1	Interactions between	Trypillian farmers	and North Pontic forager-
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2 pastoralists in Eneolithic central Ukraine

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- 25 Key words: Eneolithic, Pre-Cucuteni-Cucuteni-Trypillia complex, Sredny Stog, stable isotope
- 26 analysis, ancient DNA, genetic ancestry, Cucuteni C pottery, circum-Pontic trade network,
- 27 individual life history

28 Abstract

29 The establishment of agrarian economy in Eneolithic East Europe is associated with the Pre-30 Cucuteni-Cucuteni-Trypillia complex (PCCTC). PCCTC farmers interacted with Eneolithic foragerpastoralist groups of the North Pontic steppe as PCCTC extended from the Carpathian foothills 31 to the Dnipro Valley beginning in the late 5th millennium BCE. While the cultural interaction 32 33 between the two groups is evident through the Cucuteni C pottery style that carries steppe 34 influence, the extent of biological interactions between Trypillian farmers and the steppe 35 remains unclear. Here we report the analysis of artefacts from the late 5th millennium Trypillian 36 site of Kolomivtsiv Yar Tract (KYT) in central Ukraine, focusing on a bone fragment found in the 37 Trypillian context at KYT. Diet stable isotope ratios obtained from the bone fragment place the diet of the KYT individual within the range of forager-pastoralists of the North Pontic area. 38 39 Strontium isotope ratios of the KYT individual are consistent with having originated from 40 contexts of the Sredny Stog culture sites of the Middle Dnipro Valley. Genetic analysis of the KYT individual indicates ancestry derived from a proto-Yamna population such as Sredny Stog. 41 42 Overall, the KYT archaeological site presents evidence of interactions between Trypillians and 43 Eneolithic Pontic steppe inhabitants of the Sredny Stog horizon and suggests a potential for gene flow between the two groups as early as the beginning of the 4th millennium BCE. 44

45 Introduction

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47 Trypillian culture (5000-2750 BCE) is the eastern component of the Pre-Cucuteni-Cucuteni-Trypillia complex (PCCTC) of Eneolithic farmers of eastern Europe. PCCTC extended from the 48 49 Carpathian Mountains to the Dnipro (Dnieper) River in what is now Moldova, Romania and Ukraine. PCCTC is known by over 4300 settlements and cemeteries. In the 5th millennium BCE 50 51 PCCTC was synchronous with such European Neolithic complexes as Lengyel, Vinca, and 52 Kodjadermen-Gumelnita-Karanovo VI (KGK). In the east, Trypillia, the Ukrainian branch of 53 PCCTC, neighbored the Dnipro-Donets and Pit-Comb Ware fisher-forager groups, as well as the 54 Sredny Stog forager-pastoralists. The Sredny Stog archaeological horizon formed in the steppe of Azov, between the Dnipro and the Don Rivers, in the 5th millennium BCE. It existed, in various 55 cultural forms, through the end of the 4th millennium BCE (Kotova 2013). The Yamma cultural 56 57 complex took over the steppe dominance from Sredny Stog at the end of the 4th millennium BCE. Sredny Stog has been hypothesized to be the ancestral group from which the Yamna 58 59 complex emerged. Sredny Stog and Yamna are viewed as an Eneolithic-Bronze Age cultural 60 continuum that was an important source for the spread of Indo-European cultural traits across 61 a much larger geographic region beginning in the Bronze Age (Telegin 1986).

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Close contacts between Trypillia and Sredny Stog began as early as the end of the Trypillia A
period (ca. 4700-4600 BCE) and continued throughout the Eneolithic (Kotova 2013).
Archaeological evidence shows reciprocal influence of Sredny Stog and Trypillia on each other's
cultural development. Settlements of the Deriivka group of the Sredny Stog horizon such as
Deriivka II and Molyukhiv Bugor contain evidence of agricultural practices, likely influenced by
the neighboring Trypillian groups (Kotova 2013). The influence of Sredny Stog on Trypillian
culture is most evident in the presence of Sredny Stog ceramic motifs in Trypillian pottery.

Archaeology and genetics point to the modern-day territory of Romania as the origin of PCCTC.
 Trypillian individuals from the Verteba Cave ritual site in western Ukraine share their genetic
 ancestry with the Eneolithic individuals of the Bodrogkeresztúr culture from Urziceni in

southeast Muntenia (Mathieson *et al.* 2018). Trypillians maintained close genetic links with the
farming communities of the Balkans through the end of the PCCTC existence (Immel *et al.*2020). Archaeological studies link the origins of PCCTC with western Transylvania (Burdo 2002).

Early Trypillian sites appear in the middle Dniester area at the beginning of the 5th millennium 78 79 BCE, putatively reflecting eastward migration of pre-Cucuteni groups from pre-Carpathian 80 Moldova (Burdo 2004). A plausible reason behind such a migration was climate change 81 necessitating the exploration of new territories for arable lands. This migration has been 82 reconstructed as having taken place in several waves. Early Trypillians, having inherited the 83 cultural and economic adaptations of the late Neolithic cultures of the Carpathian-Danube basin, managed to significantly expand the domain of ancient agricultural societies. These early 84 85 east European agrarians established the basis for the formation of what Ukrainian 86 archaeologists refer to as the Trypillian civilization between the Dniester and the Dnipro during the second half of the 5th millennium through the end of the 4th millennium BCE (Videiko 2004). 87 88

89 After 4500 BCE Trypillia spit into several local groups, distinguished by the style of their 90 ceramics. The emergence of Cucuteni A-B - Trypillia BI-II is likely connected with the movement 91 of PCCTC groups out of the overpopulated Carpathian region into the forest-steppe expanses of 92 the Dniester-Dnipro interfluve. Trypillians reached the Dnipro Valley in the second half of the 93 5th millennium BCE. Several local Trypillian types are recognized during this period, 94 distinguished by material culture and features of the economy. While these groups functioned 95 autonomously, there is evidence for active interaction among them as well as with Trypillian 96 groups to the west (Videiko & Burdo 2020). The transition to the open expanses of the Dnipro 97 Valley also brought Trypillian groups into direct contact with steppe populations. 98

During the Trypillia BI-II stages, painted ceramics dominated in the western part of the
expanding Trypillian domain, while the ceramics with grooved/incised décor dominated in the
east. The eastern part of the Trypillian domain also adopted elements of pottery traditions from
the steppe groups of the Sredny Stog cultural horizon in their kitchen pottery. Trypillian

kitchenware is distinctive from other ceramic types by the composition of clay, which
occasionally included crushed river shells, as well as by the shape of vessels (pots and bowls)
and the method of applying ornamental compositions using stamping such as imprints of comb
and pits (Figures S3, S4). This kitchenware ceramic style is known as the "Cucuteni C" type.
Cucuteni C ceramics is considered an indicator of the steppe influence on Trypillian ceramics
(Burdo 2016).

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110 Around 4300 BCE, the Penezhkovska-Scherbanevska local Trypillian group was formed in the 111 middle Dnipro Valley. The group is represented by about ten settlements, of which the two 112 largest ones are the eponymic settlement near the village of Trypillia, over 60 hectares in size, 113 and the Kolomiytsiv Yar Tract (KYT) near the village of Kopachiv (around 15 hectares) (Videiko & 114 Burdo 2018) (Figure 1). Recent excavations at KYT revealed Cucuteni C ceramics in the pottery 115 assemblage. In addition, a human long bone fragment was uncovered at the site in the 116 Trypillian cultural layer. The presence of Cucuteni C pottery at KYT presents an opportunity to 117 study the KYT site in the context of Sredny Stog cultural influences on Trypillia. The human 118 osteological specimen uncovered at KYT allows the examination of diet and mobility, as well as 119 the analysis of genetic affinities of the KYT population. In the present study, we set out to test 120 the hypothesis of the existence of genetic interactions alongside cultural exchanges between 121 Trypillia and Sredny Stog at Trypillian sites containing Cucuteni C pottery. Finds of human 122 remains at Trypillian sites are exceedingly rare (Nikitin et al. 2010, 2017b). The osteological 123 specimen from KYT provides exceptional opportunity to expand our understanding of 124 subsistence patterns, mobility, and genetic landscape of the area to the south of the Carpathian 125 Mountains during the Eneolithic.

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132 Materials and Methods

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134 Kolomiytsiv Yar Tract site description

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Within the modern limits of the Kyiv Region, more than two dozen settlements of stage BI-II are
now known. Trypillian settlements of this period, in comparison with those of the later stages
BII, CI and CII, are among the least studied in central Ukraine. The settlement at the Kolomiytsiv
Yar Tract (KYT) is one of the easternmost among the Trypillian sites in the northern part of this
region.

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Kolomiytsiv Yar Tract (50.10799, 30.52610) is situated in a valley, southeast of the village of
Kopachiv, Obukhiv District, Kyiv Region (Figure 1). A stream, which is the west tributary of the
Stugna River, flows southwest-northeast along the bottom of the valley (Figure S5). The left,
sloping bank of the stream is occupied by farm fields. The steep right bank of the stream is
partially overgrown with forest. The floodplain of the stream is marshy, has a width of 100 to
50-30 m, and is partially overgrown with reeds (Figures 2, S2).

148

149 The KYT archaeological site was discovered in 2005 (Kvitnitskiy 2006). It was further excavated 150 by a joint expedition of the Kyiv Regional Archaeological Museum and the Institute of 151 Archeology of the National Academy of Sciences of Ukraine in 2006 (Videiko et al. 2007), 2007 152 (led by Maxim Kvitnicky), and 2011 (Videiko 2012). These were rescue excavations since part of 153 the site's cultural layer was being actively destroyed by the extraction of topsoil (chernozem) in 154 the floodplain of a stream along which the site's Trypillian structures were found. The site is 155 multi-layered and includes materials from the Trypillian culture (stage BI-II), the early Iron Age, 156 and the Medieval periods (XII-XIII centuries). Archaeological monuments, later in time than the 157 Trypillian culture, were located in the lower part of the valley, in a 70-100 m strip along the 158 right bank of the stream.

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160 In cooperation with the Christian-Albrecht University, the "Human Development in Landscapes" 161 School (Kiel, Germany), a magnetic survey was carried out on a part of the KYT's Trypillian 162 settlement in 2016. The survey covered about three hectares of an area of the slope of the 163 promontory in the lower part of the valley (Videiko et al. 2017). As a result, underground 164 anomalies corresponding to the remains of 19 incinerated buildings of the Trypillian culture and 165 traces of six pits of various sizes were found (*ibid.*, Fig. 1). The survey determined that the 166 anomalies were of varying preservation - from good to severely destroyed by plowing and 167 erosion, especially those located in areas with a considerable slope of the terrain. Field 168 excavations confirmed the results of the magnetic survey both regarding the presence and 169 preservation of the underground features.

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171 Underground features representing remains of buildings were located in three rows on the 172 sloped terrain along the stream flowing along the bottom of the valley. The top row contained 173 the remains of 11 buildings. The second row contained the remains of five structures, and the 174 bottom row had three. Probably most of the remains of the buildings on this site were located 175 outside the field, where some building remains were surveyed in 2005-2007. Pit anomalies 176 were identified near the remains of buildings. The largest pit was located opposite the end of a 177 building under excavation 14, which also stood out for its size. The pit's location suggests that 178 originally these pits were used for clay extraction during dwelling construction by Trypillians. 179

In the heavily disturbed area of the topsoil extraction, a human long bone fragment (possibly a
humerus shaft, Figure S1) was discovered in 2007. The bone fragment was found in the
proximity of a burnt house (*"ploschadka"*) of Trypillian culture, explored during excavations in
2007.

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185 Radiocarbon dating and diet stable isotope analysis

186 Radiocarbon dating using Accelerated Mass Spectrometry (AMS) as well as carbon and nitrogen

187 (δ^{13} C and δ^{15} N) stable isotope analyses were carried out at BETA Analytic, Miami, FL. Stable

188 isotope measurements were obtained using a modified version of the Longin collagen

189 extraction method (Longin 1971). Radiocarbon calibration was carried out using OxCal version 190 4.4 and the IntCal 20 calibration curve (Bronk Ramsey 2009; Reimer et al. 2020). Stable isotope 191 analysis of carbon and nitrogen is used in archaeological investigations to examine dietary patterns. Carbon isotope ratio, δ^{13} C, is a measure of the ratio of the stable isotopes of carbon, 192 193 ¹³C and ¹²C. Carbon isotope ratios are measured on bone collagen and dentin, which makes it 194 possible to determine the origin of dietary proteins from marine, terrestrial and freshwater 195 resources. Within the terrestrial sources, δ^{13} C makes it possible to differentiate between the C3 196 and C4 plant-based diets (Schwarcz & Schoeninger 1991). Nitrogen isotope ratio, δ^{15} N, is the ratio of stable isotopes of nitrogen, ¹⁵N and ¹⁴N. The evaluation of δ^{15} N makes it possible to 197 198 determine the trophic level of the studied organism within a food web. Populations of different 199 subsistence strategies can be distinguished by their stable isotope signature (Schwarcz & 200 Schoeninger 1991).

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202 <u>Strontium isotope analysis</u>

Strontium isotope ratio analysis of the KYT bone and soil specimens was carried out at the
University of Missouri Research Reactor (MURR). The soil sample was powdered in an agate
mortar and calcined at 550°C for four hours. An aliquot of about 0.1 g was transferred to a PFA
vial and dissolved in 24N HF - 14N HNO₃ (4ml and 1ml, respectively) on a hot plate at 125°C for
48hrs. The solution was then evaporated and the residue re-dissolved in 6ml of 6N HCl at 125°C
for 48hrs. The solution was evaporated at 90°C, and the residue was re-dissolved in 2ml of ¹⁴N
HNO₃ at 125°C and evaporated.

210

The bone sample was mechanically cleaned using a microdrill equipped with a bristle brush. It was then chemically cleaned using an ultra-sonic bath and a succession of 0.1N acetic acid for 30 minutes, mQ water for 15 minutes, and 0.1N acetic acid for 15 minutes. The sample was thoroughly rinsed with mQ water after each cycle. The bone was then leached for seven hours in 5% acetic acid, rinsed with mQ water, and dried at 105°C in the drying oven. A fragment of about 40-50 mg was dissolved in a PFA vial using 7N HNO₃ on a hot plate at 110°C for 24hrs. The solution was then evaporated at 90°C.

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The dry residues of the bone and the soil samples were re-digested in 2ml 7N HNO3 and the Sr was extracted using a protocol adapted from (de Muynck *et al.* 2009). The Sr solutions were evaporated, and the residue dissolved in 0.05N HNO₃ before analysis.

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223 The Sr isotopic analysis was conducted on a Nu Plasma II (Nu Instruments) multi-collector -224 inductively coupled plasma – mass spectrometer in operation at MURR. Both the samples and 225 the SRM987 Sr isotopic standard solutions were prepared to obtain a Sr concentration of about 226 150 ppb. The measured ratios were corrected for the isobaric interference of ⁸⁷Rb, ⁸⁶Kr, and ⁸⁴Kr, and for mass bias using the iterative approach and a value of 0.1194 for ⁸⁶Sr/⁸⁸Sr natural 227 228 ratio. The SRM987 was measured multiple times (n=11) and the value obtained for the ⁸⁷Sr/⁸⁶Sr 229 were 0.71022 ± 0.00004 (2sd). The values obtained for the samples were corrected by standard bracketing using the accepted value of 0.710248 for the ⁸⁷Sr/⁸⁶Sr (Thirlwall 1991). 230

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232 <u>Genetic analysis</u>

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234 We carried out the first steps of ancient DNA analysis in a dedicated clean room at Harvard 235 Medical School, following previously established protocols to minimize contamination including 236 working in positively pressured rooms decontaminated with ultraviolet light, and use of 237 protective clothing by the technicians handling the remains. We took a sample of xx mg of 238 cortical bone powder using a dental drill. We extracted DNA using a methodology optimized to 239 retain short and degraded molecules (Dabney et al. 2013; Korlević et al. 2015; Rohland et al. 240 2018), and converted the extracted DNA into a barcoded, double-stranded library (Rohland & 241 Reich 2012). We enriched the library in solution for sequences overlapping at least 1.2 million 242 single nucleotide polymorphisms (Fu et al. 2015; Rohland et al. 2022). We sequenced on 243 Illumina instruments using 2x76bp sequences. We processed the data bioinformatically and 244 mapped to the human reference genome hg19 as described in previous studies, removed 245 duplicated molecules based on matching barcodes and start and stop position in the mapped 246 genome (e.g. (Mathieson *et al.* 2015). We represented each targeted position by a single

247	randomly chosen sequence, which produce a total of 357,145 targeted SNPs on chromosomes
248	covered by at least one sequence. The sequence data gave no evidence of contamination based
249	on polymorphism in its mitochondrial DNA using contamMix (Fu et al. 2013) (the 95%
250	confidence interval for matching to the consensus haplogroup of U4b1b2 was 98.9-100%) and
251	had ratio of Y to X chromosome sequences consistent with female molecular sex.
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253	Results and Discussion
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255	Ceramic assemblage at Kolomiytsiv Yar Tract
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257	Detailed analysis of the KYT ceramic assemblage is presented in (Videiko et al. 2017). Overall,
258	Trypillian ceramics at the KYT site belongs to the stage BI-II of Trypillia culture period. The
259	ceramic assemblage uncovered at the site is typical for this stage in the Middle Dnipro region
260	and is represented by the so-called "kitchenware" and "tableware" types. A characteristic
261	feature of the ceramics from KYT is the addition of crushed shells to the clay of some vessels
262	with a carved ornament, as well as the processing of their inner surface with a tool that leaves
263	stripes - combs, which is typical for ceramics of the Cucuteni C type (Figures S3, S4). A detailed
264	comparative analysis of ceramics of the Cucuteni C type from the settlements of Trypillia and
265	Sredny Stog convincingly shows that Trypillian settlements contain imported (Sredny Stog) and
266	locally made ceramics with shell admixture (Tsvek & Rassamakin 2002). Finds of Cucuteni C
267	ceramics at KYT are of local production. Cucuteni C pottery at KYT parallels the Sredny Stog
268	ceramics of Sredny Stog II, Stril'cha Skelya and Molyukhiv Bugor (Figure S4). The ceramic
269	assemblage at KYT containing Cucuteni C ceramics made on site suggests the presence of
270	carriers the Sredny Stog pottery making techniques at KYT.
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276 Absolute dating and dietary isotopes of the KYT individual

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278 Kolomiytsiv Yar Tract human osteological specimen was directly dated to 5170±30 BP (Table 1).

After calibration, this corresponds to 4049-3820 calBCE (95.4% probability). Thus, radiocarbon

analysis confirms the placement of the specimen within the Trypillian BI-BII period.

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Table 1. Isotope data of the Kolomiytsiv Yar Tract samples.

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Sample	Date,	Date,	δ ¹³ C	%C	$\delta^{15}N$	%N	C:N	87Sr/86Sr	87Sr/86Sr
	uncalBP	calBCE,	(‰)		(‰)		ratio		2se
	(lab	95.4%							
	code)	probability							
Human	5170±30	4049-3945	-20.1	42.1	12	15.01	3.1	0.71109	0.00001
bone	(Beta –	(94.7%);							
	523816)	3831-3820							
		(0.8%)							
Buried								0.73320	0.00001
soil									

284

The δ^{15} N of 12.0‰ suggests the potential presence of Freshwater Reservoir Effect (FRE) from aquatic dietary sources (Lillie *et al.* 2009, 2011). The δ^{15} N terrestrial baseline is between 9.5 and 11‰. Past 11.5‰, the FRE can influence the date up to 200 years (M.C. Lillie, personal communication, 2021). Considering the δ^{15} N of the KYT specimen being just over the terrestrial baseline threshold, the FRE influence on the date is likely at the lower end of the FRE influence scale. It is not possible to quantify the FRE more precisely in the absence of contemporaneous faunal remains.

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Stable isotope values of the KYT specimen were plotted against the corresponding values from
Ukrainian Neolithic, Eneolithic and Early Bronze Age populations for which stable isotope data

are currently available (Figure 3, Table S1). Kolomyitsiv Yar values for both δ^{13} C and δ^{15} N were outside of the range of variation of the Trypillian farming groups from Verteba Cave (Lillie *et al.* 2018) and Prydnistryanske of the Yampil complex (Goslar *et al.* 2017).

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299 Carbon and nitrogen stable isotope ratios of the KYT specimen were comparable with diet 300 isotope ratios of the North Pontic steppe groups. Nitrogen δ^{15} N ratios of KYT were within the 301 range of variation of the Eneolithic-EBA Pontic steppe pastoralists, Eneolithic forager 302 pastoralists from the Sredny Stog horizon, as well as the Dnipro Valley fishers, at the lower 303 range of δ^{15} N ratio variation for the latter group (Figure 3). Carbon δ^{13} C ratios of the KYT 304 specimen were within the range of variation of the Dniester Delta Eneolithic population from 305 the Usatovo site at Mayaki, with likely subsistence based on fishing and pastoralism, and 306 outside the range of carbon ratio variation for steppe pastoralists. Overall, the KYT diet appears 307 comparable to the diet of fisher-forager-pastoralists of the North Pontic steppe, but not to the 308 diet of farmers of Eneolithic Pontic coast and adjacent forest steppe areas (Figure 3). Based on 309 diet isotope values, we conclude that KYT comes from a population with the subsistence 310 strategy based on foraging and/or pastoralism.

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At the same time, we cannot exclude that the particular isotope ratios found in the KYT individual could be a result of some special diet. The diet of the KYT individual could also be reflecting a mixture of farming, pastoralist and foraging subsistence practices, if KYT were a forager living in a farming environment or vice versa.

316

The presence of Cucuteni C ceramic style at KYT suggests the interaction of the Trypillian population of KYT with and influence by Sredny Stog. The two most proximal to KYT Sredny Stog settlements on the right bank of the Dnipro River are Deriivka II and Molyukhiv Bugor (Figure 1). The Sredny Stog layer at the Molyukhiv Bugor site is dated to 3951-3640 BCE (Lillie *et al.* 2011). Radiocarbon dates from the Deriivka II settlement place it in 4436-3988 BCE (Lillie *et al.* 2009). It has been suggested that the formation of culture groups of the Sredny Stog II period (according to D. Telegin's classification (Telegin 1973)), represented by settlements at

Molyukhiv Bugor and Deriivka II, was influenced by Trypillia (Telegin 1986; Rassamakin 1999; Kotova 2013). Archaeological evidence suggests that the Sredny Stog populations at Deriivka II and Molyukhiv Bugor practiced agriculture, but their main form of subsistence was hunting and pastoralism, supplemented by freshwater fish and mollusks (Telegin 1973, 1986; Lillie *et al.* 2009; Kotova 2013; Mileto *et al.* 2017). The presence of Sredny Stog groups in the forest steppe zone indicates the movement of Sredny Stog northward during the Srednij Stog II period.

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331 Strontium isotope analysis

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The ⁸⁷Sr/⁸⁶Sr ratio value measured in the KYT bone specimen was 0.71109 and the one 333 334 measured in the buried soil was 0.73320 (Table 2). No samples were collected to define a 335 strontium isotopic baseline for the purpose of the present study. The strontium isotopic 336 composition of the bone and soil samples were compared to data from (Ventresca Miller et al. 2021) for estimated values of the ⁸⁷Sr/⁸⁶Sr ratio values across the different geological ages in 337 338 Ukraine. These estimations were derived from values presented in (Voerkelius et al. 2010). The 339 geological setting of the KYT site and the adjacent stream is mainly composed of sedimentary 340 rocks and sediments from the Eocene, Oligocene and Miocene, with estimated range of ⁸⁷Sr/⁸⁶Sr ratio values between 0.709 and 0.711 (Ventresca Miller et al. 2021). Rocks of 341 Precambrian age with estimated range of values ⁸⁷Sr/⁸⁶Sr ratio values between 0.712 and 0.780 342 343 (Ventresca Miller et al. 2021) can be found West and South within a range of 35-45 km from the KYT site. The lithologies around the KYT site are presented in detail in Figure S5. 344

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The ⁸⁷Sr/⁸⁶Sr ratio value of the soil sample (0.73320) is higher than the range proposed for the Cenozoic substrate present at the KYT site. No strontium isotopic data on the specific lithologies developed at the site is available, which makes it difficult to assess whether the soil is representative of the substrate or if strontium from another source has contaminated the soil. The site itself, and the area drained by the adjacent stream, are not currently farmed, but agriculture is common in the region and the application of fertilizers is likely. Numerous studies have demonstrated the impact of modern fertilizers on the strontium isotopic signature of soils

353 (e.g., (Antich et al. 2000; Böhlke & Horan 2000; Lottermoser 2009) and archaeological remains 354 buried in these soils (e.g., (Bentley 2006). Available data for the strontium isotopic composition 355 of fertilizers exhibit lower values than that of the soil sample from KYT (e.g., (Antich et al. 2000; 356 Böhlke & Horan 2000; Vitòria et al. 2004). However, some data for K-fertilizers also 357 demonstrates that they can present much higher values (e.g., (Borg & Banner 1996; Böhlke & 358 Horan 2000). Additional data, including the identification of the types of fertilizers used in the 359 region, would be required to determine if the Sr isotopic signature from the KYT soil has been 360 affected by the use of K-fertilizers. No older rock of Proterozoic or Archean age with higher 361 strontium ratio value is present along the stream adjacent to the site (Figure S4), and all 362 lithologies around the site are of Cenozoic age and exhibit lower Sr ratio values than the soil 363 sample. Further sampling around the site would be needed to define the strontium isotopic 364 signature of the site and its immediate surroundings.

365

The KYT bone sample ⁸⁷Sr/⁸⁶Sr ratio value is slightly higher than the range of estimated values for the Cenozoic substrates. The signature in the bone could be the result of a mixture of Cenozoic substrates with an input from Precambrian rocks that present higher strontium ratio values. This would be consistent with the diet of a forager-pastoralist who would get their food from the area of Precambrian rocks to the South and West from the KYT site. However, these substrates, and regions where they can be found in association, have a broad distribution, which prevents precise association of the KYT bone specimen with a specific area or site.

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374 The bone sample's strontium ratio was also compared to the signature obtained from human 375 enamel from individuals of the Eneolithic and Yamna populations of Ukraine (Gerling 2015; 376 Ventresca Miller et al. 2021). The KYT individual's strontium ratio falls within the range of 377 values observed for the site of Sugokleya (Kirovograd Region), which is associated with the 378 Yamna culture (Gerling 2015). More specifically, the KYT bone's strontium ratio is close in value 379 to that from the enamel of an individual found at the Sugokleva Kurgan Grave 10 (UK 44/45, 380 (Gerling 2015)). The Sugokleya site is located in the vicinity of Deriivka II settlement of the 381 Sredny Stog culture, making that area a plausible place of origin of the KYT individual. The

present data, however, do not allow to unequivocally identify the place of origin of the KYT
individual nor to firmly establish whether the individual was non-local to the KYT site.

The fact that bone is a porous tissue and its biogenic strontium signature is commonly affected by diagenesis/chemical exchanges within the burial environment has consequences for this research. Because the soil in immediate contact with the bone is higher than that of the bone, it is possible that the value of the bone was originally lower than the value that was measured.

390 Taken together, diet isotope analysis of the KYT individual suggests the forager-pastoralist 391 subsistence type such as that of forest-steppe Sredny Stog communities of the middle Dnipro 392 Valley. Strontium isotopic signature of the KYT individual is compatible with a large geographic 393 area, encompassing most of the Middle Dnipro Valley, and close to the strontium isotope ratios 394 in the vicinity of the Deriivka II settlement of Sredny Stog (Figure 1). The presence of Cucuteni C pottery at the KYT site pointing at the presence of Sredny Stog pottery makers at KYT (Videiko & 395 396 Burdo 2018) and the similarity of ceramic assemblages between KYT and Sredny Stog, further 397 support the origin of the KYT individual from a Sredny Stog population.

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399 Genetic analysis of the KYT specimen

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401 To examine the genetic ancestry of the KYT individual, we extracted and examined the KYT 402 individual's DNA. Genetic analysis revealed that the individual was a female, carrying mtDNA 403 haplogroup U4b1b2 (Table 2). Carriers of the U4b subclade were identified in Mesolithic and 404 Neolithic fishers and foragers from Ukraine, the Iron Gates area of the Danube, and the Baltic 405 coast (Mathieson et al. 2018). The U4 mtDNA clade was present in the Middle Dnipro Valley 406 from the beginning of the Holocene and persisted in the North Pontic steppe through at least 407 the late Eneolithic (Nikitin et al. 2017a; Mathieson et al. 2018; Allentoft et al. 2022; Mattila et 408 al. 2022). Carriers of the U4b mtDNA lineage have not been identified in Trypillian remains 409 studied to date. At the same time, a late Trypillian individual dated to 3482-3297 calBCE from 410 the Gordinesti site in Moldova and identified as having a substantial "steppe" genetic

admixture carried a U4-derived mitochondrial lineage U4a1 (Immel *et al.* 2020). On the wholegenome Principal Component Analysis (PCA), the Gordinești individual is pulled away from the
cluster of Trypillian specimens from Verteba cave, dated to ca. 3900-3600 calBCE, towards the
EBA Yamna individuals from Ukraine and the Volga River region.

415

416 Whole genome analysis of the KYT specimen produced 76550 Singe Nucleotide Polymorphism 417 (SNP) "hits" covering 6.8% of total autosomal targets (Tables 2, S2). We produced a PCA projection of ancient and modern west Eurasian genomes that included the KYT specimen 418 419 (Figure 4). Many ancient samples fall outside the genetic range of modern Eurasia. The KYT 420 sample (marked as SSX in Figure 3) falls close to Yamna pastoralists of the EBA. KYT-SSX has 421 more ancestry from the ancient steppe than any modern West Eurasian sample, but is very 422 distinct from Mesolithic-Neolithic hunter-gatherers, either Eastern Hunter Gatherers (EHG) or 423 even more so samples from the Mesolithic in Serbia (Iron Gates) which are genetically primarily 424 of Western Hunter Gatherer (WHG)-associated ancestry.

425

426 While the KYT-SSX specimen clusters most closely with Yamna, both from Ukraine (Shevchenko) 427 and Russia (Middle Volga, Samara Region), it does not form a clade with the Yamna. An f_4 test 428 (Patterson et al. 2012) for f₄ (Ancient African genomes, Serbia Mesolithic; SSX, Ukraine EBA 429 Yamnaya) produced a Z score of -3.85, and for Samara Yamna instead of KYT-SSX Z = -4.78. 430 Statistical analysis of whole-genome data further revealed that KYT-SSX was not genetically 431 similar to Trypillia individuals studied to date. Thus, for example, for f_4 (Old Africa, Turkey 432 Neolithic; SSX, Trypillia) the Z-score is 6.692. A plausible scenario is that the KYT individual has 433 much of their ancestry from a Proto-Yamna population (Chintalapati et al. 2022), while also 434 being admixed with Serbia/Iron Gates Mesolithic, the latter possibly coming from the Neolithic 435 populations of the Dnipro Valley that have been shown to carry WHG admixture (Mathieson et 436 al. 2018). Sredny Stog is the main Proto-Yamna group of the Eneolithic North Pontic steppe and 437 has been hypothesized to be ancestral to Yamna based on archaeological analysis (Telegin 438 1986), which would be consistent with the assignment of the KYT individual to deriving most of 439 its ancestry from Sredny Stog people.

440 **Table 2**. Genomic data of the Kolomiytsiv Yar Tract sample. Full DNA library report is presented

441 in Table S2.

442

Specimen/DNA	Molecular sex	MtDNA	SNP hits on	Coverage on
lab code		haplotype	autosomal	autosomal
			targets	targets
Human long	Female	U4b1b2	76612	0.068193
bone /17585				

443

444 The presence of an individual with Yamna-related ancestry, most plausibly derived from Sredny 445 Stog groups, at the Trypillian KYT site proposes a possibility of genetic interactions between the 446 steppe and farming worlds as early as the late 5th – early 4th millennium BCE. However, 447 evidence for such interactions is currently lacking. As mentioned above, Trypillians studied to 448 date did not carry steppe genetic admixture until after 3482-3297 calBCE. At the same time, details about Trypillian ancestry of the first part of the 4th millennium come from a single site 449 450 (Verteba Cave) and a limited number of specimens. Nevertheless, the widespread presence of 451 Cucuteni C pottery at Trypillian sites of the early-middle period, including Verteba, suggests the 452 Sredny Stog-Trypillia interactions were extensive, thus providing multiple opportunities for 453 biological interactions between the two culture groups as well. Further examination of 454 genomes from Sredny Stog and Trypillia sites would help clarify the timing of genetic 455 interactions between Trypillians and Eneolithic steppe forager-pastoralists. 456

In conclusion, the archaeological, stable isotope and genetic analyses presented in this report produce the following life history highlights for the KYT individual. While the bone fragment of the KYT individual was found in Trypillia culture context, the individual was not genetically associated with the ancestral pool of European Neolithic farmers from which Trypillian ancestry is derived. The KYT individual, genetically a female, is a representative of a Proto-Yamna population of Eneolithic forager-pastoralists of the North Pontic area, such as Sredny Stog. The individual's maternal (mtDNA) lineage stems from an autochthonous to the North Pontic area

clade, which was present in the Dnipro Valley since the beginning of the Holocene. The
individual's diet was consistent with that of a forager-pastoralist, or the individual had a mixed
diet, potentially including resources coming from foraging, pastoralism and farming. The origin
of the KYT individual is likely in the middle Dnipro Valley, plausibly from a location in the vicinity
of the Deriivka II settlement of Sredny Stog.

469

470 Integrating the data presented in this report with the existing body of archaeological knowledge presents the following picture of the population dynamics at the end of the 5th millennium in 471 472 the East Balkans - North Pontic area. Archaeological evidence indicates the existence of 473 contacts between Sredny Stog, PCCTC and the KGK communities of West Pontic from before 474 4700-4600 BCE through ca. 4200 BCE, during the operation of the Eneolithic Circum-Pontic 475 trade network (Nikitin & Ivanova 2022). The spread of ceramic finds and copper products allows 476 us to reconstruct the trade route from the western part of KGK culture complex in the Lower Danube Valley (the Pietrele site in Romania, Figure 1) to the Bugeac steppe in the northwest 477 478 Pontic (e.g. the site of Suvorovo, Figure 1), following north-east to the Middle Dniester PCCTC 479 groups of the Solonceni-Zalischyky type, and then further east to the Dnipro River. Imports of 480 Trypillia BI ceramics at the settlement of Sredny Stog and other archaeological sites in the 481 Lower Dnipro Valley including Mariupol-type cemeteries such as Mykil's'ke (Nikolskoye) (Figure 482 1) testify to the extent of these trade connections to the Dnipro Rapids area. This trade route 483 operated for more than 500 years (Videiko 2018). After 4200 BCE, following the collapse of the 484 Balkan Eneolithic in the result of climatic changes (Nikitin & Ivanova 2022) that also affected 485 the steppe belt north of the Black Sea, the Sredny Stog populations moved from the steppe to 486 forest steppe along the Middle Dnipro Valley, where they came into direct contact with PCCTC. 487 This contact is evidenced by the spread of Cucuteni C ceramics, patterned after the steppe 488 ceramics, in Trypillian settlements. It has been suggested that the Cucuteni C ceramic style 489 manifests the presence of the bearers of steppe ceramic technology at Trypillian settlements 490 (Videiko & Burdo 2018). The population affiliation of the KYT individual with Proto-491 Yamna/Sredny Stog and the presence at KYT of Cucuteni C ceramics made on site not only 492 confirms the presence of Sredny Stog at Trypillian sites, but also reflects the integration of

- 493 steppe individuals into Trypillian societies. This study exemplifies a case when the hypotheses
- 494 of archaeologists, based on the use of traditional typological and comparative methods,
- 495 received strong validation from molecular analysis methods employed by natural sciences.
- 496

497 Acknowledgements

498

499 The authors would like to thank Nadin Rohland, Swapan Mallick, Matthew Mah, Adam Micco, 500 and Aisling Kearns for their skillful preparation and processing of the sample for ancient DNA 501 analysis. This study was supported, in part, by Professional Development Funds from the 502 College of Liberal Arts and Sciences of Grand Valley State University to AGN and by the Howard 503 Hughes Medical Institute (HHMI) to DR. The acquisition of the Nu Plasma II MC-ICP-MS was 504 funded by the National Science Foundation (grant #BCS-0922374). The Archaeometry 505 Laboratory is supported by the National Science Foundation (grant #BCS-1912776). This article 506 is subject to the HHMI's Open Access to Publications policy. HHMI lab heads have previously 507 granted a nonexclusive CC BY 4.0 license to the public and a sublicensable license to HHMI in 508 their research articles. Pursuant to those licenses, the author-accepted manuscript of this 509 article can be made freely available under a CC BY 4.0 license immediately upon publication. 510 511 References 512 513 ALLENTOFT, M.E. et al. 2022. Population Genomics of Stone Age Eurasia. bioRxiv, 514 2022.05.04.490594. 515 http://biorxiv.org/content/early/2022/09/27/2022.05.04.490594.abstract. 516 https://doi.org/10.1101/2022.05.04.490594. 517 ANTICH, N., A. CANALS, A. SOLER, D.P.F. DARBYSHIRE & B.F. SPIRO. 2000. The isotope composition of

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688 Figure captions

689

690 **Figure 1**. Location of the Kolomiytsiv Yar Tract, as well as Trypillia, Derrivka II, Molyukhiv Bugor,

691 Sredny Stog, Stril'cha Skelya, Pietrele, Suvorovo, Solonceni and Mykil's'ke archaeological sites

692 mentioned in the text. Image from <u>https://www.naturalearthdata.com/</u> (public domain),

693 modified.

694

Figure 2. The Kolomiytsiv Yar Tract setting. Photo by M. Y. Videiko, 2006.

696

697 **Figure 3**. Distribution of $δ^{13}$ C and δ^{15} N stable isotope ratios of Early Holocene-Bronze Age

698 populations of the North and West Pontic area. Stable isotope ratios and corresponding

699 publication sources are listed in Table S1. 1, Deriivka I, III; 2, Deriivka II; 3, Mykil's'ke; 4,

Yasynuvatka; 5, Oleksandria; 6, Igren 8; 7, Molyukhiv Bugor; 8, 12, Mayaki; 9, 13, Pischanka; 10,

Kam"yanka-Dniprovs'ka; 11, 17, Vinogradne; 14, Pidlisivka/Porohy; 15, Shakhta Stepna; 16,

Sugokleya; 18, 19, Prydnistryanske; 20, Durankukak; 21, Smyadovo; 22, Varna; 23, Verteba

703 Cave.

704

Figure 4. PCA projection of whole genome data of published West Eurasian prehistoric and

706 modern (grey points) populations. Ukraine_N, Ukrainian Neolithic; SSX, KYT specimen; EHG,

707 Eastern Hunter Gatherers; Iran_GanjDareh_N, Iranian Neolithic; Turkey_N, Anatolian Neolithic.

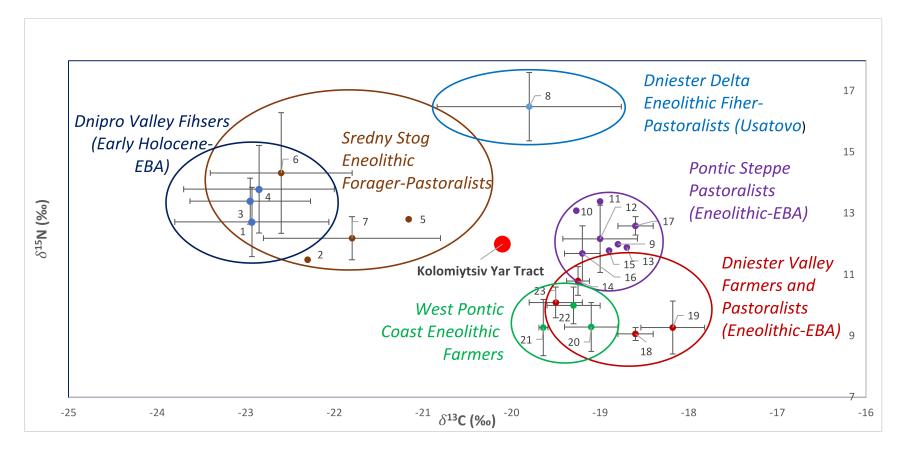


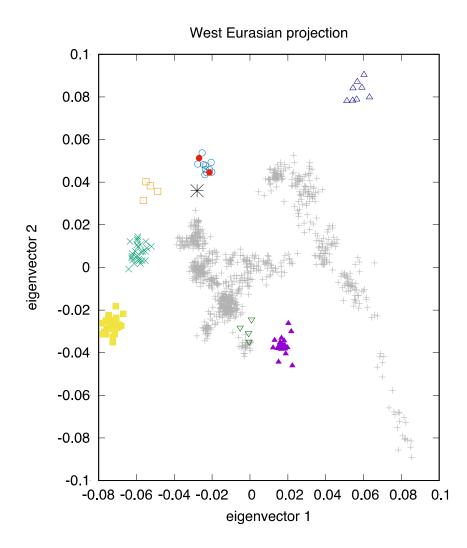












- Modern_West_Eurasian Ukraine_N SSX EHG +
- × ₩
- EHG Serbia_IronGates_Mesolithic Russia_Samara_EBA_Yamnaya Ukraine_EBA_Yamnaya Iran_GanjDareh_N Turkey_N Trypillia 0
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