

1 **Enhanced Protection from SARS-CoV-2 Variants by MVA-Based Vaccines**
2 **Expressing Matched or Mismatched S Proteins Administered Intranasally to**
3 **hACE2 Mice**

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11 **ABSTRACT**

12 The continuous evolution of SARS-CoV-2 strains is contributing to the prolongation of the
13 global pandemic. We previously reported the prevention or more rapid clearance of SARS-CoV-
14 2 from the nasal turbinates and lungs of susceptible K18-hACE2 mice that had been vaccinated
15 intranasally (IN) rather than intramuscularly (IM) with a recombinant MVA (rMVA) expressing
16 a modified S protein of the ancestor SARS-CoV-2 strain. Here, we constructed additional
17 rMVAs and pseudoviruses expressing modified S protein of SARS-CoV-2 variants and
18 compared the ability of vaccines with S proteins that were matched or mismatched to neutralize
19 variants, bind to S proteins and protect K18-hACE2 mice against SARS-CoV-2 challenge.
20 Although vaccines with matched S proteins induced higher neutralizing antibodies, vaccines with
21 mismatched S proteins still protected against severe disease and reduced virus and mRNAs in the
22 lungs and nasal turbinates, though not as well as vaccines with matched S proteins. In mice
23 earlier primed and boosted with rMVA expressing ancestral S, antibodies to the latter increased
24 after one immunization with rMVA expressing Omicron S, but neutralizing antibody to Omicron
25 required a second immunization. Passive transfer of Wuhan immune serum with Omicron S
26 binding but undetectable neutralizing activities reduced infection of the lungs by the variant.
27 Notably, the reduction in infection of the nasal turbinates and lungs was significantly greater
28 when the rMVAs were administered IN rather than IM and this held true for vaccines that were
29 matched or mismatched to the challenge SARS-CoV-2.

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31

32 INTRODUCTION

33 The speed with which safe and efficacious SARS-CoV-2 vaccines were developed was a
34 remarkable achievement. Clinical trials indicated that the mRNA vaccines were 94 to 95%
35 effective in preventing COVID-19 illness ^{1,2} and adenovirus-based vaccines were about 74%
36 effective ^{3,4}. Those and most other vaccines are based on the spike (S) protein, which mediates
37 entry of the virus into cells. Initially, it was considered that the proof-reading mechanism
38 employed by coronaviruses would greatly retard the development of escape mutants ⁵. However,
39 successive waves of variants and subvariants appeared with mutations in S including the receptor
40 binding domain (RBD) and some such as Beta and Omicron exhibited resistance to antibodies
41 elicited by ancestor strains ⁶. Nevertheless, boosting with the original vaccines reduce serious
42 disease, though they appear less effective in preventing infection and transmission ⁷. Updated
43 SARS-CoV-2 mRNA vaccines are based on expression of two S proteins: one from an ancestor
44 and the other from a recent isolate ⁸. Another consideration is whether intranasal (IN) or aerosol
45 delivery would prevent infection and transmission better than intramuscular (IM) vaccination.

46 Recombinant poxvirus platforms are valuable for identifying targets of humoral and
47 cellular immunity, have been developed into numerous veterinary vaccines and are undergoing
48 clinical evaluation for vaccines against unrelated pathogens including SARS-CoV-2 and cancer
49 ⁹⁻¹¹. We and others described animal studies supporting use of the host-range restricted vaccinia
50 virus Ankara (MVA) as an alternative vector for COVID-19 vaccines ¹²⁻¹⁶. Recent animal studies
51 demonstrated advantages of IN delivery of recombinant MVAs (rMVAs) expressing S ¹⁷⁻¹⁹.
52 Anti-SARS-CoV-2 IgA and IgG as well as specific T cells were detected in the lungs of IN
53 vaccinated mice and virus was diminished in the upper and lower respiratory tracts following

54 challenge of K18-hACE2 mice with SARS-CoV-2. Here we describe the construction and
55 immunogenicity of rMVAs expressing the S proteins of several variant SARS-CoV-2 strains.
56 The neutralizing and S binding activities of sera following matched and mismatched rMVA
57 boosts were determined as well as protection of K18-hACE2 mice vaccinated IN and IM and
58 challenged IN with SARS-CoV-2 variants. Vaccines that produced low neutralizing activities to
59 mismatched SARS-CoV-2 variants still provided durable protection, but vaccines matched to the
60 challenge virus elicited higher neutralizing activities and were more effective. For both matched
61 and mismatched immunizations, the IN route was better than IM at reducing virus infection of
62 the upper and lower respiratory tracts. In mice earlier primed and boosted with rMVA expressing
63 ancestral S, antibodies to the latter increased after one immunization with rMVA expressing
64 Omicron S, but neutralizing antibody to Omicron required a second immunization.

65

66 **RESULTS**

67 **Relative virulence of SAR-CoV-2 variants in the K18-hACE2 mouse model.** We previously
68 reported that serum from mice vaccinated with an rMVA expressing the spike protein of the
69 ancestor Wuhan strain of SARS-CoV-2, that was modified to stabilize the pre-fusion structure
70 and enhance membrane localization (rMVA-W), neutralized recombinant vesicular stomatitis
71 virus (rVSV) pseudoviruses expressing divergent S proteins to varying degrees¹⁸. This cross-
72 reactivity led us to evaluate the ability of rMVA-W and rMVAs expressing variant S proteins to
73 protect K18-hACE2 mice against challenge with SARS-CoV-2 variants. Before undertaking
74 protection studies, we compared the relative virulence of four SARS-CoV-2 variants, CoV-
75 Washington (W, S identical to Wuhan), -Beta (B), -Delta (D), and -Omicron (O, BA.1.1) in the
76 mouse model system. Following IN infection of the hACE2 mice with CoV-W, -B and -D,

77 weight loss was detected with a dose of 10^2 TCID₅₀ (Fig. S1A–C) and 100% death occurred with
78 10^4 TCID₅₀ (Fig. S1D–F). CoV-O was less virulent than the others, with only two of five mice
79 succumbing on day 7 to a dose of 5×10^4 (Fig. S1G). For a further comparison, the hACE2 mice
80 were inoculated IN with varying doses of CoV-W or -O and the amounts of virus in the lungs
81 and nasal turbinates determined. The lungs of CoV-W-infected mice contained 100- to 1,000-
82 fold more virus than the lungs of CoV-O-inoculated mice on day 2 (Fig. S1H). At that early time,
83 virus was detected in the nasal turbinates only of mice inoculated with CoV-W (Fig. S1I). Our
84 finding that CoV-O is less virulent than other variants in mice is in accord with other studies^{20,21}.
85 In subsequent challenge experiments, a dose of 5×10^4 TCID₅₀ (highest possible dose due to titer)
86 was used for CoV-O and 10^4 TCID₅₀ for the others.

87

88 **Protective immunity to SARS-CoV-2 variants following IM vaccination with rMVA-W.**

89 K18-hACE2 mice were vaccinated IM twice 3 weeks apart with the parental MVA as a control
90 or with rMVA-W and challenged with CoV variants 2 weeks later (Fig. 1A). Antibody binding
91 to the Wuhan RBD was detected by ELISA after the first immunization and was not increased
92 significantly by the second (Fig. 1B). Pseudoviruses, named for the spike protein that they
93 express, were neutralized in the order rVSV-W > D > -B after the first immunization (Fig. 1C).
94 The neutralization titers increased significantly after the second immunization for the vaccine
95 mismatched rVSV-B and rVSV-D but not for the matched rVSV-W, which was already high.

96 Following challenge with CoV-W, -B or -D, mice that received the control MVA vector
97 lost weight and died by day 6, whereas mice that received rMVA-W lost no weight and survived
98 infection with each of the variants (Fig. 1D, E). Five additional mice in each group were
99 sacrificed on day 5, prior to severe morbidity, for analysis of virus titers and subgenomic (sg)

100 RNAs in internal organs. Substantial amounts of each of the SARS-CoV-2 viruses were detected
101 by infectivity assays in the lungs and turbinates of control mice that received the MVA vector,
102 whereas none was detected in mice that received rMVA-W regardless of the challenge strain of
103 virus (Fig. 1F, G). Analysis of sgRNAs provides an alternative and more sensitive assay for
104 replication than the titer of infectious SARS-CoV-2 from tissues²² and sgN is most abundant
105 followed by sgS²³. Digital droplet polymerase chain reaction (ddPCR) was used to detect sgN
106 and sgS in our study and the values normalized to 18s ribosomal RNA in each sample. High
107 levels of sgN and sgS RNAs were detected in the lungs of the control mice that received the
108 MVA vector following challenge with each of the variants. In contrast, sgRNAs were not
109 detected in mice immunized with rMVA-W and challenged with CoV-W and the levels were
110 significantly diminished in mice challenged with CoV-B and -D (Fig. 1H). High titers of
111 sgRNAs were also present in the nasal turbinates of challenged mice that had been immunized
112 with the control MVA but were undetected or significantly diminished in mice that had been
113 immunized with rMVA-W (Fig. 1I). These results indicated that a vaccine expressing the Wuhan
114 S and administered IM protected against weight loss and death and significantly reduced the
115 replication of CoV-B and -D by day 5, despite lower levels of neutralizing antibody to their S
116 proteins. Nevertheless, virus replication in the lungs as determined by sgRNAs was lowest when
117 the S proteins of the vaccine and challenge were matched.

118

119 **Duration of cross-protective immunity following IM vaccination with rMVA-W.** In the
120 above experiment, mice were challenged at 3 weeks after vaccination when antibody levels were
121 near their peak. To determine the duration of cross-protective immunity, additional groups of
122 K18-hACE2 mice were vaccinated IM twice and held for 9 months (Fig. 2A). Over this period,

123 the binding to the Wuhan S protein decreased by about 70% (Fig. 2B). The neutralizing titer for
124 each variant was boosted by the second immunization but then each also decreased substantially
125 over time (Fig. 2C).

126 After challenge with the SARS-CoV-2 variants, mice that had received the control MVA
127 vector lost ~10 to 20% of their starting weight by day 5; mice immunized with rMVA-W and
128 challenged with CoV-W lost little weight while those challenged with CoV-B and -D were
129 intermediate (Fig. 2D). Mice that received the control MVA vector had substantial amounts of
130 virus in the lungs and turbinates on day 5 following challenge, whereas mice that had been
131 vaccinated with rMVA-W had little or no virus regardless of which SARS-CoV-2 strain was
132 used for challenge (Fig. 2E, F). Substantial differences, however, were revealed by analysis of
133 sgRNAs. Mice vaccinated with rMVA-W and challenged with CoV-W had >3-log mean
134 reduction of sgRNAs in the lungs compared to controls whereas mice challenged with CoV-B
135 and -D had 1- to 2-log mean reductions (Fig. 2G). The same trend was observed in the nasal
136 turbinates: mice challenged with CoV-W had no detectable sgRNAs, whereas sgRNAs were
137 significantly reduced relative to controls in mice challenged with CoV-B and -D (Fig. 2H). We
138 concluded that protection was durable, but at a reduced level at 9 months and was greater when
139 the vaccine and challenge virus were matched.

140

141 **Comparison of IM and IN immunizations with rMVA-W on inhibition of early stages of**
142 **variant infections.** The experiments described thus far demonstrated that IM vaccination with
143 rMVA-W protected mice from lethal infection with Beta and Delta strains and reduced virus and
144 sgRNAs present in the lungs and nasal turbinates by day 5 after challenge. For the next
145 experiments, we analyzed virus titers and sgRNAs at 2 and 4 days after infection with SARS-

146 CoV-2 to determine the effect of vaccination on earlier stages of infection (Fig. 3A). Binding to
147 the Wuhan S RBD was detected after the first immunization and increased slightly after the
148 second (Fig. 3B). Neutralization titers obtained with pseudoviruses expressing variant spike
149 proteins were in the order rVSV-W >-D >-B >-O (Fig. 3C). Titers were boosted by the second
150 immunization but, even then, less than half of the mice had serum that neutralized rVSV-O
151 above the limit of detection.

152 Following challenge of mice receiving the control MVA vector, the virus titers in the
153 lungs were highest on day 2 and reduced to varying degrees on day 4 for each variant (Fig. 3D).
154 Notably, no virus was recovered from the lungs on either day from mice vaccinated with rMVA-
155 W and challenged with CoV-W, -B, -D or -O. Mice that received the control MVA vector and
156 were subsequently challenged had substantial amounts of virus in the nasal turbinates that were
157 higher on day 2 than day 4 except for CoV-O, in which none was detected (Fig. 3E). For mice
158 vaccinated with rMVA-W, the CoV-W, -B or -D titers in the turbinates were reduced relative to
159 the controls on day 2 and undetectable on day 4. The presence of SARS-CoV-2 in the turbinates
160 of vaccinated mice was missed in the previous experiment (Fig. 2F) when only day 5 was
161 examined. High amounts of sgRNAs were found in the lungs of mice inoculated with the control
162 MVA, on days 2 and 4 after challenge with the variants including CoV-O (Fig. 3F). In contrast to
163 the controls, mice that had been immunized with rMVA-W and challenged with CoV-W had
164 little or no sgRNA in the lungs on either day, whereas virus was reduced but still detected on
165 both days after challenge with the other variants (Fig. 3F). In the nasal turbinates, sgRNAs were
166 detected on days 2 and 4 of mice that received the control MVA vector and challenged with each
167 of the variants including CoV-O, whereas sgRNAs were detected in vaccinated mice challenged
168 with CoV-W or variants only on day 2 (Fig. 3G). These data indicated that IM vaccination with

169 rMVA-W reduced replication of each of the variants but did not prevent infection as judged by
170 the detection of virus and sgRNAs in the lungs and turbinates. Interestingly, although rMVA-W
171 induced low Omicron neutralizing activity as measured *in vitro*, the lung titers of Omicron were
172 significantly reduced in vaccinated mice.

173 We previously reported that IN administration of rMVA-W prevented or more rapidly
174 eliminated upper respiratory infection with CoV-W than when administered IM¹⁸. Here, we
175 wanted to determine whether IN delivery is advantageous for cross-protection of variants. Mice
176 were inoculated with the MVA control or rMVA-W as in the previous experiment, except that
177 the route was IN (Fig. 4A). Antibody binding to the RBD of the Wuhan spike protein was
178 detected after the first immunization with rMVA-W and increased slightly after the second (Fig.
179 4B). The second immunization with rMVA-W significantly increased neutralizing antibodies in
180 the blood to each of the variants except Omicron (Fig. 4C), as was the case for IM immunization.
181 Following challenge of mice that received the control MVA, the CoV-W and variant strains were
182 detected in the lungs and turbinates on day 2 and decreased on day 4 (Fig. 4D, E). In addition,
183 considerable amounts of CoV-B and lesser amounts of CoV-D were recovered from the brains of
184 the control mice on day 4 (Fig. 4F). However, in mice vaccinated IN with rMVA-W, virtually no
185 virus of any strain was detected on days 2 or 4 in lungs, nasal turbinates or brains of mice (Fig.
186 4D-F), whereas considerable virus had been detected on day 2 in the turbinates of mice that had
187 been vaccinated IM (Fig. 3E). Furthermore, sgRNAs were greatly reduced on day 2 and virtually
188 absent on day 4 in the lungs of IN-vaccinated mice following challenge with CoV-W, -B or -D
189 and were significantly reduced after challenge with CoV-O compared to controls (Fig. 4G).
190 Moreover, sgRNAs were undetectable or detected at very low levels in the turbinates of mice
191 infected with any of the variants on day 2 and none was detected on day 4 (Fig. 4H), in contrast

192 to the results obtained by IM vaccination (Fig. 3G). For each variant, there was a greater
193 reduction of sgRNAs in mice immunized IN compared to IM as depicted for the nasal turbinates
194 on day 2 (Fig. 4I). Thus, IN vaccination with rMVA-W was advantageous for protection against
195 variant as well as matched challenges.

196

197 **Construction and immunogenicity of rMVAs with variant spikes.** Thus far, we immunized
198 mice with rMVA expressing the Wuhan S protein and challenged with variant SARS-CoV-2. For
199 the next experiments, we constructed rMVAs expressing the S proteins of variant strains.
200 Equivalent S protein expression was verified by analysis of infected HeLa cells by Western
201 blotting using antibody to the FLAG tag (Fig. S2A, B), although there was some difference in
202 binding of anti-Wuhan RBD to the variants reflecting sequence differences (Fig. S2A, C). Cell
203 surface expression of the S proteins and binding to hACE2 were demonstrated by flow cytometry
204 (Fig. S2D). To compare their immunogenicity, C57BL/6 mice were vaccinated IM with rMVAs
205 expressing variant S proteins followed by a boost with the same rMVA used for the first
206 vaccination (Fig. 5A). The neutralization of pseudoviruses expressing spike proteins matched or
207 mismatched to the vaccines were determined (Fig. 5B). Sera from mice immunized with rMVA-
208 W neutralized rVSV-W significantly better than rVSV-D and had no detectable activity against
209 rVSV-O. After the second vaccination with rMVA-W, neutralizing antibody to rVSV-W and -D
210 were increased but most of the mice still made no detectable neutralizing antibody to rVSV-O.
211 Sera from mice vaccinated with rMVA-D neutralized rVSV-W almost as well as rVSV-D but
212 also had low neutralizing activity to rVSV-O and only small increases occurred after the second
213 immunization. Sera from mice that received rMVA-O had little or no neutralizing activity to
214 rVSV-W or -D but had detectable activity against the matching rVSV-O. After two and three

215 rMVA-O vaccinations, neutralization of rVSV-O was boosted but the neutralizing titers to
216 rVSV-W and -D were minimally increased.

217 The S proteins expressed by the rMVA vectors had been modified to stabilize the pre-
218 fusion form, prevent furin cleavage and increase cell surface expression as described previously
219 ¹². To be certain that these changes were not responsible for diminished cross-neutralizing
220 activity, we obtained sera from K18-hACE2 mice that had been sublethally infected with CoV-
221 W, CoV-B, CoV-D or CoV-O in the experiment of Fig. S1). The cross-neutralizing activities of
222 these serum samples were similar to serum obtained from vaccinated mice (Fig. 5D).

223 In contrast to the low neutralizing antibody, sera from mice immunized twice with
224 rMVA-W exhibited substantial binding to the S proteins of Delta and Omicron, although
225 significantly less than to the Wuhan S protein, whereas sera from mice immunized multiple
226 times with rMVA-O bound to similar extents to Wuhan and Omicron S proteins (Fig. 5C). We
227 considered that the relatively greater binding of sera to mismatched S proteins compared to their
228 neutralizing ability may have significance for cross-protection *in vivo*. To investigate this, pooled
229 sera from mice vaccinated IM with rMVA-W or rMVA-O were inoculated IP into K18-hACE2
230 mice. One day after serum transfer and just before challenge with CoV-O, the NT50 values of
231 the sera from rMVA-W-vaccinated mice were >400 for rVSV-W and undetectable for rVSV-O
232 (Fig. 5E). The corresponding NT50 values for the mice receiving sera from rMVA-O-vaccinated
233 mice were >100 for rVSV-O and undetectable or barely detectable for rVSV-W. Despite the
234 difference in neutralizing titers, no CoV-O was recovered from the lungs at 4 days after
235 challenge of mice receiving anti-Wuhan or anti-Omicron sera (Fig. 5F). However, the mice that
236 received anti-Omicron serum also had reduced sgN and sgS RNAs in the lungs, whereas the
237 mice that received anti-Wuhan serum did not (Fig. 5G). These data indicated that the anti-Wuhan

238 serum without detectable *in vitro* neutralizing activity for Omicron was partially protective *in*
239 *vivo* but that the neutralizing anti-Omicron serum was more potent

240

241 **Neutralizing antibody responses to mismatched prime and boost vaccinations.** A related

242 question is whether heterologous boosting with variant spike proteins will increase neutralizing

243 activity to the original spike protein, the variant or both. To investigate this, C57BL/6 mice were

244 vaccinated twice with rMVA-W. After 30 weeks, the mice were bled and groups of 9 to 10 mice

245 were re-vaccinated with rMVA-W, -B, -D or -O (Fig. 5H). The neutralizing titer against the

246 original immunogen rVSV-W as well as rVSV-B and rVSV-D increased after boosting with each

247 of the variant rMVAs with the exception of rVSV-O (Fig. 5I). Because of the low neutralization

248 titer against Omicron obtained following immunization twice with rMVA-W and once with

249 rMVA-O or other rMVA variants, we decided to boost all the mice a second time with the same

250 rMVAs used in the previous boost. None of the boosts increased the neutralizing titer to rVSV-

251 W, rVSV-B or rVSV-D. However, the rMVA-O boost significantly increased the neutralizing

252 titer to rVSV-O, whereas none of the other boosts did (Fig. 5J). These results indicated that a

253 second immunization with rMVA-O is beneficial both for naïve mice as well as mice primed

254 with the rMVA expressing the ancestor S protein.

255

256 **Protective immunogenicity of rMVAs expressing variant S proteins.** Next, we analyzed

257 replication of CoV-W and CoV-O in mice that had been vaccinated IM with rMVA-W or

258 rMVA-O (Fig. 6A). As shown earlier in this study, immunization of K18-hACE2 mice with

259 rMVA-W elicited high neutralizing antibody to rVSV-W and very little to rVSV-O, whereas the

260 converse occurred following immunization with rMVA-O (Fig. 6B). Nevertheless, Immunization

261 with either rMVA-W or rMVA-O significantly reduced the titer of CoV-W in the lungs (Fig. 6C)
262 and turbinates (Fig. 6D) although immunization with rMVA-W was more effective on day 2. No
263 virus was detected in the lungs or turbinates of mice immunized with either rMVA-W or rMVA-
264 O and challenged with CoV-O, although it is important to note the low amount of CoV-O in the
265 lungs and barely detectable CoV-O in the nasal turbinates.

266 Analysis of sgRNAs provided a better basis for comparison of the protection afforded by
267 the different immunizations. Immunization with rMVA-W provided complete protection of the
268 lungs from CoV-W on days 2 and 4, whereas immunization with rMVA-O provided significant
269 but partial protection (Fig. 6E). Nevertheless, the two vaccines provided similar 2-log reduction
270 of sgRNAs in the lungs of mice infected with CoV-O (Fig. 6E). In the nasal turbinates, sgRNAs
271 were reduced on day 2 and undetectable on day 4 after challenge with CoV-W regardless of
272 whether the mice were immunized with rMVA-W or rMVA-O (Fig. 6F). CoV-O sgRNAs were
273 also reduced by similar amounts in the nasal turbinates when vaccinated with either rMVA-W
274 and rMVA-O. These data indicated that significant protection can occur even if low neutralizing
275 antibody is induced.

276 Next, we investigated the use of rMVA-O as a nasal vaccine (Fig. 7A). After the second
277 immunization with rMVA-O, neutralizing antibody to rVSV-O was similar or higher than that
278 obtained by IM but again there was little or no neutralization of rVSV-W (Fig. 7B). The control
279 mice challenged with CoV-W succumbed to the infection, whereas those challenged with CoV-O
280 had only a transient weight loss due to the low pathogenicity of the latter (Fig. 7C). Mice
281 vaccinated IN with rMVA-O had no weight loss following challenge with either CoV-W or
282 CoV-O (Fig. 7C). There was low or no recovery of virus (Fig. 7D) and significantly diminished
283 sgRNAs in the lungs of mice challenged with either CoV-W or CoV-O (Fig. 7E). Even more

284 striking was the reduction of virus (Fig. 7F) and sgRNAs (Fig. 7G) in the nasal turbinates of
285 mice challenged with CoV-W or CoV-O. The much greater reduction of sgRNAs following IN
286 vaccination than IM vaccination is shown for the turbinates on day 2 in Fig. 7H.

287

288 **DISCUSSION**

289 The SARS-CoV-2 pandemic has entered a phase in which large segments of the population have
290 some immunity due to previous infection or vaccination. While there has been a drop in serious
291 disease and hospitalization, variants continue to arise and spread. In the present study we
292 investigated several topics related to vaccine efficacy in the current situation, including cross-
293 neutralization of variants, boosting with variant S proteins, role of non-neutralizing antibody and
294 particularly enhanced protection by IN administration of matched and mismatched vaccines. We
295 used MVA, an attenuated vaccinia virus vector, that has been extensively used for
296 immunological studies and is currently in clinical vaccine trials for a variety of infectious
297 diseases including SARS-CoV-2. Before carrying out these investigations, we compared the
298 abilities of variant SARS-CoV-2 strains to infect susceptible K18-hACE2 mice. Whereas,
299 Washington, Beta and Delta strains were highly lethal, Omicron was less so and lower titers of
300 the latter virus were recovered from the upper and lower respiratory tract. However, the amounts
301 of sgRNAs in the lungs and nasal turbinates of the different strains were more similar allowing a
302 better comparison of their replication. In addition, we constructed a panel of rMVA vaccines and
303 a panel of rVSV pseudoviruses expressing variant S proteins. Initially we focused on the ability
304 of rMVA-W, expressing the ancestor Wuhan S protein, to induce cross-neutralizing antibodies.
305 In line with studies using other vaccine platforms, we found that neutralization was in the order
306 of Wuhan > Delta > Beta > Omicron. Repeated immunizations with rMVA-W increased

307 neutralization titers to Delta and Beta but hardly to Omicron, which has the most divergent S-
308 protein, and none approached that to Wuhan itself. Nevertheless, vaccination with rMVA-W
309 protected hACE2 mice against weight loss and death and reduced virus replication in the upper
310 and lower respiratory tracts for at least 9 months. However, whereas no replication of the
311 ancestral strain of SARS-CoV-2 was detected in the lungs by sensitive sgRNA analysis, some
312 replication of other strains was found though significantly reduced compared to controls.
313 Although rMVA-W elicited little anti-Omicron neutralizing antibody, there was appreciable
314 Omicron S-binding antibody that provided partial protection when passively transferred to mice.
315 In another study, Kaplonek and co-workers ²⁴ determined that mRNA-1273 vaccine-induced
316 antibodies maintain Fc effector functions across variants, which could explain the protection
317 seen here. We previously reported that rMVA-W stimulated antigen-specific T cells ¹² and the
318 majority of the peptides in the positive pools are present in the variant S proteins. The conclusion
319 from this phase of the study was that the mouse model mimicked clinical experience in that
320 immunity to the ancestral SARS-CoV-2 protected against severe disease by variants but only
321 partially prevented infection and replication.

322 To better understand whether the differences in neutralization and protection were mainly
323 due to the mismatching of antibodies or to intrinsic resistance of variants to neutralization, we
324 immunized mice with rMVAs-W, -D or -O and determined the neutralization titers to matched
325 and mismatched pseudoviruses. In each case, neutralization of the matched pseudovirus was
326 greater than mismatched though the difference was least between Wuhan and Delta and greatest
327 between Omicron and the others. Nevertheless, even though rMVA-O induced antibodies that
328 significantly neutralized rVSV-O, the titers were less than those elicited by rMVA-W for Wuhan
329 or rMVA-D for Delta. Similar diminished cross-neutralizing antibodies were found in sera from

330 hACE2 mice infected with sublethal doses of SARS-CoV-2 variants demonstrating that this was
331 not a problem with the vaccines.

332 Another pertinent question was whether boosting mice that had been vaccinated with
333 rMVA-W with rMVA-B, -D, or -O would increase antibodies to Wuhan S (original antigenic
334 sin), to the variants or both. Following two vaccinations with rMVA-W, a single vaccination
335 with rMVA-B or rMVA-D boosted the neutralization titers to Wuhan as well as to self. Although
336 a single immunization with rMVA-O boosted neutralizing antibody to the other variants, a
337 second vaccination with rMVA-O was required to induce neutralizing antibody to itself. Thus,
338 two rMVA-O vaccinations were needed to raise Omicron neutralizing antibody in both naïve
339 mice and mice that had been previously vaccinated with rMVA-W. Although not directly
340 measured, it seems likely that in each case the first Omicron vaccination elicited Omicron-
341 specific memory cells that were activated on the second vaccination.

342 By analyzing virus and sgRNAs in nasal turbinates and lungs at 2 and 4 days after SARS-
343 CoV-2 infection of K18-hACE2 mice, we confirmed our previous data on the better protection
344 afforded by IN compared to IM vaccination with rMVA-W. In the latter study, induction of
345 antigen-specific IgA and higher numbers of CD8⁺ T cells were found in the lungs. Here we
346 showed that IN vaccination also provided greater protection against other SARS-CoV-2 variants
347 following immunization with matched as well as mismatched S vaccines. These studies should
348 encourage the evaluation of nasal or aerosol vaccines to boost immunity in clinical trials.

349

350 **MATERIALS AND METHODS**

351 **Mice.** Five- to six-week-old female C57BL/6ANTac and B6.Cg-Tg(K18-hACE2)2Prlmn/J mice
352 were obtained from Taconic Biosciences and Jackson Laboratories, respectively. Typically, 3-5
353 mice were housed per sterile, ventilated microisolator cage in an ABSL-2 or ABSL-3 facility.

354
355 **MVA viruses and cells.** rMVA-B, rMVA-D and rMVA-O viruses were constructed as described
356 previously ¹². All rMVA viruses were purified by two consecutive sucrose gradients. Vero E6
357 cells (ATCC CRL-1586) and Vero E6 hTMPRSS2 hACE2 ¹⁸ were maintained in Dulbecco's
358 Modified Eagle Medium supplemented with 8% heat-inactivated fetal bovine serum, 2 mM L-
359 glutamine, 10 U/ml penicillin, and 10 µg/ml streptomycin.

360
361 **Vaccination.** Viruses used for vaccination were thawed, dispersed by sonication, and 10-fold
362 serial dilutions were made in phosphate buffered saline containing 0.05% bovine serum albumin,
363 resulting in concentrations ranging from 2×10^8 to 2×10^4 PFU/ml. The rMVAs in 50 µl were
364 injected IM into each hind leg of the mouse. For IN vaccination, mice were lightly sedated with
365 isoflurane and 50 µl of rMVAs administered.

366
367 **Infection with SARS-CoV-2.** SARS-CoV-2 USA-WA1/2020 from BEI resources (Ref# NR-
368 52281) was propagated in Vero cells (CCL81); SARS-CoV-2 Beta (RSA 1.351 501Y) from the
369 NIAID Integrated Research Facility at Ft. Detrick; SARS-CoV-2 Delta (hCoV-19/USA/MD –
370 HP05285/2021 VOC G/478K.V1 B.1.617.2+AY.1+AY.2) from Andrew Pekosz at Johns
371 Hopkins University, and SARS-CoV-2 Omicron BA.1 (Ref EPI-ISL_7171744) from Vincent
372 Munster of the NIAID Laboratory of Virology were propagated in TMPRSS2 VeroE6 cells . The
373 clarified culture medium was titrated on Vero E6 hTMPRSS2 cells and the TCID₅₀ was

374 determined by the Reed-Muench method. SARS-CoV-2 were amplified and purified in a BSL-3
375 laboratory by Reed Johnson and Nicole Lackemeyer of the NIAID COVID Virology Core
376 Laboratory. Aliquots consisting of 10^2 to 5×10^4 TCID₅₀ of SARS-CoV-2 in 50 μ l were
377 administered IN to mice that were lightly sedated with isoflurane. After infection, the weights
378 and morbidity/mortality status were assessed and recorded daily for up to 14 days.

379

380 **Detection of Wuhan S, Omicron S, and RBD binding IgG and antibodies by ELISA.** SARS-
381 CoV-2 (2019-nCoV) spike (S1+S2 ECD protein, Sino Biologicals), Omicron BA 1.1 spike (from
382 Dr. Raul Cachau, NIAID) or CoV-2 Spike RBD (His-Tag, Genscript) was diluted in phosphate
383 buffered saline (PBS) to a concentration of 1 μ g/ml. MaxiSorp 96-well flat-bottom plates
384 (Thermo Fisher) were filled with 100 μ l of diluted S protein (0.1 μ g/well) and incubated
385 overnight at 4°C. After adsorption, wells were washed three times with 250 μ l PBS + 0.05%
386 Tween-20 (PBS-T, Accurate Chemical). Plates were blocked for 2 h at room temperature with
387 200 μ l PBS-T + 5% nonfat milk and subsequently washed three times with PBS-T prior to
388 incubation with a series of eight 4-fold dilutions of mouse sera for 1 h at room temperature. To
389 detect S-specific IgG antibodies, plates were washed three times with PBS-T and incubated with
390 horse radish peroxidase (HRP)-conjugated goat anti-mouse IgG (H+L) (Thermo Fisher) for 1 h
391 at room temperature. After incubation plates were washed three times with PBS-T and 100 μ l of
392 pre-warmed SureBlue TMB substrate (SeraCare) was added to the plate for 10 min at room
393 temperature. To stop the colorimetric reaction, 100 μ l of 1N sulfuric acid was added to each well
394 and absorbance was measured at A₄₅₀ and A₆₅₀ using a Synergy H1 plate reader with Gen5
395 analysis software (Agilent Technologies). IgG endpoint titers were determined as 4-fold above
396 the average absorbance of those wells not containing primary antibody.

397

398 **Pseudovirus neutralization assays.** BHK-21 cell lines expressing SARS-CoV-2 codon
399 optimized spikes with truncation of the 19 C-terminal amino acids were prepared and used to
400 generate rVSVDG–GFP-CoV2 spike pseudoviruses as previously described¹⁸. For the rVSVDG
401 pseudoviral neutralization assay, serial dilutions of heat-inactivated sera were incubated with
402 rVSVDG pseudoviruses and anti-VSV-G I1 hybridoma supernatant (ATCC# CRL-2700) for 45
403 min at 37°C. The mixture was then added to VeroE6 cells expressing hTMPRSS2 and hACE2
404 and incubated for 20 h at 37°C. The cells were fixed in 2% paraformaldehyde and GFP measured
405 by flow cytometry. NT50 values were calculated using Prism (Graphpad) to plot dose-response
406 curves, normalized using the average of the no virus wells as 100% neutralization, and the
407 average of the no serum wells as 0%. The limit of detection (LOD) of 25 was determined by
408 taking 1.96 standard deviation of the mean titer of the control MVA samples.

409

410 **Quantitation of infectious SARS-CoV-2.** Lungs, brains, and nasal turbinates were
411 homogenized, cleared of debris by centrifugation at 3800xg for 10 min and serial 10-fold
412 dilutions were applied in quadruplicate to Vero E6 hTMPRSS2 cells in DMEM+Glutamax
413 (ThermoFisher) supplemented with 2% heat-inactivated FBS and 1% Antibiotic-Antimycotic in
414 96-well microtiter plates. After 72 h, the plates were stained with crystal violet and the Reed-
415 Muench method was used to determine the concentration at which 50% of the cells displayed a
416 cytopathic effect (TCID₅₀).

417

418 **Quantitation of SARS-CoV-2 sgRNAs.** RNA was extracted from homogenates of lungs and
419 turbinates using Trizol; contaminating DNA was removed and RNA was reverse-transcribed.

420 SARS-CoV-2 sgS and sgN transcripts and 18S rRNA were quantified by ddPCR with specific
421 primers using an automated droplet generator and droplet reader (BioRad).

422

423 **Safety and Ethics.** All experiments and procedures involving mice were approved under
424 protocol LVD29E by the NIAID Animal Care and Use Committee according to standards set
425 forth in the NIH guidelines, Animal Welfare Act, and US Federal Law. Euthanasia was carried
426 out using carbon dioxide inhalation in accordance with the American Veterinary Medical
427 Association Guidelines for Euthanasia of Animals (2013 Report of the AVMA Panel of
428 Euthanasia). Experiments with SARS-CoV-2 were carried out under BSL-3 containment.

429

430 **Data Availability.** All data is included in the manuscript and supporting information.

431

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433 We thank Reed Johnson and Nicole Lackemeyer of the NIAID COVID Virology Core
434 Laboratory and Vincent Munster of the NIAID Laboratory of Virology for stocks of SARS-CoV-
435 2. The technical staff of the NIAID Comprehensive Medical Branch provided excellent animal
436 care. The work was supported by the Division of Intramural Research of NIAID.

437

438 **AUTHOR CONTRIBUTIONS**

439 B.M. and P.E. designed experiments, C.A.C. and J.L.A. carried out experiments, B.M. wrote the
440 paper, C.A.C. prepared the figures, and all authors edited the final manuscript.

441

442 **COMPETING INTEREST STATEMENT**

443 The authors declare no competing interest.

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FIGURE LEGENDS

Fig. 1. Protective immunity to SARS-CoV-2 variants following IM vaccination with rMVA-

W. (A) K18-hACE2 mice were vaccinated IM twice with MVA control (n=40) or rMVA-W (n=40), divided into groups of n=10 and challenged with CoV-W, -B, or -D two weeks later. **(B, C)** Serum antibody binding to the Wuhan S RBD and neutralization of pseudoviruses rVSV-W, -B or -D at 3 and 5 weeks after vaccination. **(D, E)** Time course of weight loss and survival on days after challenge. **(F, G)** Recovery of SARS-CoV-2 from lungs and nasal turbinates on day 5 after challenge. **(H, I)** Copies of sgRNAs N and S normalized to 18s RNA from lungs and nasal turbinates on day 5 after challenge. Abbreviation: D, day; sac, sacrifice. * $p \leq 0.03$; ** $p \leq 0.002$; *** $p \leq 0.0002$; **** $p \leq 0.0001$. Significance not calculated when values of one group were all below the limit of detection.

Fig. 2. Duration of cross-protective immunity following IM vaccination with rMVA-W. (A)

K18-hACE2 mice were vaccinated IM twice with MVA control (n=8) divided into groups of 2 – 3 or rMVA-W (n=15), divided into groups 5 and challenged with CoV-W, -B, or -D approximately 9 months later. **(B)** Binding of serum antibodies to Wuhan S protein determined by ELISA. **(C)** Neutralization of pseudoviruses rVSV-W, -B and -D by serum obtained at weeks 3, 5 and 41. **(D)** Weights of mice on day 5 after challenge relative to starting weights. **(E, F)** Recovery of SARS-CoV-2 from lungs and nasal turbinates on day 5 after challenge. **(G, H)** Copies of sgRNAs N and S normalized to 18s RNA from lungs and nasal turbinates on day 5 after challenge.

534 **Fig. 3. Inhibition of early stages of variant SARS-CoV-2 infections in mice immunized IM**
535 **with rMVA-W.** (A) K18-hACE2 mice were vaccinated IM twice with MVA control (n=40) or
536 rMVA-W (n=40), divided into groups of 10 and challenged 2 weeks later with CoV-W, -B, -D or
537 -O 3. (B) Binding of serum antibodies to Wuhan RBD after first and second immunizations
538 determined by ELISA. (C) Neutralization of pseudoviruses rVSV-W, -B, -D and -O by sera
539 obtained after the first and second immunizations. (D, E) Recovery of SARS-CoV-2 from lungs
540 and nasal turbinates on days 2 and 4 after challenge. (F, G) Copies of sgRNAs N and S
541 normalized to 18s RNA from lungs and nasal turbinates on days 2 and 4 after challenge.

542

543 **Fig. 4. Greater inhibition of early stages of variant SARS-CoV-2 infections in mice**
544 **immunized IN with rMVA-W.** (A) K18-hACE2 mice were vaccinated IN twice with MVA
545 control (n=31) or rMVA-W (n=32), divided into groups of 7 or 8 and 2 weeks later challenged
546 with CoV-W, -B, or -D. (B) Serum antibody binding to Wuhan RBD determined by ELISA after
547 the first and second immunizations. (C) Neutralization of pseudoviruses rVSV-W, -B, -D and -O
548 by serum obtained after the first and second immunizations. (D, E, F) Recovery of SARS-CoV-2
549 from lungs and nasal turbinates on days 2 and brain on day 4 after challenge. (G,H) Copies of
550 sgRNAs N and S normalized to 18s RNA from lungs and nasal turbinates on days 2 and 4 after
551 challenge. (I) Fold-reduction of sgRNAs in nasal turbinates on day 2 for mice immunized IN and
552 IM. Data replotted from Fig. 3G and 4H.

553

554 **Fig. 5. Neutralizing antibody responses following boosts with rMVAs expressing matched**
555 **or mismatched S.** (A-C, E-) Matched prime and boost IM vaccinations of C57BL/6 mice (n= 40
556 per group) with rMVA-W, -D, and -O. (A) Timeline. (B) Neutralization of pseudoviruses rVSV-

557 W, -D and -O by serum obtained after the prime with rMVA-W, -D and -O and after the matched
558 boost. (C) Binding of pooled serum from the second bleed to Wuhan and Omicron S determined
559 by ELISA. (D) Neutralization of pseudoviruses by sera from mice sublethally infected with
560 CoV-W, CoV-D or CoV-O, (E) Serum neutralizing titers of mice one day after receiving pooled
561 serum IP from naïve mice or mice immunized twice with MVA, rMVA-W or rMVA-O. (F, G)
562 Recovery of SARS-CoV-2 and sgRNAs from the lungs at 4 days after challenge of passively
563 immunized mice. (H, I) C57BL/6 mice immunized twice with rMVA-W and boosted with
564 rMVA-W, -B, -D, or -O. (H) Timeline. (I) Neutralization of pseudoviruses rVSV-W, -B, -D and
565 -O by serum obtained after the third immunization. (i) Neutralization of pseudoviruses by sera
566 obtained after the fourth immunization.

567

568 **Fig. 6. Protection of SARS-CoV-2 challenged mice that received matched or mismatched**
569 **rMVAs IM.** (A) Timeline showing IM immunizations of K18-hACE2 mice (n=9 per group)
570 with MVA, rMVA-W and rMVA-O and matched and mismatched challenges with CoV-W and
571 CoV-O. (B) Neutralization of pseudoviruses rVSV-W and -O by serum obtained after one and
572 two IM immunizations with MVA, rMVA-W or rMVA-O. (C, D) Recovery of SARS-CoV-2
573 from lungs and nasal turbinates on days 2 and 4 after challenge with CoV-W or CoV-O. (E, F)
574 Copies of sgRNAs N and S normalized to 18s RNA from lungs and nasal turbinates on days 2
575 and 4 after challenge.

576

577 **Fig. 7. Protection of CoV-W and CoV-O challenged mice vaccinated IN with rMVA-O.** (A)
578 Timeline of IN immunizations of K18-hACE2 mice (n=13 per group) with MVA or rMVA-O
579 and matched and mismatched challenges with CoV-W and CoV-O. (B) Neutralization of

580 pseudoviruses rVSV-W and -O by serum obtained after one and two IN immunizations with
581 MVA or rMVA-O. (C) Weight loss of mice following challenge with CoV-O or CoV-W. (D, E)
582 Recovery of virus and sgRNAs in the lungs of mice challenged with CoV-W or CoV-O. (F, G)
583 Recovery of virus and sgRNAs in the nasal turbinates of mice challenged with CoV-W or CoV-
584 O. (H) Fold-reduction of sgRNAs in nasal turbinates on day 2 for mice immunized IN and IM.

585

586 **Fig. S1. Relative virulence of SAR-CoV-2 variants.** (A -F) K18-hACE2 mice (n=3 per group)
587 were infected IN with 10^2 to 10^4 TCID₅₀ of indicated SARS-CoV-2 strains Washington (CoV-W,
588 Beta (CoV-B), Delta (CoV-D) and weight loss and survival plotted. (G) K18-hACE2 mice (n=5)
589 were infected IN with 5×10^4 TCID₅₀ of CoV-O and weight loss plotted. †, death. (H, I) K18-
590 hACE2 mice (n=3 per group) were infected IN with 5×10^3 to 5×10^4 TCID₅₀ of CoV-W or
591 CoV-O and virus titers in the lungs and nasal turbinates determined on day 2.

592

593 **Fig. S2. Expression of S by variant rMVAs.** (A) Cells were mock-infected or infected with
594 rMVA-W, -B, -D, or -O and Western blots probed with mouse anti-Flag (Millipore Sigma),
595 rabbit anti-Wuhan S-RBD (Sino Biologicals), rabbit anti-GFP (Thermofisher), mouse anti-actin
596 (Santa Cruz), donkey anti-mouse IRDye 680RD (LiCor) and donkey anti-rabbit IRDye800CW
597 (LiCor). The positions of size markers with mass in kDa are shown on the left. (B) Ratios of
598 intensities of the bands probed with anti-Flag and anti-GFP plotted. (C) Ratios of intensities of
599 bands probed with anti-RBD and anti-GFP plotted. (D) Cells were infected with MVA, rMVA-
600 A, -W, -B, -D and -O, fixed without permeabilization and incubated with biotinylated his-tag
601 hACE2 (Sino Biologicals) followed by APC streptavidin (BD Pharmagin). Stained cells were

602 analyzed by flow cytometry. Cells were first gated for GFP fluorescence and then for APC.

603 Percent of GFP+ cells that stained with hACE2 are indicated.

604

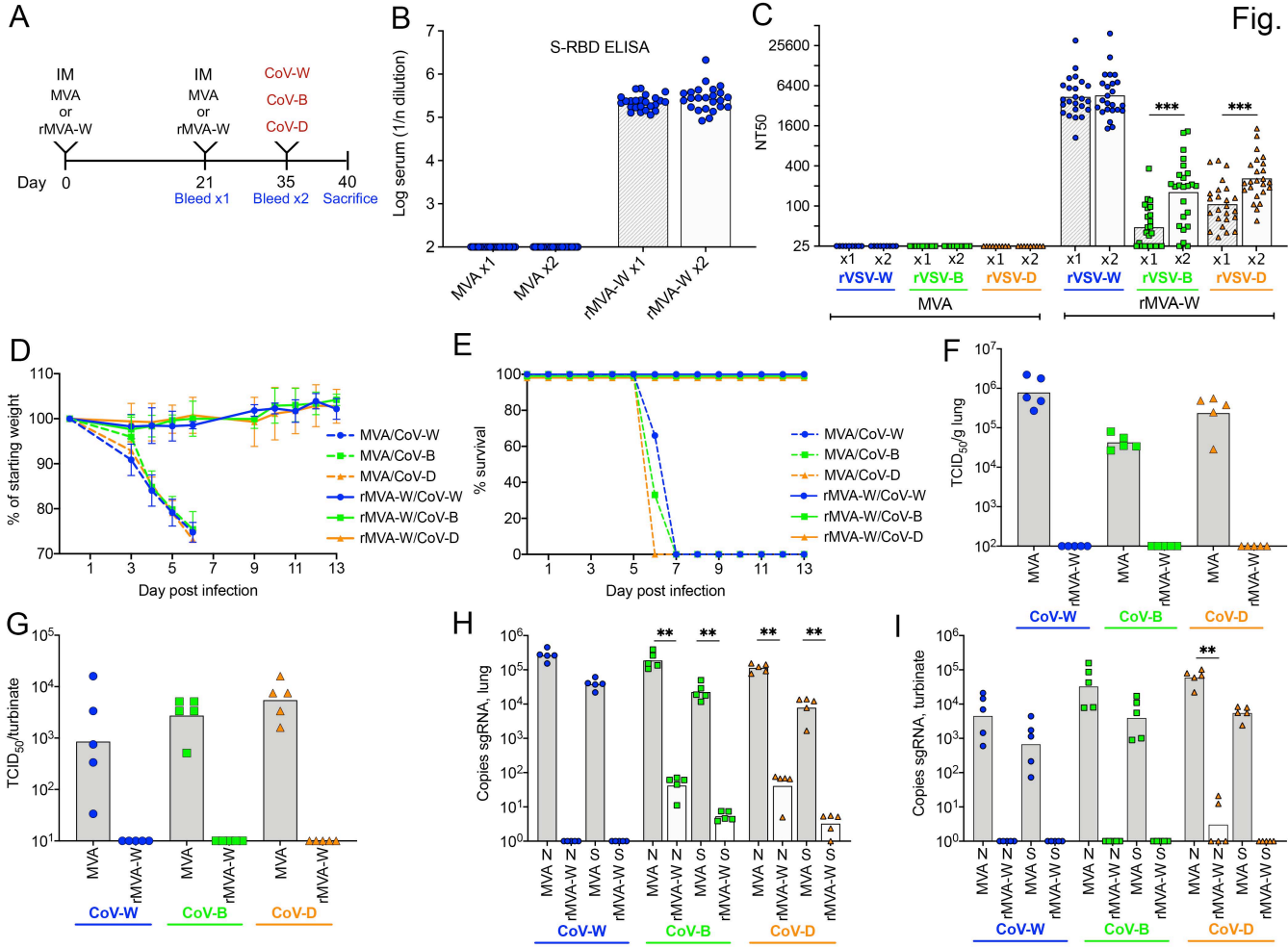
605 **Fig. S3. Comparison of sequences of S in rMVAs, rVSVs and SARS-CoV-2 variants.** All

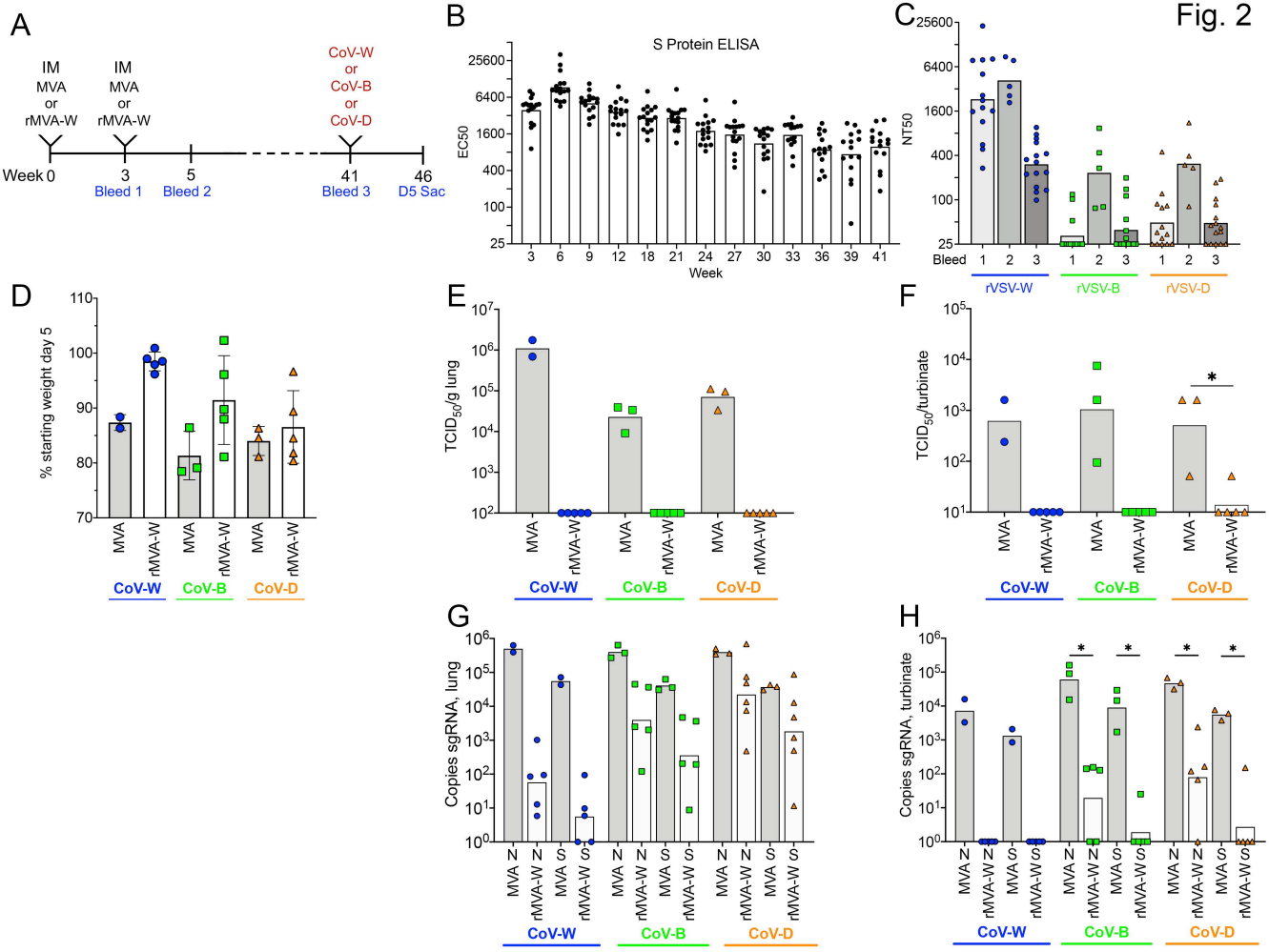
606 sequences are compared to Wuhan Genbank# MN908947.3 and only differences are listed.

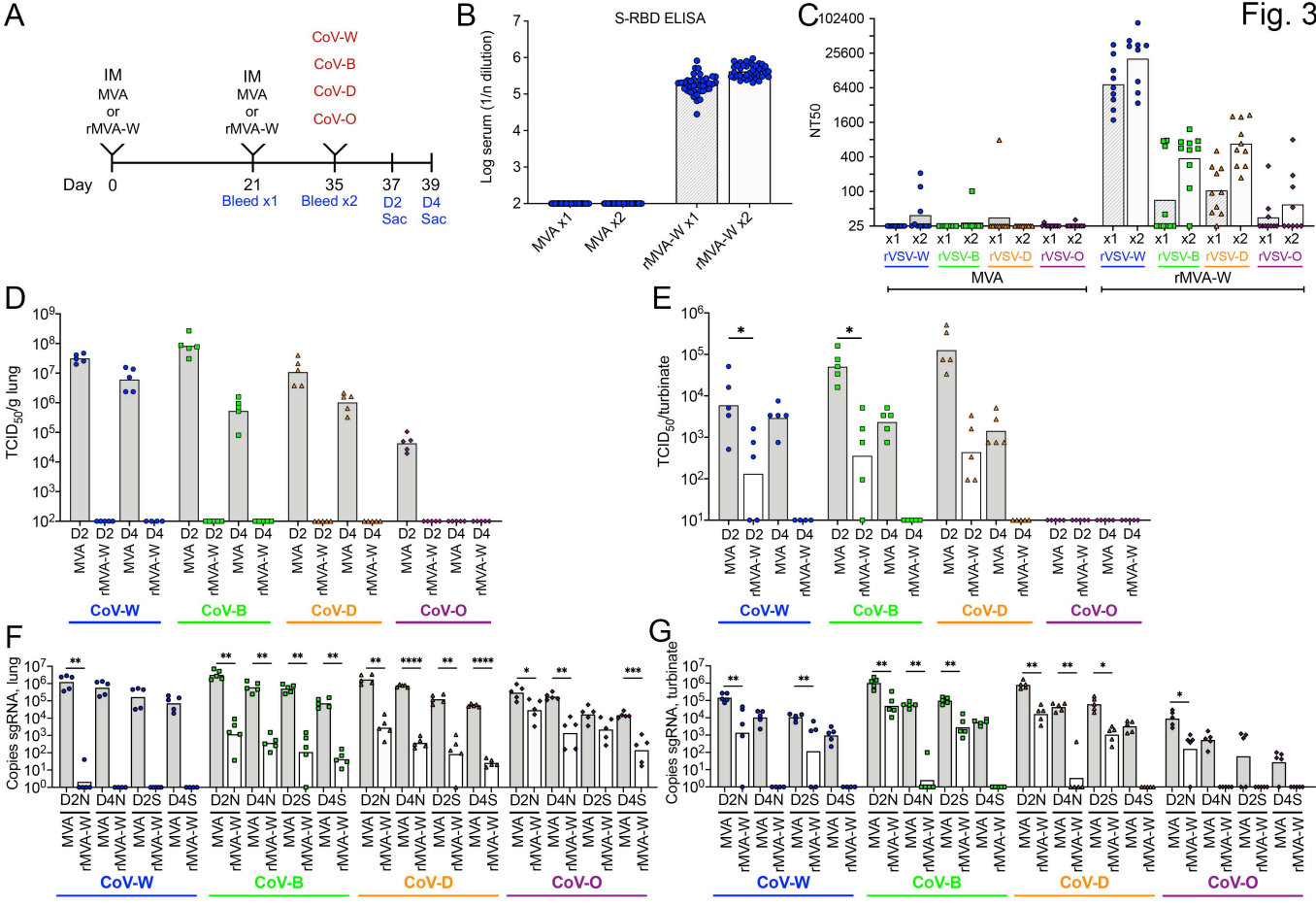
607 Amino acids in red are in RBD; amino acids in purple represent modifications in rMVAs for

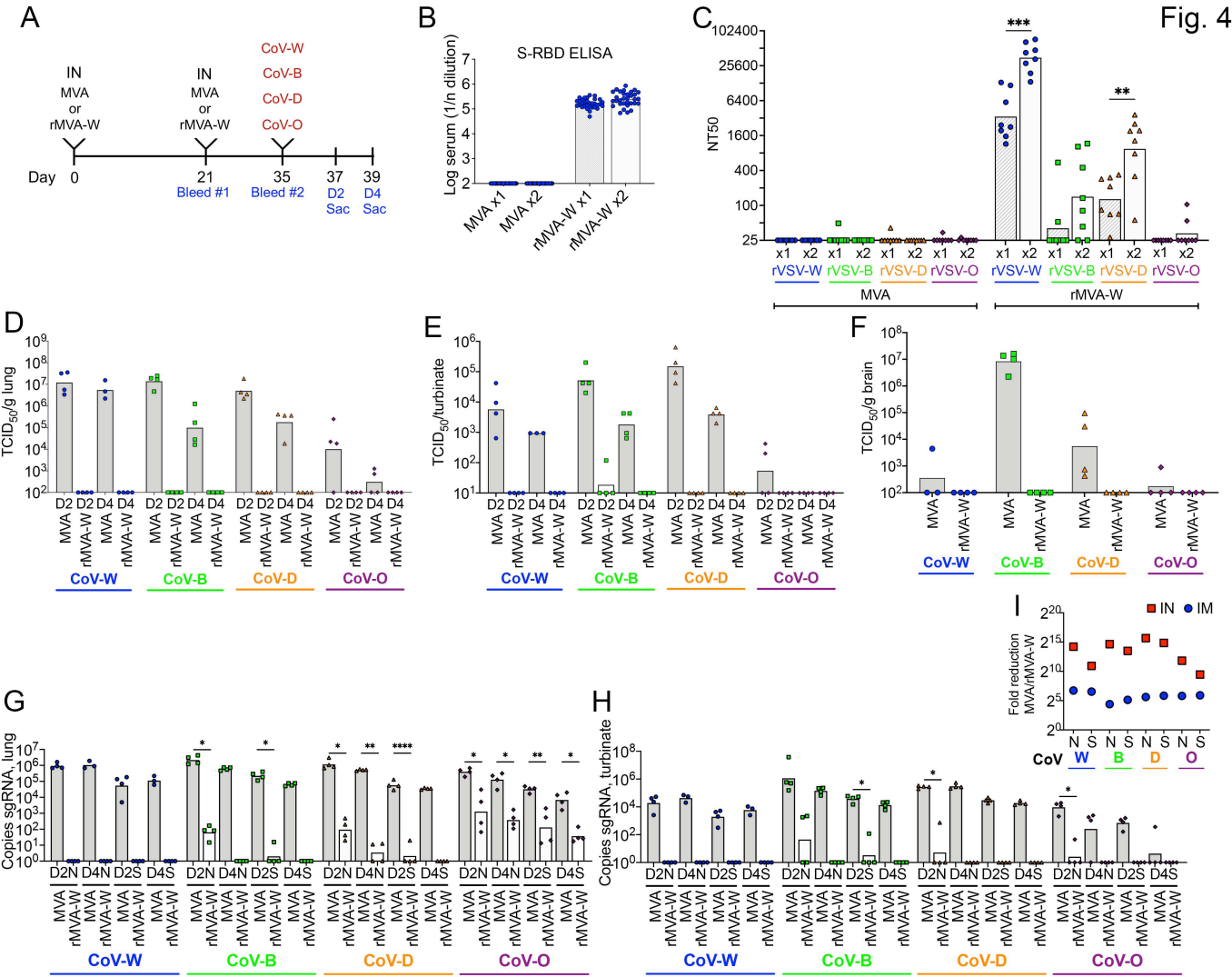
608 stability of the prefusion form of S, prevent furin cleavage and endoplasmic retrieval, and add a 3

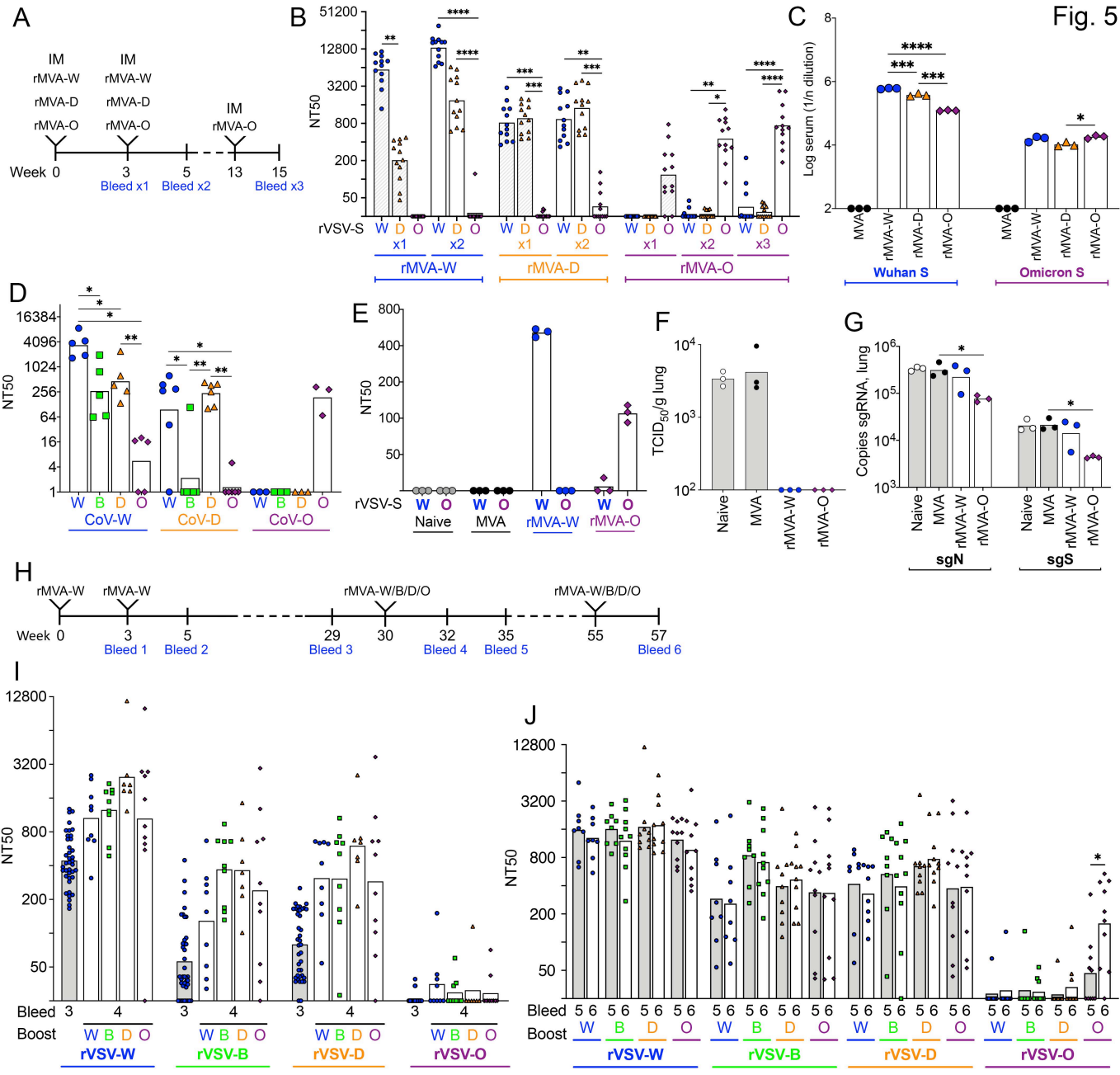
609 x Flag tag as previously described for Wuhan ¹². Abbreviation: del, deletion.

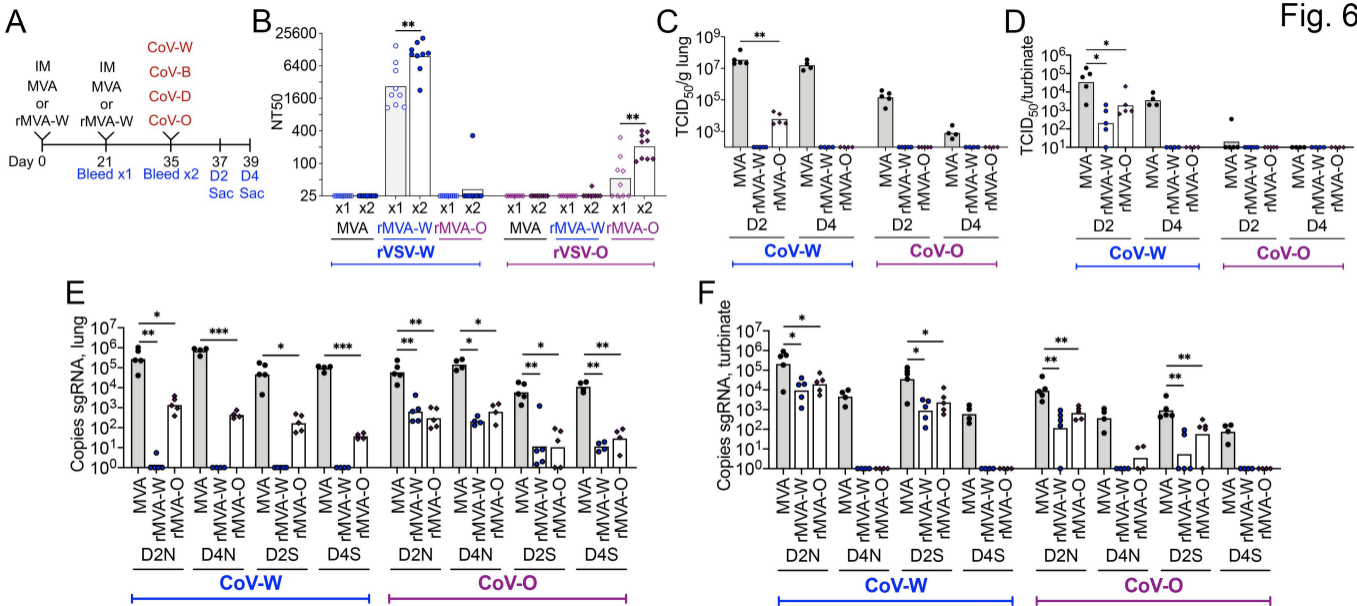


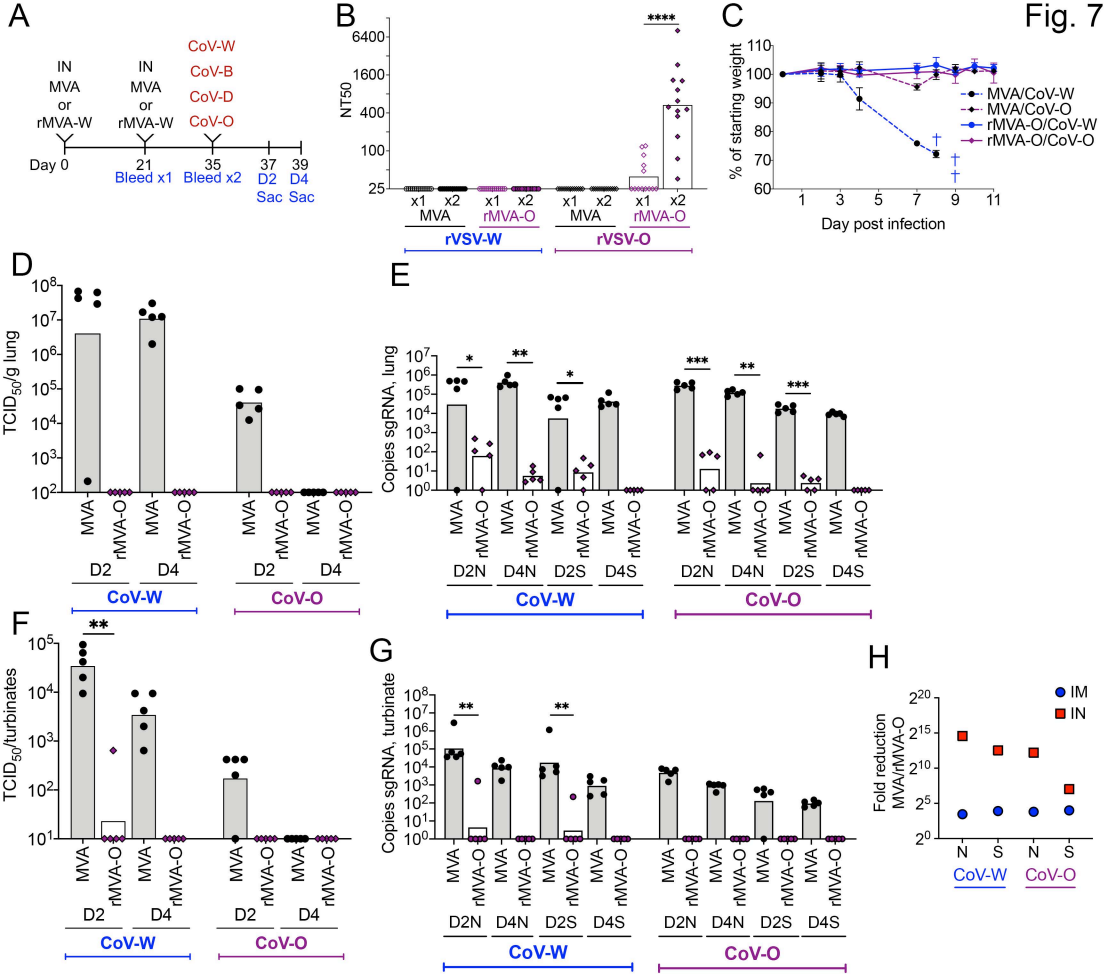


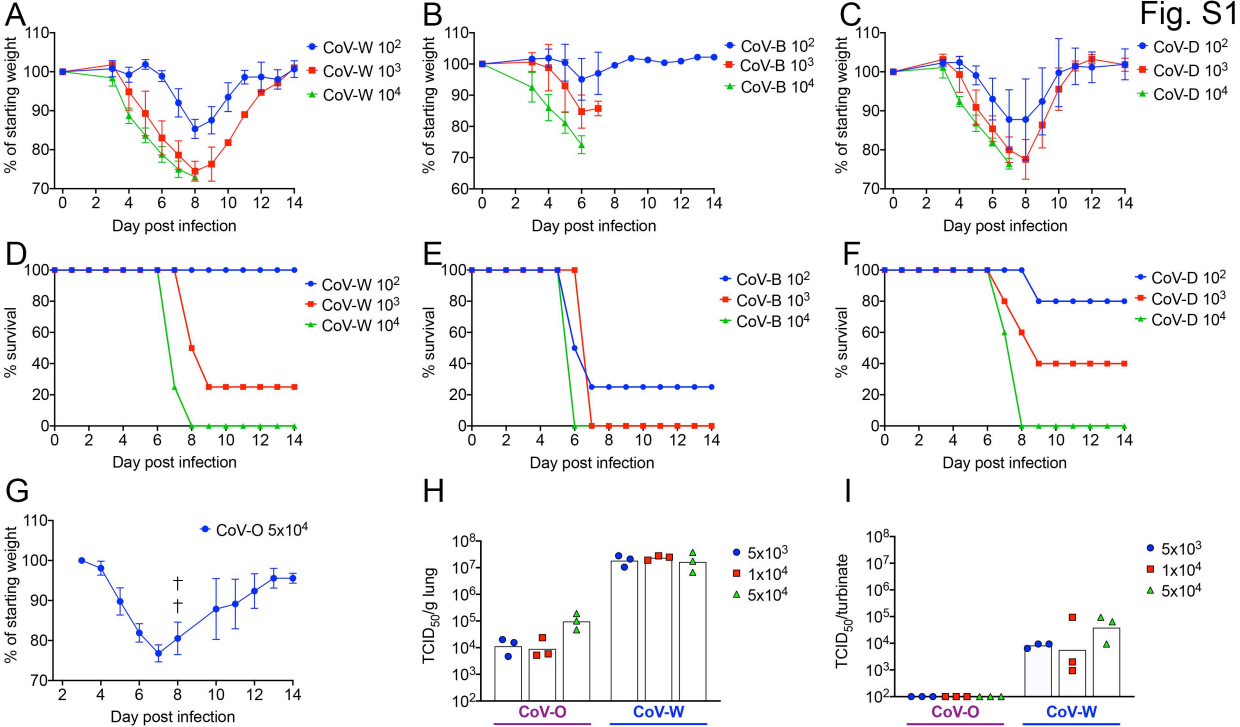


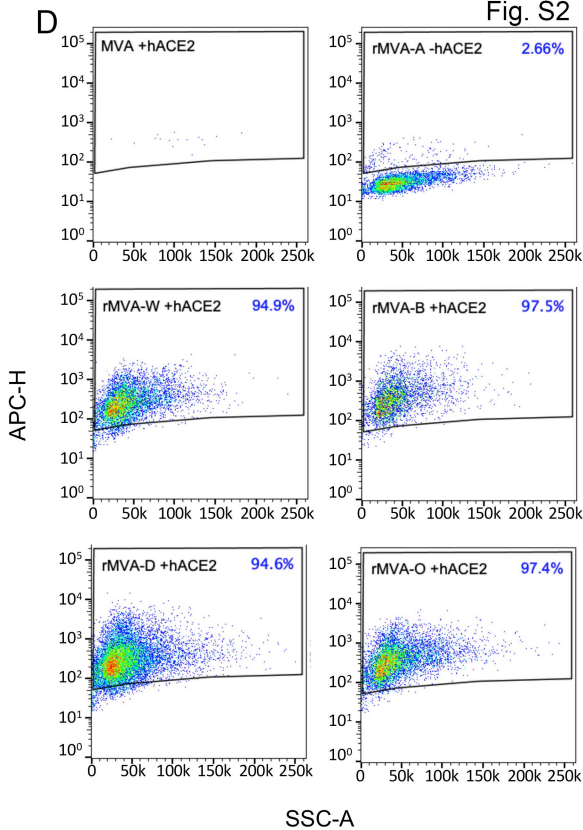
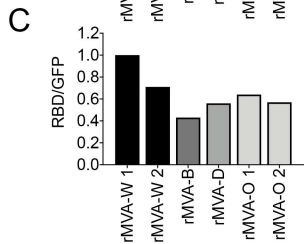
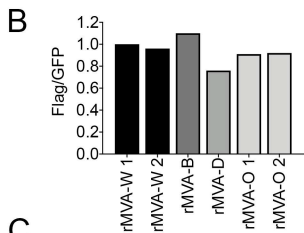
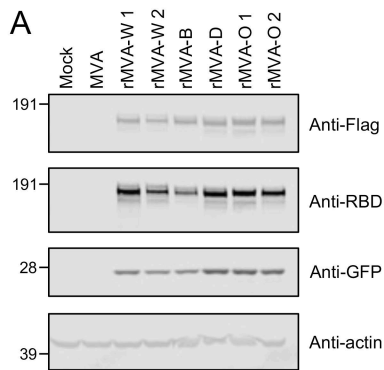












Pseudovirus S		Changes compared to Reference Wuhan Sequence Genbank# MN908947.3
Wuhan		No changes
Beta		L18F, D80A, D215G, del241-243, R246I, K417N, E484K, N501Y , D614G, A701V
Delta		T19R, G142D, del156-157, R158G, L452R, T478K , D614G, P681R, D950N
Omicron		A67V, del69-70, T95I, G142D, del143-145, del211N, L212I, ins214EPE, G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493R, G496S, Q498R, N501Y, Y505H , T547K, D614G, H655Y, N679K, P681H, N764K, D796Y, N856K, Q954H, N969K, L981F
CoV challenge S		Changes compared to Reference Wuhan Sequence Genbank# MN908947.3
Washington		No changes
Beta		L18F, D80A, D215G, del241-243, K417N, E484K, N501Y , D614G, Q677H, R682W, A701V
Delta		T19R, G142D, del156-157, R158G, A222V, L452R, T478K , D614G, P681R, D950N
Omicron		A67V, del69-70, T95I, G142D, del143-145, del211N, L212I, ins214EPE, G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493R, G496S, Q498R, N501Y, Y505H , T547K, D614G, H655Y, N679K, P681H, N764K, D796Y, N856K, Q954H, N969K, L981F
rMVA-S		Changes compared to Reference Wuhan Sequence Genbank# MN908947.3
Wuhan		R682G, R683S, R685S, K986P, V987P, del1255-1273, 3XFlag
Beta		L18F, D80A, D215G, del241-243, R246I, K417N, E484K, N501Y , D614G, R682G, R683S, R685S , A701V, K986P, V987P, del1255-1273, 3XFlag
Delta		T19R, G142D, del156-157, R158G, K417N, L452R, T478K , D614G, P681R, R682G, R683S, R685S , D950N, K986P, V987P, del1255-1273, 3XFlag
Omicron		A67V, del69-70, T95I, G142D, del143-145, del211N, L212I, ins212EPE, G339D, S371L, S373P, S375F, K417N, N440K, G446S, S477N, T478K, E484A, Q493K, G496S, Q498R, N501Y, Y505H , T547K, D614G, H655Y, N679K, P681H, R682G, R683S, R685S , N764K, D796Y, N856K, Q954H, N969K, L981F, K986P, V987P, del1255-1273, 3XFlag