the peripheral auditory system can occur when a laser with high radiant

energy is continuously presented. Some studies have investigated laser irradiation trauma

in the cochlea [12,17,26,27]. Goyal *et al.* [28] compared the relationship between radiant energy

in continuous laser exposure and the reduction of the laser-evoked electrophysiological

response in the cochlea, reporting that the injury threshold for laser stimulation at 250 Hz

was between 25 and 30 µJ/pulse. However, no study has described the influence of laser-

induced thermal elevation on cochlear tissues or the effect of thermal physiological

damage on auditory perception.

A comprehensive evaluation of laser irradiation trauma elucidates the effects of

laser-induced damage on auditory perception. It provides a precise picture of the effect of

laser stimulation on the auditory peripheral system. It assessed laser-induced damage

characteristics of the peripheral auditory system by comparing electrophysiological,

histological, and behavioral alterations following continuous laser exposure. Here, we

performed (1) histological examination of spiral ganglions with hematoxylin and eosin

(HE) staining, (2) electrophysiological recording of the laser-evoked cochlear response

during laser irradiation, and (3) head-fixed classical conditioning with auditory stimulus

(see Fig. 1 for details).

This study performed a comprehensive safety evaluation of laser irradiation trauma

in Mongolian gerbils (*Meriones unguiculatus*). The Mongolian gerbil is a standard animal

model for investigating auditory physiology [e.g., 29,30,31]. Several studies have used gerbils

to investigate the mechanism of human speech perception because gerbils have a higher

sensitivity to the frequency range of human speech, including harmonics (250–3000 Hz),

than other rodents [32-35]. These studies suggest that the Mongolian gerbils is an excellent

animal model for studying the mechanism of human hearing. Furthermore, the anatomical

structure of the cochlea in gerbils is relatively large and shares several similarities with

humans compared to other rodents [36,37]. This anatomical similarity allows us to evaluate

the properties of laser-induced thermal distribution in the cochlea, which is essential data

for the clinical application of laser stimulation in hearing prostheses. Therefore, the safety

evaluation of transtympanic laser stimulation in Mongolian gerbils will help to establish

safe and effective laser stimulation parameters.

Results

Continuous laser irradiation of 26.4 W/cm^2 or more increased the cochlear surface

temperature.

Before the histological examination, the surface temperature of the cochlea was

recorded to investigate the thermal elevation following continuous laser irradiation (Fig.

2). The surface temperatures did not increase from 24 °C (i.e., room temperature) using

the 6.6 W/cm^2 laser irradiation or less; the laser 26.4 W/cm^2 or more increased the surface

temperatures immediately within 1 min into laser irradiation. The temperature rise

reached a plateau approximately 5 min after the laser onset (Fig. 2A). Average surface

temperature at 10 min after the laser irradiation onset was described in Fig. 2B and

showed that the increasing temperature induced by 26.4, 52.8, and 105.6 W/cm^2 lasers

were 31.6, 36.6, and 44.7 ℃, respectively. The ANOVA revealed that the effect of radiant

energy was statistically significant ($F(5,17)=21.26$, $P<0.001$, one-way ANOVA). Fig. 2C

shows the lateral and medial surface temperatures induced by laser irradiation at 105.6

W/cm^2 on the lateral side of the cochlea. The average temperatures of the lateral and

medial sides resulted in approximately 41.2 and 32.5 ℃, respectively, and the temperature

difference between the left and the right sides was statistically significant ($P<0.01$, paired

t-test). The medial side temperature was approximately in line with that of the lateral side

irradiated by the laser at 26.4–52.8 W/cm^2.

Continuous laser irradiation mainly damaged the spiral ganglion neuron of the apical-

middle turn on the lateral side.

Horizontal sections of the cochlea were subjected to HE staining to evaluate the

effect of laser exposure on the spiral ganglion neuron density (Fig. 3A). Lower spiral

ganglion neuron density was found in the cochlea continuously irradiated by the laser of

105.6 W/cm^2 than in less than 6.6 W/cm^2 (Fig. 3B). The middle turn of the cochlea

irradiated by the laser at 105.6 W/cm^2 is depicted in Fig. 3C. A comparison of the lateral

and medial sides showed a remarkable decrease in the cell density on the lateral side,

mainly irradiated by the transtympanic laser, compared to the medial side. On the lateral

side of the cochlea, the spiral ganglion density difference between the left and right ears

significantly decreased (r=-0.87, P<0.05, Pearson's correlation analysis) as radiant energy

intensified, whereas on the medial side, it did not (r=-0.45, P=0.23, Pearson's correlation

analysis) (Fig. 3D). The cell density decrement on the medial side after laser exposure of

105.6 W/cm^2 approximately coincides with that on the lateral side irradiated by the laser

with 26.4–52.8 W/cm^2. Fig. 3E shows the spiral ganglion neuron density difference on

the lateral side of the apical-middle and basal turn of the cochlea. The apical-middle turn

of the cell density in the left ear was significantly lower than that in the right ear (P<0.05,

one-sample t-test). In contrast, the basal turn of the cell density was less affected than the

apical-middle side (P=0.19, one-sample t-test) (Fig. 3E).

The cochlear response with the laser of 26.4 W/cm^2 or more was reduced during the

continuous laser exposure.

Fig. 4 shows the cochlear response during continuous laser exposure for 15 h. The

manifest damage in cochlear response was not observed by laser exposure up to 6.6

W/cm^2, while the cochlear response elicited by laser exposure of 105.6 W/cm^2 decreased

(Fig. 4A). The time course of the relative response amplitude showed that continuous

laser exposure at 105.6 W/cm^2 caused a drastic decrease in the laser-evoked response

amplitude within ca. 65 min (Fig. 4B). In comparison, laser irradiation of 6.6 W/cm^2 or

less did not. Fig. 4C shows the relationship between the laser irradiation time and

percentage of response amplitude. Laser irradiation over 26.4 W/cm^2 drastically increased

the average amplitude decrement, while laser stimulation with up to 26.4 W/cm^2 did not

(Fig. 4D).

The continuous laser exposure within 6.6 W/cm^2 did not change the behavioral level,

while the 26.4 W/cm2 or more laser did.

Fig. 5A shows the licking behavior evoked by white noise (80 dB SPL) before and

after 1.6, 6.6, and 105.6 W/cm^2 laser exposure. The laser exposure at 1.6 and 6.6 W/cm^2

did not cause an observable behavioral response change, while an apparent decrement of

the licking rate was observed after 105.6 W/cm^2 laser exposure. The behavioral changes

after laser exposure are depicted in Fig. 5B. Laser irradiation of the cochlea over 6.6

W/cm^2 drastically changed the behavioral level (Fig. 5B). It caused the delta behavioral

level (DBL) to reach out of the safety margin, which was calculated using three standard

deviations of DBL in the control condition (Fig. 5C).

Discussion

This study demonstrated that the laser stimulation with a higher average power density triggered the thermal elevation of the cochlear surface (Fig. 2). Because the conventional mechanism of the laser-evoked cochlear response is the optothermal effect, which causes an excessive temperature increase in the cells [20-22], several studies indicated that laser-induced thermal elevation and possible thermal-damage on the cochlea might constitute a significant limitation in applying the laser to the auditory prosthesis [24,26-28,38]. Our study demonstrated that cochlear surface temperature significantly increased as radiant energy increased (Fig. 2AB). The surface temperature continued to rise for approximately 5 min after laser onset, and then the elevated temperature reached a plateau (Fig. 2A). These results suggest that continuous laser irradiation (minute order) elevates cochlear temperature compared with short-term irradiation. The continuous laser irradiation at 105.6 W/cm^2 caused an average surface temperature up to 44.7 °C, potentially causing thermal tissue damage [39]. These results demonstrate that continuous laser stimulation bears the risk of thermal damage to the cochlear tissues when a laser with high radiant energy is used.

A comparison of the thermal elevation of the cochlear surface with histological damage of spiral ganglion neurons revealed that the thermal distribution of the cochlear

surface affected the laser irradiation trauma of the spiral ganglion neurons. Our previous

study showed that transtympanic lasers mainly irradiated the middle turn of the lateral

side of the cochlea [18]. This study implies that the highest peak of the thermal distribution

of the cochlear surface might be observed in the primary irradiated area, and the

temperature could decrease from the lateral to the medial side. It also showed that the

thermal elevation of the lateral side caused by laser irradiation of 105.6 W/cm^2 was

significantly higher than that on the medial side. The 105.6 W/cm^2 laser-induced

temperature rise of the medial side reached 32.5 °C, which agreed with the lateral side

temperature caused by the laser at 26.4–52.8 W/cm^2 (Fig. 2C). These results suggest that

approximately half of the radiant energy of laser irradiation reached the medial side of

the cochlea and agreed with the laser irradiation site in our previous study [18]. In addition,

a more significant decrease in cell density was observed on the lateral side of the apical-

middle turn than on the basal turn (Fig. 3E). These results coincide with the radiant energy

distribution (i.e., thermal distribution) of the cochlear surface and suggest that the spatial

injury profile of ganglion neurons depends on the thermal distribution of the cochlear

surface.

The electrophysiological cochlear response was recorded to evaluate the acute

damage threshold of continuous laser stimulation. This response is widely used as a

sensitive probe for physiological cochlear function [40-42]. Previous studies, including ours

[12,17,26], have assessed laser-irradiation trauma of the cochlea by recording the cochlear

response induced by continuous laser stimulation. These studies suggest that the laser

safely evokes cochlear responses without causing severe irradiation damage. However,

Goyal *et al*. [28] reported that continuous laser irradiation with higher radiant energy

induced thermal damage to the cochlear function. This indicates that the injury threshold

of laser exposure is between 25 and 30 μJ/pulse at 250 pulses/s (i.e., approximately 47.1

and 56.5 W/cm^2). Our results showed that the cochlea kept responding to laser stimulation

of 26.4 W/cm^2 or less, while the laser at 52.8 W/cm^2 or above remarkably decreased the

cochlear response (Fig. 4D). This result suggests that the electrophysiological damage

threshold of the laser stimulation is between 26.4 and 52.8 W/cm^2, and the injury

threshold is in line with the previous study by Goyal *et al*. [28].

Measuring the impact of thermal-induced physiological deterioration in the cochlea

on auditory perception is crucial. Behavioral assessment of hearing loss generally

provides compelling evidence of auditory perceptual consequences following

compromised auditory processing. Therefore, evaluating auditory perception following

thermal injury in the cochlea is an essential step toward the clinical application of laser

stimulation to auditory prostheses. This study showed that the auditory-evoked licking

behavior did not change after laser exposure of 6.6 W/cm^2 or weaker, but drastically

decreased after 26.4 W/cm^2 or above. These results suggest that the thermal injury

threshold for transtympanic laser stimulation is between 6.6 and 26.4 W/cm^2. Therefore,

transtympanic laser stimulation over 6.6 W/cm^2 could damage the subject's peripheral

auditory system and be out of the safety margin.

A comparison of the behavioral and electrophysiological results revealed that the

thermal damage threshold for the auditory-evoked behavior alteration was slightly lower

than that for the laser-evoked cochlear response. Here, the laser irradiates the tympanic

membrane, auditory ossicles, and cochlear tissues from the outer ear to induce the

cochlear response [18]; laser stimulation bears the risk of lesioning more peripheral auditory

function from the origin of the laser-evoked response. Our previous study revealed that

laser irradiation (λ=1871 nm) from the outer ear bypasses the tympanic membrane and

auditory ossicles because these tissues do not have higher absorbance to the infrared laser

[18]. However, some studies investigated the effect of the laser irradiation of the tympanic

membrane and auditory ossicles in *vivo* [43] and in *vitro* [44]. This indicates that the laser

irradiation of 27.9 W/cm^2 or above at 532 nm could cause thermal damage. Although

these results are not directly comparable to our data because of the difference in

wavelength-dependent absorbance in the tympanic membrane and auditory ossicles, as

some studies described [45-47], thermal damage to the tympanic membrane and auditory

ossicles might be one reason why continuous laser exposure affects the auditory-evoked

response more than the laser-evoked response.

In addition to the tympanic membrane and auditory ossicles, hair cells in the

cochlea can be damaged by laser irradiation. The physiological mechanism of the laser-

evoked cochlear response is still debated whether the response is hair-cell-mediated [11].

Hence, whether the laser-evoked response here characterized the state of cochlear

function is unclear, including that of hair cells. To reveal the physiological mechanism of

laser stimulation in the cochlea, some studies [48-50] compared laser-evoked auditory

responses in normal hearing and chemically compromised cochlea and reported that laser-

evoked auditory responses were only observed in the normal hearing cochlea. These

studies suggest that the cochlear response elicited by laser stimulation is mediated by hair

cells.

In contrast, Richter *et al*. [51] revealed that 30–40 dB chemical deafening of the

auditory threshold did not affect the threshold of the laser-evoked cochlear response. Tan

et al. [52] demonstrated that laser stimulation could elicit auditory neural activity in

congenitally deaf mice in which the cochlea could not transmit synapses between the

inner hair cells and spiral ganglion neurons.

In addition, when animals have an impaired hearing threshold, auditory masking

does not affect laser-induced responses [53]. These results suggest that laser irradiation of

the cochlea directly activates the auditory nerves, bypassing hair cells. If the laser

activates the cochlear nerves without needing hair cell depolarization, the laser-evoked

response does not characterize the state of the hair cells. Therefore, our results show that

the auditory-evoked response might be more vulnerable to thermal injury than the laser-

evoked response (Fig. 4 and 5). The effect of thermal damage to hair cells on laser-evoked

cochlear responses must be considered in the future.

In summary, we assessed the laser-induced damage characteristics of the peripheral

auditory system through histological, electrophysiological, and behavioral tests.

Histological evaluation of the cochlea after continuous laser exposure revealed that the

laser-induced thermal distribution of the cochlear surface coincided with the spatial injury

profile of spiral ganglion neurons. The measurement of auditory-evoked behavioral and

laser-evoked electrophysiological responses indicate that cochlear response during the

laser exposure of 26.4 W/cm^2 or weaker did not decrease. In contrast, auditory-induced

behavioral response drastically decreased after 26.4 W/cm^2 or higher. This discrepancy

in the injury threshold suggests that laser stimulation bears the risk of lesioning more

peripheral auditory functions from the origin of the laser-evoked response. Evaluating

perceptual consequences indicated that the thermal injury threshold for transtympanic

laser stimulation in Mongolian gerbils is between 6.6 and 26.4 W/cm^2. Therefore,

transtympanic laser under 6.6 W/cm^2 could be available without concern for acute injury.

A comprehensive safety margin assessment is an essential step toward the practical

application of transtympanic laser stimulation in auditory prostheses.

Limitations of the Study

One of the limitations of this research is that the safety of chronic transtympanic

laser irradiation of the cochlea was not investigated. The present study showed that the

transtympanic laser at a power of 6.6 W/cm^2 or weaker did not cause acute damage.

However, hearing prostheses can be expected to be used over a long period of time, albeit

intermittently rather than continuously. In order for transtympanic laser stimulation to be

used in clinical applications, further studies on investigating the long-term safety of

auditory perception needs to be conducted.

Another limitation is that safety on the human peripheral auditory organs has not

been evaluated. Thermal damage from laser irradiation depends on the laser absorbance

and tissue heat dissipation, which is related to the size and composition of the peripheral

auditory system. Our previous study revealed that thicker tympanic membranes have

higher absorption; human tympanic membranes thicker those of gerbils might be at

greater risk for thermal damage [18]. As for the cochlea, the size of the cochlea is more

massive in humans than in gerbils [37]. Goyal et al. [28] discussed that the larger cochlea has

a higher rate at which heat is distributed and dissipated, suggesting that the energy

threshold for damage may be higher in humans than in small animals. Therefore, the

safety margin of the peripheral auditory system in humans might be different from our

current results. For practical application of transtympanic laser stimulation, a more

detailed evaluation of laser absorption and heat dissipation in the human peripheral

auditory system needs to be performed in the future.

Materials and methods

Animals

All experimental procedures were performed according to the guidelines

established by the Ethics Review Committee of Doshisha University. The experimental

protocols were approved by the Animal Experimental Committee of Doshisha University.

A total of 33 experimentally naive Mongolian gerbils (fourteen females, nineteen males;

Meriones unguiculatus) aged 3–18 months were used here. All gerbils were bred and

reared in a laboratory. Each animal was housed with 2–5 gerbils in a 20 (W) × 40 (L) ×

17 cm (H) cage, with free access to food and water. The animal room had a 12-h light-

dark schedule, a temperature of 22–23 °C, and relative humidity of approximately 50%.

All subjects were randomly assigned to each experimental group.

Animal surgery

Mongolian gerbils were deeply anesthetized with ketamine (47 mg/kg i.m.) and

xylazine (9.3 mg/kg i.m.). Maintenance doses of ketamine (17.5 mg/kg) and xylazine (7.0

mg/kg) were injected every 30–50 min or if the animals showed signs of increasing

arousal (e.g., voluntary whisker movement). The body temperature of the gerbils was

maintained using heating pads placed beneath the animals. The left side of the bulla was

exposed by incision of the muscle and skin from the shoulder to the jaw, and a hole

(diameter: 1 mm) was made on the bulla. A silver electrode (Nilaco, Tokyo, Japan;

diameter: 0.13 mm; impedance < 20 kΩ) was inserted into the hole and hooked onto the

bony rim of the round window to record cochlear responses. The electrode was stabilized

with a bulla using acrylic glue and dental cement (Provinice; Shofu, Kyoto, Japan). The

left pinna was removed to provide a clear view of the tympanic membrane. A liquid of

acrylic glue and dental cement (Provinice; Shofu, Kyoto, Japan) was mounted into the

right ear for approximately 50 dB SPL attenuation of the sound pressure reaching the right

ear.

The parietal and temporal sides of the fur and the skin of the subject's head were

shaved. The temporal muscle was removed after applying a local anesthetic (xylocaine

gel; Aspen Japan, Tokyo, Japan). The skull was carefully cleaned with 0.3% sodium

hypochlorite solution and saline. A small hole was made in the dorsal skull 2 mm anterior

to the bregma and 1 mm lateral to the midline, and a reference electrode was inserted into

the hole. A V-shaped metal plate (F-911; Hilogik, Osaka, Japan) was attached to the skull

using acrylic dental resin (Super bond C&B; Sun Medical, Shiga, Japan) and dental

cement (Provinice; Shofu, Kyoto, Japan), allowing the subjects to be head-fixed during

the experiment. All subjects were singly housed during recovery for at least two days

before training began.

Auditory stimuli

White noise (700–44,800 Hz) was used as an auditory stimulus, with a duration of

500 ms. The auditory stimulus was presented via a doom tweeter (FT28D; Fostex, Tokyo,

Japan) from 15 cm above the subject's head. The stimulus was generated using a digital-

to-analog converter (Octa-Capture; Roland, Shizuoka, Japan) with a sampling rate of 192

kHz. The signal was intensified using an amplifier (A-10; Pioneer, Tokyo, Japan). The

sound pressure level was calibrated to 20, 35, 50, 65, 80, and 95 dB SPL using a

microphone (Type 7016; Aco, Tokyo, Japan).

Apparatus and procedure in the behavioral experiment

Behavioral experiments were conducted in a soundproof box 60 (W) × 60 (L) × 60

cm (H). Licking recordings in head-fixed animals were performed as previously described

[54-57]. Each subject was positioned on a covered elevated platform (custom-designed and

3D printed). A drinking spout was placed 1 mm in front of the mouth of the animal, and

the clamp and drinking spout positions were adjusted to detect licking behavior easily. A

touch sensor (DCTS-10; Sankei Kizai, Tokyo, Japan), connected between the drinking

spout and the metal sheet of the stage, recorded the timing of licks at 2000 samples/s. The

recorded licking timing was stored on a computer via Arduino Uno (Arduino, Ivrea, Italy)

controlled by Python 3.7 on Spyder 501. All behaviors were recorded using a video

camera (HD-5000; Microsoft, Washington, USA).

After at least 2 days of recovery from surgery, the gerbils were water-restricted

in their home cage. The training session typically comprised 400 trials daily. Head-fixed

participants were allowed to voluntarily lick the spout at all times. A 3.2 µl drop of water

(unconditioned stimulus: US) was delivered through the tube every 7–13 s after

presenting an 85 dB SPL of white noise (conditioned stimulus: CS) controlled by

MATLAB (MathWorks, Massachusetts, USA) and a microcontroller (Arduino Uno;

Arduino, Ivrea, Italy) with a custom-made relay circuit.

After animals showed high licking rates following the auditory cue, catch trials

were conducted to evaluate the subject's perception. The test session comprised rewarded

and non-rewarded trials (i.e., catch trials). Catch trials were included to assess the subjects'

perceptions without reward feedback. White noise of 20, 35, 50, 65, 80, or 95 dB SPL

was presented in the catch trials. During a test session, 280 rewarded trials and 120 catch

trials were conducted in a pseudo-random order. In the catch trials, each sound intensity

was tested 20 times. The test session was performed pre- and post-laser exposure.

Recording and analysis of the behavioral data

An averaged histogram of licking behavior was used to evaluate the time course of

behavioral responses. The histograms were calculated in a 100 ms bin size and

smoothened using a 300-ms moving average window. Peak licking rates, durations, peak

latencies, and response latencies were extracted from the time course of licking behavior

to assess the behavioral response. Peak licking rates and peak latencies were defined as

the licking rate and time when the licking rate reached the maximum value within 4 s

after stimulus onset, respectively. The baseline licking rate was defined as the pre-

stimulus licking rate obtained for 1 s, and duration was quantified as the temporal length

of licking behavior >50% of the licking rate from the mean baseline licking rate to the

peak licking rate. Response latency was determined by measuring the time between

stimulus onset and the behavioral response reaching the mean plus three standard

deviations of the baseline licking rate. The delta licking rate was defined as the difference

between the mean baseline licking rate and the post-stimulus licking rate to evaluate the

response amplitude. The analysis range of post-stimulus licking behavior was 0.2–1.2 s

because the behavioral response was mostly observed 0.2 s after stimulus onset (see

Supplemental Fig. 1 for details). The behavioral level was calculated by standardizing the

highest delta licking behavior in the pre-exposure test. The delta behavioral level (DBL)

between the pre- and post-exposure test was defined as the summation of the difference

in the behavioral level for all sound pressure levels to evaluate the effect of continuous

laser exposure on auditory perception.

Laser exposure

A repetitive pulsed infrared laser was used for laser exposure. The individual pulse

of the laser had a 100 μs rectangular shape in intensity. It was generated using a diode

laser stimulation system (BWF-OEM-1850; B&W TEK, Delaware, USA) with a

wavelength (λ=1871) comparable to those previously reported [12,38,50]. The voltage

commands for the laser stimuli were generated using a function generator (WF1974; NF,

Kanagawa, Japan). The repetition frequency of the pulsed laser was changed to 125, 250,

500, 2000, 4000, and 8000 Hz to adjust the amount of radiant energy reaching the cochlea

to 1.6, 3.3, 6,6, 26.4, 52.8, and 105.6 W/cm^2, respectively. The radiant energy and beam

width at the cochlea (i.e., 2.2 mm from the fiber tip) were measured using a digital power

meter (PM100D; Thorlabs, Tokyo, Japan) with a thermal power sensor (S302C; Thorlabs,

Tokyo, Japan) and an InGaAs biased detector (DET10D/M; Thorlabs, Tokyo, Japan),

respectively. Our previous study measured the laser-beam profile [18].

An optic fiber (diameter: 100 μm; NA, 0.22) was inserted mediolaterally into the

left outer ear canal with the tip angled rostrally by 10° and dorsally by 5° using a

micromanipulator (MM-3; Narishige, Tokyo, Japan) in awake head-fixed subjects. The

optic fiber tip was set at c.a. 0.7 mm in front of the tympanic membrane to ensure that the

cochlea was irradiated transtympanically from the outer ear as described in our previous

reports [17-19].

Each subject's cochlea was continuously stimulated by laser irradiation from the

outer ear for 15 h. Continuous laser exposure was performed on the same covered elevated

platform in the soundproof box as in the behavioral experiment. The temperature in the

soundproof box was adjusted to approximately 26 °C, and a small pee pad was placed

beneath the subject to prevent the subject's temperature from decreasing. After laser

exposure and behavioral experiments, the subjects were overdosed with an intraperitoneal

injection of pentobarbital (200 mg/kg). Laser-induced cochlear response was

continuously recorded throughout the study period. The cochleae were histologically

investigated to clarify the thermal damage caused by laser irradiation.

Recording and analysis of the cochlear response during laser exposure

After the pre-exposure test, cochlear response was recorded in awake subjects

during continuous laser exposure. The cochlear response was amplified 1000 times using

a bio-amplifier (MEG-1200; Nihon Kohden, Tokyo, Japan) and stored on a computer via

an analog-to-digital converter (Octa-capture; Roland, Shizuoka, Japan) at a sampling rate

of 96,000 Hz.

The recorded signal was processed using a band-pass filter (100–10,000 Hz) and

separated at 10 ms intervals. The cochlear signal was averaged 1000 times every 10 s for

15 h, and the response amplitude of the averaged cochlear signal was evaluated using the

amplitude spectrum distribution. To obtain high-frequency resolution, 32,768 points of

zero paddings were performed on the head and end of the averaged signal. The amplitude

spectrum was extracted using fast Fourier transforms (FFT, 16,384 points with a Hanning

window); therefore, the frequency resolution was 5.9 Hz. The peak of the amplitude

spectrum at the stimulus repetition frequency was extracted as the response amplitude.

The mean response amplitude was calculated at 5 min intervals standardized by the first

5 min mean amplitude.

Preparation of histological evaluation

Following the post-exposure test session, the laser-irradiated subjects were

euthanized by an overdose of pentobarbital (200 mg/kg i.p.). After postmortem perfusion

with phosphate buffered saline (PBS) and 4% paraformaldehyde in PBS, the animals were

decapitated and fixed in the same fixative for two days in a refrigerator at 4 °C.

Subsequently, the tissues were stored in cooled PBS containing 0.02% sodium azide.

The paraformaldehyde-fixed tissues were decalcified by immersing in a mixture

solution of formic acid (0.28 g/ml), citric acid (0.1 g/ml), and sodium hydroxide (0.02

g/ml) for 7–10 days. The decalcifying solution was exchanged every 2–3 days. After the

decalcification, the samples were preserved in PBS with 0.02% sodium azide.

Decalcified head tissues were dehydrated using a gradually ascending

concentration of ethanol (70–100%) for 2 days followed by immersion in 100% xylene

and embedding into a paraffin block. Horizontally, paraffin-embedded sections with the

thickness of 6 μm was processed using a microtome (HM 430; Thermo, Tokyo, Japan).

Cochlear sections between 7 and 8 mm ventral to the sagittal midline from each

subject were examined. Sections were deparaffinized by immersion in 100% xylene.

After the deparaffinization, sections were hydrated with 100% ethanol and distilled water.

Sections were stained with Mayer's hematoxylin solution and rinsed with distilled water.

After differentiation with 70% ethanol containing 0.1% HCL, the sections were stained

with Putt's eosin solution. HE-stained sections were re-immersed in 100% ethanol and

cleared in xylene. All stained sections were mounted in mounting medium (HSR solution;

Sysmex, Hyogo, Japan). Specimens were photographed using a digital camera (BX53;

Olympus, Tokyo, Japan) under a light microscope (EX-F1; Casio, Tokyo, Japan).

Histological analysis was performed using ImageJ software [58,59]. The main part of the

analyzed spiral ganglions might be afferent Type-I neurons because they are 95 % of the

total population of the spiral ganglion.

Behavioral data analysis for a safety margin evaluation

Each subject was placed on a covered elevated platform for 15 h in a temperature-

controlled soundproof box, similar to the laser exposure experiment without presenting

laser irradiation. We compared the performance of the classical conditioning task before

and after placement on the covered elevated platform. The mean and standard deviation

of the control condition were measured to determine the safety margin of continuous laser

irradiation. The safety margin was defined as the mean $\pm$ three standard deviations of

the DBL in the control condition (n=9).

Correlation between physiological damage in the cochlea and behavioral alternation

To determine whether these histological and functional deficits in the cochlea were

associated with behavioral alterations, we correlated the change in spiral ganglion neuron

density and amplitude of the cochlear response with the behavioral response. Further

details are provided in Supplemental Fig. 2.

Recording thermal elevation of the cochlear surface

Eleven gerbils were euthanized (pentobarbital; 200 mg/kg) and decapitated for

cochlear surface thermal measurements. Both sides of the cochlea were extracted from

the skulls under a stereomicroscope. The cochlea was placed in a Petri dish and stabilized

with dental wax. The laser fiber tip was directed vertically to the surface of the cochlea

and was set to 2.2 mm from the cochlear surface. Continuous laser irradiation with 1.6,

3.3, 6.6, 26.4, 52.8, and 105.6 W/cm^2 was performed for 1 h, and the surface temperature

elevations were measured using a thermal camera (FLIR C3-X; Teledyne FLIR LLC,

Oregon, USA), which was positioned at 21 cm from the cochlear surface with vertically

45° angle.

To acquire the thermal elevation on the lateral and medial sides of the cochlear

surface, a thermocouple (MSM-3905; Biomedica, Osaka, Japan) was used. The tip of the

thermocouple sensor was in contact with the second turn of the cochlear surface on one

side for 1 h. After recording the temperature on one side, the specimen was left for at least

15 min to cool down to room temperature (~24 °C), and then the temperature on another

side was measured.

Flow of the behavioral, electrophysiological, and histological procedure

Surgery, behavior training, pre-exposure test, laser exposure for 15 h, post-exposure

test, and histological evaluation were performed in this order. The recovery period was

performed after surgery before and after laser exposure. Further details are provided in

Fig. 1.

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Author contribution

Conceptualization: A.O., KI.K., and Y.T.; Data curation: A.O. and M.U.; Formal analysis:

A.O. and Y.T.; Funding acquisition: A.O., T.M., K.T., KI.K., and Y.T.; Investigation: A.O.

and Y.T.; Methodology: Y.I., T.M., and K.T.; Project administration: KI.K. and Y.T.;

Software: A.O. and Y.T.; Supervision: S.H.; Validation: A.O.; Visualization: A.O. and

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Conflict of interests

The authors have no conflicts of interest to declare.

Data availability statement

All data needed to evaluate the conclusions are shown in the paper and

supplemental figures. Additional data related to this paper are available from the

corresponding author upon reasonable request.

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Fig. 1. Time course of the experiment. Before head-fixed classical conditioning, surgery

was performed to set the head plate and recording electrode on the subjects and to impair

hearing sensitivity in the right ear. At least two days after the surgery, the training session

was conducted to associate the relationship between the auditory cue and the liquid

reward for 11.8 ± 3.9 days (Mean $\pm$ SEM, n=18). The pre-exposure test was conducted

after the training was completed. Subsequently, continuous laser exposure was presented

for 15 h after recovery (0.3 ± 0.2 days). The cochlear response was recorded during the

laser exposure. The post-exposure test was carried out 2.8 ± 0.9 (Mean$\pm$SEM) days after

the laser exposure. Finally, the subjects were euthanized on the same day as the post-

exposure test, and histological inspection was performed.

Fig. 2. Thermal elevation of the cochlear surface caused by laser irradiation. (A)

Time course of the average temperature rise on the irradiation site of the cochlear surface.

(B) Surface temperature at 10 min after the laser onset in (A). Error bars indicate the

standard deviation (1.6 W/cm^2: n = 3, others: n = 4). (C) Temperature difference between

the lateral and medial sides of the cochlear surface following the laser irradiation of 105.6

W/cm^2 (n=5). Glay open circles show the temperature in each cochlea. In (A) and (B), the

gray dotted line shows the room temperature (24 °C). Error bars describe the standard

error of the mean. **: $P < 0.01$.

Fig. 3. Laser-induced lesion in spiral ganglion neurons. (A) Horizontal section of laser

irradiated cochlea showing all turns (radiant energy: 6.6 W/cm^2). (B) Density of spiral

ganglion neurons in the lateral side of the basal turn after laser exposure of 1.6 W/cm^2

(left), 6.6 W/cm^2 (middle), and 105.6 W/cm^2 (right). (C) Lateral (left) and medial (right)

sides of the spiral ganglion neuron density in middle turn. The dotted line separates the

lateral and medial sides of the spiral ganglion area in the middle turn. (D) Change in the

cell density after laser exposure on lateral (left) and medial (right) sides of the cochlea.

The cell density difference was defined as the mean spiral ganglion density difference

between the laser irradiated (left ear) and the opposite (right ear) cochlea. Gray open

circles indicate individual data (n=9). Correlation coefficients were calculated using

Pearson's correlation analysis. (E) Mean cell density difference between the laser

irradiated and opposite cochlea in apical-middle (left) and basal (right) turn on the lateral

side. Data from all radiant energy conditions were pooled in the analysis. *: $P < 0.05$.

Fig. 4. Cochlear function assessment during continuous laser exposure. (A) Cochlear

response during continuous pulsed laser irradiation of 1.6 (left), 6.6 (middle), and 105.6

(right) W/cm^2 (i.e., 125, 500, and 8000 pulses/s). (B) Time course of the cochlear response

amplitude elicited by the 1.6 (left), 6.6 (middle), and 105.6 (right) W/cm^2 laser

stimulation. (C) Relationship between laser irradiation time and percent of the response

amplitude. (D) Average amplitude decrease caused by continuous laser irradiation

depending on the radiant energy. Gray open circles indicate individual data (n=15). Gray

lines in (B) and (C) show individual data.

Fig. 5. Influence of continuous laser irradiation on the auditory-evoked behavioral

response. (A) Auditory-evoked licking behavior pre- and post-laser exposure of 1.6 (left),

6.6 (middle), and 105.6 (right) W/cm^2. Individual trials and mean peri-stimulus time

histograms (PSTH) of the licking behavior elicited by auditory stimuli (80 dB SPL) are

shown in top and bottom figures, respectively. The blue zones indicate auditory

stimulation periods. The light blue dotted lines show the reward timing in the training

trials. Black plots in the top figure show licking timing. (B) Behavioral level alternation

after continuous laser irradiation. Solid and dotted lines show pre- and post-behavioral

levels, respectively. The behavioral level was determined as the ratio of delta licking rate

over the maximum delta licking rate of the pre-laser exposure (1.6 W/cm^2: n=1, 3.3

W/cm^2: n=2, 6.6 W/cm^2: n=2, 26.4 W/cm^2: n=2, 52.8 W/cm^2: n=2, 105.6 W/cm^2: n=3).

(C) Change in the delta behavioral level between pre- and post-laser exposure. In the

control condition, delta behavioral level was measured by comparing the pre- and post-

placing the subjects on the experimental setup for 15 h (n=9). Gray dotted line shows the

mean of the delta behavioral level in the control condition, and colored areas indicate two

(red) and three (orange) standard deviations. The safety margin was determined as the

mean $\pm$ three standard deviations (i.e., orange area). Gray open cycles represent delta

behavioral response in each subject (n = 18).

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 Supplemental Figures

Supplemental Fig. 1. Auditory-induced licking behavior in head-fixed Mongolian

gerbils and behavioral profile depending on the sound pressure level. (A) Schematic

of the head-fixed setup. We investigated the perception of auditory stimuli by using head-

fixed classical conditioning. A drop of water was delivered through the tube after

presenting white noise, and the timing of licks was recorded using a touch sensor, as in

previous studies. (B) Individual trial (top) and mean peri-stimulus time histogram (PSTH)

(bottom) of the licking behavior elicited by auditory stimuli with various sound pressure

levels. The blue zones indicate auditory stimulation periods. The light blue dotted lines

show the reward timing in the training trials. Black plots in the top figure show licking

timing. Increasing the intensity of white noise elicited a systematic change in behavioral

responses. (C) Intensity dependence of the peak licking rate, duration, peak latency, and

response latency. As the sound pressure level increased from 20 to 95 dB SPL, peak

licking rate was increased from 1.52 to 7.28 licks/s, duration was increased from 0.38 to

0.83 s, peak latency was decreased from 1.66 to 0.63 s, response latency was decreased

from 1.06 to 0.11 s. (D) Mean auditory-evoked delta licking rate. The delta licking rate

was defined as the response amplitude to quantify the response amplitude of licking

behavior. The delta licking rate significantly increased from 0.04 to 4.78 licks/s

depending on the intensity of the white noise. In (C) and (D), the open grey cycles show

the individual data (n=12).

Supplemental Fig. 2. Change in the delta behavioral level depending on the decrease

in the cell density difference (A) and neural response amplitude (B). Histological and

behavioral data (n=9) or electrophysiological and behavioral data (n=8) were obtained

from the subjects. The correlation coefficients were calculated using Pearson's correlation

analysis. As the spiral ganglion neuron density decreased, the delta behavioral level

significantly decreased (r=-0.80, P<0.05, Pearson's correlation analysis). A similar

tendency was observed in the relationship between the decrement of the cochlear response

and behavioral response; the decreasing amplitude of the cochlear response significantly

reduced the behavioral response (r=-0.83, P<0.05, Pearson's correlation analysis). These

results suggest that auditory perception is affected by the physiological deterioration of

the cochlea. The open circles indicate individual data. *: $P < 0.05$.