

Independence and interaction between the control of moving and holding still in post-stroke arm paresis

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1 **Abstract**

2 Moving and holding still have been posited to be under separate control regimes for both eye and
3 arm movements. The paretic arm after stroke is notable for abnormalities both at rest and during
4 movement, thus it provides an opportunity to address the relationships between control of
5 reaching, stopping and stabilizing. Here, we asked whether independence of these behaviors is
6 preserved in arm paresis. To address this question, we quantified resting postural abnormalities
7 in stroke patients by measuring their biases in force production as they held their hand still in
8 various locations in a planar workspace, and then assessed the influence of these resting force
9 biases on active reaching in the same workspace. We found that patients had marked resting
10 postural force biases at each location. However, these biases did not manifest during any phase
11 of planar reaching movements in the setting of weight support: not during initial acceleration, not
12 to mid-trajectory perturbations, and not during deceleration to a stop. Resting force biases only
13 appeared to switch on after a movement had fully stopped. These findings in stroke suggest that
14 moving and holding still are functionally separable modes of control. At the same time, we found
15 that patients' resting postural force biases mirrored characteristics of abnormal synergies active
16 during movement: they markedly decreased when arm support was provided; they were higher in
17 more distal positions which require breaking out of flexion; and they scaled with the Fugl-Meyer
18 score for the upper extremity (a measure of intrusion of abnormal synergies during active

19 movement). These three shared features suggest a common mechanism for resting postural
20 biases and abnormal synergies, which appears to be a contradiction given the functional
21 separation of moving and holding still observed in the same patients. To resolve this apparent
22 paradox, we propose a model that predicts a breakdown in the functional separation between
23 reaching and holding still when patients move in the absence of weight support. Thus, the model
24 posits that synergies are the behavioral manifestation of a spillover of posture into movement.
25 Mapping these functional systems onto anatomical and physiological details of lesioned substrate
26 after stroke may provide implementation-level insight into how normal arm motor control is
27 assembled.

28 **Introduction**

29 A longstanding idea in motor control is that moving and holding still rely upon separate control
30 regimes. This separation was demonstrated in the context of eye movement control by the classic
31 work of Robinson (Robinson 1970). Other work suggests this separation may extend to other
32 effectors (Shadmehr 2017). In particular, substantial behavioral (Ghez, Scheidt, and Heijink 2007;
33 2007) and physiological (Kurtzer, Herter, and Scott 2005; Shalit et al. 2012) evidence supports
34 the idea that a similar dissociation governs the control of reaching and holding still for the arm
35 (Jayasinghe, Scheidt, and Sainburg 2022).

36 Patients with hemiparesis after stroke typically exhibit deficits in the control of both reaching and
37 holding still (Zackowski et al. 2004; Trombly 1992; Levin 1996; Garland, Gray, and Knorr 2009),
38 making hemiparesis a potent model for understanding the interaction, or dissociation, between
39 these two modes of motor control. There is evidence, for example, that reaching and holding
40 might be differentially affected after stroke depending on lesion side (Mani et al. 2013; Schaefer,
41 Haaland, and Sainburg 2009).

42 Here, we focused on one particular aspect of holding still: resting at a position. One of the most
43 common and readily recognizable motor symptoms after stroke is abnormal resting posture
44 (Twitchell 1951). For example, the typical hemiparetic arm posture consists of flexion at the
45 fingers, wrist, and elbow (Carr and Kenney 1992) (Figure 1A). Prominent approaches for the
46 treatment of hemiparesis have been based on the idea that abnormal resting posture has a direct
47 deleterious effect upon movement control; they advocated for adjusting overall posture in ways
48 that may minimize such effects (Carr and Kenney 1994; 1992; Bobath 1982).

49 Our main aim was to determine whether resting abnormalities bleed over into active movements
50 in the post-stroke arm. This is of great interest because the ability to separate moving from holding
51 may be precisely what is lost after stroke, and hence postural abnormalities could contaminate
52 voluntary movement. If this is indeed the case, it might lend credence to the idea in rehabilitation
53 that treating resting abnormalities can benefit movements.

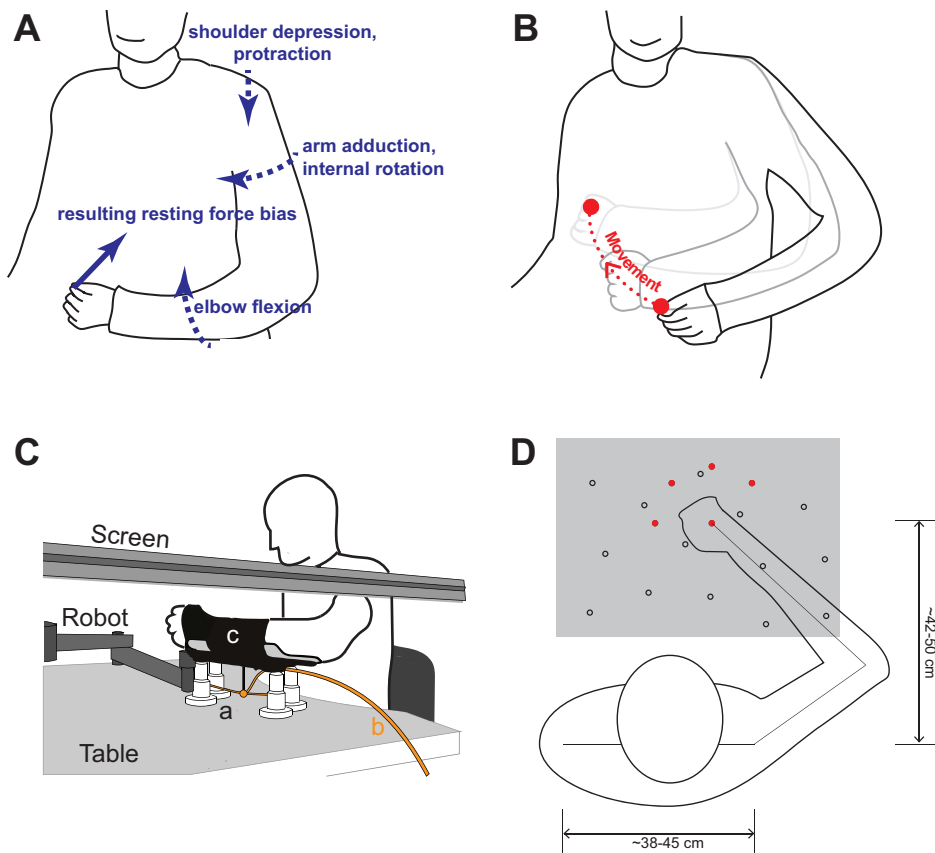
54 In Experiment 1, we assessed resting postural abnormalities by measuring the abnormal resting
55 postural force biases of cerebral stroke patients in a planar workspace. We investigated how
56 these force biases varied with arm position, presence of arm support, and overall motor
57 impairment. In Experiment 2, we proceeded to assess patients' motor control of reaching and
58 holding in the same workspace. We separately investigated effects upon the initial reach and
59 bringing the reach to a stop, as these two might be separately controlled (Ghez, Scheidt, and

60 Heijink 2007; Scheidt and Ghez 2007). We also investigated active holding control *after* the
61 movement was over, by examining responses to perturbations that attempted to move the arm
62 off the target, in order to confirm that the same controller is engaged for both passive (as in
63 Experiment 1) and active holding at the same position.

64 Results

65 *Patients showed resting flexor biases across the workspace*

66 We first assessed post-stroke resting postural abnormalities across a 2D workspace. In
67 Experiment 1, participants grasped the handle of a robotic arm, which passively moved their hand
68 to a series of positions that sampled the workspace in front of them (the setup and example
69 workspace are shown in Figure 1C,D). Participants were instructed to maintain grasp, but
70 otherwise relax their arm and not resist the actions of the robotic arm. Once the participant's arm
71 was passively moved to a given position, the robotic arm would hold still for 5 seconds, enabling
72 us to measure the forces involuntarily exerted by the participant as their hand was held at that
73 location. Each experiment block consisted of three visits to each of about 15-25 positions sampled
74 for each participant, in random order. Participants completed four different experiment blocks: two
75 with each arm, with or without arm weight support (provided by an air sled, Figure 1C).



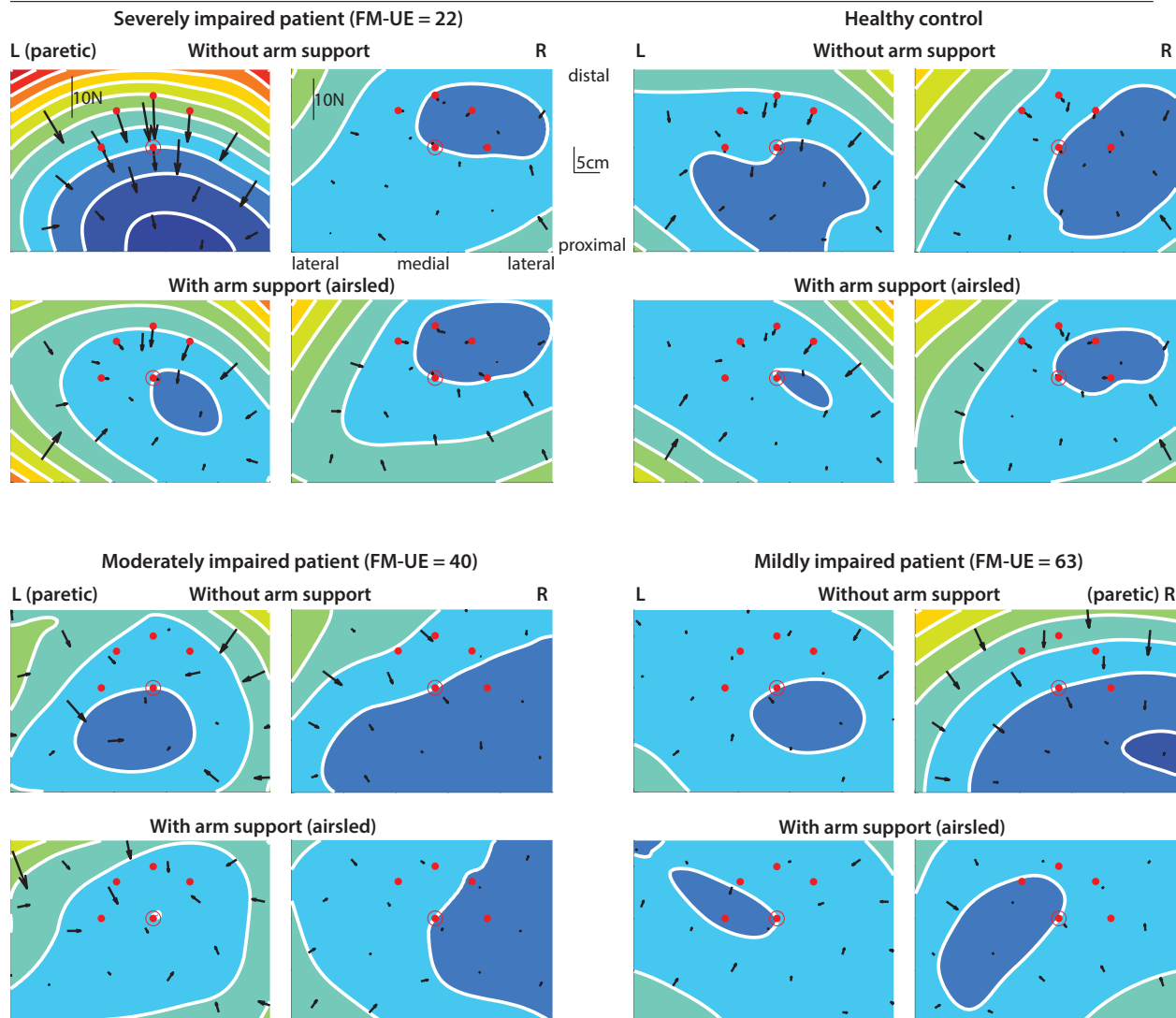
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77 **Figure 1: Conceptual framework and experiment setup.** **A:** A patient exhibiting a typical flexor posture at
78 rest. Dashed arrows indicate elements of the posture: shoulder depression, arm adduction/internal rotation,
79 elbow flexion. The torques involved in each component of the abnormal resting posture translate to a force
80 on the hand (blue arrow); we thus designed an experiment to measure the resting force bias on the hand,

81 as a marker of the overall postural abnormality. The goal was to compare resting postural force biases to
 82 active movement control in the same area (**B**). **C**: Experiment setup. The participant holds the handle of
 83 the robotic arm; reach targets and cursor position are projected on a screen on top; for arm support, the
 84 participant's arm is strapped on an armrest (**c**) connected to an air sled (**a**) which rests on the table. Air is
 85 provided through tube labeled (**b**). **D**: Top-down view of setup, illustrating the different hand positions where
 86 resting postural forces were measured in Experiment 1 (open circles). Also shown are the five target
 87 positions used in the reaching and holding task for Experiment 2 (filled red circles). The gray box indicates
 88 the workspace depicted in Figure 2.

89

90 Patients displayed abnormal postural force biases as the hand was held still at various locations
 91 across the workspace. Figure 2 shows examples of this for three patients and a typical healthy



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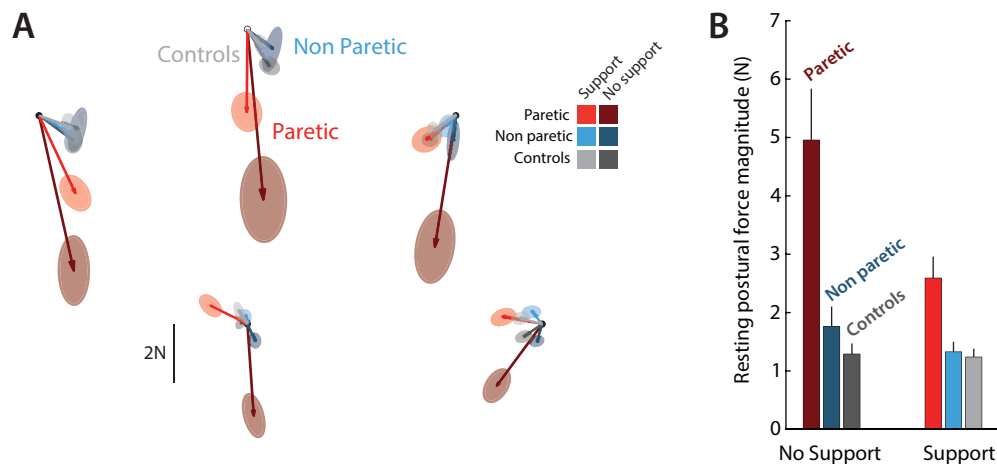
93 **Figure 2:** Examples of resting postural force biases. Shown are three stroke patients and one healthy

94 control. Arrows indicate magnitude and direction of abnormal resting postural forces as measured at the
95 hand at each location. Isoclines indicate gradations in force magnitude; different colors indicate different
96 force levels based on these gradations. The red dots are the reach targets, with the center location circled
97 (used in Experiment 2). Note how abnormalities in the paretic side are considerably stronger when arm
98 support is removed. FM-UE: Fugl-Meyer score for the Upper Extremity (0-66).

99

100 control participant. The non-paretic arm produced little to no postural force biases, whereas the
101 paretic arm produced substantial postural force biases, particularly when the hand was held in a
102 more distal position. The postural force biases were strongest when participants had to support
103 the weight of the arm against gravity. Moreover, the patient with the highest degree of impairment
104 (top left subplot of Fig. 2, as assessed using the Fugl-Meyer score for the Upper Extremity, FM-
105 UE (Fugl-Meyer et al. 1975)) exhibited the strongest resting postural abnormalities.

106 To aggregate results across all participants, we focused on five specific hand locations, illustrated
107 by the red dots in Figure 2, and evaluated the corresponding abnormal resting postural force
108 biases. These locations were chosen as they were within the sampled workspace of all
109 participants (as this workspace could differ from one participant to the next), contained both distal
110 and proximal targets, and, importantly, they were also the movement targets used in Experiment
111 2. Figure 3A shows subject-averaged resting postural forces at each of these five positions and



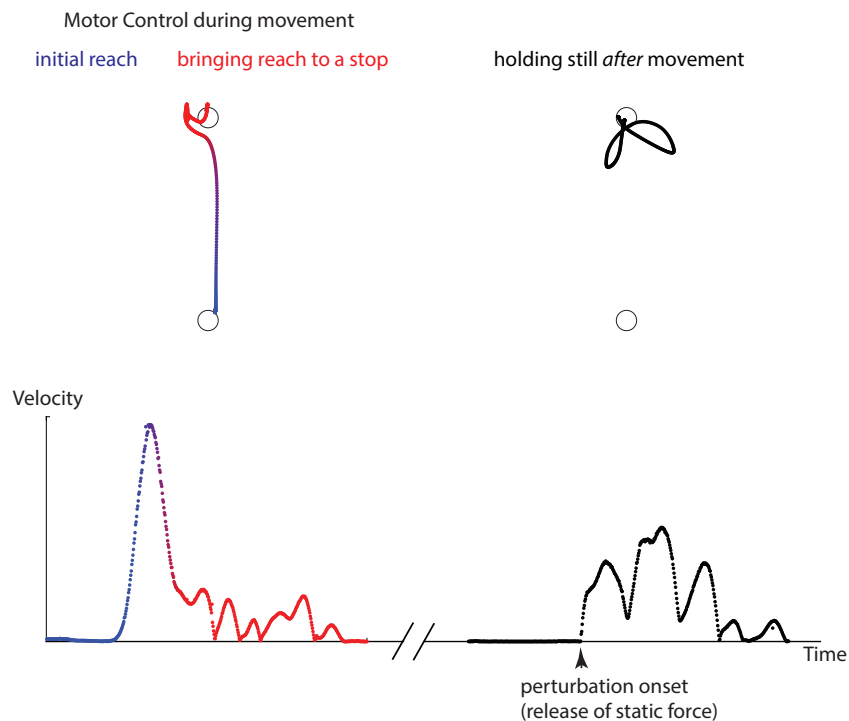
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113 **Figure 3: Average resting postural force biases. A:** Average resting postural forces for the paretic (red) and
114 non-paretic (cyan) arms of patients, as well as control participants (gray), illustrating how abnormal forces
115 in the paretic arm are stronger in more distal targets and attenuated when arm support is provided (lighter
116 shades). To average across left- and right-hemiparetic patients, left-arm forces were flipped left to right. **B:**
117 corresponding average resting postural force magnitudes.

118 Figure 3B shows the corresponding force magnitudes averaged across subjects and the five
119 positions. We made two key observations: first, postural force biases were stronger in locations
120 further away from the body and tended to point towards it; second, postural force biases were
121 roughly halved in magnitude when arm support was provided.

122 *Examining motor control in the same workspace allows us to differentially probe mechanisms of*
123 *reaching and holding*

124 In Experiment 2, we sought to investigate whether resting postural force biases influence active
125 reaching and holding still in the same workspace. We measured effects upon the initial reach
126 (Figure 4, blue) and bringing the reach to a stop (Figure 4, red), as it has been suggested that
127 these two are separately controlled (Scheidt and Ghez 2007; Ghez, Scheidt, and Heijink 2007);
128 see also (Karst and Hasan 1991; Lestienne 1979). We also asked whether the same controller is
129 engaged while being passively held at a position (as in Experiment 1) vs. actively holding at the
130 same position. For this purpose, we examined active holding control *after* the movement was over
131 (Figure 4, black), using perturbations that attempted to push the arm off the target.



132

133 **Figure 4:** *Three aspects of active motor control that we tested in Experiment 2.* We separately examined
134 the early part of the reaching movement (blue) and the late part, when the arm was coming to a stop (red).
135 This was done by studying both unperturbed movements at different stages and movements that were
136 perturbed with brief force pulses. In addition, we examined active holding control after the movement was

137 over (black), using perturbations that tried to move the arm away from the held point. Shown is an example
138 of trajectory and (absolute) velocity profiles from the reaching and coming-to-a-stop parts of a trial (left) and
139 active holding against a perturbation after the trial was over (right).

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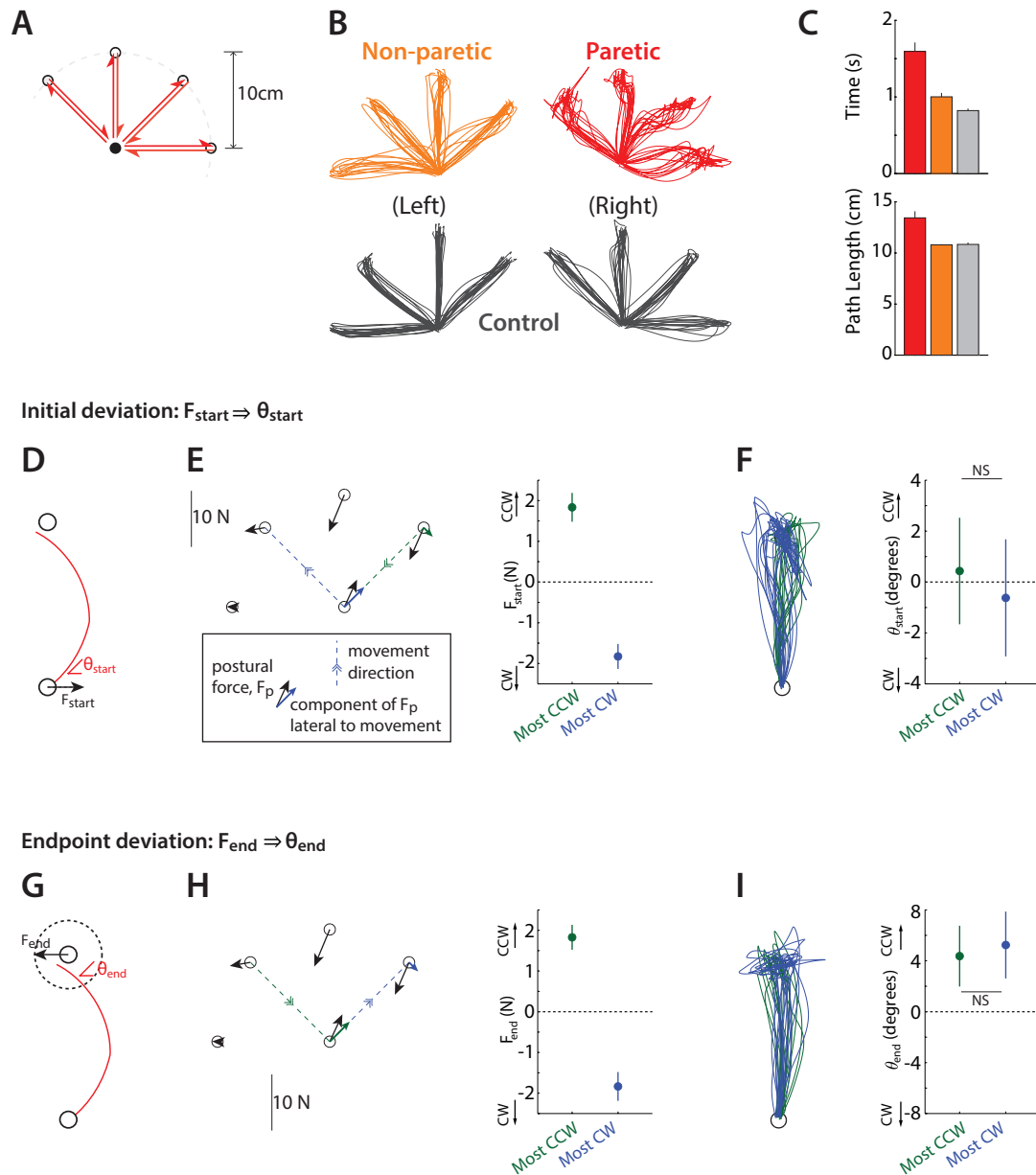
141 *Resting postural force biases did not affect the control of active reaching*

142 In Experiment 2, participants made 10-cm point-to-point reaching movements within an array of
143 five positions (filled circles in Figure 1D), for a total of 8 different movement directions (Figure 5A).
144 Arm support was provided by the air sled (Figure 1C). Patients' movements were generally
145 impaired, taking more time and traveling a longer path to reach the target than controls (Time to
146 target: 1.59 ± 0.12 s for patients' paretic side vs. 0.82 ± 0.03 s for controls, $p = 0.00006$; Path traveled
147 to target 13.4 ± 0.6 cm for patients' paretic side vs. 10.8 ± 0.2 cm for controls, $p = 0.0071$, Fig. 5B,C).

148 We then examined whether patients' movements reflected the resting postural biases measured
149 in Experiment 1 in the same workspace. Would there, for example, be a difference when moving
150 the arm through a high-postural bias area vs. a low-postural bias area? We examined effects of
151 resting postural biases upon the initial reach vs. the approach to a hold position, as separate
152 mechanisms may be involved in the control of each phase of movement (Scheidt and Ghez 2007;
153 Ghez, Scheidt, and Heijink 2007; Sainburg, Ghez, and Kalakanis 1999; Karst and Hasan 1991;
154 Hannaford and Stark 1985); thus, resting postural biases might affect one phase but not the other.
155 Specifically, we examined: (a) whether the direction of resting postural forces at the start position
156 influenced trajectory deviations near the beginning of the movement (Figure 5D) or (b) whether
157 direction of resting postural forces at the end position influenced trajectory deviations near the
158 end of the movement (Figure 5G, after the participant reached within 2cm of the target).

159 To investigate within-subject effects, we selected, for each patient, the two movement directions
160 for which the corresponding postural forces had strongest opposing effects – i.e. the most
161 rightwards (CW) vs. most leftwards (CCW) with respect to movement direction. The idea behind
162 picking the most extreme values for each individual was to maximize our sensitivity in detecting
163 potential effects of resting postural forces upon active movement. Our analysis found no
164 significant differences in directional biases between these two conditions: while the selected start-
165 point force biases differed considerably between the most CCW and CW cases (1.8 ± 0.4 N vs. -
166 1.8 ± 0.3 N, correspondingly [negative signs indicating CW forces], $t_{15} = 6.24$, $p = 0.00002$), the
167 corresponding initial angular deviations did not ($0.4 \pm 2.1^\circ$ for the most CCW vs. $-0.6 \pm 2.3^\circ$ for the
168 most CW postural force, $t_{15} = 0.27$, $p = 0.79$, Figure 5I-K). Similarly, while the selected end-point
169 force biases also differed considerably (1.8 ± 0.3 N vs. -1.8 ± 0.4 N, for the most CCW vs. CW cases,

170 $t_{15}=6.24$, $p=0.00002$), the corresponding endpoint angular deviations did not ($4.4\pm 2.4^\circ$ for the most
 171 CCW vs. $5.2\pm 2.6^\circ$ for the most CW postural force, $t_{15}=0.30$, $p=0.77$, Figure 5L-N). In summary,
 172 our data thus provide no compelling support for the idea that resting postural abnormalities do not
 173 directly affect active movement.



174

175 **Figure 5: Abnormal resting postural force biases do not interact with active reaching.** **A:** Target array for
 176 Experiment 2 (movement task), illustrating the 5 start/end points of reaches and the 8 movement directions.
 177 **B:** Example outwards trajectories (unperturbed trials) for a patient (orange: non-paretic side; red: paretic
 178 side) and a healthy control (gray). **C:** Subject-averaged reach performance based on either time (top) or
 179 path length to target (bottom) indicates impaired reaching control in patients' paretic side. **D-F:** Within-

180 subject analysis of whether resting postural forces at movement start bias early movement towards their
181 direction. **E:** For each individual, we selected the direction where F_{start} was the strongest counterclockwise
182 (CCW, green) or clockwise (CW, blue). The left panel shows this selection for an example participant:
183 postural forces at start position were projected lateral to the movement direction, allowing us to select
184 movement directions for which this component was directed the strongest CCW or CW. The right panel
185 shows the magnitude of these selected components across all patients. **F:** Left: Corresponding movement
186 trajectories (rotated so start position is at the bottom and end position at the top) for the directions selected
187 for the same example participant. Right: Average initial angular deviations, θ_{start} , for the selected directions
188 for each participant, revealing no difference and thus no effect of F_{start} upon the movement. **G-I:** same as
189 D-F but for endpoint resting postural forces, F_{end} and endpoint deviations, θ_{end} . Errorbars indicate SEM.

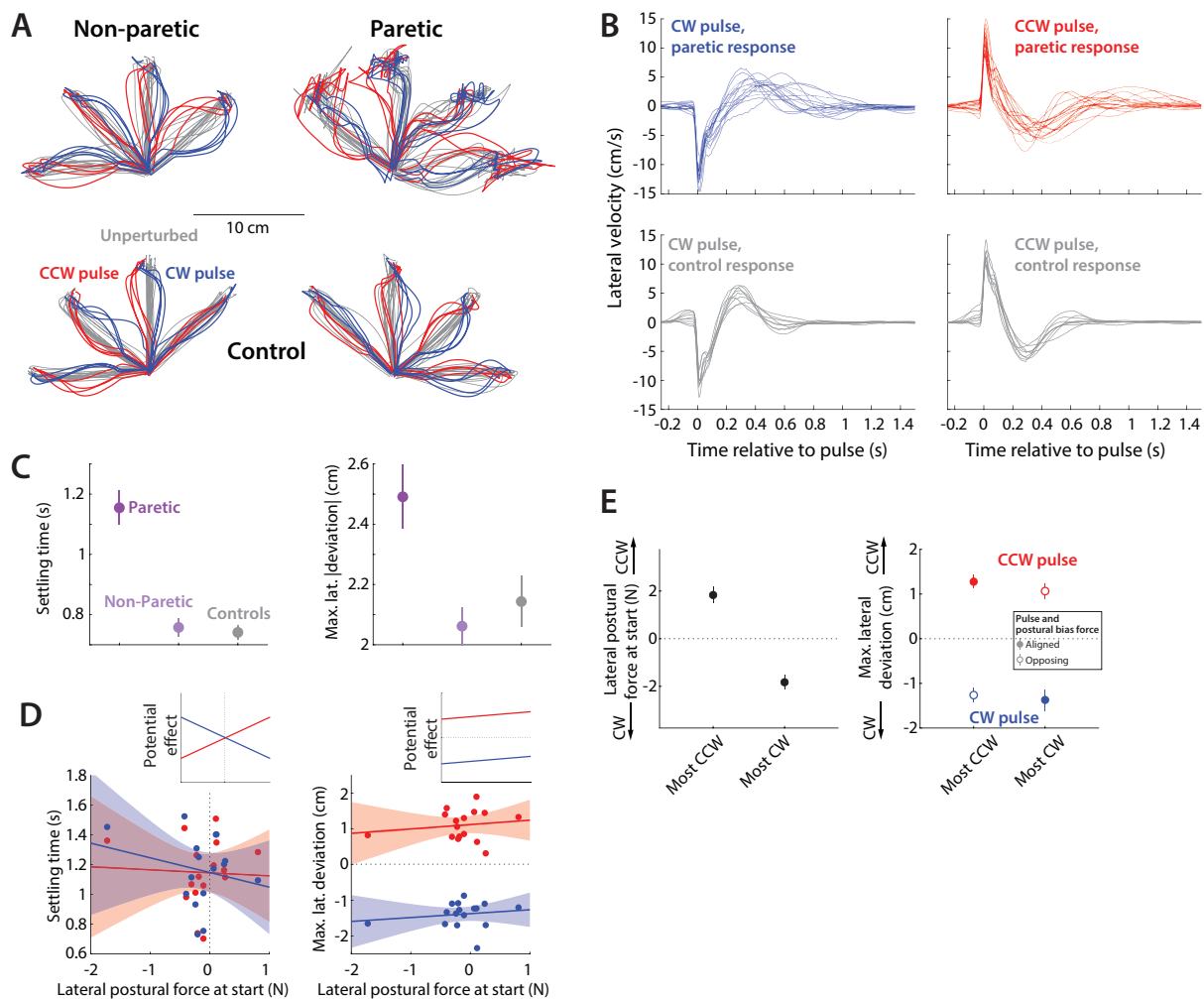
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191 *Resting postural force biases did not affect responses to perturbations during movement*

192 A potential limitation in our data and analysis above is that unperturbed reaching movements may
193 provide limited sensitivity in detecting effects of resting postural force biases, as any such effects
194 may be largely compensated by a predetermined motor plan. In that case, it may be better to
195 directly compare these force biases to responses to less predictable, externally applied force
196 perturbations. We thus further assessed how resting postural forces may affect responses to mid-
197 movement perturbations. In 1/3 of randomly selected reaching movements in Experiment 2, we
198 imposed a 70ms duration, 12-N force pulse lateral to the movement (Smith and Shadmehr 2005)
199 2cm into the reach. Half of these pulses were clockwise (CW, blue in Figure 6A) and the other
200 half were counter-clockwise (CCW, red in Figure 6A). We first verified that these pulses had a
201 clear effect upon movement: perturbed movements took longer to complete in both patients
202 (paretic movement time: $1.72 \pm 0.13\text{s}$ vs. $1.59 \pm 0.12\text{s}$, $t_{15} = 5.31$, $p = 0.00009$) and controls
203 (movement time: $0.88 \pm 0.03\text{s}$ vs. $0.82 \pm 0.03\text{s}$, $t_8 = 5.56$, $p = 0.0005$). Patients generally had
204 impaired response to these pulses compared to their non-paretic side and healthy controls,
205 deviating further (maximum lateral deviation – paretic: $2.5 \pm 0.1\text{cm}$ vs. non-paretic: $2.1 \pm 0.1\text{cm}$ [$p =$
206 0.0008] and controls: $2.1 \pm 0.1\text{cm}$ [$p = 0.0357$] - average of CW and CCW pulses) and taking a
207 longer time to stabilize in the pulse direction (i.e. minimize lateral velocity; paretic: $1.15 \pm 0.06\text{s}$ vs.
208 non-paretic: $0.75 \pm 0.03\text{s}$ [$p = 0.00002$] and controls: $0.74 \pm 0.02\text{s}$ [$p = 0.00003$]). This is illustrated in
209 Figure 6B,C.

210 We then investigated whether resting postural forces played any role in patients' response to the
211 pulse perturbation. We hypothesized that, should resting postural forces play a role, they would
212 tend to reduce the effect of the pulse if they were in the opposite direction, and exaggerate it they

213 were in the same direction. We thus compared the lateral component of resting postural forces in
 214 the start position against (a) the maximum lateral deviation in the direction of each pulse and (b)
 215 the time taken to stabilize in the pulse direction (settling time). When we compared force
 216 magnitude vs. maximum lateral deviation in pulse direction we found no relationship for either
 217 CCW pulses ($R^2=0.02$, $p = 0.57$) or CW pulses ($R^2=0.03$, $p = 0.55$) as shown in Figure 6D, right.
 218 Similarly, we found no relationship when comparing force magnitude vs. settling time (CCW
 219 pulses: $R^2=0.002$, $p = 0.86$, CW pulses: $R^2=0.05$, $p = 0.41$, Figure 6D, left). In summary, our
 220 analysis did not show any specific, consistent effects of resting posture upon the responses to
 221 the pulse perturbations.



222

223 **Figure 6:** Responses to movement perturbations and relationship to resting postural forces. **A:** Examples
 224 of perturbed (red: perturbed with CCW pulse; blue: perturbed with CW pulse) and unperturbed (gray)
 225 outward trajectories - same individuals as in Figure 4B. **B:** Lateral velocity (positive: CCW to movement)
 226 before and after pulse onset, and corresponding responses from controls (gray), illustrating how patients,

227 in response to the pulse, take longer time to settle and tend to experience larger lateral deviations
228 compared to controls. **C:** Summary performance measures for patients and controls, indicating impaired
229 performance with the paretic side: settling time (left) and maximum lateral deviation on pulse direction
230 (right). **D:** Left: Across-patient comparison between settling time and lateral postural bias force on
231 movement start. Inset indicates expected relationships if resting postural biases were affecting the response
232 against the pulse. Paretic data shown. Red: CCW pulse; Blue: CW pulse. Right: similar to left, but for
233 (signed) maximum lateral deviation for the two types of pulses. **E:** Within-individual analysis: here, for each
234 individual, we selected the movements for which the starting-position resting postural force would be either
235 the strongest CCW or CW (left); we then examined the corresponding maximum lateral deviations (right).
236 Mirroring the analysis shown in D, any potential effects of the most CCW vs. most CW resting postural
237 forces are inconsistent: in one case there is a tendency for increased deviation when the resting postural
238 force is aligned with (filled circles) instead of opposing (open circles) the pulse, and in the other case it is
239 the other way round.

240

241 However, this across-subject analysis may mask within-subject differences. Thus, in a manner
242 similar to the previous section, we selected, for each patient, the directions where the starting
243 lateral postural force was most CCW vs. the most CW, and compared the corresponding
244 deviations. We found no clear effect: when resting postural forces were the most opposed to the
245 pulse (vs. most aligned with it) there were no clear differences in deviation along the pulse
246 direction, for neither the CCW pulses (1.28 ± 0.15 vs. 1.07 ± 0.17 cm, $t_{15} = 0.93$, $p = 0.36$), the CW
247 pulses (1.37 ± 0.23 vs. 1.26 ± 0.16 cm, $t_{15} = 0.40$, $p = 0.69$), or with both types of pulses pooled
248 together (from 1.16 ± 0.12 vs. 1.32 ± 0.12 cm, $t_{15} = 0.78$, $p = 0.81$). In short, we found no evidence
249 for an interaction between resting postural force biases and the ability to respond to perturbations
250 that were applied during movement.

251 *Resting postural force biases emerged during active holding at the end of movement*

252 Finally, in Experiment 2, we also investigated the relationship between resting postural force
253 biases and active holding. In 20 out of 96 movements in each block, participants had to hold
254 steady on the target for an additional 5 to 7 seconds (Figure 7A). During this time, the robot
255 imposed a 6N force in one of four directions (45° , 135° , 225° , 315° , as shown in Figure 7B). This
256 force was gradually applied over two seconds, held at a 6N level for 3-5 seconds, and then
257 abruptly released, acting to displace the arm in the direction opposite to the original force, as
258 illustrated in the examples in Figure 7B.

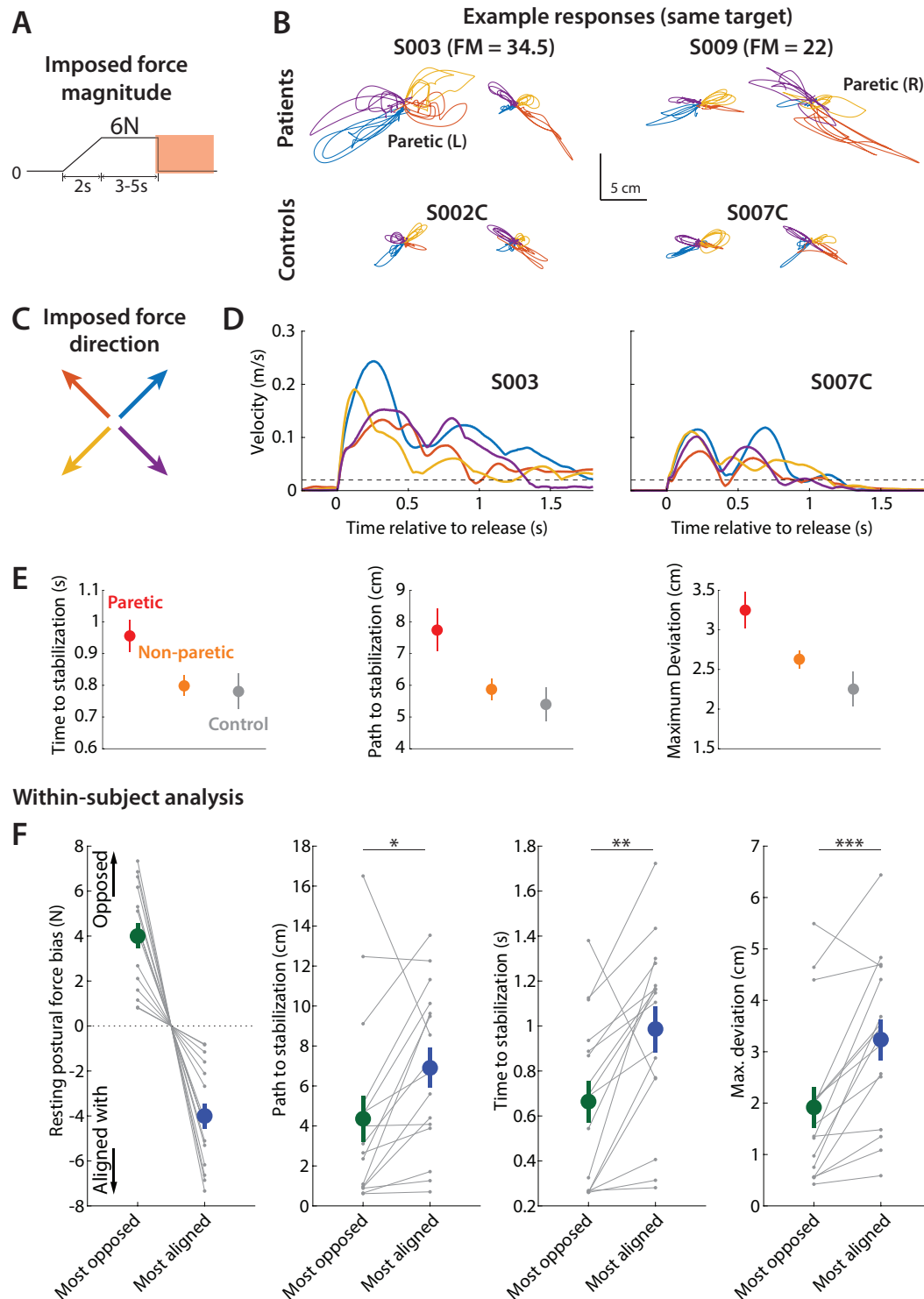
259 Patients showed impaired capacity to resist and recover from this perturbation (the abrupt release
260 of the imposed force), taking a longer time (0.96 ± 0.05 s for the paretic side vs. 0.79 ± 0.03 s for the

261 non-paretic side [$p = 0.014$] and 0.78 ± 0.06 s for controls [$p = 0.04$], Figure 7E, left) and traveling
262 a longer path (7.7 ± 0.7 cm for the paretic side vs. 5.9 ± 0.3 cm for the non-paretic side [$p = 0.011$]
263 and 5.4 ± 0.5 cm for controls [$p = 0.024$], Figure 7E, middle) to stabilization; moreover, they deviated
264 more in the direction of the perturbation (3.2 ± 0.2 cm for the paretic side vs. 2.6 ± 0.1 cm for the non-
265 paretic side [$p = 0.014$] and 2.3 ± 0.2 for controls [$p = 0.0087$], Figure 7E, right).

266 To investigate whether resting postural force biases affected the control of actively holding still at
267 across workspace locations, we performed a within-individual analysis analogous to the one we
268 used for unperturbed reaches and moving perturbations. We first projected resting postural forces
269 upon the directions of the static perturbation to assess the component of the resting postural force
270 that opposed or aligned with the static perturbation. We then selected, for each patient, the two
271 position/perturbation direction combinations for which these forces were either the most opposed
272 (Figure 7F, green) to the perturbation or the most aligned (Figure 7F, blue) with it. For these
273 selected position/perturbation direction combinations, we compared capacity to resist and recover
274 from the perturbation, and found that this capacity was indeed better when the resting postural
275 force was in a direction that opposed the perturbation (path traveled to stabilization: 4.4 ± 1.2 cm
276 vs. 6.9 ± 1.0 cm, $p = 0.0198$; time to stabilization: 0.7 ± 0.1 s vs. 1.0 ± 0.1 s, $p = 0.0023$; maximum
277 deviation: 1.9 ± 0.4 cm vs. 3.2 ± 0.4 cm, $p = 0.0003$, corresponding to cases with the most opposed
278 vs. the most aligned resting postural force). In short, our perturbations revealed that resting flexor
279 biases switched on after movement was over, providing evidence for separate control between
280 moving and holding still.

281 *Resting postural force biases and abnormal synergies*

282 The observation, from Experiment 1, that resting postural force biases are reduced by external
283 arm support bears parallels to the same effect of arm support upon abnormal synergies active
284 during movement (Sukal, Ellis, and Dewald 2007). Yet, Experiment 2 found no relationship
285 between resting postural force biases and active movement control. To further investigate this
286 apparent paradox, we examined the relationship between resting postural force biases and
287 abnormal synergies in further detail. To assess the level of synergy abnormalities for each patient,
288 we measured their Fugl-Meyer scores for the upper extremity (FM-UE), a scale which was
289 designed to capture abnormal muscle synergy after stroke (Fugl-Meyer et al. 1975; Brunnstrom
290 1966) and closely corresponds to EMG-based synergy measures (Bourbonnais et al. 1989).



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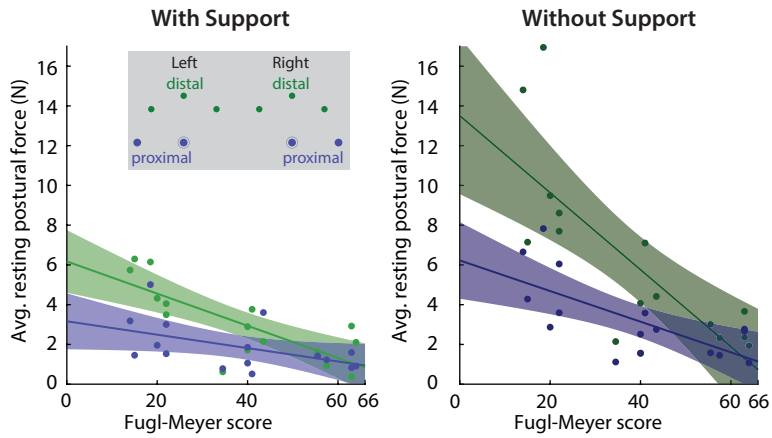
292 **Figure 7: Responses to static perturbations and their relationship to resting postural forces. A:** Time course
 293 of the perturbation. **B:** Example responses (all for the same position in the workspace) from two patients
 294 (top row) and two controls (bottom row). **C:** Corresponding imposed force directions, the abrupt removal of

295 which perturbs the movement in the opposite direction (compare with B). **D**: Examples of tangential velocity
296 profiles after the sudden release to the imposed hand force, averaged for all trials at the same position for
297 each participant. Dashed line indicates the 2cm/s threshold used to assess time to stabilize. Left; example
298 patient (paretic side); Right; example control. Colors correspond to different directions of the imposed hand
299 force. **E**: Summary of performance metrics after the perturbation for the paretic and non-paretic side of
300 patients and healthy controls. **F**: Within-subject analysis of the relationship between resting postural forces
301 in the direction of the perturbation vs. performance against the perturbation. For each individual, we
302 selected the two position/perturbation direction combinations for which resting postural forces were either
303 the most opposed (green) to the perturbation or the most aligned (blue) with it. From left to right: forces in
304 selected position/perturbation direction combinations; corresponding path traveled to stabilization;
305 corresponding time to stabilization; corresponding maximum deviation. This analysis suggests that
306 restoring hand position after the perturbation is indeed easier when resting postural forces opposed, rather
307 than were aligned with, the perturbation. Gray dots indicate individual data; colored dots and errorbars
308 indicate mean \pm SEM.

309

310 We entered patients' resting postural bias magnitudes into a mixed-effects ANOVA with FM-UE
311 (continuous), Proximity (distal: the three locations furthest from the body, proximal: the two
312 locations closest to the body, Figure 8, top left) and Support (with air sled, without air sled) as
313 factors, evaluating for main effects and interactions. All factors showed significant main effects,
314 with resting postural force magnitudes decreasing with FM-UE ($R^2 = 0.30$, $p < 10^{-8}$), target
315 proximity ($\eta^2 = 0.12$, $p = 0.00003$), and weight support ($\eta^2 = 0.12$, $p = 0.00003$). Significant
316 interactions were observed between FM-UE and both Support ($p=0.0055$) and Proximity
317 ($p=0.0037$), with Support and Proximity becoming more important for lower FM-UE scores (i.e.
318 higher overall motor impairment / higher synergy intrusion) as illustrated in Figure 8.

319 In summary, we made three key observations on abnormal resting postural force biases. First,
320 like abnormal synergies, they were exaggerated when active arm support was required. Second,
321 they were higher in more distal positions where the elbow would tend to be extended; if patients
322 were to actively reach to the same locations, they would have faced increased intrusion of flexor
323 synergy. Third, they scaled with the synergy-based FM-UE. These observations thus suggest a
324 common mechanism behind resting postural force biases and abnormal synergies.



325

326 **Figure 8.** Across-patient relationships of FM-UE (/66, higher scores indicating lower impairment) and
327 resting postural force magnitudes, for distal (green) and proximal (blue) target positions, with (left) and
328 without support (right). Note the strong effects of arm support, proximity, and FM-UE. Lines indicate linear
329 fits; shading indicates 95% confidence interval for each fit.

330 **Discussion**

331 We assessed abnormal resting posture in stroke patients by measuring the resting force biases
332 they involuntarily exerted while their arm was held at different points within a planar workspace
333 (Experiment 1). We found that these resting postural force biases were strongest in more distal
334 positions of the arm, generally pulling the arm toward a flexed position, and were significantly
335 reduced when the arm muscles were relieved of the need to support the weight of the arm. We
336 then proceeded to assess reaching and holding control in the same workspace (Experiment 2)
337 and examined whether resting postural forces could partially account for deficits in the motor
338 control of reaching and holding still in the same patients. Remarkably, these resting postural force
339 biases did not seem to have a detectable effect upon any component of active reaching but only
340 emerged during the control of holding still after the movement ended. These results suggest a
341 clear dissociation between the control of movement and posture. At the same time, assessing
342 patients' impairment using the FM-UE, a metric designed to measure for abnormal synergies
343 during 3D arm movements, revealed a strong association between resting force biases and
344 abnormal movement synergies; which raises the possibility that the observed dissociation of
345 movement and posture control for planar weight-supported movements may break down for
346 unsupported 3D arm movements. This dissociation raises interesting questions about both the
347 neural architecture supporting it and approaches to rehabilitation of the post-stroke arm.

348 *Dissociation between reaching and holding still*

349 Previous research provides evidence for a separate control of reaching and holding in the healthy
350 arm – for a review, see (Shadmehr 2017) and (Jayasinghe, Scheidt, and Sainburg 2022). For
351 example, following visuomotor rotation training specific to the move phase using an out-and-back
352 movement, participants did not transfer this rotation to holding still after a point-to-point reach in
353 the same direction: after the movement was over, and visual feedback was removed, participants'
354 held positions drifted from the rotated movement endpoint towards the baseline hold position
355 (Scheidt and Ghez 2007). There is also neurophysiological evidence for separate control of
356 moving and holding still. A previous study found populations of neurons in the macaque M1 that
357 represent mechanical loads during posture or movement but not both (Kurtzer, Herter, and Scott
358 2005) whereas another recorded both cortical neurons and spinal interneurons and found that
359 they coded parameters related to movement vs. posture maintenance, respectively (Shalit et al.
360 2012).

361 The dissociation reported here between the control of reaching and holding still in the post-stroke
362 arm is consistent with a recently proposed hybrid model, which posits distinct controllers for

363 reaching and for bringing the arm to a stop_(Jayasinghe, Scheidt, and Sainburg 2022). Our finding
364 that resting posture control does not interact either with the initial reach or bringing the arm to a
365 stop extends this idea, suggesting *three* distinct controllers: one for the initial reach; another for
366 bringing the arm to a stop; and another for control of holding still at the endpoint after movement
367 is over. It should be noted, however, that having distinct neural circuits for reaching and holding
368 does not rule out interactions between the two. For example, in parallel to the control of saccadic
369 eye movements, the holding circuit may integrate information from the moving circuit; we recently
370 found evidence for this in both healthy participants and patients with hemiparesis (Albert et al.
371 2020).

372 *Potential causes of abnormal resting flexor biases*

373 How are resting postural biases generated? One possibility would be abnormally low thresholds
374 of the stretch reflex (Levin and Feldman 1994). Passively extending the elbow, even at low speeds
375 – something Experiment 1 did – can lead to increased muscle activity which may persist long after
376 the end of movement (Levin et al. 2000; Kanade-Mehta et al. 2022). Alternatively, Experiment 1
377 revealed a number of parallels between resting postural force biases and abnormal synergies: a
378 propensity for flexion, mitigation by arm weight support and, a significant correlation between
379 patients' resting postural force biases and their FM-UE scores – a measure designed to assess
380 the post-stroke abnormal synergies that manifest during active movement. These similarities raise
381 the possibility that post-stroke resting postural biases and movement synergies share a
382 generative mechanism. Consistent with this possibility is the finding that externally-imposed elbow
383 flexion led to (involuntary) shoulder flexion and external adduction in stroke patients but not
384 healthy controls, suggesting abnormal synergy patterns do not require active voluntary movement
385 to be expressed (Sangani et al. 2007)– also (Schmit and Rymer 2001).

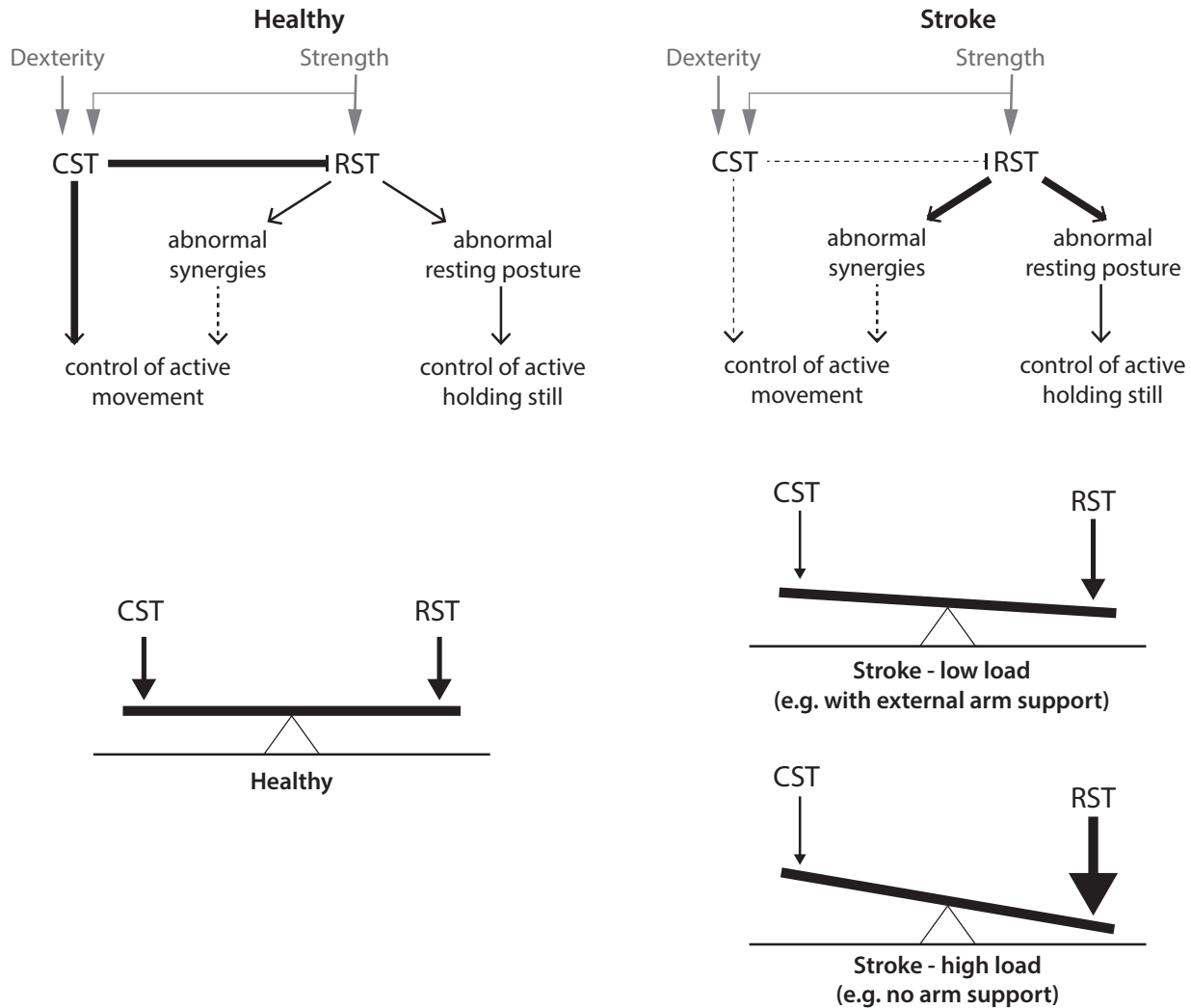
386 It has been proposed that abnormal resting posture after brain injury can be ascribed to an
387 extrapyramidal system (i.e., other than the corticospinal tract, CST) (Denny-Brown 1964).
388 Consistent with this suggestion, multiple lines of evidence from animal models point towards
389 reticulospinal tract (RST) involvement in postural control (Takakusaki 2017; Deliagina et al. 2007;
390 Lacquaniti et al. 1997). Lesions of the monkey ponto-medullary reticular formation resulted in
391 abnormal postures; notably, these were characterized by trunk and limb flexion, elevated
392 shoulders, and arms held close to the body (Lawrence and Kuypers 1968) mirroring the flexor
393 posture pattern seen after stroke. Several studies in cats also suggest a postural role for the
394 reticulospinal tract. Reticular formation neurons respond to vestibular inputs such as head tilts or
395 whole-body tilts, in line with a role in adjusting posture against gravity (Bolton et al. 1992;

396 Pompeiano et al. 1984; Matsuyama and Drew 2000). Electrical and chemical stimulation of the
397 reticular formation leads to modulations in muscle tone (Takakusaki et al. 2016), and
398 reticulospinal neurons display tonic activity patterns related to postural adjustments that precede
399 movement, rather than the movement itself (Schepens and Drew 2004).

400 There is also recent evidence that the RST is the descending system responsible for the
401 generation of large forces during voluntary movement (Tapia et al. 2022; Glover and Baker 2022).
402 Thus, the RST may control posture and force production in the upper limb. Upregulation of the
403 RST has also been implicated in the generation of abnormal movement synergies after stroke.
404 For example, the ipsilateral RST can facilitate flexors but suppress extensors, a pattern mirroring
405 the flexor synergy (Davidson and Buford 2004; Davidson, Schieber, and Buford 2007).

406 There appears to be a contradiction, however, between the idea that abnormal postures share a
407 common mechanism with abnormal movement synergies, and our finding that there was no
408 evidence for intrusion of resting biases into any aspect of active reaching. A potential explanation
409 for this apparent contradiction is that our experiments were conducted on a 2D surface with weight
410 support. In contrast, the FM-UE scale, a synergy-based measure, is performed by patients in 3D
411 without weight support. It has been shown that for 3D reaching, patients with chronic stroke
412 express intrusive flexor synergies (Zackowski et al. 2004). Weight support – as was used in
413 Experiment 2 – also reduces intrusion of flexor synergies for planar movements (Sukal, Ellis, and
414 Dewald 2007) and improves planar kinematics (Beer et al. 2004; 2007). Earlier work in a weight-
415 supported planar task found similar degrees of reaching abnormality whether movements were
416 made in or out of synergy (Levin 1996); more recently, we showed that reaching dexterity can be
417 dissociated from synergy intrusion when arm support is provided (Hadjiosif et al. 2022). Thus,
418 while resting flexor biases and movement-related flexor synergies are both reduced by full weight
419 support, synergies seem to be more so. This is not inconsistent with positing a shared substrate
420 for the abnormalities at rest and during movement, but this substrate might play more of a role in
421 holding still than in moving, and so the consequences of when it is damaged may be more
422 apparent in a task that stresses holding still over movement. We suggest a putative framework
423 below.

424 We posit that the motor system has separable functional modes for moving vs. holding still and
425 that this is accomplished by differentially weighting the contributions of descending systems that
426 are operative in both modes (Figure 9). The CST is weighted more towards fast and fractionated
427 control during movement, the RST, in contrast, is weighted more towards slower postural control



428

429 **Figure 9:** An architecture for the separable control of reaching and holding and spillover effects in stroke.

430

431 and generation of large isometric forces. In healthy individuals, these two modes are kept in
 432 balance by the CST, which has a moderating influence on the RST (Schepens and Drew 2006)
 433 (Figure 9, left). In this framework, the CST is the controller during movement and the modulator
 434 during holding still.

435 Damage to the CST after stroke reduces its moderating influence upon the RST (Figure 9, right);
 436 influence that is likely further compromised by upregulation of the RST through plasticity
 437 mechanisms (Zaaimi et al. 2012; García-Alías et al. 2015). This model can explain our results as
 438 follows: As the CST is the dominant system during movement it can still modulate the RST in this
 439 mode, especially with weight support, as this reduces RST drive, with the consequence that

440 resting biases do not markedly contaminate active movement. Conversely, the RST is the
441 dominant system for postural control, and it can overcome CST modulation, which leads to the
442 resting biases we observed. Thus, weight support allows a weakened CST to keep moving
443 protected from abnormal holding, but it cannot prevent abnormal holding itself. The interesting
444 implication of this model is that synergies are in fact spillover into movement of postural
445 abnormalities when the CST can no longer modulate increased RST activation, for example in
446 the case when weight support is removed.

447 A side question is how the control of decelerating to a stop – which we show here is distinct from
448 the control of holding still after the movement is over – fits within such a scheme. A recent saccade
449 study found that deceleration may be controlled through the cerebellum (Sedaghat-Nejad et al.
450 2022). In reaching tasks in the mouse, activity in the interpositus nucleus scaled with limb
451 deceleration (Becker and Person 2019) whereas disruption of the pontine nuclei did not impair
452 movement initiation as much as components related to bringing the movement to a stop such as
453 success, endpoint position, and endpoint variance (Guo et al. 2021).

454 Increased recruitment of the RST has also been implicated in power grip (Baker and Perez 2017;
455 Tazoe and Perez 2017). This may be relevant to our study, as our task had participants actively
456 grasp the handle of the robot for both Experiments 1 and 2. If increased RST recruitment indeed
457 explains abnormal resting postural biases as we discussed in the previous section, a stronger
458 grasp would in turn increase the strength of these biases even further. Moreover, the intermixing
459 of free-reaching and perturbation trials in Experiment 2 could have led to increased uncertainty in
460 environmental dynamics; uncertainty can lead to adjustments such as even stronger grip
461 (Hadjiiosif and Smith 2015). Yet, despite this potential additional RST recruitment, we found that
462 resting biases did not affect active reaching and only switched on after the reach was over;
463 detected when we applied a perturbing hand force. This result lends further support for separate
464 controllers for reaching vs. holding still.

465 *Conclusions*

466 Our examination of the interplay between abnormalities in moving and holding still in patients
467 making planar reaching movements suggests the existence of two functional modes of control,
468 likely constructed out of differing configurations of the CST and the RST. The components of the
469 paretic syndrome – loss of dexterity, weakness, abnormal resting posture, and intrusive synergies
470 provide insight into how a normal movement is assembled by descending systems. To the degree
471 that hemiparesis is a consequence of the CST losing, to varying degrees, both its direct control

472 over motor neurons and its modulatory influence on the RST, then physiological and behavioral
473 interventions may need to target these components separately.

474 **Materials and Methods**

475 *Participants and Ethics Statement*

476 A convenience sample of 16 stroke patients (age: 58.5 ± 17.8 [average \pm standard deviation], 9
477 female) and 9 age-range matched healthy control participants (age: 62.6 ± 15.2 , 6 female) were
478 recruited for this study. Table 1 shows details for each patient whereas Table 2 shows summary
479 demographics and assessment metrics for patients and controls. Procedures were approved by
480 the Johns Hopkins Institutional Review Board, and participants provided written informed consent.

481 *Eligibility criteria*

482 We recruited patients with hemiparesis due to stroke. To be eligible for the study, participants had
483 to be adult, exhibit some movement with the affected arm, and be able to provide informed
484 consent and understand the tasks involved. Exclusion criteria were marked cognitive impairment
485 (assessed based on the Montreal Cognitive Assessment, MoCA, cutoff of 20); severe aphasia or
486 ideomotor apraxia, neglect or hemianopia; and orthopedic or pain issues.

487 *Task Details*

488 Participants were seated on a chair and grasped the handle of a robotic arm; the handle moved
489 either passively (by itself, Experiment 1) or actively (by the participant, Experiment 2) on the
490 horizontal plane. In Experiment 2 and in two out of four conditions of Experiment 1, participants'
491 lower arm was supported using a custom-made air-sled (Figure 1C). Above the plane was a
492 screen which blocked direct vision of the arm; on this opaque screen we continuously projected
493 a cursor indicating hand position (diameter: 3 mm), as well as the currently active target (diameter:
494 10 mm). Handle position was recorded at 200Hz, whereas subject-produced forces on the handle
495 were recorded using a 6-axis force transducer. Experiments typically began with the paretic arm
496 (see specific details below); for healthy controls, an arm was randomly assigned as primary, with
497 its schedule matching that of the paretic arm in stroke patients.

498 *Experiment 1: Measuring resting postural abnormalities*

499 Following a previous paradigm (Simo et al. 2013; Laczko et al. 2017), Experiment 1 assessed
500 resting postural forces by passively moving participants to different positions in the 2D workspace
501 and holding them still in each position while it measured the forces they inadvertently exerted.
502 Participants were instructed to maintain grasp on the robotic handle but otherwise rest and not
503 resist the robot's motion as it slowly (5s movement time) moved from one position to the next and
504 held them still (5s more). The array of positions (see Figure 1) could vary from one participant to
505 the next and consisted of 18 - 22 positions (see exception below), whereas each position was

506 visited three times. During the passive moving and holding, a 3 mm white cursor indicating handle
507 position and a 10 mm yellow disk indicating the destination of the passive movement were
508 displayed. Though not essential from the participant's point of view, this allowed the experimenter
509 to monitor the status of the experiment.

510 Each participant completed 4 blocks, two with each arm and in each arm support condition (air
511 sled, no air sled); the typical order was {paretic, no support} -> {non-paretic, no support} ->
512 {paretic, air sled support} -> {non-paretic, air sled support}; however in four individuals we
513 completed the two paretic blocks first as their hand had to be secured to the handle (with self-
514 adherent wrap) for a stable grasp.

515 In one patient, due to high resistance to passive moving and holding when air sled support was
516 not provided, we were only able to measure resting postural forces in only 5 points under the
517 no-air sled condition (the 5 points used to summarize the data).

518 Each block typically took less than 10 minutes to complete, with Experiment 1 lasting about 40
519 minutes including breaks.

520 *Experiment 2: Assessing reaching control*

521 In the same workspace as Experiment 1, Experiment 2 assessed motor control in a reaching task.
522 Participants made 10-cm point-to-point reaches within an array of 5 targets (diameter: 10mm)
523 within the workspace (Figure 4A), sampling 8 different movement directions. A white cursor
524 (diameter: 3mm), indicating hand position, was visible throughout the experiment. Participants
525 were instructed to try and stop at each target within a 600-800ms window after movement onset.
526 At the end of movement, feedback was provided to indicate whether they were too fast (time
527 <600ms, target turning red), too slow (time>800ms, target turning blue), or within the right time
528 range (target "exploding" with a chirping sound).

529 The experiment was divided into blocks of 96 movements each (12 in each of the 8 movement
530 directions). It began with three blocks with the paretic arm, followed by three more with the non-
531 paretic arm, two more with the paretic arm, and ending with two blocks with the non-paretic arm.
532 The first block with each arm was a familiarization block. Arm support (air sled) was provided
533 throughout the experiment, and breaks were given between blocks.

534 Except for two participants, who performed Experiment 1 and Experiment 2 on different days due
535 to limitations in their schedule, the entirety of each session – consisting of Experiment 1,
536 Experiment 2 and standard assessments - took place on the same day and typically lasted about
537 3.5 hours with breaks given between the blocks as necessary.

538 Most trials (two-thirds) consisted of unperturbed movements to assess reaching control. In the
539 remaining third of reaches, a 12N, 70 ms bell-shaped force pulse was applied by the robot lateral
540 to the movement direction (Smith and Shadmehr 2005) after participants reached 2cm away from
541 the starting position. On half of these trials the perturbation was oriented leftwards with respect to
542 the movement (counterclockwise pulse) and the other half rightwards (clockwise pulse).

543 A fraction of trials in each block (20/96) imposed a static perturbation after movement in order to
544 assess active holding control. For these trials, the holding time at the target was extended by 5 to
545 7 seconds, during which participants were instructed to hold still on the target (to remind them,
546 the word “HOLD” were shown close to the target). During this extended hold period, a 6N force
547 was gradually imposed over 2s in one of four different directions (45°, 135°, 225°, 315°), held
548 constant for a pseudorandom time interval uniformly ranging from 3 to 5 seconds, and then
549 abruptly released. Each block presented each position/static perturbation direction combination
550 exactly once.

551 *Data Analysis*

552 Analysis was performed using MATLAB (Mathworks, Natick MA). For Experiment 1, we averaged
553 resting postural forces within the latter 4s of the 5s passive holding period for each trial. To ensure
554 forces were relatively stable and any movement minimal during the averaging window, the exact
555 window could be further adjusted based on visual inspection of force and position traces. To
556 obtain a measure of the average resting postural force at each position for each individual, we
557 further averaged forces across the three visits to the same position. For comparisons, we focused
558 on resting postural forces on the 5 positions shown in Figure 2; these forces were obtained directly
559 (when the exact positions were sampled for the individual) or through interpolation (7/16 patients
560 and 3/9 controls).

561 For Experiment 2, movement onset was defined as the moment in which participants' velocity
562 away from the starting position exceeded 3.5 cm/s, whereas movement end was defined as the
563 moment the participant was within the target and moving at a speed of less than 3.5 cm/s. Initial
564 reaching angles were calculated between movement onset and 150ms later; endpoint reaching
565 angles were calculated between the moment the participant first reached within 2cm of the target
566 and 150ms later. In pulse trials, settling time was defined as the time taken from pulse onset to
567 the first moment absolute lateral velocity dipped below 2cm/s and remained below that amount
568 for at least 100ms (or the movement ended). In static perturbation trials, settling time was defined
569 as the time taken from perturbation onset (release of holding force) to the moment when velocity

570 dipped below 2cm/s (and remained below that amount for at least 100ms) and the distance from
571 the target was less than 2cm.

572 *Data exclusion criteria*

573 In Experiment 1, some trials (1.49%) were flagged as erroneous after visual inspection of force
574 profiles, in cases where forces appeared unstable and/or substantial movement was detected
575 during the period in which the robot was to hold the handle still at each measurement position.

576 In Experiment 2, we excluded as outliers movements in which initial movement direction (150ms
577 after movement onset) was $\geq 90^\circ$ away from target direction. This excluded 0.95% of patients'
578 movements and 0.33% of controls' movements.

579 Moreover, in some hold perturbation trials (Figure 7), patients took a long time to reach the
580 stabilization criterion described in the previous section; mistakenly, our setup limited its recording
581 time to only the first 2s after force release. The exact time to stabilization thus could not be
582 measured for these particular trials, so they had to be excluded from analysis. Though only
583 $13.2 \pm 3.3\%$ (mean \pm SEM) of paretic stabilization trials were thus excluded in the patient population
584 ($1.4 \pm 0.4\%$ in their non-paretic side, $0.4 \pm 0.4\%$ [2 trials] in controls), there were three patients for
585 which excluded trials were 25% or more of all paretic trials. To ensure there are no systematic
586 effects of this issue, we repeated the analysis of Figure 7G (a) by excluding these three patients
587 altogether or (b) by assigning a value of 2.0 seconds to the affected trials. In both cases, we found
588 results similar to our main analysis (Figure S1).

589 *Statistical comparisons*

590 In Experiment 1, we used an ANOVA to investigate any effect of conditions {Position
591 (distal/proximal), Support (with/without air sled), FM-UE (continuous)} and their interactions. We
592 used paired t-tests for the within-subject comparisons in Experiment 2.

593 *Fugl-Meyer assessments*

594 Assessments were separately scored by AMH and KK with scores subsequently averaged (hence
595 some scores having decimal values). For cases of substantial score differences (3 points or more)
596 scores were again reviewed by both raters together.

597 *Acknowledgements*

598 We would like to thank Stuart Baker for helpful discussions. AMH and KK were supported by the
599 Sheikh Khalifa Stroke Institute.

ID	Age (5y range)	Sex	Time since stroke	Handedness	Paretic arm	FM-UE (/66)	ARAT (/57)
S001	76-80	M	2y	Right	Left	57.5	57
S002	51-55	M	6y	Right	Left	40	47.5
S003	66-70	F	7y	Right	Right	34.5	19
S004	26-30	F	5y	Right	Left	55.5	43.5
S005	76-80	M	13mo	Right	Right	43.5	34
S007	51-55	F	2mo	Left	Right	63	57
S008	51-55	F	14mo	Right	Left	41	25
S009	56-60	F	5y	Right	Left	22	3
S010	66-70	M	5y	Right	Left	20	12
S011	41-45	F	20mo	Right	Right	64	57
S012	46-50	M	6y	Right	Left	18.5	6.5
S013	66-70	M	9y	Right	Left	14	8
S014	41-45	F	16mo	Right	Left	40	39.5
S015	61-65	F	10y	Right	Left	22	4.5
S016	36-40	F	21mo	Amb.	Right	62.5	57
S017	46-50	M	3mo	Right	Left	15	3

600

601 **Table 1.** *Patient characteristics.* FM-UE: Fugl-Meyer Assessment for the Upper Extremity; ARAT: Action
602 Research Arm Test.

	Stroke patients	Controls
N	16	9
Age	58.5±17.8	62.6±15.2
Gender	7M/9F	3M/6F
Paretic side	11L/5R	n/a
FM-UE	38.3±18.2	66.0±0.0
ARAT	29.6±21.8	57.0±0.0
MoCA	24.9±3.1	28.1±1.6
Time since stroke	[2mo,10y]	n/a

603

604 **Table 2.** *Summary of patient and control characteristics.* FM-UE: Fugl-Meyer Assessment for the Upper
605 Extremity (/66); ARAT: Action Research Arm Test (/57). MoCA: Montreal Cognitive Assessment (/30).
606 Here, ± indicates standard deviation.

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