#### 1 A Biophysical Basis for Learning and Transmitting Sensory Predictions 2 3 Salomon Z. Muller<sup>1</sup>, LF Abbott<sup>1,2</sup> and Nathaniel B. Sawtell<sup>1</sup> 4 5 <sup>1</sup>Zuckerman Mind Brain Behavior Institute, Department of Neuroscience, Columbia University, 6 New York, NY 10027 7 <sup>2</sup>Department of Physiology and Cellular Biophysics, Columbia University, New York, NY 8 10027 9 10 Abstract 11 Homeostatic (anti-Hebbian) forms of synaptic are effective at eliminating "prediction 12 errors" that signal the differences between predicted and actual sensory input. However, 13 such mechanisms appear to preclude the possibility of transmitting the resulting predictions to downstream circuits, severely limiting their utility. Using modeling 14 15 and recordings from the electrosensory lobe of mormyrid fish, we reveal interactions 16 between axonal and dendritic spikes that support both the learning and transmission of 17 predictions. We find that sensory input modulates the rate of dendritic spikes by adjusting the amplitude of backpropagating axonal action potentials. Homeostatic plasticity 18 19 counteracts these effects through changes in the underlying membrane potential, allowing 20 the dendritic spike rate to be restored to equilibrium while simultaneously transmitting 21 predictions through modulation of the axonal spike rate. These results reveal how two types of spikes dramatically enhance the computational power of single neurons in support 22 23 of an ethologically relevant multi-layer computation.

24 25

# 26 Introduction

27 The synaptic plasticity associated with learning is typically Hebbian or similar to Hebbian in that 28 changes induced by plasticity lead to further plasticity (Abbott and Nelson, 2000; Caporale and 29 Dan, 2008). This instability is what drives learning-related changes in neural responses, but it 30 must be countered by some form of synaptic regulation that keeps synaptic strengths bounded 31 (Miller and Mackay, 1994). In contrast, homeostatic forms of plasticity compensate for changing 32 inputs by returning neural response back to an equilibrium point and hence do not require additional regulation (Bell et al., 1997c; Roberts and Bell, 2002; Turrigiano, 2017). Although 33 34 the intrinsic stability of homeostatic plasticity is a powerful feature, it has generally been 35 assumed to limit the utility of this form of plasticity for the transmission of learned signals.

36

37 Homeostatic forms of synaptic plasticity have been studied extensively in cerebellum-like

38 sensory structures, where they play a critical role in the adaptive cancellation of self-generated

39 sensory stimuli (Bell et al., 1997a; Bell et al., 2008). Cerebellum-like sensory structures combine

40 input from peripheral sensory receptors--e.g. electroreceptors in the case of the electrosensory

41 lobe (ELL) of teleost fish and auditory nerve fibers in the case of the mammalian dorsal cochlear 42 nucleus (DCN)--with massive input from a mossy fiber-granule cell-parallel fiber system similar 43 to that of the cerebellum. Granule cells convey a rich variety of information including: motor 44 corollary discharge, proprioception, input from other sensory modalities, and feedback from 45 higher processing stages within the same sensory modality. Numerous lines of evidence from in 46 vitro and in vivo recording studies indicate that homeostatic (anti-Hebbian) forms of plasticity at 47 parallel fiber synapses drive postsynaptic firing to a constant equilibrium rate by forming 48 negative images of sensory input patterns that are predictable based on granule cell input (Bell, 49 1981; Bell et al., 1997c; Bodznick et al., 1999; Harvey-Girard et al., 2010; Kennedy et al., 2014). 50 While computational models based on these results elegantly explain how homeostatic plasticity 51 cancels predictable, self-generated sensory input within individual neurons (Kennedy et al., 52 2014; Roberts and Bell, 2000), there is a disconnect between these models and the actual 53 circuitry and function of cerebellum-like structures. For both the ELL of mormyrid fish and the 54 mammalian DCN, the major site of anti-Hebbian plasticity is at an intermediate stage of processing at parallel fiber synapses onto interneurons that inhibit output neurons (Bell et al., 55 1997c; Fujino and Oertel, 2003; Meek et al., 1996; Tzounopoulos et al., 2004). Homeostatic 56 plasticity that maintains postsynaptic firing rate at a constant equilibrium rate would seemingly 57 preclude interneurons from transmitting learned signals to the critical output stage of the 58 59 network. This problem is not specific to the ELL, but would confront any system that relies on 60 homeostatic plasticity to predict sensory input (Hertag and Sprekeler, 2020; Keller and Mrsic-61 Flogel, 2018).

62

63 A recent study of interneurons in the mormyrid ELL, known as medium ganglion (MG) cells, shows that this seeming paradox is resolved by the separate axonal and dendritic spikes found in 64 65 these neurons (Muller et al., 2019). Consistent with prior experiments and modeling, the effects of self-generated sensory input on dendritic spike rate were cancelled by negative images. 66 67 Unexpectedly, however, negative images were encoded in the axonal spike rate of MG cells 68 (Figure 1A). The axonal transmission of negative images supports multi-layer computation in 69 the ELL by enabling homeostatic plasticity at intermediate layer synapses between granule cells 70 and MG cells to aid in cancelling predictable sensory input at the output layer of the ELL. 71 However, these findings are puzzling from a biophysical standpoint: because granule cell input is 72 sculpted by homeostatic plasticity to cancel predictable sensory input, the net input to the MG 73 cell should be constant, and both dendritic and axonal spike rates should be unmodulated.

74

Here we combine *in vivo* intracellular recordings with biophysical modeling to show that this puzzle is resolved at the biophysical level by interactions between inhibitory synaptic input, backpropagating axonal action potentials, and dendritic spikes. Specifically, we demonstrate: (1) that backpropagating axonal action potential evoke dendritic spikes in MG cells and (2) that sensory inhibition (or disinhibition) can selectively modulate the rate of dendritic spikes by controlling backpropagating spike amplitude. These interactions allow homeostatic plasticity to

81 maintain a constant dendritic spike rate by enforcing cancellation while simultaneously inducing

82 modulations in axonal spike rate that transmit sensory predictions. Our modeling work is based

83 on a multi-compartment neuronal model, but the basic results are recapitulated in a model with

84 only somatic and axonal compartments. Thus the mechanism we describe does not require

85 dendritic computation, but relies instead on an electrotonically distant spike initiation site in the

axon—a common feature of neurons that, to our knowledge, has not been previously connected
 to learning.

- 8/ to learn
- 88
- 89 **Results**
- 90

# 91 Dendritic spikes are triggered by backpropagating axonal spikes

92 MG cells fire two types of sodium channel-dependent action potentials known as broad and 93 narrow spikes (Figure 1B). Broad spikes are likely initiated in the proximal apical dendrites, 94 have a high threshold, and are emitted at low rates, while narrow spikes are likely initiated in the 95 axon, have a low threshold, and are emitted at high rates (Bell et al., 1997b; Engelmann et al., 96 2008; Grant et al., 1998). Broad spikes induce long-term depression at granule-MG cell synapses 97 (Bell et al., 1997c; Han et al., 2000). Given their critical role in plasticity induction, we sought to 98 determine what factors control broad spike firing in vivo. Confirming prior studies (Bell et al., 99 1997b; Grant et al., 1998; Sawtell et al., 2007), we found that broad spikes are invariably preceded by a narrow spike at a characteristic interval of ~3 ms (Figure 1B,D and Figure 1-figure 100 101 supplement 1A-B). This observation, by itself, does not indicate that narrow spikes play a causal 102 role in evoking broad spikes, as preceding narrow spikes could arise simply because broad spikes 103 have a higher threshold than narrow spikes. To further evaluate this question, we examined a 104 previously developed multi-compartment MG cell model that recapitulates critical features of 105 MG cell responses described above (Figure 1A, right) (Muller et al., 2019). This model is 106 reduced, containing a minimal set of voltage-gated and synaptic conductances (Materials and 107 methods), which makes it amenable to detailed analysis. The model is tuned to produce observed 108 broad and narrow spike rates, but no fine-tuning of parameters is required to produce the results 109 we report. In fact, as we show later, the basic effects can be reproduced in a further reduced 110 model.

111

112 When input currents were adjusted in the model to evoke the  $\sim$ 50 Hz narrow spike firing and  $\sim$ 2 Hz broad spike firing seen in vivo, broad spikes in the model cell were always preceded by a 113 114 narrow spike at an interval of ~3 ms (Figure 1C-D and Figure 1-figure supplement 1B). Blocking narrow spikes by turning off active conductances in the axonal compartment abolished broad 115 116 spike firing over a range of input strengths (Figure 1E), while injecting a brief spike-like depolarizing current into the soma (with active conductances in the axon turned off) evoked 117 118 broad spikes after a similar delay (Figure 1-figure supplement 1C). These results confirm a 119 causal role for narrow spikes in evoking broad spikes in the model. Monitoring the voltage at 120 various locations revealed that even though axonal depolarization resulting from the narrow

- spike is highly attenuated by the time it reaches the soma (Figure 1F, open arrowhead), it
- 122 nevertheless spreads passively into the proximal apical dendrites where it activates voltage-gated
- 123 sodium and potassium channels to evoke a local dendritic spike (Figure 1F, *blue*). Depolarization
- 124 from the local dendritic spike then propagates into other apical branches leading to additional
- spike initiations at multiple sites throughout the apical dendrite. These local dendritic spikes sum
- 126 to produce a broad somatic spike after a delay of several milliseconds from the triggering narrow
- 127 spike (Figure 1F, *filled arrowhead* and Video). Characteristics of putative apical dendritic MG
- 128 cell recordings *in vivo* are consistent with the model; narrow spikes are smaller and broad spikes
- 129 are narrower in comparison with somatic recordings (Figure 1-figure supplement 1D-E).

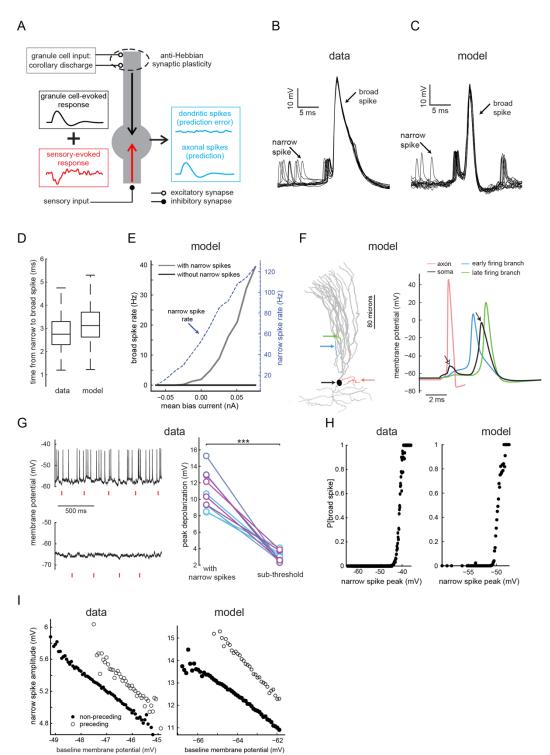


Figure 1. Backpropagating narrow spikes evoke broad spikes (A) Schematic of negative image formation and transmission in MG cells. Electrosensory input containing both self-generated signals (arising from fish's own electric organ discharge) and external signals (arising from prey) (red) is relayed to the basilar dendrites of MG cells via inhibitory and excitatory interneurons (not shown). Anti-Hebbian plasticity at granule cell synapses creates negative images of self-generated signals (black trace) based on motor corollary discharge signals (as well as other types of information) conveyed by granule cells. Granule cell input cancels the effects of self-generated sensory input on dendritic spikes (blue trace, top) but modulates axonal spikes (blue trace, bottom). (B-C) Overlaid

138 intracellular voltage traces from an example MG cell recorded in vivo (B), (and see Figure 1-figure supplement 1D) 139 and the model cell (C). (D) Interval between peaks of narrow and broad spikes in recorded (n=17) and model MG 140 cells. (E) Effect of eliminating narrow spikes on broad spike firing in the model. Narrow-spike F-I curve is also 141 shown. (F) Left, neurolucida reconstruction of an MG cell used to build the multi-compartment model. Arrows 142 indicate the sites of the membrane voltage recordings depicting the process of broad spike initiation (right). Open 143 and filled arrows indicate somatically recorded narrow and broad spikes, respectively. Voltage trace from the axon 144 is truncated for clarity (omitted portion shows that broad spikes trigger an additional axonal spike). (G) Left, 145 membrane potential fluctuations in an MG cell recorded with no bias current (top) and with hyperpolarizing bias 146 current to prevent narrow spiking (bottom). Red lines indicate the times of the fish's electric organ discharge 147 command. Right, peak depolarization amplitudes (relative to baseline) are substantially larger with narrow spikes 148 intact (n=10 p< 0.001). (H) Left, example MG cell recording illustrating the relationship between broad spike 149 probability and the peak of the narrow spike immediately preceding the broad spike. Additional examples are shown 150 in Figure 1-figure supplement 1F-G. Right, same display for the model cell. (I) Narrow spike amplitude depends on 151 the baseline membrane potential (i.e. the point from which the spike arises) but for any given baseline membrane 152 potential, narrow spikes that precede broad spikes have, on average, a larger amplitude. Left panel shows one 153 example MG cell (and see Figure 1-figure supplement 1H-K) and right panel shows results from the model cell. 154 Each circle represents the average amplitude for the given baseline membrane potential.

155

156 Several lines of evidence suggest a causal role for backpropagating narrow spike in evoking 157 broad spikes in vivo through a process similar to that described in the model. First, eliminating narrow spikes with hyperpolarizing current or a sodium channel blocker in the recording pipette 158 159 revealed that peak somatic depolarization due to narrow spikes is much greater than that due to subthreshold input alone (Figure 1G). Second, in vivo (as in the model), the probability of 160 evoking a broad spike depends strongly on the membrane potential at the peak of the recorded 161 narrow spike (Figure 1H and Figure 1-figure supplement 1G). Third, in vivo (as in the model), 162 narrow spikes that immediately precede a broad spike not only arise from more depolarized 163 164 potentials (as would be expected based on the higher threshold for broad spikes) but also exhibit larger amplitudes than narrow spikes not preceding a broad spike (Figure 1I and Figure 1-figure 165 supplement 1H-K). It is difficult to see why this would be the case if the narrow spike were not 166 167 causal. We also observed that the amplitude of backpropagating narrow spikes depends on the 168 baseline membrane potential, an effect seen in other systems (Grace and Bunney, 1983), 169 presumably due to the voltage-dependence of the membrane conductance.

170 171

## 172 A biophysical model of negative image formation and transmission

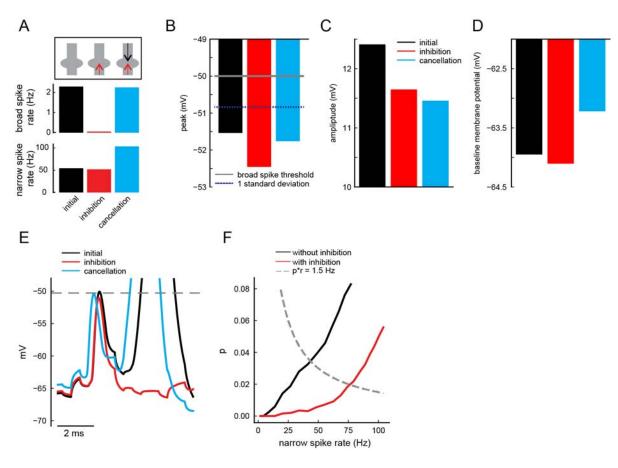
173 We next examined how sensory input affects broad and narrow spike firing in the multi-174 compartment model. In vivo studies have revealed two sub-classes of MG cells: BS- cells, in 175 which the broad spike rate is decreased by sensory input, and BS+ cells, in which the broad spike 176 rate is increased (presumably through dis-inhibition). While for simplicity we focus on modeling 177 BS- cells, the mechanisms we describe can also explain responses in BS+ cells (Figure 2-figure 178 supplement 1). For clarity, we consider constant sensory input, but we have verified that all the 179 results we report apply to time-dependent sensory inputs matching those in vivo (Figure 2-figure 180 supplement 3). Adding constant inhibitory input to basilar dendritic compartments potently

reduces the broad spike firing rate with little effect on the rate of narrow spikes (Figure 2A, 181 182 inhibition), consistent with prior in vivo recordings (Muller et al., 2019). Measuring membrane 183 potential values in the somatic compartment of the model revealed that inhibition results in 184 narrow spikes reaching less depolarized levels at their peaks (Figure 2B, red and Figure 2-figure 185 supplement 2b), so that the broad spike threshold is rarely crossed (Figure 2B, dashed line). The 186 increased conductance due to the inhibitory input reduces the peak membrane potential by 187 attenuating the passive spread of the narrow spike from the axon initial segment, as seen in the 188 small reduction in the amplitude of the narrow spike at the soma (~0.75 mv; Figure 2C, E, red 189 and Figure 2-figure supplement 2C; Appendix 1). The effects of inhibitory input on the baseline 190 membrane potential and the narrow spike rate are negligible because the narrow spike threshold 191 (~-64 mV) is near the reversal potential for inhibition (-65 mV in the model) (Figure 3A).

192

193 Next, we examined the central question of how negative image formation affects broad and 194 narrow spikes. The dynamics of anti-Hebbian spike timing-dependent plasticity acting on 195 realistic granule cell inputs have been extensively characterized and modeled (Bell et al., 1997c; 196 Kennedy et al., 2014; Roberts and Bell, 2000). Because the focus here is on the consequences of 197 these well-characterized plasticity dynamics on narrow and broad spike firing (rather than on the 198 plasticity mechanism itself), we simply reproduce the known effect of this plasticity in our 199 model. In other words, we set the strengths of excitatory conductances onto apical dendrites to 200 cancel the effects of inhibition on the broad spike rate (Figure 2A, cancellation), which is 201 precisely what the anti-Hebbian plasticity does. Sensory input temporarily lowers the broad spike rate but mimicking synaptic plasticity returns this rate back to its equilibrium value by restoring 202 the membrane potential at the peak of the backpropagating narrow spike close to its baseline 203 value (Figure 2B, cyan and Figure 2-figure supplement 2b). Critically, however, the reduction of 204 the backpropagating narrow spike amplitude caused by inhibition is not reversed (Figure 2C, 205 206 cyan and Figure 2-figure supplement 2C). Instead, restoration of the broad spike rate requires an 207 additional depolarization of the underlying membrane potential that assures that the peak of the 208 attenuated narrow spike reaches the threshold for broad spike firing (Figure 2D, E, cyan and 209 Figure 2-figure supplement 2D). This baseline depolarization drives narrow spike firing, thereby 210 transmitting the negative image to downstream neurons (Figure 2A, cancellation).

bioRxiv preprint doi: https://doi.org/10.1101/2022.10.31.514538; this version posted November 1, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.



212 213

214 Figure 2. Biophysical model of negative image formation and transmission. (A) Narrow and broad spike rates 215 under three conditions used to simulate the formation and transmission of negative images in the model (see main 216 text). To simplify model analysis, we use step-like changes in sensory and corollary discharge input rather than 217 simulating the temporal response profiles observed in vivo (Figure 2-figure supplement 3). This is equivalent to 218 plotting the peak of the responses schematized in Figure 1A. (B) Peak membrane potential of backpropagating 219 narrow spikes for the input conditions shown in (A). Gray line indicates the broad spike threshold and the distance 220 from the gray line to the dashed blue line is one standard deviation from the mean (which is similar across all three 221 conditions). (C) Backpropagating narrow spike amplitudes for the input conditions shown in (A). (D) Baseline 222 membrane potentials for the input conditions shown in (A). (E) Example voltage traces from the model illustrating 223 how membrane potential depolarization (cyan) allows narrow spikes to cross the threshold for evoking a broad spike 224 (dashed line), despite the reduction in narrow spike amplitude due to inhibition (red). (F) Inhibition (red) reduces 225 probability of evoking a broad spike (p), such that an increase in narrow spike rate is required to restore the broad 226 spike rate to equilibrium (dashed line). This increase is proportional to the negative image. Equilibria for the two 227 conditions are where the dashed and solid curves cross.

228

An equivalent computational explanation for this phenomenon can be constructed by expressing the broad spike rate,  $R_{bs}$ , as the product of two factors, the probability of a narrow spike evoking a broad spike, p, and the rate of narrow spikes,  $r_{ns}$ ;  $R_{bs} = p \cdot r_{ns}$ . The factor p reflects the functional coupling between backpropagating narrow spikes and broad spikes (similar to the

- 233 "safety factor" described in classical studies of initial segment-somatodendritic spike coupling
- 234 (Coombs et al., 1957a, b; Fuortes et al., 1957; Renshaw, 1942) . Sensory input selectively affects
- 235 the broad spike rate by reducing the value of p. (While narrow spike peak voltage is the

dominant factor affecting p (Figure 1H), other factors may also contribute (Figure 2-figure 236 supplement 4)). Specifically, suppose that the broad spike rate  $R_{bs} = p \cdot r_{ns}$  is at its equilibrium 237 238 value in the absence of sensory input, with  $p = p_0$ . Introducing inhibition due to sensory input 239 reduces p causing the broad spike rate to decrease. Synaptic plasticity restores the broad spike 240 rate by returning  $p \cdot r_{ns}$  and thus  $R_{bs}$  back to its equilibrium value (Figure 2F, dashed line). 241 However, through this process p is not restored to its previous value p<sub>0</sub>, but instead remains 242 smaller than  $p_0$ . Thus, the restoration of the broad spike rate requires a compensatory increase in 243  $r_{ns}$ , the narrow spike rate (Figure 2F).

244

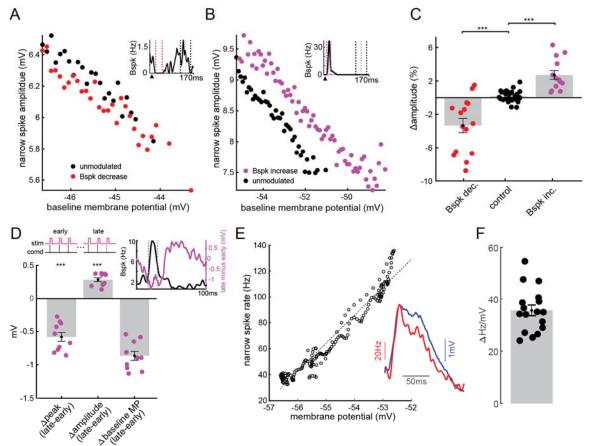
## 245 Negative image formation and transmission *in vivo*

246 The model makes two key predictions regarding negative image generation and transmission that we tested *in vivo*: (1) sensory input modifies narrow spike amplitude and (2) synaptic plasticity 247 248 restores the broad-spike rate in the presence of sensory input by modifying the baseline 249 membrane potential rather than by reversing the effects of sensory input on the amplitude of 250 backpropagating narrow spikes. Comparing narrow spike amplitudes in time windows when 251 broad spike firing was modulated by an electrosensory stimulus versus control windows revealed 252 that sensory stimuli that suppressed broad spiking reduced the amplitude of backpropagating 253 narrow spikes, while stimuli that enhanced broad spiking increased this amplitude (Figure 3A-C 254 and Figure 3-figure supplement 1). The former corresponds to BS- cells, while the latter 255 corresponds to BS+ cells in which both the sign of the broad spike response to the sensory input 256 and the negative image are reversed.

257

258 To test prediction 2 concerning the changes in baseline membrane potential, we examined 259 narrow spike amplitudes during the learning of negative images induced by pairing an 260 electrosensory stimulus with the motor command that discharges the electric organ (Bell, 1981). 261 This analysis was only possible for BS+ cells because of the much faster time-course of 262 cancellation in these cells (Muller et al., 2019). As expected, cancellation of sensory-evoked 263 increases in broad spike firing was driven by a temporally-specific hyperpolarization of the 264 underlying membrane potential (Figure 3D, inset). Importantly, sensory-evoked changes in 265 narrow spike amplitude were not reversed as negative images formed, a critical feature for our 266 model of negative image transmission (Figure 3D). In fact, the amplitude of backpropagating 267 narrow spikes actually increased due to the prominent inverse correlation of the narrow spike 268 amplitude and the baseline membrane potential (Figure 11). This effect amplifies the mechanism 269 identified in the model, leading to even more robust negative image transmission by narrow 270 spikes (Figure 3-figure supplement 2). Defining  $\Delta Amp$  as the change in narrow spike amplitude 271 due to sensory input and S as the slope of the relationship between narrow spike amplitude and 272 the baseline membrane potential, the negative image is equal to  $-\Delta \text{Amp}/(1+S)$ . Our data suggest 273 a value for S of  $\sim$ -4.1% (Figure 3-figure supplement 2) which corresponds to -0.62 mV for a 274 typical 15 mV narrow spike recorded in the soma. Hence, the negative image generated by a 3% 275 narrow spike amplitude change is expected to be 0.45 mV/(1-0.62) = 1.2 mV. Based on measured

- 276 dependence of narrow spike firing rate on membrane potential (Figure 3E, F), this amounts to a
- ~40 Hz change in narrow spike rate, consistent with the magnitude of negative images recorded
   *in vivo*.



279

280 Figure 3. Negative image formation and transmission in vivo. (A) Example BS-MG cell illustrating a decrease in 281 the backpropagating narrow spike amplitude in a time window when broad spike firing is transiently decreased by 282 an electrosensory stimulus (red) compared to a window in which the broad spike rate is not modulated (black). Inset 283 here and in (B) identifies these analysis windows. (B) Example BS+ MG cell illustrating an increase in the 284 backpropagating narrow spike amplitude in a time window when broad spike firing was transiently increased by an 285 electrosensory stimulus (magenta). (C) Summary of the effects of sensory stimuli on narrow spike amplitude across 286 MG cells (n = 15 decrease, n = 13 increase, p < 0.001). Middle bar (control) shows results of analysis comparing 287 amplitudes in two windows in which broad spike rates were not modulated (the two windows are separated by the 288 gray dashed line in insets A-B). (**D**) Changes in membrane potential at the peak of the narrow spike ( $\Delta$ peak), narrow 289 spike amplitude ( $\Delta$ amplitude), and the baseline membrane potential preceding narrow spikes ( $\Delta$ baseline MP) during 290 pairing (~4 minutes) of an electrosensory stimulus with the electric organ discharge motor command (comd) to 291 induce negative image formation and sensory cancellation in BS+ cells (n = 10). Inset right, traces from an example 292 cell illustrating the initial sensory-evoked increase in broad spike firing (black) along with the resulting change in 293 the membrane potential, which forms an approximate negative image of the effects of the paired sensory input on 294 broad spike firing (magenta). Inset left, illustration of the pairing paradigm. (E) Narrow spike rate versus membrane 295 potential plotted for one example cell. Dashed line is the linear fit. Inset, trial-averaged membrane potential (with 296 spike removed) and corresponding narrow spike rate for the same cell. (F) Average sensitivity of narrow spikes to 297 membrane potential changes across MG cells (n=17) calculated based on the range of the curves shown in E. 298

## 299 Axonal, but not dendritic, compartmentalization is required for MG cell function

300 The differential effect of sensory input on broad and narrow spikes might suggest that spatial 301 targeting of synaptic inputs onto MG cells is essential for learning and transmitting negative 302 images. We tested this by varying the location of the sensory input in the model. Surprisingly, 303 inhibition onto the proximal apical dendrites (Figure 4A) or soma (Figure 4B) yielded similar 304 model performance as inhibition onto basilar dendrites (Figure 2A). In both cases, sensory input 305 robustly decreased broad spike firing with little effect on narrow spike firing (Figure 4A, B, 306 inhibition), and the addition of excitatory input to the apical dendrites cancelled the effects of 307 sensory input on the broad spike rate while simultaneously modulating narrow spike output 308 (Figure 4A, B, cancellation). Furthermore, if a mixture of excitatory and inhibitory input is 309 delivered to the basilar dendrites, narrow spike firing rate is also increased while broad spike 310 firing decreased (Figure 4C), matching prior in vivo observations (Muller et al., 2019). These 311 suggest that neither spatially segregated synaptic inputs nor dendritic results 312 compartmentalization are strictly required for differential control over broad and narrow spikes.

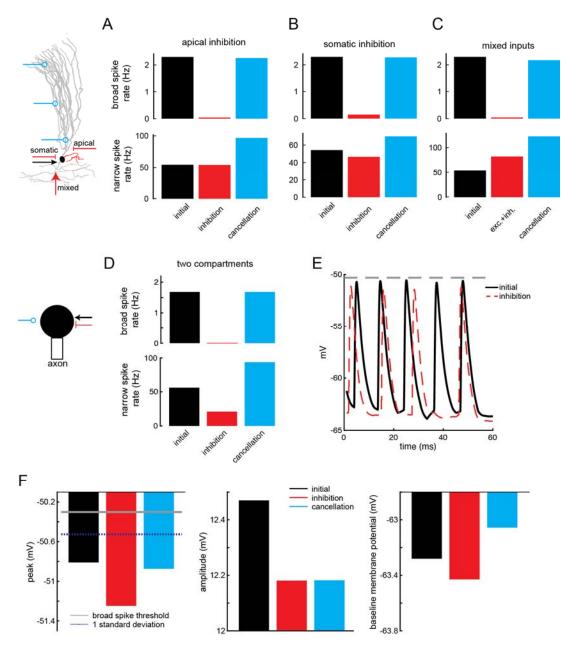
313

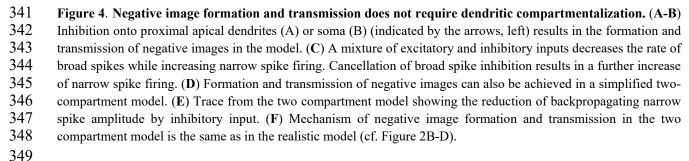
314 To test this further, we constructed a simple conductance-based integrate-and-fire model with only two compartments representing an axon and soma. Remarkably, the same qualitative results 315 described for the morphologically realistic multi-compartment model were reproduced by the 316 317 attenuation of the backpropagating axonal narrow spike in the somatic compartment (Figure 4D-318 F). While this result in no way excludes important functional roles for the numerous 319 morphological, synaptic, and biophysical specializations of real MG cells, it suggests that the essential biophysical requirements for continual learning and signal transmission are surprisingly 320 321 minimal.

#### 322

#### 323 Discussion

324 Learning is typically associated with Hebbian forms of plasticity with homeostatic plasticity 325 playing a stabilizing role by enforcing a return to equilibrium. Here we identified biophysical 326 mechanisms that allow homeostatic synaptic plasticity to transmit learned signals. Using *in vivo* 327 recordings and biophysical modeling we showed that sensory input modulates the rate of 328 dendritic spikes by adjusting the amplitude of backpropagating axonal action potentials. 329 Homeostatic plasticity counteracts these effects through changes in the underlying membrane 330 potential, allowing the dendritic spike rate to be restored to equilibrium while simultaneously 331 transmitting predictions through modulation of the axonal spike rate. The core requirements of 332 the mechanism we describe--separate axonal and somatodendritic action potentials and an 333 electronically distant site of axonal spike initiation-are found in many classes of neurons 334 (Grace and Bunney, 1983; Hausser et al., 1995; Llinas et al., 1968; Spencer and Kandel, 1961; 335 Spruston et al., 1995; Stuart and Sakmann, 1994), suggesting that roles for homeostatic plasticity 336 in learning may be more widespread than is currently appreciated.





An action potential that arrives at the soma highly attenuated might seem an unlikely candidate 350 351 for impacting dendrites. We find, to the contrary, that the small size of backpropagating axonal 352 spikes in MG cells makes them susceptible to modulation and therefore an ideal candidate for 353 flexibly controlling dendritic events. Importantly, the amplitude of backpropagating action 354 potentials is highly sensitive to synaptic input (Llinas et al., 1968; Renshaw, 1942; Tsubokawa 355 and Ross, 1996), much more sensitive than rates of action potential generation. This provides a 356 mechanism for precise and, importantly, differential control of axonal and dendritic spikes that 357 supports their separate functions. Whereas discussion of neuronal compartmentalization typically 358 focuses on dendritic structure (London and Hausser, 2005; Major et al., 2013; Stuart and 359 Spruston, 2015), our work provides a case in which the separation of the axon from the soma and 360 dendrites is the essential element. While in our models this compartmentalization is based on a 361 high resistance between axonal and somatic compartments, additional specializations (and 362 potential sites of regulation) are likely to exist in real cells. For example, studies of medium 363 superior olive neurons in the mammalian auditory brainstem provide evidence that the precise 364 subcellular localization and inactivation properties of voltage gated sodium channels contribute 365 to electrical isolation of the axon initial segment from the soma and dendrites (Ko et al., 2016; 366 Scott et al., 2010).

367

368 Our results do not, of course, rule out important functions for MG cell dendrites or for additional biophysical specializations not included in our simplified models. Indeed, studies of a zone of 369 370 the ELL involved in active electrolocation suggest that corollary discharge-driven inhibition of 371 broad spikes is targeted to the putative site of broad spike initiation in the proximal apical 372 dendrites (Sawtell et al., 2007) (Figure 3-figure supplement 3). Additional important questions 373 for future studies are the anatomical basis for the dis-inhibitory circuit presumed to underlie 374 sensory-evoked excitation of broad spikes in BS+ cells and the anatomical organization and 375 functional role of recurrent connections between MG cells.

376

377 Both similarities and differences relevant to the present findings are found amongst the various 378 vertebrate cerebellum-like structures. Homeostatic (anti-Hebbian) forms of plasticity at parallel 379 fiber synapses are present in all cerebellum-like structures that have been thus far examined 380 (Bell, 2002; Bell et al., 2008). In contrast, the presence of such plasticity at synapses onto the 381 spiny apical dendrites of GABAergic Purkinje or Purkinje-like cells has only been described for the cerebellum, the mormyrid ELL, and the mammalian dorsal cochlear nucleus (DCN). In 382 383 structures lacking Purkinje-like cells, such as the dorsal octavolateral nucleus of sharks and rays 384 and the ELL of South American weakly electric, sensory cancellation may be a simpler one-385 stage process mediated by homeostatic plasticity at parallel fiber synapses onto glutamatergic 386 output neurons (Bol et al., 2011; Nelson and Paulin, 1995). Cartwheel cells in the mammalian DCN, on the other hand, exhibit a number of similarities with MG cells, including firing distinct 387 388 axonal and dendritic spikes (Kim and Trussell, 2007; Zhang and Oertel, 1993). While prior work 389 has provided evidence for the cancellation of self-generated sounds in output cells of the DCN

(Singla et al., 2017), possible roles for anti-Hebbian plasticity at parallel fiber synapses onto
 cartwheel cells remain to be investigated (Tzounopoulos et al., 2004). The possible
 computational advantages of performing sensory cancellation in two-stages, as opposed to one,
 also remain to be elucidated.

394

395 Roles for homeostatic plasticity in transmitting learned signals may extend beyond cerebellum-396 like structures. Anti-Hebbian spike timing-dependent plasticity, similar to that at granule-MG 397 cell synapses, has been documented at synapses in the striatum (Perez et al., 2022) and neocortex 398 (Letzkus et al., 2006; Ruan et al., 2014). While homeostatic plasticity of inhibitory synapses onto 399 pyramidal cells has been hypothesized to underlie responses to prediction errors in sensory 400 cortical neurons (Hertag and Sprekeler, 2020; Keller and Mrsic-Flogel, 2018), less is known 401 about the mechanisms for transmitting predictions between cortical layers or regions. MG cells 402 play an analogous role by transmitting learned predictions across processing stages of the ELL. 403 Many cortical neurons fire distinct axonal and dendritic spikes, suggesting the possibility that 404 mechanism similar to those described here may allow homeostatic plasticity to contribute to 405 predictive processing in the cerebral cortex.

406

## 407 Materials and methods

## 408 *Experimental model and subject details*

Male and female Mormyrid fish (7-12 cm in length) of the species *Gnathonemus petersii* were
used in these experiments. Fish were housed in 60 gallon tanks in groups of 5-20. Water
conductivity was maintained between 40-65 microsiemens. All experiments performed in this
study adhere to the American Physiological Society's *Guiding Principles in the Care and Use of Animals* and were approved by the Institutional Animal Care and Use Committee of Columbia
University.

415

416 For surgery to expose the brain for recording, fish were anesthetized (MS:222, 1:25,000) and 417 held against a foam pad. Skin on the dorsal surface of the head was removed and a long-lasting 418 local anesthetic (0.75% Bupivacaine) was applied to the wound margins. A plastic rod was 419 cemented to the anterior portion of the skull to secure the head. The posterior portion of the skull 420 overlying the ELL was removed and the valvula cerebelli was reflected laterally to expose the 421 eminentia granularis posterior (EGp) and the molecular layer of the ELL, facilitating whole-cell 422 recordings from the ventrolateral zone of the ELL. Gallamine triethiodide (Flaxedil) was given 423 at the end of the surgery ( $\sim 20 \text{ µg/cm}$  of body length) and the anesthetic was removed. Aerated 424 water was passed over the fish's gills for respiration. Paralysis blocks the effect of 425 electromotoneurons on the electric organ, preventing the EOD, but the motor command signal 426 that would normally elicit an EOD continues to be emitted at a rate of 2 to 5 Hz.

427

428 *Electrophysiology* 

The EOD motor command signal was recorded with a Ag-AgCl electrode placed over the electric organ. The command signal is the synchronized volley of electromotoneurons that would normally elicit an EOD in the absence of neuromuscular blockade. The command signal lasts about 3 ms and consists of a small negative wave followed by three larger biphasic waves. Onset of EOD command was defined as the negative peak of the first large biphasic wave in the command signal. For pairing experiments, the EOD mimic was presented 4.5 ms following EOD command onset. Recordings were started ~1 hour after paralysis.

436

437 Methods for *in vivo* whole-cell recordings were the same as in prior studies of the mormyrid ELL 438 (Muller et al., 2019; Sawtell, 2010). Briefly, electrodes (8-15 M $\Omega$ ) were filled with an internal 439 solution containing, in mM: K-gluconate (122); KCl (7); HEPES (10); Na2GTP (0.4); MgATP 440 (4); EGTA (0.5), and 0.5-1% biocytin (pH 7.2, 280-290 mOsm). No correction was made for 441 liquid junction potentials. Membrane potentials were recorded and filtered at 10 kHz (Axoclamp 442 2B amplifier, Axon Instruments) and digitized at 20 kHz (CED micro1401 hardware and Spike2 443 software; Cambridge Electronics Design, Cambridge, UK). Only cells with stable membrane potentials more hyperpolarized than -40 mV and broad spike amplitudes >40 mV were analyzed. 444 In contrast to broad spikes, narrow spike amplitude varied across recordings from ~15 mV 445 (similar to values obtained from somatic recordings in vitro) to indistinguishable from 446 subthreshold synaptic events. The latter, which were typically obtained at more superficial 447 448 recording depths corresponding to the ELL molecular layer, were classified as putative apical 449 dendritic recordings (see figure supplement 1D).

450

## 451 *Electrosensory stimulation*

452 The EOD mimic was a 0.2 ms duration square pulse delivered between an electrode in the 453 stomach and another positioned near the electric organ in the tail. The amplitude was 25-50 µA at 454 the output of the stimulus isolation unit (stomach electrode negative). Recordings from 455 ampullary afferents showed that firing rate modulations evoked by this mimic are within the 456 range of those induced by the fish's natural EOD (Bell and Russell, 1978). We use the terms 457 sensory input or sensory response to refer to the effect of the mimicked electric field on the ELL. 458 Because we do not include prey-like electric fields the sensory input we discuss is entirely 459 predictable on the basis of the EOD command signal and is therefore entirely uninformative to 460 and 'unwanted' by the fish. Thus, we consider a situation where the ELL attempts to cancel all of its sensory input. It is important to appreciate that, in a natural setting, the mechanisms we 461 analyze would only cancel the predictable self-generated component of the sensory input, 462 463 leaving the unpredictable inputs of interest to the fish intact. To isolate responses to sensory 464 versus corollary discharge we analyzed periods in which sensory stimuli were delivered 465 independent of the EOD motor command. In some cases, sensory responses were isolated from 466 periods in which the sensory stimuli were paired with the EOD motor command by off-line 467 subtraction of responses to the EOD motor command alone.

## 469 **Quantification and Statistical Analysis**

470 Data were analyzed off-line using Spike2 (Cambridge Electronic Design) and custom Matlab 471 code (Mathworks, Natick, MA). Biophysical model analysis was performed using custom 472 Python3 code. Non-parametric tests were used for testing statistical significance. Unless 473 otherwise indicated, we used the two-sided Wilcoxon rank sum test for unpaired samples and 474 the Wilcoxon signed ranks test for paired samples. Differences were considered significant at *P* 475 < 0.05. 3 stars indicate a *P* < 0.001.

476

## 477 Biophysical model

The compartmental model was based on a morphological reconstructed MG cell and consisted of
78 compartments further divided to 230 segments (Muller et al., 2019). Simulation of cell
activity was done using NEURON software and Python 3 wrapper (Carnevale and Hines, 2006).
Voltage gated Na+ and K+ channels inserted in the apical dendrites and axon are HodgkinHuxley type channels. Temperature was set to 20° Celsius. The attenuation of axonal spikes in

the model arises simply due to the resistance between axonal and somatodendritic compartments.

484 Voltage-gated channel conductances were adjusted (see Table 1) to achieve the higher spike

threshold for broad versus narrow spikes that is observed experimentally.

486 487

	$g_l$ (S/cm <sup>2</sup> )	leakage reversal potential (mV)	axial resistance (Ωcm)	capacitance (μF/cm <sup>2</sup> )	$\bar{g}_{Na}$ (S/cm <sup>2</sup> )	$ar{g}_{K} \ (\mathrm{S/cm}^2)$
axon	0.0003	-65	100	1	4	0.5
AIS	0.0003	-65	100	1	0.168	0.05
apical	0.0003	-65	100	1	0.1	0.008
rest	0.0003	-65	100	1	0	0

488

489 **Table 1. Values of biophysical parameters for the different compartments.** 'Rest' includes the soma, the

490 somatic-connected apical compartment and all basal dendrite compartments.  $g_l$  is leakage conductance.  $\overline{g}_{Na}$ , and  $\overline{g}_K$ 491 are the maximal conductances of the sodium and potassium channels, respectively.

492

To drive baseline spiking in the model cell (the condition we term *initial*), we injected Gaussian current noise into the soma (0.5 ms timesteps) with a standard deviation chosen to evoke ~50 Hz narrow spike firing and ~2 Hz broad spike firing. To drive excitatory and inhibitory responses we added AMPA and GabaA synaptic channels (Destexhe et al., 1994). Reversal potential of the AMPA and GabaA channel are 0 mV and -65 mV, respectively. The AMPA excitatory input was inserted into all apical dendrite compartments (49 compartments 175 segments). The AMPA and

499 GabaA inputs were constant, in which each relevant compartment received a synaptic input with

500 timing onset ~  $\mathcal{N}(t_i, 5^2)$  where  $t_i = 10 \cdot i$ . For basal dendrites inhibition (21 compartments (45

501 segments), conductance was 0.1  $\mu$ S and excitatory conductance was 7.65e-5  $\mu$ S. For somatic 502 inhibition, conductance was 0.04  $\mu$ S and excitatory conductance was 1.85e-5  $\mu$ S. For apical 503 inhibition, conductance was 0.01  $\mu$ S and excitatory conductance was 7.1e-5  $\mu$ S. Apical 504 inhibition was inserted into proximal apical compartments (11 compartments (19 segments)) 505 defined as those whose center is within 100  $\mu$ m of the center of the soma.

506

## 507 **Two compartment model**

508 Conductance based integrate-and-fire model was used for the two compartment model (Fig 4d).509 The equations for somatic and axonal membrane potential are:

510

$$c_m \dot{v}_s = -g_l (v_s - E_l) - g_i (v_s - E_i) - g_e (v_s - E_e) - g_c (v_s - v_a(t)) + I_e$$

511

$$c_m \dot{v}_a = -g_l (v_a - E_l) - g_c (v_a - v_s(t))$$

512

513 Where  $g_l$  is the leakage conductance,  $g_c$  is the intercompartment conductance and  $g_i$  and  $g_e$  are 514 the inhibitory and excitatory conductances respectively.  $I_e$  is external current (with Gaussian 515 noise) and is set to produce ~50 Hz narrow spike and ~2 Hz broad spike rates. When the axon 516 reaches the threshold for axonal spike, a spike shape plus a refractory period is imposed in the 517 axon. Broad spike rate was determined by the number of times the backpropagating axonal spike 518 reached a high threshold in the soma (this threshold was defined as the 97th percentile of the 519 backpropagating spike-peak in the *initial* period).

520

## 521 Measuring narrow spike amplitude differences

522 Quantifying narrow spike amplitude differences induced by sensory input is complicated by the 523 strong dependence of narrow spike amplitude on baseline membrane potential observed *in vivo* 524 (negative slope in Figure 1I). To account for this effect, we fit the slope of the relationship 525 between narrow spike amplitude and baseline membrane potential and report the difference 526 across conditions in the bias of these slopes. Similarly, to measure difference between expected 527 and actual amplitude (figure supplement 6A) we first fit a slope to the relationship between 528 amplitude and baseline membrane potential and then measure the distance from the fit.

529

530 We hypothesize (figure supplement 1H-I) that the attenuation of backpropagating narrow spike 531 amplitude is linearly proportional to the amplitude:

532

$$AMP_2 = (1 - A)AMP_1$$

533

534 Then if we divide by average recorded mean we have the following equality:

536 
$$\frac{AMP_1^{\text{evoke}} - AMP_1^{\text{non-evoke}})}{\text{mean}(AMP_1)} = \frac{(1-A)AMP_1^{\text{evoke}} - (1-A)AMP_1^{\text{non-evoke}})}{(1-A)\text{mean}(AMP_1)} = \frac{AMP_2^{\text{evoke}} - AMP_2^{\text{non-evoke}})}{\text{mean}(AMP_2)}$$

~	2	7
<u></u>	- 1	
$\mathcal{I}$	$\mathcal{I}$	/

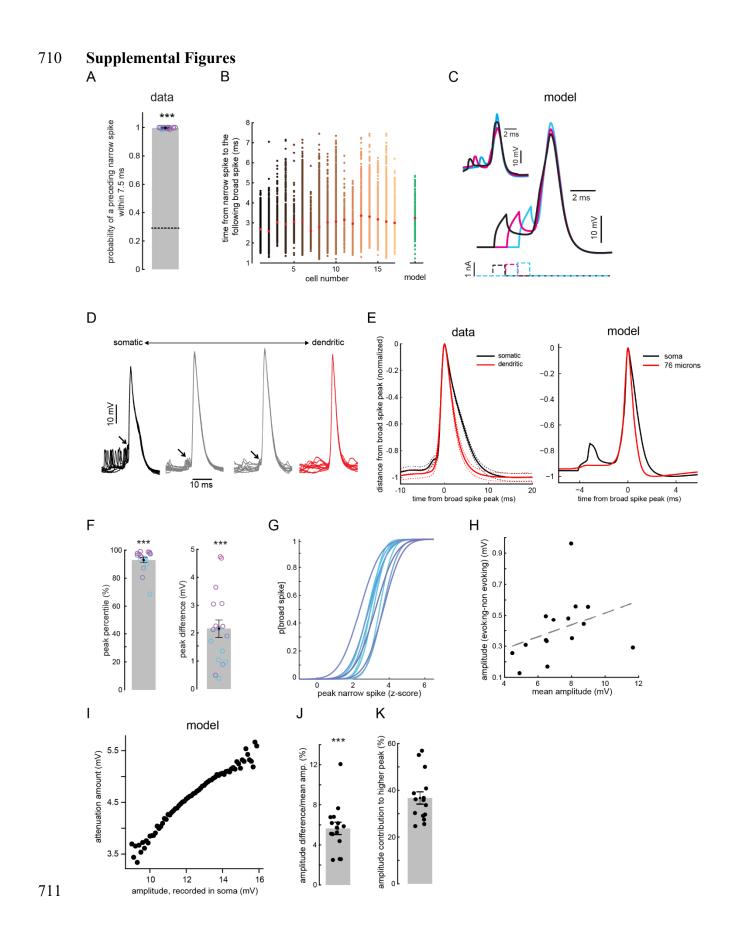
537	
538	The average narrow spike amplitude differs widely across recordings (see figure supplement
539	1D), presumably due to recording location in the soma versus the proximal apical dendrites.
540	Hence, to compare differences in narrow spike amplitude evoked by sensory stimuli across
541	recordings we report the percentage change in narrow spike amplitude relative to the average
542	narrow spike amplitude for each cell. The same reasoning applies to analysis of the relationship
543	between amplitude and baseline membrane potential across different cells (figure supplement
544	7A).
545	·
546	F-I curve for narrow spikes
547	The fit between membrane potential and spike rate is approximately linear (see example in
548	Figure 3E). To minimize the effect of outliers we quantify the change in rate/mV as:
549	
550	$\frac{\max(Nspk rate) - \min(Nspk rate)}{\max(MP) - \min(MP)}$
551	
552	
553	Measuring effect of sensory input on amplitude
554	Recorded amplitude of in vivo narrow spikes may change over the course of the recording (as
555	the quality of the recording changes). Therefore, we meausred amplitude differences in relation
556	to a control window within same recording period. Changes in amplitude from the first to the
557	second half of the recorded period (Figure 3d) was measured as the change in amplitude
558	relative to the control window within each half of the recording.
559	
560	Data and code availability
561	Model code is available at: http://modeldb.yale.edu/267596, password: abbottsawtell
562	Data and data code is available at:
563 564	https://datadryad.org/stash/share/5oEoH42oOWfSQ07fLc3mMIa92CdzrXE8wYSKvvV-HhE
565	Acknowledgements
566	This work was supported by grants from the NIH (NS075023) and Irma T. Hirschl Trust to N.B.S.,
567	by a grant from the NIH (NS118448) to N.B.S. and L.F.A., and by a grant from the Swartz
568	Foundation to S.Z.M. L.F.A. was further supported by the Gatsby and Simons Foundations and
569	by NSF NeuroNex Award DBI-1707398.
570	·
571	Zuckerman Mind Brain Behavior Institute, Department of Neuroscience, Columbia University,
572	New York, NY 10027
573	Salomon Z. Muller, L.F. Abbott and Nathaniel B. Sawtell
574	
575	Department of Physiology and Cellular Biophysics, Columbia University, New York, NY 10027
	-

576 L.F. Abbott 577 578 Contributions 579 N.B.S., S.Z.M., and L.F.A. conceived of the project and designed the experiments. N.B.S. 580 performed the experiments. S.Z.M. and N.B.S. analyzed the data. S.Z.M. and L.F.A. performed 581 the modeling. N.B.S., L.F.A. and S.Z.M. wrote the manuscript. 582 583 **Competing financial interests** 584 The authors declare no competing financial interests 585 586 **Corresponding author** 587 Correspondence to: Nathaniel Sawtell, ns2635@columbia.edu 588 589 590 References 591 592 Abbott, L.F., and Nelson, S.B. (2000). Synaptic plasticity: taming the beast. Nat Neurosci 3, 593 1178-1183. 594 Bell, C., Bodznick, D., Montgomery, J., and Bastian, J. (1997a). The generation and subtraction 595 of sensory expectations within cerebellum-like structures. Brain, Behavior and Evolution 50, 17-596 31. 597 Bell, C.C. (1981). An efference copy modified by reafferent input. Science 214, 450-453. 598 Bell, C.C. (2002). Evolution of Cerebellum-Like Structures. Brain Behav Evol 59, 312-326. 599 Bell, C.C., Caputi, A., and Grant, K. (1997b). Physiology and plasticity of morphologically 600 identified cells in the mormyrid electrosensory lobe. J Neurosci 17, 6409-6422. 601 Bell, C.C., Han, V., and Sawtell, N.B. (2008). Cerebellum-like structures and their implications for 602 cerebellar function. Annu Rev Neurosci 31, 1-24. 603 Bell, C.C., Han, V.Z., Sugawara, S., and Grant, K. (1997c). Synaptic plasticity in a cerebellum-like 604 structure depends on temporal order. Nature 387, 278-281. 605 Bell, C.C., and Russell, C.J. (1978). Effect of electric organ discharge on ampullary receptors in a 606 mormyrid. Brain Res 145, 85-96. 607 Bodznick, D., Montgomery, J.C., and Carey, M. (1999). Adaptive mechanisms in the 608 elasmobranch hindbrain. J Exp Biol 202, 1357-1364. 609 Bol, K., Marsat, G., Harvey-Girard, E., Longtin, A., and Maler, L. (2011). Frequency-tuned 610 cerebellar channels and burst-induced LTD lead to the cancellation of redundant sensory 611 inputs. J Neurosci 31, 11028-11038. 612 Caporale, N., and Dan, Y. (2008). Spike timing-dependent plasticity: a Hebbian learning rule. 613 Annu Rev Neurosci 31, 25-46. 614 Carnevale, N.T., and Hines, M.L. (2006). The NEURON book (Cambridge, UK ; New York: 615 Cambridge University Press). 616 Coombs, J.S., Curtis, D.R., and Eccles, J.C. (1957a). The generation of impulses in motoneurones. 617 J Physiol *139*, 232-249.

- 618 Coombs, J.S., Curtis, D.R., and Eccles, J.C. (1957b). The interpretation of spike potentials of 619 motoneurones. J Physiol *139*, 198-231.
- 620 Destexhe, A., Mainen, Z.F., and Sejnowski, T.J. (1994). An Efficient Method for Computing
- 621 Synaptic Conductances Based on a Kinetic-Model of Receptor-Binding. Neural Computation *6*, 622 14-18.
- 623 Engelmann, J., van den, B.E., Bacelo, J., de Ruijters, M., Kuwana, S., Sugawara, Y., and Grant, K.
- 624 (2008). Dendritic backpropagation and synaptic plasticity in the mormyrid electrosensory lobe. J
- 625 Physiol Paris *102*, 233-245.
- Fujino, K., and Oertel, D. (2003). Bidirectional synaptic plasticity in the cerebellum-like mammalian dorsal cochlear nucleus. Proc Nat Acad Sci U S A *100*, 265-270.
- Fuortes, M.G., Frank, K., and Becker, M.C. (1957). Steps in the production of motoneuron spikes. J Gen Physiol *40*, 735-752.
- 630 Grace, A.A., and Bunney, B.S. (1983). Intracellular and Extracellular Electrophysiology of Nigral
- 631 Dopaminergic-Neurons .2. Action-Potential Generating Mechanisms and Morphological
- 632 Correlates. Neuroscience 10, 317-+.
- Grant, K., Sugawara, S., Gomez, L., Han, V.Z., and Bell, C.C. (1998). The Mormyrid Electrosensory
  Lobe *In Vitro*: Physiology and Pharmacology of Cells and Circuits. J Neurosci *18*, 6009-6025.
- Han, V.Z., Grant, G., and Bell, C.C. (2000). Reversible associative depression and nonassociative potentiation at a parallel fiber synapse. Neuron *27*, 611-622.
- 637 Harvey-Girard, E., Lewis, J., and Maler, L. (2010). Burst-induced anti-Hebbian depression acts
- 638 through short-term synaptic dynamics to cancel redundant sensory signals. J Neurosci *30*, 6152-639 6169.
- 640 Hausser, M., Stuart, G., Racca, C., and Sakmann, B. (1995). Axonal inhibition and active dendritic 641 propagation of action potentals in susbstantia nigra neurons. Neuron *15*, 637-647.
- 642 Hertag, L., and Sprekeler, H. (2020). Learning prediction error neurons in a canonical 643 interneuron circuit. Elife *9*.
- 644 Keller, G.B., and Mrsic-Flogel, T.D. (2018). Predictive Processing: A Canonical Cortical 645 Computation. Neuron *100*, 424-435.
- Kennedy, A., Wayne, G., Kaifosh, P., Alvina, K., Abbott, L.F., and Sawtell, N.B. (2014). A temporal
- 647 basis for predicting the sensory consequences of motor commands in an electric fish. Nat 648 Neurosci *17*, 416-422.
- Kim, Y., and Trussell, L.O. (2007). Ion channels generating complex spikes in cartwheel cells of
- the dorsal cochlear nucleus. J Neurophysiol *97*, 1705-1725.
- 651 Ko, K.W., Rasband, M.N., Meseguer, V., Kramer, R.H., and Golding, N.L. (2016). Serotonin 652 modulates spike probability in the axon initial segment through HCN channels. Nat Neurosci *19*,
- 653 826-834.
- Letzkus, J.J., Kampa, B.M., and Stuart, G.J. (2006). Learning rules for spike timing-dependent plasticity depend on dendritic synapse location. J Neurosci *26*, 10420-10429.
- Llinas, R., Nicholson, C., Freeman, J.A., and Hillman, D.E. (1968). Dendritic spikes and their inhibition in alligator Purkinje cells. Science *160*, 1132-1135.
- London, M., and Hausser, M. (2005). Dendritic computation. Annu Rev Neurosci 28, 503-532.
- Major, G., Larkum, M.E., and Schiller, J. (2013). Active properties of neocortical pyramidal
- 660 neuron dendrites. Annu Rev Neurosci *36*, 1-24.

- Meek, J., Grant, K., Sugawara, S., Hafmans, T.G.M., Veron, M., and Denizot, J.P. (1996). Interneurons of the ganglionic layer in the mormyrid electrosensory lateral line lobe:
- 663 morphology, immunocytochemistry, and synaptology. J Comp Neurol 375, 43-65.
- 664 Miller, K.D., and Mackay, D.J.C. (1994). The Role of Constraints in Hebbian Learning. Neural 665 Computation *6*, 100-126.
- 666 Muller, S.Z., Zadina, A.N., Abbott, L.F., and Sawtell, N.B. (2019). Continual learning in a multi-667 layer network in an electric fish. Cell *179*, 1382-1392.
- Nelson, M.E., and Paulin, M.G. (1995). Neural simulations of adaptive reafference suppression
  in the elasmobranch electrosensory system. J Comp Physiol [A] *177*, 723-736.
- 670 Perez, S., Cui, Y., Vignoud, G., Perrin, E., Mendes, A., Zheng, Z., Touboul, J., and Venance, L.
- 671 (2022). Striatum expresses region-specific plasticity consistent with distinct memory abilities.672 Cell Rep *38*, 110521.
- 673 Renshaw, B. (1942). Effects of presynaptic volleys on spread of impulses over the soma of the 674 motoneuron. Journal of Neurophysiology *5*, 235-243.
- 675 Roberts, P.D., and Bell, C.C. (2000). Computational consequences of temporally asymmetric 676 learning rules: II. sensory image cancellation. J Comput Neurosci *9*, 67-83.
- 677 Roberts, P.D., and Bell, C.C. (2002). Spike timing dependent synaptic plasticity in biological 678 systems. Biol Cybern *87*, 392-403.
- 679 Ruan, H., Saur, T., and Yao, W.D. (2014). Dopamine-enabled anti-Hebbian timing-dependent 680 plasticity in prefrontal circuitry. Front Neural Circuits *8*, 38.
- Sawtell, N.B. (2010). Multimodal integration in granule cells as a basis for associative plasticity
   and sensory prediction in a cerebellum-like circuit. Neuron *66*, 573-584.
- Sawtell, N.B., Williams, A., and Bell, C.C. (2007). Central control of dendritic spikes shapes the
   responses of Purkinje-like cells through spike timing-dependent synaptic plasticity. J Neurosci
   27, 1552-1565.
- 686 Scott, L.L., Mathews, P.J., and Golding, N.L. (2010). Perisomatic voltage-gated sodium channels
- 687 actively maintain linear synaptic integration in principal neurons of the medial superior olive. J
   688 Neurosci *30*, 2039-2050.
- 689 Singla, S., Dempsey, C., Warren, R., Enikolopov, A.G., and Sawtell, N.B. (2017). A cerebellum-like
- 690 circuit in the auditory system cancels responses to self-generated sounds. Nat Neurosci *20*, 943-691 950.
- 692 Spencer, W.A., and Kandel, E.R. (1961). Electrophysiology of Hippocampal Neurons: Iv. Fast 693 Prepotentials. J Neurophysiol *24*, 272-285.
- 694 Spruston, N., Schiller, Y., Stuart, G., and Sakmann, B. (1995). Activity-dependent action potential 695 invasion and calcium infulux into hippocampal CA1 dendrites. Science *268*, 297-300.
- 696 Stuart, G.J., and Sakmann, B. (1994). Active propagation of somatic action potentials into 697 neocortical pyramidal cell dendrites. Nature *367*, 69-72.
- 698 Stuart, G.J., and Spruston, N. (2015). Dendritic integration: 60 years of progress. Nat Neurosci 699 *18*, 1713-1721.
- Tsubokawa, H., and Ross, W.N. (1996). IPSPs modulate spike backpropagation and associated
- [Ca2+]i changes in the dendrites of hippocampal CA1 pyramidal neurons. J Neurophysiol *76*,2896-2906.
- Turrigiano, G.G. (2017). The dialectic of Hebb and homeostasis. Philos Trans R Soc Lond B Biol Sci *372*.

- 705 Tzounopoulos, T., Kim, Y., Oertel, D., and Trussell, L.O. (2004). Cell-specific, spike timing-706 dependent plasticities in the dorsal cochlear nucleus. Nat Neurosci *7*, 719-725.
- dependent plasticities in the dorsal cochiear nucleus. Nat Neurosci 7, 719-725.
- 707 Zhang, S., and Oertel, D. (1993). Cartwheel and superficial stellate cells of the dorsal cochlear
- nucleus of mice: intracellular recorkings in slices. J Neurophysiol *69*, 1384-1397.

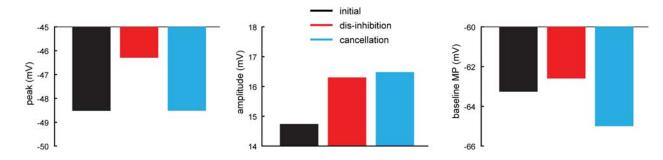


712 Figure 1-figure supplement 1. Narrow spikes contribute to evoking broad spikes. (A) Broad spikes are nearly 713 always preceded by a narrow spike within a brief interval. Average chance level is indicated by the dashed line 714 (n=17, p < 0.001). (B) Distribution of times from the peak of a preceding narrow spike to the peak of the following 715 broad spike in data (n = 17) versus the model. Red star denotes the mean. (C) Injecting a brief depolarizing current 716 into the model soma (with active conductances in the axon turned off) evokes broad spikes at similar delays to those 717 observed between narrow and broad spikes (inset). The latency of the evoked broad spike is inversely proportional 718 to the strength of the depolarizing current (bottom dashed lines). (D) Overlaid traces aligned to occurrence of a 719 broad spike for putative somatic (left) versus dendritic (right) MG cell recordings. Recordings with narrow spikes  $\geq 4$ 720 mV were classified as putative somatic (left) and those with narrow spikes not clearly indistinguishable from 721 synaptic potentials were classified as putative dendritic (right). Many recordings exhibited narrow spikes with 722 intermediate amplitudes, as expected for a passively backpropagating axonal spike. Narrow spikes preceded broad 723 spikes (arrow) in all cases in which they were detectable. In dendritic recordings broad spikes often arose directly 724 from the underlying membrane potential, similar to late firing dendritic branches in the model (green trace in Figure 725 1F). (E) Broad spike waveforms are narrower in putative dendritic versus putative somatic recordings (width at half 726 height: 3.1 ms, n = 11 for dendritic versus 4.1 ms, n = 17 for somatic recordings, p<0.001). Dashed lines indicate 727 SEM. Broad spikes were also wider in the soma in the model (right) due to summation of broad spikes from multiple 728 apical dendritic branches. (F) The membrane potential peak reached by narrow spikes preceding broad spikes is in 729 the top 10 percentile of all narrow spikes (left bar, n=17, p<0.001), and the average peak difference between evoking 730 and non-evoking narrow spikes is  $\sim 2.2$  mV (right bar, n=17, p<0.001). (G) Preceding narrow spike peak strongly 731 predicts probability of evoking a broad spike forming a typical logistic curve (n=10). (H) The size of the difference 732 between the amplitude of narrow spikes preceding broad spikes and non-preceding narrow spikes depends on 733 average recorded narrow spike amplitude (n=15). (I) In the model, spatial attenuation of the backpropgating narrow 734 spike depends on the amplitude recorded in the soma, with larger spikes exhibiting greater attenuation. This explains 735 why average recorded narrow spike amplitude affects the size of the amplitude difference between preceding and 736 non-preceding narrow spikes (see Materials and methods). (J) Amplitude of narrow spikes preceding broad spikes 737 are larger than non-preceding narrow spikes by  $\sim$ 5.5% (n=15, p<0.001) (see Materials and methods as to why we 738 use this measure). (K) The more depolarized peak of preceding narrow spikes is due both to larger amplitude and to 739 a more depolarized underlying membrane potential (Figure 11), with amplitude contributing to ~35% of the 740 difference (n=15). 741

742

743

744 745



## 

Figure 2-figure supplement 1. Formation and transmission of negative images in the BS+ MG cell sub-type. Analytical results (see Appendix 1) for BS+ in which dis-inhibition increases broad spike rate. Here, disinhibition leads to higher peak of narrow spike, mostly due to increase in narrow spike amplitude. Removal of excitatory input (cancellation) restores narrow spike peak to initial values. The restoration is not done by reversing the effect of disinhibition on narrow spike amplitude, but rather by a hyperpolarization of the baseline membrane potential.

- /84

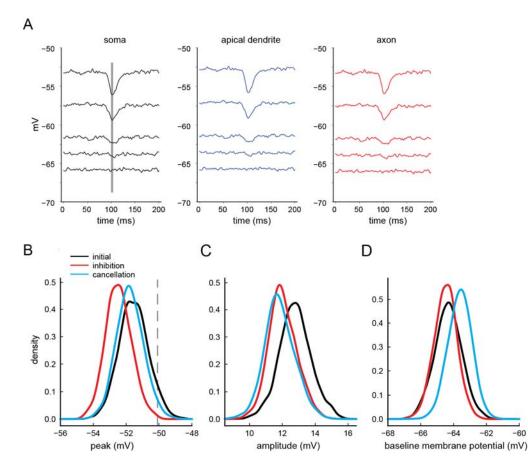
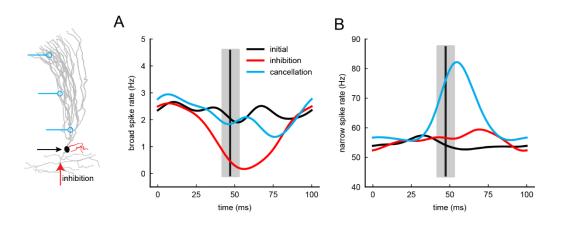


Figure 2-figure supplement 2. Biophysical explanation for negative image formation and transmission in the model. (A) As expected, hyperpolarization due to a transient increase in inhibitory current (line) increases when the baseline membrane potential is further from the reversal potential for inhibitory input (-65mV). Importantly, the effect of inhibition is similar across different model compartments, confirming that inhibitory input has a minimal effect on narrow spike rate because narrow spike threshold is close to the reversal potential for inhibitory input. In this simulation all active conductances were turned off to clearly see effect of inhibition on the membrane potential. (B-D) Similar to Figure 2B-D, but here we plot the entire distribution of narrow spike peak (B), narrow spike amplitude (c) and baseline membrane potential (D) values for the different input conditions. Gray line in B indicates broad spike threshold.

bioRxiv preprint doi: https://doi.org/10.1101/2022.10.31.514538; this version posted November 1, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.



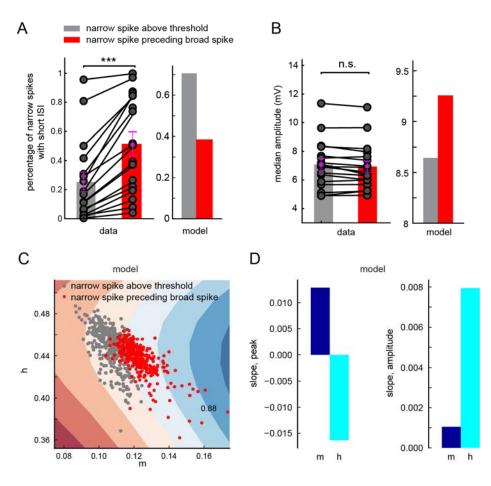
- 815 816
- 010
- 817
- 818

Figure 2-figure supplement 3. Formation and transmission of a time-varying negative image in the model. (A-B) Similar to Figure 2A but here the inhibitory and excitatory inputs are transient (vertical lines denote average timing onset of synaptic input and gray bars denote the standard deviation). This mimics *in vivo* conditions where predictable electrosensory input is evoked by the fish's electric organ discharge pulse. Inhibitory input onto basal dendrites can selectively inhibit broad spikes (red). Adding excitatory inputs onto apical dendrites to simulate the process of negative image formation reduces the effects of inhibition on broad spike rate (cyan) and results in an

825 increase in narrow spike firing with a temporal profile opposite to the effect of the sensory input on broad spikes, i.e. 826 the transmission of a negative image of the effects of the sensory input on broad spike firing.

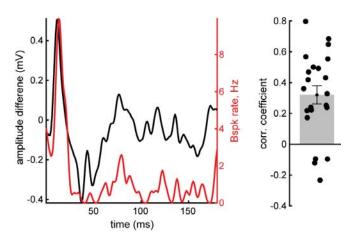
- 827
- 021
- 828
- 829
- 830
- 831
- 0.01
- 832
- 833
- 834
- 835

bioRxiv preprint doi: https://doi.org/10.1101/2022.10.31.514538; this version posted November 1, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.



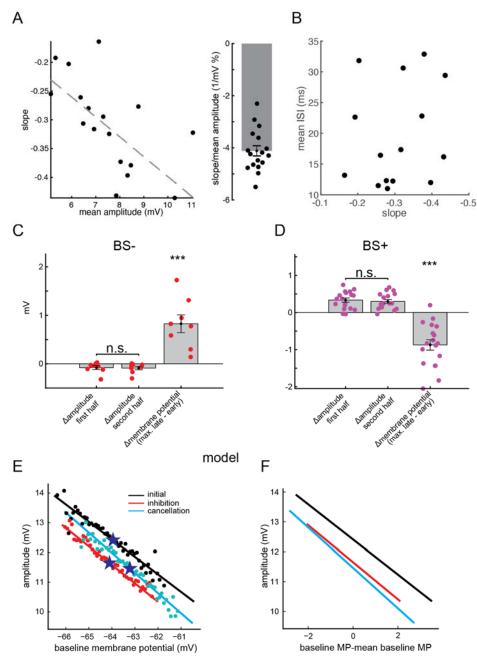


838 Figure 2-figure supplement 4. The probability of a narrow spike evoking a broad spike also depends on prior 839 history of activity. (A) In recorded MG cells, the probability of a narrow spike evoking a broad spike is increased 840 when it is preceded within a short interval by another narrow spike at a brief inter-spike interval (ISI) (n = 17, p < 100841 0.001). ISI values were chosen individually for each cell depending on the narrow spike rate. The gray bar 842 represents narrow spikes that exceeded broad spike threshold (defined as the 10th percentile of narrow spike peaks 843 preceding a broad spike) but nevertheless failed to evoke a broad spike. The opposite effect was observed in the 844 model; short narrow spike ISIs decreased the probability of evoking a broad spike in the model. This decrease is an 845 expected consequence of the Hodgkin-Huxley type voltage-gated channels used in the model. (B) For narrow spikes 846 that exceeded broad spike threshold, there was no difference in amplitude between preceding and non-preceding 847 narrow spikes (n = 17, p = 0.37). A different effect was observed in the model; narrow spike preceding broad spikes 848 have on average larger amplitude. This is an expected consequence of the Hodgkin-Huxlev type voltage-gated 849 channels used in the model (as we show in C-D). (C) Values for sodium channel activation (m), sodium channel 850 inactivation (h) and potassium channel activation (n) were measured 0.65ms after narrow spike peak in a 851 compartment near the site of broad spike initiation in the model. Using a support vector machine we could largely 852 separate (with accuracy of 88%) the broad spike-evoking (red dots) and non-evoking (gray dots) narrow spikes 853 using just m and h values (we ignore n here since it is highly correlated with h). Colored bands denote degrees of 854 separation-confidence, with darker colors denoting higher confidence. (D) While the peak of the narrow spike is 855 correlated with both m and h, it is h that is sensitive to amplitude because it strongly depends on the recent history of 856 the membrane potential, with a rapid rise to threshold increasing spike probability. 857



**Figure 3-figure supplement 1**. Correlation between sensory input and narrow spike amplitude *in vivo*. Left, example traces illustrating broad spike response to an electrosensory stimulus (red) and the difference between the measured narrow spike amplitude and that expected given the baseline membrane potential (amplitude difference, see Materials and methods). **Right**, summary across cells of the correlation between broad spike rate and amplitude difference (r = 0.35, n = 22 stimulus periods from 16 cells). Multiple stimulus polarities and amplitudes were tested in some cells.

- 5/1

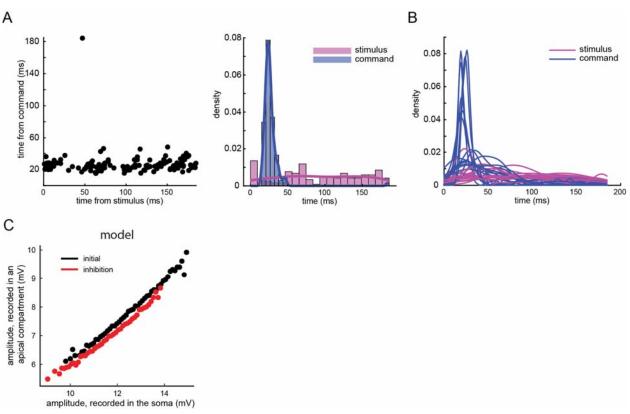


887 Figure 3-figure supplement 2. Effects of the baseline membrane potential on narrow spike amplitude. (A) 888 Left, the slope of the relationship between narrow spike amplitude and baseline membrane potential depends on the 889 average narrow spike amplitude. The latter varies across recordings, likely due to recording location in the soma 890 versus proximal apical dendrites. The reduction in slope is consistent with the observation in the model that spatial 891 attenuation magnitude is proportional to narrow spike amplitude (figure supplement 11). Right, the average slope as 892 a percentage of spike size is 4.1% (n = 16) (see Materials and methods as to why we use this measure). (B) The 893 slope of the relationship between narrow spike amplitude and baseline membrane potential is independent of the 894 mean inter-spike interval, suggesting that the change in amplitude is independent of spiking history. (C-D), In the 895 main text we show that learning to cancel the effect of sensory input (the period we call here 'second half') changes 896 the underlying membrane potential. This results in average amplitude change due to the slope of the relationship 897 between narrow spike amplitude and baseline membrane potential (Figure 3D). However, for spikes arising from the 898 same baseline membrane potential, the difference in amplitude between sensory evoked and control windows

(Figure 3A-C) is not affected by learning. (E-F), In the model, cancellation (i.e. the 'second half') does change the amplitude for spikes arising from same baseline membrane potential (E, the stars in E denote mean baseline membrane potential) but the average amplitude is minimally changed by learning (F). As a result, the amplification of negative images observed *in vivo* is absent in the model. Whereas the slope of the relationship between narrow spike amplitude and baseline membrane potential in the model is due to deviations from equilibrium driven by fluctuations in the baseline current inputs.

- *J*12

bioRxiv preprint doi: https://doi.org/10.1101/2022.10.31.514538; this version posted November 1, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY 4.0 International license.



935 Figure 3-figure supplement 3. Additional effects of corollary discharge input on broad spike firing may not be 936 visible at the soma. Non-evoking narrow spikes that cross threshold for evoking a broad spike in somatic 937 recordings (threshold is calculated here as the 20th percentile peak of the broad spike-evoking narrow spikes), tend 938 to systematically occur ~20-40 ms after the EOD motor command, corresponding to the period of peak corollary 939 discharge-evoked responses in MG cells. This analysis was performed for periods during which an electrosensory 940 stimulus was delivered independent of the EOD motor command. (A) Example from one period shown as a scatter 941 plot (left, each dot is a non-evoking narrow spike that crossed threshold) and a histogram (right). (B) Same analysis 942 as in B. Each line is a different cell (n=12). (C) Model analysis showing that the effect of inhibition on reducing 943 narrow spike amplitude continues to grow as the spike continues to backpropagate even when inhibition is inserted 944 in the basal dendrites. This observation is consistent with the possibility that effects of inhibition onto apical 945 dendrites may not be visible in the soma.

946

934