

1 Tuning the potency and therapeutic window of ImmTAC molecules
2 by affinity modulation

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17 Short title of 50 characters or less, including spaces: **Optimising ImmTAC CD3 binding kinetics.**

18

19 Abstract

20 T cell engaging bispecifics have great clinical potential for the treatment of cancer and infectious
21 diseases. The binding affinity and kinetics of a bispecific molecule for both target and T cell CD3
22 have substantial effects on potency and specificity, but the rules governing these relationships are not
23 fully understood. Using ImmTAC (Immune mobilizing monoclonal TCRs Against Cancer) molecules
24 as a model, we explored the impact of altering affinity for target and CD3 on the potency and
25 specificity of the re-directed T cell response. This class of bispecifics, exemplified by tebentafusp
26 which has recently shown survival benefit in a randomized phase 3 clinical trial¹, bind specific target
27 peptides presented by human leukocyte antigen (HLA) on the cell surface *via* an affinity-enhanced T
28 cell receptor and can redirect T cell activation with an anti-CD3 effector moiety. The data reveal that
29 combining a strong affinity TCR with an intermediate affinity anti-CD3 results in optimal T cell
30 activation, while strong affinity of both targeting and effector domains significantly reduces efficacy.
31 Moreover, by optimising the affinity of both parts of the molecule, it is possible to improve the
32 therapeutic window. These results could be effectively modelled based on kinetic proof-reading with
33 limited signalling. This model explained the experimental observation that strong binding at both ends
34 of the molecules leads to reduced activity, through very stable target-bispecific-effector complexes
35 leading to CD3 entering a non-signalling dark-state. These findings have important implications for
36 the design of anti-CD3 based bispecifics with optimal biophysical parameters for both activity and
37 specificity.

38 Introduction

39 T cells are highly potent components of the adaptive immune system that, because of their ability to
40 directly kill aberrant cells, have been recently targeted in several immunotherapy approaches for both
41 cancer and infectious diseases. Target recognition by T cells is governed by the clonally expressed T
42 cell receptor (TCR), which can initiate T cell activation upon binding to a cognate peptide-human
43 leukocyte antigen (pHLA) complex, leading to immune synapse formation, phosphorylation of the
44 CD3 signalling complex and downstream signalling.²⁻⁵ Importantly, T cells are highly sensitive, and
45 can be activated by very low numbers of cognate pHLA (in the 10s per cell).^{4,6,7} Despite their
46 exquisite sensitivity for antigen, TCRs bind to pHLA complex with weak affinity (K_{DS} in the low μM
47 range) and fast kinetics (half-life in seconds).⁸ T cells discriminate between different pHLAs on the
48 basis of the duration of their interaction with the TCR,⁹ a property partly explained by the kinetic
49 proofreading model,¹⁰ whereby the complex of pHLA and TCR must endure for long enough to
50 initiate productive signalling.

51 ImmTAC (Immune mobilizing monoclonal TCRs Against Cancer) molecules have been developed
52 utilising affinity-enhanced TCRs with high specificity towards target pHLA antigens. By forming
53 bridging interactions between pHLA on target cells, and the CD3 signalling complex on the surface of
54 T cells, ImmTAC molecules can drive formation of an immune synapse^{11,12} which can mimic the
55 ability of T cells to recognise pHLA (Figure 1A).¹³ The affinity of the anti-CD3 effector moiety is
56 among the parameters that are likely to have a substantial effect on T cell redirection by bispecific T
57 cell engagers, but there have been few studies systematically characterizing this effect across a broad
58 range of affinities, or relating it to current models of T cell activation. Several studies have
59 demonstrated that T cells respond best when they are stimulated by receptors or bispecific molecules
60 with certain optimal affinities and kinetics, often preferring fast on-rate interactions over those with
61 slow dissociation rates¹⁴. The detailed mechanisms behind this preference have not been completely
62 elucidated, but several negative feedback mechanisms, such as phosphorylation by inhibitory Src
63 family kinases¹ and recruitment of specific phosphatases³, are candidates for converting the TCR-CD3
64 complex to a signalling-impotent dark-state after a prolonged period of activation¹⁵.

65 Here, we used ImmTAC molecules as a model system to investigate the effect of modulating affinity
66 for both target and CD3 on the potency and specificity of the redirected T cell response. By modifying
67 the peptide ligand presented by HLA, we were able to use the same ImmTAC molecule to test a range
68 of TCR affinities from μM to pM . Generation of a similar affinity range for the anti-CD3 effector
69 moiety was achieved through targeted mutations in the paratope. Thus, this is the first study where a
70 comprehensive landscape of affinity for both target (in this case pHLA) and CD3 has been explored,
71 with focused characterisation of *in vitro* activity.

72 We then used bespoke mathematical modelling, based on previously established models incorporating
73 kinetic proofreading¹⁶, to better understand the mechanisms governing our observations and to
74 provide insights into how bispecific T cell engagers can be further optimised. Together, these data
75 demonstrate the importance of a CD3 ‘dark state’ formed by the prolonged activation of CD3, which
76 has major implications for selecting affinity combinations that maximise both potency and specificity.
77 Modelling the kinetic mechanisms contributing to T cell activation also highlights bispecific
78 combinations that might improve the therapeutic window, allowing the use of higher drug dosages
79 with less risk of mimetic-driven cross-reactivity.

80

81 Results

82 Generation of anti-CD3 affinity variants

83 An existing scFv antibody¹⁷ that binds CD3 ($E0 : K_D = 33.9 \text{ nM}$) was used as the basis for the
84 generation of a panel of variants with a diverse range of binding affinities for CD3 (Table S1 and
85 Figure S1 A). A site-directed alanine mutagenesis campaign guided by PDB 1XIW¹⁸ was used to
86 produce a range of mutants with weaker affinity, while affinity maturation via phage display was
87 employed to produce variants with stronger affinities ($K_D = 615 \text{ nM}$ (E8) to 0.25 nM (E42)).
88 Additional site-directed mutagenesis combining both affinity enhancing and impairing mutations was
89 used to further diversify the binding kinetics of the available anti-CD3 panel.

90 Intermediate CD3 binding generates optimal efficacy for on-target activation

91 We fused anti-CD3 scFv variants to an affinity-enhanced TCR specific for the NY-BR-1₁₁₀₆₋₁₁₁₄
92 peptide-HLA-A*02:01 complex¹⁹. The affinity of this TCR-pHLA interaction was $K_D = 370 \text{ pM}$ at
93 37°C as measured by SPR (Table S2 and Figure S1 B).

94 The activity of this ImmTAC panel was assessed in T cell redirection assays using PBMC from HLA-
95 A*02:01 negative donors cultured with TAP-deficient T2 cells pulsed with target peptide, with IFN γ
96 used as a readout of T cell activation. Very little cross-reactivity was observed when the ImmTAC
97 molecules were titrated onto unpulsed T2 cells, even with the highest affinity anti-CD3 variants,
98 demonstrating the absence of any relevant mimetic pHLA complexes in this system (Figure 1B and
99 Figure S2).

100 The T cell redirection activity of bispecific molecules has two components; the potency, which
101 represents the concentration of bispecific molecule required to observe activity, and the efficacy,
102 which denotes the maximum level of activity observed. In the data presented here, potency and
103 efficacy were not directly correlated: Variants with the weakest CD3 binding (E8 and E39) had low
104 efficacy and potency, while the intermediate affinity variants, particularly E28, had the highest
105 efficacy and good potency. The strong binding variants (E16, E17, E24, and E42), on the other hand,
106 had the best potency but very poor efficacy.

107 Optimal efficacy can be seen with E2, E31, and E28 ImmTAC molecules, which have CD3 off-rates
108 ranging from 1.2 – 4 mins and affinities of 144 –36 nM. E28 and E0 present a particularly interesting
109 comparison as both had very similar affinities for CD3 (36 nM and 34 nM), but E28 had a faster on-
110 rate and off-rate ($t_{1/2}$ of 4.6 min *versus* 7.6 min for E0) and consistently gave a higher maximum
111 response (Figure 1C-D). E8 and E39 were also similar in overall affinity (615 nM and 607 nM), but
112 E8 had a slower off-rate ($t_{1/2}$ of 0.6 min *versus* 0.2 min for E39) and outperformed E39, particularly in
113 the more sensitive ELISpot assays (Figure S2). This suggests off-rate is a more useful predictor of
114 activity than affinity alone.

115

116 Optimal anti-CD3 affinity is dependent on TCR-pHLA affinity

117 The observed kinetic optimum for CD3 engagement suggested an optimal duration for the
118 pHLA:ImmTAC:CD3 bridging interaction, consistent with the optimal dwell time observed for
119 conventional pHLA:TCR interactions^{15,20,21}. Therefore, we hypothesised that T cell activation by an
120 ImmTAC molecule with high affinity for both pHLA and CD3 might be increased by weakening the
121 binding to pHLA. To test this, a range of peptide mimetics of NY-BR-1 were identified that, when
122 presented in the context of HLA-A*02:01, bound to the TCR with affinities ranging from $K_D = 1.8$
123 nM to 500 μ M (Table S2 and Figure S1 B). These mimetic peptides were assessed for stability using
124 the NetMHC 4 server²² and by confirming the presence of canonical anchor residues.

125 Four anti-CD3 variants with strong (E42), weak (E8), and intermediate (E0 and E28) binding to CD3
126 were tested on T2 cells pulsed with the NY-BR1 peptide and the mimetic peptides. Consistent with
127 our hypothesis, weakening the affinity of the TCR-pHLA interaction increased the efficacy of E42
128 ImmTAC, while reducing both the efficacy and potency of E0, E28 and E8 ImmTAC molecules
129 (Figure 2). When TCR affinity was weakened to $K_D = 87$ nM (MimC peptide), E42 significantly
130 outperformed the other variants. This remained true for all weaker TCR affinities up to 1-2 μ M
131 (MimF and MimG), where activity was very low, even with E42. No activity was observed with the

132 MimH mimetic ($K_D = 66 \mu\text{M}$) or MimI ($K_D = 567 \mu\text{M}$; data not shown) with any anti-CD3 variant,
133 suggesting an activity cut-off in the low micromolar range in this instance.

134 Given that an ImmTAC bridge can break by unbinding from either pMHC or CD3, we plotted the
135 ImmTAC efficacy over the bridge lifetime ($1 / (\text{pMHC koff} + \text{CD3 koff})$) (Figure 2C). While the data
136 for E42 indicates an optimal duration for the pHLA:ImmTAC:CD3 bridging interaction, E8, E0 and
137 E28 show a different optimum, indicating that a simple assessment of the duration of the bridging
138 complex alone is not sufficient to fully explain the data.

139 Similar effects were also observed with a commercial CD4+ Jurkat NFAT luciferase assay when these
140 cells were incubated with the same ImmTACs and peptide pulsed T2 cells (Figure S2 D), confirming
141 the effect is not specific to IFN γ release.

142 Kinetic proofreading with limited signalling model fits observed T cell activation

143 To test whether a conventional model of T cell activation could help explain the experimental
144 observations, a mathematical model was constructed based on previously published mechanisms.^{15,16}
145 Such a model can help simulate data out of reach of available experimental tools and further aid the
146 design of highly optimised molecules depending on the targeted therapeutic niche.

147 Ten ordinary differential equations (ODEs) were defined based on an established ‘kinetic proof-
148 reading with limited signalling’ model of T cell activation.¹⁵ This model invokes a non-signalling
149 ‘dark state’ for CD3 when the bridging interaction is sustained beyond a certain duration. The system
150 of equations takes the kinetic binding rates as inputs along with starting concentrations based on cell
151 density, predicted epitope number, and ImmTAC concentration. Changes in local concentration of
152 free receptors and receptor-ImmTAC complexes on the surface of T cells and target cells are then
153 modelled accordingly (Figure 3). Several variables were incorporated into the model to account for
154 unknown parameters such as the rate of kinetic proofreading and rate of dark state formation. All
155 constant and variable inputs are summarised in Table S3.

156 To fit the model to the observed data, Approximate Bayesian Computation coupled with Sequential
157 Monte Carlo (ABC-SMC) analysis was carried out on individual data sets. The model gave a good fit
158 to the dataset presented in Figure 1B (Figure 4A), where only CD3 affinity was varied. For the dataset
159 presented in Figure 2A, where affinity for both CD3 and for pHLA was varied, the model captured the
160 key features of the data, with some deviation (Figure 4B). Probability distributions and ‘best particle’
161 parameters obtained for each experiment are shown in Figure S3 and Table S4. To test the robustness
162 of these observations the output of the model was calculated after doubling individual constant or
163 fitted parameters (Figure S4), demonstrating the pattern of behaviour was well conserved and would
164 not be significantly altered by any errors in the measurement of binding kinetics.

165 Using this model, T cell activation was simulated with a wide range of pHLA and CD3 binding
166 kinetics. The parameter values fitted in Figure 4B (Table S4) were used as this represented the
167 broadest dataset in terms of both CD3 and pHLA binding. When TCR affinity was strong ($K_D = 100$
168 pM), a clear optimum off-rate was seen for anti-CD3 variants (~100s) past which strengthening CD3
169 binding significantly reduced efficacy with minimal improvements to potency (Figure 5A, left panel).
170 However, with weak TCR binding ($K_D = 1 \mu\text{M}$), strengthening CD3 binding continuously improved
171 potency without reducing efficacy (Figure 5A, right panel).

172 To better visualise the different effects of pHLA and CD3 off-rates on T cell responses, heat maps of
173 predicted IFN γ release were constructed by varying the off-rate combinations employed at three
174 different ImmTAC concentrations (Figure 5B). At low ImmTAC concentrations of 10 pM, combining
175 strong pHLA binding with intermediate CD3 binding gave more response than combining strong CD3
176 binding with intermediate pHLA binding. This appears to be due to a better propensity for serial
177 triggering with strong pHLA binding if, when dark state formation by both formats is equivalent, a
178 bridging interaction is more likely to break to release ImmTAC bound to pHLA, which is then more
179 primed to serially-trigger than an ImmTAC bound to a CD3 molecule still in the dark state. This
180 benefit is lost if recovery from the dark state is made extremely fast (Figure S5), and above 1 nM
181 ImmTAC strong pHLA binding had no clear advantage over strong CD3 binding. Combining both

182 strong pHLA and strong CD3 binding gave no advantage at any concentration due to dark-state
183 formation.

184 Reducing CD3 affinity is predicted to widen the therapeutic window

185 As well as optimising potency, the different CD3 and pHLA binding optima demonstrated here
186 provide an opportunity to select bispecifics with improved discrimination between high-affinity target
187 and low-affinity mimetics. To model T cell responses when both on and off-target pHLA ligands are
188 presented together, five ODEs were added to the mathematical model to describe local concentrations
189 of free off-target pHLA, off-target ImmTAC complex, off-target-CD3 bridge, off-target active
190 signalling, and off-target-dark-state bridge.

191 Simulations with this expanded model showed that when T cells were exposed to both a high affinity
192 target peptide ($K_D = 1$ nM) and an intermediate affinity ‘mimetic’ ($K_D = 100$ nM), a hybrid response
193 profile was obtained (Figure 6A). With strong CD3 binding ($K_D = 0.1$ nM – 10 nM), dark state
194 formation suppresses on-target activity, limiting potency (Figure 6A, left), while at the same time
195 potency on cells presenting the mimetic alone is increased (Figure 6A, right). This means that with a
196 strong affinity TCR, strengthening CD3 affinity will shrink the therapeutic window if relevant
197 mimetics are present, whereas weakening CD3 binding up to a point can widen this window.

198 To confirm whether the therapeutic window could also be increased based on the finding from the
199 model, we collected data using an alternate affinity-enhanced TCR (TCR-X) that binds its target
200 pHLA complex with a K_D of 76 pM at 37 °C ($t_{1/2} = 325$ min, Table S2). Unlike the NY-BR-1 specific
201 TCR, TCR-X displays cross-reactivity towards unpulsed T2 cells and antigen-negative cells, allowing
202 changes to the therapeutic window to be more readily examined.

203 ImmTAC molecules were made by fusing TCR-X with a select panel of anti-CD3 variants, then
204 titrated onto TAP-deficient T2 cells that were either left unpulsed or pulsed with target peptide. T cell
205 activation was assessed using an IFN γ ELISpot assay to maximise sensitivity (Figure 6B) and the new
206 15 ODE model was fitted to the experimental data (Figure S6 with fitted parameters in Table S5).

207 As predicted by the model, strengthening CD3 binding gave minimal improvement to on-target
208 activity with this high affinity target, while cross-reactivity to unpulsed cells steadily increased (Fig
209 6B and S6), thus shrinking the therapeutic window. The effect was so striking that barely any window
210 remained between target-pulsed and unpulsed cells with the strong CD3 binding E24 variant. The
211 weaker binding E2 variant on the other hand gave some improvement to the therapeutic window
212 relative to E0.

213 The identity of the mimetic peptide (or peptides) bound by TCR-X on unpulsed T2 cells is unknown,
214 but as part of model fitting, a single 'mimetic off-rate' and 'copies per cell' were fitted to the data
215 alongside other parameters. Using the data from one representative effector PBMC donor, the fitted
216 parameters produced a mimetic off-rate of 0.015 s^{-1} , ($K_D = 30\text{ nM}$), and 86 copies per cell (Table S5).
217 However, it remains possible that cross-reactivity is due to a more complex mixture of mimetics, or
218 very weak binding to empty HLA.

219 The importance of very high target affinity for this effect on therapeutic window was further
220 supported by experiments with a TCR that binds its cognate MAGE-A3 pHLA with a weaker K_D of
221 17 nM and a $t_{1/2}$ of 3.6 mins at 37°C (Table S2). This TCR had previously been used in a T cell
222 therapy clinical trial that was discontinued due to unpredicted cross-reactivity to a Titin-derived
223 mimetic.^{23,24} Our measurements show this Titin mimetic bound the TCR with an affinity of 183 nM
224 and a $t_{1/2}$ of 0.46 mins at 37°C (Table S2). The fast off-rate of this TCR when binding its target
225 suggests that dark-state formation is not likely to be a significant factor; and indeed, in contrast to
226 TCR-X, a gain of potency was seen with the high affinity E24 variant on both target and mimetic
227 without a large drop in efficacy, while the weaker binding E2 variant reduced potency with both the
228 target MAGE-A3 and mimetic Titin peptides. This demonstrates that weakening CD3 binding only
229 improves the therapeutic window when target pHLA binding has a very high affinity.

230 [Optimum CD3 affinity reflected in T cell cytotoxicity as well as IFN \$\gamma\$ release](#)

231 The experiments in this manuscript have primarily focused on measuring IFN γ through direct
232 measurement by ELISpot and ELISA. However, cytokine release is only one aspect of the T cell

233 response and for CD8 T cells cytokine release has been shown to be much less sensitive to activation
234 than cytotoxic responses such as microtubule reorganisation and release of perforin²⁵. To investigate
235 the effect of CD3 binding affinity on cytotoxicity, killing assays were carried out with both TCR-X
236 and anti-NY-BR-1 ImmTAC molecules.

237 The due to the cross-reactivity profile of the TCR, experiments with TCR-X focused on testing
238 intermediate and weak CD3 binding affinity variants in several different cytokine release assays
239 (Figure S7 A and B) and an Incucyte killing assay (Figure S7 C). These results confirmed optimum
240 activity was seen with the E28 variant, or kinetically similar E30 variant, with both cytokine release
241 and killing.

242 A panel of anti-NY-BR-1 ImmTAC molecules with the full range of CD3 affinities was assayed
243 against CAMA-1 A2B2M antigen-positive and SKMEL28 A2B2M antigen-negative cell lines in an
244 Incucyte killing assay (Figure 7 A and S6 A). Again, E28 performed best, supporting a similar kinetic
245 optimum for both killing and IFN γ release. In these assays though the high-affinity anti-CD3 variants
246 E42, E24 and E17 all reached comparatively higher Emax levels, as measured by area under the curve
247 analysis of killing up to 48 hrs. Killing assay protocols use significantly more effector cells than IFN γ
248 ELISA and ELISpot assays to get a clear readout (10:1 instead of 1:1), but an ELISA carried out on
249 media samples saved at the end of the killing experiment confirmed the discrepancy between killing
250 and cytokine release with high affinity CD3 binding (Figure 7 B). Similar results were seen with a
251 different batch of effector PBMC using an alternative Phenix killing assay that could monitor both
252 living and dead cells (Figure 7C and S6 C).

253 While interesting these observations do not undermine the earlier conclusions that a receptor dark
254 state supports an optimum dwell time for T cell triggering. If cytotoxicity is more sensitive to early
255 triggering, and responds more rapidly to T cell stimulation, it might be less sensitive to dark-state
256 formation than cytokine release. It should also be considered that the output of a cytotoxicity assay
257 may be more limited in its dynamic range as there are only a certain number of target cells available
258 to kill in each assay and they provide a more binary readout of T cell activity. Similarly the kinetics of
259 the killing process is more limited by rate of T cell movement, as well as other factors, whereas

260 cytokine release is ultimately limited by the translational output of the T cells, creating many potential
261 discrepancies in the dynamic range of the response. Accurately modelling changes in pHLA
262 presentation and other effects of cell killing would demand a significantly more complex model that
263 fell outside the scope of this project. However, future studies might be designed to investigate these
264 subtle distinctions between cytokine release and cytotoxicity, as well as conducting carefully designed
265 *in vivo* studies to assess what combinations of these effects are most beneficial for reducing tumour
266 burden.

267 Discussion

268 To design an effective T cell engaging bispecific molecule, it must be made sufficiently potent to
269 redirect patient T cells towards target cells at the chosen dose, while not triggering activation towards
270 normal cells. A potentially dose-limiting toxicity observed with many T cell redirecting therapies is
271 cytokine release syndrome.²⁶ Stronger CD3 binding has previously been associated with increased
272 incidence of systemic cytokine release and a CD38xCD3 bispecific antibody with unacceptable
273 toxicity in non-human primates became better tolerated and more efficacious when the CD3 binding
274 portion was engineered to weaken binding.²⁷ Similarly, a CLL-1xCD3 bispecific with weak CD3
275 binding gave reduced systemic cytokine response compared to a strong CD3 binder,²⁸ and both *in*
276 *vitro* and in CD3-humanized mice, a HER2-targeted CD3 bispecific with strong CD3 binding induced
277 greater cytokine production than one with weaker CD3 binding, despite comparable *in vivo* antitumor
278 activity.²⁹ One possible contributor to this effect could be the relationship we have demonstrated here
279 between strong CD3 binding and increased responsiveness to weak off-target interactions.

280 Naturally occurring TCRs typically bind cognate HLA molecules with weak affinities, (micromolar
281 range). However, the ImmTAC platform uses TCRs engineered for strong binding, (typically with
282 picomolar affinities), while maximising the affinity window between target and mimetic pHLAs. The
283 use of highly specific, picomolar-affinity TCRs here has enabled exploration of a range of binding
284 strengths and specificities out of reach to previous studies. Molecules that target pHLA with
285 intermediate affinity require stronger affinity CD3 binding to increase potency, which risks boosting

286 cross-reactivity to weakly binding mimetic pHLAs that are often difficult to detect and characterise.
287 The use of very strong affinity TCRs, on the other hand, allows tuning of anti-CD3 kinetics and opens
288 up a valuable therapeutic niche; one where on-target potency and efficacy can be maximised, while
289 minimising off-target activation by mimetic pHLAs. ImmTAC molecules already go through a
290 rigorous preclinical safety package during their development, establishing the highly specific potential
291 of this technology,³⁰ and tuning anti-CD3 kinetics on a case by case basis makes it likely more
292 therapeutic molecules will be able to meet these stringent criteria.

293 In this study, we have exclusively used bispecifics of the ImmTAC format, maintaining the same
294 spatial relationship between the target-engaging and CD3-engaging moieties. Activity of CD3
295 bispecifics can be affected by factors such as distance of target epitope from the cell membrane,^{12,31}
296 CD3 epitope,³² and target distribution/mobility.³³ As such, while the model is anticipated to be
297 broadly applicable, the parameters identified by the model are likely to be specific to the conditions
298 tested. For the vast array of other bispecific formats, with differing spatial arrangements of target and
299 CD3 binding,^{34,35} the optima for target and CD3 affinity may vary. Additionally many antibody based
300 bispecific therapies bind to cancer surface antigens on target cells rather than pHLA which will alter
301 their mode of action, as, unlike pHLA, these antigens are unable to recruit CD4 and CD8 co-
302 receptors, and so may display significantly different optima.

303 We have shown that for both target engagement and CD3 engagement strong binding does not always
304 improve potency and can be detrimental to T cell activity when the other arm of the bispecific also
305 binds strongly. This is inconsistent with previous models of activity of CD3 bispecifics based on their
306 binding kinetics,³⁶⁻³⁹ which have assumed a direct relationship between T cell activation and the
307 concentration of the trimeric complex formed between CD3, bispecific, and target. In such a model,
308 there is no optimal CD3 affinity for on-target activity, as stronger binding will always result in a
309 higher concentration of trimer and greater T cell activation. This contrasts with conventional models
310 of T cell activation where it is clear that the number of CD3 bridging interactions is not the sole
311 determinant of activity,¹⁵ and the duration of bridging interactions plays a key role. The concept of
312 HLA:TCR bridging interactions having an optimal dwell-time is well-established,⁴⁰ with the upper

313 limit variously explained by sustained signalling or limited signalling. Various mathematical models
314 of T cell activation have been developed to explore the nature of this relationship.^{14,20,41-43} This
315 concept has been invoked in the context of bispecific T cell engagers,⁴⁴ but no corresponding
316 mathematical model has previously been published.

317 The observation reported here that the optimum CD3 affinity for maximum response depends on
318 target affinity, indicates a mechanism for improving specificity that is also incompatible with models
319 driven purely by the concentration of target, biologic and effector. These experiments demonstrate
320 that the affinity of CD3 engagement can be selected to maximally differentiate between high-affinity
321 on-target interactions and low-affinity off-target interactions, to improve therapeutic window.

322 Our studies have focused on IFN γ release and cytotoxicity as the main outputs of T cell activation. It
323 is possible that other cytokines could be affected differently. However, a recent study suggests that
324 TNF- α and IL-2 release both share a similar activation threshold to IFN γ and respond similarly to co-
325 stimulation.⁴⁵ The differing sensitivity of T cell killing and cytokine release is well documented,⁴⁶ and
326 a number of studies have recently suggested the possibility of uncoupling cytotoxicity and cytokine
327 release.^{32,37} It could be hypothesised that if cytotoxicity is more sensitive to early triggering, and
328 responds more rapidly to T cell stimulation, it might be less sensitive to dark-state formation than
329 cytokine release. However, as the optimum anti-CD3 kinetics were the same for both cytokine release
330 and cytotoxicity with two different TCRs, this suggests effective uncoupling cannot be achieved by
331 altering affinity alone.

332 The affinity of a bispecific for CD3 has additional effects beyond those assessed here, including
333 effects on the biodistribution and clearance of the bispecific molecule.^{28,47} This manuscript focuses
334 solely on the effects of affinity on T cell activation *in vitro* and when seeking to maximise potency
335 and efficacy *in vivo* the role of biodistribution may well out-weigh concerns over optimising for T cell
336 activation. Meaningful *in vivo* studies can be challenging to design for Immunotherapies, owing to the
337 many sequence differences between human patients and model organisms. However, at this time only
338 *in vivo* studies have the potential to comprehensively model clinical outcomes, and factor in the many
339 different processes that may help and hinder ImmTAC activity in the body.

340 Understanding the trade-offs between the different effects of CD3 affinity through all means available
341 should allow future development of safer, more effective therapeutics. With promising results with T
342 cell redirecting molecules in clinical trials,^{5,48-51} and many more in the pipeline, the age of bispecific
343 therapies appears to be dawning. This study provides valuable information for developing the next
344 generation of bispecific molecules, helping these game-changing drugs realise their maximum clinical
345 potential.

346

347 **Materials and methods**

348 **Proteins and affinities**

349 ImmTAC fusion proteins were expressed in the BL21 (DE3) Rosetta pLysS strain, and refolded from
350 inclusion bodies and purified as previously described.⁵² Purity was checked by reducing and non-
351 reducing SDS-PAGE and concentrations assessed by A280 measurement and extinction coefficients
352 derived from sequence by the inbuilt DNAdynamo algorithm. Peptide HLA molecules were also
353 produced as previously described.⁵³

354 Binding kinetics and affinities were measured by surface plasmon resonance by amine coupling
355 CD3 $\epsilon\delta$ expressed by HEK293 cells using a knob-in-holes Fc format (ACRO biosystems CDD-
356 H52W0) to the CM5 sensor chip. Experiments were carried out using the single cycle protocol of the
357 Biacore T200 system at 37 °C in PBS with 0.005% surfactant P20 with 5mM HCl used for chip
358 regeneration between each experiment.

359 **Cell lines and cell culture**

360 Cell lines used in this study were grown according to the manufacturers' instructions. CAMA-1 cells
361 (ATCC[®] HTB-21[™]) express a low level of HLA-A2 that result in very low surface levels, thus this
362 cell line was transduced in-house with a lentivirus containing HLA-A2 and beta 2-microglobulin
363 (CAMA-1 A2b2m) for assays with ImmTAC-NY-BR-1. SKMEL28 A2B2M cell line was produced
364 in the same way. Cell line authentication and mycoplasma testing were routinely carried out by the
365 LGC Standards Cell line Authentication Service (www.lgcstandards.com) and Mycoplasma
366 Experience Ltd (www.mycoplasma-exp.com), respectively. Peripheral blood mononuclear cells
367 (PBMCs) were obtained from StemCell (lot SC003 1807130132, HLA-A0101,A0301) and Discovery
368 Life Sciences (lot CB004Z1110036544120518A, HLA-A0301,A1101).

369 **IFN γ ELISPOT and ELISA**

370 IFN γ ELISpot and ELISA assays were carried out according to the manufacturer's instructions (BD
371 BioSciences,Cat #552138; R&D, cat # DY285B; and Glo reagent,cat # DY993. Either in 384 well
372 (12500 T2 and 12500 PBMC), or 96 well format (50,000 T2 and 50,000 PBMC). T2 cells were pulsed

373 with peptides, either by adding NY-BR-1 specific peptide or irrelevant TAX peptide directly into the
374 microplate wells at final concentrations ranging from 2.5 μ M to 5 nM. For ELISpot plates were
375 incubated overnight at 37 °C/5 % CO₂ and quantified using an automated ELISpot reader
376 (Immunospot Series 5 Analyzer, Cellular Technology Ltd.) or for ELISA plates were incubated for 48
377 hrs before developing using the R&D, cat # DY285B protocol and reading with an Enspire plate
378 reader.

379 **Jurkat Luciferase assay**

380 The same 96 well setup was used as for the PBMC assay with 50000 T2 and 50000 Jurkat NFAT-
381 Luciferase cells per well (Promega) in 100ul R10 medium. Plates were incubated for 22 hours at 37
382 °C/5 % CO₂ prior to addition of 33ul Bio-Glo reagent (Promega G7940), then luminescence was read
383 on a Clariostar plate reader.

384 **Incucyte S3 killing assay**

385 NY-BR-1 antigen positive cell line CAMA-1 A2B2M and antigen negative SKMEL28 A2B2M were
386 stained with CellTracker™ Deep Red Dye (Invitrogen, C34565) and seeded at 15000 and 10000
387 cells/well, respectively, in a 96 well cell culture plate and left to rest for 24 hours. NY-BR-1 anti-CD3
388 variant ImmTAC molecules were titrated and added together with HLA-A*02:01-negative PBMC
389 donor (CB004) at a 10:1 E:T ratio. Target cells and effectors were stained with a green Caspase 3/7
390 dye for tracking cell apoptosis (Incucyte Caspase-3/7 Green Apoptosis Assay Reagent, Essen
391 Bioscience, 4440). Cells were incubated for 96 hours in the Incucyte S3 Live-Cell Analysis System
392 (Essen Bioscience, 4647), which uses real-time quantitative live cell imaging, based on fluorescent
393 nuclear staining of the Caspase-3/7 activated dye, to track the numbers of apoptotic cells at regular
394 time points. Plates were removed from the incubator after 96 hours and supernatant was collected for
395 IFN γ ELISA. The results of the killing assay were analysed with the Incucyte software and Graph Pad
396 Prism used to plot 48 hour Area Under the Curve of killing over different ImmTAC concentrations.

397 **Phenix Killing assay**

398 The Opera Phenix system (Perkin Elmer) was also used to follow both target cell growth and killing
399 simultaneously. In this assay an effector : target ratio of 4:1 was used. Target cells were counted and
400 plated at 15,000 cells/well in 100 uL/well (CAMA1-A2B2M) on the day prior to setting up the assay
401 PBMCs were thawed from liquid nitrogen on day 2 counted, stained with 2 uM CellTracker DeepRed
402 and plated in 50uL/well. NucView488 reagent was added to effectors to give a 0.8 uM final
403 concentration on the well. Hoechst was added to effectors to give a final concentration of 150 nM n
404 the well. Plates were imaged with the 5X objective every 6h over 96h.

405

406 **Mathematical modelling**

407 The mathematical model was built using Matlab and parameter inputs described in Table S3. Cell
408 numbers, well area and values for CD3 and HLA copy numbers per cell were used to calculate
409 effective local concentrations at the bottom of the experiment well for a section with a width of 1
410 micron and establish initial conditions for a system of 10 ordinary differential equations (ODEs), or
411 15 ODEs in the case of the cross-reactivity model (complete sets of ODE equations are included in
412 supplementary material). The local concentration of ImmTAC was included in the differential
413 equation system and was replaced from the bulk solution at a rapid rate which did not lead to any
414 significant depletion of available ImmTAC in these models. For most fitting and modelling the
415 ODE23s solver was used over a time course of 48 hrs for ELISA experiments and 24 hrs for ELISpot,
416 except with the cross-reactive model where ODE15s was used.

417 To convert the ODE solutions into the quantity of IFN γ expected at the end of the experiment, the
418 local concentration of signalling complexes was converted to a rate of IFN γ production expected at
419 that moment by applying a hill function with a hill slope of 1 and fitting a maximum level of
420 production (IFNmax) and a sensitivity level (IFNC50).

$$IFN\gamma_{prod} = \frac{IFNmax}{1 + \left(\frac{[G]}{IFNC50}\right)^{-1}}$$

421

422 This equation was applied for the entire output vector and the area under the curve for the relevant
423 time period was then calculated using the ‘trapz’ matlab function to obtain a total amount of IFN γ
424 produced in that time. This method does not explicitly account for degradation or reuptake of IFN γ ,
425 and so any effects of these processes will be incorporated into the fitted IFNC50 and IFNmax
426 parameters.

427 Fitting the model to the data was performed using the ABC SMC method previously described.^{54,55}
428 1800 particles were generated at each iteration. Upper bounds and lower bounds were set depending
429 on best guesses and are listed next to the fitted values in Supplementary Tables S4 and S5. Fit was
430 assessed by combining the squared difference of simulated values from the mean of measured data
431 plus standard deviation, meaning that any simulated values falling within the standard deviation of the
432 data were considered to give a fit with no penalty. A minimum standard deviation of 10 pg/ml was
433 applied to ELISA data and 5 spots to ELISpot data to account for any potential error not appropriately
434 measured by the experiment.

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439 [Declaration of interests](#)

440 I. B. R., R. O., S. H and P.B.K are current employees of Immunocore and hold Immunocore stock
441 and/or options.

442 [Author Contributions](#)

443 Conceptualization: I. B. R., R.M., N.D., A.V., R. O., S. H. and P.B.K.; Formal analysis: O.D. and
444 I.B.R. Investigation: I.B.R., R.M., N.D., A.V., M.C., R.O. and F.A.; Writing – Original Draft: I.B.R
445 and P.B.K.; Writing – Review & Editing: I.B.R, P.B.K., O.D., and D.C.; Supervision: R. O., S. H. and
446 P.B.K.

447 **Keywords**

448 Tcell activation, bispecific, affinity, kinetics, CD3, mathematical modelling, cytokine release,
449 cytotoxicity, optimisation

450 **References**

451

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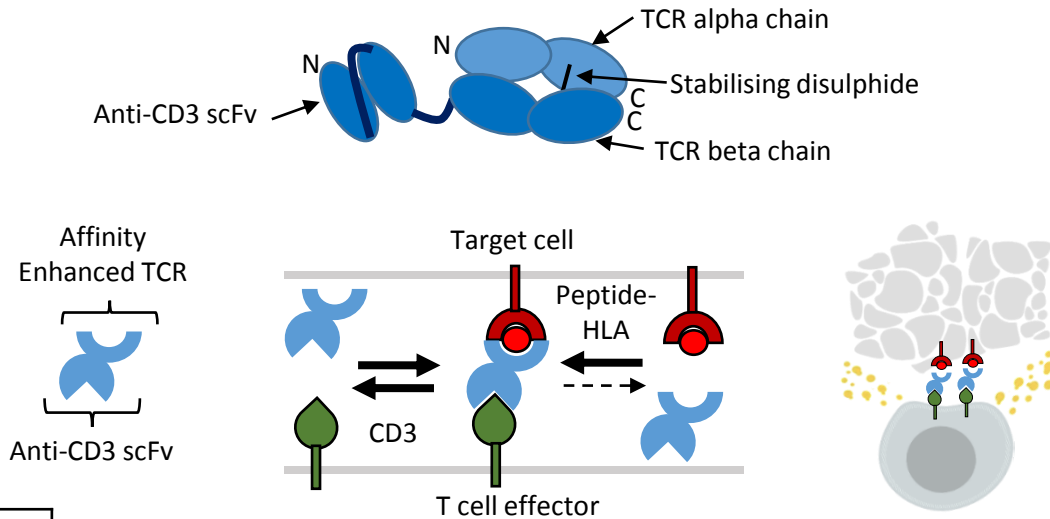
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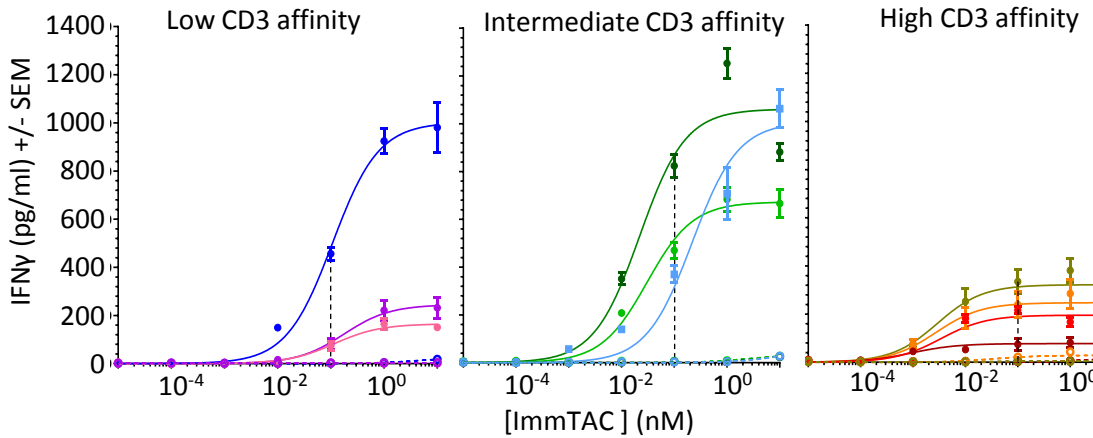
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A

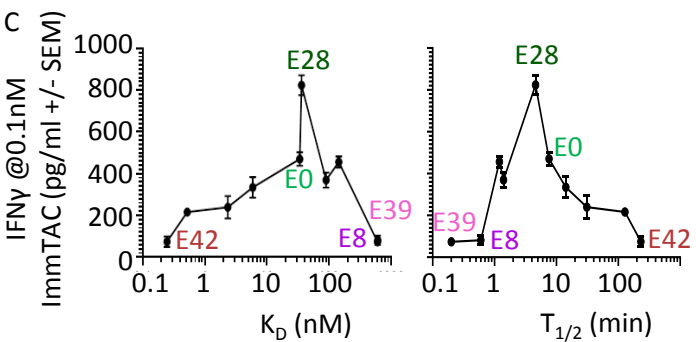


B

	K_D (nM)	$t_{1/2}$ (min)
E8	615	0.6
E39	607	0.2
E2	144	1.2
E31	90	1.4
E28	36	4.6
E0	34	7.6
E16	5.9	14.2
E17	2.3	31
E24	0.52	128
E42	0.25	232



C



D

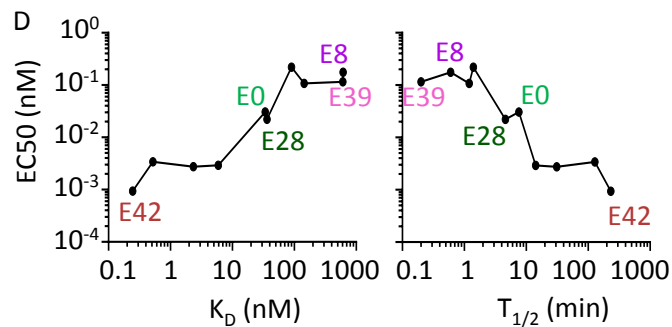


Figure 1 – Effect of CD3 affinity on ImmTAC activity with a highly specific TCR (NY-BR-1). **A)** Summary of the basic structure of an ImmTAC molecule (top) and the general mechanism of action (below): An affinity matured TCR linked to an anti-CD3 scFv, forms bridges between effector T cells and target cells through strong binding to peptide-HLA with slow dissociation rates and intermediate binding affinity to CD3. This allows activation and redirection of T cells to specifically kill target cells. **B)** IFN γ ELISA of response of HLA-A*02:01 negative PBMCs in the presence of ImmTAC molecules and T2 cells pulsed with 5 nM Target peptide (solid lines and full circles), or without pulsed peptide (dotted lines and open circles). Three plots are shown for low, medium and high affinity anti-CD3 variants. Data points represent the mean of 8 different wells measured from a 384 well format plate. Black dashed line highlights the 0.1nM ImmTAC concentration used for summary plots of ELISA response efficacy shown in **C)** while potency (EC50) is shown in **D)**. Both are plotted against CD3 affinity (left) or $t_{1/2}$ (right) of CD3 binding.

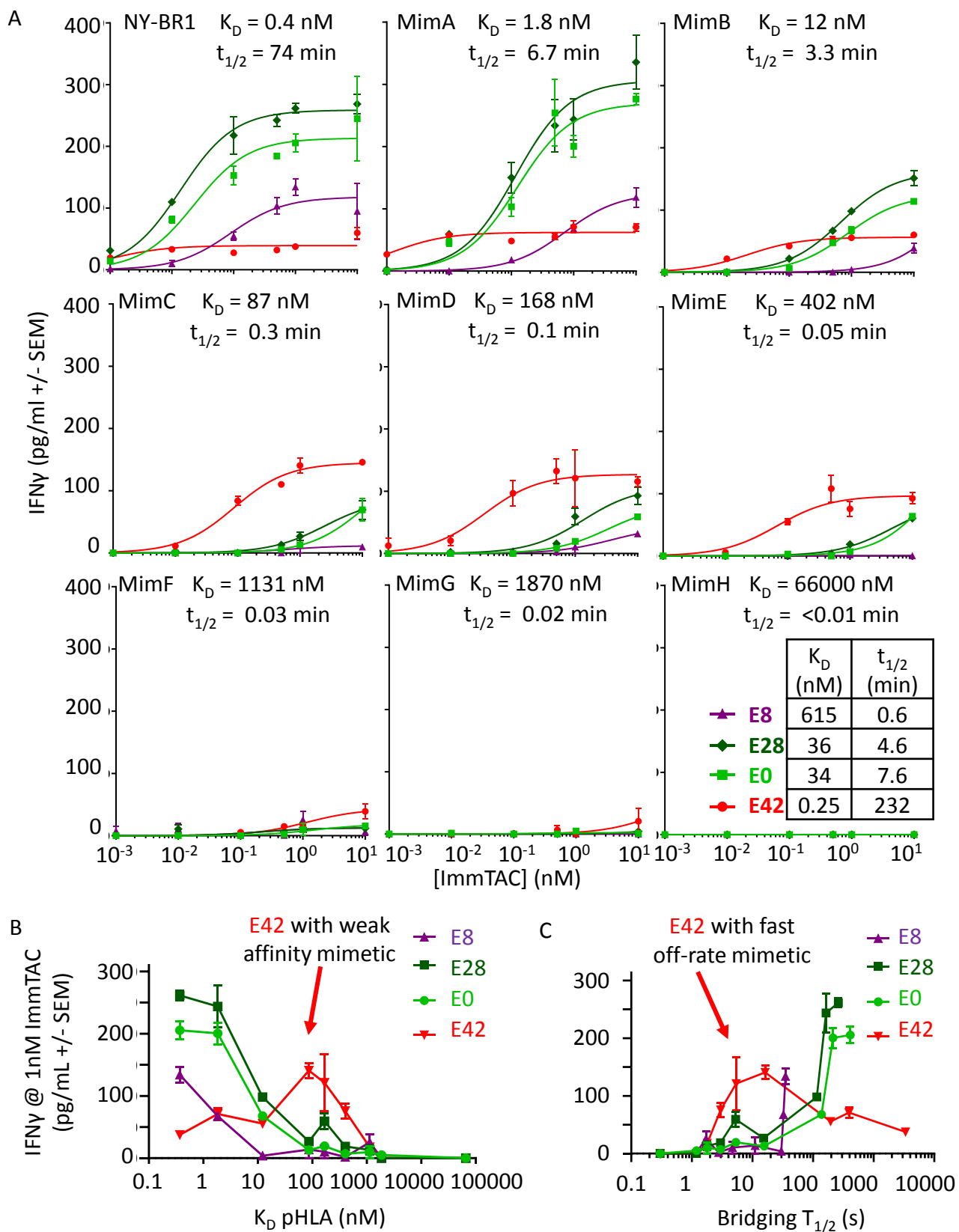


Figure 2 – Effect of different combinations of TCR affinity and anti-CD3 affinity: A) NY-BR-1 target peptide and a range of its mimetics were added to 50,000 T2 cells at a concentration of 5 nM, (37 °C affinities of each peptide HLA for TCR shown in the figure). Four ImmTAC molecules with E8, E28, E0, and E42 anti CD3 arms, were added at varying concentrations along with 40,000 PBMC cells. IFN γ was then measured by ELISA after a 48 hr incubation in a 96 well format. **B)** Summary plot of ELISA data showing response to 1 nM ImmTAC plotted against TCR binding affinity to the different mimetic peptides. **C)** Summary plot of ELISA data showing response to 1 nM ImmTAC plotted against the combined dissociation rate of TCR and anti-CD3 (i.e. the estimated $T_{1/2}$ of a cell-cell bridge).

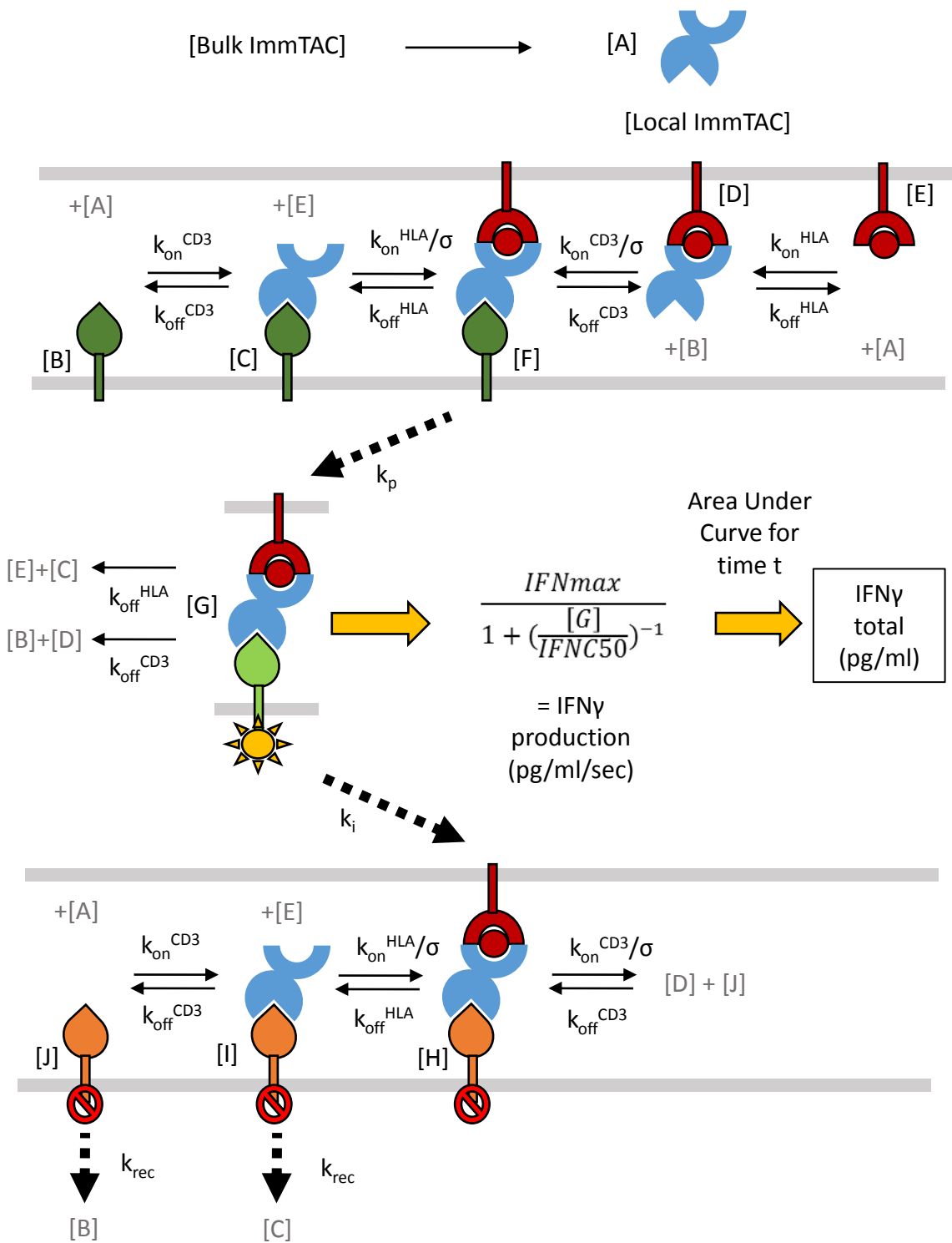


Figure 3 – Structure of mathematical model for T cell activation. Ten local concentrations (A-J) were modelled using ten ordinary differential equations and these concentrations and their relationships are summarised here. Cell membranes are represented as grey lines, ImmTAC is shown in blue, peptide HLA in dark red, resting CD3 in dark green, activated CD3 in light green, and inhibited 'dark state' CD3 in orange. Relevant rate parameters are shown above reaction arrows and letters in grey denote involvement of other model components, (each component is only shown here once for simplicity). Concentration of active CD3 was translated into a rate of IFN γ production per second following a simple 3 parameter dose-response relationship, then the area under the curve fitted for total IFN γ production over the course of the experiment. The local free ImmTAC concentration (A) was replenished by rapid diffusion from an inexhaustible bulk solution.

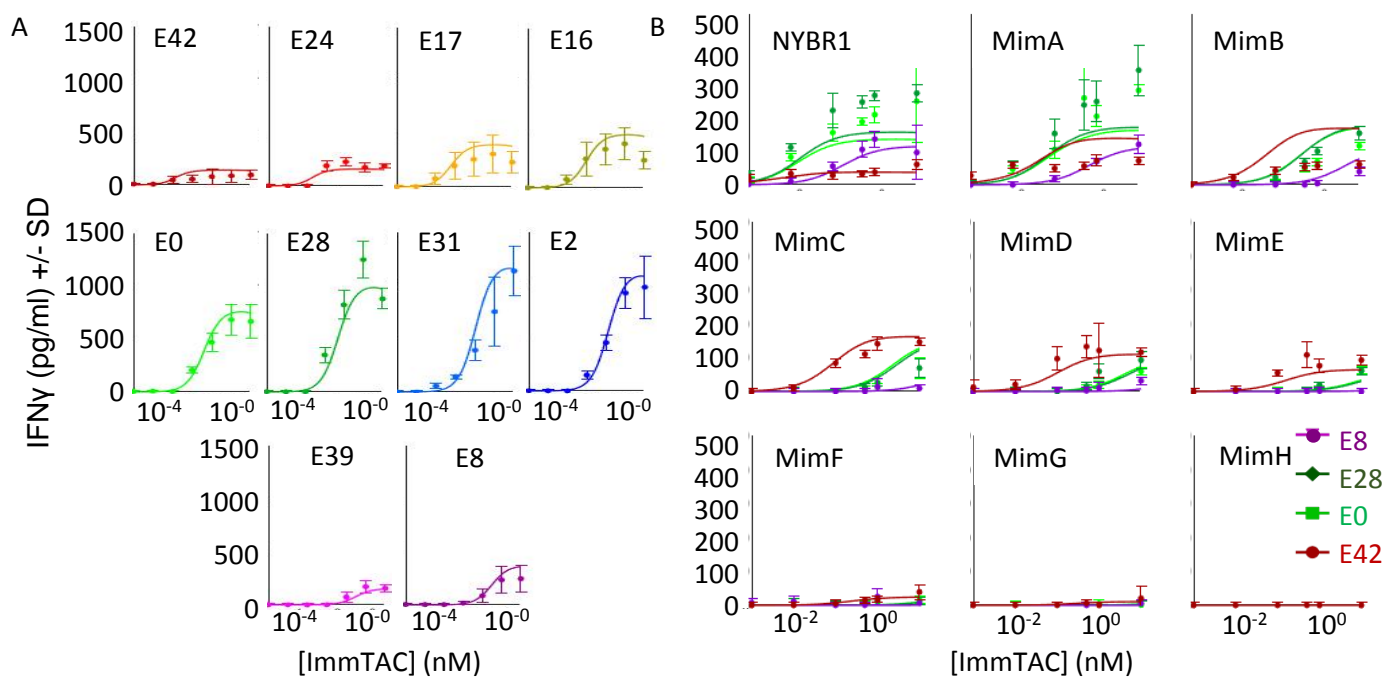


Figure 4 – Model fitting results compared to the data. Fits of mathematical models applied to two data sets from Figures 1 and 2. Simulated data shown here as solid lines generated using ‘best particle’ values for final iteration of ABC-SMC runs (Table S4). **A)** Fit to ELISA data from Figure 1B generated with T2 cells pulsed with 5nM target peptide and 10 different anti-CD3 variants. Error bars represent standard deviation from 8 data points. Parameter values used in fit shown in Table S4 **B)** Fit to ELISA data from Figure 2 generated with four anti-CD3 variants on T2 cells pulsed nine different peptides at 5 nM. Error bars represent standard deviation over 3 data points. Parameter values used in fit shown in Supplementary Figure S2 and Table S4.

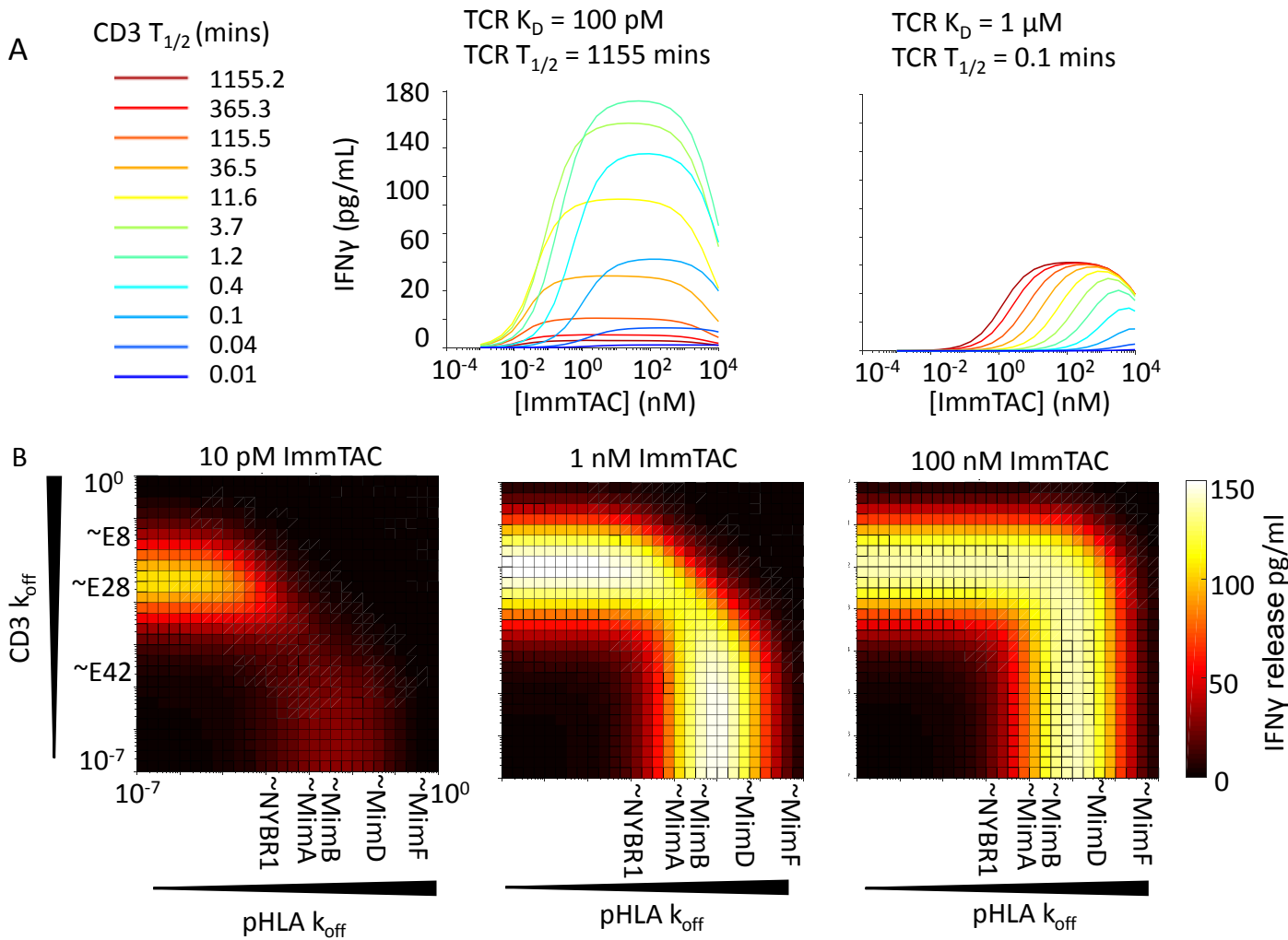


Figure 5 – Implications of mathematical model for ImmTAC potency and efficacy. A) Effect of varying CD3 $T_{1/2}$ with fixed k_{on} and long lived TCR-pHLA binding ($T_{1/2} = 1155$ mins) left, or short lived TCR-pHLA binding ($T_{1/2} = 0.1$ mins) right. **B)** Heat maps plotting predicted IFN γ responses with different CD3-HLA affinity combinations at various ImmTAC concentrations (as written above each heat map). Off-rates of anti-CD3 variants and TCR binding mimetics marked on axes for reference, although their on-rates also vary.

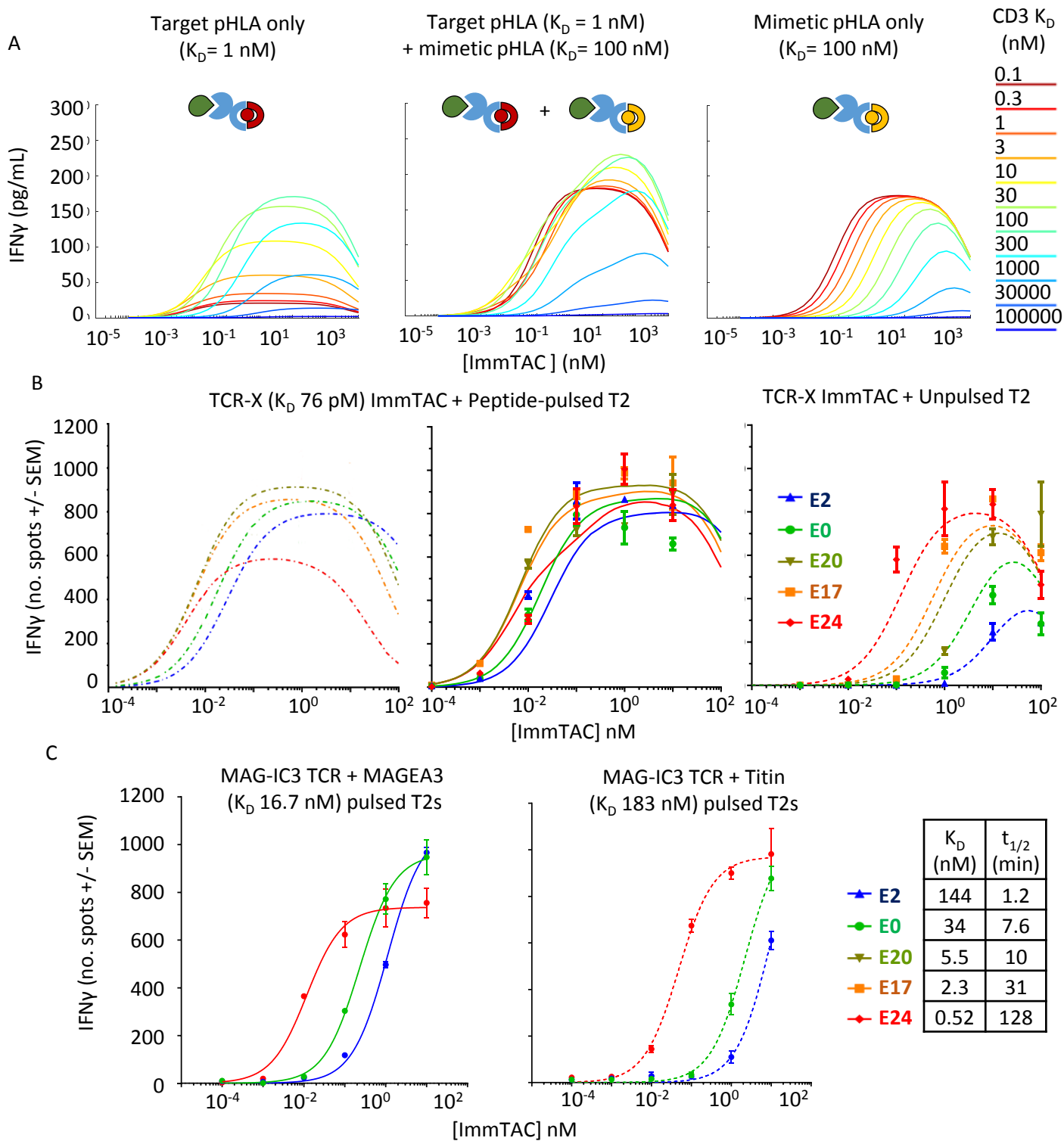


Figure 6 – Effect of CD3 affinity on ImmTAC therapeutic window. A) Modelling of IFN γ response to two different HLA molecules (target and mimetic) was run with parameters fitted to NY-BR-1 data and an expanded 15 ODE model. Left: With only 1 nM target pHLA present, Middle: both 1 nM affinity target and 100 nM affinity mimetic pHLA molecules present, or right: only mimetic 100 nM affinity pHLA modelled. (The same presentation level of peptides used in both instances and on rate was kept constant at $0.1 \mu\text{M}^{-1} \text{s}^{-1}$). **B)** Modelling and data for IFN γ production from PBMCs in the presence of cross-reactive TCR-X ImmTAC and T2 cells pulsed with 5 nM Target peptide (left two graphs), or unpulsed cells (right). Lines show fits generated by the ODE model, without (left) or with (middle and right) fitted mimetic included in the model, and dots show ELISpot data points. Parameters of fit are shown in Figure S4. **C)** ELISpot data with MAG-IC3 ImmTAC and HLA-A1 transfected T2 cells pulsed with the MAGEA3 target peptide that binds the TCR with a K_D of 17 nM (left), or the mimetic Titin that binds the TCR with a K_D of 180 nM (right), ($2.5 \mu\text{M}$ peptide pulse used in both instances). Curves represent 3-parameter fits. (Modelling was not performed for this TCR as data on peptide presentation level were not available)

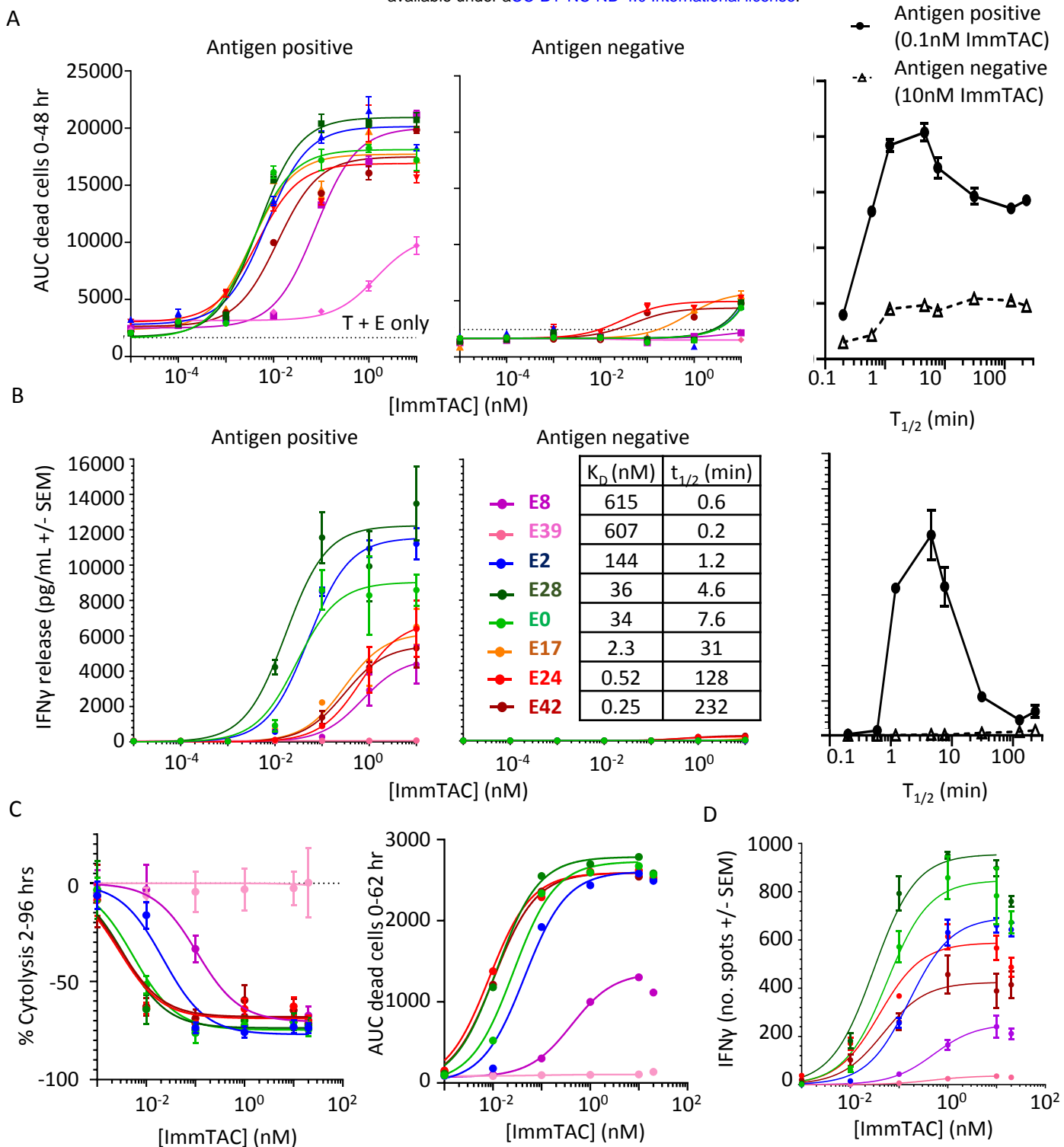


Figure 7. Comparison of cytotoxicity to cytokine release **A)** Killing of antigen positive cells (left) and antigen negative cells (middle) with different CD3 affinity molecules as measured by an Incucyte assay. Area under the curve (AUC) of dead target cell counts at specified ImmTAC concentration. The plot on the right shows AUC vs $t_{1/2}$ of CD3 binding at a singular ImmTAC concentration. Detailed time courses from which these data are derived are shown in Figure S6 **B)** Plot of IFN γ in media at the end of killing experiment measured by ELISA with antigen positive cells (left) and antigen negative cells (middle). **C)** Shows results from an alternative killing assay setup utilising Phenix instrument using CAMA1 antigen positive cells, but a different PBMC donor with a 4:1 ratio of effectors to targets. The left panel shows the percentage of surviving cells after 96 hrs relative to a control incubation without ImmTAC and normalised to initial cell counts at 2hrs (Detailed time courses shown in Figure S6), while the right panel uses data from counting dead cells and estimating the area under the curve in the first 62 hours of the experiment. **D)** ELISpot data collected with CAMA1 cells and the same PBMC donor as C, but with a 1:1 Effector to Target ratio.

Graphical abstract

