

1 Taxonomy of interventions at academic institutions to 2 improve research quality

3 Authors

4 Alexandra R Davidson^{1*}, Ginny Barbour², Shinichi Nakagawa³, Alex O. Holcombe⁴, Fiona Fidler⁵, and
5 Paul P Glasziou¹

6 1 Institute for Evidence-Based Healthcare, Bond University, Gold Coast, Australia.

7 2 Office for Scholarly Communication, Queensland University of Technology, Brisbane, Australia.

8 3 Evolution & Ecology Research Centre and School of Biological, Earth and Environmental Sciences,
9 University of New South Wales, Sydney, Australia

10 4 School of Psychology, University of Sydney, Sydney, Australia

11 5 University of Melbourne, Melbourne, Australia

12

13 * Corresponding author

14 E-mail: adavidso@bond.edu.au (AD)

15

16

17

18

19

20 Abstract

21 Research institutions and researchers have become increasingly concerned about poor research
22 reproducibility and replicability, and research waste more broadly. Research institutions play an
23 important role and understanding their intervention options is important. This review aims to identify
24 and classify possible interventions to improve research quality, reduce waste, and improve
25 reproducibility and replicability within research-performing institutions.

26 Taxonomy development steps: 1) use of an exemplar paper of journal-level research quality
27 improvement interventions, 2) 2-stage search in PubMed using seed and exemplar articles, and
28 forward and backward citation searching to identify articles evaluating or describing research quality
29 improvement, 3) elicited draft taxonomy feedback from researchers at an open-sciences conference
30 workshop, and 4) cycles of revisions from the research team.

31 The search identified 11 peer-reviewed articles on relevant interventions. Overall, 93 interventions
32 were identified from peer-review literature and researcher reporting. Interventions covered before,
33 during, and after study conduct research stages and whole of institution. Types of intervention
34 included: Tools, Education & Training, Incentives, Modelling & Mentoring, Review & Feedback, Expert
35 involvement, and Policies & Procedures. Identified areas for research institutions to focus on to
36 improve research quality and for further research includes improving incentives to implement quality
37 research practices, evaluating current interventions, encourage no- or low-cost/high-benefit
38 interventions, examine institution research culture, and encourage mentor-mentee relationships.

39

40

41 Introduction

42 Over the past decade, the problems of research waste and the reproducibility crisis have been
43 extensively documented.(1-4) A 2014 series in the Lancet demonstrated that approximately 85% of
44 biomedical research goes to waste through the combination of poor design, non-publication, and poor
45 reporting, (1) with a similar percent recently reported for ecology research.(5) Studies in disciplines as
46 diverse as economics, cancer biology, psychology, machine learning, ecology, and social sciences have
47 found disappointingly low reproducibility and replicability.(1-4)

48 Low reproducibility means that original protocol, materials or data sets may not be available to
49 conduct analysis to reproduce the results, and low replicability relates to the inability to re-conduct or
50 re-conduct well an entire study or experiment, regardless of whether the results replicate.(6) The two
51 approaches, reproducibility and replicability, exist on a spectrum from 'direct' following the original
52 methods strictly, to 'conceptual' where researchers may selectively alter aspects of the original
53 methods to test for robustness and generalisability.(7, 8) Both are important to reducing research
54 waste and improving overall research practice quality.

55 Poor research reproducibility and replicability is partly attributable to flaws in study design and partly
56 to incomplete or poor documentation of research processes. The flow-on effects impact research end-
57 users such as industries that utilize research to facilitate practice. Many of these problems are
58 avoidable and might be reduced with sustained interventions at the research systems level. The key
59 stakeholders in improving the research system to improve quality are the research funders and
60 research institutions.

61 What might research institutions do to improve the quality and reproducibility of their research? This
62 work builds on a previous taxonomy of interventions for journals and publishers developed by Blanco
63 et al in their scoping review of interventions to improve adherence to reporting guidelines in health
64 research, which classifies interventions by the type of intervention and by the research stage.(9) The
65 current taxonomy expands the behaviour change categories used by Blanco, drawing on Michie's

66 behaviour change wheel which covers Training, Incentivisation, Modelling, Persuasion, Education and
67 Coercion.(10) Using this approach is useful, not just for identifying existing interventions, but also to
68 identify where there are gaps. We are not aware of any previous study that classifies interventions to
69 improve quality and increase reproducibility at institutions.

70 This review aims to identify and classify possible interventions to improve research quality, reduce
71 waste, and improve reproducibility and replicability within research-performing institutions. We also
72 identified studies that assessed the interventions.

73 **Methods**

74 Research institutions were inclusive of academic institutions such as universities, government
75 research institutes, and privately funded institutions. Within institutions, interventions could occur at
76 a range of levels, from individual actions to department and whole of institution levels, including policy
77 changes.

78 The interventions could be training or education, institutional incentives or regulations, or provision
79 of infrastructure and tools; the only requirement was that the intervention must be aimed at some
80 aspect of reducing research waste, improving quality, or improving reproducibility. For example,
81 interventions aimed at better study design or better conduct of research, increased or timelier
82 publication of research, better reporting of research, including better "open science" such as the
83 provision of protocols and other research process details, and research data would all be includable.

84 **The Search**

85 Because the potential range of potential interventions and terms used was broad and unknown, we
86 used a 2-stage process for the search. Stage 1 used a set of seed articles and reviews identified by the
87 authors from a preliminary search which identified several articles including a review of journal
88 interventions.(9) We then used a forward and backward citations search of this set of articles to widen

89 the pool of potential articles. Stage 2 then conducted a word frequency analysis on these eligible
90 articles to identify key terms to build a search strategy for the full database searches.

91 This Stage 1 search identified a key review article on interventions to improve adherence to reporting
92 guidelines for journals, which included a suggested taxonomy.(9) We then drafted a potential
93 taxonomy and used the other interventions identified from the searches to test and modify this
94 proposed intervention taxonomy.

95 Next, we sought input from others for further examples and on the taxonomy. To ensure the
96 taxonomy was reflective of research practice in institutions, we invited possible end-users to assist in
97 co-design. During the 2021 AIMOS Association for Interdisciplinary Meta-Research and Open Science
98 Conference (<https://www.ivvy.com.au/event/aimos2021>), we held a workshop with approximately 40
99 participants to further refine the draft taxonomy. Workshop participants included researchers at
100 different career levels, ranging from PhD students to professors.

101 Briefly, the steps of the workshop process were:

- 102 1. List any interventions you have conducted, attended, or heard of.
- 103 2. Map these interventions onto the taxonomy using a Google Doc accessible to all participants
104 (Note: if they do not fit, then put them into the second list)
- 105 3. Discussion of interventions that do not fit the proposed taxonomy (do these warrant a change to
106 the taxonomy?)
- 107 4. General Discussion on next steps

108 Following the workshop, we used the participant input to develop the revised taxonomy, collect
109 further potential examples and revised the taxonomy again.

110 Results

111 The taxonomy

112 Interventions were first classified according to the research stage of their implementation: before
113 study conduct, during study conduct, and after study conduct. Research stages were then further
114 subclassified into education; grant writing; protocol writing; research conduct & analysis; manuscript
115 writing; manuscript submission; and post-publication. Table 1 highlights which type of behaviour
116 change interventions, as classified by Michie’s behaviour wheel, are represented at each research
117 stage.

118 “Whole of institution” was included as an additional category, separate to the research stages, as
119 some interventions relate to two or more stages of research or support overall research practices in
120 that institution. Similarly, “Institutional Culture and Individual Ethos” was added to the taxonomy to
121 highlight the influence of the culture of the institution including their overall research aims and
122 mission, and those that work in the institution and their individual ethos, values, and attitudes towards
123 research practices.

Table 1: Outline of classification of interventions and their relationship to research stage – condensed version of taxonomy

		WHOLE OF INSTITUTION	RESEARCH STAGE							
			BEFORE STUDY CONDUCT			DURING STUDY CONDUCT	AFTER STUDY CONDUCT			
			EDUCATION	GRANT WRITING	PROTOCOL WRITING	RESEARCH CONDUCT & ANALYSIS	MANUSCRIPT WRITING	MANUSCRIPT SUBMISSION	POST-PUBLICATION	
UNDERLYING MECHANISMS OF INTERVENTION, including Institutional Culture and Individual Ethos	TYPE OF INTERVENTION	TOOLS (1) (Enablement)	Availability of open source and reproducible software packages	Peer-to-peer tool sharing	Boilerplate language	Provide study design specific protocol templates	Shared version control repositories.	Author and contributor unique identifiers e.g., ORCID ^a	Journal management system elicitation of registration and other quality indicators	
		EDUCATION AND TRAINING in research quality and reproducibility (Training)	Department or staff within the institution dedicated to research quality and reproducibility interventions and activities	Training on systematic literature searches	Personalised, tailored support e.g., for statistical support	Training on use of reporting guidelines including protocols and registration	Train research assistants, etc about good data collection practices	Training on writing tools, reporting guidelines and software (2,3,4) ^b	Training on submission process, including accessing funds for publication fees	Training on presentations - oral and poster for conferences and research seminars with different modes: F2F, online live and pre-recorded
		INCENTIVES to enhance AWARENESS, ACCESSIBILITY & UNDERSTANDING (Incentivisation)	Hiring and promotion criteria that include open science practices;				Awarding small grants / prizes for adhering to best methodological practice			Include code/data sharing in promotion criteria
		MODELLING AND MENTORING to encourage quality and reproducibility (Modelling)	Create research teams with effective mix of research expertise	Mentor/mentee partnerships	Encouraging researchers to apply for grants where the Registered Report is linked to a funder and a journal ^b			Use of DevOps practices for research software and analysis development ^c	Encouragement of protocol publication	Model use of social media for dissemination
		REVIEW & FEEDBACK (Persuasion)		Education for ECRs on how to conduct peer-review (3)	Peer-review of proposals and protocols (5)	Peer-review of protocols	'Living research' analyses in articles can be shared in a 'sandbox' computing environment	Pre-submission peer-review (5) and code review		Post-publication peer-review ^d
		EXPERT involvement and advice (Education)	Specific hiring for people with experience of open research, data stewards, etc. and/or training those currently employed to do this.	Availability of peers and colleagues to assist one another in research quality improvement	Engaging with external consulting organisations ^e	Librarian involvement for literature reviews e.g., search strategies	Dedicated data champion	Writing support for manuscripts (6)	Publications officer to check adherence of paper to reporting guidelines	Dissemination to end-users
		POLICIES & PROCEDURES (Coercion)		Open science curriculum for under- and post-graduates	Seed grants to refine 'near miss' grant application which meet quality criteria	Mandate study registration	Requirement for data management plans and integrity checks	Policies for authorship, reporting checklists, and appropriate journal lists	Data sharing policies	Random audits of research output

Key

Text in (brackets) relates to the Michie classification of behaviour change.

Dark shaded cells are interventions that are supported with literature

Light shaded cells are interventions from hackathon participant and author experiences

No shade cells are areas where interventions are potentially missing

Each specific intervention was placed in the most appropriate cell, though a number of interventions may well be applicable at different points, fitting into more than one cell

- (1) Toelch U, Ostwald D. Digital open science—Teaching digital tools for reproducible and transparent research. *PLoS biology*. 2018;16(7):e2006022-e.
- (2) Barnes C, Boutron I, Giraudeau B, Porcher R, Altman DG, Ravaud P. Impact of an online writing aid tool for writing a randomized trial report: the COBWEB (Consort-based WEB tool) randomized controlled trial. *BMC medicine*. 2015;13:221-.
- (3) Chauvin A, Ravaud P, Moher D, Schriger DL, Hopewell S, Shanahan D, et al. Accuracy in detecting inadequate research reporting by early career peer reviewers using an online CONSORT-based peer-review tool (COBPeer) versus the usual peer-review process: a cross-sectional diagnostic study. *BMC medicine*. 2019;17:205-.
- (4) Hawwash D, Sharp MK, Argaw A, Kolsteren P, Lachat C. Usefulness of applying research reporting guidelines as Writing Aid software : a crossover randomised controlled trial. *BMJ open*. 2019;9:e030943-undefined.
- (5) Burns KEA, Caon E, Dodek P. Evaluation of an Internal Review Process for Grants And Manuscripts in the Canadian Critical Care Trials Group. *Canadian respiratory journal*. 2014;21:283-6.
- (6) Gattrell W, Hopewell S, Young K, Farrow P, White R, Wager E, et al. Professional medical writing support and the quality of randomised controlled trial reporting: a cross-sectional study. *BMJ open*. 2016;6:e010329-undefined.

1 Table 1 gives examples of the interventions identified. The full set of interventions are displayed in
2 Supplementary File 1. Overall, we identified 93 different possible interventions.

3 The types of intervention varied widely, from whole of institution policies – such as modifying hiring
4 and promotion criteria to emphasise rigorous research design, reproducibility, and transparency, to
5 highly specific departmental-level interventions such as developing mentor-mentee relationships.
6 Most interventions are applicable to researchers at all levels of experience. Several interventions are
7 specific to particular areas of research e.g., registration of clinical trials in healthcare, but others, such
8 as mentoring, or journal clubs are relevant to multiple disciplines. We did not subclassify by disciplines.

9 In reviewing the taxonomy, several themes emerged. Many of the interventions require a substantial
10 and long-term investment in people– e.g., hiring of specific experts; training of research assistants and
11 others on data collection methods and techniques; co-design with patients and public/end-users.
12 Though ad hoc seminars have value, most of the interventions require individuals or teams or to be
13 embedded in institutions, even if the intervention is to provide “just in time” advice. For example, a
14 publications officer to check adherence of papers to reporting guidelines would have to be well
15 established for them to be able to provide on the spot advice at a time of need.

16 Education of researchers and research support staff can happen by a variety of formal and informal
17 methods. There was some suggestion that some of the training had to be compulsory e.g., included in
18 the curriculum for undergraduate and postgraduate research training. However, there was also
19 specific recognition of the role of informal networks including peer-to-peer learning and mentor-
20 mentee relationships. We note that mentor-mentee learnings can be in both directions, as more junior
21 staff are sometimes the instigators of novel research practices learned during their research skill
22 development. As training in undergraduate and postgraduate programs are constantly changing, more
23 experienced researchers can be exposed to this by mentoring a student or Early Career Researcher.

24 There were surprisingly few technical interventions suggested. Most of these also included an element
25 of human intervention e.g., use of pull-requests and code commentary by collaborators and/or

26 external peers on shared codebases. Notably one of the technical interventions was to cease
27 subsidising (through purchase of site licenses) the use of certain software programs (e.g., statistical
28 and spreadsheet) that are not conducive to reproducibility, and to instead promote and encourage
29 the use of open science source software and practices.

30 Surprisingly, the “incentives” row has more empty cells than the other rows. This indicates either a
31 lack of awareness of participants involved in reviewing the taxonomy, and/or a lack of incentives being
32 implemented and available at research institutions to encourage researchers to participant in quality
33 research practices.

34 Following the classification, we searched for papers that had described and/or assessed these
35 interventions. During the search processes, eleven articles evaluating interventions were found. All
36 the interventions that had been assessed were in the manuscript and grant writing, or education
37 phase of research (Table 2).

Table 2: All primary literature found on interventions that aim to improve research quality and reproducibility at the institutional level.

Citation No.	Author, year	Population	Aim	Type of Intervention	Research Phase
(11)	Barnes, 2015	Masters and doctoral students in public health and medical research	To evaluate the impact of an online writing aid tool on the completeness of reporting of two-arm parallel-group RCTs evaluating pharmacologic and non-pharmacologic interventions	Training	Manuscript writing
(12)	Boschen, 2021	APA full-text journals	Evaluation of JATSdecoder as an automated tool to facilitate checking of reported statistical results for consistency and completeness	Tools	Manuscript writing
(13)	Burns, 2014	Authors and reviewers of the Canadian Critical Care Trial group for grants and manuscripts	To formally evaluate authors' and reviewers' perceptions of internal peer review before journal submission	Review & Feedback	Manuscript Writing
(14)	Chauvin, 2019	ECRs (although at the journal-level, is translatable?)	To evaluate the accuracy in identifying inadequate reporting in RCT reports by early career researchers (ECRs) using an online CONSORT-based peer-review tool (COBPeer) versus the usual peer-review process.	Training Review & Feedback	Manuscript writing Education
(15)	Gattrell, 2016	Authors of RCTs	To examine the relationship between medical writing support and quality and timeliness of reporting of randomised controlled trial results	Expert Involvement and Advice	Manuscript writing
(16)	Hawwash, 2019	Doctoral and postdoctoral researchers	To assess the intention to use a Writing Aid software, which integrates four research reporting guidelines (Consolidated Standards of Reporting Trials, Preferred Reporting Items for Systematic Reviews and Meta- Analyses, Strengthening the Reporting of Observational Studies in Epidemiology and Strengthening the Reporting of Observational Studies in Epidemiology- nutritional epidemiology) and their Elaboration & Explanation (E&E) documents during the write- up of research in Microsoft Word compared with current practices	Training	Manuscript writing
(17)	Hirschey, 2019	Advance Practice Nurses doing a Doctor of	To enhance APNs' writing skills with a series of online modules, a workshop, and manuscript checklist.	Training	Manuscript writing

		Nursing Practice program.			
(18)	Nuijten, 2020	Full text of APA journals	To describe the <i>statcheck</i> tool and provide an example of use in a meta-analysis	Tools	Manuscript writing
(19)	Shanahan, 2017	Authors of speciality medical research journals	To investigate whether a decision tree tool made available during the submission process facilitates author identification of the relevant reporting guideline.	Policy & Procedures	Manuscript Writing
(20)	Struthers, 2021	Authors submitting to BMJ Open	To provide an outline of the reporting guideline identification tool, GoodReports.org, and to describe user experience and behaviour of using the tool inside and outside of manuscript submission to a journal.	Tools	Manuscript Writing
(21)	Toelch, 2018	University-level research course students	To evaluate an introductory digital tools course that guides students towards a reproducible science workflow – including research transparency and reproducibility.	Policy & Procedures	Education

References for Table 2

Barnes C, Boutron I, Giraudeau B, Porcher R, Altman DG, Ravaud P. Impact of an online writing aid tool for writing a randomized trial report: the COBWEB (Consort-based WEB tool) randomized controlled trial. *BMC medicine*. 2015;13:221-.

Böschen I. Evaluation of JATSdecoder as an automated text extraction tool for statistical results in scientific reports. *Sci Rep*. 2021;11(1):19525.

Burns KEA, Caon E, Dodek P. Evaluation of an Internal Review Process for Grants And Manuscripts in the Canadian Critical Care Trials Group. *Canadian respiratory journal*. 2014;21:283-6.

Chauvin A, Ravaud P, Moher D, Schriger DL, Hopewell S, Shanahan D, et al. Accuracy in detecting inadequate research reporting by early career peer reviewers using an online CONSORT-based peer-review tool (COBPeer) versus the usual peer-review process: a cross-sectional diagnostic study. *BMC medicine*. 2019;17:205-.

Gattrell W, Hopewell S, Young K, Farrow P, White R, Wager E, et al. Professional medical writing support and the quality of randomised controlled trial reporting: a cross-sectional study. *BMJ open*. 2016;6:e010329-undefined.

Hawwash D, Sharp MK, Argaw A, Kolsteren P, Lachat C. Usefulness of applying research reporting guidelines as Writing Aid software : a crossover randomised controlled trial. *BMJ open*. 2019;9:e030943-undefined.

Hirschey R, Rodgers C, Hockenberry MJ. A Program to Enhance Writing Skills for Advanced Practice Nurses. *Journal of continuing education in nursing*. 2019;50:109-14.

Nuijten MB, Polanin JR. "statcheck": Automatically detect statistical reporting inconsistencies to increase reproducibility of meta-analyses. *Res Synth Methods*. 2020;11(5):574-9.

Shanahan D, de Sousa IL, Marshall DM. Simple decision-tree tool to facilitate author identification of reporting guidelines during submission: a before–after study. *Research integrity and peer review*. 2017;2:20-.

Struthers C, Harwood J, de Beyer JA, Dhiman P, Logullo P, Schlüssel M. GoodReports: developing a website to help health researchers find and use reporting guidelines. *BMC Medical Research Methodology*. 2021;21(1):217.

Toelch U, Ostwald D. Digital open science—Teaching digital tools for reproducible and transparent research. *PLoS biology*. 2018;16(7):e2006022-e.

1 Discussion

2 To improve institutional interventions that might improve research quality, an understanding of the
3 range and types of interventions is vital. Based on work from Blanco et al (2019) in their scoping review
4 of interventions to improve adherence to reporting guidelines in health research we have developed
5 a taxonomy of possible interventions to improve research quality and reproducibility within
6 institutions. At the institutional level, interventions are possible at all stages of research and for each
7 stage of research there are several possible mechanisms of intervention.

8 Through an iterative crowdsourced process, we identified interventions that the authors or the
9 hackathon participants had experienced, that they had seen conducted in their or others' institutions,
10 or which they wanted to see. Very few of the interventions have been evaluated. In several areas
11 where interventions are possible none were identified, or the interventions suggested are only
12 aspirational at this point.

13 Research quality has become a much-discussed topic in Australia, and internationally, but there is no
14 systematic approach to improving research quality, especially regarding what interventions are
15 needed at institutions. In Australia, research quality is most prominently assessed through the
16 Excellence in Research for Australia (ERA) process. However, ERA assesses research outputs, not any
17 part of the research process. An increased national focus on research quality more widely through the
18 work of the NHMRC's Research Quality Steering Committee (RQSC) established in 2018. In 2019, the
19 RQSC oversaw a survey of Australian Research institutions and researchers.(22) Key opportunities
20 identified from that survey relevant to interventions at institutions were the need for effective training
21 and mentorship (especially of junior researchers) about responsible research practice; addressing
22 factors that adversely affect research quality, such as poor research practices; promoting positive
23 initiatives and processes rather than competition where possible; and encouraging more rigorous
24 reproducibility procedures.

25 A recent Australian Chief Scientist declared a need to “shift from quantity to quality” and to challenge
26 the status quo of “a passive apprenticeship system” of researcher training.(23, 24)

27 In the recently released National Research Infrastructure RoadMap, the importance of research
28 quality is recognised in specific, limited areas, primarily data e.g., “An important driver for maintaining
29 quality research output is Australia’s ability to generate and analyse data as well as improving the
30 digital skills of researchers”.(22, 25)

31 On a global level, the United Nations Educational, Scientific and Cultural Organization, developed their
32 Recommendation on Open Science in 2021.(26) Their recommendations align with the taxonomy in
33 this paper as they recommend interventions related to institutions, including open scientific
34 publications, research data, educational resources, source software and source code, and hardware.
35 Open scientific publications relate to the ‘Education and Training’ – ‘Manuscript Submission’ cell –
36 training on how to access funds for publication fees for open access journals. Open research data
37 relates to many of the examples provided in the ‘Research Conduct & Analysis’ column of the
38 taxonomy, including shared-version control repositories, and data dictionaries. Open educational
39 resources, includes the examples in the ‘Education and Training’ row of the taxonomy, including the
40 availability of training sessions to have hybrid delivery. Open-source software and source code,
41 includes examples outlined in the ‘Tools’ row. Lastly, Open hardware relates to ‘training manuals/data
42 collection protocol, including use of equipment’ in the ‘Research Conduct & Analysis’ – ‘Education and
43 Training’ cell.

44 There have been other classifications of potential interventions, the Michie behaviour change wheel
45 and the Nosek pyramid.(3, 10) Both of those classifications align with ours in that they range from
46 interventions that are simply a change in the environment – our “tools”, Nosek’s “make it easy”,
47 Michie’s “enablement” through to required actions - our “policies and procedures”, Nosek’s “make it
48 required”, Michie’s “coercion”. What the classifications all demonstrate is the need for a range of
49 approaches. By mapping interventions to specific research stage and interventions type, we have

50 demonstrated the range of possible interventions, where there are gaps and especially the relative
51 lack of assessment of these interventions.

52 Limitations

53 The interventions in the taxonomy we present are not a comprehensive list of all possible
54 interventions. We did not assess adherence to any of the interventions or examine their effectiveness,
55 except where there were previously published papers. As the participants and authors were largely or
56 exclusively from the sciences, the list of interventions may not include interventions within Humanities
57 and Social Sciences.

58 A strength of this research is the use of both published peer-reviewed literature and end-user
59 engagement to develop the taxonomy.

60 Future Directions

61 Given most interventions outlined in the taxonomy have not been evaluated for their impact on
62 research quality and reproducibility, there is a clear need for more institutional interventions be
63 evaluated. Priority areas for evaluation should be those currently in common use at institutions, to
64 assess their value. Implementation of new or different interventions could be those that are no- or
65 low-cost, such as open access tools and software to enhance research practices, e.g., Overleaf, and
66 JASP, and adaption of policies and the research environment to promote open science practices.

67 Institution culture and individual researcher ethos have a strong influence over the reproducibility,
68 quality, and transferability of research practices. The UK Reproducibility Network encourages
69 institutions to examine their research culture and how it may or may not be supportive of producing
70 robust and credible research.(27) The implementation and evaluation of interventions outlined in our
71 taxonomy should be considered along with the institution's current culture and potential shifts that
72 could be made to encourage and promote open science practices.

73 Finally, it is vital to explore the paucity of “incentive” interventions that research institutes might use.
74 Incentivisation is important in workload models of research institutions, much like universities have
75 incentives for their education and teaching of degrees and coursework, they need incentives for
76 research quality. The kind of incentives depend heavily on the institutional structures and availability
77 of resources to create or fund incentives. It is recommended that future research could be guided by
78 this taxonomy further to identify how incentivisation of quality research practices could be better
79 implemented.

80 [Acknowledgements](#)

81 The authors would like to thank the AIMOS 2021 Conference Hackathon Workshop attendees who
82 participated in providing feedback for the draft taxonomy.

83 [Competing Interests](#)

84 The authors declare no competing interests.

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

100 References

- 101 1. Glasziou P, Altman DG, Bossuyt P, Boutron I, Clarke M, Julious S, et al. Reducing waste from
102 incomplete or unusable reports of biomedical research. *Lancet*. 2014;383(9913):267-76.
- 103 2. Errington TM, Iorns E, Gunn W, Tan FE, Lomax J, Nosek BA. An open investigation of the
104 reproducibility of cancer biology research. *eLife*. 2014;3:e04333.
- 105 3. PSYCHOLOGY. Estimating the reproducibility of psychological science. *Science*.
106 2015;349(6251):aac4716.
- 107 4. Purgar M, Klanjscek, T., & Culina, A. Identify, quantify, act: tackling the unused potential of
108 ecological research. 2021.
- 109 5. Purgar M, Klanjscek T, Culina A. Quantifying research waste in ecology. *Nat Ecol Evol*.
110 2022;6(9):1390-7.
- 111 6. Barba LA. Terminologies for reproducible research. arXiv preprint arXiv:180203311. 2018.
- 112 7. National Academies of Sciences E, and Medicine; Policy and Global Affairs; Committee on
113 Science, Engineering, Medicine, and Public Policy; Board on Research Data and Information; Division
114 on Engineering and Physical Sciences; Committee on Applied and Theoretical Statistics; Board on
115 Mathematical Sciences and Analytics; Division on Earth and Life Studies; Nuclear and Radiation
116 Studies Board; Division of Behavioral and Social Sciences and Education; Committee on National
117 Statistics; Board on Behavioral, Cognitive, and Sensory Sciences; Committee on Reproducibility and
118 Replicability in Science. *Understanding Reproducibility and Replicability. Reproducibility and
119 Replicability in Science*. Washington (DC): National Academies Press (US); 2019.
- 120 8. Fidler FW, John, . Reproducibility of Scientific Results. *Stanford Encyclopedia of Philosophy*.
121 2018.
- 122 9. Blanco D, Altman D, Moher D, Boutron I, Kirkham JJ, Cobo E. Scoping review on interventions
123 to improve adherence to reporting guidelines in health research. *BMJ Open*. 2019;9(5):e026589.
- 124 10. Michie S, van Stralen MM, West R. The behaviour change wheel: A new method for
125 characterising and designing behaviour change interventions. *Implementation Science*. 2011;6(1):42.
- 126 11. Barnes C, Boutron I, Giraudeau B, Porcher R, Altman DG, Ravaud P. Impact of an online
127 writing aid tool for writing a randomized trial report: the COBWEB (Consort-based WEB tool)
128 randomized controlled trial. *BMC medicine*. 2015;13:221-.
- 129 12. Bösch I. Evaluation of JATSdecoder as an automated text extraction tool for statistical
130 results in scientific reports. *Sci Rep*. 2021;11(1):19525.
- 131 13. Burns KEA, Caon E, Dodek P. Evaluation of an Internal Review Process for Grants And
132 Manuscripts in the Canadian Critical Care Trials Group. *Canadian respiratory journal*. 2014;21:283-6.
- 133 14. Chauvin A, Ravaud P, Moher D, Schriger DL, Hopewell S, Shanahan D, et al. Accuracy in
134 detecting inadequate research reporting by early career peer reviewers using an online CONSORT-
135 based peer-review tool (COBPeer) versus the usual peer-review process: a cross-sectional diagnostic
136 study. *BMC medicine*. 2019;17:205-.
- 137 15. Gattrell W, Hopewell S, Young K, Farrow P, White R, Wager E, et al. Professional medical
138 writing support and the quality of randomised controlled trial reporting: a cross-sectional study. *BMJ
139 open*. 2016;6:e010329-undefined.
- 140 16. Hawwash D, Sharp MK, Argaw A, Kolsteren P, Lachat C. Usefulness of applying research
141 reporting guidelines as Writing Aid software : a crossover randomised controlled trial. *BMJ open*.
142 2019;9:e030943-undefined.
- 143 17. Hirschey R, Rodgers C, Hockenberry MJ. A Program to Enhance Writing Skills for Advanced
144 Practice Nurses. *Journal of continuing education in nursing*. 2019;50:109-14.
- 145 18. Nuijten MB, Polanin JR. "statcheck": Automatically detect statistical reporting
146 inconsistencies to increase reproducibility of meta-analyses. *Res Synth Methods*. 2020;11(5):574-9.
- 147 19. Shanahan D, de Sousa IL, Marshall DM. Simple decision-tree tool to facilitate author
148 identification of reporting guidelines during submission: a before–after study. *Research integrity and
149 peer review*. 2017;2:20-.

- 150 20. Struthers C, Harwood J, de Beyer JA, Dhiman P, Logullo P, Schlüssel M. GoodReports:
151 developing a website to help health researchers find and use reporting guidelines. BMC Medical
152 Research Methodology. 2021;21(1):217.
- 153 21. Toelch U, Ostwald D. Digital open science—Teaching digital tools for reproducible and
154 transparent research. PLoS biology. 2018;16(7):e2006022-e.
- 155 22. NHMRC. Research quality: National Health and Medical Research Council (NHMRC)
156 promotes the highest quality in the research that it funds. 2020 [Available from:
157 <https://www.nhmrc.gov.au/research-policy/research-quality>.
158 23. Finkel A. To move research from quantity to quality, go beyond good intentions. Nature.
159 2019;566(7744):297.
- 160 24. Finkel A. Chief Scientist calls for formal action to bake in better research practices: Australian
161 Government 2019 [Available from: [https://www.chiefscientist.gov.au/2019/02/article-chief-
162 scientist-calls-for-formal-action-to-bake-in-better-research-practices](https://www.chiefscientist.gov.au/2019/02/article-chief-scientist-calls-for-formal-action-to-bake-in-better-research-practices).
163 25. Department of Employment and Workplace Relations. National Research Infrastructure 2022
164 [Available from: <https://www.dese.gov.au/national-research-infrastructure>.
165 26. United Nations Educational SaCO. UNESCO Recommendation on Open Science 2021.
166 27. U. K. Reproducibility Network Steering Committee. From grassroots to global: A blueprint for
167 building a reproducibility network. PLOS Biology. 2021;19(11):e3001461.

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187 Supplementary File 1 – Table: Taxonomy of interventions at academic institutions to improve
188 research quality

		WHOLE OF INSTITUTION	RESEARCH STAGE						
			BEFORE STUDY CONDUCT			DURING STUDY CONDUCT	AFTER STUDY CONDUCT		
			EDUCATION	GRANT WRITING	PROTOCOL WRITING	RESEARCH CONDUCT & ANALYSIS	MANUSCRIPT WRITING	MANUSCRIPT SUBMISSION	POST-PUBLICATION
TYPE OF INTERVENTION	TOOLS (1) (Enablement)	Availability of open source and reproducible software packages ^{a,b,c}	Peer-to-peer tool sharing	Boilerplate language	Provide study design specific protocol templates	Shared-version control repositories	Author and contributor unique identifiers e.g., ORCID ^d	Journal management system elicitation of registration and other quality indicators	
						Institutional code repositories with mandated upload	Use of Software containers for ensuring package dependencies and the computing environment are reproducible ^{e,f}		
						Use of continuous-analysis with automated unit-testing / error-checking	Use of continuous analysis for regularly updated data		
				Successful grant application libraries	Providing an open data statement as default in ethics consent form templates	Data dictionaries	Use of continuous-analysis with automated unit-testing / error-checking (2,3)		
	EDUCATION AND TRAINING in research quality and reproducibility (Training)	Journal clubs including researchers' publications	Training on systematic literature searches	Provide training for individuals to review grants	Training on use of reporting guidelines, including protocols and registration	Train research assistants, etc about good data collection practices	Training to enhance writing skills for publications (6)	Training on submission process, including accessing funds for publication fees	Training on presentations - oral and poster for conferences and research seminars with different modes: F2F, online live and pre-recorded
		Department or staff within the institution dedicated to research quality and reproducibility interventions and activities	Seminars, workshops, presentations on research quality topics, including practical tips and activities to improve skills (e.g., how, why and where to register studies)	Personalised, tailored support e.g., for statistical support		Training manual/data collection protocol, including use of equipment and clinical trial training	Training sessions on use of reporting guidelines		
		Collaboration with external research institutions and organisations (e.g., ResBaz)				Training on data and code sharing	Training on writing tools, reporting guidelines and software (7,8,9)		
	INCENTIVES to enhance AWARENESS, ACCESSIBILITY & UNDERSTANDING (Incentivisation)	Hiring and promotion criteria that include open science, quality, and reproducible practices				Awarding small grants / prizes for adhering to best methodological practice			Include code/data sharing in promotion criteria
		Incentives for open science practices (workload models, awards, showcases, promotion) ^g				Recognition of research software as a key research output and dissemination, as well as publications			Recognition of use of pre-established data
	MODELLING AND MENTORING to encourage quality and reproducibility (Modelling)	Create research teams with effective mix of research expertise	Dedicated time in work hours to participate and attend interventions and activities	Encouraging researchers to apply for grants where the Registered Report is linked to a funder and a journal ^h			Use of DevOps practices for research software and analysis development	Encouragement of protocol publication	Model use of social media for dissemination
Mentor/mentee partnerships									
Professional governing bodies and associations with dedicated guidelines/criteria for members to obtain research qualifications and training, E.g., RACGP, etc.									
	Raising awareness to individuals of opportunities							Checking for outcome switching and publicising the results	
REVIEW & FEEDBACK (Persuasion)		Consultations and reviews by peers e.g., statistical consulting, peer code review; writing circles	Peer-review of proposals and protocols (10)	Ethics committee evaluates appropriateness of methods (e.g., use of blinding, randomization, sample size calculation)	Use of pull-requests and code commentary by collaborators and/or external peers on shared code-bases	Pre-submission peer-review (10)		Post-publication peer-review ⁱ	
		Education for ECRs on how to conduct peer-review (7)	Mentor/mentee partnerships	Shortening and design specific ethics forms to reduce work time spent on applications	'Living research' analyses in articles can be shared in a 'sandbox' computing environment			Institutional-level checks for researcher compliance of institutional policies	
		Requesting researchers to feedback on education and training, and gaps in their knowledge and skills	Research office checks where funds have been requested for statisticians/methodologists	Peer-review of protocols					
EXPERT involvement and advice (Education)	Specific hiring for people with experience of open research, data stewards, etc. and/or training those currently employed to do this.	Expert and specialist-run courses for staff and students	Pre-submission peer-review (10)	Hiring dedicated experts to work with researchers across all departments	<	Writing support for manuscripts (11)	Support for administrative tasks	Dissemination to end-users	
		Availability of peers and colleagues to assist one another in research quality improvement	Engaging with external consulting organisations ^j	Co-design with patients and public/end-users	Dedicated data champion	Hiring dedicated experts to work with researchers across all departments	Publications officer to check adherence of paper to reporting guidelines		
POLICIES & PROCEDURES (Coercion)		Compulsory training (with flexible modes - F2F, online live and pre-recorded)	Seed grants to refine 'near miss' grant application which meet quality criteria	Mandate study registration	Requirement for data management plans and integrity checks	Policies for authorship, reporting checklists, and appropriate journal lists	Data sharing policies	Sharing an "author" version of manuscripts in institution's depository	
		Open science curriculum for under- and post-graduates					Manuscript submission checklists	Random audits of research output	

Key

Duplicates

References from full-text found through systematic searches

Refers to table of examples

< As left cell

Citations to literature on interventions currently evaluated:

- 1 Toelch U, Ostwald D. Digital open science—Teaching digital tools for reproducible and transparent research. *PLoS biology*. 2018;16(7):e2006022-e.
- 2 Bösch I. Evaluation of JATSdecoder as an automated text extraction tool for statistical results in scientific reports. *Sci Rep*. 2021;11(1):19525.
- 3 Nuijten MB, Polanin JR. "statcheck": Automatically detect statistical reporting inconsistencies to increase reproducibility of meta-analyses. *Res Synth Methods*. 2020;11(5):574-9.
- 4 Shanahan D, de Sousa IL, Marshall DM. Simple decision-tree tool to facilitate author identification of reporting guidelines during submission: a before–after study. *Research integrity and peer review*. 2017;2:20-.
- 5 Struthers C, Harwood J, de Beyer JA, Dhiman P, Logullo P, Schlüssel M. GoodReports: developing a website to help health researchers find and use reporting guidelines. *BMC Medical Research Methodology*. 2021;21(1):217.
- 6 Hirschev R, Rodgers C, Hockenberry MJ. A Program to Enhance Writing Skills for Advanced Practice Nurses. *Journal of continuing education in nursing*. 2019;50:109-14.
- 7 Barnes C, Boutron I, Giraudeau B, Porcher R, Altman DG, Ravaud P. Impact of an online writing aid tool for writing a randomized trial report: the COBWEB (Consort-based WEB tool) randomized controlled trial. *BMC medicine*. 2015;13:221-.
- 8 Chauvin A, Ravaud P, Moher D, Schriger DL, Hopewell S, Shanahan D, et al. Accuracy in detecting inadequate research reporting by early career peer reviewers using an online CONSORT-based peer-review tool (COBPeer) versus the usual peer-review process: a cross-sectional diagnostic study. *BMC medicine*. 2019;17:205-.
- 9 Hawwash D, Sharp MK, Argaw A, Kolsteren P, Lachat C. Usefulness of applying research reporting guidelines as Writing Aid software : a crossover randomised controlled trial. *BMJ open*. 2019;9:e030943-undefined.
- 10 Burns KEA, Caon E, Dodek P. Evaluation of an Internal Review Process for Grants And Manuscripts in the Canadian Critical Care Trials Group. *Canadian respiratory journal*. 2014;21:283-6.
- 11 Gattrell W, Hopewell S, Young K, Farrow P, White R, Wager E, et al. Professional medical writing support and the quality of randomised controlled trial reporting: a cross-sectional study. *BMJ open*. 2016;6:e010329-undefined.

191

192

193

194

Example Interventions
<p>Tools – Whole of Institution</p> <ul style="list-style-type: none"> a. R – The R Project for Statistical Computing https://www.r-project.org/ b. Jamovi – Free and Open Statistical Software https://www.jamovi.org/ c. JASP – Open-source project for statistics https://jasp-stats.org/
<p>Tools - Manuscript Submission</p> <ul style="list-style-type: none"> d. Unique author identifier, ORCID https://orcid.org/ e. Overleaf https://www.overleaf.com/ Provides templates for journal articles. f. Zotero https://www.zotero.org/ assists in organising, collating and sharing research.
<p>Incentives – Whole of Institution</p> <ul style="list-style-type: none"> g. Prizes for publication of pre-registered work – OSF https://osf.io/x5w7h/wiki/06%20Leaderboard/
<p>Modelling and Mentoring and Grant Writing</p> <ul style="list-style-type: none"> h. “For Funders”, https://www.cos.io/initiatives/registered-reports
<p>Initiatives - Manuscript Writing</p> <ul style="list-style-type: none"> i. Use of DevOps (https://www.atlassian.com/devops) practices for research software and analysis development: <ul style="list-style-type: none"> - Github/GitLab for collaborative version control https://about.gitlab.com/devops-tools/github-vs-gitlab/ - Agile / scrum methodologies / other standard operating procedures for collaborating on code - Use of Software containers for ensuring package dependencies and the computing environment are reproducible - Use of continuous analysis for regularly updated data
<p>Review & Feedback – Education</p> <ul style="list-style-type: none"> j. RAMP Rapid Review Group UK that offered rapid reviews of COVID related research which included code base review. https://epcced.github.io/ramp/previous-updates.html
<p>Review & Feedback - Post-Publication</p> <ul style="list-style-type: none"> k. PubPeer https://pubpeer.com/static/about
<p>Expert and Grant Writing</p> <ul style="list-style-type: none"> l. Outside Opinion https://www.outsideopinion.com.au/