# Inferring Spatially Resolved Transcriptomics Data from Whole Slide Images for the Assessment of Colorectal Tumor Metastasis: A Feasibility Study

Michael Fatemi<sup>1</sup>, Eric Feng<sup>2</sup>, Cyril Sharma<sup>3</sup>, Zarif Azher<sup>2</sup>, Tarushii Goel<sup>4</sup>, Ojas Ramwala<sup>5</sup>, Scott
 Palisoul<sup>6</sup>, Rachael Barney<sup>6</sup>, Laurent Perreard<sup>7</sup>, Fred Kolling<sup>7</sup>, Lucas A. Salas<sup>8,9,10</sup>, Brock C.
 Christensen<sup>8,9,11</sup>, Gregory Tsongalis<sup>6</sup>, Louis Vaickus<sup>6</sup>, Joshua J. Levy<sup>6,8,12,13,\*</sup>

- 6 1. Department of Computer Science, University of Virginia, Charlottesville, VA
  - 2. Thomas Jefferson High School for Science and Technology, Alexandria, VA
  - 3. Department of Computer Science, Purdue University, West Lafayette, IN
- 9 4. Department of Computer Science, Massachusetts Institute of Technology, Cambridge, 10 MA
- 11 5. Department of Computer Science, University of Washington, Seattle, WA
- Emerging Diagnostic and Investigative Technologies, Department of Pathology and
   Laboratory Medicine, Dartmouth Health, Lebanon, NH
- 14 7. Dartmouth Cancer Center, Lebanon, NH
- B. Department of Epidemiology, Dartmouth College Geisel School of Medicine, Hanover,
   NH
- Department of Molecular and Systems Biology, Dartmouth College Geisel School of
   Medicine, Hanover, NH
- 19 10. Integrative Neuroscience at Dartmouth (IND) graduate program, Dartmouth College
   20 Geisel School of Medicine, Hanover, NH
  - 11. Department of Community and Family Medicine, Dartmouth College Geisel School of Medicine, Hanover, NH
    - 12. Department of Dermatology, Dartmouth Health, Lebanon, NH
    - 13. Program in Quantitative Biomedical Sciences, Dartmouth College Geisel School of Medicine, Hanover, NH
- 25 26 27

21

22

23

24

7

8

- \* To whom correspondence should be addressed: joshua.j.levy@dartmouth.edu
- 28

# 29 Corresponding Author Contact Information:

- 30 Joshua J. Levy PhD
- 31 Assistant Professor of Pathology and Dermatology
- 32 Adjunct Assistant Professor of Epidemiology
- 33 Faculty, Quantitative Biomedical Sciences
- 34 Machine Learning Co-Director, Emerging Diagnostic and Investigative Technologies
- 35 Biostatistics and Bioinformatics Shared Resource, Dartmouth Cancer Center
- 36 Dartmouth-Hitchcock Medical Center
- 1 Medical Center Drive, Department of Pathology and Laboratory Medicine, Lebanon, NH
- 38 03756
- 39 Phone: (925) 457-5752 | Email: joshua.j.levy@dartmouth.edu
- 40

### 41 Abstract

42 Over 150,000 Americans are diagnosed with colorectal cancer (CRC) every year, and annually

43 over 50,000 individuals will die from CRC, necessitating improvements in screening,

- 44 prognostication, disease management, and therapeutic options. Tumor metastasis is the
- 45 primary factor related to the risk of recurrence and mortality. Yet, screening for nodal and distant
- 46 metastasis is costly, and invasive and incomplete resection may hamper adequate assessment.
- 47 Signatures of the tumor-immune microenvironment (TIME) at the primary site can provide
- 48 valuable insights into the aggressiveness of the tumor and the effectiveness of various
- 49 treatment options. Spatially-resolved transcriptomics technologies offer an unprecedented
- 50 characterization of TIME through high multiplexing, yet their scope is constrained by cost.
- 51 Meanwhile, it has long been suspected that histological, cytological and macroarchitectural
- 52 tissue characteristics correlate well with molecular information (e.g., gene expression). Thus, a 53 method for predicting transcriptomics data through inference of RNA patterns from whole slide
- 54 images (WSI) is a key step in studying metastasis at scale. In this work, we collected and
- 55 preprocessed Visium spatial transcriptomics data (17,943 genes at up to 5,000 spots per patient
- 56 sampled in a honeycomb pattern) from tissue across four stage-III matched colorectal cancer
- 57 patients. We compare and prototype several convolutional, Transformer, and graph
- 58 convolutional neural networks to predict spatial RNA patterns under the hypothesis that the
- 59 transformer and graph-based approaches better capture relevant spatial tissue architecture. We
- further analyzed the model's ability to recapitulate spatial autocorrelation statistics using SPARK
- 61 and SpatialDE. Overall, results indicate that the transformer and graph-based approaches were
- unable to outperform the convolutional neural network architecture, though they exhibited
   optimal performance for relevant disease-associated genes. Initial findings suggest that different
- 64 neural networks that operate on different scales are relevant for capturing distinct disease
- 65 pathways (e.g., epithelial to mesenchymal transition). We add further evidence that deep
- 66 learning models can accurately predict gene expression in whole slide images and comment on
- 67 understudied factors which may increase its external applicability (e.g., tissue context). Our
- 68 preliminary work will motivate further investigation of inference for molecular patterns from
- 69 whole slide images as metastasis predictors and in other applications.
- 70
- 71 **Keywords:** Spatial transcriptomics, deep learning, graph neural network, transformers,
- 72 colorectal cancer, histomorphology

### 73 **1. Introduction**

74 Colorectal cancer is the third leading cause of cancer-related death in the United States, and 75 there are disparities in screening and outcomes between age, race, and gender <sup>1</sup>. CRC 76 incidence is rising among younger adults who are not typically incorporated into screening 77 programs, illustrating the importance of developing timely and lower-cost prognostication 78 methods to better assess the tumor's malignant potential. Currently, the Pathological TNM-79 staging system (pTNM), which determines staging based on the impact of local invasiveness-80 histological stage (T-stage), and metastatic potential- nodal (N-stage) and distant (M-stage) 81 metastasis, is the most predictive factor for risk of recurrence and prognosis. Metastasis, in 82 many cases, is challenging to assess at the time of primary tumor resection<sup>2</sup>. For instance, 83 specimen inadequacy often hinders the complete inference of nodal involvement<sup>3</sup>. It is thus 84 crucial to develop orthogonal, less invasive, but equally informative technologies that can shed 85 light on disease pathology and prognosis. One promising direction is to study the Tumor 86 Immune Microenvironment (TIME)- the amalgamation of immune cells, chemokines, cytokines, 87 and other immune modulators, etc. that accrete at the invasive front and inside the tumor at the 88 primary site <sup>4–6</sup>. Recent studies have demonstrated that monocyte/lymphocyte immune infiltrates 89 and their spatial distribution, density, and relationships play an important role in providing a 90 coordinated anti-tumoral response. Yet, the full importance of TIME has not been elucidated, as 91 most clinical studies consider either a few canonical markers at a time (e.g., immunoscore, 92 which assesses cytotoxicity at the primary site) or only study cell mixtures which lack a single-93 cell or spatial dimension  $^{7}$ .

94

95 Spatially-resolved transcriptomics (spatial omics), as enabled through technologies such as 10x 96 Genomics Spatial Transcriptomics (ST, Pleasanton, CA) or Nanostring GeoMX Digital Spatial 97 Profiling (DSP, Seattle, WA), is an actively growing area of research that provides rich 98 information about how different areas of tissue interact by analyzing highly multiplexed gene 99 expression at staggering spatial resolution. These technologies can be configured to study the 100 distribution, density, and spread of tumor-infiltrating lymphocytes (TILs) as they may relate to concomitant somatic alterations<sup>8,9</sup>. Assay costs are currently exceedingly high, as profiling just 101 102 four capture areas can cost tens of thousands of dollars, though costs are being driven 103 downwards with new advances in chemistry and lower sequencing costs. Thus, sufficiently 104 powering spatial transcriptomic association studies or extending their generalizability of the 105 inferences to specific patient subgroups that lie outside of these small cohorts is challenging 106 due to cost. In comparison, tissue slides stained with hematoxylin and eosin (H&E) to assess 107 tissue histomorphology are routinely ordered at a very low cost, and there is ample evidence to

- 108 suggest that many concurrent molecular alterations coincide with morphological features. Thus, 109 the prediction of RNA expression using image data across a slide presents an opportunity to
- reveal critical prognostic information for patients at a lower cost, which can motivate relevant
- 111 downstream analyses.
- 112

Deep learning approaches, which rely on using multi-layer artificial neural networks (ANN), have proven instrumental for image analyses in the context of digital pathology <sup>10</sup>. Of relevance for this study is the assessment of whole slide images (WSI), digitized tissue slides, from which machine learning applications can predict the primary site of a metastatic lesion, tumor stage, and the outcome of immunohistochemical stains. Convolutional neural networks (CNNs), a type of predictive machine learning model, are powerful tools for extracting dense information from high dimensional image data. Prior works have ampleved these algorithms to extract

high-dimensional image data. Prior works have employed these algorithms to extract

morphological features from H&E-stained tissue to complement whole transcriptome analyses.
 As WSI can extend to hundreds of thousands of pixels along each spatial dimension, they are

122 usually broken into subimages to enable efficient computation. Of relevance to our research

- 123 topic. He et al. (2020) used a DenseNet-101 model to regress on co-localized gene expression
- 124 levels<sup>11</sup>, and Levy-Jurgensen et al. (2020) employed an InceptionV3 model to detect
- dichotomized gene expression for given patches of tissue<sup>12</sup>. However, these techniques do not 125
- 126 analyze the potential for integrating spatial context outside the patch-level (i.e., spatially
- 127 correlated patches are assumed to be independent and identically distributed), and, therefore 128 may miss larger macroarchitectural contextual cues of aberrant expression.
- 129

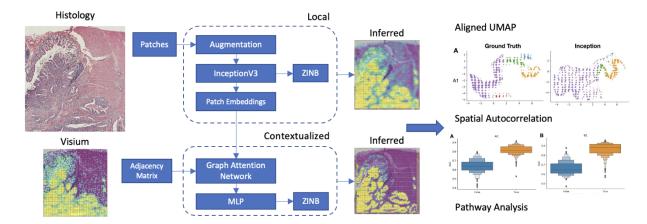
130 Zeng et al. (2022) and Pang et al. (2021) investigated approaches to integrate broader spatial 131 context into their gene expression prediction models by using vision transformers and 132 demonstrated that it is possible to outperform convolutional architectures that make predictions on individual patches (e.g., ST-Net)<sup>13,14</sup>. However, there remain several unknowns, such as 1) 133 134 the scale of tissue features relevant for inferring RNA, 2) how well these models preserve global

- 135 spatial expression characteristics (e.g., patterns of clustering), 3) whether relevant domain
- 136 knowledge can make inferences more informative, and 4) whether these effects depend on the
- 137 specific genes under study (i.e., what resolution/architectural context is optimal for specific
- 138 genes and what does it say about the tumor biology). Furthermore, many spatial omics studies
- 139 attempt to study one slide, where potential endogeneity is introduced by a matter of spatial 140 distance between collection sites.
- 141

142 Here, we compared a convolutional neural network and a graph attention network for inferring

143 spatially co-registered gene expression from WSI. We comment on the role the tissue 144 macroarchitecture may play in RNA inference as elucidated by changing subimage size and the

- 145 use of contextual models. We also assessed performance on a subset of immune-related genes
- 146 and how spatial characterization varies across these models/factors and slides. These
- 147 explorations will motivate future downstream work to characterize factors pertaining to tumor
- 148 nodal/distant metastasis.
- 149



#### 150 2. Material and Methods

151 152



153 Figure 1. Overview of tested model architectures: Whole Slide Images are divided into

154 patches co-localized with the Visium spots and an Inception model is used to predict counts and

155 dichotomized expression for 1000 genes; Features derived from Inception model are

156 additionally fine-tuned using graph neural network for inference; inferred expression profiles are

- 157 compared to the ground truth through a cluster analysis, spatial autocorrelation tests and
- 158 pathway analysis

### 159 **2.1. Overview**

160 The primary goal of this work is to predict the gene expression detected by a Visium spot at any 161 given location on the slide. Our method is as follows (**Figure 1**):

- Data Collection: Acquire H&E whole slide images (WSI), and spatially-registered
   Visium assayed spatial transcriptomics slides from 4 stage-pT3 matched colorectal
   cancer patients at Dartmouth Hitchcock Medical Center, two patients without metastasis,
   one with nodal metastasis only and one with both nodal and distant metastasis.
- 166 2. **Preprocess**: Preprocess gene expression and WSI subarrays.
- 167
   3. Model Development: Configure two modeling approaches: convolutional neural network and graph attention neural networks, the latter leveraging larger spatial context. These models will predict both binary (dichotomized expression) and count-based (continuous; zero-inflated negative binomial) objectives. We also configured additional approaches (e.g., Transformer) for comparison.
  - 4. **Capture surrounding tissue context at different scales**: Ablation study over patch size to determine whether relevant biological information is encoded outside the Visium collection spot area.
    - 5. **Leave one-patient-out cross-validation**: Evaluation on held-out slides/patients as a measure of external applicability.
  - 6. **Recover Spatial Biology Inferences:** Spatial autocorrelation tests for the capacity of models to draw similar spatial inferences.

### 180 **2.2. Data Collection**

### 181

172

173

174

175

176

177

178

179

182 The primary dataset utilized in this study was acquired from four pathologic T Stage-III (pT3) 183 matched (pTNM system) colorectal cancer patients at Dartmouth Hitchcock Medical Center, 184 determined through a retrospective review of pathology reports from 2016 to 2019 following IRB 185 review and approval. These four patients were drawn from a set of 36 patients included in a 186 prior study <sup>15</sup>– half of the patients had concurrent tumor metastasis (slides A1 and B1 had tumor 187 metastasis; slides C1 and D1 did not have tumor metastasis) and were otherwise matched on 188 age, sex, tumor grade, tissue size, mismatch repair (MMR) status, and tumor site using iterative 189 patient resampling with t-tests for continuous variables and fisher's exact tests for categorical 190 variables. The four patients were subselected to restrict the tumor site (three in the right colon, 191 one in the transverse colon), grade (three grade 1, one grade 2), node status (two metastasis 192 cases with N-1a), and account for differences in sex (50% female within both the non-193 metastasis and metastasis groups). We restricted the cohort to patients without MMR 194 deficiencies as determined through immunohistochemistry (IHC) to control for microsatellite 195 instability status. Tissue blocks were sectioned into 10-micron thick layers, and specific capture 196 areas that contained various distinct macroarchitectural regions (all containing epithelium, 197 tumor-invasive front, intratumoral, lymphatics, etc.) were annotated by the practicing pathologist. 198 A histotechnician carefully extracted / manually cut these capture areas from the tissue, and 199 slides were sent to the Single Cell Genomics Core in the Center for Quantitative Biology for 200 simultaneous H&E staining, imaging, and Visium profiling. After a deparaffinization step, spatial 201 transcriptomics uses spatially-tagged oligo barcodes to 1) register spatial coordinates to 202 collection spots, bound to the mRNA with a poly(A) tail for 2) reverse transcription into cDNA, 203 after 3) permeabilization, and 4) sequencing for mRNA profiling. This allows for 204 unbiased/gridded profiling of up to 5,000 spots (1-10 cells/spot) per 6.5mm by 6.5mm capture 205 area.

### 206 **2.3. Preprocessing**

207 Spatial gene expression profiles contain information for 17,943 genes at almost 5,000 locations

- 208 per slide (after filtering out non-tissue– total number of Visium dots: 4950, 4922, 4887, and 4169 209 per slide), sampled in a honeycomb formation. Each Visium spot covers a circular capture area
- with a diameter of 130 pixels at 20x magnification. After sequencing, we used the SpaceRanger
- 211 package to preprocess the Visium reads into gene count matrices.
- 212
- As whole slide images (WSIs) derived from the Visium capture areas (size of capture area– 6.5
- 214 x 6.5 mm) span thousands of pixels along each dimension, we subdivided the image into square
- 215 patches centered on the Visium spot. We associated the gene expression of each patch based
- on the Visium spot at the center of the patch and ignored expression at other spots contained
- 217 within the patch.

# 218 **2.4. Inference Targets**

We used the SpatialDE library to select the top 1000 genes based on their mean fraction of

spatial variance (FSV) across all slides (i.e., selected genes which exhibited the greatest spatial variation across the four slides). We tested the capacity of our models to recover expression for

all 1000 genes based on dichotomized expression (binary classification) and the original counts

- 223 (regression) <sup>16</sup>.
- 224

For binary classification, we classify tiles as having a "high" expression for a gene if its individual expression is greater than the median for that slide, as shown by Levy-Jurgensen et al.. For

- binary tasks, we used a weighted binary cross-entropy loss. The loss was independently taken
- for positive and negative Visium spots and summed together to account for unbalanced labels.
- 229

230 We model the gene expression distribution for regression tasks as a negative binomial

- distribution with zero inflation. The model predicts the parameters of the distribution (mean  $\mu$ ,
- dispersion factor  $\sigma$ , and inflation of zero count  $\pi$ ) and is optimized with negative log-likelihood
- 233 loss. The inferred value is equal to the expected value of the negative binomial distribution
- 234 (accounting for zero inflation):  $(1 \pi)\mu + \pi(0) = (1 \pi)\mu$ .

# 235 **2.5. Modeling Approaches**

First, we compare the performance of the following models using the dichotomized labels and continuous regression objectives. For these models, we sought to establish whether increasing

- the spatial receptive field by varying the patch size (ablation study) and training graph attention
- 239 networks (GATs) positively impacted our capacity to predict spatial gene expression. All models
- featured an output layer, which simultaneously predicted the expression of all selected genes
- 241 (**Figure 1**). Details of these approaches can be found below:
- 242

243 2.5.1. Local Patch Prediction Model: We initialized our model using InceptionV3 weights
244 (Szegedy et al., 2015) <sup>17</sup>. InceptionV3 was chosen because it has demonstrated high
245 performance in gene imputation studies by Levy-Jurgensen et al. These models are trained for
246 25 epochs with a learning rate of 0.0001 and a batch size of 32. The patch size labeled
247 Inception models they were configured to make predictions on (i.e., amount of incorporated
248 surrounding information): Inception-256 (256 pixels), Inception-512 (512 pixels), and

249 Inception-768 (768 pixels) (model suffix indicates patch size).

- 250
- 251 2.5.2. *Contextualized Patch Prediction Models:* The Visium spots lie on a hexagonal array, each of which can be treated as a node in a graph, each connected to other Visium spots within 150

253 pixels. After training the InceptionV3 models from our local patch classification experiments, we 254 extracted patch image embeddings (e.g., n-dimensional descriptive vector) for each Visium spot from the penultimate layer of the trained Inception-256 model. We test a graph attention network 255 256 (GAT) to see how iterative message-passing can improve model performance. We also compared these results to that obtained using a Vision Transformer (ViT) <sup>18(p16)</sup>. GAT models 257 258 were labeled by the number of graph attention layers used to make predictions (i.e., the amount 259 of incorporated surrounding information; the number of layers dictates the size of the 260 neighborhood; eight attention heads per layer): GAT-1 (1 layer), GAT-2 (2 layers), and GAT-4 (4 layers) (model suffix indicates the number of layers). ViT models were labeled by the size of 261

- the patch used to form contextual embeddings: ViT-224 (224-pixel patch sizes) and ViT-384
- 263 (384-pixel patch sizes).

264 2.5.3. Data Augmentation during training and hyperparameters: To improve the robustness of 265 the model results to new tissue contexts, we transformed patch images by shifting and scaling 266 the pixel intensities by the mean and variance of ImageNet Then, we applied color jitter and 267 random rotations; between -15 and 15 degrees. Random horizontal and vertical flips also 268 augment patches if they are not used in the GAT. Through a coarse hyperparameter search, 269 learning rates were set to 1e-4, and models were trained for 25 epochs. Batch sizes for the 270 Inception model were set to 32, save for the regression models for patch sizes 512 and 768, 271 where batch sizes were set to 16 and 8, respectively.

272

### 273 **2.6. Comparison of Model Performance**

274

275 To compare model and patch-size performances, we performed leave-one-slide-out cross-276 validation (CV), where three of the four slides were used for training/validation, and the final 277 slide was used for testing. This scheme was repeated four times to report on test performance 278 across all four slides in an unbiased manner using macro-averaged (across slides) median 279 (across genes) area under the receiver operating curve (AUROC) and Average Precision (AP) 280 statistics for the binary outcome and correlation coefficients (e.g., Spearman) to compare true 281 versus predicted counts. Non-parametric bootstrapping was used to assess statistical 282 significance through the calculation of a 95% confidence interval. During cross-validation, we 283 use the same set of InceptionV3 embeddings to train the contextual models (i.e., GAT) that 284 corresponded to the same cross-validation fold. We acknowledge that these statistics could also 285 vary by models, patch size, and slides, so we plotted scatters of test AUCs of each gene to 286 compare these factors in a pairwise manner (e.g., for slide 1, comparing Inception to GAT, or 287 patch size 256 to 768) to draw additional inferences on suitable modeling approaches for a 288 subset of genes. Identifying a subset of genes that obtained optimal performance for one 289 approach versus another was as important as comparing overall performance. These 290 performance differences could suggest relevant tissue features at different scales. For instance, 291 the GAT could extract features from the larger macroarchitecture, indicating its relevance for a 292 gene that does not predict well from *InceptionV3*. 293

294

# 4 2.7. Model Interpretation through Pathway Analysis and Gene Embeddings

295

296 Due to fundamental limitations in tissue biology, it is unrealistic to expect that every gene can be 297 predicted from tissue histology. We sought to establish which types of genes could be inferred

from histology through pathway analysis. Using the *Elsevier Pathways* database available

through the Enrichr package, we performed a pathway analysis of the top 250 genes ranked by

300 AUROC averaged across the CV folds <sup>19</sup>. Enrichr reports overrepresented pathways using a

301 modified fisher's exact test. Detected pathways were filtered based on tissue specificity (i.e.,

302 could reasonably be involved with the colon). To determine whether different pathways could be 303 inferred from different tissue contexts, pathway analysis results were compared across models.

304 We also sought to assess how well the predicted gene expression profiles recapitulated 305 relationships/clustering between the Visium spots. This was accomplished through the 306 comparison of Uniform Manifold Approximation and Projection (UMAP) embeddings for the 307 ground truth and predicted expression profiles (on held-out slides) using InceptionV3 and GAT <sup>20</sup>. Ground truth and predicted gene expression profiles were projected to a lower dimensional 308 309 space using AlignedUMAP to preserve the orientation/alignment between the ground truth and 310 predicted expression profiles (i.e., positioning of Visium spots across projections is relatively 311 preserved) to enable comparison between the approaches. Visium spots corresponding to the ground truth UMAP projections were clustered using Hierarchical density-based clustering 312 313 (HDBSCAN)<sup>21</sup>. HDBSCAN also identified outlier Visium spots removed from the cluster 314 analysis and scatterplots for all three datasets (ground truth, InceptionV3, GAT). Mapper, a 315 Topological Data Analysis method, was used to provide topological summaries of the embeddings by reducing the number of points based on overlap and connectivity <sup>22–24</sup>. Mapper 316 317 embedding plots for InceptionV3 and GAT were colored using the cluster information from the 318 ground truth expression to gualitatively assess topological consistency (i.e., were relationships

319 between Visium spots preserved). This procedure was repeated across the held-out test slides.

### 320 **2.8.** Recapitulating Spatial Biology through Spatial Autocorrelation Tests

321 In addition to tissue clustering, spatial variation is often used as a proxy for explaining the 322 diversity of cellular lineages interacting across the tissue slide. While this factor alone is not an 323 exhaustive assessment of spatial biology, this served as a target for our preliminary assessment 324 (an exhaustive exploration of spatial analyses is out-of-scope of this work, although it is a future 325 direction). After performing cross-validation, we sought to investigate the ability of our 326 algorithms to recapitulate known spatially variable genes on each of the held-out slides from the 327 cross-validation folds. We used two libraries to determine spatially variable (SV) genes: SpatialDE and SPARK-X<sup>25</sup>. Gene expression counts were summed to create a total count 328 329 matrix. Genes with low overall expression across the slide (i.e., below a threshold of 30% slide 330 coverage) were removed. The data was then transformed to a normal distribution (by Anscombe 331 transform) to account for the negative binomial distribution of the gene expression. Since SpatialDE's computation time increases cubically with each additional expression patch, we 332 333 reduced the resolution of the Visium data through 2x2 median pooling (i.e., taking median 334 expression for specific genes from 2x2 neighborhoods). The reduced memory requirements 335 allowed us to perform further histo-molecular assessments on the images, including clustering 336 based on spatial variability.

337

Using SpatialDE, we extracted the Fraction of Spatial Variance (FSV) and p-values for each

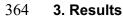
339 gene from the ground truth set. In addition, we used SpatialDE's Automatic Expression

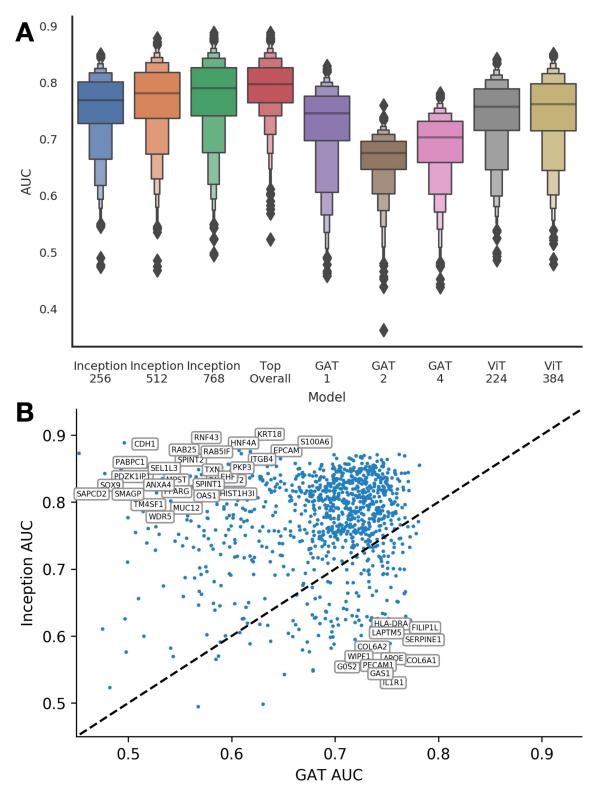
Histology (AEH) to identify 5 groups of genes that were co-expressed spatially from the ground

- truth data. Separately, we ran SPARK/SPARK-X on the 1000 genes from each held-out
- 342 validation slide, reporting projection and adjusted P-value (Bonferroni-corrected) statistics. We 343 separately ran this procedure for the ground truth expression and predicted expression profiles.
- As an indication of performance, we expected p-values derived for the projection covariance
- 345 function for both the inferred and original expression data to correlate well with each other for
- each slide. This was accomplished using the Fisher's exact test after dichotomizing spatial
- autocorrelation statistics into "high autocorrelation" (low p-value) and "low autocorrelation" (high
- 348 p-value) and similar dichotomization for statistics extracted from the inferred expression.

- 349 Thresholds for dichotomization were chosen to maximize the magnitudes of the Fisher's exact
- 350 test statistics. Dichotomized spatial autocorrelation was cross-tabulated across the genes to
- 351 report odds ratios with p-values. An odds ratio and corresponding confidence interval of more
- than one (i.e., statistically significant) would suggest the ability of the model to recapitulate
- 353 spatial autocorrelation from the slide. Separately, we sought to assess whether genes that were 354 predicted with high accuracy (AUC) were spatially autocorrelated using a similar methodology
- 355 (i.e., comparing dichotomized spatial autocorrelation on the ground truth expression with
- 356 dichotomized AUC for each modeling approach). We also compared model accuracies between
- 357 AEH groups of co-expressed genes identified from SpatialDE using Kruskal-Wallis ANOVA
- 358 statistical tests. Similar to the AUC comparison, we performed comparisons across models,
- patch sizes, and slides. It should be noted that these analyses were done on the top 1000
- 360 spatially variable genes; dichotomized autocorrelation is for this reference group. For the
- 361 original and inferred expression, genes which exhibited spatial autocorrelation which differed
- 362 between patients with and without metastasis were selected for a pathway analysis using the
- 363 *MSigDB Hallmarks* gene sets via the Enrichr software.

bioRxiv preprint doi: https://doi.org/10.1101/2022.11.24.517856; this version posted November 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.





365 366

Figure 2: Model Performance Comparison: A) Boxenplots which depict the distribution of 367 AUC values for predicting dichotomized expression across all 1000 filtered genes; B) Scatter plot of genes representing individual cross-validated AUC values for GAT-4 versus Inception-368

369 768; while overall Inception outperforms GAT, there are several genes from which GAT370 outperformed Inception

# **371 3.1. Model Comparison**

First, we assessed the ability of the Inception model to predict gene expression without
 considering the surrounding tissue macroarchitecture. Across the whole transcriptome, we
 noted moderately strong concordance between the predicted and actual expression across the
 held-out slide folds.

376

3.1.1. Impact of patch size to leverage surrounding spatial context: Importantly, the model
 achieved optimal performance by increasing access to the surrounding macroarchitecture by
 increasing the patch size.

380

381 3.1.2. Impact of model architecture to leverage surrounding spatial context: After optimizing 382 model architectures, the Inceptionv3 model appeared to outperform the GAT and Transformer 383 approaches for the whole transcriptome assessment. This was true for both classification and 384 regression modeling objectives. The GAT-1 model outperformed the GAT-4 model, which 385 incorporated more of the surrounding tissue context, for the classification task, though GAT-4 386 outperformed GAT-1 for regression and demonstrated a similar capacity to predict count 387 outcomes as Inception-512 (Figure 2; Table 1). A breakdown of these model performances for 388 individual held-out validation slides can be found in the appendix (Supplementary Table 1: 389 Supplementary Figures 1-2).

390

391 3.1.3. GAT outperforms Inception on a subset of genes: While overall, Inception outperformed 392 GAT, it is important to recognize that there are many genes for which GAT achieves superior 393 performance (Figure 2B; Table 2; Supplementary Figure 1). This was different than 394 comparing multiple Inception models with different patch sizes, where clearly Inception-768 395 outperformed Inception-256 on nearly all relevant genes (a scatter plot of the specific gene-level 396 AUC for specific genes for patch size 256 compared to 768 can be found in Supplementary 397 Figure 2). As different models demonstrate exemplary performance on different subsets of 398 genes, the combined accuracy (AUC) across the genes (i.e., selecting top performing model for 399 each gene based on CV-AUC) is 0.798 (95% CI [0.795-0.802]); the combined AP score is 0.67 400 (95% CI [0.659-0.677]) (Figure 2) (Top Overall Model).

401 402

403 Table 1. Comparison of model performance for predicting dichotomized and log-

404 **transformed expression for genes across the whole transcriptome**. These statistics are

405 created by taking the median of each performance statistic across all genes, averaged across 406 held-out slides.

Classification Regression AP AUROC Spearman Model Pearson R Inception-256 0.769±0.004 0.587±0.011 0.439±0.011 0.466±0.014 Inception-512 0.782±0.005 0.61±0.013 0.456±0.011 0.496±0.013 Inception-768 0.79±0.006 0.621±0.012 0.479±0.011 0.538±0.013 GAT-1 0.746±0.003 0.528±0.014 0.302±0.009 0.309±0.009 GAT-2 0.676±0.002 0.446±0.01 0.444±0.01 0.409±0.01 GAT-4 0.703±0.004 0.459±0.012 0.406±0.01 0.428±0.011

ViT-224	0.757±0.005	0.573±0.012	0.432±0.011	0.458±0.013
ViT-384	0.762±0.004	0.57±0.012	0.442±0.012	0.473±0.014

# Table 2: Top 10 performing genes for Inception-768, Inception-256 and GAT-4, ranked bv AUC

	Top-10 Inception				Тор-	10 GAT	
Name	Inception-768	Inception-256	GAT	Name	Inception-768	Inception-256	GAT
KRT8	0.889	0.850	0.491	TRIP12	0.753	0.745	0.829
S100AA10	0.884	0.846	0.714	PGM3	0.749	0.740	0.829
CDH1	0.880	0.848	0.510	TMEM238	0.855	0.825	0.825
RNF43	0.879	0.847	0.556	SYNM	0.700	0.674	0.823
KRT18	0.877	0.838	0.723	SMAP1	0.771	0.757	0.822
S100A6	0.875	0.842	0.606	SERPINE1	0.594	0.581	0.821
RAB25	0.873	0.844	0.545	LSM4	0.844	0.818	0.820
AXIN2	0.873	0.844	0.610	GRIPAP1	0.766	0.752	0.818
EPCAM	0.873	0.834	0.491	TSPO	0.822	0.796	0.818
TNS4	0.871	0.841	0.801	HLA-DRA	0.612	0.618	0.816

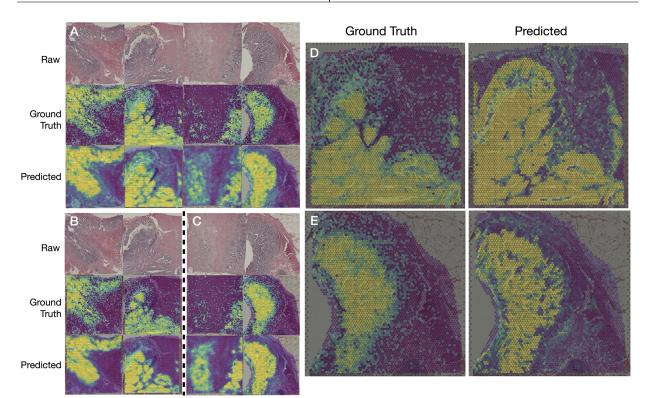


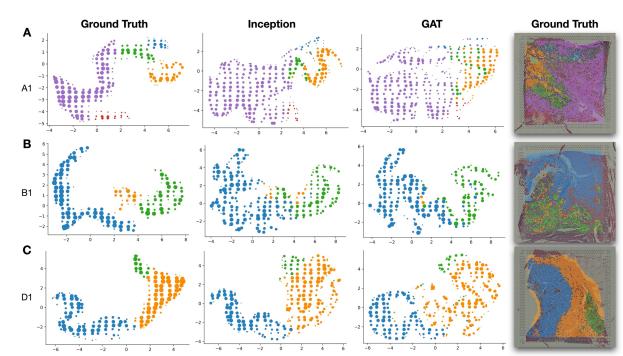
Figure 3. Comparison of log-scaled heatmaps of the ground truth gene expression (top)
and output probabilities for above-median expression from the dichotomized classifiers:
A-C) Inception-768 classifier's predictions, generating heatmaps for A) RAB25, B) TNS4, and
C) AXIN2 genes; all predictions from the held-out test set. The corresponding median AUROCs
for these genes were 0.873, 0.871, and 0.873. D) AXIN2 imputation on slide B1 with GAT. E)
AXIN2 imputation on slide D1 with GAT.

# 420 **3.2. Pathway Analysis**

421

422 The top-performing genes by AUROC for both types of models were also highly related to tumor 423 aggression and migration. For instance, CDH1, heavily implicated with tumor suppression, achieved AUROC of 0.880<sup>26</sup>. RAB25 (Figure 3A), which can serve as a tumor suppressor or 424 425 oncogene depending on the context, obtained an AUROC of 0.879. TNS4 has been heavily 426 implicated for multiple prognostic outcomes following surgery and was predicted with an AUC of 427 0.871<sup>27</sup>, AXIN2 (Figure 3C-E), which inhibits the Wnt signaling pathway and serves to regulate 428 immune cell infiltration <sup>28</sup>, was detected with an AUROC of 0.873. The pathway analysis 429 corroborated these findings and was highly relevant for metastasis formation. For instance, 430 genes associated with cancer metastasis, cell motility and proliferation, glycolysis, and the 431 epithelium to mesenchymal transition were identified by both models. Interestingly, the GAT 432 model was able to identify genes related to anti-EGFR therapy resistance in colorectal cancer 433 (Supplementary Table 2).

434



### 435 Inferred Spatial Expression is Topologically Consistent with Ground Truth

436



**Figure 4: UMAP Embeddings of True and Predicted Gene Expression** for slides **A)** A1, **B)** B1 and **C)** D1; embedding plots are summarized using Mapper, which flexibly clusters the expression data with overlapping clusters containing multiple Visium spots; Mapper nodes are sized by the number of associated spots and colored by the dominant cluster in the set of nodeassociated spots with cluster assignments determined using HDBSCAN; outliers were filtered from these embedding plots and the cluster assignments plotted over the WSI on the right Visual inspection of mapper diagrams of clustered LIMAP embeddings illustrates topological

Visual inspection of mapper diagrams of clustered UMAP embeddings illustrates topological
 consistency (i.e., preserve relationships) between the predicted expression and the ground truth
 (Figure 4). Qualitatively, the gene expression embeddings produced from Inception appear to

be more closely aligned with the ground truth embedding plots across the tissue types (e.g.,

449 Figure 4 A. C, where clusters are placed in the approximately same area for Ground Truth and 450 Inception in the Mapper diagrams).

451

#### 452 3.3. Spatial Autocorrelation

453 We also compared the capabilities of each modeling approach for their ability to recapitulate 454 slide-level spatial autocorrelation parameters. Results indicate a large significant positive 455 association between predicted and actual spatial autocorrelation for both Inception and GAT

456 approaches. For half of the held-out slides, GAT demonstrated a larger effect estimate than Inception (Table 3).

457

458 459 Separately, results indicate that highly spatially autocorrelated genes, as determined using the 460 actual gene expression, were predicted with higher accuracy using Inception and GAT versus 461 genes, which lacked spatial variation (**Table 3**). These models varied in their ability to associate

462 spatial variation with model accuracy. For Inception, there was a large statistically significant

463 effect (Figure 5), while spatial variation was not as associated with accuracy for the GAT- i.e.,

- 464 there was a statistically significant association for the first two slides and no statistically
- 465 significant effect for the final two. For the Inception model, groups of genes that tended to be co-
- 466 expressed, as determined through the AEH analysis on the raw expression data, were found to

467 have widely different accuracies (Table 3; Supplementary Figures 3-5). Similar to the spatial

468 variation analysis, GAT model accuracy did not vary substantially between AEH groups 469 determined from the raw expression data.

470

471 Using the ground truth, Inception, and GAT results, we identified the set of genes, which

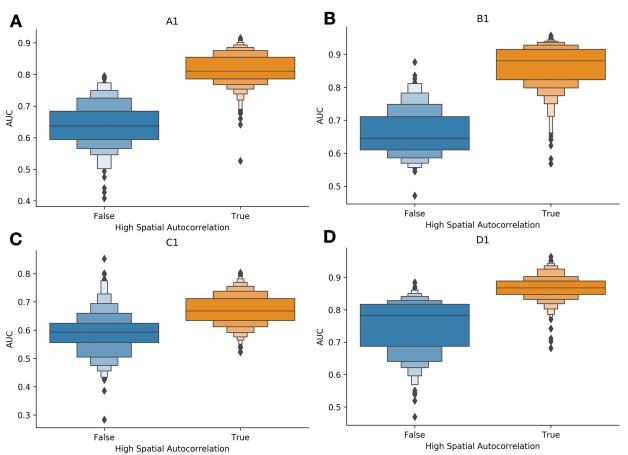
472 exhibited different spatial variation for primary sites with (A1, B1) and without metastasis (C1,

473 D1) based on the dichotomized thresholds. Through a pathway analysis (gene set testing of

474 Cancer Hallmark genes), genes that were differently autocorrelated were related to the epithelial

475 to mesenchymal transition (Supplementary Table 3).

bioRxiv preprint doi: https://doi.org/10.1101/2022.11.24.517856; this version posted November 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



477

Figure 5. Boxenplots illustrating the predictive accuracy of Inceptionv3 (AUC, y-axis)
 across genes, separated by whether highly significant spatial variation was reported
 (blue versus orange, x-axis); gathered from validation slides held-out of the training/validation

481 set. The positive association demonstrates higher accuracy for genes with significant spatial

482 variability (i.e., not distributed randomly); these genes were more accurately predicted by our

483 RNA inference model. **A-D**) correspond to slides A1-D1, respectively

484 485

# 486 **Table 3: Statistical testing results from spatial autocorrelation analysis, comparing: A)**

487 Spatial autocorrelation from raw expression (high/low) with inferred spatial autocorrelation from

488 predicted expression values (high/low); **B)** Spatial autocorrelation from raw expression

489 (low/high) with model AUC (high/low); C) Whether model accuracy changed depending on the

490 AEH group; A) and B) were determined through Fisher's exact tests while C) utilized Kruskal-

491 Wallis ANOVA testing

		Recover Spatial Autocorrelation		Spatial Autocorrelation vs AUC		AEH group vs AUC
Slide	Model	OR	P-Value	OR	P-Value	P-Value
A1	Inception	722.27	6.55e-65	0.01	4.05e-99	1.78e-39
B1		40.09	1.30e-34	0.01	8.29e-85	3.93e-162
C1		68.82	1.25e-16	0.07	1.05e-57	2.00e-119
D1		92.18	1.30e-20	0.02	5.41e-96	2.46e-166
A1	GAT	101.64	1.46e-61	0.45	2.02e-05	9.52e-02

B1	51.12	1.16e-24	0.58	0.01	1.37e-01
C1	24.80	3.10e-06	0.93	0.66	8.54e-01
D1	99.15	5.06e-22	0.99	1.00	6.68e-01

492

### **4**93 **4. Discussion**

494

495 Assessment of Colon cancer tumor recurrence risk depends on the ability to assess lymph node 496 status. For cases where lymph nodes are unable to be completely assessed, leveraging 497 information found in the tumor immune microenvironment at the primary resection site 498 presents a viable alternative. Yet most technologies to assess the primary site lack a spatial 499 component (e.g., bulk expression), which does not enable a comprehensive characterization of 500 the tissue. Spatial transcriptomic technologies enable high multiplexing at incredible spatial 501 resolution. Due to both fiscal and sampling (i.e., placement of capture area) constraints, 502 findings are not likely to be clinically actionable or reproducible through a low-cost test. 503 Inferring a spatial digital biomarker from a routine histological slide (WSI) has the potential to 504 enable high-throughput tissue characterization for the creation of nascent decision-making aids 505 which can complement existing specimen findings. In this study, we explored the potential for 506 deep learning models to predict spatial gene expression from formalin-fixed specimens, the 507 ability to recapitulate well known spatial findings, and comment on the degree to which spatial 508 information at higher-order contexts (i.e., macroarchitecture) plays a role in modeling spatial 509 expression. 510

511 We add further evidence that deep learning models can accurately predict gene expression in 512 whole slide images. Furthermore, we demonstrate that increasing the receptive field can 513 improve the performance of certain subsets of genes. Interestingly, although InceptionV3 514 outperformed other modeling approaches overall, this did not apply to all genes. We noted that 515 certain genes were predicted more effectively at local spatial resolutions (Inception) while 516 others benefited from considering a broader architectural context (GAT). For instance, we 517 noticed that COL6A1 and COL6A2 were consistently predicted better by the GAT model as compared to Inceptionv3<sup>29-31</sup>. COL6A1 is a crucial component of collagen secretion, 518 519 extracellular matrix maintenance, and mesenchymal phenotype promotion. While both models 520 demonstrated the capacity to recapitulate well-known cancer markers, it was clear that certain 521 genes can be better predicted by considering receptive field size and choosing a model that 522 best incorporates this spatial information. 523

524 Spatial autocorrelation was recapitulated for these slides from the deep learning model 525 predictions. We noticed that increases in modeling accuracy were associated with spatial gene 526 expression variation. Genes that were differentially autocorrelated between metastatic and non-527 metastatic tumors corroborated with well-established oncogenic pathways. This supports our 528 overarching modeling approach to characterize spatial heterogeneity. As some genes were 529 better predicted using the broader spatial architecture, it would make sense to decide which 530 modeling approach to utilize on a gene-by-gene basis and whether the local versus spatial 531 context is preferred. This allows for the selection of optimal modeling approaches in a gene-532 specific manner to extend the broad applicability of our framework across all disease-relevant 533 aenes. 534

535 Importantly, the techniques featured in this work will prove useful for inferring gene expression 536 on slides from external cohorts. This is necessary due to the prohibitive costs of spatial transcriptomics. If validated properly on a slightly larger set of slides while carefully controlling 537 538 for potential confounders (e.g., site, MMR status, etc.), these methods may overcome sources 539 of patient-specific batch effects to allow the report of less biased effect estimates for large-540 scale cohort studies. Validation efforts on these held-out slides can feature validation 541 assessments such as Spark-X and SpatialDE to ensure spatial heterogeneity from the inferred 542 expression data is similar to the initial internal validation cohort. To this end, we achieved 543 remarkable performance for the ability to predict spatial heterogeneity, which will potentially 544 help power future studies elucidating spatial transcriptomic predictors of colon metastasis. 545 546 Our results did not support the hypotheses that, overall, spatial gene expression estimation 547 would benefit from message-passing between patch locations via transformer models and 548 graph convolutional networks. However, these neural networks outperform local patch 549 prediction across a large set of genes, suggesting the relevance of these methods for genes 550 which leverage the spatial context. For GAT, underperforming genes can potentially be 551 explained by the fact that graph convolutional networks tend to smoothen features (Li et al., 552 2018), which may weaken the model's predictions if the gene expression data is not as smooth. 553 While these findings could alternatively suggest that neighboring patches may not be as

- 554 correlated as suspected, this may only hold true for a subset of genes. Increasing graph 555 convolution layers may result in optimal performance for genes, which rely on higher-order
- 556 dependencies between tissue regions; however, such genes may be outnumbered by genes 557 better suited for the Inception approach.
- 558

559 There were a few study limitations of note. Our validation scheme featured the use of held-out 560 slides. Generally, as two cases had tumor metastasis, whereas the other two did not have 561 concurrent metastasis, we believed held-out slides would have similar heterogeneity in 562 expression and morphology as the training/validation slides. Indeed, it is possible that tissue 563 expression/morphology existed outside of this range, differentially impacting the 564 GAT/transformer models designed to capture long-range spatial dependencies. As we had 565 manually selected capture areas, we did not expect slides to have exactly matching/analogous 566 architectural features; thus, the GAT/transformer model could have been unable to generalize 567 as it aims to integrate this higher-level information. There were four whole slide images for both 568 training and cross-validation, and while these provided over ten thousand patches in the training 569 set when taken individually, they provided limited opportunities for models to learn diverse 570 global contexts that transformer models would have benefitted from. These challenges could have been ameliorated by pretraining the GAT/Transformer on a variety of colorectal cancer 571 572 tissue contexts, which does not explicitly require spatial omics data. We also acknowledge the 573 impact of evolving workflows for spatial resolution of transcriptomics information. Many 574 workflows rely on the prediction of tissue features from fresh or fresh frozen tissue. We utilized 575 formalin-fixed paraffin-embedded (FFPE) tissue slides. Only recently have deparaffinization and 576 assay workflows been developed for FFPE. As many of these specimen processing workflows 577 are still under development, manual staining and imaging could have both introduced batch 578 effects and impacted tissue quality. For instance, while a plethora of data preprocessing 579 workflows offer capabilities to combat batch effects through normalization, a robust 580 comparison of preprocessing workflows was outside of the study scope. Our group is keen to 581 adopt future iterations of the FFPE workflows. We expect that protocol updates for the FFPE 582 workflow and data collection will provide major improvements in specimen processing, data 583 quality, and resolution, improving prediction models. Enlarging the capture area and evaluating 584 complementary molecular assays (e.g., spatial proteomics) will improve the resolution and

scope of our findings. Although this work does not explicitly assess the tumor immune

586 microenvironment, the methodology explored here can help facilitate spatial analyses and will

587 be used to motivate future clinical findings. Future work will clearly demarcate these regions

- 588 (e.g., intratumoral, invasive margin, away from the tumor) for a more granular analysis that is
- 589 well-adjusted for potential confounders.
- 590 591

# 592 **5.** Conclusions

593 Tumor metastasis is heavily tied to poor prognostic outcomes and risk of recurrence.

594 Assessment of key niches at the primary site (e.g., tumor immune microenvironment) may

595 reveal histomorphological or biological factors relating to nodal involvement or distant

596 metastasis. In this work, we investigated the potential to infer spatially resolved transcriptomics

- from the tissue histology of colorectal cancer patients through several sophisticated neural
- 598 network approaches. Our findings indicate that neural networks can be effectively employed in 599 this capacity and that selection of a neural network model could be informed by its relevance to
- a molecular pathway resolved from histological features at different scales. Findings reaffirm
- 601 the role of the epithelial-to-mesenchymal transition as an important metastasis-related process.
- 602 In the future, with additional algorithmic fine-tuning, data curation, and standardization, there
- are opportunities to generalize these findings to perform large-scale spatial molecular
- 604 assessments.
- 605
- 606

# 607 Competing Interests

- 608 None to disclose.
- 609

# 610 Authors' Contributions

- 611 The conception and design of the study were contributed by JL and LV. MF, EF, and CS
- 612 spearheaded study design, analysis, interpretation and initial manuscript drafting. All authors
- 613 contributed to writing and editing of the manuscript and all authors read and approved the final
- 614 manuscript.
- 615

# 616 Acknowledgements

- 617 We would like to thank Gabriel Brooks for his thoughtful discussion of the subject matter. This
- work was supported by NIH grants R01CA216265, R01CA253976, and P20GM104416 to BC,
- 619 Dartmouth College Neukom Institute for Computational Science CompX awards to BC, JL and
- 620 LV, and DCC, DPLM Clinical Genomics and Advanced Technologies EDIT program. JL is
- 621 supported through NIH subawards P20GM104416 and P20GM130454. The funding bodies
- 622 above did not have any role in the study design, data collection, analysis and interpretation, or
- 623 writing of the manuscript.
- 624

### 625 References

- Wong MC, Huang J, Lok V, et al. Differences in incidence and mortality trends of colorectal cancer worldwide based on sex, age, and anatomic location. *Clin Gastroenterol Hepatol.* 2021;19(5):955-966.
- Amin MB, Edge S, Greene F, et al., eds. *AJCC Cancer Staging Manual*. 8th ed. Springer
  International Publishing; 2017. Accessed April 28, 2021.
  https://www.springer.com/gp/book/9783319406176
- 632 3. Senthil M, Trisal V, Paz IB, Lai LL. Prediction of the Adequacy of Lymph Node Retrieval in
  633 Colon Cancer by Hospital Type. *Arch Surg.* 2010;145(9):840-843.
  634 doi:10.1001/archsurg.2010.182
- 635 4. Nearchou IP, Gwyther BM, Georgiakakis ECT, et al. Spatial immune profiling of the
  636 colorectal tumor microenvironment predicts good outcome in stage II patients. *Npj Digit*637 *Med.* 2020;3(1):1-10. doi:10.1038/s41746-020-0275-x
- 638 5. Uttam S, Stern AM, Sevinsky CJ, et al. Spatial domain analysis predicts risk of colorectal
  639 cancer recurrence and infers associated tumor microenvironment networks. *Nat Commun.*640 2020;11(1):3515. doi:10.1038/s41467-020-17083-x
- 641
  6. Binnewies M, Roberts EW, Kersten K, et al. Understanding the tumor immune microenvironment (TIME) for effective therapy. *Nat Med.* 2018;24(5):541-550. doi:10.1038/s41591-018-0014-x
- 644 7. Bruni D, Angell HK, Galon J. The immune contexture and Immunoscore in cancer prognosis
  645 and therapeutic efficacy. *Nat Rev Cancer*. 2020;20(11):662-680. doi:10.1038/s41568-020646 0285-7
- 8. Wu Y, Cheng Y, Wang X, Fan J, Gao Q. Spatial omics: Navigating to the golden era of
  cancer research. *Clin Transl Med.* 2022;12(1):e696. doi:10.1002/ctm2.696
- 649
   9. Suwalska A, Zientek L, Polanska J, Marczyk M. Quantifying Spatial Heterogeneity of Tumor-Infiltrating Lymphocytes to Predict Survival of Individual Cancer Patients. *J Pers* 651 *Med.* 2022;12(7):1113. doi:10.3390/jpm12071113
- 652 10. LeCun Y, Bengio Y, Hinton G. Deep learning. *Nature*. 2015;521(7553):436-444.
   653 doi:10.1038/nature14539
- 11. He B, Bergenstråhle L, Stenbeck L, et al. Integrating spatial gene expression and breast
  tumour morphology via deep learning. *Nat Biomed Eng*. Published online June 22, 2020:1-8.
  doi:10.1038/s41551-020-0578-x
- Levy-Jurgenson A, Tekpli X, Kristensen VN, Yakhini Z. Spatial transcriptomics inferred
   from pathology whole-slide images links tumor heterogeneity to survival in breast and lung
   cancer. *Sci Rep.* 2020;10(1):18802. doi:10.1038/s41598-020-75708-z

13. Zeng Y, Wei Z, Yu W, et al. Spatial transcriptomics prediction from histology jointly
through Transformer and graph neural networks. *Brief Bioinform*. 2022;23(5):bbac297.
doi:10.1093/bib/bbac297

- 14. Pang M, Su K, Li M. Leveraging information in spatial transcriptomics to predict super resolution gene expression from histology images in tumors. Published online November 28,
   2021:2021.11.28.470212. doi:10.1101/2021.11.28.470212
- 15. Levy JJ, Bobak CA, Nasir-Moin M, et al. Mixed Effects Machine Learning Models for
   Colon Cancer Metastasis Prediction using Spatially Localized Immuno-Oncology Markers.
   *Pac Symp Biocomput Pac Symp Biocomput*. 2022;27:175-186.
- 16. Svensson V, Teichmann SA, Stegle O. SpatialDE: identification of spatially variable genes.
   *Nat Methods*. 2018;15(5):343-346. doi:10.1038/nmeth.4636
- 17. Szegedy C, Vanhoucke V, Ioffe S, Shlens J, Wojna Z. Rethinking the Inception Architecture
   for Computer Vision. Published online December 11, 2015. doi:10.48550/arXiv.1512.00567
- 18. Dosovitskiy A, Beyer L, Kolesnikov A, et al. An Image is Worth 16x16 Words:
  Transformers for Image Recognition at Scale. In: ; 2020. Accessed August 28, 2021.
  https://openreview.net/forum?id=YicbFdNTTy
- 676 19. Chen EY, Tan CM, Kou Y, et al. Enrichr: interactive and collaborative HTML5 gene list
  677 enrichment analysis tool. *BMC Bioinformatics*. 2013;14(1):128. doi:10.1186/1471-2105-14678 128
- McInnes L, Healy J, Saul N, Großberger L. UMAP: Uniform Manifold Approximation and
   Projection. J Open Source Softw. 2018;3(29):861. doi:10.21105/joss.00861
- 681 21. McInnes L, Healy J, Astels S. hdbscan: Hierarchical density based clustering. J Open Source
   682 Softw. 2017;2(11):205. doi:10.21105/joss.00205
- Veen HJ van, Saul N, Eargle D, Mangham SW. Kepler Mapper: A flexible Python
  implementation of the Mapper algorithm. *J Open Source Softw.* 2019;4(42):1315.
  doi:10.21105/joss.01315
- 23. Tauzin G, Lupo U, Tunstall L, et al. giotto-tda: A Topological Data Analysis Toolkit for
  Machine Learning and Data Exploration. *ArXiv200402551 Cs Math Stat.* Published online
  April 6, 2020. Accessed July 23, 2020. http://arxiv.org/abs/2004.02551
- 689 24. Singh G, Mémoli F, Carlsson G. Topological Methods for the Analysis of High Dimensional
  690 Data Sets and 3D Object Recognition. :10.
- 25. Zhu J, Sun S, Zhou X. SPARK-X: non-parametric modeling enables scalable and robust
   detection of spatial expression patterns for large spatial transcriptomic studies. *Genome Biol.* 2021;22(1):184. doi:10.1186/s13059-021-02404-0

694 26. Richards FM, McKee SA, Rajpar MH, et al. Germline E-cadherin Gene (CDH1) Mutations
695 Predispose to Familial Gastric Cancer and Colorectal Cancer. *Hum Mol Genet*.
696 1999;8(4):607-610. doi:10.1093/hmg/8.4.607

- 697 27. SAWAZAKI S, OSHIMA T, SAKAMAKI K, et al. Clinical Significance of Tensin 4 Gene
  698 Expression in Patients with Gastric Cancer. *In Vivo*. 2017;31(6):1065-1071.
  699 doi:10.21873/invivo.11171
- Pai SG, Carneiro BA, Mota JM, et al. Wnt/beta-catenin pathway: modulating anticancer
  immune response. *J Hematol Oncol J Hematol Oncol*. 2017;10(1):101. doi:10.1186/s13045017-0471-6
- 29. Huang MS, Fu LH, Yan HC, et al. Proteomics and liquid biopsy characterization of human
  EMT-related metastasis in colorectal cancer. *Front Oncol.* 2022;12:790096.
  doi:10.3389/fonc.2022.790096
- 30. Li X, Li Z, Gu S, Zhao X. A pan-cancer analysis of collagen VI family on prognosis, tumor
   microenvironment, and its potential therapeutic effect. *BMC Bioinformatics*. 2022;23:390.
   doi:10.1186/s12859-022-04951-0
- 31. van Huizen NA, Coebergh van den Braak RRJ, Doukas M, Dekker LJM, IJzermans JNM,
  Luider TM. Up-regulation of collagen proteins in colorectal liver metastasis compared with
  normal liver tissue. *J Biol Chem.* 2019;294(1):281-289. doi:10.1074/jbc.RA118.005087

712

713

# 715 Appendix

### 716

# 717 Supplementary Table 1. Comparison of model performance for predicting

718 dichotomized and log-transformed expression for genes across the whole

719 transcriptome. These statistics are created by taking the median across all genes,

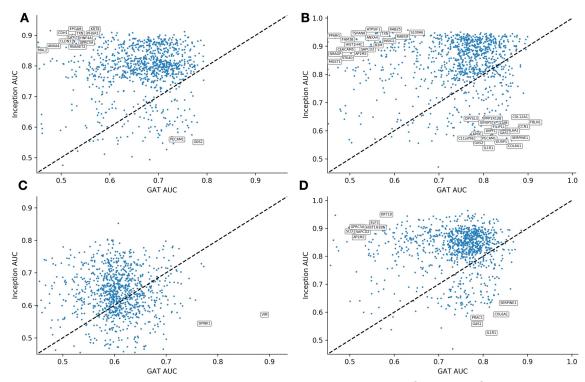
reported for each held-out slide.

		Classifi		Regre	
Model	Slide	AUROC	AP	Spearman R	Pearson R
Inception-256	Overall	0.768	0.603	0.444	0.477
	A1	0.78	0.675	0.494	0.511
	B1	0.834	0.796	0.612	0.605
	C1	0.625	0.432	0.214	0.301
	D1	0.831	0.51	0.454	0.491
Inception-512	Overall	0.781	0.63	0.461	0.498
	A1	0.79	0.688	0.515	0.537
	B1	0.856	0.816	0.639	0.647
	C1	0.629	0.459	0.22	0.299
	D1	0.847	0.555	0.469	0.51
Inception-768	Overall	0.787	0.639	0.486	0.54
-	A1	0.802	0.705	0.551	0.578
	B1	0.864	0.823	0.671	0.655
	C1	0.636	0.474	0.239	0.4
	D1	0.845	0.553	0.481	0.525
GAT-1	Overall	0.743	0.539	0.413	0.43
	A1	0.785	0.669	0.364	0.376
	B1	0.811	0.73	0.603	0.582
	C1	0.606	0.409	0.239	0.335
	D1	0.77	0.349	0.444	0.428
GAT-2	Overall	0.677	0.444	0.407	0.45
	A1	0.769	0.645	0.511	0.545
	B1	0.772	0.612	0.574	0.568
	C1	0.589	0.333	0.146	0.265
	D1	0.578	0.184	0.421	0.428
GAT-4	Overall	0.702	0.462	0.453	0.499
	A1	0.679	0.571	0.517	0.551
	B1	0.764	0.614	0.622	0.643
	C1	0.604	0.379	0.228	0.356
	D1	0.759	0.283	0.445	0.454
GAT-4-AXIN2	Overall	0.702	0.462	0.545	0.578
_	A1	0.679	0.57	0.511	0.446
	B1	0.764	0.614	0.745	0.771
	C1	0.604	0.379	0.286	0.46
	D1	0.759	0.283	0.637	0.633
GAT-4-CDH1	Overall	0.800	0.707	0.546	0.571
	A1	0.826	0.747	0.468	0.478
	B1	0.858	0.791	0.753	0.741
	C1	0.648	0.462	0.31	0.425

bioRxiv preprint doi: https://doi.org/10.1101/2022.11.24.517856; this version posted November 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

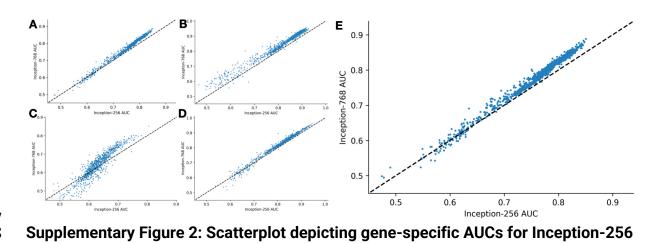
	D1	0.867	0.827	0.652	0.64
GAT-1-ZINB	Overall	n/a	n/a	0.393	0.408
	A1	n/a	n/a	0.441	0.429
	B1	n/a	n/a	0.551	0.562
	C1	n/a	n/a	0.15	0.19
	D1	n/a	n/a	0.429	0.449
GAT-4-ZINB	Overall	n/a	n/a	0.41	0.432
	A1	n/a	n/a	0.485	0.542
	B1	n/a	n/a	0.602	0.621
	C1	n/a	n/a	0.128	0.2
	D1	n/a	n/a	0.426	0.364
ViT-224	Overall	0.756	0.583	0.436	0.454
	A1	0.768	0.644	0.511	0.506
	B1	0.826	0.762	0.601	0.567
	C1	0.604	0.413	0.177	0.255
	D1	0.827	0.513	0.455	0.487
ViT-384	Overall	0.765	0.601	0.446	0.468
	A1	0.794	0.678	0.513	0.521
	B1	0.836	0.788	0.612	0.583
	C1	0.606	0.406	0.213	0.307
	D1	0.823	0.530	0.446	0.462





723 724 Supplementary Figure 1: Scatterplot depicting gene-specific AUCs for GAT and Inception-768 for slides: A) A1; B) B1; C) C1; D) D1

725



727 728

729

730

/30

731

# 732 Supplementary Table 2: Enrichr pathway results for genes found to be accurately

and Inception-768 for slides: A) A1; B) B1; C) C1; D) D1, E) Overall

- 733 predicted from the tissue histology via the Inception and GAT approaches; pathways
- 734

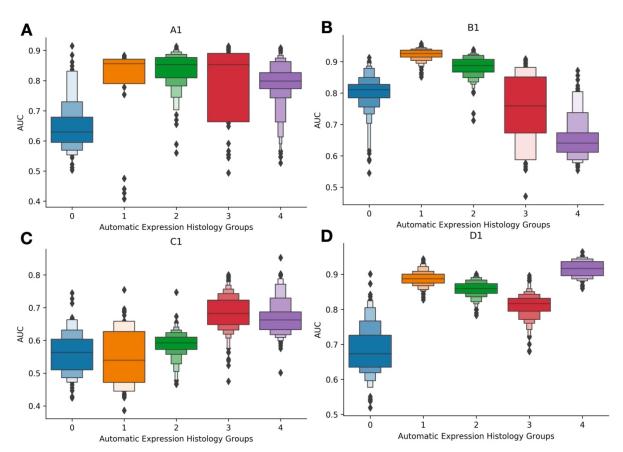
4	were filtered	based	on tissue	specificity

		Inception	GAT		
Term	Overlap	Adjusted P- value	Term	Overlap	Adjusted P-value
EPCAM in Cancer Cell Motility and Proliferation	9/36	2.79e-07	Proteins with Altered Expression in Cancer Metastases	9/106	1.22e-03
Proteins with Altered Expression in Cancer Metastases	11/106	2.82e-05	Desmosome Assembly	4/18	4.56e-03
Epithelial Cell in the Saliva Formation	5/13	7.15e-05	Corneodesmosomes in Atopic Dermatitis	4/19	5.15e-03
Androgens in Sebocyte Maturation	8/65	1.69e-04	Proteins with Altered Expression in Cancer Metabolic Reprogramming	7/85	5.50e-03
Proteins Involved in Ulcerative Colitis	11/141	1.69e-04	WNT in Epithelial to Mesenchymal Transition in Cancer	5/43	8.09e-03
Desmosome Assembly	5/18	1.70e-04	Metabolic Effects of Oncogenes and Tumor Suppressor in Cancer Cells	6/68	8.09e-03
Corneodesmosomes in Atopic Dermatitis	5/19	2.03e-04	Proteins Involved in Myocardial Ischemia	11/252	1.18e-02
Proteins Involved in Colorectal Neoplasms	9/99	2.35e-04	Desmosome Dysfunction in Cardiomyocyte	3/12	1.26e-02
WNT in Epithelial to Mesenchymal Transition in Cancer	6/43	5.66e-04	RAGE/AGER and S100 Proteins in Cardiovascular Injury	4/30	1.56e-02
Acinar Cells in the Saliva Formation	4/13	5.66e-04	Desmosomes Role in Dilated Cardiomyopathy	3/15	2.31e-02
Epithelial to Mesenchymal Transition in Cancer: Overview	8/90	5.85e-04	Epithelial to Mesenchymal Transition in Cancer: Overview	6/90	2.58e-02
Metastatic Colorectal Cancer	9/121	7.01e-04	Proteins Involved in Atherosclerosis	9/200	2.58e-02
Proteins with Altered Expression in Cancer Metabolic Reprogramming	7/85	2.43e-03	Cetuximab Resistance in Colorectal Cancer	5/64	3.05e-02
Telogen Maintenance in Androgenic Alopecia	4/23	4.15e-03	Proteins Involved in Colorectal Neoplasms	6/99	3.41e-02
Cancer Cells Inhibit Adipocyte Differentiation	4/27	6.43e-03	Glycolysis Activation in Cancer (Warburg Effect)	4/40	3.41e-02
TGFB Family in Epithelial to Mesenchymal Transition in Cancer	6/80	8.55e-03	-		

bioRxiv preprint doi: https://doi.org/10.1101/2022.11.24.517856; this version posted November 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

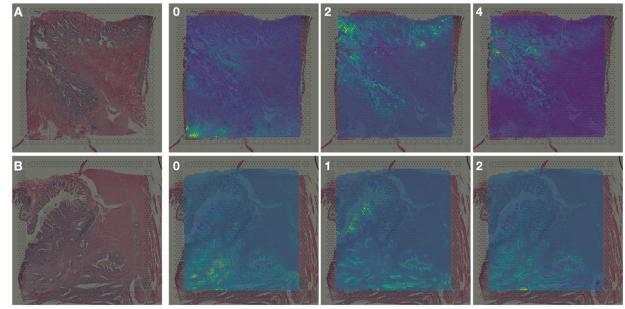
Fatty Acid Synthase (FASN) Signaling	3/16	1.52e-02
WNT Signaling Activation by Blocking of Tumor Suppressors	4/36	1.57e-02
HPV Infection and Cancer	5/65	1.80e-02
Androgens in Adipocyte Activation	3/18	1.80e-02
Glycolysis Activation in Cancer (Warburg Effect)	4/40	1.97e-02
Metabolic Effects of Oncogenes and Tumor Suppressor in Cancer Cells	5/68	1.98e-02
Proteins Involved in HPV Infection	3/19	1.98e-02
CDH1 Down regulation Promotes Cancer Cell Migration and Metastases	4/44	2.45e-02
Androgen Deficiency in Male Obesity	3/24	3.31e-02
Sialophorin -> CTNNB/MYC/TP53 Signaling	3/25	3.60e-02
mRNA Degradation	3/26	3.91e-02
WNT Canonical Signaling Activation in Cancer	3/27	4.16e-02
Proteins Involved in Arterial Hypertension	9/255	4.30e-02
Hyaluronic Acid, CD44 and HMMR in Cancer Cell Invasion and Survival	4/56	4.35e-02
Estrogen Deficiency in Female Obesity	2/9	4.35e-02
Adipocyte Hypertrophy and Hyperplasia	3/29	4.50e-02
Proteins Involved in Hepatocellular Carcinoma	6/130	4.74e-02
Adherens Junction Assembly (Cadherins)	3/30	4.83e-02
Proteins with Altered Expression in Cancer-Associated Sustaining of Proliferative Signaling	7/175	5.00e-02

bioRxiv preprint doi: https://doi.org/10.1101/2022.11.24.517856; this version posted November 28, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



**Supplementary Figure 3: Boxenplots illustrating the predictive accuracy of Inceptionv3** 

- 740 (AUC, y-axis) across genes, separated by the genes' Automatic Expression Histology
- 741 groups (colors, x-axis); gathered from validation slides held-out of the
- 742 training/validation set. Different groups were assigned for each slide. **A-D**) correspond
- 743 to slides A1-D1 respectively

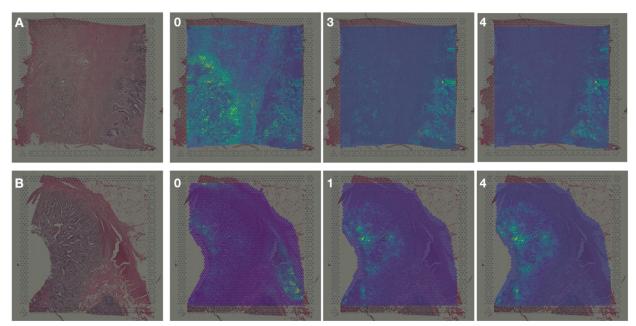


### 745 Supplementary Figure 4: Spatial expression patterns for select AEH groups for slides:

A) A1; B) B1; genes from the first featured AEH group for each slide were predicted with

747 low accuracy; genes from the final two AEH groups were predicted with high accuracy

748



749

Supplementary Figure 5: Spatial expression patterns for select AEH groups for slides:
 A) C1; B) D1; genes from the first featured AEH group for each slide were predicted with low accuracy; genes from the final two AEH groups were predicted with high accuracy

# Supplementary Table 3: Genes differentially spatially autocorrelated between METS/No-METS

Randomly Selected Genes	Pathways	Overlap	Adj. P- Value
STXBP3	Epithelial Mesenchymal Transition	17/200	2.53e-09
IMPAD1	Coagulation	11/138	0.000006432
ING1	TGF-beta Signaling	11/200	0.002024
YY1AP1	Myogenesis	11/200	0.0001214
IGFBP4	Apical Junction	10/200	0.0001214
ACO1	Нурохіа	5/54	0.0005478
ID3	Cholesterol Homeostasis	6/144	0.02979
PTAR1	UV Response Dn	7/199	0.02582
SYNM	UV Response Up	7/200	0.0286
SPRY2	IL-2/STAT5 Signaling	7/200	0.02582
RABL3	Estrogen Response Late	6/158	0.02582
N4BP2L2	p53 Pathway	4/74	0.02582
	Inception		

Ground Truth

Randomly Selected Genes	Pathways	Overlap	Adj. P- Value
DPYSL3	Epithelial Mesenchymal Transition	9/200	3.41e-13
CALD1	TGF-beta Signaling	3/138	0.004267
G0S2	Coagulation	3/200	0.001833
IGHG1	TNF-alpha Signaling via NF- kB	2/54	0.003624
LIMS2	Angiogenesis	2/200	0.05432
COL6A2	Xenobiotic Metabolism	2/200	0.02452
C1S	Нурохіа	2/200	0.02452
SERPINF1	Myogenesis	2/200	0.02452
FOSB	Complement	2/200	0.02452
SORBS1	Inflammatory Response	1/36	0.02452
WIPF1	IL-6/JAK/STAT3 Signaling	1/87	0.1169
PCOLCE	Interferon Alpha Response	1/97	0.119
	GAT		

Randomly Selected Genes	Pathways	Overlap	Adj. P- Value
ACTA2	Epithelial Mesenchymal Transition	18/200	1.85e-27
COL5A2	Angiogenesis	4/36	0.000002955
COL3A1	Myogenesis	5/200	0.00009592
COL12A1	Glycolysis	3/200	0.01585
GREM1	Apical Junction	3/200	0.01585
CDH11	Hedgehog Signaling	2/138	0.1196
SPARCL1	Apical Surface	2/144	0.1321
CRYAB	Coagulation	2/200	0.06564
POSTN	UV Response Dn	2/200	0.06564
TPM2	TGF-beta Signaling	1/36	0.1475
DES	Нурохіа	1/44	0.09354
DCN	Adipogenesis	1/54	0.09354