1	Antifungal tolerance and resistance emerge at distinct drug
2	concentrations and rely upon different aneuploid chromosomes
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#### 21 Abstract

22

23 Antifungal drug tolerance is a response distinct from resistance, in which cells grow 24 slowly above the minimum inhibitory drug concentration (MIC). Here we found that the 25 majority (69.2%) of 133 Candida albicans clinical isolates, including standard lab strain 26 SC5314, exhibited temperature-enhanced tolerance at 37°C and 39°C, and were not 27 tolerant at 30°C. Other isolates were either *always* tolerant (23.3%) or *never* tolerant (7.5%) at these three temperatures, suggesting that tolerance requires different 28 29 physiological processes in different isolates. At supra-MIC fluconazole concentrations (8-128  $\mu$ g/ml), tolerant colonies emerged rapidly at a frequency of ~10<sup>-3</sup>. In liquid 30 31 passages over a broader range of fluconazole concentrations (0.25-128 µg/ml), 32 tolerance emerged rapidly (within one passage) at supra-MIC concentrations. By 33 contrast, resistance appeared at sub-MIC concentrations after 5 or more passages. Of 34 155 adaptors that evolved higher tolerance, all carried one of several recurrent 35 aneuploid chromosomes, often including chromosome R, alone or in combination with other chromosomes. Furthermore, loss of these recurrent aneuploidies was associated 36 37 with a loss of acquired tolerance, indicating that specific aneuploidies confer fluconazole tolerance. Thus, genetic background and physiology, and the degree of drug stress 38 39 (above or below the MIC) influence the evolutionary trajectories and dynamics with 40 which antifungal drug resistance or tolerance emerges. 41

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#### 43 Introduction

44

45 More than 1.5 million people die from invasive fungal infections every year (Bongomin, et al. 2017). Increases in the global prevalence of fungal infections has become a major 46 47 public health concern (Enoch, et al. 2017). This is largely because the at-risk population is expanding with the increase in patients with compromised immunity, who 48 49 are especially vulnerable to fungal infection and the overall increase in lifespan in general. For the vast majority of fungal infections, high morbidity and mortality are 50 caused by species from the genera Candida, Aspergillus and Cryptococcus species 51 52 (Bongomin, et al. 2017). 53 54 Only three antifungal drug classes are used clinically for monotherapy: the polyenes, azoles and echinocandins (Robbins, et al. 2017). Polyenes, such as amphotericin B, 55 56 have potent and broad-spectrum antifungal activity and resistance is rarely seen.

57 However, polyenes can cause severe toxicity in the kidneys and the liver (Carolus, et al.

58 2020), because they also bind to human cholesterol. Echinocandins are fungicidal

against most *Candida* species and are first-line drugs for the treatment of candidemia

60 (Pappas, et al. 2016). However, the relatively high cost of echinocandins and the need

61 to administer them intravenously, makes them inappropriate in many settings. Azoles,

such as fluconazole (FLC), inhibit  $14\alpha$ -lanosterol demethylase, a key enzyme in

ergosterol biosynthesis. Azoles, which are fungistatic, have broad-spectrum antifungal
activity, good safety profiles, relatively high bioavailability and are more affordable in
many healthcare settings. Therefore, azoles are one of the most widely used antifungal
drugs (Roemer and Krysan 2014; Robbins, et al. 2016).

67

68 The clinical prevalence of FLC resistance in *C. albicans,* a prevalent opportunistic

human fungal pathogen, is generally less than 1% (Pfaller, et al. 2019). Despite this,

therapeutic failure of FLC against susceptible *C. albicans* isolates is often >30% in

71 systemic infections (Levinson, et al. 2021). The fungistatic nature of azoles allows cells

to survive and to evolve new traits, such as drug tolerance or resistance.

74 Since the introduction of first-generation azole drugs in the 1990s, most published 75 research on drug responses did not distinguish between resistance and tolerance. 76 Antifungal drug tolerance, which is distinct from resistance, has been best characterized in C. albicans cells responding to FLC ((Rosenberg, et al. 2018) and reviewed in 77 78 (Berman and Krysan 2020)). Antifungal drug resistance is the ability to grow well at drug concentrations above a defined MIC for the drug. Antifungal drug tolerance is defined as 79 80 a characteristic of drug-susceptible genotypes that can grow, albeit slowly, at inhibitory 81 drug concentrations (Fisher, et al. 2022). Furthermore, in a tolerant isolate, not all cells 82 in the isogenic population grow with similar dynamics. Furthermore, individual clinical 83 isolates exhibit different levels of FLC tolerance, and the degree of tolerance changes 84 as a function of growth conditions (Rosenberg, et al. 2018; Levinson, et al. 2021). 85 However, the degree to which tolerance in different isolates varies across environmental 86 conditions remains to be characterized.

87

88 Mechanistically, antifungal resistance is usually due to genetic/genomic mutations that 89 directly affect the drug-target interaction and these mutations affect the response of all 90 cells in a population. Antifungal drug tolerance depends upon diverse stress pathway 91 responses, including heat-shock responses, responses to amino acid starvation, 92 kinases such as protein kinase C, and epigenetic processes (Berman and Krysan 2020). 93 Stress pathways presumably enable the slow growth of some cells, and likely affect 94 drug-target interactions indirectly. However, the mechanisms that affect tolerance and 95 that distinguish tolerance and resistance are not well understood. Because the 96 persistence and mortality of fungal infections is associated with high levels of tolerance 97 (Rosenberg, et al. 2018; Levinson, et al. 2021), we posit that antifungal tolerance, a 98 property often overlooked in clinical assays, may explain at least some of the treatment 99 failures caused by clinical isolates that test as susceptible. We also hypothesize that 100 understanding how genetic and physiological processes that modulate tolerance should 101 identify new strategies to improve the outcomes of antifungal drug therapies. 102

In this study, we first screened a collection of 133 clinical isolates to determine the
 prevalence of FLC tolerance under in vitro lab conditions at normal and at febrile body

temperatures. We found three distinct types of temperature responses: 1) temperature-

- 106 elevated tolerance (TET) at 37 and 39°C, relative to 30°C; 2) always-tolerant (AT) and
- never-tolerant (NT) isolates at the three temperatures. We then evolved representative
- 108 TET and AT isolates for adaptation to FLC at a range of drug concentrations. We found
- that an euploidy appears rapidly and is associated with increased tolerance, especially
- 110 at drug concentrations above the MIC. By contrast, resistant isolates emerged at sub-
- 111 MIC drug concentrations, only after ~5 days of passaging in sub-MIC drug
- 112 concentrations and they proceeded to acquire higher resistance levels with time. Some
- of the resistant adaptors also acquired aneuploidies, but different ones from those in
- tolerant adaptors. This suggests that tolerance and resistance appear with distinct
- 115 evolutionary dynamics and trajectories.
- 116

#### 118 **Results**

119

# Most clinical isolates tested were fluconazole-tolerant at physiologically relevant temperatures

122

123 Previously, we found that temperature and medium composition each affected 124 antifungal tolerance to fluconazole (FLC) and ketoconazole (Rosenberg, et al. 2018; Xu, 125 et al. 2021). Therefore, we asked about how these two physiological factors affected 126 one another. Interestingly, the effect of temperature on FLC tolerance was modulated. sometimes in opposite ways, by medium composition. For example, on RPMI-1640 and 127 128 casitone plates, SC5314 was tolerant at both 30°C and 37°C, yet on chromagar, SD or SDC plates, the same strain was not tolerant at *either* 30°C or 37 °C. Interestingly, on 129 YPD medium (a nutrient-rich medium), SC5314 had *temperature-enhanced* tolerance: 130 it was non-tolerant at 30°C and tolerant at 37°C (Fig. S1). Thus, FLC tolerance is 131 regulated by the interplay between temperature and medium composition. 132

133

134 To determine the role of temperature in tolerance across a broader set of clinical 135 isolates, we surveyed 133 clinical C. albicans isolates for their susceptibility/resistance 136 and tolerance levels in YPD medium under standard lab conditions (30°C) and at 137 normal (37°C) and febrile (39°C) human body temperatures. We used disk diffusion 138 assays (DDA) analyzed by diskImageR (Gerstein, et al. 2016) to measure the susceptibility/resistance (as the radius of the zone of inhibition (RAD<sub>20</sub>,)) and the 139 140 tolerance (as the fraction of growth (FoG<sub>20</sub>,) in the zone of inhibition relative to growth 141 outside it) for each strain. For most strains (69.2%), FoG<sub>20</sub> levels were affected by 142 temperature, with higher tolerance levels at 37°C and 39°C than at 30°C, and little 143 difference between tolerance levels measured at 37°C vs 39°C (Fig. 1A). The susceptibility/resistance levels remained similar at the three temperatures. Thus, 144 145 tolerance, but not resistance, was affected by both medium composition and 146 temperature.

- 148 We classified the isolates into three groups based on the effect of temperature on
- 149 growth temperature-dependence of the tolerance (FoG<sub>20</sub> values): temperature-
- 150 <u>enhanced tolerant</u> (TET), (non-tolerant at 30 °C but highly tolerant at higher
- 151 temperatures (n=92, average FoG<sub>20</sub> values of  $0.21 \pm 0.03$ ,  $0.63 \pm 0.17$ ,  $0.68 \pm 0.15$ , at
- 152 30°C, 37°C and 39°C, respectively)); <u>all-temperature tolerant</u> (**ATT**), which had similar
- FoG<sub>20</sub> values at all three temperatures (n=31, FoG<sub>20</sub> values of  $0.52 \pm 0.12$ ,  $0.61 \pm 0.15$ ,
- 154 0.61 ± 0.15, at 30°C, 37°C and 39°C, respectively); and <u>non-tolerant</u> (**NT**), which
- exhibited only baseline levels of  $FoG_{20}$  at all three temperatures (n=10, average  $FoG_{20}$
- 156 values  $0.21 \pm 0.03$ ,  $0.23 \pm 0.03$ ,  $0.24 \pm 0.03$ , at  $30^{\circ}$ C,  $37^{\circ}$ C and  $39^{\circ}$ C, respectively). At
- 157 37°C and 39°C, 92.5% (123 out of 133) of the strains exhibited similar tolerance levels,
- while at 30°C, 76.7% (102 out of 133) were not tolerant on the YPD medium (Fig. 1B).
- 159 Thus, both body and febrile temperature promoted tolerance on YPD medium in most
- 160 isolates.
- 161

# Growth dynamics of tolerant cells are similar in a broad range of supra-MIC fluconazole concentrations

164

To better characterize the similarities and differences in the drug responses of the 165 166 different classes of isolates, we used E-Test® strips to measure susceptibility as the 167 MIC after 24 h of growth, and spot dilution assays (Xu, et al. 2021), analyzed at 48h, to 168 measure tolerance. One representative isolate was used for each of the three 169 temperature-response classes: SC5314 (the *C. albicans* lab strain) for TET, YJB-T1891, 170 for ATT, and YJB-T490 for NT strain classes. The three isolates had the same MIC 171 value (1 µg/ml) at 30°C, 37°C and 39°C on YPD (Fig. 2A)). However, plating 10-fold 172 dilutions in spot assays revealed significant differences in the ability of individual 173 colonies to grow in the presence of FLC (Fig. 2B). For the TET isolate at 30°C, and for 174 the NT isolates at all three temperatures, no growth was evident above the MIC, 175 indicating that they were not tolerant under these conditions. By contrast, the isolates that exhibited tolerance (the ATT isolate at all three temperatures, and the TET isolate 176 177 at 37°C and 39°C) grew similarly at all the drug concentrations tested (up to 128 µg/ml).

178 Thus, for TET and NT tolerant isolates, tolerant growth does not exhibit much

- 179 concentration-dependence from 2-128 µg/ml FLC.
- 180

We investigated the impact of MIC and tolerance on population growth dynamics in the presence of FLC by plating 100-200 colony-forming units of each isolate on YPD plates supplemented with FLC at concentrations from 0.25-128 µg/ml. We monitored growth dynamics at both 30°C and 37°C for 48 h at 30 min intervals using *ScanLag*, which reports on the time of appearance and growth rates of individual colonies (Levin-Reisman, et al. 2014; Rosenberg, et al. 2018).

187

At 30°C, colonies from TET isolate SC5314 failed to grow at FLC concentrations above the MIC (1  $\mu$ g/ml), while at 37°C, growth was detectable. Colonies of the ATT isolate (YJB-T1891) grew at both 30°C and 37°C in all FLC concentrations, regardless of the MIC (1  $\mu$ g/ml) (Fig. 2C) and for the NT isolate (YJB-T490), no colonies grew at either 30°C or 37°C in the supra-MIC FLC concentrations. These results are consistent with those from the E-test and spot dilution assays above.

194

195 ScanLag also measures colony growth on agar and reports the time required for a 196 colony to become detectable on the plate. This time of appearance (TOA) is considered 197 a proxy of lag phase length (Levin-Reisman, et al. 2014). In all three strain types, at 198 both 30°C and 37°C, the average colony TOA on drug plates was dose-independent at 199 drug concentrations below the MIC. Above the MIC, the TOA of isolates that exhibited 200 tolerance in the spot assays was longer than at sub-MIC drug concentrations, yet was 201 dose-independent: the whole population appeared with a later TOA at all supra-MIC 202 concentrations tested. In contrast to TOA, growth rate was dose-dependent at sub-MIC 203 drug concentrations. Above the MIC, the growth rate, like the TOA, was slower than at 204 sub-MIC concentrations, yet was drug dose independent. Thus, at supra-MIC drug 205 concentrations, tolerant cells appeared later, presumably because they have a more 206 prolonged lag phase, and grew slower than at sub-MIC concentrations. Nonetheless, 207 these growth properties were similar at concentrations from 2 µg/ml to 128 µg/ml of 208 fluconazole, consistent with the low degree of concentration-dependence of tolerance.

#### 209

#### 210 Evolution of higher tolerance from cells in the non-tolerant state

211

212 To ask how a non-tolerant isolate adapts to supra-MIC FLC concentrations, we plated approximately 1x10<sup>6</sup> cells of TET isolate SC5314 and NT isolate YJB-T490 on YPD 213 214 plates supplemented with 8 µg/ml to 128 µg/ml FLC. The plates were incubated under 215 conditions where these isolates were non-tolerant: 30°C for SC5314 and 30°C and 37°C for YJB-T490. As controls, we plated 1x10<sup>6</sup> cells of SC5314 at 37°C and the ATT strain 216 217 (YJB-T1891) at 30°C and 37°C on the same range of FLC concentrations. As expected, all controls produced a lawn of cells. By contrast, the TET strain at 30°C and the NT 218 219 strain at both temperatures gave rise to a few hundred to a few thousand colonies 220 (adaptors) per plate after 5 days on the drug plates (Fig. S2). 221 222 Disk diffusion assays were then performed on 90 randomly selected adaptors from 223 SC5314 and YJB-T490 parent strains (18 adaptors from each 30°C drug plate). All 224 these adaptors had a notable increase of increased FoG<sub>20</sub>, indicative of elevated 225 tolerance (Fig. 3) when tested at 30°C. When tested at 37°C, all SC5314-derived 226 adaptors (like the SC5314 ancestor) had high FoG<sub>20</sub> (Fig. 3 Top panel), while all YJB-T490-derived adaptors had low FoG<sub>20</sub> (Fig. 3 Middle panel). Thus, adaptors derived 227 228 from SC5314 at 30°C acquired tolerance at 30°C and maintained their tolerance at 37°C, 229 and adaptors derived from YJB-T490 at 30°C acquired tolerance that was limited to 230 30°C. By contrast, many adaptors derived from YJB-T490 at 37°C were tolerant at both 231 30°C and 37°C (Fig. 3 Bottom panel). Thus, depending on the temperature used to 232 evolve the adaptors, the NT isolate adapted to FLC in two distinct manners: at 30°C 233 they acquired a new type of conditional tolerance that we term *temperature-sensitive* 234 tolerance (TST) seen only at 30°C and not at 37°C; when evolved at 37°C, NT isolates 235 acquired tolerance that was detectable at both 30°C and 37°C, a phenotype akin to that 236 of other ATT-like adaptors.

237

#### 238 **Recurrent aneuploidy enables the acquisition of fluconazole tolerance**

To identify genomic mechanisms by which the SC5314 and YJB-T490-derived adaptors
acquired tolerance, we performed deep sequencing of 18 independent adapted isolates
for the TET and NT strains collected at 30°C on 8 µg/ml, 32 µg/ml, and 128 µg/ml of
fluconazole. Taken together, 54 adaptors derived at 30°C from TET and NT isolates
were sequenced.

245

Aneuploidy was prevalent among the adaptors. Out of the 54 TET-derived adaptors, one (FY9) that appeared on 8  $\mu$ g/ml FLC plate grew poorly and was excluded from sequencing and further analysis. From the others, 50 (94.3%) were aneuploid (Fig. S3) and three were euploid. Among the 54 NT-derived adaptors at 30°C, 52 (96.3%) were aneuploid (Fig. S4) and two were euploid. Four of the five euploid adapters (from TETand NT-derived) appeared at 8  $\mu$ g/ml FLC, the lowest selective drug concentration tested.

253

254 Analysis of the karyotypes revealed several recurrent aneuploidies, several of which 255 were seen in both the TET- and NT-derived isolates. The most prevalent adaptors (35 256 TET adaptors; 27 NT adaptors) had aneuploidy involving ChrR, either as trisomy of the 257 whole chromosome (ChrRx3) (9 from TET, 10 from NT), monosomy of the left arm distal to the rDNA repeats (SegChrRx1) (6 from TET), or trisomy of ChrR from rDNA repeats 258 259 to the right telomere (SegChrRx3) (12 from NT), or a combination of SegChrRx3 and 260 SegChrRx1 (10 from TET). These ChrR aneuploidies appeared alone or in combination 261 with trisomy of other chromosome(s) (Chr4 or Chr7 in TET adaptors; any combination of 262 Chr4 and Chr6 trisomy alone or together with trisomy of Chr7 in NT adaptors) (Fig. 4). 263 Five TET adaptors and 19 NT adaptors had aneuploidy of chromosome(s) other than 264 ChrR. The 5 TET adaptors had a single additional aneuploidy: SegChr1x1, Chr4x3, 265 Chr4x4, SegChr5x1, or Chr6x3, (Fig. S3). Among the 19 NT adaptors, only one had a 266 single aneuploid chromosome (Chr5x1) and this monosomic strain grew very slowly. 267 The remaining 18 adaptors had at least two aneuploid chromosomes, mostly Chr4x3 268 together with Chr6x3 (Fig. S3 and summarized in Fig. 4). 269

Taken together, NGS revealed 21 different karyotypes among the 50 TET-derived ATTlike aneuploid adaptors, and 17 different karyotypes among the 53 NT-derived TST
aneuploid adaptors. Despite differences in genetic background and FLC concentration
used for selection, the same aneuploidies (predominantly ChrRx3 or SegChrRx3) were
recurrently associated with the emergence of FLC tolerance. This occurred in both the
ATT types derived from TET ancestral isolates and the TST types derived from NT
ancestral isolates.

277

The NT-derived adaptors obtained at 37°C also had diverse karyotypes. Each of the nine sequenced NT $\rightarrow$ ATT adaptors had a unique karyotype that was *not* seen in the NT $\rightarrow$ TST adaptors obtained at 30°C (Fig. 4): 7 had amplification of Chr6 alone (n=1) or in combination with aneuploidy (mostly trisomy) of one or more other chromosomes (n=6). Only two NT $\rightarrow$ ATT adaptors did not have Chr6 aneuploidy: one adaptor had SegChr1x1 alone, and one had SegChr2x1 alone.

284

Taken together, the karyotypes of the adaptors were FLC dose-independent but 285 286 temperature-dependent. At 30°C, the TET- and NT-derived adaptor karyotypes involved recurrent ChrR amplification in TET→ATT and NT→TST adaptors selected across the 287 288 range of FLC concentrations used. By contrast, from selection at  $37^{\circ}C$ , NT $\rightarrow$ ATT 289 adaptors had distinctly different aneuploid adaptors: predominantly Chr6 trisomy and 290 tetrasomy, alone or in combination with another aneuploid chromosome. Thus, selection 291 at 30°C yielded similar karyotypes with different tolerance temperature responses in 292 different strain backgrounds. Moreover, different selection temperature (30°C vs 37°C) 293 yielded different karyotypes in the same strain background (NT $\rightarrow$ TST vs NT $\rightarrow$ ATT) (Fig. 294 3 and Fig. 4).

295

# Loss of aneuploidy is associated with loss of acquired fluconazole tolerance.

- 298 Whole chromosome aneuploidies can be gained and lost through mitotic
- 299 missegregation. Previously we found that reversible chromosome gain and loss affected
- 300 general fitness and drug responses in *C. albicans* (Yang, et al. 2019; Yang, Todd, et al.

301 2021). Here, we asked if the FLC tolerance associated with recurrent aneuploidy was

302 also unstable. Adaptors with different aneuploidies were spread onto YPD (without drug)

and grown at 30°C for 36h. All yielded a mixture of colony sizes, with primarily small (S)

and some large (L) colonies (Fig. 5A), although the frequency of appearance of L

305 colonies was different for different aneuploids. We randomly selected one S and one L

306 colony from each strain and tested them by DDA at  $30^{\circ}$ C.

307

308 To ask if point mutations had any role in the acquisition of tolerance, we performed 309 variant calling to identify any SNPs of moderate to high effect (missense, nonsense, 310 frameshift) that passed our qualify filters (see Materials and Methods) in the small 311 (aneuploid) and large colonies of the tolerant adaptors (Table S1). Small and Large 312 colonies from the same parent shared 14 SNPs. We assume that these SNPs were 313 acquired during selection on fluconazole and were not drivers of tolerance. Taken 314 together, in six tolerant adaptors representative of the six different karyotypes, we found 315 variants that caused missense mutations in 23 genes. None of them were significantly 316 (FDR > 0.1) enriched for gene ontology (GO) terms. Twelve genes were not annotated 317 to any biological process, and 14 genes had unknown molecular functions. None of the 318 SNPs found here were in genes encoding known or predicted drug efflux pumps or in 319 known ergosterol biosynthesis enzymes or regulators.

320

321 Importantly, all the S colonies retained the higher tolerance seen in their parent strain 322 and remained aneuploid like the adaptors from which they were derived. By contrast, all 323 the L colonies were no longer tolerant (Fig. 5B) and had lost the aneuploid 324 chromosome(s) to become euploid (Fig. 5C). This strong correlation, between the 325 presence of an uploidy and tolerance in all the S and loss of an uploidy and tolerance 326 in all the L progeny, supports the idea that the aneuploidies acquired during passaging 327 on fluconazole were the major drivers of tolerance in the original adaptors. Because 328 only some aneuploid chromosomes associated with tolerance, we presume that these 329 aneuploidies appeared, in some cases recurrently, because they provide a selective 330 advantage in the presence of drug (Janbon, et al. 1998; Selmecki, et al. 2008; Yang, et

al. 2013; Yang, et al. 2019; Yang, Gritsenko, Lu, et al. 2021; Yang, Gritsenko, Slor

- 332 Futterman, et al. 2021).
- 333

### 334 Evolutionary trajectories differ at sub-MIC versus supra-MIC fluconazole

#### 335 concentrations

336

Because the TET and NT isolates acquired tolerance, but not resistance, when exposed to supra-MIC concentrations of FLC on solid medium, we next asked if this was a function of the FLC concentration. Therefore, we evolved one TET isolate (SC5314) and one NT isolate (YJB-T490) by passaging them daily (1:1000 dilutions) in YPD broth supplemented with FLC ranging from 4X below the MIC (0.25 µg/ml) to 128X above the MIC for a total of 15 days. As a control, we also passaged the isolates in YPD without drug.

344

345 Every five days an aliquot of each culture was washed, diluted, and ~ 200 cells were 346 spread onto a YPD plate. In general, ~ 100 to 200 CFU appeared on the plates within 347 24h. We then randomly selected 18 or 96 colonies, from the TET and NT cultures, 348 respectively. We always aimed to randomly select similar numbers of large, medium, 349 and small colonies from each culture/drug concentration and tested their responses to 350 FLC on disk diffusion assays. In total, we measured resistance (Rad<sub>20</sub>) and tolerance 351 (FoG<sub>20</sub>) for 18 and 96 TET and NT derivatives per day of passage (1, 5, 10, and 15 352 days) and per drug concentration (0, 0.25, 0.5, 1, 2, 4, 8, 16, 32, 64 and 128 µg/ml 353 fluconazole) for a total 792 (SC5314 derivatives) and 4224 (YJB-T490 derivatives) 354 measurements, respectively (Fig 6A and 6B).

355

For SC5314 (MIC ~ 1 $\mu$ g/ml FLC), among the 504 adaptors evolved at supra-MIC concentrations (2-128  $\mu$ g/ml FLC), most exhibited tolerance (increased FoG<sub>20</sub>), while *none* of the adaptors acquired increased fluconazole resistance (Fig. 6A). In fact, some adaptors were *more susceptible* to fluconazole, as evidenced by a *larger* RAD<sub>20</sub> (and thus a lower MIC<sub>20</sub>) than the parent strain. Similarly, for YJB-T490 (MIC ~ 0.75-1  $\mu$ g/ml), most of the 2688 adaptors evolved at supra-MIC concentrations (2-128  $\mu$ g/ml), exhibited tolerance, and *none* exhibited resistance (Fig. 6B). Interestingly, both the frequency with
which tolerance arose and the range of tolerance levels achieved were similar at all the
supra-MIC concentrations used for passaging (Fig. 6A). This suggests that once the
drug inactivated its target, additional drug had little if any effect on how often or how
much tolerance emerged.

367

368 At the MIC, SC5314 adaptors gained either resistance or tolerance (but not both) after 5 369 and 10 passages, while only resistant isolates appeared after 15 days of passaging at 370 the MIC. Thus, it appears that at the MIC, SC5314 adaptors that were tolerant after 5 371 and 10 passages became resistant after 15 passages. Furthermore, there was stepwise 372 increase in the level of resistance in adaptors evolved for 5, 10 and 15 days in 1 µg/ml 373 FLC (Fig. 6A and Fig. S5). For YJB-T490 adaptors, only tolerant isolates were detected 374 at the MIC. In some assays, the MIC of YJB-T490 is slightly lower than that of SC5314, 375 and thus 1  $\mu$ g/ml may be slightly higher than the actual MIC for this strain. 376

377 At sub-MIC concentrations (0.25 and 0.5 µg/ml), SC5314 adaptors became either 378 resistant (decreased RAD<sub>20</sub>) or tolerant (increased FoG<sub>20</sub>) (but not both). Among the 379 adaptors evolved in 0.25 µg/ml and 0.5µg/ml FLC, resistance emerged in 35 and 30 380 adaptors, respectively, while tolerance was detected in 1 and 6 adaptors, respectively. 381 The remaining adaptors appear to have had more transient tolerance that had been lost 382 during growth in the absence of FLC. Adaptors evolved for 10 and 15 days in 0.5 µg/ml 383 FLC also exhibited stepwise increases in resistance. YJB-T490 adaptors also acquired 384 either resistance or tolerance (but not both). Among adaptors evolved in 0.25 µg/ml FLC, 385 tolerance appeared after ten passages; yet after 15 passages in 0.25 µg/ml FLC for 15 386 days, ten were tolerant, and 23 were resistant. At 0.5 µg/ml, the proportion of resistant 387 YJB-T490 adaptors relative to tolerant adaptors increased over time (0 vs 58; 29 vs 18, 388 and 72 vs 24, for adaptors from passages 5, 10 and 15, respectively (Fig. 6). While this 389 is consistent with the idea that isolates may have acquired tolerance in early passages 390 and then become resistant in the later passages, we cannot be sure that the resistant 391 adaptors are progeny of the prior tolerant ones.

#### 392

393 The dynamics of the emergence of tolerance and resistance also differed considerably. 394 In both parental strain backgrounds tolerance emerged more rapidly, within a single 395 passage, while the first resistant adaptors appeared after 5 passages and only in 396 SC5314 at 1µg/ml FLC, while adaptors passaged in 0.25 µg/ml and 0.5 µg/ml only 397 produced resistant and tolerant progeny after 10 days, and resistant adaptors after 15 398 days. In YJB-T490, tolerant adaptors evolved at or below the MIC 1 µg/ml, 0.5 µg/ml 399 and 0.25 µg/ml initially appeared in passages 1, 5, and 10, respectively, while resistant 400 adaptors that emerged from 0.5 µg/ml and 0.25 µg/ml FLC initially appeared on days 10 401 and 15, respectively. Thus, the acquisition of resistance and tolerance occurred at 402 different relative stress levels (sub-MIC vs supra-MIC) and with different temporal dynamics (Fig. 6), and tolerant isolates generally appeared more rapidly than resistant 403 isolates. 404 405 406 Distinct and diverse genetic alterations in fluconazole-resistant versus 407 fluconazole-tolerant adaptors 408 409 Individual colonies that arose following the evolution of SC5314 in broth passages had a

range of resistance or tolerance levels, suggesting that they may have acquired distinct
genetic or genomic changes. To test this hypothesis, we sequenced 73 randomly
selected adaptors (34 resistant and 39 tolerant) derived from SC5314. Large-scale
genome changes were visualized with Ymap (Fig. 7 and Fig. S6), and variant calling
was performed to identify mutations in predicted open reading frames, focusing on
missense mutations and frameshift mutations.

416

417 Notably, all 39 tolerant adaptors that were sequenced were aneuploid (Fig. 7, lower

section): 13 had whole or segmental aneuploidy only for all or segments of ChrR:

419 (ChrRx3 (n=9), SegChrRx3 from 1.89Mb to right telomere (n=3), or SegChrRx1 from

420 1.89Mb to right telomere (n=1)). An additional 25 adaptors were aneuploid for ChrR in

421 combination with an uploidy of another one or two chromosomes (n=22 and n=3,

respectively). Only one tolerant adaptor (Chr6x3, ABB, evolved over 10 passages in 1

423 μg/ml FLC) did not include copy number changes on ChrR. Thus, ChrR aneuploidy was
424 the most prevalent aneuploidy associated with tolerance.

425

426 Among the 34 resistant adaptors sequenced, the majority (22/34) were euploid and 12 427 were aneuploid. Among the aneuploid adaptors, two (SY60 and SY61) had Chr3x3, five 428 (SY20, SY21, SY22, SY51, SY55) had Chr5x3, four (SY50, SY56, SY58, SY59) had 429 Chr7x3 (AAB), and one adaptor (SY57) had Chr6x3 (AAB) together with Chr7x3 (AAB). 430 Notably, none of the resistant adaptors affected ChrR copy number and the karyotypes 431 of the resistant adaptors were distinct from the tolerant adaptors (Fig. 7 and Fig.S5). Therefore, tolerant and resistant adaptors acquired distinct genomic changes: all 432 433 tolerant adaptors were aneuploid, with ChrR aneuploidy as the predominant change 434 while resistant adaptors were mostly euploid or were trisomic for either Chr3, Chr5, or Chr7. 435 436 Importantly, similar types of karyotypes were detected in SC5314 and YJB-T490 437 438 derived tolerant adaptors selected on supra-MIC FLC concentrations on agar plates (Fig. 439 S3 and Fig. S4). Furthermore, the karyotypes of tolerant adaptors appeared in a doseindependent and time-independent manner (Table 1 and Fig. 7). For example, ChrRx3 440 441 aneuploidies appeared after one day in 2 µg/ml or 128 µg/ml FLC, after 5 days in 1 442 µg/m FLC, after 10 days in 0.25 µg/ml FLC, and after 15 days in 2 µg/ml FLC. Similarly,

ChrRx3+Chr4x3 appeared after 1 day in 2 μg/ml FLC, 10 days in 1 μg/ml, 2 μg/ml and
128 μg/ml FLC, and after 15days in 2 μg/ml and 128 μg/ml FLC. And segChrRx3 (from

the left telomere to 1.89 MB) appeared after 10 days in 0.5  $\mu$ g/ml FLC, and 15 days in 2  $\mu$ g/ml and 128  $\mu$ g/ml FLC (Table 1). Thus, the same aneuploidies can arise at different

447 concentrations and at different times after initial drug exposure.

448

449 To ask about point mutations that may influence the degree of tolerance or resistance,

450 we identified SNPs in the 39 tolerant and 34 resistant adaptors (Table S2). In total we

451 found from 2 to 5 SNPs per tolerant isolate and 0 to 2 SNPs per resistant isolate. One of

the two ORFs with detectable SNPs in both a tolerant and a resistant adaptor was

453 C2\_08380C, a possible ortholog of *S. cerevisiae DPB11,* that, when mutated, causes

gross chromosomal rearrangements, chromosome loss and an increase in spontaneous
DNA damage (Araki, et al. 1995). While the degree to which these specific SNPs affect
the encoded function is not known, this gene might contribute to increased frequencies
of mutations in the adaptors.

458

459 SNPs found only in *tolerant* adaptors were detected in 27 ORFs. However, of these 27 460 only one could be associated with drug tolerance: C1 04010C. We detected 2 SNPs in 461 this ORF in 4 different adaptors. This gene encodes a protein with a predicted NADPdependent oxidoreductase domain, and its transcript is induced by ketoconazole, yet is 462 463 repressed by Upc2p, a transcription factor that up-regulates ergosterol biosynthesis 464 (Synnott, et al. 2010). There was no statistically significant (FDR > 0.1) gene ontology 465 term enrichment of these 27 genes. Thus, the few SNPs that appeared may be neutral 466 mutations and do not appear to have any obvious connections to drug resistance or 467 tolerance.

468

SNPs found only in *resistant* adaptors were detected in 7 genes with no detectable gene ontology term enrichment (FDR > 0.1), but none were in genes that encode efflux pumps, or that are known to affect ergosterol biosynthesis, the two major mechanisms of azole resistance. We suggest that some of these SNPs are probably neutral or nearly-neutral mutations that arose during the course of passaging and/or false positive variants that our quality filters were not stringent enough to filter out.

476

#### 478 Discussion

479

480 Contrary to the concept from bacteria that antimicrobial drugs primarily select for the rapid evolution of drug resistance, here we found that C. albicans, a common cause of 481 482 systemic bloodstream infections, primarily acquired tolerance to the widely used drug 483 FLC. Importantly, this antifungal tolerance appeared rapidly, in some cases within a 484 single day of exposure to drug concentrations above the strain MIC, while resistant 485 isolates appeared after 5 days of exposure to low levels of FLC. Furthermore, the 486 resistant isolates exhibited a stepwise increase in MIC levels (Fig. 6A), and we found no 487 evidence that mutations in classic genes associated with FLC resistance played any 488 role in the resistance acquired under the conditions used. 489

Here, we found that most clinical *C. albicans* isolates exhibit FLC tolerance at

491 physiologically relevant temperatures, and not at 30°C, the temperature often used in

lab studies (Fig. 1). This antifungal tolerance is largely dose-independent at supra-MIC

drug concentrations (Fig. 2). Experimental evolution in supra-MIC drug concentrations

rapidly selected for the acquisition of tolerance that was associated with a recurrent set

of aneuploidies (Figs. 3,4,6,7). Resistant isolates only appeared at or below the MIC,

after 5 or more days of passaging (Fig. 6). While most resistant isolates were not

497 aneuploid, those that carried recurrent aneuploidies had extra copies of different

498 chromosomes from those seen in tolerant isolates (Fig. 7). Furthermore, resistance (but

499 not tolerance) appeared to be acquired in a stepwise manner (Fig. 6). Thus,

500 experimental evolution at sub-MIC FLC concentrations selected for isolates that were

501 either only tolerant or only resistant, and a different set of recurrent aneuploidies

502 conferred tolerance vs resistance.

503

504 Of the original 133 clinical *C. albicans* isolates tested at 37°C and 39°C, up to 92.5% of 505 the isolates were tolerant, while at 30°C only 23.3% of isolates were tolerant. Thus, it 506 appears that FLC tolerance is prevalent in clinical isolates, at least those collected from 507 Israeli patients. These clinical strains are largely euploid; thus, we suggest that 508 tolerance is primarily due to genomic factors, including inherent genetic backgrounds, that modulate stress responses in different physiological conditions, such as

510 temperature and medium composition. In addition, the acquisition of aneuploidy can

511 increase or modulate tolerance levels. While this study focused on 133 Israeli clinical

512 isolates, it will be interesting to determine if other clinical isolates exhibit temperature-

513 enhanced tolerance.

514

515 Tolerant isolates were able to grow at supra-MIC fluconazole concentrations up to 128 516 µg/ml. Furthermore, the time of colony appearance, as well as growth rate of colonies 517 on drug plates were dose-independent at supra-MIC FLC concentrations. Yet, below the 518 MIC, colony growth rates were dose-dependent with colonies growing more slowly with 519 increasing drug concentrations. This suggests that C. albicans mounts distinct cellular responses at sub-MIC versus supra-MIC drug concentrations. The concentration-520 521 independent nature of tolerant cell growth rates and the initial time of colony appearance at supra-MIC, and the concentration-dependent nature of resistance (Fig. 522 523 2B and C) at sub-MIC concentrations, highlights probably mechanistic differences 524 between these processes. We posit that tolerance involves cellular stress mechanisms 525 that are less sensitive to the intracellular drug concentration and enable growth when 526 the drug target, in this case lanosterol demethylase, is completely inactivated by drug. 527 The fact that different aneuploidies or mutations accompany tolerance vs resistance 528 further supports this idea. Furthermore, isolates with different genetic backgrounds at 529 the temperatures used and on agar or in broth media, adapted to supra-MIC FLC 530 primarily by acquiring the same aneuploidies involving ChrR.

531

532 All tolerant adaptors had at least one aneuploid chromosome. In addition to the 533 recurrent karyotypes involving ChrR aneuploidies, some tolerant adaptors acquired 534 other aneuploidies. This suggests that individual cells use a similar strategy, 535 chromosome instability, to reach non-identical outcomes (e.g., different levels of 536 acquired tolerance) from different combinations of aneuploid chromosomes that provide 537 a growth advantage in supra-MIC drug concentrations. Even though we detected a few 538 SNPs in the aneuploid isolates and we do not know the degree to which they may (or 539 may not) contribute to tolerance, the fact that loss of the aneuploid chromosome(s) was

accompanied by loss of FLC tolerance suggests that aneuploidy was the primarymechanism conferring tolerance.

542

543 Strain background and environmental conditions also contribute to the selective 544 conditions that favor the acquisition of different aneuploidies. Interestingly, in both the 545 TET and NT backgrounds, amplification of portions of ChrR enabled tolerance at 30°C 546 but not at 37°C. Yet, for the NT strain, Chr6 trisomy increased tolerance at both 30°C 547 and 37°C in the presence of the same range of FLC concentrations. Thus, the rapid 548 emergence of tolerance can occur via multiple routes, and the trajectories of the routes are affected by the original strain background and the selection conditions. Future work 549 550 will require analyzing the trajectories of adaptation of additional TET and NT isolates 551 and identifying the chromosomal region(s) that specifically affect tolerance at different 552 temperatures.

553

Some of the tolerant adaptors also became less FLC resistant (more susceptible, larger
RAD<sub>20</sub>,). This was also seen for isolates passaged in posaconazole, another fungistatic
azole (Kukurudz, et al. 2022). This supports the idea that the acquisition of antifungal
resistance and antifungal tolerance follow different trajectories and that supra-MIC
concentrations preferentially and can rapidly select for azole tolerance along with
decreased azole resistance.

560

561 All tolerant strains acquired aneuploidy together and had a similar range of tolerance 562 levels at all supra-MIC concentrations. By contrast, the resistant mutations that 563 appeared at concentrations at or below the MIC, continued to become more resistant 564 over time (Fig. 6 and Fig. S5). Interestingly, this stepwise acquisition of improved fitness 565 was seen both with an euploid and euploid resistant adaptors. This highlights the very 566 different evolutionary trajectories that occur above and below the MIC. At all the supra-567 MIC concentrations tested, when the drug target is completely inhibited/saturated by 568 drug, cells must respond with strategies independent of the drug-target interaction. By 569 contrast, at sub-MIC concentrations, the drug target is not saturated, all cells are able to 570 grow to some degree, providing the opportunity for the acquisition of a series of

mutations that incrementally increased resistance. At sub-MIC, tolerance also emergedoccasionally.

573

Why does an euploidy appear so rapidly and with such a high frequency ( $\sim 10^{-3}$ ) following 574 575 exposure to supra-MIC drug concentrations? We suggest that three forces drive the 576 rapid appearance of aneuploidy at high frequency: first, random aneuploid 577 chromosomes maintained in the parent population may provide standing variation; 578 second, fluconazole induces chromosome mis-segregation via cell cycle defects that 579 vield tetraploid intermediates and, subsequently, random aneuploids (Harrison, et al. 580 2014; Altamirano, et al. 2017) at high frequency; and third, strong selection for specific 581 aneuploidies that provide an adaptive advantage in the presence of the drug allows the 582 aneuploid isolates to compete and outgrow arrested euploid cells (Yang, Todd, et al. 583 2021). Chromosome mis-segregation events that lead to whole chromosome aneuploidv are relatively frequent (every  $5 \times 10^5$  cell divisions in yeast (Hartwell, et al. 584 585 1982) and on the order of once every  $10^4$  to  $10^5$  divisions in mammalian cells (Rosenstraus and Chasin 1978)). Since C. albicans chromosomes contain between 586 587 407-1383 genes per chromosome (http://www.candidagenome.org/cache/C albicans SC5314 genomeSnapshot.html, as 588 589 of Nov 24, 2022), it follows that a single aneuploid chromosome should affect the 590 stoichiometry of many proteins. Even if these aneuploidies have a fitness cost under 591 standard growth conditions, if they provide a fitness advantage in drug, they should be 592 maintained at least until classic point mutations, with better fitness are selected and 593 ultimately out-compete the aneuploids (Yona, et al. 2012). Segmental aneuploidies, 594 which are dependent upon recombination events, also appeared. It is tempting to 595 speculate that either drug stress increases the likelihood of mitotic recombination as was seen for LOH events (Forche, et al. 2011), or that recombination events are more 596 597 frequent in strains carrying aneuploid chromosomes. Consistent with this idea, haploid 598 S. cerevisiae lab strains carrying single extra chromosomes conferred increased mitotic 599 recombination as well as chromosome instability (Sheltzer, et al. 2011). 600

601 In this study, tolerant adaptors emerged more rapidly (within one passage) than 602 resistant adaptors. Ultimately, all supra-MIC isolates became tolerant, while only some 603 of the sub-MIC adapters became resistant. Indeed, even resistant adaptors that had 604 acquired resistance via an uploidy appeared much later in the passaging and appear to 605 have arisen from tolerant intermediates (e.g., some adaptors evolved in 0.5 µg/ml and 1 606 µg/ml FLC were tolerant on day 10, but all were resistant on day 15, and some were 607 aneuploid (Fig. 6)). Thus, while the dynamics of acquiring tolerance is different at sub-608 and supra-MIC drug concentrations, a similar set of an euploidies confers resistance, 609 and a different set of aneuploidies can confer tolerance.

610

611 In C. albicans, two major mechanisms contribute to FLC resistance: alteration of the 612 drug target and increased drug efflux. A combination of these mechanisms causes 613 stepwise development of FLC resistance ((Sasse, et al. 2012); reviewed in 614 (Morschhauser 2002)) or can arise in a single segmental aneuploidy (Selmecki, et al. 615 2008). Surprisingly, we did not find any of the classic resistance mutations in resistant 616 adaptors. This could be due to the high fitness cost of mutations in these genes (Sasse, 617 et al. 2012; Hill, et al. 2015; Popp, et al. 2017). In the presence of sub-MIC FLC, cells 618 are under weak stress and mutations with a high fitness cost might be outcompeted. In 619 general, gain-of-function mutations in MRR1, TAC, or UPC2 in FLC-resistant clinical 620 isolates incur a fitness cost and are outcompeted by the matched susceptible isolates 621 both *in vitro* and in vivo (Popp, et al. 2017). Artificially introducing resistance-enhancing 622 mutations causes a stepwise increase in resistance that is associated with a gradual 623 reduction in fitness both in vivo and in vitro (Sasse, et al. 2012). Similarly, experimental 624 evolution with increasing amounts of FLC yielded resistant mutants with mutations in 625 TAC1, UPC2, and/or ERG11 that were less fit than their parents in macrophages, as 626 well as in the presence of several stresses, including cell wall stress, cell membrane 627 stress, salt stress, oxidative stress and temperature stress (Hill, et al. 2015). The longer 628 time required for resistant adaptors to arise, even if aneuploid, provides indirect support 629 for the idea that resistance is due to the accumulation of multiple mutational events. 630

631 Cells appear to adopt different adaptive trajectories at supra-MIC versus sub-MIC drug 632 concentrations. At supra-MIC FLC, extreme stress may favor the immediate 633 appearance of tolerance, perhaps because aneuploidy can appear within a single cell 634 division or because an uploidy may slow growth and thus might slow drug metabolism. 635 At sub-MIC FLC concentrations, cells experience only mild stress that does not affect 636 cell survival, which may enable the evolution of resistance in a stepwise manner. The 637 degree of resistance, measured by the MIC or RAD, can increase over time of exposure 638 to the drug (Fig. 6 and Fig. S5). Accordingly, more extended passaging at sub-MIC FLC 639 concentrations yields adaptors with higher MIC levels. We speculate that this may 640 involve a sequential acquisition of several different types of resistance mutations, 641 including point mutations that confer stable drug resistance.

642

643 In bacteria, both sub- and supra-MIC antibiotic concentrations select for resistant 644 mutants, but different selection strengths confer different evolutionary trajectories and 645 drive the acquisition of different mutations. When selected in cidal antibiotics at supra-646 MIC drug concentrations, resistance mutations in one or a small number of "classical" 647 resistance genes are selected. But when exposed to low antibiotic concentrations, 648 bacteria accumulate mutations of several "non-classic" genes that individually confer 649 weak resistance but together can confer higher resistance levels (Wistrand-Yuen, et al. 650 2018). In addition, selection at sub-MIC antibiotic concentrations can select for plasmids 651 carrying multiple resistance genes that lead to multidrug resistance (MDR) (Gullberg, et 652 al. 2014).

653

654 In summary, FLC tolerance is prevalent in *C. albicans* clinical isolates, especially at 655 37°C and it exhibits different temperature responses in different strain backgrounds. 656 The acquisition of resistance and tolerance occurs via distinct evolutionary trajectories 657 that are largely a function FLC stress levels: supra-MIC FLC stress drives tolerance, 658 which appears rapidly and enables growth at wide range of supra-MIC drug 659 concentrations; sub-MIC FLC stress selects for either tolerance or stepwise elevated 660 resistance. Different strains have different intrinsic levels of tolerance, but they generally 661 appear to rapidly acquire additional tolerance by becoming trisomic for specific

- 662 chromosomes. The most commonly acquired aneuploidy in tolerant adaptors is part or
- all of ChrR, while trisomy of Chr3 or Chr5, are associated with the relatively rapid
- 664 appearance of increased antifungal drug resistance.
- 665

#### 666 Materials and Methods

667

#### 668 Strains and growth conditions

669 Strains use in this study are listed in Table S3. Stock cultures of all strains were 670 preserved in 35% glycerol and maintained at -80°C. The 133 clinical C. albicans were collected from hospitals in Israel and kindly provided by Ronen Ben-Ami. Unless 671 672 otherwise specified, cells were routinely grown on Yeast extract-Peptone-Dextrose 673 (YPD)-agar media (1% [w/v] yeast extract, 2% [w/v] peptone and 2% [w/v] D-glucose, 674 2%[w/v] agar) at 30°C. Other media used in this study include casitone agar plates 675 (0.9%[wt/vol] casitone, 0.5% [wt/vol] yeast extract, 1.15% sodium citrate dihydrate 676 [wt/vol], 2% [wt/vol] glucose, 2% [wt/vol] d-glucose, and 2% [wt/vol] agar), SD agar plates (0.67% [wt/vol] yeast nitrogen base without amino acids, 2% [wt/vol] d-glucose, 677 678 and 2% [wt/vol] agar), and SDC agar plates (0.67% [wt/vol] yeast nitrogen base without 679 amino acids, 2% [wt/vol] d-glucose, 0.2% [wt/vol] complete amino acid mixture, and 2% 680 [wt/vol] agar). Drugs were dissolved in dimethyl sulfoxide (DMSO) and stored at -20°C. 681

#### 682 E-test strip assays

683

E-tests were performed as described previously (Yang, Gritsenko, Lu, et al. 2021).

685 Strains were streaked from -80°C freezer onto YPD agar. After incubation at designated

temperature (30, 37 or 39°C) for 24h, colonies were chosen randomly and suspended in

- distilled water. Cell density was determined using a hemocytometer. Cells were
- adjusted to  $1 \times 10^6$  cells/ml, and  $100 \mu$ l of the culture was spread on YPD agar. The plate
- 689 with an FLC E-test strip (BioMerieux, Marcy l'Etoile, France) at the center was incubated

at designated temperature for 24h and then photographed.

691

#### 692 Disk diffusion assays

#### 693

694	Disk diffusion assays were performed as previously described (Rosenberg, et al. 2018;
695	Xu, et al. 2021). The CLSI M44-A2 guidelines for antifungal disk diffusion susceptibility
696	testing were followed with slight modifications. Briefly, strains were streaked from
697	glycerol stocks onto YPD agar and incubated for 36h at designated temperatures.
698	Colonies were suspended in distilled water and adjusted to $1 \times 10^{6}$ cells/ml. 100 µl of cell
699	suspension were spread onto 15□ml YPD plates. An empty paper disk (6□mm diameter,
700	and 0.7 mm thickness) supplemented with 5 $\mu$ l of 5 mg/ml FLC was placed in the center
701	of each plate. Plates were then incubated at designated temperature and photographed
702	at 24h and 48h. Analysis of the disk diffusion assay was done using the <i>diskImageR</i>
703	pipeline (Gerstein, et al. 2016). The fraction of growth inside the zone of inhibition and
704	radius of inhibition, referred to as FoG and RAD throughout the manuscript, represent
705	parameters measured at 20% drug inhibition (FoG <sub>20</sub> and RAD <sub>20</sub> , respectively).
706	
707	Spot assay
708	
709	Cells were suspended in distilled water and counted using a hemocytometer. Cell

density was adjusted to  $1 \times 10^7$  cells/ml. 3 µl of 10-fold serial dilutions were spotted on

- 711 YPD plates with or without drugs (control). The plates were incubated at the designated
- temperature and photographed after 2 days.

713

#### 714 ScanLag assay

715

The *ScanLag* assay was performed as described in (Rosenberg, et al. 2018) with minor
modifications. Approximately 100 cells were spread onto YPD plates with or without
FLC. The plates were placed on the scanners at designated temperature and scanned
every 30 min for 48 h. Image analysis was done in MATLAB using the modified
"ScanLag" script (Levin-Reisman, et al. 2014; Rosenberg, et al. 2018).

#### 722 **Obtaining drug adaptors from plates**

- 724 Cells were adjusted to  $1 \times 10^7$  cells/ml as described above. 100 µl of the culture were
- spread on YPD plates supplemented with drugs. The plates were incubated at the
- designated temperature for 5 days. Adaptors were randomly chosen.
- 727

#### 728 Daily passage in YPD broth supplemented with fluconazole

729

SC5314 was inoculated into 1ml YPD broth at a final density of approximately 2.5x10<sup>3</sup> 730 731 cells/ml. The YPD broth was supplemented with DMSO (negative control) or with 2-fold 732 increase of FLC from 0.25 µg/ml – 128 µg/ml FLC. Every 24h or when the OD600 of culture was higher than 1.0, 1 µl of each culture was inoculated into 1ml YPD broth 733 734 supplemented with the same concentration of FLC. After 1, 5, 10, and 15 days, the 200 µl cultures were washed and diluted with distilled water. Approximately 100 cells were 735 736 spread on YPD plates. The plates were incubated at 30°C for 36 h and 18 colonies from 737 each plate were randomly tested with DDAs using FLC-containing disks.

738

#### 739 Colony instability assay

740

As described previously (Yang, Todd, et al. 2021), aneuploid strains were streaked from
-80°C freezer to YPD plates and incubated at 30°C for 36 h. One small colony was
randomly chosen and suspended in distilled water. Cells were diluted with distilled water
and approximately 200 cells were spread on a YPD plate and incubated at 30°C for 36 h.
One small (S) colony and one large (L) colony were randomly chosen for further studies.

746

### 747 Next generation sequencing (NGS)

748

NGS was performed as described in (Yang, Todd, et al. 2021).

750

### 751 Variant calling

- 752 Paired-end short reads of all sequences obtained from NGS were trimmed with
- 753 Trimmomatic (version 0.39; (Bolger, et al. 2014)) with default settings and read quality.
- 754 Trimmed reads were aligned to both alleles of the *C. albicans* reference genome

- 755 (GCF\_000182965.3\_ASM18296v3) with Burrows-Wheeler Aligner (bwa mem, version
- 0.7.17; (Li and Durbin 2009)). The alignments were sorted with SAMtools (samtools
- view; samtools sort; version 1.15.1; (Li, et al. 2009)), and duplicates were marked and
- removed with Picard Tools (version 2.27.5; available at
- 759 http://broadinstitute.github.io/picard/). Indels were then realigned with GATK (version
- 3.8-1-0; (Auwera and O'Connor 2020)), and the alignments were resorted and
- reindexed with SAMtools. Variants (SNPs and indels) were called using FreeBayes (-J -
- 762 K --report-genotype-likelihood-max -a -F 0.1; version 0.9.21; (Garrison and Marth 2012)).
- 763 Variants that were present in both ancestor and evolved strains were removed using
- BCFtools (isec; version 1.16-17; (Li, et al. 2009)), filtered for quality with BCFtools, and
- annotated with SNPeff (version 5.1d; (Cingolani, et al. 2012)). The validity of each high-
- quality variant was then checked using the Integrative Genomics Viewer (IGV; version
- 767 2.15.1; (Robinson, et al. 2011))
- 768

## 769 GO analysis

- 770 Gene Ontology Term Finder tool on Candida Genome Database
- 771 (http://www.candidagenome.org/cgi-bin/GO/goTermFinder) was used for functional
- enrichment analysis, using default parameters. Only hits with p-value corrected for false
- positives FDR < 0.1 were considered as significant.
- 774

## 775 Data availability

- 776
- 777 All sequence data are available in the ArrayExpress database at EMBL-EBI
- 778 (www.ebi.ac.uk/arrayexpress) under accession numbers E-MTAB-12175, E-MTAB-
- 779 12155, E-MTAB-12169 and E-MTAB-12189.
- 780

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- 791
- 792 Figure legends
- 793

Figure 1. Measuring tolerance levels at 30°C, 37°C, and 39°C identify three classes
 temperature response.

- 796 Disk diffusion assays were performed on 133 different clinical isolates at the indicated
- temperatures (30°C, 37°C, and 39°C). The isolates were classified as temperature-
- enhanced tolerant (TET), all-temperature tolerant (ATT), and non-tolerant (NT), based
- on FoG<sub>20</sub> values at the three temperatures. \*\* indicates p-value<0.001 as determined by
- 800 two-tailed paired t-test (A). B is the distribution of tolerant isolates at different
- 801 temperatures (n=133). At 30 °C, 69.2% were TET, 23.3% ATT, 7.5% NT. Thus, the vast
- 802 majority of strains exhibit tolerance at least at some temperatures.
- 803

# Figure 2. Temperature dependent growth dynamics of representative TET, ATT and NT strains in different drug concentrations.

- 806 Representative isolates (TET, SC5314; ATT, YJB-T1891; and NT, YJB-T490) were
- 807 assayed on plates with FLC E-Test strips (A) and on 10-fold dilution spot assays (B) at
- 808 30°C, 37°C and 39°C on YPD medium at the FLC concentrations indicated. In both
- cases, plates were photographed after 48h at the indicated temperatures. Growth
- 810 dynamics of isolates in the presence of FLC were measured using *ScanLag* (Levin-
- 811 Reisman, et al. 2014; Rosenberg, et al. 2018). Approximately 100 colonies of the test
- 812 strains were plated onto YPD plates supplemented with FLC at the concentrations
- 813 indicated (C). The growth of colonies was monitored at 30°C and 37°C using ScanLag.
- 814 Colony area was calculated from the area of light pixels in the images, a proxy for
- colony size, and the change in colony size over time was used to estimate colony
- growth rate. Note in (B) and (C), for tolerant isolates, growth was similar at all

- 817 fluconazole concentrations equivalent to and above MIC. The graphs show the
- distribution of Time of colony Appearance (TOA, top panel) and growth rate (bottom
- panel) for all colonies on each plate, in 72 hours (top panel). The area of colonies
- 820 occupied by light pixels and the change in this area over time are proxies for colony size
- and growth rate.
- 822

### 823 Figure 3. Selection for adaptors at supra-MIC fluconazole concentrations.

- 824 FLC adaptors derived from TET strain SC5314 at 30°C, and those derived from the NT
- strain YJB-T490 at 30  $^{\circ}$ C and 37  $^{\circ}$ C, were tested for resistance (RAD<sub>20</sub>) and tolerance
- 826 (Fog<sub>20</sub>) on disk diffusion assays at 30°C and 37°C. The disks contained 25 μg FLC. The
- plates were photographed at 24h and 48h for measuring RAD<sub>20</sub> and FoG<sub>20</sub>, respectively.
- 828 Each plot represents the data from 18 colonies that adapted to fluconazole
- concentrations indicated in YPD plates. In the parents, 16 individual colonies were
- 830 tested.
- 831

# 832 Figure 4. Recurrent aneuploidies associated with resistant and tolerant

#### 833 fluconazole adaptors

834 (A) Karyotypes recurrently identified in TET and NT derived fluconazole adaptors

- selected at 30°C. The total number of aneuploid adaptors, and the number of adaptors
- bearing each karyotype are also indicated. Chromosomes 1,2,3,5, which were euploid
- 837 in these karyotypes, are not shown. (B) Karyotypes of 9 adaptors derived from NT
- isolate at 37°C. None of the karyotypes was identified in (A). The grey colored
- 839 karyotypes include adaptors with aneuploidy of different homologs of the same
- chromosomes. The detailed karyotypes are shown in Fig. S3 and Fig. S4.
- 841

Figure 5. Tolerance acquisition and loss is associated with the gain and loss of
aneuploid chromosomes

- 844 Strains with different aneuploid chromosomes were spread onto YPD plates and grown
- for 36 h at 30°C. Small colonies (S) and large colonies (L), indicated by yellow arrows
- and cyan arrows, respectively (A) were tested by standard disc diffusion assays (25  $\mu$ g

- FLC per disk). The plates were incubated at 30°C for 48 h (B). Both S and L colonies
  were sequenced. The karyotypes were visualized by using Ymap (C).
- 849

850 Figure 6. Distinct evolutionary trajectories associated with supra- and sub-MIC

- 851 fluconazole concentrations.
- 852 Emergence of tolerance (increased FoG<sub>20</sub>) and resistance (decreased RAD<sub>20</sub>)
- 853 measured on disk diffusion assays for 18 isolates from each indicated passage of TET
- isolate SC5314 (A) and NT isolate YJB-T490 (B) for 1-15 days of propagation at the
- indicated drug concentrations. The MIC  $(1\mu g/ml)$  is highlighted in grey. Note that a
- broad range of tolerance emerges at all supra-MIC concentrations starting at passage 1,
- 857 while increasing levels of resistance appear with time at or below the MIC only.
- 858

### 859 Figure 7. Distinct genomic changes in resistant and tolerant adaptors.

- 860 DNA sequences of 34 FLC-resistant and 39 FLC-tolerant adaptors evolved from TET
- strain SC5314 were analyzed by Ymap (Abbey, et al. 2014). The karyotypes, number of
- 862 adaptors with this karyotype. and the drug conditions (number of days evolved and FLC
- concentration) are indicated to the right of each karyotype diagram. For example, D5-1
- indicates that the adaptors were evolved for 5 days in 1 µg/ml FLC. Colors indicate
- allele frequencies in the data as indicated in the key. Black histograms indicate the log1
- ratio of DNA copy number for the strain indicated relative to a diploid control
- 867
- **Table 1.** Karyotypes of the 34 resistant and 39 tolerant evolved progeny analyzed.
- 869

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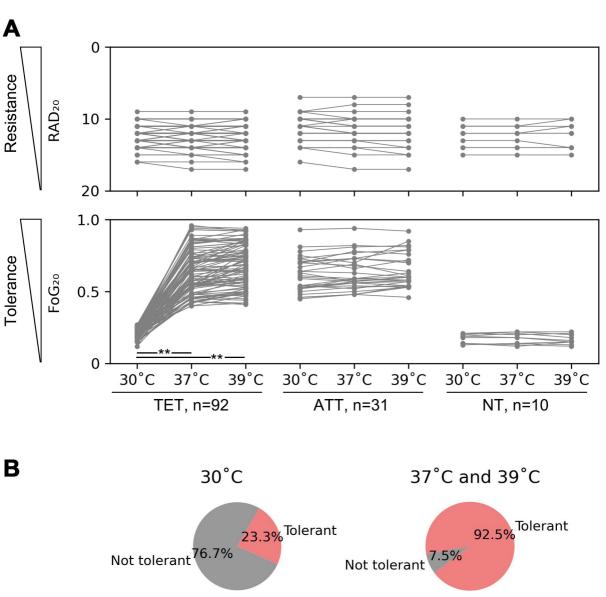
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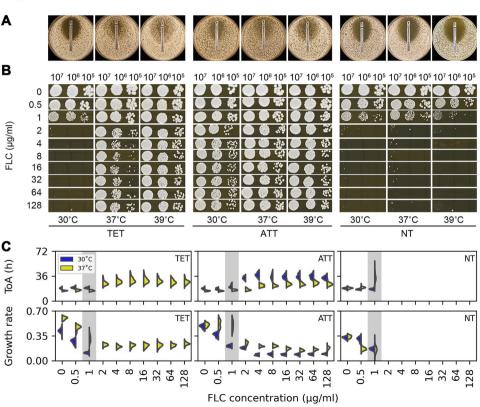
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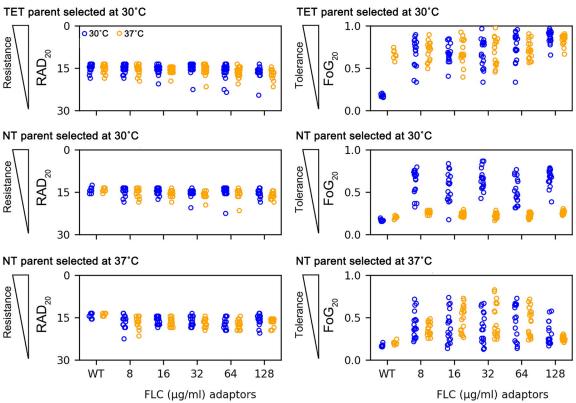
### Table 1. Karyotypes of SC5314 adaptors from passaging experiment

Passage number (day)	Selection pressure FLC (µg/ml)	Adaptor response	Number of sequenced adaptors	Genome features*				
	(1.9,)			ChrRx3 (n=3);				
4	2	Tolerance	5	ChrRx3+Chr4x3 (n=1);				
1				segChrRx1 (from 1.89 Mb to right telomere, n=1)				
	128	Tolerance	2	ChrRx3				
F	4	Tolerance	1	ChrRx3				
5	1	Resistance	4	Euploid				
	0.05	Tolerance	1	ChrRx3				
	0.25	Resistance	5	Euploid				
		Tolerance	3	SegChrRx3 (from left telomere to 1.89MB, n=2);				
	0.5			ChrRx3 +Chr7x3+Chr4x3 (n=1)				
		Resistance	3	Chr5x3				
				ChrRx3+Chr4x3 (n=1);				
10	1	Tolerance	3	ChrRx3+Chr6x3 (n=1);				
				Chr6x3 (n=1)				
		Resistance	3	Euploid				
	2	Tolerant	6	ChrRx3+Chr4x3 (n=4);				
				ChrRx3+Chr4x3+Chr2x3 (n=1);				
				ChrRx3+Chr4x3+Chr6x3 (n=1)				
	128	Tolerance	6	ChrRx3+Chr4x3				
	0.25	Resistance	6	Euploid				
				Chr5x3 (n=2);				
	0.5	Resistance	6	Chr7x3 (n=1);				
				Euploid (n=3)				
				Chr3x3 (n=2);				
45	1	Resistance	6	Chr7x3 (n=3);				
15				Chr7x3+Chr6x3 (n=1)				
				SegChrRx3 (from left telomere to 1.89 MB, n=2);				
	2	Tolerance	6	ChrRx3 (n=2);				
				ChrRx3+Chr4x3 (n=2)				
	100	<b>-</b> /	-	SegChrRx3 (from left telomere to 1.89 MB, n=1);				
	128	Tolerance	6	ChrRx3+Chr4x3 (n=5)				

- <sup>\*</sup>See Fig. 7 and Fig. S6 for Ymap views of the karyotypes. Annotation such as "x3"
- 988 indicates trisomy, "+" indicates additional aneuploidy in the same adaptor. n, number of
- 989 isolates with this karyotype.
- 990
- 991

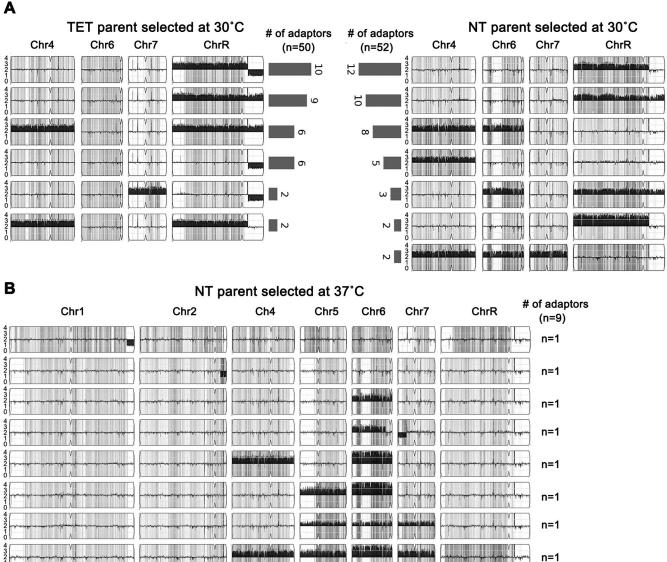


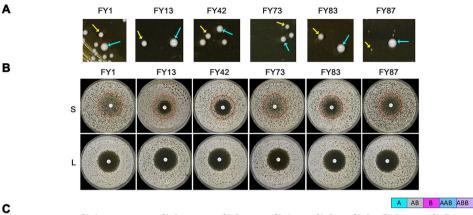


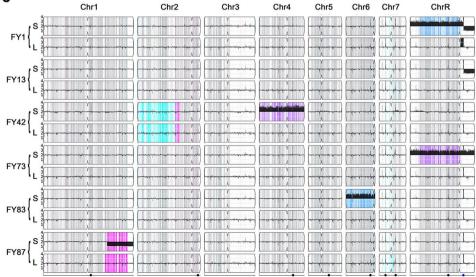


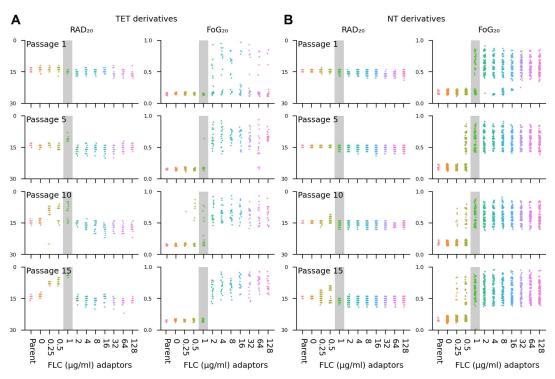
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FLC-resistant adaptors (n=34)									
Chr1	Chr2	Chr3	Chr4	Chr5	Chr6	Chr7	ChrR	NO. of adaptors	Condition of evolution (FLC (µg/ml)-Passage)
		······································	······	γ 				22	1-P5; 0.25-P10; 1-P10; 0.25-P15, 0.5-P15, 1-P15
			·····	· · · · · · · · · · · · · · · · · · ·				1	1-P15
43 	·····				-			1	1-P15
(			·····					5	0.5-P10; 0.5-P15
	······································		· · · · · · · · · · · · · · · · · · ·					4	0.5-P15; 1-P15
	·····		·····		-			1	1-P15
FLC-tolerant adaptors (n	=39)		,						
Chr1	Chr2	Chr3	Chr4	Chr5	Chr6	Chr7	ChrR		
4 			·····	·				4	2-P1; 1-P5; 0.25-P10
								5	2-P1; 128-P1; 2-P15
	·····							1	2-P1
								2	2-P15; 128-P15
								1	2-P15
	·····			- minuter				2	0.5-P10
								4	1-P10; 2-P15; 128-P15
								5	2-P1; 2-P10; 128-P10; 2-P15; 128-P15
	·····	••••••••••••••••••••••••••••••••••••••			(	-		4	2-P10; 128-P10; 128-P15
······································				·				6	128-P10; 128-P15
					(		and the second	1	2-P10
								1	2-P10
						and and fidence		1	0.5-P10
			·····					1	1-P10
4 3 2 1	·····			·····				1	1-P10