Extracting electromyographic signals from multi-channel local-field-potentials using independent component analysis without direct muscular recording

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Highlights (85 characters for each)
- EMG signals can be extracted from LFP signals without direct muscular recording   **(81/85)**

- The extracted signal is highly correlated with direct EMG recording signals   **(79/85)**

- The extracted signal is useful to measure animal behaviors as well as actual EMG   **(82/85)**

- This method contributes to precise and long-term behavior measurement   **(71/85)**

In brief (44/50 words)
Osanai et al. demonstrate electromyography (EMG) signals can be extracted from multi-channel local field potential (LFP) recordings using blind-source-separation technique without direct measurement of muscle activity. The proposed method helps precise and long-term behavior measurement with EMG information in wide-ranged in vivo electrophysiology experiments.

Summary (150/150 words)
Electromyography (EMG) signals has been commonly used for precise identification of animal's behavior. However, they are often not recorded together with in vivo electrophysiology due to the need for additional surgeries and setups and the high risk of mechanical wire disconnection. While independent component analysis (ICA) has been used to reduce noise from field potential data, there has been no attempt to proactively use the removed "noise", of which EMG signals are thought to be one of the major sources. Here, we demonstrate that EMG signals can be reconstructed without direct EMG recording, using the "noise" ICA component from local field potentials. The extracted component is highly correlated with directly measured EMG, termed as IC-EMG. IC-EMG is useful for measuring an animal's sleep/wake, freezing response and NREM/REM sleep states consistently with actual EMG. Our method has advantages in precise and long-term behavioral measurement in wide-ranged in vivo electrophysiology experiments.

KEYWORDS
  electromyography, local field potential, multi-channel electrophysiology, independent component analysis, freely moving, fear conditioning, sleep scoring.

Main Text (5255/7000 words)

Introduction

While neural correlates of animal behavior are examined most frequently with video observation of an animal's movement, physiological signals such as electromyography (EMG) are also often used to monitor the small movements of the animal that can be missed in video observation. In neuroscience studies, EMG has been used to accurately assess sleep/wake states [1-6] and freezing behavior [7-9]. However, despite the benefits of accurate behavior assessment, EMG is often not obtained together with brain activity recording because it requires additional surgery and setups [7,10-13]. Especially in the case of implanting a silicon probe, most commercial headstage preamplifiers are designed not to have extra pins to obtain EMG signals, thus an additional preamplifier is required which increases total implant weight, potentially interfering with the normal behavior of small animals such as mice. Moreover, a high risk of EMG electrode wire breakage during experiments [14-16] hampers long-term experiments.

Field potentials have been shown to be correlated with an animals' behaviors such as sleep/wake conditions [1,2,17-20] and fear freezing behavior [8,21,22]. Field potential signals were often electrically referenced to one of the electrodes placed in a brain to suppress excessive common noise generated from the outside of the brain [19,23-25]. On the other hand, because the field potential signals can be distorted by the electrical signals at the reference electrode site[24-26], electric reference is also often set at the outside of the brain, e.g. skull screw [27-29]. In this case, in order to minimize the noise arising from the distal reference electrode setting, multiple methods have been proposed such as common average reference [30], current source density analysis [31-33], and application of independent component analysis (ICA) [34].

ICA is a blind source separation technique which isolates temporally independent source signals [35-38]. This technique has been well established for decades to "denoise" the recorded neural signals by isolating and deleting the noise components from the field potential data, in which EMG artifacts are considered to be a major noise source [34,39-43]. However, although the putative EMG component isolated by ICA has been the target of noise suppression, it has not yet been investigated to what extent this component correlates with real EMG signals. Thus, the component has not been proactively used as a measurement of animals' behavioral states.

Here, we hypothesized that ICA can reconstruct EMG signals from multichannel local field potential signals obtained by a silicon probe or tungsten wire electrodes without direct measurement of muscular signals. To investigate the correlation between independent component (IC) and EMG signals, we simultaneously implanted a silicon probe into a mouse hippocampus and wire electrodes into mouse neck muscles. Local field potentials (LFPs) were recorded referenced to a skull screw over the cerebellum. Next, we obtained ICs from the LFPs using ICA, and demonstrated that EMG-like high frequency components (IC-EMG) could always be obtained from the LFPs. These components were shown to highly correlate with real EMGs. We further demonstrated this IC-EMG can be used as a measure of sleep/wake scoring and animal's freezing behavior. Finally, we demonstrated that IC-EMGs can also be obtained from four-channel multisite brain-areas LFPs obtained by tungsten wire electrodes.

Results

IC-EMG is highly correlated with real EMG signals

LFP signals were obtained from a 32-channel silicon probe implanted in the mouse hippocampus, using a skull screw electric reference above the cerebellum (Figs. 1A-D). At the same time, EMG signals were obtained from the left and right neck muscles (Fig. 1C, E). The ICs were obtained by ICA from the recorded multichannel LFP signals (Fig. 2A). Figure 2Ab illustrates one of the obtained IC (IC#1) showing EMG-like higher frequency activity than other ICs. The back-projected

reconstructed signals on the original channels using this IC showed that the signals distributed uniformly along the channels (Fig. 2Ba). Deleting this IC clarified the weaker and slower activities, which had been previously contaminated with the noise-like large high-frequency activity in the original waveforms (Fig. 2Bb). Indeed, IC#1 showed a clear peak between 100-200 Hz in the estimated power spectrum density (Fig. 2C) and the weight distribution of this component was highly uniform compared to other ICs (Fig. 2D). In LFP data obtained from ten mice, only one IC showing uniform weight distribution (standard deviation (std) < 0.1) was always obtained from individual mice (Fig. 2Ea). To focus on uniformly distributed ICs, we classified ICs having a minimum std among ICs obtained in individual mice and an std < 0.1 as a uniform-IC. The uniform-IC also had characteristics to show the maximum mean value of its weight distribution within an animal and the large amplitude activities (Fig. 2Eb; uniform-IC vs. other ICs: weight mean = 0.97 ± 0.007 vs. 0.21 ± 0.013, $t_{(265)}$ = 10.99, p = 2.15e-23; weight std = 0.019 ± 0.003 vs. 0.36 ± 0.009, $t_{(265)}$ = -7.44, p = 1.42e-12; Amplitude ratio = 0.88 ± 0.090 vs. 0.20 ± 0.013, $t_{(265)}$ = 9.88, p = 8.35e-20, respectively). In addition, the uniform-IC always had a peak frequency between 100-200 Hz similar to the real-EMG power spectrum density (Fig. 2F), indicating the uniform-IC reflected EMG signals.

We next investigated to which extent the uniform-IC correlates with real-EMG signals. Figure 3A illustrates the filtered signals of uniform-IC and the real EMG, and Figure 3B shows the time course of their amplitude calculated by root-mean-square (RMS) with 100 ms time-windows. As we expected, the uniform-IC and the real-EMG signals were highly correlated, with correlation coefficients that were more than 0.9 (Fig. 3C and D). Therefore, EMG signals can be reconstructed from multichannel LFP data by ICA without direct EMG recordings. These signals are represented by the uniform-IC, which hereafter we termed IC-EMG.

IC-EMG provides behavior measurements

Next, we examined whether the IC-EMG is useful for annotating animal's behavioral states. Mice were placed into and allowed to move freely in the open field chamber (Fig. 4A). LFPs and EMGs were recorded while the mice were in the chamber (Fig. 4B), together with video tracking of animals' positions. We categorized animal behavioral states from video into Sleep, Quiet Awake (QAW), and Active, classified according to the animals' average head speed (Fig. 4C; see Methods). Amplitudes of IC-EMG and real-EMG were quantified in ten mice during each behavioral state. Fig. 4D shows that there were significant differences in the IC-EMG amplitudes, similarly to the real EMG, between Sleep, QAW, and Active behavioral states (Fig. 4D).

Freezing behavior has been demonstrated as an indicator for fear response in both innate and conditioned reactions [44-47]. We furthermore demonstrate that IC-EMG is useful for fear freezing detection, consistent with the previous studies showing that EMG can be used as a measure of fear freezing behavior [7]. Mice were conditioned in the tone fear conditioning paradigm while their fear freezing was recorded with video observation, LFPs, and real EMG signals (Fig. 5A). For conditioning, mice were exposed to three pairings of a 20 s tone and a 2 s foot shock on Day1. Then, fear freezing behaviors in response to tone were monitored on Day2 in a different context and scored by animal's motion in the recorded video. At the same time, IC-EMG was obtained from the recorded LFPs, and the amplitudes of IC-EMG and real EMG were examined during animals showed freezing and non-freezing behavior (Fig. 5B). The mice actively explored the recording box before the tone delivery, while they showed increased freezing behaviors after the first tone delivery (Fig. 5B, C). From the LFP data of five mice, IC-EMG showed a significantly lower amplitude during the freezing state compared to the non-freezing state, similar to the results of real EMG signals (Fig. 5D). Together, these results demonstrated that IC-EMG is useful for annotating animal behavior such as sleep/quiet-awake/active behavioral states and freezing/non-freezing states.

IC-EMG can improve precise REM sleep detection

We examined whether the IC-EMG is useful for precise annotation of Rapid eye movement (REM)
sleep stage. REM/non-REM (NREM) sleep periods are often classified by high/low theta-to-delta
ratio of field potential when the animal shows stational behavior [48,49]. However, because theta
power can increase also during QAW with many factors [8,21,22,50-55] (see Discussions), the
combination only of video recording and field potential recording has difficulty in fine discrimination
of QAW and REM sleep stats. The most established sleep scoring method is with the combination
of field potential and EMG [56-60]. In this criteria, sleep/wake is classified by low/high amplitude EMG
activities in addition to the animal's movements in the recorded video. Here, we demonstrate IC-
EMG can be used for sleep scoring similarly to real-EMG recording.
LFPs and EMGs of the mice were recorded in the open field chamber or their home cages (Fig.
6A). The animal's behaviors were firstly annotated with the video tracking of animals' positions
(Fig. 6Aa). High theta- (6–9 Hz)-to-delta (0.1–4 Hz) ratio periods were observed during the mice
were stational (Fig. 6Ab, c). In order to separate REM sleep periods from QAW state, the animal
behaviors were further classified using IC-EMG information that the stational periods with high
IC-EMG amplitudes were re-categorized as wake (QAW) (Fig. 6Ad), similarly to the use of the
EMG (Fig. 6Ae). Then, REM/NREM/QAW states were annotated with the combination of the
theta-delta ratio and the animal's speed, or the combination of the theta-delta ratio, the animal's
speed, and the IC-EMG or the EMG (Fig. 6B). Some periods annotated as REM based only on
the video (Fig. 6Ba) were categorized into QAW when the annotation was based on IC-EMG (Fig.
6Bb), similarly to the result based on the EMG (Fig. 6Bc), because of their large amplitudes of
activities during the periods which is not likely to occur during sleeping states. The dynamics of
IC-EMG and EMG during IC-EMG- and EMG-based categorized REM periods were consistent
with previous studies that EMG shows silent and occasional twitch activities [61,62], and that body-
movement occurrence at the end of REM [63]. To evaluate the extent to which the quality of the
video-based and the IC-EMG-based state classification is close to the EMG-based method, the
REM sleep periods were detected from six silicon-probe implanted mice (Fig. 6C). Because the
sleep periods were more strictly annotated, the IC-EMG based method showed less false-positive
rates of REM detection compared to the video-only based method (Fig. 6Da; video: 1.71 ± 0.64%,
$t_{(5)}$ = 2.68, p = 0.044; IC-EMG: 0.42 ± 0.20%, $t_{(5)}$ = 2.08, p = 0.092, one-sample t-test with zero),
while ignorable level of false-negative rates in IC-EMG (0.18 ± 0.12%, $t_{(5)}$ = 1.29, p = 0.254, one-
sample t-test with zero). Also, the IC-EMG-based REM period detection was similar (Cohen's
kappa [64-66]) to the EMG-based method while the similarity of the video-only based method was
less (Fig. 6Db; video: 0.89 ± 0.03, $t_{(5)}$ = -3.36, p = 0.020; IC-EMG: 0.96 ± 0.02, $t_{(5)}$ = -1.99, p =
0.102, one-sample t-test with kappa = 1). Therefore, the use of IC-EMG improves precise REM
sleep detection similarly to the use of real-EMG by decreasing false-positive REM periods.
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IC-EMG can be obtained from multisite brain-area recording
Finally, we examined whether IC-EMG can be obtained from multisite brain-area recording data.
We have previously recorded four channel LFPs in ACC, BLA, white matter of dorsal ventral CA1
of mice [67]. We had obtained the LFP signals referenced to the skull screw over the cerebellum,
and ICs were separated by ICA from the recorded LFPs into ICs (Figs. 7A-D). Similar to the case
of silicon-probe recordings (Fig. 2), one of the ICs always present in each of the four mice
exhibited a highly uniform weight distribution (Fig. 7E, G). These components also showed a peak
frequency between 100-200 Hz (Fig. 7F, H), which is consistent with the results of silicon-probe
recordings (Fig. 7F), indicating a strong correlation with actual EMG signals. We examined the
amplitude of IC-EMG when the mice explored the open field chamber. Again, consistent with the
results of silicon-probe recordings, IC-EMG amplitudes were significantly higher during awake
states than sleeping states (Fig. 7I). We finally examined IC-EMG amplitudes during freezing/non-
freezing behaviors during the observational fear [68-70]. The recorded mouse, as an observer, and
his cagemate, as a demonstrator, were placed into the two chambers separated by a transparent
plexiglass partition. On the recording day, the foot shock was delivered to the cagemate

demonstrator which produced the observational fear response [67] (Fig. 7Ja). During the freezing
period, IC-EMG of 4-channel LFP showed significantly lower amplitudes compared to the non-
freezing state in the observer (Fig. 7Jb). Therefore, IC-EMG can also be obtained from multisite
brain-areas LFP recording, and it provides behavior measurement of sleep/awake states and fear-
freezing/non-freezing states. Moreover, these results also demonstrate that our method is able to
reconstruct EMG signals from data obtained in the past, so long as an electrical reference was
set on the animal's skull screw.
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Discussions
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Although previous works have shown good performance of ICA in the reduction of EMG-like
artifacts from field potential data, evidence of to what extent the separated component and the
actual EMG signal correlate was lacking. Thus, no prior attempt was made to use this EMG-like
component proactively. The current study has shown that the EMG component obtained from
multichannel LFP signals by ICA is highly correlated with actual EMG signals. The IC-EMG was
further demonstrated to be useful for measuring animal's sleep/wake and fear freezing behavior
as well as actual EMG. We also showed that EMG component separation could also be performed
by ICA with multisite brain-areas LFP recording. Therefore, the current study demonstrated that
the EMG signal can be reconstructed from multichannel LFP by ICA without any additional
surgery or setup, which can be helpful in the measurement of animal behaviors. In addition, our
method allows re-examining animal behaviors in previously-obtained LFP data.
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Advantages of IC-EMG
Although techniques used for EMG signal acquisition are simple, simultaneous recording of EMG
with brain activity is not a trivial matter because it requires additional surgeries and setups as we
described in the Introduction. In the current study, the total microdrive weight used for silicon
probe implant and EMG recording was 6.5 g, while the weight would be reduced to 4.7 g in the
case that EMG recording was not needed because the electrical interface board for EMG preamp
can be removed. We did not observe abnormal behaviors in the adult mice used in this study, but
the increased implant weight could interfere with the behavior of more juvenile animals. Stable
EMG recording has also been a challenging problem as the recording wires need to remain
attached to the animal despite the mechanical stress caused by muscular movements. In our
experiments, three out of twelve mice broke the wires; one mouse broke one side of the EMG
wires within a week after the surgery, and another two mice broke one side of the wires while
receiving fear conditioning foot shocks. Reconstructing EMG signals from LFP using ICA does
not require a wire electrode implant. The ground/reference wire used for the LFP recording
connected to the skull screw was fully covered with dental acrylic and did not receive mechanical
stress after surgery, ensuring stable recording. Therefore, our IC-EMG method not only reduces
the requisite effort of additional surgery and setup preparation for EMG recording but also enables
stable simultaneous recording of EMG and LFP with freely moving animals, which allows long-
term behavior experiments without the risk of a wire break.
Up to now, several methods have been proposed to score animal behaviors without EMG.
Video-based sleep scoring has been shown to have a high correlation with EMG-based scoring
[71,72]. The video-based methods, however, have a drawback in identifying the fine sleep structures
because they take 40 seconds of immobility as a threshold to define sleeping, while short sleep
bouts of less than 20 seconds have been reported in sleep-deprived rodents [73] and of excessive
sleepiness in humans [74,75]. Video recordings systems complemented with infrared beam breaking
[76], piezoelectric signals [77,78], and respiration measurement [75] were also proposed; however, these
again require additional experimental setups. Sleep has also been often determined based on the
oscillatory power of LFP or EEG combined with video-tracking without EMG. In these cases,
active awake and REM sleep are identified by prominent theta oscillation (6–9 Hz) while slow-

wave sleep is characterized by increased delta oscillation (<4 Hz) [48,49,79] and by the appearance
of sharp-wave-ripple and cortical spindles [20,80,81]. Another study further distinguished sleep and
quiet awake states using a criterion that delta power is increased during slow-wave sleep than
QAW state [82]. However, there is still a limitation of this approach in fine state discrimination of
immobility states. Although theta is prominent during REM sleep, theta power during QAW can
also increase with many factors including respiration [50], vestibular stimulation [51], sleepiness [52],
fear [8,21,22], attention and other cognitive functions [53-55]. In addition, QAW theta becomes less
prominent during waking immobility, eating, grooming, and defecation [53,83]. Also, QAW delta
power is reported to increase prior to the wake to slow-wave-sleep transitions onset [84,85]. Thus,
EEG-based behavior classification has difficulty in fine discrimination of QAW, slow-wave sleep,
and REM sleep. IC-EMG provides the benefit of precise animal state discrimination compared to
other conventional methods without utilizing EMG information.
While we demonstrated that IC-EMG can be a measure of sleep/wake and fear freezing
behavior, we also observed its amplitude was increased during water-licking, food-chewing, and
grooming behavior. Thus, IC-EMG has potential to be a measure of various animal behaviors,
although further investigation is needed to clarify to which extent animal behavior types can be
scored by IC-EMG.
In this study, we obtained EMG signals from LFP data by ICA. The same methodology has
potential to be applied to EEG research, considering that ICA has been a well-established method
to eliminate EMG artifacts from EEG data. Recently, several source separation techniques,
including empirical mode decomposition [86,87], an improved version of ICA-based separation [88],
and others [89-92], have been proposed to have better noise reduction quality for EEG data than
conventional ICA with the condition of fewer recording channels. Therefore, utilization of these
signal source separation techniques would be possible to improve the quality to obtain EMG-
signal from EEG data.

Signal Source of IC-EMG
We obtained IC-EMG from the multichannel LFP signals, which were characterized to have
uniform IC weight distribution. Considering neural activity factors surrounding the electrode should
contribute to LFP in a more localized manner [24,93], the signal-source of a such uniform distribution
component is supposed to be distal volume conduction [94] or a common electrical reference [34].
However, the volume conduction factor is less likely to be the main factor of IC-EMG. First, IC-
EMG has a peak power at 130–140 Hz, while power line conduction should generate a peak at a
lower frequency range. Second, such a high-frequency neural event in a brain corresponds to
sharp wave ripple [20], but it is unlikely to be generated from a uniformly distributed source and its
amplitude should be smaller than other slower oscillatory activities. Thus, although both signals
were possibly separated by ICA, we assume that the major factor of that uniform component is
the electrical reference. In this study, we used the skull screw over the cerebellum as an electrical
reference, which can pick up both signals of muscle activities near the screw and cerebellar
activity. However, although the precise contribution of cerebellar EEG is unclear, it is reported
that overt activity is not seen in a healthy rodent brain [95]. Together with the high correlation with
neck-EMG, we assume the head-neck muscular activities surrounding the electrically referenced
skull screw is a major signal source of IC-EMG.

Factors that may affect IC-EMG identification
In our method, the high-noise level channels identified by eye were removed prior to running ICA.
Such noisy signals can be caused by the degradation of the silicon probe electrode that leads to
crosstalk between channels [96] and changes in the frequency-impedance characteristics [97,98],
which have a risk of skewing the time-course of LFP traces. Because ICA is a technique to
separate temporally maximal independent waveforms, the skewed LFP can generate additional
components of which signal-source actually does not exist. Indeed, although only one IC-EMG

component was obtained from each mouse in the current study after the removal of bad channels,
we have observed two uniform components correlated with EMG (mean weight = 0.97 and 0.95,
mean std = 0.02 and 0.03, Amp ratio = 0.65 and 0.46, correlation coefficient = 0.97 and 0.97,
respectively) from one mouse when we did not remove the channels of high noise level before
the ICA computation. Thus, including unhealthy electrode site signals in ICA may generate "ghost"
components, although EMG signals can still be reconstructed from the LFPs.
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Benefits of using ICA for reducing noise arising from outside-brain reference electrode
setting
In this study, ICA was demonstrated that it can separate muscle activities from multichannel LFP
data with a skull screw electric reference. Another advantage of applying ICA to LFP data is, as
we demonstrated in Fig. 2Bb, it can obtain noise-cleaned LFP data against an brain-outside
reference.
There have been multiple methods for reducing the noise arising from the distal reference
electrode, which is set to avoid the signal distortion caused by placing the reference electrode
close to the recording site. Common average reference is the method to generate a more ideal
reference by averaging all the recordings on every electrode site to use as a reference [30]. While
the ideal reference can be obtained if the average produces near-zero activity, the average does
not often produce zero especially in the case of recording from the local brain area because neural
activities at each recording channels are supposed to be correlated each other. Current source
density analysis has also been widely used as a reference-independent measure which estimates
the strength of extracellular current generators [31-33], which is conducted by calculating a second
spatial derivative of the recorded potentials between neighboring electrodes in the standard
method. However, because the spatial noise is amplified through the differentiation, it often
requires spatial filtering [99-101] which decrease spatial resolution of LFP signals. In addition, this
method is not useful in the case of certain recording electrode setups such as those using
tungsten wire electrodes because it requires precise electrode site distance information, nor in
the case of multi-brain site recording. ICA-based method, on the other hand, does not dependent
on the electrode locations, and subtracting electrical reference activity can be interpreted as re-
referencing to a zero-activity location [34]. Thus, our method to use ICA to LFP data does not only
have advantage in extracting EMG activities without EMG electrode implant, but also in obtaining
noise-reduced LFP signals avoiding the potential signal distortion that is concerned in the case of
inside-brain reference electrode placement.
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338   **Conclusion**
339   This study certified EMG signals can be reconstructed from multichannel LFP signals by ICA.
340   Thus, our method provides a physiological measure of animal behavior in LFP data without effort
341   of direct EMG recording. It will be a useful tool in wide-ranged in vivo electrophysiology
342   experiments including investigating neural correlates of animal behavior.
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Author Contribution

H.O. and T.K. contributed to the study design. H.O. conducted all experiments and analysis. H.O., J.Y. and T.K. contributed to the interpretation for data analysis. H.O. and T.K. wrote the manuscript. All authors approved the final manuscript.

Declaration of Interests

The authors declare no competing interests.

364 **Figures**

366 Figure 1

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376　Figure 3
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A　Filtered IC-EMG and raw EMGs

B　Amplitude RMS

C

a　R-EMG

b　L-EMG

c　(R-L)-EMG

D

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386 Figure 5
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392   Figure 6
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Figure Legends

Fig. 1. LFPs and EMG recording setups.
(A) A microdrive system for implanting a silicon-probe and EMG electrode wires, placed on a jig (a) and during surgery (b). (B) Skull screw used for an animal's ground and reference. The silicon probe was inserted into the brain in this picture. (C) EMG electrode wires placement. The tips of the coated wires were exposed and looped into the left and right neck muscles. (D) The trace of silicon probe implantation into HPC. The probe was coated with DiI before implantation. (E) Representative LFP traces from a 32-ch silicon probe referencing the skull screw (top), and right (R) and left (L) neck EMG traces (bottom).

Fig. 2. Identification of putative EMG component from LFP by ICA.
(A) Raw LFP traces (a) and their independent components (ICs) separated by ICA (b). Note that IC#1 (black trace) showed higher frequency activity than other ICs (gray traces) similarly to the EMG traces in Fig. 1E. (B) (a) Projection of IC#1 to the original LFP channels. (b) The cleaned LFP obtained by deleting IC#1 from the raw LFP (b). The EMG-like high-frequency activities disappeared in IC#1-deleted LFP. (C) Log-log plots of the normalized power spectrum densities of each IC shown in *A*. IC#1 showed peak power at the range >100 Hz. Black dotted lines indicate the power of aperiodic exponent (see Methods). (D) The weight distribution of ICs shown in *A*. IC#1 had the most-uniform (minimum standard deviation (std)) distribution. (E) (a) Scatter plot of IC's mean weight, std, and amplitude (see Methods), obtained from ten mice. Red circle indicates the ICs classified as uniform-IC in each mouse. (b) Averaged parameters of IC-EMGs and other ICs. Dots of each color indicate different mice. (F) Averaged normalized power spectral density of the uniform-IC (blue) other ICs (red), and raw EMG (black).

Fig. 3. Correlation of uniform-IC and raw EMG signals.
(A) 50-500Hz filtered waveforms of uniform-IC (IC#1 in Fig. 2), right-neck EMG (R-EMG), left-neck EMG (L-EMG) and, Δ(right-to-left) EMG ((R-L)-EMG). (B) Root-mean-square (RMS) with 100 ms-bin time windows of each trace in A. (C) Correlation of uniform-IC with each EMG. (a) with R-EMG, (b) L-EMG, and (c) (R-L)-EMG. (D) Average Pearson's correlation coefficient of uniform-IC and real EMG from ten mice. L-EMG data from one mouse is missing due to the wire break during experiments. Correlation between uniform-IC and R-EMG = 0.96±0.008, L-EMG = 0.94±0.016, (R-L)-EMG = 0.93±0.007.

Fig. 4. IC-EMG can provide measurements of animal behaviors.
(A) The recording chamber (a) and head position tracing (b) during LFP and EMG recording. (B) Simultaneous recording of LFP and EMG when the mouse was freely moving in the recording chamber. EMG-IC was obtained from raw-LFP by ICA. (C) Speed of the animal's head, traces of IC-EMG and raw EMG and their amplitudes at the same time. (a) head position speed (black line) and its average during each 15s epoch (red line), (b) z-scored IC-EMG and its RMS amplitude, (c) z-scored raw EMG and its RMS amplitude. Animal behavior was categorized into Sleep (blue), Quiet Awake (QAW, green), and Active (red) based on the video data. (D) Amplitude of IC-EMG (a, n = 10), L- or R-EMG (b, n = 10), and (L-R)-EMG (c, n = 9) during different animal behaviors. Amplitudes between Sleep vs. QAW vs. Active: IC-EMG: 0.54 ± 0.070 vs. 0.84 ± 0.060 vs. 1.17 ± 0.071, p = 0.010 (Sleep/QAW) and p = 0.005 (QAW/Active); L- or R-EMG: 0.52 ± 0.061 vs. 0.86 ± 0.039 vs. 1.24 ±0.090, p = 0.003 (Sleep/QAW) and p = 0.001 (QAW/Active); (R-L)-EMG: 0.55 ± 0.055 vs. 0.91 ± 0.033 vs. 1.26 ±0.100, p = 0.003 (Sleep/QAW) and p = 0.003 (QAW/Active).

Fig. 5. IC-EMG can be measurements of animal's fear freezing.
(A) Schema of the tone fear conditioning task. (B) Animals' freezing behavior at day2, IC-EMG and raw EMG traces, and their RMS amplitudes during whole sessions (a) and 1 min before and after the tone onset (b). (C) Freezing rate during habituation session and test sessions (n = 5) before and after the first tone delivery. Freezing rate before vs. after the tone = 5.4 ± 1.8% vs. 31.7 ± 8.0%, respectively, $t_{(8)}$ = -3.22, p = 0.012. (D) Amplitude of IC-EMG and L- or R-EMG during animal's active/freezing states. (L-R)-EMG was not analyzed because one side of the EMG wires was broken during foot-shock delivery in three out of five mice. The z-scored amplitudes during non-freezing and freezing states: IC-EMG: 0.96 ± 0.079 vs. 0.56 ± 0.043, $t_{(8)}$ = 3.65, p = 0.007; EMG: 0.98 ± 0.067 vs. 0.57 ± 0.036, $t_{(8)}$ = 3.37, p = 0.001, respectively.

Fig. 6. IC-EMG is useful for precise annotation of REM sleep scoring.
(A) Sleep/wake annotation based on the video recording, IC-EMG and EMG. (a) head position speed (black line) and its average during each 15s epoch (red line). Animal behavior was categorized into Sleep (blue), QAW (green), and Active (red) based on the head speed. (b) Spectrogram of LFP at st. lm layer of CA1. (c) Trace of theta (6–9 Hz)-to-delta (0.1–4 Hz) ratio. Blue dotted line indicates ratio = 4. (d, e) Sleep states categorized based on video recording (a) were re-annotated into QAW if the amplitudes of the z-scored IC-EMG (d) and (R-L)-EMG (e) are high (see Methods). Black lines indicate RMS amplitudes of IC-EMG/(R-L)-EMG. Blue dotted lines indicate z = 1.5. (B) Sleep scoring based on the video recording (a), IC-EMG (b) and (R-L)-EMG (c). The states were classified into REM when the theta-to-delta ratio is ≥ 4 during Sleep state (see Methods). Red arrow indicates the REM period which is categorized based on the video was re-categorized as wake by IC-EMG- and EMG-based annotation due to their high amplitudes. (C) REM sleep duration from the six mice in the total recording time (a) (percentages based on video vs. IC-EMG vs. EMG: 9.84 ± 2.93 vs. 8.40 ± 2.67 vs. 8.14 ± 2.65), and in total sleep periods (b) (percentages based on video vs. IC-EMG vs. EMG: 22.76 ± 5.76 vs. 19.08 ± 5.10 vs. 18.68 ± 5.16). These amounts are consistent with the previous reports [102,103]. (D) The agreement of REM sleep scoring based on video and IC-EMG versus the scoring based on EMG; False-positive rates (a), and Cohen's kappa values (b).

Fig. 7. IC-EMG can be obtained from multisite brain-areas recording.
(A) Implant device on jig equipped with 4-ch tungsten wire electrodes. (B) The electrode traces. The electrodes were coated with DiI before implantation. Scale bar: 1 mm. (C) Representative LFPs from 4-ch electrodes (a), and their ICs (b). The LFP signals were referenced to the skull screw. (D) The back-projected field potential from IC#1 (a), and the cleaned LFP waveforms obtained by deleting IC#1 LFP from the raw LFP (b). (E) Weight distribution of ICs. (F) Power spectrum density of ICs. (G) (a) Scatter plot (a) and bar plots (b) of IC's mean weight, std, and amplitude ratio, obtained from four mice. Red circle indicates the uniform-ICs (IC-EMG) in each animal. Averaged parameters were: IC-EMG vs. other ICs: weight mean = 0.96 ± 0.007 vs. 0.20 ± 0.033, $t_{(14)}$ = 13.31, p = 2.45e-9; weight std = 0.045 ± 0.011 vs. 0.62 ± 0.033, $t_{(14)}$ = -9.69, p = 1.37e-7; Amplitude ratio = 0.87 ± 0.005 vs. 0.57 ± 0.047, $t_{(14)}$ = 3.53, p = 0.003, respectively. (H) Average power spectrum density of the IC-EMG (blue) and other ICs (red). (I) IC-EMG during animal's freely moving. Speed of animal's head position (top), 50-500 Hz filtered IC-EMG (middle), and RMS-amplitude of IC-EMG (bottom). (b) Average amplitude of IC-EMG from four mice. Amplitudes between Sleep vs. QAW vs. Active: 0.32 ± 0.042 vs. 0.70 ± 0.070 vs. 0.89 ± 0.042, p = 0.013 (Sleep/QAW) and p = 0.0014 (Sleep/Active). (J) IC-EMG during the observational fear task. (a) Schema of the task, (b) IC-EMG amplitude during animal's active and freezing states. The z-scored amplitudes during non-freezing and freezing states: 0.79 ± 0.040 vs. 0.52 ± 0.054, $t_{(6)}$ = 4.03, p = 0.007.

501 **Supplement Material**

503 **KEY RESOURCES TABLE**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Experimental Models: Organisms/Strains		
C57BL/6J	Jackson Labs	Cat#:000664, RRID:IMSR_JAX:00066 64
Crl:CD1(ICR) (Tg(Sim1-cre)KJ21Gsat/Mmucd)	MMRRC	RRID:MMRRC_03461 4-UCD
Electrophysiology		
Silicone probe	NeuroNexus	A1x32-6mm-50-177-H32_21mm
Silicone probe	ATLAS Neuroengineering	E32+R-50-S1-L6 NT
Skull screws (.80unm x .060" stainless machine screw)	Antrin	https://antrinminiature. com/shop/ols/products/ flat-fillister-head-metric-0-dot-80-unm-thread-050-length-stainless-steel-machine-screw
Tungsten wire (0.001'', 50–150 kΩ)	California Fine Wire	CFW0012081
Digital Lynx 4SX	Neuralynx	https://neuralynx.com/h ardware/digital-lynx-sx
Open Ephys Acquisition Board	Open Ephys	https://open-ephys.org/acquisition-system/acquisition-board
Head-stage amplifier	Neuralynx	HS-36; https://neuralynx.com/h ardware/hs-36
Head-stage amplifier	Intan Technologies	RHD 32; https://intantech.com/R HD_headstages.html?t abSelect=RHD32ch&y Pos=100
PFA-coated silver wire, 0.005''	A-M SYSTEMS	Cat#: 786000
Bare silver wire, 0.005"	A-M SYSTEMS	Cat#: 781500
3-D Printed Custom-Designed Microdrive	This paper	N/A
Software and Algorithms		
MATLAB 2022a	MathWorks	https://www.mathworks .com/products/matlab. html?s_tid=hp_product s_matlab
AnyMaze 7.16	Stoelting	https://www.any-maze.com/
Cheetah 6.4.2	Neuralynx	https://neuralynx.com/s oftware/cheetah

Open Ephys GUI v0.6.1	Open Ephys	https://open-ephys.org/gui
EEGLAB toolbox	Delorme, et al., 2004[104]	https://sccn.ucsd.edu/eeglab/index.php
Other		
Behavior monitoring camera	e-con System	See3CAM_CU55_CHL_TC1
DAPI (4',6-Diamidino-2-Phenylindole, Dihydrochloride)	Thermo Fisher Scientific	Cat#D1306, CAS: 28718-90-3
DiI (DiIC18(3); 1,1′-dioctadecyl-3,3,3′,3′-tetramethylindocarbocyanine)	Biotium	Cat#60010, CAS: 41085-99-8
Open field chamber	This paper	N/A
Fear conditioning system	This paper	N/A
Lecia VT1000 S Vibrating Blade Microtome	Leica	VT1000 S
Leica S6E Stereomicroscope	Leica	S6E
Small Animal Stereotaxic Instrument with Digital Display Console	David Kopf Instruments	Model 942
Zeiss AxioImager M2 with Apotome	Zeiss	N/A
Arduino Due	Arduino	https://store-usa.arduino.cc/products/arduino-due?selectedStore=us

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Lead Contact and Materials Availability
All data are available in the main text or supplementary materials. Requests for resources and additional information should be directed to and will be fulfilled by the Lead Contact, Dr. Takashi Kitamura (takashi.kitamura@utsouthwestern.edu).

Method details
Animals
All procedures relating to mouse care and experimental treatments conformed to NIH and Institutional guidelines, and were carried out with the approval of the UT Southwestern Institutional Animal Care and Use Committee (IACUC). A total of 16 male mice aged between 2 and 10 months were used. Five C57BL/6J background mice were used for open-field recording. Five Crl:CD1(ICR) background mice crossed to C57BL/6J were used both for open-field and fear conditioning recording. Additional two mice plus four mice (one C57BL/6J and two Crl:CD1(ICR)) used above were used to record including REM sleep periods (one mouse was also used for open-field/fear conditioning recording). Another four C57BL/6J background mice were used for open-field and observational fear test with four-channel multisite brain areas tungsten electrode implants, from which data were also used in previous works [67]. All animals were housed on a 12h/12h light schedule.

Electrode implantation surgery
Fabrication of silicon-probe microdrive and implant surgery was based on a previous report [105]. An implant microdrive equipped with a single-shank 32 channel, 50 µm electrode-site spacing silicon probe (A1x32-6mm-50-177-H32_21mm; NeuroNexus, Ann Arbor, MI, USA; or E32+R-50-S1-L6 NT, ATLAS Neuroengineering, Leuven, Belgium) were prepared for implantation into isoflurane-anesthetized mice. The microdrive was also equipped with a custom-made electric interface board for EMG recording (Fig. 1A), which increased the total implant weight to 6.5 g. In the first step, stainless machine screws (Antrin, Fallbrook, CA, USA) were implanted into the skull to anchor the microdrive. One of these skull screws over the center of a cerebellum was attached with bare silver wire, which serves as an electric ground and reference for the LFP recordings (Fig. 1B). Electric connectivity was checked by measuring that the impedance is less than 20 kΩ at 1 kHz between the ground screw and other skull screws. The silicon probe was then implanted into hippocampal CA1 and dentate gyrus (DG) (AP: −1.80 mm, ML: +1.60–1.70 mm, DV: +2.10–2.40 mm), then the microdrive was fixed to the skull screws by applying dental acrylic. For the EMG recording, the tips of two perfluoroalkoxy-coated silver wires (A-M Systems, Sequim, WA, USA) were exposed and tied to the left and right dorsal neck muscles (Fig. 1C) [7,10]. The skin was then covered with dental acrylic.

Implantation of multiple tungsten wire electrodes was reported previously [67]. Briefly, four sets of tungsten wire electrodes were mounted on a custom designed 3D printed microdrive (Fig. 5A), which was implanted targeting anterior cingulate cortex (ACC), basolateral amygdala (BLA), white matter above dorsal hippocampal CA1 (WM), and ventral CA1 (vCA1) (ACC: AP: +1.00 mm; ML: -0.30 mm; DV: -1.50 mm, BLA: AP: -1.40 mm; ML: +3.40 mm; DV: -5.30 mm, White matter: AP: -2.40 mm; ML: +2.00 mm; DV: -1.00 mm; vHPC: AP: -3.18 mm; ML: +3.75 mm; DV: -4.75 mm). LFP data were re-referenced offline to a skull screw above the cerebellum. All mice were allowed to recover for at least seven days after surgery. All electrode placements were histologically verified with brain slices stained with 4′,6-diamidino-2-phenylindole (DAPI) (Fig. 1D and 7B). The probe was coated with 1, 1′-dioctadecyl-3, 3, 3′, 3′-tetramethylindocarbocyanine (DiI) before the implantation.

In Vivo Electrophysiology and Behavior Experiments

LFP and EMG signals (Fig. 1E) were recorded using Neuralynx (Neuralynx, Bozeman, MT, USA)
or Open Ephys (OEPS Tech, Lisbon, Portugal) [106] systems. The wide-band signals were obtained
from the implanted electrodes and the EMG wires at 20 kHz, then band-pass filtered (0.1–500
Hz) and down-sampled to 2 kHz.
The LFPs and EMGs of the ten animals were recorded while exploring in the open field chamber
(70 cm × 38 cm) for 0.5 to 2 hours. Subsequently, five out of the ten animals were recorded under
tone fear conditioning task using a custom-made fear conditioning box [107]. The box consists of a
30 cm × 25 cm × 25 cm transparent acrylic box surrounded by white plastic boards, and 3 mm
diameter stainless steel bars with a 6.66 mm pitch on the floor. Conditioning foot shock (1.2 mA
for 20 kΩ impedance) was designed to be delivered to the bars while animal behaviors were
monitored with a camera (N660 1080P, NexiGo, Beaverton, Oregon, USA) equipped on the side
of the box. Before fear conditioning, all animals were habituated to experimenters for 2 days. On
Day1, mice were placed in the fear conditioning box and allowed to explore for 240 s. Then, a 20
s tone (90 dB, 8 kHz) was played from a speaker (ST304, VIP PRO AUDIO , Brooklyn, NY, USA),
and a 2-s foot shock was delivered to the mice at the end of the tone, and the animals' behaviors
were subsequently monitored for 240 s. This was repeated two more times before the mice were
returned to their home cage. On Day2, the mice were placed in the same box but with a different
context in the form of black plastic boards on the floor and the surrounding walls. Similarly to day1,
the mice explored the box for 240 s, then 20 s tone delivery and 240 s behavior monitoring were
repeated in total three times. LFP and EMG were recorded for the whole period of Day2. The
timing of the tone and the shock delivery is controlled by the triggering signals of Arduino Due
(A000062). The shocker consists of a portable AC power battery (ZeroKor R350), a step-up
transformer (Simran AC-100) and a rectifier (KBP210G, Diodes Incorporated, Plano, Texas, USA)
for shock delivery, and bipolar transistors (BC547BBU, onsemi, Phoenix, Arizona, USA) and
optocouplers (PC817) for shock-timing control. In addition, one mouse used above and two
additional mice were recorded in their home cages (18 × 28 × 12 cm) for 4 to 6 hours to record
LFP data including REM sleep periods.
Another four mice were recorded during the observational fear task in the previous study [67].
Briefly, implanted mice were group housed with their cagemate for one week. On Day1, the
implanted mice received one time 0.5 mA, 2-s foot shock (Med Associates, Fairfax, VT, USA). On
Day2, the implanted mice and their cagemate were placed in the shock and monitoring chambers,
respectively, which were divided by a transparent plexiglass partition. The mice were allowed to
explore the chambers for 5 min, then the implanted mice observed the cagemate receiving 0.5
mA, 2-sec foot shocks with an interval of 15 s for a total of 24 shock trials). LFPs were recorded
from ACC, BLA, WM, and vCA1 during Day2. Due to excessive line and harmonic noises
generated during recording in this shock system, we applied notch filters at 60/120/240 ± 0.1 Hz.
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594 **Data Analysis**
595 Unless otherwise noted, analysis of electrophysiological data and animal behaviors were
596 performed using custom written scripts in MATLAB (Mathworks, Natick, MA, USA).
597
Independent component analysis of LFPs
To the aim of reconstructing EMG signals without direct EMG recording, we performed ICA on
LFP data [34,108,109]. Before running ICA, we removed unhealthy channels from the LFP data with
two criteria: (1) its waveform is distinct from the neighboring channel (unfunctional channel), (2)
the noise level is larger than the other electrode sites that are observable by eye (degraded
channel).
To separate source signals from LFP data, we used the infomax ICA algorithm [35,110] which is
implemented in the EEGLAB toolbox (*runica.m*) [104]. In ICA, the relationship between signal
sources and the recorded signals is modeled by
$$\mathbf{x} = \mathbf{As},\tag{1}$$

where **x** is the recorded signals, **s** is the source signals called independent components (ICs),
and **A** is the unknown mixing matrix which is to be estimated by the ICA algorithms. The source
signals are then obtained by the equation

$$s = Wx, \tag{2}$$

where **W** is computed by the inverse of matrix **A**, which is called unmixing matrix. The number of
IC **s** is same with the channel number of the recorded signals **x**. Each column of the mixing matrix
A describes how the source signals distribute along the original channels, which we called "weight
distribution". The reconstruction from the *n*'th component onto the original data channels is called
inverse ICA, which is accomplished by multiplying the *n*'th column of the mixing matrix **A** with *n*'th
IC. For comparing IC's distributions, we normalized their weight distributions so that their
maximum absolute is equal to one and their mean value is positive.
Here, we applied ICA to the first 4-min epoch of 32 channel silicon-probe data or previously
reported 4 channel tungsten-wire data [67] instead of using the full set of data to reduce the
calculation cost. The unmixing matrix **W** was calculated through ICA as described above from the
4-min epoch, and then source signals including outside of the 4-min epoch were obtained using
equation (2). Although data rank reduction using principal component analysis is often applied
before ICA, we did not use it because rank reduction can potentially reduce the quality of
subsequent ICA [111]. While it is known that too many IC separations can cause overfitting, a
problem in which IC waveforms are composed of repeated 'bumps' that do not appear in the
original waveforms [112,113], and indeed we have observed this problem when we have used a 25-
μm-spacing 64-ch silicon probe, this overfitting problem was not observed with our recording
setups in the current study.
630
Analysis of Power Spectral Density, IC Amplitudes, and IC-EMG/EMG Amplitudes
We used Welch's method [114] with a window length of 0.25 s and an overlap of 0.15 s to estimate
the power spectral density of EMG and IC. Aperiodic components of the power spectral density
were parameterized [115] to help find the spectral peaks. To compare the spectral features of EMG
and ICs among different animals, the power spectral densities were normalized by their
summation along all frequency ranges.
For evaluating the amplitudes of each IC, we computed how much the ICs account for the
original LFP. We calculated the amplitude ratio (Amp. ratio) by the maximum variance of the IC's
back-projected (reconstructed) signals among the channels divided by the variance of LFP at the
corresponding channel.
To calculate the time-changing amplitudes of the EEG and the EMG components obtained by
ICA from LFP (IC-EMG), we first applied the zero-phased band-pass filter (50–500 Hz) to the
original waveforms and then their root-mean-squares (RMS) were obtained with 100-ms time
windows. Comparisons of RMS among different animals were conducted with z-scored EMG/IC-
EMG data.
646
Behavioral and Statistical Analysis
During the open-field recordings, the animals' head positions were tracked based on the
position of LEDs mounted on the headstage preamplifier. The head position movements were
then computed with their average in chunks of every 15 seconds. The video-based mouse
behaviors were categorized as Sleep if the head speed was less than 0.2 cm/s lasting more than
40 s [71]. Animal's awake states were further categorized as Active if the velocity is greater than 2.0
cm/s and as Quiet Awake (QAW) if the velocity is less than 2.0 cm/s in every 15 s epoch [82]. In
fear conditioning experiments, animals' freezing behavior was detected by AnyMaze software
(Stoelting, Wood Dale, IL, USA). The detection of freezing response during observational fear
experiments was carried out using the Video Freeze Fear Conditioning System software (Med
Associates) as we previously reported [67].

To detect REM sleep periods (see Fig. 6), theta-to-delta ratio[48,49] and EMG [56-60] amplitude were
used in addition to the video-based criteria stated above. First, spectrogram of LFP at CA1 st. lm
layer was calculated by continuous wavelet transform with Morse wavelet using *cwt.m* function of
Matlab 2022a. LFPs were separated into 10 seconds epochs to reduce computational cost for the
wavelet transform and subsequently concatenated to calculate theta (6–9 Hz)-to-delta (0.1–4 Hz)
ratio. Next, video-based classified Sleep state period were re-classified using IC-EMG/EMG
amplitude. The 15 seconds chunks are further separated into 5 seconds chunks, then the video-
based Sleep was re-classified into QAW if the EMG amplitudes were above $z = 1.5$ for more than
20% of the chunk duration and otherwise remained into Sleep . Then, Sleep periods were
classified into REM if theta-to-delta ratio ≥ 4 [60] which continues more than 5 seconds and
otherwise into NREM. The short theta-to-delta < 4 periods which are <5 seconds between REM
states are also categorized as REM state. Only the mice showing >20 mins sleep durations and
showing REM states (six mice) were used for the further analysis. Cohen's kappa values was
calculated by $\kappa = (p_o - p_e)/(1 - p_e)$ [64-66], where p_o is observed agreement rate between video-
based/IC-EMG-based REM periods vs. EMG-based REM periods, and p_e is random agreement
rate between them.
We used Student's t-test after testing normality. Tukey's honest significance tests were
employed for multiple comparisons. $p < 0.05$ was assumed to be statistically significant. *
indicates $p < 0.05$, ** indicates $p < 0.01$, and *** indicates $p < 0.001$. All tests were two-sided. Bar
plots and error bars represent means and standard errors.
678

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