A direct fiber approach to model sclera collagen architecture and biomechanics

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Short Title: Directly modeling of sclera collagen fibers

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Keywords: Collagen, Microstructure, Sclera, Finite element modeling, Biomechanics

Disclosures: F. Ji, None; M. Bansal, None; B. Wang None; Y. Hua, None; M. R. Islam None; F. Matuschke, None; M. Axer, None; I.A. Sigal, None.

Funding: This work was supported in part by the National Institutes of Health R01-EY023966, R01-EY028662, R01-EY031708, P30-EY008098 and T32-EY017271 (Bethesda, MD), the Eye and Ear Foundation (Pittsburgh, PA), Research to Prevent Blindness (unrestricted grant to UPMC Ophthalmology and Stein Innovation Award to IA Sigal).

1 Highlights

- 2 Collagen fibers are the main load-bearing component of eye tissues.
- 3 Conventional sclera modeling ignores that fibers are long, interwoven and interact.
- 4 We demonstrate a direct fiber model with long, interwoven and interacting fibers.
- 5 Collagen fiber mechanical properties were estimated using inverse fitting.
- 6 The model captures simultaneously sclera fiber structure and macroscale mechanics.

7 Abstract

8 Sclera collagen fiber microstructure and mechanical behavior are central to eye physiology and 9 pathology. They are also complex, and are therefore often studied using modeling. Most models of sclera, however, have been built within a conventional continuum framework. In this framework, 10 collagen fibers are incorporated as statistical distributions of fiber characteristics such as the 11 orientation of a family of fibers. The conventional continuum approach, while proven successful 12 for describing the macroscale behavior of the sclera, does not account for the sclera fibers are 13 14 long, interwoven and interact with one another. Hence, by not considering these potentially crucial characteristics, the conventional approach has only a limited ability to capture and describe sclera 15 structure and mechanics at smaller, fiber-level, scales. Recent advances in the tools for 16 17 characterizing sclera microarchitecture and mechanics bring to the forefront the need to develop 18 more advanced modeling techniques that can incorporate and take advantage of the newly available highly detailed information. Our goal was to create a new modeling approach that can 19 20 represent the sclera fibrous microstructure more accurately than with the conventional continuum 21 approach, while still capturing its macroscale behavior. In this manuscript we introduce the new 22 modeling approach, that we call direct fiber modeling, in which the collagen architecture is built 23 explicitly by long, continuous, interwoven fibers. The fibers are embedded in a continuum matrix 24 representing the non-fibrous tissue components. We demonstrate the methodology by modeling 25 a rectangular patch of the posterior sclera. The direct fiber model presented incorporated 26 specimen-specific fiber orientations derived from polarized light microscopy data of histological cryosections. The fibers were modeled using a Mooney-Rivlin model, and the matrix using a Neo-27 28 Hookean model. The fiber parameters were determined by inversely matching experimental equi-29 biaxial tensile data from the literature. After reconstruction, the direct fiber model orientations 30 agreed well with the microscopy data both in the coronal plane (adjusted R^2 =0.8234) and in the sagittal plane (adjusted R²=0.8495) of the sclera. With the estimated fiber properties 31 (C₁₀=5746.9 MPa; C₀₁=-5002.6MPa, matrix shear modulus 200kPa), the model's stress-strain 32 curves simultaneously fit the experimental data in radial and circumferential directions (adjusted 33 34 R²'s 0.9971 and 0.9508, respectively). The estimated fiber elastic modulus at 2.16% strain was 35 5.45GPa, in reasonable agreement with the literature. During stretch, the model exhibited stresses and strains at sub-fiber level, with interactions among individual fibers which are not 36 37 accounted for by the conventional continuum methods. Our results demonstrate that direct fiber models can simultaneously describe the macroscale mechanics and microarchitecture of the 38 39 sclera, and therefore that the approach can provide unique insight into tissue behavior questions 40 inaccessible with continuum approaches.

41 **1. Introduction**

Collagen fibers are the principal load-bearing component of sclera (Boote et al., 2020; Girard et al., 2009b; Grytz et al., 2014a; Jan et al., 2017a; Pijanka et al., 2012), and thus play an important role on eye physiology and pathology (Coudrillier et al., 2012; Ethier et al., 2004; Pijanka et al., 2012; Summers Rada et al., 2006). This has motivated many studies aimed at understanding the role of sclera collagen microarchitecture on macroscale eye biomechanical behavior (Coudrillier et al., 2013; Girard et al., 2009a; Grytz et al., 2011).

48 Because of the complexity of sclera microstructure and the difficulty of accessing it directly for 49 experimentation, numerical models have been widely developed and used for the studies (Coudrillier et al., 2013; Coudrillier et al., 2015b; Girard et al., 2009a; Girard et al., 2009b; Grytz 50 51 et al., 2011; Hua et al., 2020; Sigal et al., 2004; Voorhees et al., 2018; Voorhees et al., 2017). 52 The models have been formulated within a continuum mechanics framework in which collagen 53 fiber architecture has been approximated using statistical distributions. For example, in a common 54 approach, the scleral collagen microarchitecture is accounted for by collagen fiber "families", each of which is described through the family preferred orientation and a "degree of alignment" around 55 this preferred orientation (Coudrillier et al., 2013; Girard et al., 2009a; Grytz et al., 2011). The 56 57 model parameters are often determined through an inverse fitting process by matching experimentally-determined sclera behavior under inflation tests. More advanced models have 58 incorporated experimental data on collagen fiber orientations, obtained for example from small-59 60 angle light scattering or related scattering techniques (Coudrillier et al., 2013; Schwaner et al., 2020a: Zhang et al., 2015). Other recent models have focused on incorporating regional variations 61 62 in fiber family characteristics (Kollech et al., 2019). The success of the conventional models at 63 the macroscale encouraged their use to predict microstructural characteristics of sclera fibers. 64 such as collagen fiber crimp (Girard et al., 2009a; Grytz et al., 2014a; Grytz et al., 2011). These models were helpful to understand the interplay between mechanics and structure, both micro 65 66 and macro, and necessary because of the limitations of the experimental tools at the time.

Advances in the experimental tools have enabled a more comprehensive characterization of the sclera microstructure and mechanics (Behkam et al., 2019; Brown et al., 2007; Coudrillier et al., 2016; Hoerig et al., 2022; Jan et al., 2015; Lee et al., 2022a; Ling et al., 2019; Pijanka et al., 2019; Sigal et al., 2014; Winkler et al., 2010; Yang et al., 2018a; Yang et al., 2021). Studies using these tools have demonstrated structural and mechanical characteristics of the sclera that are potentially crucial yet are not accounted for by the conventional continuum approach for modeling, such as fiber interweaving, fiber-fiber interactions and the in-depth fiber orientation distributions (Boote et al., 2020; Jan et al., 2017b; Lee et al., 2022a). Altogether this indicates that continuum models are limited in the ability to capture and describe sclera structure and mechanics at the smaller, fiber-level, scales. For instance, elsewhere we have shown that not accounting for interweaving and fiber-fiber interactions can introduce substantial errors when estimating sclera fiber mechanical properties using inverse modeling (Wang et al., 2020). This is also important because accurate predictions at the small scale are crucial if the intent is to use the models to understand effects at the scale of cells, axons and for studying mechanobiology.

81 To address the limitations of conventional models and better account for microstructure, 82 models have been developed that explicitly incorporate collagen fiber networks (Hadi and Barocas, 2013; Islam and Picu, 2018; Licup et al., 2015; Picu et al., 2018; Zhang et al., 2013). 83 84 The models, however, are limited in their ability to represent specimen-specific collagen 85 architecture. In addition, the models were formed by short fibers, sometimes generated 86 stochastically, and do not represent well the long fibers that form the sclera (Boote et al., 2020). 87 We contend that long fibers can have fundamentally different mechanical behavior than short fibers (Voorhees et al., 2018), and thus that explicitly accounting for them is important in 88 89 specimen-specific modeling of the eye. Altogether, the limitations of the current modeling tools 90 highlight the need to develop more advanced modeling techniques that can incorporate detailed information on fibers. 91

92 Our goal was to demonstrate that it is possible to build a model of sclera that represents fiber 93 microstructure better than the conventional modeling approaches and that also captures sclera 94 macroscale behavior. In this manuscript we introduce a new modeling approach, that we call direct fiber modeling, in which the collagen architecture is accounted for by long, continuous, 95 interwoven fibers. The fibers are embedded in a continuum matrix representing the non-fibrous 96 97 tissue components. We demonstrate the methodology by modeling a rectangular patch of 98 posterior pole sclera. First, we show that a specimen-specific direct fiber model can be built based 99 on high resolution polarized light microscopy data of histological sections. We show that the fiber 100 orientation distributions of the direct fiber model follow closely those of the histology 101 simultaneously in both the coronal and sagittal planes. This is a more demanding requirement than in conventional models in which only the fiber orientations in coronal plane are accounted 102 103 for (Girard et al., 2009b). Second, we used the model in an inverse modeling approach by fitting 104 experimental biaxial stress-strain data from the literature. We show that the direct fiber model can 105 match the experiment simultaneously in both radial and circumferential directions. Overall, direct 106 fiber modeling can provide unique insight into the interplay between tissue architecture and

- 107 behavior and help answer questions that have been inaccessible with the conventional continuum
- 108 approach.

109 2. Methods

110 This section is organized in three parts following the same general order as the process for 111 building and using a direct fiber model. First, experimental data on sclera fibers and orientations was obtained using established PLM imaging of histological cryosections (Jan et al., 2015). 112 113 Second, a direct fiber finite element model of a patch of posterior sclera was built based on the 114 PLM-derived orientation data. The fiber architecture of the model was first built from the collagen 115 fiber orientation data in the coronal sections, and then iteratively optimized to also match the 116 orientation data from sagittal sections. The direct fiber model was then embedded in a matrix 117 representing non-collagenous components. Third, the combined fiber and matrix model was used in an inverse fitting optimization process to match the models' simulated stress-strain behaviors 118 with equi-biaxial test data from the literature (Eilaghi et al., 2010). This process produced 119 120 estimates of the fiber mechanical properties. Below we describe these parts in detail.

Modeling was done in Abaqus 2020X (Dassault Systemes Simulia Corp., Providence, RI, 171 USA). Customized code and the GIBBON toolbox (Moerman, 2018) for MATLAB v2020 (MathWorks, Natick, MA, USA) were used for model pre/post-processing and inverse modeling.

124 **2.1** Histology, polarized light microscopy and fiber orientation quantifications

125 The study was conducted in accordance with the tenets of the Declaration of Helsinki and the 126 Association of Research in Vision and Ophthalmology's statement for the use of animals in 127 ophthalmic and vision research. For the fiber orientation distribution in the coronal plane, we used 128 a porcine eye that was also part of a previous study on sclera architecture (Gogola et al., 2018b). Details of the eye preparation, histological processing, PLM imaging and post-processing 129 methods are reported elsewhere (Jan et al., 2015; Jan et al., 2017b). Briefly, a healthy eye without 130 known abnormalities was obtained from the local abattoir and processed within 24 hours of death. 131 132 The episcleral tissues, fat and muscles were carefully removed, and the globe was perfusion and 133 immersion fixed in 10% formalin for 24 hours at an IOP of 0 mmHg. After fixation, the optic nerve head region was isolated with an 11 mm circular trephine and serially cryo-sectioned coronally 134 135 with a slice thickness of 30 µm (Figure 1 A). Seventeen serial sections were imaged with PLM 136 using the 0.8x objective (NA 0.12) of an Olympus SZX16 microscope, paired with a dual chip 137 Olympus DP80 camera (4.25 µm/pixel).

For the fiber orientation distribution in the sagittal plane, we used a healthy sheep eye that was processed in the same way, except that the optic nerve head was sectioned sagitally. Three sections through the middle of the scleral canal were selected and imaged with PLM using an Olympus IX83 microscope with 4x objective (1.49µm/pixel). The higher resolution in this orientation was selected to resolve better the in-depth fiber interweaving. Fiber orientation distributions were normalized for use, and therefore we do not expect this to affect the reconstructions. Please see the discussion for a discussion of the potential consequences of having used different species for the coronal and sagittal planes.

PLM images were processed to derive at each pixel the in-plane collagen orientation (in Cartesian coordinates) and a parameter which we have previously referred to as "energy" (Yang et al., 2018b). Energy helps identify regions without collagen, such as outside of the section, and regions where the collagen fibers are primarily aligned out of the section plane, so that they can be accounted for in the orientation distribution.

151 For the coronal fiber orientation, the coronal sections were stacked sequentially and registered (Gogola et al., 2018b). After registration, the PLM data was reprocessed to align 152 153 orientation values (Gogola et al., 2018b). As target to build the direct fiber model we selected a 154 rectangular block of sclera, 2.00 x 1.91 mm in size, in the temporal side of the optic nerve head 155 (Figure 2). The location and shape were chosen to match the sample tested experimentally 156 (Eilaghi et al., 2010). We then calculated the distribution of collagen fiber orientations from the PLM images. We used the pixel-level data from 17 sections, weighted by the local "energy". This 157 allowed us to build the in-plane distribution based on fibers in the same plane (Yang et al., 2018b). 158

159 For the sagittal fiber orientation, we analyzed the images of the 3 sagittal sections. Naturally, 160 the sagittal sections were not from the same sample as the coronal sections, and therefore we 161 needed a different method to assign fiber orientations. It seemed inadequate to arbitrarily pick the 162 orientation distribution of a region. Thus, instead, we derived a representative sagittal orientation distribution. We did this by manually defining 236 rectangular areas, 715 µm x 715 µm, all in the 163 164 sclera near the posterior pole in regions approximating the location selected in the coronal plane. 165 The orientation distributions were calculated for each rectangular area, again limiting this to the 166 in-plane collagen fibers by using the out-of-plane information derived from the energy parameter 167 (Yang et al., 2018b). We then derived the representative sagittal orientation distribution by 168 averaging the distributions across the 236 regions. In addition, we also calculated the sagittal fiber anisotropy from the median of the anisotropies among the 236 areas. The anisotropy indicates 169 170 the degree of fiber alignment and was quantified as circular standard deviation (Gogola et al., 2018b). Perfectly aligned fibers have an anisotropy of 1 and evenly dispersed fibers have an 171 172 anisotropy of 0. We reasoned that the average distribution and median anisotropy provide a better 173 representation of the orientation distribution in this direction than any single distribution.

174 **2.2 Direct fiber model construction**

175 **2.2.1 Fibers**

Fibers were simulated using 3-dimensional linear truss elements (T3D2 in Abagus). Fiber 176 177 locations were defined by Cartesian coordinates (X, Y, Z) of element nodes. To define fibers, we 178 sampled orientation values from PLM images at regularly spaced "seed" points with a spacing of 179 272 µm. At each seed point, a straight fiber 8.5 µm in diameter was traced in the section plane at 180 an angle equal to the orientation at the seed point, meanwhile, the fiber passed through the seed point and extended the full span of the region. The process was applied for each layer, and then 181 the fibers were stacked, resulting in a stack of 2D layers of fibers, each with a large number of 182 fibers crossing or "interpenetrating". To resolve fiber interpenetrations, an algorithm was used to 183 184 refine and displace fiber elements in the whole structure (Matuschke et al., 2021; Matuschke et al., 2019). Briefly, if the smallest distance between two elements was less than the fiber diameter, 185 186 an interpenetration was detected. Interpenetrated elements were shifted apart iteratively until all 187 interpenetrations were resolved. During the process, fiber elements were re-meshed so that the 188 element length was kept between 17µm to 25.5µm. Fibers were smoothed by controlling the fiber 189 minimum radius of curvature.

190 It is important to make a few important notes regarding our use of the term "fiber". The collagen of the sclera has a complex hierarchical structure even more complex than that of the cornea and 191 192 tendon (Boote et al., 2020; Jan et al., 2018). The models were reconstructed using a fiber 193 diameter of 8.5 µm, which means that what we refer to as a fiber most likely represents a group 194 of fibers that elsewhere may instead be described as a fiber bundle. Nevertheless, because our 195 intent with this work is to call attention to the power of incorporating detailed microstructural information on sclera, we decided to use the term fiber, while also being careful to acknowledge 196 197 at several critical points that these may be understood as fiber bundles. This is further addressed 198 in the discussion.

To evaluate the similarity of the model and the images in the coronal plane, we quantified the model's coronal orientation distribution and compared it with the distribution of the PLM images. We counted the occurrences of element orientations, where the orientation of an element was the slope angle in the coronal section plane and the number of occurrences was estimated as the element volume. This allowed us to account for uneven element sizes and to properly compare with PLM pixel-based measurements. 205 To better account for the fiber distribution in 3D, including the through-depth fiber undulations, 206 we did the following: we shifted the fiber elements locally in-depth according to the fiber relative 207 positions observed in coronal section images. If a fiber element had an orientation that disagreed with the orientation in the image by more than 45 degrees, the element was "shifted" in the 208 posterior direction. A fiber segment that was in agreement with the local orientation was kept at 209 the original sclera depth. After applying this process throughout the volume, we did another 210 211 iteration resolving fiber interpenetrations. We then compared the in-depth fiber anisotropy of the 212 model with the representative anisotropy in the sagittal plane. If the model had a larger in-depth 213 anisotropy than the images, we shifted the fibers, increasing the fiber undulation amplitudes and 214 decreasing the degree of fiber alignment. Conversely, if the model had a lower anisotropy than the sagittal images, we decreased the amplitude of fiber undulations. Each of these steps was 215 216 followed by an iteration resolving fiber interpenetrations and a check in the agreement between the model and sample anisotropies in the coronal plane. Adjusted R-square (adjusted R²) values 217 218 were used to evaluate the fitness of orientation distributions. This process converged to a set of continuous fibers in excellent agreement with the PLM-derived orientations simultaneously in both 219 coronal and sagittal planes. Adjusted R² is similar to the conventional R² in that it indicates fit to 220 221 a curve, but it adjusts for the number of points considered, avoiding potentially misleading 222 excellent fits that are the result of many points of comparison (Miles, 2005).

223 2.2.2 Matrix

The model used for inverse fitting consisted of the direct fiber model and a coincident matrix with an overall dimension of 2.00 x 1.91 x 0.35 mm. The model of fibers and matrix had the same dimensions, and fiber end-nodes resided on the matrix surfaces.

227 2.3 Model inverse fitting

228 2.3.1 Meshing and material properties

Fibers were modeled as a hyperelastic Mooney-Rivlin material (Holzapfel, 2001):

$$W = C_{10}(I_1 - 3) + C_{01}(I_2 - 3) + \frac{1}{2}K(J - 1)^2$$
(1)

where W was the strain energy density, C_{10} and C_{01} were the material constants, restricted by C_{10} + C_{01} > 0 and would be determined by inverse modeling, I_1 and I_2 were the first and second invariants of the right Cauchy-Green deformation tension, K was the bulk modulus and J was the determinant of the deformation gradient. As noted above, the fiber element diameter was assumed to be 8.5µm, and the element size was between 17µm to 25.5µm. The matrix was

meshed with linear eight-nodded, hybrid hexahedral elements (C3D8H in Abaqus) and modeled
as a neo-Hookean material with a shear modulus of 200 kPa (Coudrillier et al., 2015a; Girard et
al., 2009b).

239 2.3.2 Interactions

240 Fiber-fiber interactions were simulated by preventing fiber interpenetrations using Abagus' general contact with no friction. Preventing fiber interpenetration is computationally expensive 241 242 and therefore commercial implementations are often directed at maintaining small interpenetrations rather than avoiding them altogether. We reasoned that a small interpenetration, 243 244 in low single digits percent of fiber diameter, will likely not represent a major deviation from fiber physical behavior. We calculated the extent of the interpenetration in the following way: we 245 defined a deformed model based on the original model and the final nodal displacements. A 246 customized MATLAB program was then used to find all existing interpenetrated element pairs. 247 248 For each of these pairs, we quantified the percentage of interpenetration as:

249 percentage of interpenetration =
$$\frac{d - x_{\min}}{d}$$
 (2)

where d was fiber diameter, and x_{min} was the minimum distance between two elements. Interpenetration thus varied between 0 when there is no interpenetration and 1 when two elements are perfectly overlapping. We found that 99.4% of the interpenetrations were smaller than 5%. This is further addressed in the discussion.

Fiber-matrix interactions were ignored, as is usual in biomechanical models of the eyes (Grytz et al., 2014a; Grytz and Meschke, 2009; Petsche and Pinsky, 2013).

256 **2.3.3 Finite element analysis procedure**

The fiber-matrix assembly was subjected to a quasi-static process of equi-biaxial stretch to match the experimental results and boundary conditions reported elsewhere (Eilaghi et al., 2010). The matrix was simulated using Abaqus standard implicit procedure. Due to the presence of complicated fiber contacts, the direct fiber model was simulated using Abaqus dynamic explicit procedure, meant to improve the convergence and computational efficiency. The model fiber volume fraction (VF) is 7%. The resulting stresses σ along radial and circumferential directions were contributed by both matrix and fibers, where the matrix stress was weighted by fiber VF:

264
$$\sigma = (1 - VF)\sigma_{matrix} + \sigma_{fibers}$$
(3)

Because the process was quasi-static, the dynamic explicit procedure would require an excessive number of small-time increments, which is computationally impractical. Therefore, to run the dynamic analysis efficiently, mass scaling was implemented. We achieved a 1e-5 stable
time increment, where we modeled the process in the shortest time period in which inertial forces
remain insignificant. During the simulation, we assured that the inertial effects were negligible by
keeping the kinetic energy less than 5% of the internal energy.

271 **2.3.4 Boundary conditions and inverse modeling procedure**

The model was simulated iteratively to derive fiber material properties (C_{10} and C_{01}) by inversely matching published experimental stress-strain data (Eilaghi et al., 2010).

Selecting the target experimental set: The fibers in the direct fiber model were not equally 274 275 distributed in all directions. It seems reasonable to expect that this structural anisotropy will result 276 in mechanical anisotropy (Coudrillier et al., 2015b). It was therefore important to select for inverse 277 fitting, among the multiple experimental results reported in the literature (Eilaghi et al., 2010), the one that exhibited a mechanical anisotropy matching the structural anisotropy of our model. To 278 do this, we first quantified the mechanical anisotropy of our model (Figure 4). We did this by 279 280 subjecting the model to displacement-controlled equi-biaxial stretch tests. At each strain level we 281 quantified the model's stress anisotropy as the ratio of stresses in the orthogonal directions (S_{11}/S_{22}) . The test was repeated several times with different fiber material property values. The 282 283 results showed that the ratio of stresses had a fairly constant distribution and was essentially 284 independent to the material properties changes within the ranges tested. With this, we were able 285 to select 76940-TS as the case with similar anisotropy as our model from the several experimental 286 results reported by Eilaghi et al..

To be consistent with the selected experimental data, we assigned an equi-biaxial stretch of 287 288 2.16% as the displacement boundary condition to our fiber-matrix assembly. To optimize the fiber 289 material properties (C_{10} and C_{01}), we used the simplex search method of Lagarias et al. (Lagarias et al., 1998). The algorithm sought to identify the two parameters that yielded the closest match 290 291 between the simulated and experimental stress-strain curves, simultaneously in both directions. 292 We optimized the fitness by minimizing the cost function, defined as the residual sum of squared 293 (RSS) between the model and experimental curves at 21 strain states. The optimization was 294 terminated when the cost function value was smaller than 0.01. After optimization we also 295 computed the adjusted R² between the curves to assess curve similarity. The complete inverse 296 fitting process was repeated 11 times with various starting parameters to test the consistency and 297 "uniqueness" of the results.

- 298 For interpretation of the results and to compare with other studies, it is useful to "convert" the
- optimal C_{10} and C_{01} parameters of the hyperelastic Mooney-Rivlin model into more intuitive
- 300 representations of fiber mechanical properties. Specifically, we estimated the fiber shear modulus
- as $2(C_{10} + C_{01})$. We also derived a fiber elastic modulus by simulating uniaxial stretch of a single
- straight fiber with the optimal C_{10} and C_{01} values and the hyperelastic Mooney-Rivlin material. The
- fiber elastic modulus was then obtained as the slope of the stress-strain curve at 2.16% strain.

304 3. Results

305 After construction, the model consisted of 1016 fibers (or fiber bundles). Fiber orientation distributions and overall anisotropies were similar between the model and the tissue, as measured 306 using PLM (Figure 5). In the sagittal plane, the anisotropies were 0.6031 and 0.5976 for the model 307 and tissue, respectively (a difference smaller than 1%); In the coronal plane, the anisotropies 308 309 were 0.1777 and 0.1626 for the model and tissue, respectively (a difference smaller than 10%).

Figure 5 shows the fiber orientation distributions of the direct fiber model and PLM images. 310 The match of distributions was achieved simultaneously in both coronal and sagittal planes. By 311 Wilcoxon rank sum tests, there were no significant differences in fiber orientation distributions 312 between the model and the PLM images, in either coronal (p > 0.7) or sagittal (p > 0.6) planes. 313

Figure 6 illustrates fiber displacements and stresses at half and full stretch. The large 314 differences in stress between fibers were consistent with the anticipated process of stretch-315 316 induced recruitment (Grytz and Meschke, 2010; Jan and Sigal, 2018). All 11 optimization runs led to curves that were in good agreement with the experiment. They all led to fairly consistent 317 318 estimates of fiber shear modulus, with an average of 1522.8 MPa and a standard deviation (STDEV) of 38.9 MPa (Supplementary Table 1). Interestingly, these were obtained with C_{10} and 319 C_{01} coefficients that varied substantially. For example, C_{10} ranged from 118.9 to 7881.8 MPa. 320

Figure 7 shows that the stress-strain curves of the optimal model fit very well the experimental 321 data in both radial (adjusted $R^2 = 0.9971$; RSS = 0.00095) and circumferential directions (adjusted 322 $R^2 = 0.9508$; RSS = 0.0098) simultaneously. The material parameters for the inverse fitting run 323 that led to the closet model and experiment fit were: 324

 $C_{10} = 5746.9 \text{ MPa}$

325

326

C₀₁ = -5002.6 MPa

The estimated fiber elastic modulus at 2.16% strain was 5.45GPa. The estimated fiber shear 327 328 modulus is 1488.6 MPa.

329 4. Discussion

Our goal was to develop a direct fiber modeling approach that captures both the microscale collagen fiber architecture and the macroscale mechanical behavior of the sclera. We demonstrated our approach by modeling a rectangular patch of the posterior sclera. The model incorporated several fiber characteristics ignored by most previous models of posterior sclera, such as fiber interweaving, fiber-fiber interactions, long fibers, and a physiologic experimentallyderived in-depth fiber orientation distribution. Below we discuss in detail the importance of considering these characteristics for the study of sclera biomechanics.

337 A common preconception about the effects of fiber interweaving, and the resulting fiber-fiber interactions, seems to be that interweaving increases the stiffness of a material, or tissue. 338 339 However, by comparing models with interweaving and non-interweaving fibers, we have shown 340 that an interwoven architecture is, as a structure, more compliant than a non-interwoven 341 architecture (Wang et al., 2020). This is consistent with the literature on textile mechanics (Saiman 342 et al., 2014; Stig and Hallström, 2019). This effect can be understood by noting that the fibers of 343 the interweaving architecture are undulated, whereas those from the non-interweaving models 344 are straight. Straight fibers aligned with the load carry the forces more efficiently, and are shorter, and thus, the overall model is stiffer. Since fiber interweaving and the resulting fiber-fiber 345 interactions play an important role in determining the structural stiffness of the sclera, it should be 346 considered when modeling sclera biomechanics, as did our direct fiber model. Note that we are 347 348 not the first to recognize the importance of fiber interweaving and fiber-fiber interactions in soft tissue biomechanics (Elliott and Setton, 2001; Guerin and Elliott, 2007; Nerurkar et al., 2011; 349 350 Wagner and Lotz, 2004; Zhang et al., 2013). For example, in annulus fibrosus of intervertebral 351 disc, interlamellar shearing can account for nearly 50% of the total stress associated with uniaxial 352 extension. Therefore, interweaving collagen fiber layers may play an important role in annulus 353 fibrosus tissue function.

354 It is important to note that the scale of the undulations of interweaving is different from that of 355 the collagen fiber waviness referred to as crimp by us, (Gogola et al., 2018a; Jan et al., 2018; Jan 356 et al., 2017a; Jan and Sigal, 2018) and others (Grytz et al., 2014a; Grytz et al., 2020a; Grytz and Meschke, 2009). For example, ocular collagen fibers crimp has a period typically under 20 µm, 357 (Gogola et al., 2018a; Jan et al., 2018; Jan et al., 2017a; Jan and Sigal, 2018) much smaller than 358 the estimates of interweaving undulations on the order of 100 to 300 µm (Wang et al., 2020). 359 360 From a mechanical perspective, the undulations of crimp affect directly the biomechanical behavior of a fiber under load, with the crimp "disappearing" as the fiber is loaded or stretched, 361

and eventually recruited (Jan et al., 2022; Jan and Sigal, 2018). The interactions between interwoven fibers mean that these undulations do not "disappear" under load, and are limited by the interlocking (Lee et al., 2022b).

The collagen fibers of the sclera are long and continuous; thus, they can transfer forces over 365 a long distance (Boote et al., 2020; Voorhees et al., 2018). However, the conventional continuum 366 367 approach to modeling sclera only considers the local or regional orientation distribution of these 368 long fibers and maps such information into fairly small "finite elements" (Coudrillier et al., 2013; 369 Grytz et al., 2011). As a result, the force transmission is continuous across elements rather than 370 along fibers, which alters the predicted mechanical behavior locally and potentially at the 371 macroscale (Campbell et al., 2015; Coudrillier et al., 2013; Kollech et al., 2019; Roberts et al., 372 2010; Voorhees et al., 2018; Zhang et al., 2015; Zhou et al., 2019). We are not the first to 373 recognize the importance of accounting for long fiber mechanics (Grytz et al., 2020b; Huang et 374 al., 2017; Lanir, 2017). One approach proposed to address the problem has been through the use 375 of symmetry boundaries across elements. In this manner it is possible to simulate fibers that are 376 continuous across elements. The approach, however, substantially limits the type of models that 377 can be considered compared with the direct fiber model we present.

378 The collagen architecture of the sclera varies in depth (i.e., the direction perpendicular to the scleral surface) (Jan et al., 2017b; Pijanka et al., 2015). Such variations are crucial in the load-379 380 bearing capacity of the sclera (i.e., bearing shear stresses), and may even have clinical 381 implications (Danford et al., 2013). Unfortunately, most fiber-aware models of the sclera only account carefully for the fiber orientations within the scleral plane, while the in-depth orientations 382 are ignored or are modeled in a much more simplified manner than the out of plane orientations 383 384 (Coudrillier et al., 2013; Voorhees et al., 2017; Zhang et al., 2015). Our direct fiber model 385 incorporates both in-plane and in-depth specimen-specific fiber orientation distributions that 386 match those measured using PLM, and thus, has a higher fidelity for representing the sclera and 387 its mechanics.

Ultimately, a model is only as good as the predictions that can be made using it. On this, we point out that the fiber elastic modulus estimated with our direct fiber model is well within the range of values reported in the literature. For example, we estimated a fiber elastic modulus of 5.45 GPa, compared with those of 2-7 GPa of the bovine Achilles tendon (Van Der Rijt et al., 2006; Yang et al., 2007) and 5-11.5 GPa of the rat tail (Wenger et al., 2007). In contrast, the estimates of fiber elastic modulus derived using continuum models, which used constitutive model formulations based on highly simplified assumptions of fiber architecture and behavior at the microscale level, 395 are several orders of magnitude smaller, between 1 and 200 MPa (Coudrillier et al., 2015b; Grytz 396 et al., 2014b; Schwaner et al., 2020a; Schwaner et al., 2020b). Hence, whilst both continuum and 397 direct fiber models can closely approximate the macroscale sclera behavior, the estimates of fiber mechanical properties derived from both types of models can be substantially different. This may 398 not be a problem when the intent is limited to describing tissue mechanical response. However, 399 an important application of continuum models is to "infer" characteristics of the underlying fibers. 400 401 The large differences in fiber properties estimated by the continuum models and the experimental 402 measurements already suggest that these should be interpreted very carefully as the values 403 inferred may be inaccurate. A common application of this approach is to compare the fiber 404 properties inferred from healthy and unhealthy tissues, or from young and old donors. The 405 argument in this case is based on the idea that the methods may not produce accurate fiber 406 estimates, but that the comparison remains valid. This may indeed be the case. But it is also possible that if the changes with pathology or age involve aspects of the fibers that are not 407 408 accounted for in the continuum model formulation, then the origin of the changes will end up 409 artefactually attributed to another tissue characteristic. Thus, while all models involve important 410 approximations, if the goal is to derive estimates at the fiber scale, we posit that direct fiber models 411 are preferrable over continuum models.

412 The methodology to build the direct fiber model structure can be applied to other collagenous 413 tissues. The reconstruction method is not tied to PLM and can instead be done using second 414 harmonic imaging or confocal microscopy, as long as they provide information on the density and orientation of collagen fibers. The level of detail necessary from the images can depend on the 415 416 complexity of the tissue in question and the accuracy needed from the reconstruction and 417 simulations. Because the sclera has a complex 3D architecture, we combined histological 418 information from two sectioning directions. This may not be necessary for other tissues, or 419 perhaps it may be possible to obtain depth information by taking advantage of the confocal nature 420 of the imaging or 3D PLM (Yang et al., 2018b).

A common concern with inverse fitting is the uniqueness of the so-called optimal parameters (Girard et al., 2009a; Girard et al., 2009c; Zhou et al., 2019). When we repeated the optimization with various starting parameters, we obtained fairly consistent fiber shear modulus predictions. However, the material model parameters C_{10} and C_{01} varied substantially. This was not a surprise given the material formulation we used and the clear potential for interactions between the parameters. Altogether, this reinforces the importance of focusing on parameters with a clear 427 physical interpretation, in this case fiber shear modulus. Such parameters are more likely to have428 better stability.

429 From a numerical perspective, prevention of fiber penetrations is computationally intensive. We took advantage of highly mature general contact tools implemented in commercial code to 430 431 keep solution time reasonable. Ensuring absolutely no interpenetration would have required an 432 impractical number of small elements to represent the undulating fibers. To avoid this problem, 433 we decided to quantify and track the interpenetrations and consider a solution valid if these 434 remained below 5% of the fiber diameter. In a worst-case scenario this would mean that two 435 interacting fibers have "pushed" into each other such that the distance between their centers is only 95% of twice their radii. We assumed that the fibers are all circular and remain circular, 436 despite pushing into each other. 437

438 Limitations

When interpreting the results from this work, it is important to consider also the following 439 440 limitations. First, we considered highly simplified fiber-fiber interactions and ignored fiber-matrix interactions. We are aware that the forces between fibers may be much more complex, including 441 442 friction, crosslinks and several other physical processes that are challenging to simulate. Some of these may have major impact on the results, and others may not. This likely depends on the 443 444 specific structure and loading conditions of the tissue. We carried out a friction sensitivity test in 445 which we repeated the inverse fitting while using several friction coefficients. The results were 446 very similar in stress-strain (less than 5% difference). We thus conclude that in the particular test 447 reported herein ignoring friction did not adversely affect the accuracy of the model estimates 448 substantially. Fiber-matrix interactions are potentially even more complex than fiber-fiber interactions given the wide diversity of components that form what we describe simply as "matrix". 449 450 These include non-fibrous components, such as GAG chains, that may act as lubricants and affect 451 sliding, in which case their presence could make the tissue more compliant (Hatami-Marbini and 452 Pachenari, 2020; Murienne et al., 2016). Other components include elastin fibers and cells. While 453 we acknowledge the highly simplified fiber-fiber and fiber-matrix interactions we used in this study, 454 we argue that the methodology we have described can be extended to incorporate much more 455 complex interactions. Conventional continuum modeling techniques of the eye and other tissues 456 simply ignore fiber-fiber and fiber-matrix interactions, yet it is not obvious to readers that these 457 assumptions have been made, or how to relax them.

458 Second, we did not account for sub-fiber level features, such as collagen fiber crimp and 459 various fiber or bundle diameters. Future work would benefit from introducing collagen fiber crimp 460 (Grytz et al., 2014a) and various fiber diameters (Komai and Ushiki, 1991).

Third, our direct fiber model does not account for the very large number and complexity of fibers of the sclera. We posit that the fiber-level mechanics presented using the direct fiber model with reduced fiber density will likely be similar to the actual tissue. Therefore, our objective of introducing direct fiber model for simulating sclera is fulfilled. Meanwhile, it's beneficial to use fewer fibers to reduce computational cost. We agree that in future work, it will be worthwhile to work on improving computational efficiency and building the model with more fibers.

Fourth, we did not account for regional variations. In our model structure we did not observe 467 468 the strong well-aligned region of fibers in the radial direction that have been reported to take about 469 10% of the sclera nearest to the choroid. This could be due to variations between eyes, regional 470 inhomogeneities (the radial fibers appear to be more readily distinguished closer to the optic nerve 471 head) or due to the specific coronal sections used for the reconstruction. It is likely that other 472 regions and other eyes will produce different models that respond differently to mechanical 473 loading. We posit that our goal in this manuscript was modest, aiming to demonstrate it is possible to build a fiber-based model that produces a macroscopic mechanical behavior matching 474 experimental tests. It will be beneficial to consider more features and variations in future work. 475

Fifth, the model used to demonstrate the direct fiber method was reconstructed from PLM and experimental data from different species. We posit that the impact was minor because our goal was to demonstrate the reconstruction and modeling methodology. It should be clear to readers that the process could be redone in other species. In this sense the key result from this work, that the direct fiber modeling technique can work still stands. In future work, it would be beneficial to have the image and experimental data consistent, i.e., from the same species, to better estimate the fiber mechanical properties of a certain species.

Sixth, the matrix mechanical properties were kept constant at literature values and not optimized iteratively like the fiber properties. This was for the sake of simplicity. Introducing matrix properties changes to the model may be necessary to properly account for the effects of age and interweaving, given the evidence that changes in matrix properties could result in age-related changes in sclera properties (Grytz et al., 2014b).

488 Seventh, although our model has similar boundary conditions to the experiment it is 489 impossible to match the experiment precisely. Holding the tissues with clamps, rakes or hooks

alters the boundary conditions, in ways that are extremely complicated to replicate
computationally. Moreover, the experiments to which we fit the model were biaxial stretch tests.
This is not the physiologic mechanical condition of sclera. The mechanical behavior of sclera
under inflation or more complex modes could be different.

494 **5. Conclusion**

495 We have shown the possibility of developing specimen-specific direct fiber model of sclera 496 that can represent the sclera fibrous microstructure better than the previous continuum modeling 497 approaches and allow accurate capture of sclera mechanics. We successfully built the model with 498 long, continuous, interwoven fibers that takes into account the effects of fiber interweaving and fiber-fiber interactions. Our results have demonstrated that the direct fiber model can match the 499 500 fiber orientations measured in high-resolution PLM images simultaneously in coronal and sagittal planes. The model properties can be optimized through inverse fitting to match experimental 501 502 stress-strain responses. The estimated fiber elastic modulus is in good agreement with the 503 literature. The direct fiber modeling methodology potentially has broad application to simulate 504 other fiber-based tissues. Overall, the direct fiber modeling technique in this study is important for 505 characterizing sclera collagen architecture at the fiber level, analyzing microstructural responses to macroscale mechanical loadings, and for understanding the scleral biomechanical environment. 506

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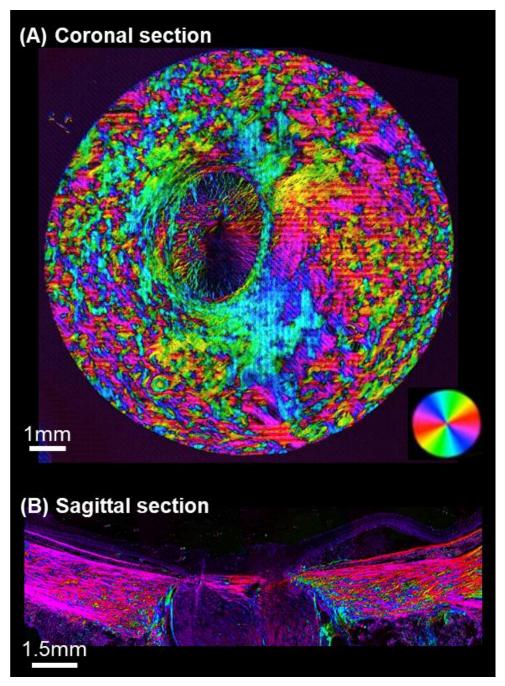
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739

Figure 1. (A) Example PLM image of a coronal section of a pig eye through the lamina cribrosa.

741 **(B)** Example PLM image of a sagittal section of a sheep eye through the optic nerve head (ONH).

The colors indicate the local fiber orientation in the section plane, and the brightness the "energy"

743 parameter (see main text).

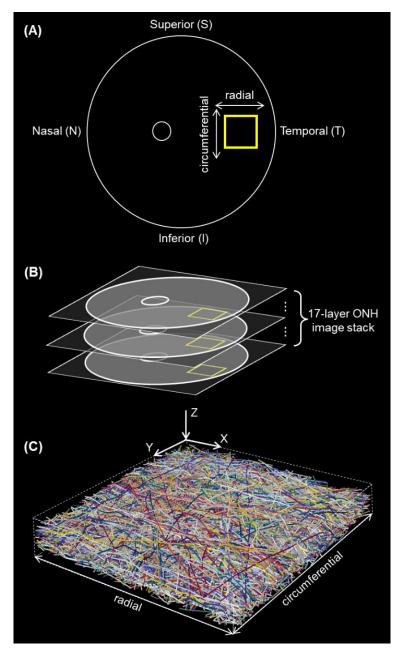


Figure 2. (A) Schematic coronal view illustrating the location of the scleral region modeled (yellow 745 746 box, 2.00 x 1.91 mm). The region was located at the temporal sector. Also shown are the radial and circumferential directions, which correspond with the directions used for the equi-biaxial 747 748 testing in the experiment and simulation. (B) A set of 17 coronal sections were stacked and registered. Fiber orientation data was extracted from the selected rectangular patch of sclera 749 750 region (yellow box in panels A and B) in the image stack and used to build the direct fiber model (C). Fibers, or fiber bundles, are shown in random colors to simplify discerning the complex 751 752 interwoven architecture.

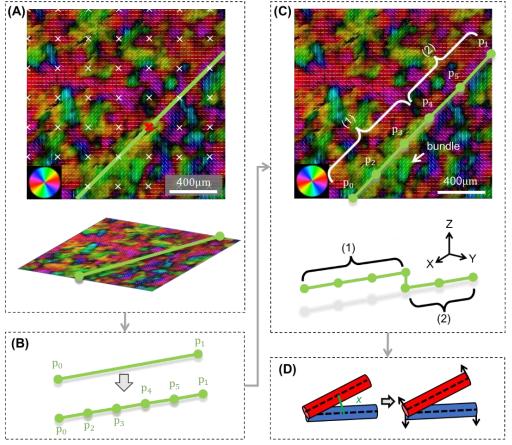




Figure 3. Workflow for creating and processing fibers. (A) The process begins with the pixel-level 754 data from the coronal section PLM process (both orientation and energy). For clarity here we 755 756 illustrate the process with a small square region. The colors of the PLM image represent the local 757 collagen orientation. To help discerning orientations the images are shown with overlaid short white line segments representing the mean orientation over a small square region. (top panel) A 758 759 regular grid of "seed" points was defined (white x marks). At these seed points the local fiber 760 orientation was sampled, averaged as per the white lines to avoid noise. The energy information 761 was used to skip defining fibers in regions without a reliable well-defined orientation, and to give 762 preference to the in-plane fiber orientations over the out-of-plane ones. The in-plane orientation 763 was then used to define a straight fiber in the image plane. In the example case, a fiber was traced 764 at the grid point (red x mark) at an angle around 45 degrees. The bottom panel shows an isometric 765 view of the image with the fiber overlaid. (B) (top) The fiber was initially defined by one element 766 and two nodes, p_0 and p_1 . (bottom) The fiber was then refined into five elements and six nodes. 767 (C) The average orientations over the fiber elements were then computed and compared with 768 local image orientations to determine their agreement element by element. In the example shown, 769 the element group (1), p₀-p₂, p₂-p₃, p₃-p₄, agreed well with the direction of a yellow-green bundle.

- Accordingly, the group was accepted at this section. In contrast, the element group (2) p₄-p₅, p₅-
- p₁, had poor agreement between element and image orientations. This group was then assigned
- a lower depth, effectively "pushing" the group or fiber segment to the depth of another section.
- Fiber connectivity was ensured by adding an element to connect the two element groups ((1) and
- (2)) at different depths. The fiber smoothness was restored when resolving fiber collisions.
- 775 Meanwhile, elements were combined or split to maintain all element lengths within a pre-defined
- range. (D) Elements were moved apart if the shortest distance x between them was smaller than
- fiber diameter, indicating a collision.

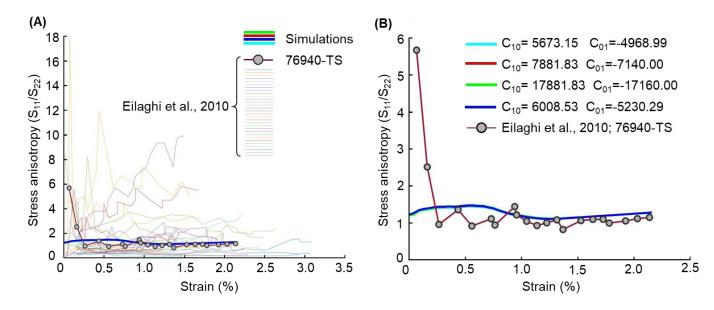
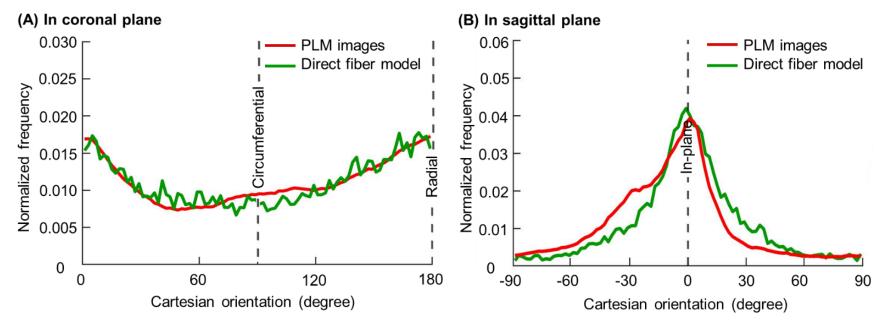




Figure 4. (A) To properly fit the model to an experimental curve it was necessary to select out of all the experimental curves the set 779 with a similar anisotropy. See text for more details. To do this we first assigned four sets of random material parameters, C_{10} and C_{01} , 780 781 to the fiber model. The intent was to generate a set of model behaviors spanning the range of anisotropies to be expected over a wide 782 range of material properties. Equi-biaxial stretch was applied to the fiber-matrix assembly and the stress anisotropy (S₁₁/S₂₂) of the 783 model computed at many steps through the stretching process. We then also computed the stress anisotropy of the experimental 784 curves reported by Eilaghi et al. There were substantial variations in their anisotropies. Out of all of the curves we selected 76940-TS 785 because it had the closest agreement with the model anisotropy. The plot shows all experimental curves with 76940-TS highlighted. There was good agreement in anisotropy after about 0.25% strain. At smaller strains the experiment observed higher anisotropy, 786 potentially due to the challenge of balancing the initial loads in the clamping when using rakes. (B) In this plot only the four models and 787 the selected experimental curve. All C₁₀ and C₀₁ values are in MPa. The results revealed that the model stress anisotropy was 788 789 essentially independent of the fiber material properties. This can be discerned from the observation that the lines representing the four 790 simulations are almost indistinguishable. This means that the process of fitting fiber material properties will preserve the stress 791 anisotropy, allowing a close match of the stress-strain data simultaneously in both radial and circumferential directions.



Fiber orientation distributions

Figure 5. Fiber orientation distributions of the direct fiber model (green lines) and the PLM images (red lines), in the **(A)** coronal and **(B)** sagittal planes. For the coronal plane the PLM orientation was derived from the stack of 17 images. In the coronal plane the radial direction corresponds to 0 and 180 degrees and the circumferential direction with 90 degrees. For the sagittal plane, the PLM orientation shown is the average orientation distribution over the 236 regions analyzed. See main text for details. Frequencies were normalized by the total sum of frequencies. Overall these results show that fiber orientation distributions of the direct fiber model agreed well with those from the PLM images in both coronal (adjusted $R^2 = 0.8234$) and sagittal (adjusted $R^2 = 0.8495$) planes.

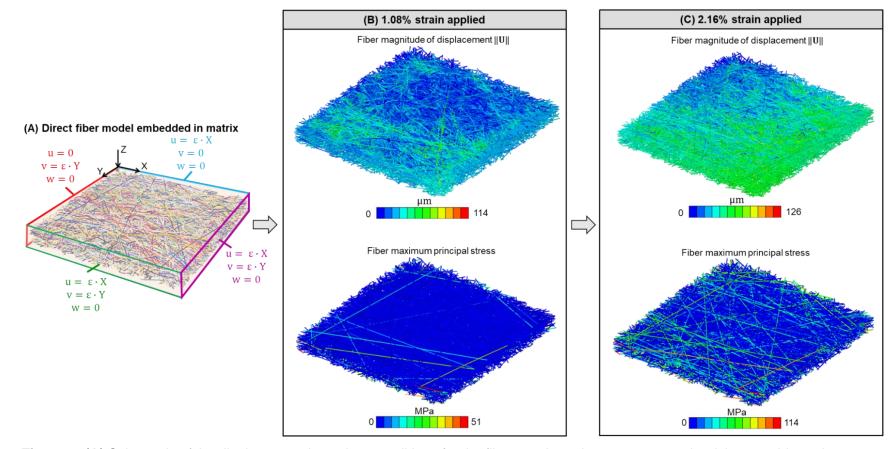


Figure 6. (A) Schematic of the displacement boundary conditions for the fibers and matrix to represent scleral tissue subjected to 2.16% equi-biaxial strain (ε). U is the nodal displacement vector of fiber with components u, v & w representing displacement in X, Y and Z direction, respectively. ||U|| is the fiber magnitude of displacement. In panel A the fibers are randomly colored to facilitate discerning their architecture. Panels B and C show isometric views of the direct fiber model with the fibers colored according to the magnitudes of displacement (top row) or maximum principal stress (bottom row). The model is shown when subjected to (B) 1.08% strain or (C) 2.16% strain. From the images it is clear that the model fibers exhibit complex loading patterns.

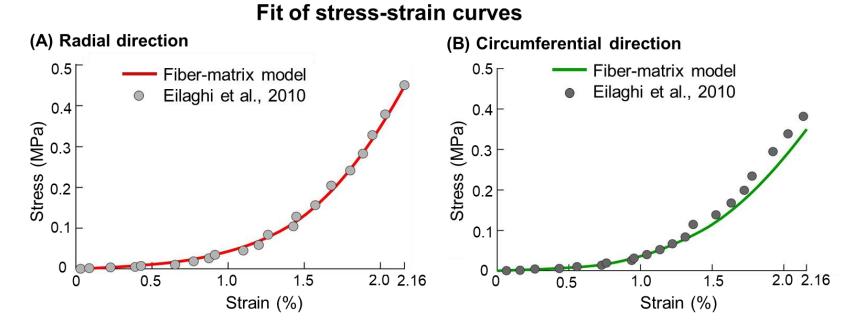


Figure 7. Fit of stress-strain response was achieved between the model and the experiment simultaneously in both the (A) radial direction (adjusted $R^2 = 0.9971$; RSS = 0.00095) and (B) circumferential directions (adjusted $R^2 = 0.9508$; RSS = 0.0098).

809 Supplementary Table 1

Set #	C ₁₀ (MPa)	C ₀₁ (MPa)	Shear modulus (MPa)
1	5732.2650	-4989.8000	1484.93
2	5929.2900	-5169.5800	1519.42
3	5971.4700	-5230.2900	1482.36
4	5722.4110	-4981.2290	1482.36
5	881.8312	-100.0000	1563.66
6	119.4267	662.3430	1563.54
7	119.3580	662.0210	1562.76
8	5746.9000	-5002.6000	1488.60
9	119.4156	662.3429	1563.52
10	7881.8000	-7140.0000	1483.60
11	118.9000	659.5000	1556.80
Max	7881.8000	662.3430	1563.66
Min	118.9000	-7140.0000	1482.36
Average	3485.7334	-2724.2993	1522.87
STDEV	3142.4550	3160.9742	38.94

Table 1. 11 sets of C₁₀ and C₀₁ hyperelastic Mooney Rivlin material parameters that led to stress-

strain curves in good agreement with the experimental data from the literature (see main text).

812 The resultant fiber shear modulus is with an average of 1522.87 MPa and a standard deviation of

813 38.94 MPa.