1 **Main Manuscript for**

2 Online decoding of covert speech based on the passive perception of

3 speech

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Abstract

Background: Brain-computer interfaces (BCIs) can offer solutions to communicative impairments

induced by conditions such as locked-in syndrome. While covert speech-based BCIs have

garnered interest, a major issue facing their clinical translation is the collection of sufficient

volumes of high signal-to-noise ratio (SNR) examples of covert speech signals which can typically

induce fatigue in users. Fortuitously, investigations into the linkage between covert speech and

speech perception have revealed spatiotemporal similarities suggestive of shared encoding

mechanisms. Here, we sought to demonstrate that an electroencephalographic cross-condition

machine learning model of speech perception and covert speech can successfully decode neural

speech patterns during online BCI scenarios.

Methods: In the current study, ten participants underwent a dyadic protocol whereby participants

perceived the audio of a randomly chosen word and then subsequently mentally rehearsed it.

Eight words were used during the offline sessions and subsequently narrowed down to three

classes for the online session (two words, rest). The modelling was achieved by estimating a

functional mapping derived from speech perception and covert speech signals of the same

speech token (features were extracted via a Riemannian approach).

Results: While most covert speech BCIs deal with binary and offline classifications, we report an

average ternary and online BCI accuracy of 75.3% (60% chance-level), reaching up to 93% in

select participants. Moreover, we found that perception-covert modelling effectively enhanced the

SNR of covert speech signals correlatively to their high-frequency correspondences.

Conclusions: These findings may pave the way to efficient and more user-friendly data

collection for passively training such BCIs. Future iterations of this BCI can lead to a combination

of audiobooks and unsupervised learning to train a non-trivial vocabulary that can support proto-

naturalistic communication.

Significance Statement

Covert speech brain-computer interfaces (BCIs) provide new communication channels. However,

these BCIs face practical challenges in collecting large volumes of high-quality covert speech

data which can both induce fatigue and degrade BCI performance. This study leverages the

reported spatiotemporal correspondences between covert speech and speech perception by

deriving a functional mapping between them. While multiclass and online covert speech

classification has previously been challenging, this study reports an average ternary and online

classification accuracy of 75.3%, reaching up to 93% for select participants. Moreover, the current

modelling approach augmented the signal-to-noise ratio of covert speech signals correlatively to

their gamma-band correspondences. The proposed approach may pave the way toward a more

efficient and user-friendly method of training covert speech BCIs.

Main Text

Background

Many individuals with disabilities do not have a means of functional communication. To address

this issue, brain-computer interfaces (BCIs) have stepped in to offer a solution (for a review, see

(1)), but thus far, the vast majority of BCIs demand user performance of control tasks unrelated to

communication (e.g. a popular task being motor imagery), making BCIs unintuitive and mentally

taxing to use. Of the many tasks surrounding BCIs, covert speech has become increasingly

popular in the context of restoring communication. Covert speech (CS) is the mental imagery of

speaking without articulation (2,3). It is often referred to as inner speech, an operationalized term

in neurolinguistics for language-related thoughts (4–6). This task has been linked to a diverse set

of neurocognitive functions including reading, writing, planning, and memory (7–9). Due to its

ubiquity, CS has been gaining popularity in the fields of neurosciences and BCI. CS is a

promising task in speech restoration as it is intuitive and naturally aligned with the functional goal

of communication (rather than doing a separate unrelated task to control a communication aid).

The development of BCIs on the basis of CS has only recently begun to garner momentum and

interest, with studies revealing promising results on classifying units of CS (10–14). For instance,

studies have demonstrated the potential of decoding neural patterns relating to the imagery of

consonants and vowels (14, 15), phonemes (16,17), syllables (18), whole words (19,20), and

sentences (21).

Although the theoretical potential of CS BCIs is undisputed, this task faces a tremendous barrier

to practical translation. Specifically, it is challenging to collect large volumes of reliable data

corresponding to CS. Over an extended period of time, the task itself, i.e., repeated mental

rehearsal of words without feedback, is fatiguing and not conducive to sustaining user attention or

engagement. Lack of reliable training data then leads to degradation of BCI performance (22,23).

It is thus currently difficult to create a CS BCI for naturalistic communication with a non-trivial

vocabulary, requiring a more accessible and user-friendly training paradigm. Moreover, most

work on existing CS BCIs appear to focus on offline operation (for a review, see (24)) which

cannot be easily extended to real-time operation. Although many decoding strategies have been

implemented in CS BCIs, there appears to be large variability in performance and success rates

likely due to inconsistency and lower signal-to-noise ratio (SNR) of CS data (24,25). Due to these

issues, a strictly CS paradigm offers limited BCI control.

To address the low reliability of CS training signals, we propose a CS BCI trained in part on

signals pertaining to passive speech perception (SP). The hypothesis that CS can be classified

on the basis of SP stems from the extensive evidence of the bidirectional linkage between

production and perception systems of speech (i.e., action-perception coupling) (26–30). Although

the two processes constitute counter directional processes, there has been accumulating reports

of shared activations in speech processing regions of the brain where the fundamental

contrastive speech units are represented (26–36). Importantly, recent studies have revealed that

SP and CS exhibit temporally correlated patterns of activation in shared and distinct cohorts of

cortical areas, potentially suggesting that both tasks may similarly engage core computations for

processing language (33,37). Given these correlations across tasks, a cross-condition model

trained to accurately classify CS based on SP appears plausible and may eventually reduce the

burden on users. Further progress on this research may potentially lead to the training of CS

BCIs using audiobooks which, coupled with unsupervised learning, could eventually support

proto-naturalistic communication. However, there appears to be a paucity of prototypical

implementations of such a SP-CS model.

Here, we present an online, ternary BCI incorporating a SP-CS cross-task learning model.

Fundamentally, we hypothesized that the discrimination of covertly rehearsed words could be

achieved by analyzing the correspondence of brain signals in SP and CS. In the current study, we

performed ternary classification with a two-layer hierarchical architecture: CS vs. rest (task-level)

and if CS, then speech item 1 vs. speech item 2 (word-level). The protocol involved a unique

dyadic setup whereby in each trial of the experiment, participants heard one of eight words

('arch', 'archbishop', 'gran', 'grandchildren', 'kin', 'kindergarten', 'mar', 'marketplace') and

subsequently mentally rehearsed them upon cue. The two most distinguishable words were then

chosen via offline analysis. Analytically, the signals of the CS portion of a dyad were linearly

transformed via a function derived from both SP and CS data (i.e., Ridge regression). Covariance

matrices were extracted from the transformed CS data, and subsequently projected onto a

tangent space, forming a feature vector. The best performing classifier (among logistic

regression, ridge regression, and support vector machine) and regularization strength were

chosen based on a grid search with cross-validation. Classifying between two words and resting

state data, the online operation of this BCI yielded up to 93% accuracy, averaging between 75-

80% and exceeding the chance level of 60%. Importantly, we found that the proposed perception-

covert modelling effectively enhanced the SNR of CS signals with token-based specificity (i.e., SP

and CS of the same word) and seemingly dependent upon the γ-band correspondence between

tasks.

Materials and Methods

Participants

Ten native English-speaking participants aged 20-40 years, without disabilities or known health

conditions (6 females, 3 males, 1 N/A, 31.4 years old ± 5.8) were recruited for this study. All

participants were right-handed to ensure consistency of hemispheric dominance with respect to

language processing. Age, sex, handedness, ethnicity, previous experience with BCI studies

(yes/no), and fluency in other languages were documented. Participants provided informed

written consent. The study protocol was approved by the research ethics boards of Holland

Bloorview Kid's Rehabilitation Hospital and the University of Toronto.

Instrumentation

We used a 128-channel ActiCap EEG CAP (brainproducts GmbH). 64 channels were utilized

(Fig. 1) with a focus on temporal, central, and parietal regions and ground and reference

electrodes at AFz and FCz, respectively. Channels Fp1 and Fp2 were used as ocular artifact

detectors and were excluded from analyses. Data were sampled at 1000Hz and collected through

BrainVision recorder (Brain Products GmbH) and a lab streaming layer (Schwartz Center for

Computational Neuroscience, Brain Products GmbH).

Figure 1. EEG montage.

Given its millisecond temporal resolution and our interest in leveraging time-evolving properties of

brain oscillations, EEG was chosen as the online BCI modality. EEG has been used extensively

in speech processing research to characterise the temporal dynamics of oscillations in phase

entrainment (38), processing asymmetry (39), speech intelligibility (40), semantic evaluation of

speech (41), categorical processing (42), and oscillatory abnormalities in schizophrenia (43,44).

Offline Experimental Procedure

In this experiment, SP and CS trials were presented in sequential dyads, that is participants

heard a randomly chosen word and subsequently mentally rehearsed the same word (Fig. **2**).

First, participants observed a blank screen with a durational jitter between 1-2 seconds, after

which a green cross was displayed along with an audio of 1 of 8 words (1.5seconds).

Subsequently, another blank screen was displayed (1 second), after which a red cross was

shown signifying the start of CS and cueing participants to rehearse the same token that was

heard (1.5seeconds). Therefore, every SP trial was followed by a CS trial with the same word.

Rest trials were also dyadic in that participants heard a randomly chosen word and subsequently

rested. This procedure was done to simulate an implicit, no-control rest task. Participants

observed a blank screen (1-2 second jitter), followed by a green cross (1 of 8 words), a blank (1

second), the rest primer ("REST") (2 seconds), another blank (1 second), and finally a black cross

(5 seconds), signifying that the participant should rest (Fig. **2**). Additional rest time provided

participants a break. Rest data were epoched at 2-3.5 seconds to limit any event related

potentials that may have occurred at the beginning of the black cross. Each offline block

consisted of 5 SP-CS and SP-Rest trial dyads. Each session consisted of 10 blocks yielding 50

trials/class (Fig. **3**). Participants completed two offline sessions at least 2 days apart and at

roughly the same time of day. The offline sessions took approximately 1 hour.

Figure 2. Experimental protocol. The green, red, and black cross represent SP, CS, and rest,
respectively. The purple brackets depict the time range used for epoching. Blank rectangles
represent denote times when participants faced a blank screen.

Figure 3. Sessional structure: offline session (top row) and online session (bottom row).

Online Experimental Procedure

The online session (session 3) was divided into two parts: same-day data collection (offline) and

online operation. A single Lab streaming layer (LSL) stream was used for both offline and online

portions of session 3. The first two offline sessions were used to determine the two most

distinguishable words out of the 8. The same-day and online trials exclusively used those 2

words. The protocol for same day data collection was the same as that of the previous two offline

sessions with the exception that the epochs in the LSL stream were preprocessed (Fig 2). These

preprocessed trials were accumulated across 7 blocks, yielding 70 trials/class. After finishing 7

blocks of offline, the screen showed a text stating: "Classifier training in progress" (Fig 3). This

process took no longer than 30 seconds. After the participant-specific classifiers had been

trained, participants underwent a 1-minute baseline similar to the same-day data collection

protocol and the two previous offline sessions. Subsequently, the online blocks began with data

being processed from the LSL stream. The online protocol (Fig. 2, bottom two rows) resembled

the offline protocol but data were preprocessed and classified in real time. Therefore, after every

red/black cross, participants were given classifier feedback i.e., the predicted class of the most

recent trial (Fig. **2**). The classification step took no longer than 1 second and confusion matrices

were updated after every trial. Each session was no longer than 1 hour.

Speech items

There were a total of 8 words in this study: arch, archbishop, gran, grandchildren, kin,

kindergarten, mar, marketplace. The 8 words were chosen from the UNION corpus[1] containing

over 16000 words. This corpus was chosen as it provides metrics such as phonology,

phonological Levenshtein distance (i.e., phonological distinctness) and word frequency. For the

current study, we collected monosyllabic and multisyllabic (n≥3 words) to produce better

distinctions between classes.

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[1] http://www.blairarmstrong.net/tools/Union_Subtl_CMUPron/index.html

First, the corpus was divided into one syllable and multisyllable words (n≥3 syllables). Short and

long word pairs with matching phonology were selected (e.g. Arch, Archbishop), narrowing

candidate words to 404 pairs. The reason for this phonology match was that the long words are

filtered by high phonological distinctiveness and a matching phonology would similarly activate

more specific phonological neighborhoods (45,46), encouraging more discernible signals (47,48).

Audio files of words generated with google cloud to speech, approx. 150 wpm, within range of

natural speech rate (49). Next, a θ oscillator-based model of syllabic segmentation was used (50)

which detects boundaries using the sonority of speech. This step was necessary to ensure that

audio signals had clear syllabic boundaries. A gammatone filterbank was constructed on audio

signals (more in Spectrotemporal receptive field section). The oscillator's critical damping was set

to 0.5, the desired center frequency at 4Hz (51), and a threshold at 0.01. Long words with

detected number of syllables equal to the amount of true syllables were selected for the next

step, narrowing down the total number of words to 347 long words. Next, long words were filtered

by phonological Levenshtein distance (threshold = 97.5th percentile) and phonology-matching

short words were filtered by frequency (lowest four among subset) to determine words that would

be most neurally distinguishable. Low frequency words were preferable because they are thought

to focally engage regions of interest in verbal working memory processes, as there are lesser

competitors in the lexical space (52). Therefore, it was thought that neural response patterns to

low frequency words would contain more robust signals due to having fewer competitors in the

lexical space (53,54). With these criteria, 8 words were chosen for the experiment.

Preprocessing

As this was an online study, preprocessing was on a single trial basis. SP and CS trials were

epoched between -1 to 1.5s relative to green/red cross onset. Rest trials were initially epoched

between -1 to 3.5s. After removing the mean of the 1 second baseline prior to the presentation of

the black fixation cross, rest data were selected between 1s to 3.5s. For a similar approach to

rest epoching see (55). (However, a post-hoc test revealed that epoching at the same time as CS

relative to fixation cross onset made no significant impact on classification accuracy.) The initial

sampling rate was 1000Hz. Data were filtered with a zero-phase Butterworth filter with an order of

8, low-passed at 55Hz and subsequently high-passed at 1Hz. Data were then downsampled to

256Hz. Next, we removed ocular and muscular artifacts by independent component analysis

(ICA). 32 ICA components were extracted using the Picard method due to faster performance

(56). Artifacts were detected and subsequently removed from the dataset based on Pearson

correlation between the ICA components and filtered EEG data of selected artifactual sensors

(e.g., Fp1, Fp2) (57), the thresholding (values above which a feature was classified as an outlier)

of which was determined by adaptive z-scoring (57). Above threshold components were masked

and the z-score was iteratively recomputed until no supra-threshold components remained. ICA

weights were stored and updated cumulatively in the offline sessions and subsequently used in

the online session. All preprocessing in offline, same-day data collection, and online were

identical. Trial data comprised 64 channels x 640 samples (2.5s x 256Hz). The preprocessing

pipeline is depicted in Figure **4**.

Figure 4. Preprocessing

Overall classification framework

The purpose of study was to model CS based on SP such that former could be classified with

brain signals from passive listening. To accomplish this, we linearly transformed the CS part of

dyads using the corresponding SP trials regression. Subsequently, features were extracted using

a Riemannian geometry. The two most distinguishable words were determined through an

assessment of offline accuracy of each binary word pairings on a per-participant basis. These two

words were used for the same-day and online portions of session 3 as well as pseudo-online

analyses following sessions 1 and 2 (mimicking online situation with training-testing split of offline

data). Pseudo-online and online classification were done through a hierarchical two-level

architecture: 1) task-level (CS vs rest) and, if CS, 2) word-level (word 1 vs word 2). The overall

classification framework is described in Figure **5**.

Figure 5. Overall classification framework.

Transformation of CS signals

SP and CS trials were standardized (removing mean and scaling to unit variance) on a trial-by-

trial basis and subsequently the first 500ms of data (128 samples) were extracted for analyses.

This window of analysis empirically yielded the best accuracy averaged across folds and

participants. To generate a model of CS based on SP, the CS trial of each dyad was transformed

using the leading SP trial by performing ridge regression between two 64x128 matrices (target =

SP, training = CS). Ridge regression was invoked because our data was highly multicollinear,

which is common in EEG. With 128 samples, the ridge regression regularization parameter,

alpha, was chosen based on 4-fold cross-validation (among values 0.1, 0.2, 0.5, 1, 10) on a per-

trial basis (i.e., 64x128 SP and CS matrices randomly sampled into training and testing sets) and

the best value was consistently found to be 0.1. This optimal alpha value determined during

same-day training was applied to the online portion.

Feature extraction

Covariance matrices were calculated on the trailing trial of dyads using the XDawn algorithm.

XDawn was used because of its efficiency and accuracy with respect to extracting spatial filters of

event related brain potentials and maximizing the signal to signal+noise ratio (58). The Ledoit-

Wolf estimation method was invoked as the current data violated normality (Kolmogorov-Smirnov)

and this method is both well-conditioned and more accurate than the typical sample covariance

matrix asymptotically (59). Covariance matrices were extracted with 2 components (filters) to

yield 8x8 matrices per trial. This result is obtained by the following formulation (60):

$$w^* = \underset{w}{argmax} \frac{w^T P(k) P(k)^T w}{w^T X X^T w}$$

$$Z_i = W^T X_i$$

$$\tilde{Z}_i = \begin{bmatrix} W^{(0)T} P^{(0)} \\ W^{(1)T} P^{(1)} \\ Z_i \end{bmatrix}$$

$$\Sigma_i = \frac{1}{N} \tilde{Z}_i \tilde{Z}_i^T$$

where w^* and W are the XDawn spatial filters derived from generalized eigenvalue decomposition

combined by two class types, (0) and (1), Z_i is the spatially transformed data of X, and $\tilde{Z}_i$ is a

special trial structure consisting of the spatial transform of averaged evoked potentials, P, of

classes (0) and (1), and Z_i. Σ_i represents the covariance matrix with 8x8 dimensions and N

represents the number of time samples.

Although varying numbers of components were tested, as classification typically requires 10

times as many samples as features, we opted to simplify the feature extraction with fewer spatial

filters. Subsequently, the covariance matrices were projected to a tangent space whereby each

matrix, belonging to a manifold, were represented in a Euclidean space (61). After projection,

each matrix was represented as a vector of size $D(D+1)/2$ where D is the dimension of the

covariance matrices. Therefore, each trial yielded a feature vector of 36 elements. Feature

extraction was implemented with the Pyriemann package[2].

Regularization and Classification

In order to determine which two words produced the most distinguishable brain responses, binary

classifications between all word pairings were conducted after combining sessions 1 and 2. We

used logistic regression with l-2 regularization and the optimal inverse regularization strength was

determined through cross validation. For offline analyses, classification was cross validated for 4

folds with 2 repeats. The short-word and long-word pairings with the greatest overall classification

accuracy were used for pseudo-online analyses, same-day data collection, and online

classification.

The aggregated trials from same-day offline data collection were used to train the hierarchical

online classifier. The first level of classification was between CS and Rest, prior to transformation.

The second level of classification was between words. Three different classifiers were assessed:

ridge classification, logistic regression, and support vector machine. The best parameters for

each model type were determined via grid search. The regularization strength parameters for the

models were [0.1, 0.2, 0.5, 1.0, 10.0]. Classification was cross-validated with 10 folds and the

models with the greatest mean accuracy were chosen for online testing, during which the trailing

trial of each dyad also underwent the same 2 classifications. The online classification workflow is

depicted in Figure **6**.

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[2] https://github.com/pyRiemann/pyRiemann

Figure 6. Online hierarchical classification workflow

Classification performance (accuracy and F-score) were determined for each session separately

due to session-to-session variability. Accuracies across sessions were computed using Wilcoxon

rank sum tests for unequal medians, as Shapiro-Wilks tests confirmed violation of normality

(p<0.05). To assess the importance and specificity of the models to inherent SP-CS relationships,

we repeated the above steps with 1) pure CS (no linear transformation) and 2) permuted class

labels of CS. The chance level was determined by 2) to be 60%.

Perturbation testing for determining channel contribution to classification

In order to ascertain the relative contributions of channels during classification, we performed a

perturbation test retrospectively using offline SP and CS data (62). In every iteration, a given

channel of the data was randomly shuffled temporally. We then measured the decrease in

accuracy due to this perturbation compared to the original model with no perturbation. We

repeated this procedure 1000 times to obtain a distribution of accuracy differences and

subsequently computed the p-value through a z-test with alpha at 0.05, rejecting values greater

or equal to this threshold. This procedure was done for every participant, and an overall

topography of accuracy differences was generated by summing across participants. The greatest

contributing channels were identified by highlighting the channels whose values were greater

than the 99th percentile of the overall distribution.

Clustering

As the study involved the classification of 8 words in separate binary pairings, it was possible that

certain words would dominate distinguishability within and among participants. To determine

separability of words, we performed multidimensional scaling (MDS) and hierarchical clustering

on average word event-related potentials (ERPs) of transformed CS models. Multichannel data

were vectorized timewise to produce a N (8192) sample x M (8) class matrix. MDS analyses were

done on these matrices of averaged word ERPs. MDS was conducted with 2 dimensions and a

Euclidean metric of dissimilarity. As for hierarchical clustering, we performed Spearman Rho tests

to obtain a condensed distance matrix and subsequently invoked Ward's linkage to construct the

dendrogram.

Spectrotemporal receptive fields

In addition to hierarchical clustering and MDS, we also derived the spectrotemporal receptive

fields relating to the 8 words, in an attempt to ascertain the strength of the mapping between

stimulus features and neural responses. The spectrograms of the 8 words were obtained with a

gammatone filterbank (63). The waveforms of the audio signals with a sampling rate of 24kHz

were passed through a 64-channel gammatone auditory model filterbank (63), with lowest

frequency of 50Hz and highest frequency of 12kHz. The intermediate equivalent rectangular

bandwidth (ERB) filterbank matrix (64) was derived to process an audio waveform with

gammatone filterbank and subsequently compute its filter coefficients. The gammatone

spectrograms were then downsampled to 256Hz. Next, the average word potentials were derived

by averaging across trials aggregated across participants. STRF was calculated by reverse

correlation coupled with ridge regression (65):

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$$R(t,k) = \sum_{\tau} \sum_{f} h(\tau, f, k) S(t - \tau, f)$$

where $R(t,k)$ is the predicted neural activity at time t and electrode n, $S(t - \tau, f)$ is the

spectrogram representation at time t-τ, and acoustic frequency f. Finally, $h(\tau, f, k)$ is the linear

transformation matrix dependent upon the time lag τ, frequency f, and electrodes k. In other

words, h represents the spectrotemporal receptive field of each electrode. Next, ridge regression

with cross-validation was conducted to locate the optimal ridge parameter, α, that maximized the

r^2 score between predicted and actual neural responses. This optimal parameter value was then

used to compute STRF and average across cross-validation folds. An STRF model was created

for every instance of lag. To control for multiple comparisons, we conducted Monte Carlo

simulation (for more information, see Section: Statistics). Topography of r^2 values were generated

from the lag at which the model maximized performance. The greatest contributing channels were

identified by performing a z-test of the vectorized r^2 scores at significance level 0.05.

Event-related causality

To determine the relative strengths at which neural oscillations contribute to the mapping

between SP and CS, we invoked a measure of causality between the two tasks known as event-

related causality (ERC) as it is proficient in detecting spectral transfer in short data segments of

multichannel data (66). A relationship is thought to be causal if the past information of a variable

significantly improves the prediction of another variable (67). The steps to calculating ERC is can

be found in (66).

ERC was calculated on preprocessed but not standardized data. First, to determine the length of

time in which to calculate ERC, we conducted a series of Adfuller tests and chose the shortest

sample length (186) at which data were stationary was chosen. We next determined the optimal

order of the multivariate autoregressive model (MVAR) by calculating the coefficient matrix of the

data and minimizing the Akaike's information criterion (m=5). Next, we computed the cross-power

spectral density from the MVAR coefficient matrix and subsequently calculated the partial

coherence. The coefficient matrix was then converted to its equivalent spectral transfer function

form and used in tandem with the partial coherence to derive the ERC.

ERC was calculated on all words of SP and CS observations on a per-participant basis. This

yielded a 128-channel x 128-channel x 128-frequency matrix. The upper left and lower right

quadrants of the ERC matrix were removed from further analyses, as these represent self-

causality, and we were only interested in this measure across tasks. To determine significance

level, a Monte Carlo simulation was conducted (see Section: Statistics). The total significant

causality was computed for each participant for each of the 5 frequency bands by summing

across channels and within frequency bands. Subsequently, the ERC of each frequency band

was represented as a proportion of total ERC across all frequencies and channels. Wilcoxon rank

sum tests were used to test significant differences between the causality levels in the five

oscillatory frequency bands (δ, 0.2-2.5Hz; θ, 3-8Hz; α, 9-12Hz; β, 13-25Hz; γ, 30-55Hz).

Topographies were generated by summing the total SP to CS and CS to SP causalities across all

frequencies, or within the θ- or γ-bands. Finally, a z-test of topographical ERC values was

conducted to determine significantly contributing channels (those with p<0.05).

Event-related phase-amplitude coupling (PAC)

To understand whether the θ and γ oscillations of SP and CS dynamically adjust to ongoing

speech, we determined the event-related phase-amplitude coupling (PAC) (68). For this analysis,

we used preprocessed SP and CS signals grouped by long (multisyllabic) and short

(monosyllabic) words. Low frequency θ phase was determined by Butterworth filtering between

3Hz to 7Hz and subsequently computing the angles of the Hilbert transform. High frequency

amplitude was computed by Butterworth filtering between 30 and 60Hz with 0.25Hz widths and

steps of 0.5Hz, and subsequently obtaining the absolute values of the Hilbert transform.

Therefore, PAC was measured time-wise across the γ frequency range (N samples x F

frequencies):

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$$PAC_{\phi\alpha} = \sqrt{\frac{r^2_{ca} + r^2_{sa} - 2r_{ca}r_{sa}r_{cs}}{1 - r^2_{cs}}}$$

where *φ* and *a* respectively denote phase and amplitude, *r* denotes the Pearson's correlation, and

c and *s* denote the cosine or sine of the phase. For example, r_{ca} represents the Pearson's

correlation between the cosine of the phase and the amplitude variable.

We also examined any cross-task relationships between SP and CS by computing the degree of

coupling between the θ phase of SP and the γ amplitude of CS. PAC was calculated on channel

TP10, where we identified the greatest common total ERC between SP and CS. To generate the

topographies, we computed PAC within every single channel and averaged across time to

generate a single value per channel. PAC computation was subject to Monte Carlo simulation by

randomly permutating the γ amplitude values (see Section: Statistics). To determine the

sensitivity to γ amplitude patterns during PAC computation, trial-wise PAC (instead of time-wise)

was computed for every trial by progressively perturbing the γ amplitude data with normally

distributed noise one time sample at a time. The decay in PAC was observed with increasing

amounts of contamination. The result was then fitted with an exponential decay model

$a + be^{-c(x)}$ (Fig. **S2**).

Finally, correlations between cross-task PAC strength and accuracy, along with a metric of γ-

band dissimilarity, dynamic time-warping (DTW) (69), were tested with Spearman tests.

Statistics

Statistical significance for STRF, ERC and ERPAC measures were determined via Monte Carlo

simulations with max randomization (70). For each surrogate run, the observed data were

randomly permutated, and surrogate measures were subsequently computed on the permuted

data. The 95th percentile value of each run was recorded. The p-values were determined as the

probability at which the surrogate maxima exceeded the observed measures. 1000 runs of

thisonte Carlo simulation were performed for the computation of STRF, ERC, and ERPAC as

changes in p-value stabilized after this point (Δ p< 5e-4, 2e-4, 4e-4, respectively).

Results

Classification Results

All accuracies (Fig. 7a) and F-scores (data not shown), with the exception of offline Session 2 of

Participants 5 and 6, exceeded the 60% chance level, which was determined via permuting CS

class labels prior to linear transformation. This chance level estimate provided a more

conservative criterion for BCI usage (where raw chance is 33%). Mean classification accuracies

across all participants were above the 60% chance level, with scores not significantly different

across sessions (Wilcoxon rank sum, p>0.05). Mean online accuracy was 75.3% ± 9.02.

Interestingly, Session 1 accuracies were significantly greater than those of Session 2 or 7 out of

10 participants (Wilcoxon rank sum, p<0.05). Additionally, to ensure that the classification was

not purely based on word duration/length, we performed binary classification between mono- or

multi-syllabic words. Mean accuracy in this scenario was 53.8%. Moreover, we found no

significant differences in the accuracies between participants with and without previous BCI

experience (Wilcoxon rank sum, p>0.05).

Although most participants' online scores were consistent with their offline counterparts,

Participants 4, 7, and 8 exhibited a lower online accuracy compared to their offline scores. To

investigate this further, we measured block-wise online accuracy, bifurcating participants into

those with consistent scores and those with inconsistent scores (Fig. 7b), with the criterion being

for the latter group that the online scores were lesser than the mean-standard deviation of offline

Session 1 scores. This post-hoc analysis revealed that scores were comparable between groups

in block 1 but significantly deteriorated in blocks 2 and 3 for the inconsistent group (Wilcoxon rank

sum, p<0.05). Overall online accuracy excluding Participants 4, 7, and 8 was 79.1% ±8.45.

Next, we compared our current modelling approach to that of a pure CS condition with no cross-

condition modelling (Fig. 7c, red and black bars). This pure CS condition yielded accuracies

greatly below the permutation chance level and around the raw chance level for both offline

sessions. To confirm that the transformation of CS with SP did not preferentially emphasize SP-

specific features during classification, we disrupted the dyadic structure of the experiment by

permuting the class labels of CS prior to transformation. The accuracy arising from this

permutation test was used as the theoretical chance-level. Disruption of the pairing of SP and CS

of the same words led to significantly reduced classification accuracies in both sessions

(Wilcoxon rank sum, p<0.0001) (Fig. 7c, red and grey bars). (A further explanation for the 60%

permutation accuracy is provided in the Supplementary Text and Figure S1.)

Finally, to understand the channel-wise contribution to classification performance, we conducted

a perturbation test by injecting random gaussian noise into the data one channel at a time and

observing decrements in model accuracy. The highest contributors (Fig. 7d, cyan dots) to

classification accuracy were the fronto-temporal, and central/centro-parietal channels.

Figure 7. SP-CS modelling enhances classification accuracy. (a) Classification accuracies for
each participant and session, including offline (black and grey bars) and online (red bars) (b)
Online block-wise accuracies for participants who displayed consistent (red bars) and
inconsistent (red cross-hatched bars) performance between offline and online. (c) Comparison of
classifier performance between the proposed SP-CS model, a pure CS model, and one where CS

transformation took place, but the trial labels were permuted, effectively disrupting the dyadic
structure. (d) Perturbation test: observing the extent to which accuracy deteriorates when
perturbing one channel at a time. Cyan dots depict the channels where accuracy dropped the
most significantly. All significant differences were identified by Wilcoxon Rank Sum tests
(***=p<0.001, **=p<0.01, *=p<0.05).

Separability of Words

Interestingly, 'archbishop' and 'gran' were found to be the most consistent word pairing (nine out

of ten participants) associated with the highest classification accuracy, apart from Participant 6

('grandchildren', 'arch'). To understand why 'archbishop' and 'gran' were the most separable, we

conducted hierarchical clustering using Ward's linkage and multidimensional scaling (MDS) using

a Euclidean distance metric (Fig. 8a,b). Both tests revealed that the neural patterns of

'Archbishop' and 'Gran' contain the largest distance between all word pairings. We next explored

spectrotemporal receptive field (STRF) mapping between the gammatone spectrograms of audio

signals, obtained through a filterbank of 20 logarithmically spaced frequency bands between

50Hz-12kHz according to equivalent rectangular bandwidth scale of cochlea, and neural patterns

(Fig. 8c), where higher r^2 scores depict more accurate mappings between the two. Significant r^2

scores, determined through a z-test and depicted by the cyan markings, were observed in left

frontal/posterior temporal and centro-parietal clusters, reminiscent of the perturbation test

topography (Fig. 7d). Interestingly, other words exhibited a paucity of significant r^2 scores.

Figure 8. The neural patterns to 'archbishop' and 'gran' are most separable. (a) Hierarchical
clustering of word-level averaged potentials including all channels using Ward's linkage. (b)
Multidimensional scaling (MDS) of word-level averaged potentials after calculating a Euclidean
distance between words. (c) Spectrotemporal receptive field (STRF) topographies of r^2 scores at
best performing lags. Values denote mean r^2 across time. Cyan markings denote significant
channels through z-testing. A high r^2 score describes a more accurate mapping between stimulus
features and neural responses.

Contributions of Neural Oscillations

Given that the modelling approach depended to some degree upon inherent relationships existing

in SP and CS, we next sought to understand the relative neural oscillatory correspondence

between the two tasks. Therefore, we first assessed the event-related causality (ERC), a

measure of spectral causality, between SP and CS, in five frequency bands (δ, θ, α, β, γ) (Fig. 9).

The majority of causality occurred in the γ-band (Fig. 9a), which was also reflected

topographically (Fig. 9b,c), though evidently weaker in the CS to SP direction. Interestingly, the

loci of source causality between the two tasks primarily occurred in bilateral temporal channels.

Figure 9. The γ-band produced the greatest spectral causality between SP and CS. (a) Total bi-
directional spectral causality in the five neural oscillatory frequency bands represented as the
proportion relative to total existing causality. p-values were determined through Wilcoxon rank
sum tests. The topographical spectral causality in the SP to CS direction (b) and in the CS to SP
direction (c), showing total (top), the θ-band (middle), and the γ-band (bottom) causality. Cyan
markings denote significant source channels as determined through z-testing.

Provided that the relationship between SP and CS was predominantly represented by γ activity,

we next sought to determine phase-amplitude coupling (PAC) pertaining to this frequency band.

Specifically, we examined within-task PAC in SP and CS individually as well as across tasks

using SP θ and CS γ. In these analyses, PAC was found to be dynamically modulated in

response to word length, with the short word group producing a relatively shorter and weaker

PAC and the opposite for the long group (Fig. 10, top row). On the other hand, CS displayed a

relatively sparse PAC profile (Fig. 10, middle row), consistent with previous reports (71).

Importantly, we found significant SP θ-CS γ cross-task PAC that correlated significantly with that

of within-task SP (Fig. 10, bottom row) (Spearman rank, p<0.01).

Figure 10. The θ oscillations of SP dynamically couple to both SP and CS γ. The figure depicts
PAC between the θ- and γ-bands in each task and word group (short/monosyllabic vs.
long/multisyllabic), recorded in the highest common causality-producing channel, TP10.
Significant couplings in the time and frequency domains were identified by max-randomization
permutation testing. The top and middle rows depict the within-task coupling in SP and CS,
respectively. The bottom row depicts the cross-task coupling between SP θ and CS γ, which
evidently correlated to that of within-task SP coupling (Spearman rank p<0.01).

Next, we sought to understand how the observed PAC manifests topographically. For this

analysis we calculated the PAC within each channel and subsequently averaged across

participants. Max randomization Monte Carlo simulations were again conducted. Short and long

word SP displayed significant PAC activations in frontal, central/centro-parietal, and temporal

channels (Fig. 11 – top row), whereas CS lacked such coupling (Fig. 11 – middle row).

Importantly, cross-task PAC (Fig. 11 – bottom row) displayed a topographical PAC profile

correlated to that of within-task SP (Spearman rank; short word, r=0.664, p<0.0001; long word,

r=0.815, p<0.0001).

Figure 11. γ activity appears to be spatiotemporally correlated in SP and CS. The figure depicts
the PAC topography in SP and CS of short and long word groups, with cyan markings
representing significant channels determined through max randomization Monte Carlo
simulations.

To assess the relevance of θ and γ oscillations in the current modelling framework, we examined

the correlation between accuracy, cross-task PAC strength, γ-band dissimilarity between SP and

CS (DTW – dynamic time-warping), root mean squared error of the regression models (RMSE),

and proportional change in low-frequency (1-8Hz) power resulting from transformation

accomplished through a Ridge regression function derived from SP and CS signals. Considering

data from all words and participants, all significant correlations were tested with Spearman tests

(p<0.001). We detected the following relationships: 1) accuracy was positively correlated to

cross-task PAC strength (Fig. 12a); 2) cross-task PAC strength was negatively correlated with γ-

band dissimilarity between SP and CS (Fig. 12b); and 3) γ-band dissimilarity was positively

correlated with RMSE (Fig. 12d). Figure 12c depicts the enhancement of low-frequency power in

the ERP as a result of SP-CS transformation (Wilcoxon rank sum, p<0.05). Finally, Figure 6e

depicts a significant positive correlation between cross-task PAC strength and proportional

change in low-frequency power of the transformed CS data relative to the original.

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Figure 12. γ-band correspondence may predict model performance and strength of low-
frequency power after transformation. Each point represents the average of the depicted
quantities for one word for one participant. Dashed lines represent best fit shown for illustrative
purposes, with respective p- and r values of Spearman correlation tests. (a) Significant positive
correlation between the mean accuracy and mean cross-task (i.e., SP θ – CS γ) PAC strengths.
(b) Significant negative correlation between PAC and γ-band dissimilarity (DTW distance). (c)
Significant enhancements (indicated by magenta markings) of low-frequency power in the overall
(i.e., all words) ERP of transformed CS (i.e., SP-CS) relative to the original data across
participants (Wilcoxon rank sum, p<0.05). (d) Positive correlation between γ-band dissimilarity
and RMSE estimates of regression (uniform average across channels) (e). Significant positive
correlation between cross-task PAC strength and proportional change in low-frequency power of
the transformed CS data relative to the original.

Discussion

In the current study, we designed and demonstrated an online covert speech BCI to classify

dyadic trials (sequential SP and CS of the same word). The online BCI linearly transformed

signals from CS trials using signals from the preceding SP counterparts through ridge regression

and subsequently extracted features using Riemannian geometry. The core finding of the study

was that this cross-task modeling approach enabled online discrimination between two words and

rest, with ternary online classification accuracies reaching up to 93% and averaging 75.3% ±

9.02%, exceeding the determined chance level (60%). The proposed framework may provide a

passive and accessible training method for CS BCIs.

Cross-condition modelling of SP and CS facilitates classification

Several observations support cross-condition modeling of SP and CS for the discrimination of

covertly rehearsed words. In retrospective post-hoc analysis, pure CS classification accuracies

fell well below the chance-level (60%), potentially reflective of the low SNR conditions of CS

signals alone. Additionally, permuting the CS labels prior to cross-condition modeling significantly

reduced classification accuracy, suggesting that the modeling approach leveraged to some

degree, inherent SP-CS relationships (discussed later). Binary monosyllabic vs. multisyllabic

word classification produced significantly lower mean accuracies than chance, implying that the

distinction between classes was not purely based on word duration/length.

Inter-session variation

The lower online vs. offline accuracies for Participants 4, 7, and 8 stemmed from a significant

drop in block-wise online accuracies, namely, in blocks 2 and 3, possibly due to waning

engagement or focus from block 2 onward. These participants achieved Block 1 accuracies

comparable to those of other participants, suggesting that the current methodology is generally

usable by all participants, over short periods of time. The reduction in offline accuracies from

session 1 to 2 (for 7 of 10 participants) may be attributable to initial novelty driving more engaged

attempts at the tasks and thus eliciting more distinct neural response patterns.

Superiority of 'Archbishop' and 'Gran' in online distinguishability

'Archbishop' and 'Gran' were found to be the most distinguishable word pair consistently across

nine out of ten participants, producing the largest inter-cluster distances. The overlap between the

topographies from the channel-by-channel perturbation test (Fig. 7d) and word-based r2-scores

(Fig. 8c) may attribute distinguishability to a stronger mapping between stimulus features and

neural responses for these 2 words. The observed engagement of temporal and central/centro-

parietal channel clusters may be indicative of somatosensory transformation relating to auditory

representations (34,72). However, greater sample sizes and source modeling potentially with

MEG may be required to effectively support these interpretations. Interestingly,

'Arch'/'Archbishop' and 'Mar'/'Marketplace' were grouped into one cluster while

'Gran'/'Grandchildren' and 'Kin'/'Kindergarten' were grouped into another. These groupings may

be due to the shared phonology of 'ar' in the first cluster, and 'n' in the second and may provide

evidence of phonological processing manifesting in EEG signals (73).

θ and γ oscillations in SP and CS: Relation to online classification

The greatest contribution to causality between SP and CS was found in the γ-band, suggesting

that their respective EEG signals are spectrally correlated in this frequency range. This finding is

perhaps unsurprising, given that low and high frequency EEG signal power has been found to be

anticorrelated and that CS preferentially invokes higher frequencies (71,74). In these studies, the

authors speculated that the downregulation of low frequencies facilitates the local neuronal

processing by higher frequencies. Interestingly, most of the causality between the two tasks was

situated in the bilateral temporal regions, potentially indicative of similar auditory representations

between tasks. This finding is consistent with reports of γ activity being implicated in corollary

discharge (34,75), a mechanism thought to deliver temporally precise and content-specific

sensory predictions of speech (76). Therefore, accurate online classification may have been

enabled by the shared auditory representations between SP and CS, as manifested in γ activity.

This interpretation is further supported by the spatiotemporally correlated PAC profiles for SP θ–

SP γ and SP θ–CS γ, which may be suggestive of a common encoding mechanism between SP

and CS tasks. In addition, the significant PAC channel clusters appear to overlap with that of the

STRF and perturbation test topographies, suggesting that the γ-band likely played a major role in

the modelling approach and online classification.

On the other hand, consistent with previous reports (71), CS produced no significant within-task

coupling, potentially suggesting that its θ-band may perform a separate encoding mechanism

than in SP (74). Although the exact role of θ in CS is not clear, the fact that CS did not produce

any significant coupling offers insight into the limitation of this task for BCI control. Importantly,

this lack of coupling in CS along with significant lower accuracies in the pure CS classification

(Fig. 7c) suggest that the lower frequencies such as the θ-band may contain the salient

information necessary for word discrimination. It may thus be reasoned that the bulk of the

transformation between the two tasks occurred in the lower frequencies. Indeed, Figure 12c

depicts a significant enhancement of low-frequency power following SP-CS transformation. In

turn, one could then speculate that the effectiveness of this low-frequency transformation hinged

on the relationship between SP θ and CS γ. The correlation between accuracy and cross-task

PAC strength (Fig. 12a) seemed to support this conjecture.

Conclusion

The present study demonstrated that EEG from CS can be classified online using EEG from a

SP-CS dyad. The proposed modelling framework significantly enhanced classifier performance

beyond that achievable by CS alone. Our findings suggest that γ oscillations were pivotal to

online CS discrimination. Specifically, the merit of the proposed model may be related to its

amplification of low frequency power of CS signals in a manner dependent on the cross-task

correspondence of γ oscillations. Our results lend credence to the concept of generalizable SP-

CS models achieved by a predictive modelling of the lower frequencies in CS.

List of Abbreviations

Covert Speech – CS; Speech Perception – SP; Brain-Computer Interface – BCI; Phase-

Amplitude Coupling – PAC; Event-Related Causality – ERC; Electroencephalography – EEG;

Spectrotemporal Receptive Field – STRF; Multidimensional Scaling – MDS; Root Mean Sqaured

Error – RMSE; Signal-To-Noise Ratio – SNR

Declarations

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Competing Interests

The authors have no competing interests to disclose.

Availability of Data and Materials

The data generated during the current study are not publicly available due to confidentiality

constraints, but are available from the corresponding author on reasonable request, and upon

approval by the ethics board of Holland Bloorview Kid's Rehabilitation Hospital.

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Ethics Approval and Consent to Participate

All subjects provided consent on their participation in the study. The study was approved by the

ethics boards of University of Toronto and Holland Bloorview Kid's Rehabilitation Hospital.

Consent for Publication

The authors and subjects of the study gave consent for publication. However, there are no

personally identifiable information disclosed in the article.

Authors' Contributions

JM – Study design, algorithm development, data collection, analysis, manuscript preparation

TC – advised on the above, assisted in manuscript preparation

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