LONG-TERM ECOLOGICAL RESPONSES OF A DIPTEROCARP FOREST TO CLIMATE

CHANGES AND NUTRIENT AVAILABILITY

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Summary

• Understanding the long-term impact of projected climate change on tropical rainforests is

critical given their central role in the Earth's system. Palaeoecological records can provide a

valuable perspective on this problem. Here we examine for the first time the effects of past

climatic changes on the dominant forest type of Southeast Asia – Dipterocarp forest.

• We use a range of proxies extracted from a 1,400-year-old lacustrine sedimentary sequence

from north-eastern Philippines to model long-term vegetation responses of Dipterocarp forest,

including its dominant tree group Dipterocarps, to changes in precipitation, fire and nutrient

availability over time.

• Our results show a positive relationship between Dipterocarps pollen accumulation rates

(PARs) and leaf wax hydrogen isotope values, which suggests a negative effect of drier

conditions on Dipterocarp tree abundance. Furthermore, we find a positive relationship between

Dipterocarp PARs and the proxy for phosphorus availability, which suggests phosphorus

controls the productivity of these keystone trees on longer time scales. Other plant taxa show

widely varying relationships with the abiotic factors, demonstrating a high diversity of

functional responses.

• Our findings provide novel insights into Dipterocarp forest responses to changing climatic

conditions in the past, and highlight potential impacts of future climate change on this globally

important ecosystem.

Introduction

Lowland tropical rainforests make up the most productive terrestrial biome and cover nearly 10% of Earth's land surface (Saatchi *et al.* 2011). As such, they represent a key component of the models predicting Earth's capacity to combat increasing levels of CO_2 (Bonan & Levis 2010; Huntingford *et al.* 2013). Yet the nature and extent to which climate and nutrient availability influence the productivity and reproduction of tropical rainforests remain poorly resolved (Cleveland *et al.* 2011). This is particularly true for the Dipterocarp forests that once covered much of peninsular Malaysia, Sumatra, Java, Borneo, and the Philippines (Appanah 1985). Due to record high rates of deforestation, they presently occupy only 30% of their original range (Sodhi *et al.* 2004; Wilcove *et al.* 2013). Dipterocarp forests are ecologically unique among tropical rainforests in that they engage in interannual mass flowering, and are overwhelmingly dominated by a single tree family, Dipterocarpaceae, whose members constitute up to 10% of total tree diversity, over 50% of the basal area and nearly 80% of all emergent trees in these forests (Appanah 1985).

Observational studies have shown that drought and fire events can exert a large influence on the ecological processes in Dipterocarp forests on annual scales. Severe drought events in the region, of which El Niño-Southern Oscillation (ENSO) is the main driver, lead to increased mortality for most tree species in Dipterocarp forests, with large trees being particularly susceptible. For instance, in a single drought event in 1998, large trees (i.e., >80 cm d.b.h.) made up more than 45% of total stem mortality in Dipterocarp forests (Van Nieuwstadt *et al*. 2005). Furthermore, fire events, which are more likely to occur during severe droughts, can cause near total mortality of smaller trees (Van Nieuwstadt *et al*. 2005). The resulting increase in tree mortality can lead to higher occurrence of forest gaps, which presently form only a small portion of the total area (Ghazoul and Sheil 2010). It has therefore been hypothesized that long-term changes in the magnitude and frequency of drought and fire events, associated with the changes in ENSO activity projected for this century, will profoundly alter the structure of Dipterocarp forests (Whitmore 1988; Ghazoul 2016).

In contrast, other work has suggested that El Niño-induced drought events play a key role in the reproduction of Dipterocarp forests because they trigger mass flowering events (Shoko Sakai *et al.* 2006). Most Dipterocarp species reproduce only during such events. For example, more than 60% of *c.* 200 Dipterocarp species in North Borneo were observed to flower during a single strong El Niño drought event in 1955 (Woods 1956), and almost 50% of the mature Dipterocarp individuals have been

shown to flower during mass flowering years (Burgess 1972; Cockburn 1975). Mass flowering of

Dipterocarps are often accompanied by heavy flowering of many other plant species, including

members of Anacardiaceae, Euphorbiaceae, Annonaceae, Fabaceae, Burseraceae, Moraceae and

Myristicaceae (Appanah 1985). An alternative hypothesis, therefore, is that rather than a decline in

Dipterocarp tree abundance, stronger El Niño-related drought regimes predicted for this century will

instead lead to stronger/ more frequent flowering events, boosting the reproduction of Dipterocarp

forests.

In addition to precipitation and fire, understanding nutrient limitations in Dipterocarp forests is essential

for predicting their responses to current and future disruptions in nutrient cycling (Sala *et al.* 2000;

Boisvenue & Running 2006; Hou *et al.* 2018). Phosphorus is a primary plant macronutrient with

particularly low concentrations in tropical soils and it is thus widely assumed to be the main limiting

nutrient to the productivity of lowland tropical rainforests (Vitousek 1984) (in contrast to nitrogen that

is believed to be generally abundant due to biological N fixation (Jenny 1950; Cleveland *et al.* 1999;

Galloway *et al.* 2004). However, evidence of phosphorus limitation is scarce. Previous work has

indicated that the response of tropical trees to increased phosphorus supply is highly heterogeneous,

depending upon light conditions (Thompson *et al.* 1988), successional status (Lawrence 2003),

mycorrhizal association (Moyersoen *et al.* 1998) and size class (Wright *et al.* 2011; Alvarez-Clare *et al.*

2013). Previous studies also found a weak relationship between primary productivity and phosphorus

availability (Cleveland *et al.* 2011), with nitrogen addition eliciting a tree growth response as large as

phosphorus addition (Wright *et al.* 2018). These findings come predominantly from short-term

experimental studies at stand-level (Vitousek *et al.* 1993; Mirmanto *et al.* 1999; Newbery *et al.* 2002;

Davidson *et al.* 2004; Wright *et al.* 2011; Alvarez-Clare *et al.* 2013; Cunha *et al.* 2022) and at the level

of individual trees (Burslem *et al.* 1996; Gunatilleke *et al.* 1997; Lawrence 2003; Yavitt & Wright 2008),

and from observational studies across environmental gradients that vary in soil nutrient availability

(Vitousek *et al.* 1992; Santiago *et al.* 2004; Santiago & Mulkey 2005; Baltzer *et al.* 2008; Fyllas *et al.*

2009). However, a large portion of the biomass production in a tropical rainforest stand is carried out by

large trees (Rutishauser *et al.* 2010; Slik *et al.* 2010; Ferry Slik *et al.* 2013), and according to a hypothesis

by Vitousek (1984), it is these individuals that are most limited by phosphorus availability. Thus,

understanding the response of a tropical rainforest to phosphorus availability is not possible without

understanding the response of its large trees. Given the long life span (multi-centennial) and generation

time (multi-decadal) of large rainforest trees, predicting future responses of tropical rainforests to

changing conditions is extremely challenging. However, distinct changes in precipitation amount, some

of which linked to ENSO dynamics, along with changing fire intensity and nutrient availability, have

been recorded over the past millennia (Cobb *et al.* 2003; Conroy *et al.* 2008; Overpeck & Cole 2010;

Cobb *et al.* 2013; McLauchlan *et al.* 2013; Marlon *et al.* 2013; Prohaska *et al.* 2022). Comparing

paleoclimate records with fossil pollen and other fossil proxies that capture plant responses to these

changes therefore holds great potential for understanding and predicting the effects of future climate

change on Dipterocarp forests.

Here, we investigate the long-term vegetation dynamics of Dipterocarp forests from a 1,400-year-old

laminated sedimentary sequence from Bulusan Lake in the north-eastern Luzon, Philippines (Fig. 1a-c).

To that end, we generate bi-decadal pollen accumulation rates (PARs, grains $cm^{-2}yr^{-1}$) (Davis & Deevey

1964; Giesecke & Fontana 2008) and use the PARs as an independent proxy of plant taxa abundance

(see Supporting Information). We also generate a record of carbon isotope values of leaf wax alkanes

($\delta^{13}C_{wax}$, ‰), and use nC_{29} alkane $\delta^{13}C$ values (hereafter $\delta^{13}C_{wax}$ record) as an independent proxy of the

ratio of C3 vegetation (trees and grasses) to C4 vegetation (grasses). We examine the response of

individual Dipterocarp forest plant taxa, including the dominant Dipterocarp trees, and the overall forest

vegetation cover, to a range of climatic and nutrient factors. A recently generated record of hydrogen

isotope values of leaf wax alkanes (δD_{wax}, ‰) from the Bulusan sedimentary sequence has demonstrated

high precipitation variability throughout the last 1,400 years, as well as a prolonged period of El Niño-

like conditions during the Little Ice Age (1630-1900 AD) (Prohaska *et al.* 2022). Hence, the Bulusan

sequence presents an excellent opportunity to examine the effects of changing precipitation conditions

on Dipterocarp forests. We use nC_{29} alkane δD values from the Bulusan sequence (hereafter δD_{wax} record)

as a proxy record of precipitation variability related to changes in ENSO mean state conditions (Prohaska

et al. 2022). We also generate fossil macrocharcoal influx rates (particles $cm^{-2}yr^{-1}$) as a proxy record of

local fire activity (Clark 1988; Mooney & Tinner 2010), and stable nitrogen isotope composition of bulk

sediments (sed$\delta^{15}N$, ‰) and phosphorus concentration of bulk sediments (sedP$_{conc}$, XRF counts per

second) (Croudace *et al.* 2006) as proxy records of nitrogen and phosphorus availability, respectively.

Using Generalised Additive Models (GAMs), we first examine the temporal trends in PARs, particularly

in relation to the prolonged period of El Niño-like conditions. Following this, we employ GAMs to

evaluate the relationship between PARs and abiotic factors on a bi-decadal scale.

Methods

Study site

Bulusan Lake is a 0.28 km^2 lake lying at 360 m.a.s.l. at the foot-slopes of Mt Bulusan, a stratovolcano at the southeast end of the Bicol Volcanic Arc (Delfin *et al.* 1993). The lake consists of two basins: a 12 m deep round basin, and a larger, 24 m deep basin of more narrow profile. The two basins have no inlets or outlets and comprise a closed system fed primarily by precipitation and groundwater. The waters of Bulusan Lake are of clear, bright green colour and no floating or submerged vegetation has been recorded at the lake. The lake is part of the Bulusan Volcano National Park, a nature reserve that was first established in 1935, and where logging and hunting activities are strictly regulated (Abada Gatumbato *et al.* 2011).

The region has a dominant rainfall season occuring during autumn, and is a member of global "Autumn Monsoon precipitation" region (Ramesh *et al.* 2021). The western North Pacific monsoon also operates over the region, however its contribution to the total annual rainfall is smaller. In addition, typhoons are a source of episodic precipitation, accounting for up to 30% of northeastern Philippines rainfall in a year (Rodgers *et al.* 2000). ENSO is the main driver of inter-annual rainfall variability at Bulusan, resulting in reduced (increased) precipitation at Bulusan during El Niño (La Niña) years. This makes the Bulusan record ideally suited to provide insights into the vegetation responses to mean changes in ENSO dynamics on longer time scales.

The vegetation surrounding Bulusan Lake is classified as Lauan Dipterocarp forest dominated by Dipterocarp tree *Shorea contorta* (Abada Gatumbato *et al.* 2011). In addition to *Shorea*, other notable large tree genera in the Bulusan Dipterocarp forest include Dipterocarps trees *Vatica* and *Parashorea*, as well as *Pterocarpus*, *Dracontomelon*, *Agathis*, *Pinanga* and *Ficus* (Abada Gatumbato *et al.* 2011). Species such as *Litsea*, *Aglaia*, *Artocarpus*, and *Syzygium* dominate in the lower canopy, while *Elaeocarpus*, *Leea* and *Bischofia* are prominent subcanopy trees. Presently, pioneer plants comprise only a small fraction of the Dipterocarp forest vegetation because their successful establishment is limited to gaps (Ashton & Seidler 2014) which cover only around 1% of total forest area (Ghazoul 2016). Common pioneer taxa include *Macaranga*, *Trema*, *Melastoma*, *Dillenia*, *Alstonia*, Poaceae and Polypodiopsida (Woods 1989; Ashton & Seidler 2014; Davies & Ashton 1999; Co *et al.* 2006).

 Collection of lake sediments

 Two overlapping sedimentary sequences, BUL1 and BUL2, were collected as 1 m cores in March 2013

 from the smaller basin of Bulusan Lake with a Livingstone Piston corer from an anchored platform. The

 sediments were shipped to the University of Oxford and stored at 5°C. The two sedimentary sequences

 were used to create a continuous, undisturbed composite sequence using (non-annual) laminations

 present throughout the sequence (Fig. 1d). Sediment laminations were mapped using optical and

 radiograph images taken with an Itrax Core Scanner at the University of Aarhus in September 2013 (Fig.

 1d). For more information about the Bulusan laminations, please see Prohaska *et al.* (2022). All proxy

 records in this study come from these two sedimentary sequences and are constrained by a high-

 resolution age-depth model (see Supporting Information for more details).

 Extraction and processing of fossil proxies

 Sediment samples were extracted from the top 3.0 m of the composite Bulusan sequence as a continuous

 series of 4 cm long slices of 1 cm^3 volume, with each slice corresponding to a ~20-year interval. Standard

 protocols were employed for the extraction and counting of fossil pollen (Bennett and Willis 2001), and

 a reference collection of pollen types from the region was generated using herbarium samples, and

 combined with printed and online sources (e.g., Australasian Pollen and Spore Atlas Online Database

 (APSA Members 2007), Pollen flora of the Philippines (Jagudilla-Bulalacao 1997), Pollen Flora of

 Taiwan (Huang 1972)). Five hundred terrestrial pollen grains were counted and identified in each

 sample. PARs were calculated as the number of pollen grains deposited in 1 cm^2 of sediment per year

 according to the standard procedures (Stockmarr 1971) and used to infer changes in the abundance of

 plant taxa over time. For obtaining macrocharcoal influx rates as a proxy of local fire activity, we

 calculated the total number of macrocharcoal particles (>150 μm) identified in sediment samples under

 a Nikon stereoscope at 18x magnification (Clark 1988; Mooney & Tinner 2010).

 n-alkane paleohydrological and paleovegetation records

 For detailed description of extraction, identification and quantification of higher leaf wax *n*-alkanes

 (*n*C$_{23-33}$ homologues) and the compound-specific hydrogen isotope measurement and evaluation, please

 see Prohaska *et al.* (2022).

 Compound-specific stable carbon isotope ratios of the aliphatic fraction were measured at the German

 Research Centre for Geosciences in Potsdam using a ThermoFisher Delta-V-Plus Isotope Ratio Mass

Spectrometer coupled to a ThermoFisher TraceGC 1310 gas chromatograph. The samples were measured

on a 30 m DB5-MS column with an inner diameter of 0.25 mm and a film thickness of 0.25 μm. The

samples were measured with the following GC-temperature program: i) temperature was held for 2 mins

at 70 °C, ii) temperature was increased to 150 °C at a rate of 15 °C per min, iii) oven temperature was

increased to 320 °C at 5 °C per min, and iv) the final temperature was held for 10 mins. A standard

containing nC$_{16}$ to nC$_{30}$ alkanes with known δD values (mix A6, Arndt Schimmelmann, University of

Indiana) was measured in duplicate at the beginning and the end of each sequence or after six sample

injections, and then used for normalisation of δD values to the Vienna Standard Mean Ocean Water

(VSMOW) scale. The alkane standard mix was measured with the same temperature program as the

samples. Samples and standards were measured in duplicates. The mean standard deviation of all

measured samples for the nC$_{23}$, nC$_{25}$, nC$_{27}$, nC$_{29}$, nC$_{31}$ and nC$_{33}$ alkanes (n=41 to 65) was approximately

0.3‰, 0.3‰, 0.2‰, 0.1‰, 0.1‰ and 0.3‰, respectively.

Bulk sediment nitrogen isotope composition

Bulk sediment samples from the Bulusan cores were analysed for δ^{15}N, along with percentage carbon,

percentage nitrogen, and δ^{13}C, at the Godwin laboratory for Palaeoclimate Research, Department of Earth

Sciences, University of Cambridge. The dried and homogenised samples were carefully weighed into a

tin capsule (c. 10 mg), sealed, and loaded into the autosampler. The samples were analysed using a

Costech Elemental Analyser attached to a Thermo DELTA V mass spectrometer in continuous flow

mode. Weighted samples of standards were analysed at various points throughout the run allowing

percentage nitrogen and percentage carbon to be calculated for the batch of samples. Reference standards

from IAEA in Vienna were also run at intervals throughout the sequence and these values were used to

calibrate to the international standards for ^{14}N/^{15}N (δ^{15}N air) and ^{12}C/^{13}C (δ^{13}C VPDB). Precision of

analyses is +/- 0.5% for C and N, and better than 0.1 permille for δ^{13}C and δ^{15}N.

Bulk sediment phosphorus concentration

We conducted high-resolution analysis of major and trace chemical elements, including phosphorus, in

the Bulusan sedimentary sequence using the ITRAX Core Scanner (Croudace *et al.* 2006) at Aarhus

University, Denmark. The cores were scanned at a step-size of 0.2 mm to obtain an ED-XRF spectrum

at each step. A digital continuous-strip X-radiograph, 22 mm in width, was collected immediately prior

to the XRF scan using a charge-coupled line camera. The count time for each measurement was 30

seconds to ensure that minor elements are well detected. The X-ray beam used to irradiate the cores is

generated using a 3kW Mo X-ray tube run at 55kV and 50 ma for x-radiography and 30kV and 50ma

for the XRF scan. The recorded spectra were deconvolved in live time to construct profiles of peak area

integrals for individual elements. The element peak area reflects element abundances within the

sediment. For more details on the analysis and interpretation of $sedP_{conc}$, please see Supporting

Information.

Generalised additive modelling

The temporal trends in mean and variance of the generated PARs records were estimated using

Generalised Additive Models (GAMs) following (Simpson 2018). GAMs are statistical models that can

be used to estimate trends in mean and variance as smooth functions of time using automatic smoothness

selection methods that objectively determine the complexity of the fitted trend (Hastie & Tibshirani

1986; Hastie & Tibshirani 1990; Wood 2017). GAMs are particularly powerful for analysing

palaeoenvironmental time series because they allow to estimate complex, non-linear trends and to

identify time intervals of significant change. Two GAM models were applied in R version 3.6.3 (R Core

Team 2020) using the mgcv 1.8-31 package (Wood 2018): gam, that accounts for heteroscedasticity,

and gamm+car(1), that accounts for the car(1) correlation. In both GAM models we used tweedie

distribution to limit predicted values to positive numbers. We checked if the size of the basis expansion

is sufficient by fitting a different number of basis functions (k) using gam.check function. For the gam

models, we tested and, where appropriate, accounted for heteroscedasticity that may have arisen due to

variation in sedimentation rates over time, and thereby, in the span of time that each sample represents.

The fitted GAM smoother was considered significant at p-value <0.05. Both gamm+car(1) and gam

models for individual PAR datasets were plotted with confidence intervals that were generated using the

critical value from the t-distribution.

We also used GAMs to assess the effect of abiotic factors (δD_{wax}, macrocharcoal influx rates,

sedimentary $\delta^{15}N$ and P_{conc}) on the plant taxa abundance (PARs) and C3/ C4 vegetation composition

($\delta^{13}C_{wax}$). Macrocharcoal influx rates were transformed using square root function to reduce effect of a

small number of disproportionately large values (log function not used due to many zero values). Two

GAMs were applied as described above. In both GAMs for PARs we used a Gamma distribution to limit

predicted PAR values to positive numbers (tweedie family was also attempted but the models did not

converge over similar ρ values). Since the Gamma family does not support zero values, these were

removed from PAR datasets prior to the analysis. In the macrocharcoal influx rates and P_{conc} datasets,

two points identified by preliminary analysis as strong outliers were removed prior to modelling. For the

$\delta^{13}C_{wax}$ record, we used a Gaussian distribution. The two models (gam and gamm+car(1)) both included

all four abiotic factors as explanatory variables, and were compared according to their Akaike

Information Criterion (AIC) coefficients. The best model was selected based on the lowest AIC

coefficients, with a simpler model selected whenever the difference in AIC coefficients between two

models was smaller than 2. Explanatory variables were considered significant at the 95% level of

confidence. To evaluate the impact of removing zero values from the PAR records, we also run GAM

based on the Quasi-Poisson family that showed similar results (see Supporting Information). The Quasi-

Poisson family is suitable for overdispersed count data and supports the presence of zero values in data,

but requires the conversion of PAR values to integers. Where a model with log link for Gamma and/ or

Quasi-Poisson distribution could not converge, square-root (sqrt hereafter) or identity links were used

instead, and the converging model with the lowest AIC value was selected.

Results & Discussion

Response of Dipterocarp forest vegetation to long-term environmental changes

The δD_{wax} record covering the past 1,400 years indicates that the Bulusan region has experienced a

prolonged period of El Niño-like conditions during the late Little Ice Age, i.e., 1630-1900 AD, resulting

in a reduction in precipitation evident from an abrupt increase in δD_{wax} values during this time (Prohaska

et al. 2022) (Fig. 2a). Furthermore, our new record of fossil macrocharcoal influx rates from Bulusan

site shows that this period was accompanied by increased fire activity in comparison to the more recent

times, though there was a period of even higher fire activity preceding it (1400-1600 AD) (Fig. 2b).

Nitrogen isotopic values show limited variability over the last 1,400 years, apart from a pronounced

increase at ~1000 AD, followed by a modest decrease to present-day values at ~1250 (Fig. 2c). In

contrast, the record of P_{conc} varied extensively at Bulusan over the same period, with notable peaks at

~900 AD and ~1700 AD, and troughs at ~750 AD and ~1200 AD (Fig. 2d).

We find that Dipterocarp PARs exhibited relatively large fluctuations throughout the Bulusan record

(Fig. 2h). Notably, Dipterocarp PARs declined and remained low during the 1630-1900 AD period of

reduced precipitation. However, the PARs also exhibited at least two comparable declines in abundance

prior to this, specifically during 1100-1300 AD and 700-900 AD, despite relatively stable precipitation

during these time periods. Furthermore, during the period of most intense fire intensity recorded in the

Bulusan record, 1400-1600 AD, Dipterocarp PARs were at some of their highest levels. Finally, the

peaks and troughs of Dipterocarpaceae PARs correspond closely to the peaks and troughs in P_{conc}. As a

result, it is difficult to discern based on the analysis of temporal trends alone the relative contribution of

precipitation, fire and nutrient variability to the dynamics of Dipterocarp PARs at Bulusan over the last

1,400 years.

Other plant taxa show widely divergent PAR trends over the last 1,400 years (Fig. 2), and many of the

PAR records include significant periods of change according to the first derivatives analysis (Fig. S7).

For instance, *Calamus*, *Pometia* and *Syzygium* show a decrease in PAR values during the 1630-1900 AD

period while *Pinanga* and *Trema* show the opposite. Furthermore, *Macaranga*, *Pometia*, and *Syzygium*

show a distinct increase in PAR values during the peak in charcoal values between 1400-1600 AD, while

the PAR values of *Calamus*, *Caryota*, and *Pometia* closely follow the P_{conc} changes over time. One

common theme among several PARs is an increase to present day. For instance, the post-1800 AD

decrease in PARs of *Calamus* and *Caryota* that may be at least partially linked to anthropogenic

activities, i.e., harvesting for materials and food, that have been documented in this area (see Supporting

Information). Moreover, a pronounced post-1900 AD increase in PARs of *Elaeocarpus*, *Trema* and

Poaceae coincides with the onset of more intense anthropogenic activities in the area, including moderate

logging around the Bulusan Lake (Abada Gatumbato *et al.* 2011). While land use change leading to a

more open environment is a possible explanation to the recent PAR changes in these predominantly

pioneer taxa, it is not included directly in our analysis due to lack of independent records for these

activities. Interestingly, these activities appear not to have had a notable effect on Dipterocarp abundance,

as their PARs continue an upward trajectory. Overall, our results reveal a high diversity of responses

across different plant taxa, which is to be expected for this forest type.

Values of $\delta^{13}C_{wax}$ in the Bulusan record range from -35.6‰ to -27.8‰. Previous studies established that

$\delta^{13}C_{wax}$ values of higher plant n-alkanes reflect their carbon fixation pathways and can thus be used to

track changes in C3/ C4 composition of vegetation (e.g., Schefuss *et al.* (2003), Castañeda *et al.* (2009),

Tjallingii *et al.* (2011), Garcin *et al.* (2014)). To that end, n-C_{29} and n-C_{31} alkanes have been most widely

used because they are the most abundant and ubiquitous n-alkane homologues in both plant material and

sedimentary archives. In tropical settings, C3 (trees and shrubs) and C4 (grasses) vegetation produces

waxes with average $\delta13C_{wax}$ values of $-35‰$ and $-18‰$, respectively (Garcin *et al.* 2014). Bulusan

$\delta^{13}C_{wax}$ values are well within the range of C3 vegetation, providing further support that forest cover

dominated the surrounding landscape throughout the last 1,400 years with a varying, but overall small,

C4 vegetation component. Nevertheless, the Bulusan $\delta^{13}C_{wax}$ record also shows a marked and abrupt rise

in values close to 1500 AD, which closely coincides with the peak in the fossil macrocharcoal influx

rates values. This suggests that the enhanced fire activity around this time has led to an increase in the

proportion of C4 vegetation to C3 vegetation, perhaps by creating a more open habitat that was suitable

for grasses.

For a more detailed description of the temporal trends analysis, please see Supporting Information

(Tables S3-S4).

Influence of climate and nutrient availability on Dipterocarp trees

Our results suggest that on a bi-decadal scale the changes in Dipterocarp PARs are associated with both

precipitation and nutrient availability. Specifically, the best-fitted GAM model yielded a significant

positive effect (p-value >0.05) of the δD_{wax} values and P_{conc} values on Dipterocarp PARs (Table 1 and

Fig. 3, for a more detailed description of the GAM results, please see Supporting Information). This

suggests that on multidecadal scales the Dipterocarp abundance is inversely associated with ENSO-

mediated drought but positively associated with phosphorus availability.

Our results are in accordance with observational studies that found Dipterocarp trees to have particularly

low tolerance to drought. For instance, (Nakagawa *et al.* 2010) found that during drought events the

mortality of Dipterocarp species can increase up to 30 times, as opposed to 2-11 times for other tree

families. Previous research also has established a positive link between ENSO conditions and an

Dipterocarp flower production; stronger El Niño drought events usually result in stronger general

flowering events where the period between two mass flowering events can be as short as 2 years (Sakai

et al. 2006). Furthermore, Kaars *et al.* (2009) showed that the higher frequency of El Niño events over

the last 250 years was accompanied by higher concentration of Dipterocarpaceae pollen in the marine

core from Kau Bay, Indonesia. However, our results indicate that while enhanced ENSO conditions in

this region over the past 1,400 years may have led to intensification of mass flowering events, any

positive effects of such climatic conditions on pollen production had been surpassed by its negative

effects, such as increased tree mortality.

Surprisingly, we found no evidence that Dipterocarpaceae PARs were significantly affected by the

changes in the fossil macrocharcoal influx rates (the best-fitted GAM yielded a non-significant effect (p-

value >0.05), Table 1), suggesting lack of relationship between Dipterocarp abundance and fire activity.

This indicates that the mechanisms which led to the (mass) flowering of mature Dipterocarp trees were

uninhibited during the periods when fire activity was higher. Most Dipterocarp species belong to the

largest tree category (i.e., >80 cm d.b.h.) and observation studies suggested that such large trees are rarely

affected by fire (VAN Nieuwstadt, Van Nieuwstadt, and Sheil 2005). Our results support this

understanding.

The positive relationship between sedimentary P_{conc} and Dipterocarp PARs in the Bulusan record

suggests a long-term phosphorus limitation to the growth and reproduction in Dipterocarps. Banin *et al.*

(2014) found that Dipterocarp trees gain woody biomass faster than otherwise equivalent, neighbouring

non-dipterocarp trees, demonstrating that Dipterocarp growth is an important contributor to the overall

woody biomass production in Dipterocarp forests (Paoli *et al.* 2008). Though experimental work suggests

that the effect of phosphorus availability on the rate of woody biomass increase in Dipterocarps is

relatively small on an annual scale (Mirmanto *et al.* 1999), it is possible that this effect compounds on

multidecadal scales, especially for large trees. For instance, observational studies of biomass production

in Dipterocarp forest plots across nutrient gradients showed that tree biomass increment is positively

related to nutrient availability, particularly phosphorus, and that this increment is more strongly related

for large trees (Paoli *et al.* 2005; Paoli & Curran 2007; Paoli *et al.* 2008).

On the other hand, the positive relationship between PARs and P_{conc} observed in the Bulusan record

could be, at least partly, related to pollen productivity rates. Previous studies have shown that in any

mass flowering event, only a portion of reproductively mature Dipterocarp individuals actually produce

flowers (Ashton *et al.* 1988; Sakai *et al.* 1999), and this was attributed to the nutritional status of the

trees. Ichie *et al.* (2005) showed the high phosphorus cost of mass flowering and subsequent mast fruiting

for Dipterocarp species (Ichie *et al.* 2005; Ichie & Nakagawa 2013). Therefore, accumulation of

phosphorus has been put forward as a potentially decisive factor in the magnitude and frequency of

Dipterocarp reproduction events on the prevailingly nutrient poor soils of Southeast Asia (Ichie &

Nakagawa 2013). Given this evidence, decreasing phosphorus availability at the Bulusan site could have

potentially decreased the frequency and/ or magnitude of mass flowering in Dipterocarps, resulting in

lower production rates of their pollen. Since Dipterocarp pollen production rates may be heavily

dependent not only on the tree abundance, but also on climatically mediated flowering rates, future

research would benefit from using independent proxies for Dipterocarp tree abundance, such ancient

DNA or taxa specific biomarkers, to separate the two signals.

Influence of climate and nutrient availability on other Dipterocarp forest taxa

Other plant taxa show a wide variety of relationships to the climatic and nutritional conditions at Bulusan

(Table 1 and Fig. 3, Table S1). We find a significant negative relationship between the δD_{wax} and the

PARs of *Elaeocarpus and Pinanga* (Table 1 and Fig. 3, Extended Table S1), suggesting a detrimental

impact of drought on the abundance of these taxa. Interestingly, *Calamus* PARs exhibit unimodal

relationship to the δD_{wax}, indicating that while moderate drought conditions have a positive effect on

Calamus abundance, the opposite is the case at more extreme drought conditions. Interestingly, none of

the taxa exhibited an altogether positive relationship to δD_{wax}, suggesting a predominantly negative effect

of drier conditions on the Dipterocarp forest vegetation.

Furthermore, the PARs of *Macaranga, Pometia,* Polypodiopsida*, Syzygium* and *Weinmannia* show a

significant positive relationship to macrocharcoal influx rates. The apparent positive effect of fire activity

on pioneers such as *Macaranga*, *Weinmannia* and Polypodiopsida at our site is in accordance with a

number of observational studies which have reported positive effects of fire on the establishment and

growth of pioneer plants (Whitmore 1988; Woods 1989; Schulte & Schöne 1996). One explanation of

this relationship is that fire removes the pre-existing seedlings of climax taxa from forest gaps, thereby

exposing bare soil and facilitating germination and growth of pioneer taxa (Woods 1989; Ashton &

Seidler 2014). On the other hand, the surprising lack of negative relationship between PARs and fossil

macrocharcoal influx rates among other Bulusan plant taxa (with the exception of *Ilex*) may be partly

explained by a limited fire activity at Bulusan Lake and its wider area, as suggested by the relatively low

macrocharcoal and microcharcoal counts, respectively (Fig. S2). Consequently, fire events at Bulusan

may have not been severe enough to stifle growth and reproduction of plant taxa with a low tolerance to

fire.

While PARs of nearly all taxa in the Bulusan record exhibited no significant relationship to nitrogen, the

PARs of one third of taxa showed a positive relationship to P_{conc} (*Calamus*, *Caryota*, *Pinanga*, *Pometia,*

along with Dipterocarpaceae), providing further support to phosphorus being a major limiting nutrient

in Dipterocarp forests.

Influence of climate and nutrient availability on forest vegetation cover

Our results show a unimodal relationship between $\delta^{13}C_{wax}$ and δD_{wax} values (Fig. 3b), where the

relationship is positive at the lower and higher ends of δD_{wax} values (wet and dry conditions) and

negative at intermediate δD_{wax} values (moderate conditions). This indicates that moderate conditions

had a positive effect on C3 vegetation (trees and shrubs) but that extremely wet and dry conditions lead

to an increase in C4 component (grasses). While the negative effect of extremely wet conditions may

seem counterintuitive at first, it could be explained by the dependence of Dipterocarp forest

reproduction on ENSO-induced drought events; low frequency of such events may have led to reduced

reproduction of mass flowering tree taxa, which in long-term translated to reduced abundance of those

taxa, and hence a relatively higher proportion of grasses (though still a low proportion considering the

absolute $\delta^{13}C_{wax}$ values in the Bulusan record never approach the values characteristic of vegetation

cover dominated by C4 plants). Nevertheless, the positive relationship between $\delta^{13}C_{wax}$ and δD_{wax} at

the high end of δD_{wax} values highlights the negative effect of more dry conditions on Dipterocarp forest

vegetation, possibly through an increased mortality of large trees and subsequent opening of the canopy

that favours establishment of grasses.

We found no significant effect of fossil macrocharcoal influx rates on $\delta^{13}C_{wax}$, despite the overlap of

the peaks in both records around 1500 AD (Fig. 2b, t). However, the generally low fossil

macrocharcoal influx rates at Bulusan over the last 1,400 years (apart from the 1500 AD event),

suggestive of a low fire activity in the area, may explain this apparent lack of relationship.

Implications for conservation and management

Our findings have implications for the conservation and management of Dipterocarp forests under future

climate change. Projected drought risk in 1.5°C and 2°C warmer climate scenarios show robust drying

in the mean state across many regions by the end of the 21st century, including Southeast Asia (Lehner

et al. 2017; Cook *et al.* 2020). Our results suggest that a stronger drought regime could have profound

impacts on the composition, structure and functioning of Dipterocarp forests, primarily through a

negative impact on the abundance of Dipterocarp trees. As giant, old-growth trees, with height over 70

meters, a very complex canopy, and wide base forming an extensive buttressing, Dipterocarp trees

embody the concept of tropical mega-trees (Pinho *et al.* 2020). Recent studies highlighted the unique

ecological roles of mega-trees such as building the emergent forest layer, providing unique microhabitats

inside the complex canopy, and acting as a keystone source of food and nesting sites. Furthermore,

tropical mega-trees are disproportionately large contributors to forest productivity, above ground

biomass and carbon stocks (Slik *et al.* 2013; Stephenson *et al.* 2014; Lutz *et al.* 2018). The importance

of Dipterocarp trees for the ecological integrity of Dipterocarp forests is further amplified through their

dominance (Appanah 1985, Paoli *et al.* Slik 2008) and mass flowering behaviour (Burgess 1972;

Cockburn 1975; Appanah 1985, Sakai *et al.* 1999), which profoundly shape pollination and food

production patterns in these forests. While it has been hypothesized that a stronger drought regime may

also increase the frequency and strength of mass flowering, our results suggest that a positive effect of

drought is unlikely to offset its negative impacts, e.g. increased tree mortality. Given the vulnerability of

Dipterocarps to heightened drought and the projected increase in the frequency and intensity of drought

in their geographic range (Amnuaylojaroen & Chanvichit 2019; Pörtner *et al.* 2022), along with

endangered status of most Dipterocarp species (Bartholomew *et al.* 2021), considerable efforts need to

invested into ensuring sustainable land use practices in the remaining Dipterocarp forest tracts.

The last few decades have also seen a marked increase in the frequency and magnitude of fire events in

Dipterocap forests (Salafsky 1994; Folkins *et al.* 1997; Salafsky 1998; Siegert *et al.* 2001; Huijnen *et al.*

2016). The observed trend has been linked to the compounding effects of more severe El Niño events

(van der Werf *et al.* 2004; Wooster *et al.* 2012; Chen *et al.* 2017; Nurdiati *et al.* 2022) and anthropogenic

activities such as forest fragmentation and degradation (Curran *et al.* 1999; Chapman *et al.* 2020).

Therefore, it is highly likely that a future intensification of ENSO coupled with anthropogenic activities

will result in heightened fire activity in the region. While our study suggests that none of the considered

plant taxa have been negatively affected by fire activity over the last 1,400 years, our fossil

macrocharcoal record suggests an overall limited fire activity at Bulusan during this time period. Notably,

the single period of markedly higher fire activity in the Bulusan record around 1500 AD closely overlaps

with a significant increase in the C4 vegetation. Hence, our results do not exclude the possibility that

prolonged periods of higher fire activity would not lead to larger prevalence of plant pioneers in forest

floor gaps created by burning, increased canopy openness and a reduced above ground biomass, as

suggested previously by observational studies (Whitmore 1988; Ghazoul 2016). Given the severity of

the synergistic effects that drought and fire can have on Dipterocarp forests, strategies for minimising

fire occurrence and magnitude, particularly during droughts, should be prioritised to prevent further

degradation of these globally important forests.

Our understanding of the nutrient cycles that underlie the productivity of tropical rainforests worldwide

remains limited. The prevalent view of primary tropical rainforests is that they inhabit infertile soils,

where most of the nutrients are tied in the living matter, and their high production relies on fast and

efficient nutrient cycling (Cleveland *et al.* 2011). However, our study shows that the availability of key

limiting nutrients, namely phosphorus, to tropical forest plants can vary considerably on multidecadal to

centennial scales (in line with studies on nitrogen availability in higher latitudes e.g. (Jeffers *et al.* 2011,

2012; McLauchlan *et al.* 2017) and that this variation affects various plant taxa including Dipterocarp

trees, thus possibly playing a key role in shaping the productivity of Dipterocarp forests. This finding

raises important questions for the conservation and management of Dipterocarp forests that can be further

tested with palaeoecological data. For instance, if phosphorus availability has a considerably positive

influence on the growth or reproduction of large tropical trees, then all else being equal, are tropical

rainforests growing on more fertile soils more resilient to drought and other environmental perturbations

on longer time scales? Our study is the first to link changes in pollen accumulation rates to the changes

in availability of phosphorus spanning hundreds to thousands of years, demonstrating the potential of

paleo-records for studying the effects of nutrient limitation on the tropical rainforest biome. This

knowledge could vastly improve current models of global climate-vegetation dynamics under warming

scenarios given the central role of this biome in regulating Earth's climate and biogeochemical cycles

(Brown & Lugo 1982; Melillo *et al.* 1993; Cleveland *et al.* 1999; Bonan 2008; Fleischer *et al.* 2019).

While our findings highlight the great value of palaeoecological data for advancing our understanding of

the impacts of climate and nutrient availability on Dipterocarp forests, additional records are needed to

test the generality of the findings reported here across larger temporal and spatial scales in order to

improve the forecasts of tropical rainforest dynamics in a warming world.

Figures & Tables

Fig. 1 Geographical location and sedimentary record from the Bulusan site. **a,** Map
showing the geographic distribution of Dipterocarp forests (in green). Data from Olson *et*
al. (2001), map generated in QGIS version 2.14.3 (QGIS Development Team 2016). **b,**
Topographic map of the Philippines showing the location of the study site. Data from
PhilGIS (2016), map generated in QGIS version 2.14.3. **c,** Map showing Bulusan Lake
(in blue) within the larger landscape, with Mt Bulusan volcano in the west (in green)
(Google Maps 2016). **d,** Optical and radiographic profiles of the sedimentary record
obtained from the Bulusan Lake. The radiograph images depict the level of organic
content, with higher values shown as lighter bands. The green line represents the
validation of the measurement quality along the cores. Images were generated in the
ItraxPlot program (Croudace & Rothwell 2015).

483   19

Fig. 2 The temporal trends in mean and variance for the key paleo-records from Bulusan Lake according to the best fitted generalised additive models. For the
two models shown, the colour fit is the result of a GAM with a continuous-time AR(1) process estimated using REML smoothness selection (gamm+car(1) =
~ Age), while the grey fit is that of a simple GAM with GCV-based smoothness selection (gam = ~ Age)). Light green depicts predominately pioneer taxa, and
dark green depicts predominately shadow-tolerant taxa. The fitted GAM smoother is overall significant (p-value <0.05). PARs = pollen accumulation rates
(grains cm^{-2}yr^{-1}), macrochar = macrocharcoal influx rates (particles m^{-2}yr^{-1}), $\delta^{13}C_{wax}$ = $\delta^{13}C$ values of the nC_{29} alkane (‰), δD_{wax} = δD values of the nC_{29} alkane
(‰), sed$\delta^{15}N$ = $\delta^{15}N$ values of bulk sediment (‰), sedP$_{conc}$ = phosphorus concentration of bulk sediment (XRF counts per second), Age = age of the samples
measured as calibrated years AD. Data was analysed using the mgcv 1.8-31 package (Wood 2018) and plotted with *ggplot2* package (Wickham 2009) in R
version 3.6.3 (R Core Team 2020).

Table 1 Summary of results of the best fitted generalised additive models for describing the effects of abiotic
factors on plant taxa abundance (pollen accumulation rates, PARs) and C3/ C4 vegetation composition ((δ^{13}C
values of the nC$_{29}$ alkane, δ^{13}C$_{wax}$) at Bulusan. General model formula: PAR ~ s(δD$_{wax}$) + s(sqrt(macrochar)) +
s(sedδ^{15}N) + s(sedP$_{conc}$), distribution family = Gamma, smoothing parameter estimation method = Restricted
Maximum Likelihood; and δ^{13}C$_{wax}$ ~ s(δD$_{wax}$) + s(sqrt(macrochar)) + s(sedδ^{15}N) + s(sedP$_{conc}$), distribution family
= Gaussian, smoothing parameter estimation method = Restricted Maximum Likelihood. PARs = pollen
accumulation rates (grains cm^{-2}yr^{-1}), macro = macrocharcoal influx rates (particles m^{-2}yr^{-1}), δ^{13}C$_{wax}$ = δ^{13}C values
of the nC$_{29}$ alkane (‰), δD$_{wax}$ = δD values of the nC$_{29}$ alkane (‰), sedδ^{15}N = δ^{15}N values of bulk sediment (‰),
sedP$_{conc}$ = phosphorus concentration of bulk sediment (XRF counts per second), POS = significant positive
relationship, NEG = significant negative relationship, VAR = significant varying relationship, • = no significant
relationship. The fit is considered significant at p-value <0.05. Data was analysed using the mgcv 1.8-31
package (Wood 2018).

Plant taxa abundance/ vegetation composition	Abiotic factors			
	δD$_{wax}$	sqrt(macrochar)	δ^{15}N	P$_{conc}$
Calamus PARs	VAR	•	•	POS
Caryota PARs	•	•	•	POS
Celtis PARs	•	•	•	•
Dipterocarpaceae PARs	NEG	•	•	POS
Elaeocarpus PARs	NEG	•	•	•
Ficus PARs	•	VAR	•	•
Ilex PARs	•	NEG	•	•
Macaranga PARs	•	POS	•	•
Pinanga PARs	NEG	•	•	POS
Poaceae PARs	VAR	•	•	•
Polypodiopsida PARs	•	POS	•	•
Pometia PARs	•	POS	VAR	POS
Syzygium PARs	•	POS	•	•
Trema PARs	•	•	POS	•
Weinmannia PARs	•	POS	•	•
δ^{13}C$_{wax}$	VAR	•	•	•

Fig. 3 Modelled effects of abiotic factors on plant taxa abundance and C3/ C4 vegetation composition at
Bulusan. Plots of GAM smooth functions from the best fitted models for describing the changes in
individual taxa's pollen accumulation rates (proxy for taxa abundance) and $\delta^{13}C$ values of the nC$_{29}$
alkane (proxy for C3/ C4 vegetation composition). General model formula: PAR ~ s(δD$_{wax}$) +
s(sqrt(macrochar)) + s(sedδ^{15}N) + s(sedP$_{conc}$), distribution family = Gamma, smoothing parameter
estimation method = Restricted Maximum Likelihood; and $\delta^{13}C_{wax}$ ~ s(δD$_{wax}$) + s(sqrt(macrochar)) +
s(sedδ^{15}N) + s(sedP$_{conc}$), distribution family = Gaussian, smoothing parameter estimation method =
Restricted Maximum Likelihood. PARs = pollen accumulation rates (grains cm^{-2}yr^{-1}), macro =
macrocharcoal influx rates (particles m^{-2}yr^{-1}), $\delta^{13}C_{wax}$ = $\delta^{13}C$ values of the nC$_{29}$ alkane (‰), δD$_{wax}$ = δD
values of the nC$_{29}$ alkane (‰), sedδ^{15}N = δ^{15}N values of bulk sediment (‰), sedP$_{conc}$ = phosphorus
concentration of bulk sediment (XRF counts per second). The tick marks on the x-axis are observed data
points. The y-axis represents the partial effect of each variable. The dotted lines indicate the 95%
confidence intervals. Asterisks denote significance levels: *P-value ≤ 0.05; **P-value ≤ 0.01; ***P-
value ≤ 0.001. Data was analysed and plotted using the mgcv 1.8-31 package (Wood 2018) in R version
3.6.3 (R Core Team 2020).

Fig. 3 (continued) Modelled effects of abiotic factors on plant taxa abundance and vegetation
composition at Bulusan.

Data availability

All data needed to appraise the conclusions in the paper are given in the Main Text and the Supporting Information. Any additional data related to this paper may be requested from the authors.

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Conflict of interest

The authors declare that they have no competing interests.

Author contribution

AP initiated and designed the study and conducted the fieldwork. AP planned the sampling scheme and led the generation and analysis of data with assistance from AWRS, AS, OR and DS. AP, KJW and DS acquired financial support. All authors participated in interpreting the results and writing the manuscript in the lead of AP.

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The following Supporting Information is available for this article:

Fig. S1 Age-depth model for the Bulusan sedimentary sequence.

Fig. S2 Microcharcoal influx rates in relation to macrocharcoal influx rates in the Bulusan record.

Fig. S3 Pollen diagram of the sedimentary sequence from Bulusan Lake.

Fig. S4 Comparison of the records of elements concentrations, magnetic susceptibility and bulk sediment phosphate oxygen isotopes from the Bulusan sedimentary sequence.

Fig. S5 Principal Component Analysis results of the abundance dynamics of main geochemical elements and the magnitude of magnetic susceptibility in the Bulusan sedimentary sequence.

Fig. S6 Comparison of the records of bulk sediment phosphorus concentrations, δDwax values, macrocharcoal influx rates, and Ba/Ti ratio from the Bulusan sedimentary sequence.

Fig. S7 Significant periods of change in the key paleo-records from Bulusan Lake derived from the best fitted generalised additive model trends.

Fig. S8 Modelled effects of abiotic factors on plant taxa abundance at Bulusan using generalised additive models with a Quasi-Poisson distribution. Plots of GAM smooth functions from the best fitted models.

Table S1 AMS14C dates of the Bulusan sedimentary sequence.

Table S2 Summary of results of the best fitted generalised additive models with a Quasi-Poisson distribution for describing the effects of abiotic factors on plant taxa abundance at Bulusan.

Table S3 Description of the best fitted generalised additive models of temporal dynamics of paleo-records from Bulusan Lake with GCV-based smoothness selection.

Table S4 Description of the best fitted generalised additive models of temporal dynamics of paleo-records from Bulusan Lake with a continuous-time AR(1) process estimated using REML smoothness selection.

Table S5 Description of the best fitted generalised additive models with a Gamma distribution for describing the effects of abiotic factors on plant taxa abundance and C3/ C4 vegetation composition at Bulusan.

Table S6 Description of the best fitted generalised additive models with a Quasi-Poisson distribution for describing the effects of abiotic factors on plant taxa abundance at Bulusan.

Methods S1 Age-depth modelling.

Methods S2 Magnetic susceptibility records.

Methods S3 Microcharcoal influx rates.

Methods S4 Phosphate oxygen isotope analysis.

Notes S1 Potential drivers of charcoal influx rates in the Bulusan record.

Notes S2 Itrax XRF and magnetic susceptibility records.

Notes S3 Potential drivers of changes in phosphorus availability at Bulusan.

Notes S4 Pollen accumulation rates as a proxy for Dipterocarp dynamics.

Notes S5 Identifying significant periods of change with GAMs.

Notes S6 Comparison of GAMs with Gamma and Quasi-Poisson distribution.