Spontaneous activation of cortical somatosensory networks depresses their excitability in preterm human neonates

Running title: Spontaneous activation depresses excitability

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Abstract

In the developing cortex of preterm human infants, neuronal activity is discontinuous – characterized by sudden, high-amplitude bursts that interrupt periods of quiet background activity. While the functional significance of these bursts is well established, the underlying cause remains unclear.

We propose that this burst–quiescence pattern arises from a temporary "refractoriness" in cortical networks following spontaneous activation. To investigate this, we examined whether spontaneous activity in sensory networks reduces their excitability by assessing how ongoing brain activity influences responses to external sensory stimuli.

We recorded electroencephalographic (EEG) responses to tactile stimulation of the hands and feet in 35 preterm infants, with a median post-menstrual age of 32 weeks. This stimulation triggered increases in wideband cortical power, showing two distinct peaks: one in the delta range and another in the alpha-beta range. Delta-band activity is widespread across the scalp, while the faster alpha-beta responses were confined to somatotopically specific regions.

Importantly, we found that when the baseline activity shared similar spectral and spatial characteristics with the evoked somatosensory response, the magnitude of the evoked response was reduced. This suggests that spontaneous events transiently engage and saturate both widespread (tangential) and localized (columnar) cortical circuits.

As a result, the same cortical regions become temporarily less responsive—a form of refractoriness—preventing immediate reactivation. This mechanism may explain the cyclical pattern of bursting and quiescence observed in the preterm brain.

Significance Statement

It is well known that the preterm human brain exhibits a characteristic alternation between high-amplitude activity and quiescence, yet the underlying mechanism remains unclear. Drawing inspiration from developmental neuroscience in animal models, the present study provides the first potential neurobiological explanation for this rhythmic pattern. Using EEG recordings and a somatosensory stimulation paradigm, it demonstrates that preterm cortical bursts induce a refractory period during which external stimuli fail to elicit a response - revealing an intrinsic, activity-dependent depression mechanism. By presenting fundamental novel insights into the neurobiology of preterm cortical activity, this work has broad implications, offering both basic neuroscientists and clinical specialists new understanding of the developmental origins of sensory processing and neonatal EEG patterns linked to later neurobehavioural outcomes.

Introduction

Organised spontaneous activity across the central nervous system is a characterising feature of developing vertebrate neuronal circuits. This activity is necessary for initial development of neuronal ensembles and, in the cortex, engages columnar and extended networks, establishing the template of the mature functional architecture before the arrival of sensory signals (Molnár et al., 2020; Martini et al., 2021). This spontaneous activity is characteristically discontinuous where sudden high amplitude bursts of synchronous neuronal activity interrupt periods of quiescent background (Khazipov and Luhmann, 2006). This cyclical pattern can be explained by an excitatory recurrent network that encounters activity-dependent depression (Tabak et al., 2000; Kirmse and Zhang, 2022; Dutta et al., 2023). Indeed, spontaneous activity transiently depresses synaptic responsiveness to incoming stimuli in embryonic spinal cord preparations ("refractoriness"), possibly because of transmitter depletion and ligand-induced changes in postsynaptic currents, which slowly recovers in the inter-burst interval (Fedirchuk et al., 1999). This refractory period plays a key role in defining the propagation domains of spontaneous retinal waves (Feller et al., 1996; Godfrey and Swindale, 2007) and is related to after-hyperpolarizations of amacrine cells (Zheng et al., 2006) which also occur in spinal neurons (O'Donovan, 1999). In regards to its function, the episodic nature of activity bouts is thought to provide a high signal-to-noise ratio to the circuit, which could enhance synaptic efficacy in developing networks (O'Donovan, 1999; Tiriac et al., 2014).

Early cortical activity in humans is also highly discontinuous. The preterm electroencephalograph (EEG) is characterised by high voltage transient events (delta brushes and temporal theta) separated by low amplitude inter-burst intervals until about 35 weeks post-menstrual age (Bourel-Ponchel et al., 2021; Wallois et al., 2021). These spontaneous activity bursts occur with varying topographical configurations (Arichi et al., 2017) which sometimes resemble those evoked by external stimulation (e.g. for tactile stimulation: Fig. 3 in (Whitehead et al., 2016)). The diagnostic and prognostic importance of this characteristic pattern of activity is well established (Watanabe et al., 1999; Guérit et al., 2009; Whitehead et al., 2016), however, its aetiology, i.e. why the preterm EEG is discontinuous, is poorly understood. Here, we hypothesise that, as in other species, the preterm EEG is highly discontinuous because of "refractoriness" of cortical networks following spontaneous activation. To test this hypothesis, we assessed whether spontaneous activity in sensory networks depressed their excitability, by measuring the impact of ongoing activity on the response to an external sensory stimulus.

Materials and Methods

Subjects

Thirty-five preterm infants were recruited for this study from the neonatal wards at the Elizabeth Garrett Anderson wing of University College London Hospitals (Table 1). Ethical approval was obtained from the NHS Research Ethics Committee, and informed written parental consent was obtained prior to each study. No neonates were acutely unwell at the time of study, required mechanical ventilation, or were receiving analgesics or anti-seizure medication. Exclusion criteria included a diagnosed chromosomal abnormality, high-grade germinal matrix-intraventricular haemorrhage (grade III or above), or birth weight below the 2nd centile. All EEGs were assessed as normal for postmenstrual age by a clinical neurophysiologist (KW) (Tsuchida et al., 2013).

EEG recording set-up

Up to nineteen recording electrodes (disposable Ag/AgCl cup electrodes) were positioned according to the modified international 10/10 electrode placement system at F3, F4, FCz, Cz, CPz, C3, C4, CP3, CP4, F7, F8, T7, T8, P7, P8, TP9, TP10, O1 and O2. A reduced number of electrodes were applied if the infant became unsettled during set-up (median 18; range 11-19). The reference electrode was placed at Fz and the ground electrode was placed at FC5/6. Target impedance of electrodes was <10 kΩ (André et al., 2010). EEG was recorded with a direct current (DC)-coupled amplifier from DC-800Hz using a Neuroscan (Scan 4.3) SynAmps2 EEG/EP recording system. Signals were digitized with a sampling rate of 2 kHz and a resolution of 24 bit.

Sensory stimulation

Tactile stimuli were delivered by tapping the infants' hands and feet while naturally asleep in the cot or held by their parents, with a hand-held tendon hammer (Supplementary Video 1) (Worley et al., 2012). A train of somatosensory stimuli was delivered to each limb. The interstimulus interval was large, variable, and self-paced by the experimenter (usually 8–20 s). In case the infant moved, the tap was delayed by several seconds to avoid potential modulation of the somatosensory response by the movement (Saby et al., 2016) and allow movement artefacts to resolve. The sequence in which the limbs were stimulated varied across subjects. We attempted to stimulate every limb at every test occasion, but this was not always possible, for example when a cannula was present, or if the infant became unsettled. This resulted in a total of 101 stimulation trains (i.e. stimulated limbs) of 2-48 taps (mean 15).

Table 1. Demographics of the sample

	Total
No. of neonates	35
No. of stimulation trains	101 (48 hands, 53 feet)
Median (range) PMA at time of study (weeks+days)	32+6 (28+2-35+5)
Median (range) PNA at study (days)	7 (2-33)
Sex (% female)	40%
Multiple gestation neonates	31%
Median (range) birth weight (g)	1575 (785-2440)

PNA indicates postnatal age; PMA indicates postmenstrual age: gestational age at birth plus PNA; Preterm = <37 weeks gestational age at birth.

EEG pre-processing

Data pre-processing was carried out using EEGLAB v.13 (Swartz Center for Computational Neuroscience) and custom written MATLAB functions. Continuous EEG data were filtered with a comb filter consisting of notch filters at 50 Hz and its harmonics (100 and 150 Hz) to remove mains noise. Each notch filter was a band-stop 4th order zero-phase shift Butterworth filter with a bandwidth of 4 Hz. Continuous EEG data were then epoched from -3 to + 3 seconds around the stimulus. This led to 804 foot-stimulus epochs and 700 hand-stimulus epochs. Bad channels (poor contact with the scalp) were removed from 13 datasets (median: 1 channel, range: 1-3 channels) and then estimated with spherical interpolation as implemented in EEGLAB. EEG recordings following stimulation of the left hemi-body were 'side-swapped' so that recordings contralateral to the

192 stimulation site appear on the 'same side' of the scalp. There are no differences between
193 somatosensory responses to right vs. left body stimulation in preterm infants (Leikos et al., 2020).
194
195 **Analysis of stimulus-evoked EEG power changes**
196
We first defined the functional engagement of somatosensory cortical networks as the spectro-
spatial characteristics of the EEG power changes elicited by tactile stimulation of hands and feet
across the whole scalp. To do that, we compared the median power periodogram (0.2-40 Hz) of the
3-seconds post-stimulus segment to that of the 3-seconds baseline at every channel. Each segment
was tapered with the first discrete prolate spheroidal sequence before calculating the individual
power periodogram. We then took the median power periodogram across all trials for the baseline
$(\bar{S}_B^{(k)}(\omega))$ and post-stimulus $(\bar{S}_S^{(k)}(\omega))$ segments at each channel k (where ω denotes frequency). To
assess whether there was a significant difference between these quantities (i.e. whether there was a
significant change in the median power periodogram following stimulation) we computed the ratio
$\bar{T}^{(k)}(\omega) = \bar{S}_S^{(k)}(\omega)/\bar{S}_B^{(k)}(\omega)$ and assessed its significance as previously described (Fabrizi et al.,
2016). Significance threshold was set at $\alpha = 0.05$, however, because this test was conducted at any
frequency ω of the frequency grid, we used false discovery rates to correct for multiple
comparisons. Moreover, we also used Bonferroni correction to account for testing at multiple
channels (n = 19). The p-values in Fig. 1e and 2e incorporate these adjustments. We then plotted the
scalp distributions of these changes in the slow-delta (0.2-2 Hz), fast-delta (2-4 Hz), theta (4-8 Hz),
alpha-low beta (8-20 Hz) and high beta-gamma (20-40 Hz) bands on a 3D head mesh for illustration
purposes.
214
215 **Analysis of spontaneous EEG spectro-spatial profile effect on stimulus-evoked changes**
216
We then wanted to test whether the spontaneous activation of somatosensory cortical networks
influenced their stimulus-driven recruitment. As we had no control over the ongoing activity at the
time of stimulation, we had to assess whether the somatosensory networks happened to be active
before each of our stimuli. To do that we compared the spectro-spatial profile of the EEG power
preceding each stimulus with that of the median EEG power changes elicited by tactile stimulation
(somatosensory-evoked template). A close match between them would imply that the
somatosensory cortical networks were spontaneously active before the stimulus. To assess this, we
used a linear regression model of the form:

$$\hat{T}_i = \beta_0 + \beta_1 \widehat{sim}_i + \varepsilon_i, \tag{1}$$

where $\hat{T}_i$ represents a summary measure of observed change in EEG power elicited by stimulus i
(stimulus response) and $\widehat{sim}_i$ the similarity between the EEG power preceding the stimulus and that
of the average median EEG power changes elicited by tactile stimulation. We now define these
quantities.
We first estimated the overall power (energy) content $\hat{S}_{B,i}^{(k)}$ and $\hat{S}_{S,i}^{(k)}$ preceding and following each
stimulus i and the stimulus-related change $\hat{T}_i^{(k)} = \hat{S}_{S,i}^{(k)}/\hat{S}_{B,i}^{(k)}$ at every electrode k, where:

$$\hat{S}_{B,i}^{(k)} = \int_\omega \hat{S}_{B,i}^{(k)}(\omega)d\omega \tag{2}$$

231 and

$$\hat{S}_{S,i}^{(k)} = \int_\omega \hat{S}_{S,i}^{(k)}(\omega)d\omega \tag{3}$$

232 where $\hat{S}_{B,i}^{(k)}(\omega)$ and $\hat{S}_{S,i}^{(k)}(\omega)$ are the EEG spectral estimates preceding and following each stimulus i.
233 $\hat{T}_i$ and $\hat{S}_{B,i}$ were then calculate as the Euclidean norm of these power vectors in channel space and
234 converted to decibels as:

$$\hat{S}_{B,i} = 10log_{10}\left\|\hat{S}_{B,i}^{(k)}\right\|, \tag{4}$$

235 and

$$\hat{T}_i = 10 \log_{10} \left\| \hat{T}_i^{(k)} \right\|. \tag{5}$$

To calculate $\widehat{sim}_i$, we compared the topographical distribution of the EEG power preceding each
stimulus with that of the average median EEG power change elicited by tactile stimulation at five
EEG bands (slow-delta, 0.2-2 Hz, Ω_1; fast-delta, 2-4 Hz, Ω_2; theta, 4-8 Hz, , Ω_3; alpha-low beta, 8-20
Hz, Ω_4 and high beta-gamma, 20-40 Hz, , Ω_5). For each band, we defined the average median EEG
power change elicited by tactile stimulation (this can be interpreted as the somatosensory cortical
networks activation) as:

$$\bar{T}_j^{(k)} = \frac{\int_{\Omega_j} \bar{S}_S^{(k)}(\omega) d\omega}{\int_{\Omega_j} \bar{S}_B^{(k)}(\omega) d\omega}. \tag{6}$$

242 Where we defined:

$$\bar{S}_B^{(k)} = median_{1 \le i \le N} \left(\hat{S}_{B,i}^{(k)} \right), \tag{7}$$

243 and

$$\bar{S}_S^{(k)} = median_{1 \le i \le N} \left(\hat{S}_{S,i}^{(k)} \right), \tag{8}$$

244 With N being the total number of trials.
245 We also defined the EEG power at each frequency band j preceding each stimulus i at electrode k as:

$$\hat{S}_{B,ij}^{(k)} = \int_{\Omega_j} \hat{S}_{B,i}^{(k)}(\omega) d\omega. \tag{9}$$

246 We then calculated the cosine similarity between the topographies of (6) and (9) for each band j:

$$\widehat{sim}_{ij} = \frac{\sum_k \left(\hat{S}_{B,ij}^{(k)} \bar{T}_j^{(k)} \right)}{\sqrt{\sum_{k'} \left(\hat{S}_{B,ij}^{(k')} \right)^2 \sum_{k''} \left(\bar{T}_j^{(k'')} \right)^2}}. \tag{10}$$

247 and sum (10) across all bands Ω_j to obtain a $\widehat{sim}_i$ which ranges between 0-5, where 0 is no similarity
248 between topographies and 5 is an exact match:

$$\widehat{sim}_i = \sum_j \widehat{sim}_{ij}. \tag{11}$$

Post-menstrual age (PMA) is the primary source of inter-individual variance in the neonatal EEG
(Whitehead et al., 2018a). Since in this study we were not interested in the effect of PMA, before
fitting model (1) we first regressed $\hat{T}_i$, and $\widehat{sim}_i$ on PMA, essentially removing PMA effect from all
the variables.
In eqn (1) we perform linear regression between $\widehat{sim}_i$ and $\hat{T}_i$. From Fig. 3 we can deduce that the
variance of $\hat{T}_i$ is approximately constant over i. It is still not quite in the usual regression framework
as $\widehat{sim}_i$ is a random variable. However as it has been averaged over frequency bands Ω_j (this only
done for $\widehat{sim}_i$ and not for $\hat{T}_i$), frequencies and channels, we assume that the random variation in
$\widehat{sim}_i$ is negligible relative to the random variation in ε_i. For this reason, we can perform hypothesis
testing for the model in eqn (1), as in the usual linear regression setting with normal theory
assumption (NTA, as the multiple summations will make $\hat{T}_i$ near Gaussian) and second order
assumption (SOA).
261
262 **Data Accessibility**
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264 The data described will be made accessible on Open Science Framework at publication, alongside
265 our existing (separate) open-access neonatal EEG dataset on that portal (Whitehead and Fabrizi,
266 2024).
267
268 **Code Accessibility**
269
270 Analysis software will either be posted alongside the data (see above) or accessible upon request
271 (l.fabrizi@ucl.ac.uk).
272

273
274 <u>**Results**</u>
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276 **Somatosensory-evoked slow neuronal activity is widespread across the scalp, while fast activity**
277 **engages local somatotopically specific areas**
278
We first defined the spectro-spatial characteristics of somatosensory-evoked activity in 35 preterm
infants of median 32 weeks post-menstrual age (Table 1). Tactile stimulation of hands and feet
evoked wideband power increases across all scalp EEG channels (Fig. 1 and 2; channel locations are
plotted in Fig. 1e and 2e). There were two distinct peaks within the slow-delta band (6.7 and 3.7 dB
at 0.9 Hz for hands and feet) and alpha-beta band (6.3 and 4.6 dB at 13 Hz for hands and feet, Fig. 1c
and 2c). The topographic distribution of these power changes was frequency dependent. Significant
power changes (compared to baseline) at slower frequencies (< 20 Hz) were widespread across the
scalp, while changes at faster frequencies were focussed over the somatotopically appropriate scalp
regions – i.e. contra-lateral following hand stimulation (e.g. compare power change at high beta-
gamma between hemisphere contra and ipsi-lateral to stimulus) and midline central channels
following feet stimulation (Fig. 1c and d and Fig. 2c and d).
290

291
Figure 1. Spectro-spatial EEG power changes associated with tactile stimulation of the hands.
Power spectral density (PSD) at baseline **(a)** and following stimulation **(b)** at each channel. PSD of
changes elicited by the stimulation **(c)** and 3D maps of their scalp distribution in the slow-delta (0.2-2
Hz), fast-delta (2-4 Hz), theta (4-8 Hz), alpha-low beta (8-20 Hz) and high beta-gamma (20-40 Hz)
band **(d)**. Statistical significance of the power changes at each channel **(e)**, note that the changes are
the same as those in panel (c) but on a linear frequency axis to allow the display of higher
frequencies. P-value limits relate to adjustment for multiple comparisons.
299
300

Figure 2. Spectro-spatial EEG power changes associated with tactile stimulation of the feet. Power spectral density (PSD) at baseline **(a)** and following stimulation **(b)** at each channel. PSD of changes elicited by the stimulation **(c)** and 3D maps of their scalp distribution in the slow-delta (0.2-2 Hz), fast-delta (2-4 Hz), theta (4-8 Hz), alpha-low beta (8-20 Hz) and high beta-gamma (20-40 Hz) band **(d)**. Statistical significance of the power changes at each channel **(e)**, note that the changes are the same as those in panel (c) but on a linear frequency axis to allow the display of faster frequencies. P-value limits relate to adjustment for multiple comparisons.

Spontaneous activity of somatosensory cortical networks dampens EEG power changes evoked by tactile stimulation

We then sought to determine whether the spontaneous activity of somatosensory cortical networks influenced their recruitment by external stimulation. Since we had no control over the ongoing activity at the time of stimulation, we examined whether these networks happened to be spontaneously active just prior to each stimulus. To assess this, we evaluated how baseline activity with spectro-spatial characteristics similar to those evoked by somatosensory stimulation (i.e., a somatosensory-evoked template) influenced the power changes elicited by the stimulus. Specifically,

we quantified the similarity between the baseline EEG power distribution and the somatosensory-
evoked template for each trial ($\widehat{sim}_i$), and examined how this similarity related to the EEG power
change evoked by the corresponding stimulus ($\hat{T}_i$) (Fig. 3). Similarity could range between 0-5, where
0 is no similarity between topographies and 5 is an exact match. A close match between the spectro-
spatial distribution of baseline power to the somatosensory-evoked template would imply that the
somatosensory cortical networks were spontaneously active before the stimulus.
326
We found that higher spectro-spatial distribution similarity was associated with smaller stimulus-
evoked power changes. Power changes were significantly affected by the spontaneous activity
preceding the stimulus as demonstrated by the linear model $\hat{T}_i = \beta_0 + \beta_1 \widehat{sim}_i + \varepsilon_i$, performing
better in explaining the variance in $\hat{T}_i$ than a constant model (hands: $r^2 = 0.09$, f-stats vs constant
model = 67, p < 0.0001; feet: $r^2 = 0.05$, f-stats vs constant model = 41, p < 0.0001; Fig. 3). Stimulus-
evoked power changes at mean similarity were 13.21 dB (β_0 hands, t = 56.37, p < 0.0001) and 12.42
dB (β_0 feet, t = 58.54, p < 0.0001). These stimulus-evoked power changes decreased by 4.1 dB (β_1
hands, t = -0.18, p < 0.0001) and 4.3 dB (β_1 feet, t = -6.39, p < 0.0001) for every extra 1.0 degree of
similarity (range: 1.9-4.7 hands, 1.0-2.9 feet).
336

337
338 **Figure 3. Spontaneous activity influences EEG power changes evoked by tactile stimulation.** Scatter
339 plots of evoked power changes ($\hat{T}_i$) vs spectro-spatial distribution similarity to sensory-evoked
340 activity ($\widehat{sim}_i$) for hands and feet stimulation. The solid red line is the regression fit to data points
341 (dashed red lines are the 95% confidence bounds for the mean). In both panels each data point
342 represents a single trial.
343
344
345 <u>Discussion</u>
346
We demonstrated that spontaneous cortical activity with spectro-spatial distribution similar to that
of somatosensory-evoked activity dampened power changes elicited with stimulation. This suggests
that spontaneous activation of focal and extended somatosensory networks depresses their
excitability in preterm human neonates. Tactile stimulation elicited an increase in EEG power, but
the extent of this increase was dependent on the spectro-spatial characteristics of the activity
preceding the stimulus: the closer the spectro-spatial baseline cortical activity was to that evoked by
the somatosensory stimulus, the weaker was the change elicited. This result offers an etiological
explanation to the discontinuous pattern of cortical activity at this age (burst-quiescence), which
may be due to network refractoriness that recovers during inter-burst intervals (Vyazovskiy et al.,
2009).
357
358 **Tactile stimulation evokes focal and extended cortical activity changes in preterm infants**
359

External tactile (Milh et al., 2007; Vanhatalo et al., 2009; Whitehead et al., 2018b; Leikos et al.,
2020), noxious (Fabrizi et al., 2011; Green et al., 2018), visual (Colonnese et al., 2010) and auditory
(Chipaux et al., 2013; Kaminska et al., 2018) stimuli elicit rudimentary cortical responses from as
early as 28 weeks gestation in humans. These responses are generally composed of fast ripples
overriding a larger slow wave focussed around the scalp locations overlaying the primary sensory
areas relevant to stimulus modality (i.e. central – tactile; occipital – visual). Here we show that these
responses (to tactile stimuli) have complex spectro-spatial characteristics, where oscillations at
different frequencies have different voltage fields. The tactile response had a wide band power
spectrum but included two clear peaks within the alpha-beta-gamma and slow-delta range and a dip
in the theta range. Such coupled oscillatory signatures are a hallmark of activity-dependent
processes at time scales relevant for synaptic plasticity (Buzsáki et al., 2013). Changes above 20 Hz
(in the beta-gamma range) were localised to central contralateral and midline areas following hand
and foot stimulation respectively, while those at the slow end of the spectrum were more
widespread.
374
The results described above could imply analogous mechanisms to those observed in rodent pups, in
which somatosensory stimulation elicits distinct patterns of oscillations which differentially
synchronise neonatal cortical networks (Yang et al., 2009). Early Gamma Oscillations (EGO) involve
focal networks limited to a single cortical column or barrel (Minlebaev et al., 2011; McVea et al.,
2012; Yang et al., 2013), while long oscillations extend beyond those boundaries (Yang et al., 2009).
EGO are driven by thalamic oscillators and are thought to support the development of
thalamocortical projections and drive the organization of vertical topographic functional units
(Minlebaev et al., 2011), while long oscillations may serve as a tangential signal binding these units
together within the somatomotor area (Yang et al., 2009; Quairiaux et al., 2011; An et al., 2014). As
thalamo-cortical connections' formation precedes that of cortico-cortical connections in the human
brain (Volpe, 2009), a role for slow oscillations in synchronising cortico-cortical ensembles may
underlie why sensory-evoked delta activity declines later in preterm infants than fast ripples
(Chipaux et al., 2013; Whitehead et al., 2018b). Our results suggest that sensory evoked gamma
activity may represent the focal activation of columnar functional sensory units, while slow activity
may represent horizontal coordination between them within the contralateral somatomotor area
(Allievi et al., 2016).
391
392   **Spontaneous engagement of somatosensory network suppresses their externally-driven**
393   **excitability**
394
Cortical bursts in preterm infants can be evoked by sensory stimulation, but can also occur
spontaneously in the absence of external stimuli (Whitehead et al., 2016) (Supplementary Video 2).
These spontaneous activity patterns occur with varying spatial configurations (Arichi et al., 2017)
which overlap with those evoked by external stimulation (Whitehead et al., 2016). Here we show
that the response to a somatosensory input is suppressed if the background cortical activity
preceding stimulus onset resembles that evoked by the stimulus itself.
401
In neonatal animal models, spontaneous activity starts from central pattern generators in the
sensory periphery, spinal cord, thalamus or subplate (Martini et al., 2021). Independently of their
source, when these patterns propagate to the cortex, they ultimately involve the same regions
which are engaged by external stimuli, and are confined within the domain related to one sensory
modality (e.g. somatosensory: (Mizuno et al., 2018; Nakazawa et al., 2020); visual (Ruthazer and
Stryker, 1996; Kenet et al., 2003; O'Hashi et al., 2018; Smith et al., 2018)). Concordant with this, in
human preterm infants, resting-state fMRI activity involves the same areas engaged by sensory
stimulation, suggesting that these same networks can also be coherently active in the absence of
any external stimulus (Doria et al., 2010; Allievi et al., 2016). As specific patterns of cortical

activation correspond to specific patterns of voltage field distribution over the scalp (Arichi et al.,
2017), background EEG with spectro-spatial characteristics similar to that evoked by somatosensory
stimulation is likely to represent the spontaneous unsolicited activation of developing
somatosensory networks.
415
Whether spontaneous or evoked, early activity patterns are supported by a mutually excitatory loop
which comprises subplate neurons: a transient neuronal population in the immature brain,
projecting to and receiving glutamatergic input from layers 4-6 of the cortical plate (Tolner et al.,
2012; Viswanathan et al., 2012). Such a recurrent excitatory circuit will encounter activity-dependent
synaptic depression, possibly because of transmitter depletion and ligand-induced changes in
postsynaptic currents (Fedirchuk et al., 1999; Tabak et al., 2000). This depletion will transiently
reduce network excitability, which then slowly recovers allowing for the next neuronal event,
whether spontaneous or evoked, to occur. Our results therefore suggest that the spontaneous
activation of somatosensory networks in preterm human neonates has a major dampening impact
on the processing of later ascending sensory input.
426
427 In conclusion, we offer evidence that sensory-evoked activity in preterm human neonates represents
428 the coordinated activation of local (columnar) and extended (tangential) cortical aggregates and that
429 the occurrence of spontaneous cortical events in the same regions depresses their excitability
430 preventing their immediate re-engagement. This "refractoriness" offers an etiological explanation to
431 the cyclical burst-quiescence pattern typical of preterm cortical activity.
432
433
434 **Supplementary Material**
435
436 Supplementary Video 1: Two examples of tactile stimulation of the left hand in a 35 weeks post-
437 menstrual age infant, during natural sleep. To maintain the infant's comfort, they remain wrapped in
438 their bedding, with only a small amount of skin uncovered to deliver the tap.
439
440 Supplementary Video 2: Example of a spontaneous cortical burst in a 31 weeks post-menstrual age
441 infant, during natural sleep.
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460

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FOOT STIMULATION

(a) Baseline PSD

(b) Post-stimulus PSD

(c) Changes in PSD

(d) Changes in PSD - Topographies

(e) Changes in PSD - Significance