Synonymous and non-synonymous transitions/transversions vividly disclose purifying selection in *Escherichia coli* coding sequences

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Running head: transition and transversion in coding sequences

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Abstract

Transition (*ti*) and transversion (*tv*) are the major causes for genome variation. The accurate estimation of *ti* to *tv* ratio ($\frac{ti}{tv}$) in genomes is crucial for understanding of mutational and selection processes in organisms as it is influenced by both codon degeneracy and pretermination codons (PTC). Therefore, we developed a method (accessible at https://github.com/CBBILAB/CBBI.git) to estimate $\frac{ti}{tv}$ ratio by accounting codon degeneracy as well as PTC in protein coding sequences. Our findings revealed a distinct impact of codon degeneracy and PTC on the $\frac{ti}{tv}$ ratio in the *Escherichia coli* genome. We observed a decreasing order among the frequencies of different base substitutions such as synonymous transition (*Sti*) > synonymous transversion (*Stv*) > non-synonymous transition (*Nti*) > non-synonymous transversion (*Ntv*) in *E. coli* genome. The correlation was strong between *Sti* and *Stv* values (Pearson *r* value 0.795) whereas the correlation was weak between *Sti* and *Nti* (Pearson *r* value 0.192). Coding sequences with similar *Sti* values exhibited a wide range of *Nti* values. This indicated the varying strength of purifying selection acting on the coding sequences. In concordance with the assumption, the genes having higher *Nti* values were observed with lower codon adaptation index (CAI) values than that of the genes having lower *Nti* values. Our approach is convenient to visualize the frequency of base substitution variation as well as selection in protein coding sequences. The proposed method is useful to estimate different $\frac{ti}{tv}$ ratios accurately in coding sequences and is insightful from an evolutionary perspective.

Article Summary

Genetic diversity is pivotal in evolution, with base substitution as a key driver. Transition (*ti*) frequency surpasses transversion (*tv*) frequency in genomes, making $\frac{ti}{tv}$ ratios a valuable metric for studying mutation bias. Our improved estimator for $\frac{ti}{tv}$ calculation accounts for codon degeneracy and nonsense substitutions in pretermination codons. Additionally, we unveil insights into the frequency of different substitutions such as *Sti*, *Stv*, *Nti*, and *Ntv* and demonstrate the impact of selection on protein coding sequences.

Introduction

The degeneracy in the genetic code table is an important feature to study molecular evolution (Crick *et al.* 1961; Khorana *et al.* 1966). The different degenerate codons influence the transition (*ti*) to transversion (*tv*) ratio ($\frac{ti}{tv}$) as follows: synonymous variation in a *two-fold degenerate* (TFD) codon occurs *via* only one transition whereas the same in a *four-fold degenerate* (FFD) codon occurs via one transition and two transversions (Supplementary Fig. 1a). In addition to the above, compositional variation of pretermination codons (PTC) (Supplementary Fig. 1b and 1c) (Modiano *et al.* 1981) among the coding sequences is also likely to influence the $\frac{ti}{tv}$ ratio because non-synonymous variations leading to termination codons purged out rapidly in a population due to stronger purifying selection (Li *et al.* 1981; Eynden *et al.* 2016; Morales *et al.* 2021). Considering in general, a *ti* being more frequent than a *tv* (Seplyarskiy *et al.* 2012; Duchêne *et al.* 2015; Stoltzfus and Norris 2016; Lewis *et al.* 2016; Lyons and Lauring 2017; Schroeder *et al.* 2017; Sen *et al.* 2022) which is known as Kimura's two parameter model (Kimura 1980), and a stronger purifying selection on non-synonymous variation than a synonymous variation (Hurst

and Pál 2001), the $\frac{ti}{tv}$ ratios of TFD codons and FFD codons are expected to be different.

Accordingly, it has been recently reported that the synonymous variation in TFD codons is

relatively higher than the FFD codons in *Escherichia coli* (Aziz *et al.* 2022). Therefore, in any

organism, the $\frac{ti}{tv}$ ratio is likely to vary across the coding sequences having differences in

degenerate codon composition (Beura *et al.* 2023). Hence, an estimation of $\frac{ti}{tv}$ ratio in a coding

sequence by accounting codon degeneracy and PTC compositions will be important to further

carrying out comparative analysis of this value among coding sequences in a genome.

Considering the number of possibilities of the two types of base variations in genomic

DNA (Fersht and Knill-Jones 1981) (Supplementary Fig. 1d). The ratio of *ti* to *tv* is theoretically

expected to be 0.5. But the ratio is generally observed more than 0.5 in the genomes (Duchêne *et*

al. 2015; Stoltzfus and Norris 2016). Because of the following factors such as geometry of DNA

double helix (Topal and Fresco 1976), modification of DNA bases such as deamination of

cytosine and adenine (Kino and Sugiyama 2001; Rocha *et al.* 2006; Bhagwat *et al.* 2016),

secondary structure in the RNA (Sen *et al.* 2022) and codon degeneracy are known to elevate *ti*

phenomenon in a genome over *tv* (Muse and Gaut 1994; Aziz *et al.* 2022). In a standard genetic

code table out of 549 total single nucleotide variations (SNVs) involving 61 sense codons (9

SNVs per codon), only 134 SNVs are synonymous (24.4%). Among synonymous (*Sti* and *Stv*)

and non-synonymous (*Nti* and *Ntv*) variations, the theoretically estimated ($_e$) numbers of *Sti*, *Stv*,

Nti and *Ntv* in a genetic code table are 62, 72, 121 and 294, respectively (Supplementary Table

1). Yet the observed synonymous variations are always higher than the expected proportion

across genes (Wolfe *et al.* 1989; Tamura 1992; Moriyama and Powell 1997; Ngandu *et al.* 2008)

due to stronger purifying selection on non-synonymous variations than the synonymous

variations (Hurst and Pál 2001). Hence, by accounting the codon degeneracy as well as PTC, the

Sti, *Stv*, *Nti* and *Ntv* in the genetic code table can be estimated theoretically for each codon

(Supplementary Table 2).

The codon substitution model suggests that sites within codons evolve at different rates

and consequently they should not be equally treated (Muse and Gaut 1994; Shapiro *et al.* 2006;

Arenas 2015). In the codon substitution model, using likelihood approach the $\frac{ti}{tv}$ ratio was

estimated in accordance with the codon degeneracy class which was implemented in estimating

dN/*dS* in coding sequences (Muse and Gaut 1994; Goldman and Yang 1994). Recently, by

accounting $\frac{ti}{tv}$ substitution rate difference in codons as well as nonsense variations in PTC, the

dN/*dS* values have been improved (Aziz *et al.* 2022). This finding implies that factors such as

codon degeneracy and PTC composition should be considered while analyzing the evolution of

protein-coding sequences. The ratio of *ti* to *tv* has been studied for reliable estimation of

sequence distance and phylogeny reconstruction (Yang and Yoder 1994). Using maximum

likelihood approach, the $\frac{ti}{tv}$ ratio (commonly known as kappa *κ*) has been used to mainly compare

the $\frac{ti}{tv}$ values among different species (Yang and Yoder 1994). The parameter *κ* has not been

implemented to compare coding sequences within a genome in the context of their compositional

differences of different degenerate classes before. As the selection pressure on synonymous and

non-synonymous variations are heterogeneous (Bartolomé *et al.* 2005), it is important to analyze

the $\frac{ti}{tv}$ values among different coding sequences within an organism by accounting synonymous

sites and non-synonymous sites regarding their compositional differences of different degenerate

codons.

It is noteworthy that in a genome, the number of potential replacements is greater for non-

synonymous alterations, whereas the frequency of actual variations is higher for synonymous

alterations among coding sequences. The proposed improved estimators account for the observed

variations out of the total possible variations. On the contrary, the conventional method only

takes an account of the observed *ti* and *tv*. The possible sites for *Sti* and *Stv* are also different

among coding sequences, which is dependent upon the codon composition belonging to different

degeneracy classes. The conventional $\frac{ti}{tv}$ ratio does not adequately account for the limitations of

variable selection against synonymous and non-synonymous changes. Given that there is a

significant variation of substitution rates among protein coding sequences within a genome (Wu

and Li 1985), an appropriate methodology for the estimation of *ti* to *tv* ratio is required. We are

presenting an improved estimator that builds upon the research conducted by Yasuo Ina in 1998

(Ina 1998). Considering the differential selective constraint in synonymous and non-synonymous

variations it is crucial to separately analyze the synonymous $\frac{ti}{tv}$ and non-synonymous $\frac{ti}{tv}$ to gain a

comprehensive understanding of the overall $\frac{ti}{tv}$. Hence, all these parameters make the

requirement of an improved estimator instead of the conventional estimator. The proposed

estimators used in this study are helpful to estimate the *ti* and *tv* rate differences in coding

sequences by accounting the compositional difference in terms of codon degeneracy and PTC.

The proposed estimator is useful in comparative study of mutation bias between two coding

sequences in an organism, as it normalizes the $\frac{ti}{tv}$ ratio in form of observed variations out of total

possible variations. Further, we observed a correlation between TFD: FFD and $\frac{Sti}{Stv}$ values and the

PTC% and $\frac{Nti}{Ntv}$ values. We tried to establish the biological significance of codon composition and

$\frac{ti}{tv}$ ratio across 2516 coding sequences of *E. coli*. Interestingly, coding sequences with similar

synonymous variation frequency are found to have a wide variation regarding their non-

synonymous variation frequency. The improved method developed in the present study may be

applied to study different $\frac{ti}{tv}$ ($\frac{Sti}{Stv}$ and $\frac{Nti}{Ntv}$) across coding sequences.

Materials and Methods

Coding sequences of *E. coli* genome and finding out *ti* and *tv*

In this study, we carried out a computational analysis of SNVs in 2516 protein coding

sequences across 157 strains of *E. coli* (Thrope *et al.* 2017). The information regarding the

dataset selected for the present study is provided in Supplementary Table 3. Although the public

database has ~4500 coding sequences in *E. coli* genome, we excluded those coding sequences

exhibiting size differences and improper alignment/annotation across the strains. Codons having

double or triple substitutions or variations represented by an ambiguous nucleotide were not

considered further in the analyses of the variations. The procedure in detail used for estimating

SNVs is represented in Supplementary Table 4 using example of a hypothetical sequence. This

procedure is based on intra-species genome sequence comparison to find SNVs in bacterial

genomes. A reference sequence was generated considering the most frequent nucleotide

occurrence at a site in the gene sequence. The logic behind the derivation of the reference

sequence is that the most abundant nucleotide in a position is considered as the ancestral

nucleotide for the position (Sen et al. 2022; Aziz *et al.* 2022; Sen 2022, Beura *et al.* 2023).The

observed SNVs (SNV_o) at different positions of each codon were categorized into Sti_o, Stv_o, Nti_o

and Ntv_o, where S for synonymous, N for non-synonymous, ti_o for observed transition and tv_o for

observed transversion, and subsequently ti_o ($Sti_o + Nti_o$) and tv_o ($Stv_{o+} Ntv_o$) for each gene sequence

were calculated (Supplementary Table 5). After finding out the observed variation values for a

gene, we estimated the total number of variations such as Sti_e, Stv_e Nti_e, Ntv_e, ti_e and tv_e possible

theoretically in the gene. We derived the reference sequence for each gene based on the

methodology explained above. The reference sequence was used for the theoretical estimation of

these variations. For example, if   GGG codon abundance is 10 in a gene, then the total

theoretically estimated value for *Sti*, *Stv*, *Nti* and *Ntv* are 10, 20, 20 and 40 (*Sti*, *Stv*, *Nti* and *Ntv*

for GGG codon is 1, 2, 2, and 4, respectively). The *Sti*, *Stv*, *Nti,* and *Ntv* for each codon in the

genetic code table are given in Supplementary Figs (1a, 1b, 1c). This information for each codon

was used to calculate the theoretically estimated SNVs (Sti_e, Stv_e, Nti_e and Ntv_e) for each gene

under the study (Supplementary Table 6). The values of the observed SNVs   (Sti_o, Stv_o, Nti_o,

Ntv_o, ti_o, and tv_o) and the values of estimated SNVs (Sti_e, Stv_e Nti_e, Ntv_e, ti_e, and tv_e) of a coding

sequence are then used in the modified estimator to find out different $\frac{ti}{tv}$ ratio ($\frac{Sti}{Stv}$; $\frac{Nti}{Ntv}$)

(Supplementary Table 7). A detailed workflow is provided in Fig. 1.

Improved estimators

We proposed an improved mathematical equation for the calculation of different $\frac{ti}{tv}$ ratio as

described below.

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$$\frac{ti'}{tv'} = \frac{\left(ti_o/ti_e\right)}{\left(tv_o/tv_e\right)} \qquad\qquad\qquad \textbf{Equation 1}$$

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$$\frac{Sti'}{Stv'} = \frac{\left(Sti_o/Sti_e\right)}{\left(Stv_o/Stv_e\right)} \qquad\qquad\qquad \textbf{Equation 2}$$

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$$\frac{Nti'}{Ntv'} = \frac{\left(Nti_o/Nti_e\right)}{\left(Ntv_o/Ntv_e\right)} \qquad\qquad\qquad \textbf{Equation 3}$$

Where, $\frac{ti'}{tv'}$ is improved $\frac{ti}{tv}$ ratio, $\frac{Sti'}{Stv'}$ is improved $\frac{Sti}{Stv}$ ratio, $\frac{Nti'}{Ntv'}$ is improved $\frac{Nti}{Ntv}$ ratio: ti_o is number of

transitions observed, ti_e is the number of possible transitions estimated theoretically, tv_o is the

number of transversions observed, tv_e is the number of possible transversions estimated

theoretically, Sti_o is number of synonymous transitions observed, Sti_e is the number of

theoretically estimated synonymous transitions, Stv_o is number of synonymous transversions

observed, Stv_e is the number of theoretically estimated synonymous transversions, Nti_o is number

of non-synonymous transitions observed, Nti_e is the number of theoretically estimated non-

synonymous transitions, Ntv_o is number of non-synonymous transversions observed, Ntv_e is the

number of theoretically estimated non-synonymous transversions. We calculated $\frac{ti}{tv}$ ratios for all the

coding sequences using a program: a script written in Python-language is available at GitHub in the

following link: (https://github.com/CBBILAB/CBBI.git).

Using our improved approach, the estimated *Sti, Stv*, *Nti*, and *Ntv* values for the 2516 coding

sequences were calculated and analyzed. To further understand the better applicability of our

approach, we found out $\frac{ti}{tv}$ in all these 2516 coding sequences using maximum likelihood (ML)

approach available in MEGA-X (Kumar *et al.* 2018) and compared it with the values estimated

using the improved approach described in this study.

Comparative representation of different $\frac{ti}{tv}$ through the conventional and the improved

estimators

A comparative analysis of $\frac{ti}{tv}$ values calculated by using the conventional method and the

improved method was performed to prove the better accuracy of our method proposed in this study.

Also, we calculated the ratio between the *two-fold degenerate* and the *four-fold degenerate* codons

(TFD: FFD) and the percentage of pretermination codons (PTC%) in each gene and performed

statistical analysis between different parameters. The percentage (%) change in $\frac{Sti}{Stv}$ and $\frac{Nti}{Ntv}$ values

obtained by conventional and improved methods calculated as follows.

(a) $\quad \frac{Sti}{Stv}$ % change $= \dfrac{\frac{Sti}{Stv} - \frac{Sti'}{Stv'}}{\frac{Sti}{Stv}} \times 100$

(b) $\quad \frac{Nti}{Ntv}$ % change $= \dfrac{\frac{Nti}{Ntv} - \frac{Nti'}{Ntv'}}{\frac{Nti}{Ntv}} \times 100$

Statistical analyses

OriginPro, Version 2022, OriginLab Corporation, Northampton, MA, USA was used to

draw the Box-plot/Scatter plots as well as to perform the Mann-Whitney test (Mann and Whitney

1947) to find out the *p*-value. The correlation plot was drawn for R^2 value and Pearson's

correlation coefficient (Pearson 1896) was also calculated between the $\frac{ti}{tv}$ values obtained using

the conventional and improved method.

Results

Impact of codon degeneracy and PTC on $\frac{Sti}{Stv}$ and $\frac{Nti}{Ntv}$ values in *E. coli*

We found out the total number of TFD, FFD, and PTC codons across 2516 coding

sequences of *E. coli* and the percentage of TFD, FFD, and PTC was calculated (Supplementary

Table 3). The percentage (%) compositional differences in the 2516 coding sequences represented as

TFD%, FFD%, and PTC% were presented using box-plot (Supplementary Fig. 5). A variable range

of TFD: FFD ratio was observed in the coding sequences of *E. coli*. The minimum to maximum

TFD:FFD ratio was observed as 0.229 and 3.333 in *sugE* and *mntS*, respectively. A summary of the

different parameters observed throughout the dataset was presented in Table 1. Among 2516 coding

sequences, only 35 coding sequences possessed the TFD:FFD ratio of 1.000, which suggested that

there exists a compositional asymmetry between TFD codon and FFD codon compositions across

the coding sequences. We presented the TFD%, FFD% and PTC%, using a multi-paneled scatter

plot to study the correlation between any two pairs (Fig. 2). As expected, the FFD and the TFD

compositions exhibited a negative correlation (Pearson *r* value -0.56). The FFD and the PTC

compositions exhibited a negative correlation (Pearson *r* value -0.65), while the TFD and the PTC

compositions exhibited a positive correlation (Pearson *r* value 0.83) because the eighteen PTC

codons include ten TFD codons and only one FFD codon. It also explained a positive correlation

(Pearson r value 0.81) observed between TFD: FFD ratio and the PTC. The compositional variation

(TFD, FFD and PTC) observed in coding sequences were considered to validate the accuracy of

estimation of different $\frac{ti}{tv}$ ($\frac{Sti}{Stv}$; $\frac{Nti}{Ntv}$) ratio using the conventional and the improved method.

We estimated $\frac{ti}{tv}$ by accounting codon degeneracy as well as PTC in coding sequences and

compared with the conventional method of $\frac{ti}{tv}$ in coding sequences. Theoretically, a coding region

entirely composed of FFD codons will result into a twice $\frac{Sti'}{Stv'}$ value than $\frac{Sti}{Stv}$ value, similarly a coding

region composed of same proportion of FFD and TFD codons will result into equal values of $\frac{Sti'}{Stv'}$

and $\frac{Sti}{Stv}$. The increase in TFD codon proportion will have direct impact on the difference between $\frac{Sti}{Stv}$

values and $\frac{Sti'}{Stv'}$ values. This pattern was observed in a hypothetical sequence considered as presented

in the Supplementary Fig. 2.

The complete set of observed and estimated values of different variations in the 2516

coding sequences of *E. coli* were enlisted (Supplementary Table 3). These values were used to

estimate the $\frac{ti}{tv}, \frac{Sti}{Stv}$ and $\frac{Nti}{Ntv}$ using the conventional method. The improved method was used to also

estimated the $\frac{ti'}{tv'}, \frac{Sti'}{Stv'}$ and $\frac{Nti'}{Ntv'}$. A comparative analysis was made between the values derived

using the conventional method ($\frac{ti}{tv}, \frac{Sti}{Stv}$ and $\frac{Nti}{Ntv}$) and the values derived using improved method

($\frac{ti'}{tv'}, \frac{Sti'}{Stv'}$ and $\frac{Nti'}{Ntv'}$) (Supplementary Table 3). A box-plot showing the comparison between the

values of different $\frac{ti}{tv}$ ratio obtained through the conventional and improved method is represented

in Supplementary Fig. 7a. It was evident that the values estimated using the improved method were

significantly different from that estimated using the conventional method (*p* value <0.01). The

change in conventional and improved ratios between the synonymous and the non-synonymous

$\frac{ti}{tv}$ could be better visualized in Supplementary fig. 7b.

The synonymous variation in the coding sequences is likely to be different considering the

differential composition of TFD and FFD codons, because when FFD codon proportion is higher in

a gene, $\frac{ti}{tv}$ value is going to be lower. Similarly, when the FFD codon proportion is lower, $\frac{ti}{tv}$ value is

going to be higher. We analyzed the coding sequences exhibiting significant discrepancies between

the two set of values ($\frac{Sti}{Stv}, \frac{Sti'}{Stv'}$). Some of the genes exhibiting higher value in the $\frac{Sti'}{Stv'}$ are DNA

binding transcriptional regulation, ABC transporter, DNA polymerase III subunits, and Cytochrome

Bo3 subunits. These coding sequences are comprised of higher FFD% than the TFD%. Some of the

genes such as transcriptional regulator, toxin-antitoxin biofilm protein, and Iron-Sulphur cluster

exhibited lower value in the $\frac{Sti'}{Stv'}$ as these coding sequences are composed of higher TFD% than FFD

%.

The Pearson correlation coefficient value between TFD: FFD and % change in $\frac{Sti}{Stv}$

(conventional to improved) was obtained to be 0.891, signifying a strong correlation. Similarly, the

Pearson correlation coefficient value between PTC% and % change in $\frac{Nti}{Ntv}$ was obtained to be -0.488,

a moderate negative correlation between the two variables. The results were found to be statistically

significant at $p<0.01$, for $\frac{ti}{tv}$ & $\frac{ti'}{tv'}$, $\frac{Sti}{Stv}$ & $\frac{Sti'}{Stv'}$ and $\frac{Nti}{Ntv}$ & $\frac{Nti'}{Ntv'}$. Further the correlation graph between %

change in $\frac{Sti}{Stv}$ and TFD: FFD and % change in $\frac{Nti}{Ntv}$ and PTC% also substantiate the role of codon

composition and $\frac{ti}{tv}$ ratio (Fig. 3a & 3b). Further, we performed a simulation study to substantiate the

impact of the compositional difference of degeneracy class in estimating $\frac{Sti}{Stv}$ by using our improved

method in coding sequences (Supplementary Table 8, Supplementary Fig. 3). The values obtained

by the improved method were also different from the values obtained by the ML based method

(Supplementary Table 9, Supplementary Fig. 4), as the degeneracy as well as PTC was not

accounted in the ML based method.

***Sti*, *Stv*, *Nti* and *Ntv* frequency in coding sequences**

The frequency of *Sti*, *Stv*, *Nti* and *Ntv* were calculated and compared across the 2516 coding

sequences. For Instance, the *Sti* frequency was calculated by considering the number of total *Sti*

changes divisible by total possible *Sti* changes in a gene. Similarly, the remaining parameters (*Stv*,

Nti and *Ntv*) were also calculated using the above logic, which follows our improved estimator i.e.

observed number of changes out of total possible changes. The Supplementary Table 11 represents

the overall *Sti*, *Stv*, *Nti* and *Ntv* values of the 2516 coding sequences considered for the study. The

mean frequency values of *Sti*, *Stv*, *Nti*, and *Ntv* in the 2516 coding sequences were 0.113, 0.034,

0.014 and 0.004, respectively. (Supplementary Table 11, Fig. 4). This suggested the decreasing order

of the different substitutions in genome such as *Sti > Stv >Nti > Ntv*. The values suggested that *Sti*

was 3.3 times more frequent than *Stv*, *Nti* was 3.7 times more frequent than *Ntv*, *Sti* is 8.2 times more

frequent than *Nti* and *Stv* was 9.3 times more frequent than *Ntv*. The relative purifying selection on

Nti and *Ntv* in relation to their synonymous counter parts were similar. *Sti*, the most frequent

substitution, was 28.25 times more frequent than *Ntv*, the least frequent substitution in this study.

This we believe a distinct demonstration of frequencies of different substitutions such as *Sti, Stv*, *Nti*,

and *Ntv* in *E. coli* coding regions.

Further we did a Pearson correlation study between different base substitution values that

were obtained by using the conventional estimator as well as by the improved estimator (Table 2).

The Pearson correlation value (*r*) between *Sti* and *Stv* was 0.920 in conventional method and 0.795

in improved method, respectively. Between *Nti* and *Ntv*, the Pearson correlation value was observed

as 0.789 in conventional method and 0.612 in improved method, respectively. A lower correlation in

the case of improved method was expected considering the normalization of coding region size in

this method. Therefore, the correlation result using the values from the improved method was the

true representation than the correlation values obtained in case of the conventional method where

size of the coding region was not normalized. This was more evident in the correlation between *Sti*

and *Nti*: the Pearson correlation value (*r*) between *Sti* and *Nti* was 0.527 in conventional method

whereas the same was 0.192 in case of the improved method. The low correlation is expected here

due to the independent nature of the purifying selection on non-synonymous variation. Therefore,

our improved method is more appropriate in estimating the distinctive selection patterns on coding

regions by doing comparison between *Sti* and *Nti* (Table 2).

As part of our comparative study, we investigated the coding sequences of similar *Sti* and

different *Nti* values (Fig. 5). In a set of 20 coding sequences with similar *Sti* values such as 0.131,

the *Nti* values were ranging from 0.000 to 0.030. This wide range of *Nti* values, with a maximum 30-

fold variation, highlighted the presence of a variable *Nti* pattern within the respective coding

sequences. Similar observation could be found in other *Sti* values. We compared *Sti* and *Nti* values

in relation to gene expression represented with codon adaptation index (CAI) values (Sharp and Li

1987; Sen et al. 2019). We studied coding sequences with size more than 500 bp. The top 100

coding sequences with maximum *Sti* values (mean 0.255) were compared with bottom 100 coding

sequences with minimum *Sti* values (mean 0.006). The mean CAI values for the top 100 coding

sequences were 0.565 whereas the mean CAI values for the bottom 100 coding sequences was

0.509. The two mean CAI values were found to be close. This indicates the *Sti* values might not be

influenced significantly due to gene expression. Similarly, the top 100 coding sequences with

maximum *Nti* values (mean 0.036) were compared with bottom 100 coding sequences with

minimum *Nti* values (mean 0.001). The mean CAI values for the top 100 coding sequences were

0.491 whereas the mean CAI values for the bottom 100 coding sequences was 0.638. The two mean

CAI values of the coding sequences were found to be significantly different ($p<0.01$). The selection

due to gene expression is stronger on *Nti* than on *Sti* in *E. coli*.

Discussion

Codon degeneracy influences the *ti* to *tv* ratio in coding sequences because a TFD codon

undergoes synonymous variation only by transition while a FFD codon undergoes synonymous

variation by transition as well as transversion (Aziz *et al.* 2022). In addition, there are only 18

pretermination codons in the genetic code table where nonsense substitution is rare to observe.

Coding sequences in a genome vary with composition of codons belonging to different

degeneracy as well as PTC. Therefore, it is important to compare coding sequences regarding

their $\frac{ti}{tv}$ ratio by accounting degeneracy and PTC. Accordingly, in this study we have developed a

methodology to estimate $\frac{ti}{tv}$ ratio by accounting to the above features in coding sequences. In total

2516 coding sequences of *E. coli* have been analyzed. The impact of codon degeneracy and PTC

is observed distinctly on the ti/tv values in coding sequences. Interestingly, the coding sequences

are observed to be different regarding their $\frac{ti}{tv}$ ratio even after accounting to the composition of

degenerate codons and PTC. In fact, the $\frac{Sti}{Stv}$ values are observed to be variable among the coding

sequences, which is intriguing. We did not observe any significant correlation of $\frac{Sti}{Stv}$ values with

the gene expression represented as CAI values. The role of context dependent mutation (Sung *et*

al. 2015; Zhu *et al.* 2017; Aikens *et al.* 2019; Ling *et al.* 2020), which has been described

recently, might be a contributing factor that needs to be investigated in the future.

Our investigation into *ti* and *tv* revealed that *Sti* is around three times more frequent than

Stv while it is eight times more frequent than *Nti*. The difference between *Sti* and *Stv* may be

attributed due to the structural changes in DNA happening due to mispairing between either

purine: purine or pyrimidine: pyrimidine but the difference between *Sti* and *Nti* may be attributed

to the purifying selection acting on the coding sequences because of the non-synonymous

changes. The magnitude of the purifying selection acting on non-synonymous substitution in *E.*

coli genome is vividly observed. The strength of purifying selection on *Nti* and *Ntv* seems

comparable considering the closeness of the $\frac{Sti}{Stv}$ and the $\frac{Nti}{Ntv}$ values. In a recent study by Zou and

Zhang (Zou and Zhang 2021) suggests that the purifying selection is more on *Ntv* than on *Nti*

which is unlike the observation reported in our study. Our different observation from that of Zou

and Zhang might be attributed to the intra-species study limited to *E. coli* whereas Zou and

Zhang worked on an inter-species approach covering 90 clades representing all domains of life.

Further, in our estimation of *Nti* and *Ntv* we have accounted codon degeneracy and PTC.

The correlation values between different substitutions such as *Sti* and *Stv*, as well as

between *Sti* and *Nti* were higher in case of the conventional method than that in the case of the

improved method. This is an important observation in our study and distinctly demonstrate the

superiority of the improved method over the conventional method, which is explained as follows.

In case there is no selection on *Sti*, the number of *Sti* is likely to be directly proportional with the

number of synonymous transition sites available in a coding sequence. So, *Sti* is likely to

correlate strongly with gene size. In case of *Nti*, purifying selection is strong, for which the

number of *Nti* observed in a coding sequence is significantly lower than the number of *Sti*

observed in the sequence though the number of theoretically possible *Nti* sites is always higher

than the number of theoretically possible *Sti* sites. The number of observed *Nti* is also likely to be

proportional with the possible *Nti* sites in a coding sequence. So, the number of *Nti* is likely to be

proportional with gene size. Therefore, we can anticipate a strong positive corelation between *Sti*

and *Nti* in the 2516 coding sequences. This is indeed what we have observed in our analysis here.

In the improved method to estimate *Sti* and *Nti* described in this study has normalized the number

of possible sites for *Sti* as well as *Nti* (or gene size). The improved *Sti* approach provides us an

idea regarding the frequency of the substitution per *Sti* site whereas the improved *Nti* approach

provides us an idea regarding the frequency of the substitution per *Nti* site. As size has been

normalized, corelation between improved *Sti* and improved *Nti* is likely to be lower. Further, the

value of improved *Sti*/improved *Nti* is more suitable to understand the purifying selection on a

gene than *Sti*/*Nti*. A similar explanation may be also given for the *Sti* and *Stv* correlation. As gene

size increases, *Sti* and *Stv* also increases, for which strong positive observed between the between

the two values. In case of improved *Sti* and improved *Stv* cases the possible sites have been

normalized respectively. Susceptibility of different sites for *ti* and *tv* are not same as evident by

C→T *ti* and G→T *tv* are more frequent than the other *ti* and *tv*, respectively (Sen *et al*. 2022;

Beura *et al.* 2023). Therefore, the correlation value between improved *Sti* and improved *Stv* is

likely to be weaker than the same between *Sti* and *Stv* values.

368   As transitions are more frequent events than transversion, transition can be considered

alone to understand the selection on coding sequences. Considering *Sti* representing the variation

frequency in a coding sequence, the *Nti* representing the strength of purifying selection acting on

the coding sequence, a comparison between *Sti* and *Nti* in a coding sequence might represent the

strength of purifying selection acting on it. In several instances, we have observed that coding

sequences with equal *Sti* variation frequency are highly different regarding their *Nti* variation

frequency, which indicates disproportionate purifying selection on coding sequences.

Additionally, when we compared the mean CAI values of the coding sequences with higher *Sti*

values against the mean CAI values of the coding sequences with lower *Sti* values, we did not

find any significant difference. On the contrary, when we conducted the same analysis for the *Nti*

values, we observed a significant distinction between the CAI values of coding sequences with

higher *Nti* values and those with lower *Nti* values. This observation indicates that highly

expressed genes tend to have lower *Nti* values. Consequently, it suggests that purifying selection

due to gene expression is more pronounced for *Nti* values than for *Sti* values. Since our study on

selection relies on intra-species analysis, we anticipate that future research will unveil the

underlying mechanisms of selection governing variation frequency in inter-species studies as

well. A probable discrepancy between the process of selection between intra-species and inter-

species can be drawn. This is a simple demonstration of observing purifying selection acting on

coding sequences. Though the difference between *ti* and *tv* have been known for a long time, we

could use it to study selection on coding sequences because of the improved estimator.

Various methods have been implemented in the estimation of the *ti* to *tv* ratio by

molecular evolutionary biologists to understand the mutation as well as selection bias. The

phylogeny-based method and Bayesian methods have been implemented by researchers in the

inter-species study of $\frac{ti}{tv}$ (Purvis and Bromham 1997; Huelsenbeck *et al.* 2001). Usually the

dN/dS approach has been used to visualize the selection in coding sequences (Aziz *et al.* 2022)

that considers both *ti* and *tv*. However, our method implemented in this study is simple, succinct,

easy to follow for researchers in the field with limitations in mathematics and provides an insight

to understand the evolution of protein coding sequences. We also did a comparison with the ML

method. It has been said that the likelihood methods are computer intensive and difficult to apply

to large datasets and can fall into local traps (Golding and Felsenstein 1990). Furthermore, the

extrapolation of our method in various inter-species studies, as well as phylogenetic tools can

enhance the horizon of our understanding regarding mutational bias in coding sequences.

Data and software availability

A script written in Python-language is available at GitHub in the following link:

(https://github.com/CBBILAB/CBBI.git). Further queries regarding the software may contact SSS

(ssankar@tezu.ernet.in). The authors confirm that the data supporting the findings of this study are

available within the article and Supporting information.

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Conflicts of interest

The author(s) declare no conflict of interest.

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Figure_legend_Table

Fig. 1. Schematic representation demonstrating step wise workflow for calculation of $\frac{ti'}{tv'}$ using the

improved method. Step wise calculations for the workflow are fgiven for a sample gene in the

Supplementary Table S5, S6, S7.

Fig. 2. The multi-paneled scatter plots elucidate the comparison of codon composition between

different parameters such as TFD% to FFD%, FFD% to PTC%, TFD% to PTC % and TFD: FFD to

PTC%. In total 2516 *E. coli* genes were considered while calculating these values. The *x*-axes and

the *y*-axes represent different parameters of codon compositions in the individual plots. The Pearson

r(TFD%, FFD%) and Pearson *r*(FFD%, PTC%) were observed to be -0.56 and -0.65 respectively,

indicating a negative moderate correlation. Whereas, the Pearson r (TFD%, PTC%) and Pearson

r(TFD: FFD, PTC%) were observed to be 0.83 and 0.81 respectively, indicating strong correlation.

(a)

(b)

Fig. 3. Regression plots between % change in $\frac{Sti}{Stv}$ and TFD: FFD is presented in fig.3(a) and that

between $\frac{Nti}{Ntv}$ and PTC% is presented in fig.3(b) for the *E. coli* genes. Percentage change in $\frac{Sti}{Stv}$ is

showing a strong correlation with the TFD: FFD with a Pearson correlation coefficient value 0.89,

whereas % change in $\frac{Nti}{Ntv}$ is showing a weak negative correlation with the PTC% with a Pearson

correlation coefficient value -0.46.

Fig. 4. The figure illustrates distribution of *Sti*, *Stv*, *Nti*, and *Ntv* values using box-plot. The log

scale has been used in the *y*-axis. The mean *Sti* and *Stv* were observed as 0.113 and 0.031

respectively, similarly the mean *Nti* and *Ntv* values were observed as 0.014 and 0.004. *Sti* was

observed to be more than *Stv* and *Nti* was observed to be more than *Ntv*. The *Sti* and *Stv* were

significantly different (*p*<0.01) from *Nti* and *Ntv* respectively.

Fig. 5. The figure illustrates the *Sti* and *Nti* values of coding sequences used in the study through a

LOESS curve. The left *y*-axis shows the *Sti* values of coding sequences, and the right *y*-axis shows

the *Nti* values of the coding sequences. The *x*-axis shows the numbers of coding sequences

considered in this study. Each black bubble in the background shows the quantities of the ratio

calculated for *Sti* and *Nti*. A Weak correlation (*Pearson r*=0.19) between the two variables can be

visible distinctly throughout the SINE wave. It is evident that many coding sequences with similar

Sti values have a wide range of Nti values.

Table 1.

Nucleotide and codon compositional features of the 2516 coding sequences considered in the study

Genes considered	Size bp (Min-Max)	GC% (Min-Max)	TFD/FFD (Min-Max)	PTC% (Min-Max)	TFD%	FFD%	PTC%	
					33.384	34.084	36.350	Mean
2516	93-4554	32.95-63.80	0.22-3.33	15.00-57.14	5.726	4.761	5.625	S. D

Table 2. Pairwise Pearson correlation coefficient among *Sti*, *Stv*, *Nti* and *Ntv* through conventional and improved estimator

Pearson correlation coefficient	Conventional method	Improved method
	Observed values	Normalized values (Obs/Est)
Sti & Stv	0.920	0.795
Nti & Ntv	0.789	0.612
Sti & Nti	0.527	0.192
Stv & Ntv	0.544	0.257