1 OPENPichia: building a free-to-operate Komagataella phaffii

2 protein expression toolkit

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20 Abstract

21 In the standard toolkit for recombinant protein expression, the yeast known in biotechnology as 22 Pichia pastoris (formally: Komagataella phaffii) takes up the position between E. coli and HEK293 23 or CHO mammalian cells, and is used by thousands of laboratories both in academia and industry. 24 The organism is eukaryotic yet microbial, and grows to extremely high cell densities while 25 secreting proteins into its fully defined growth medium, using very well established strong 26 inducible or constitutive promoters. Many products made in Pichia are in the clinic and in industrial 27 markets. Pichia is also a favoured host for the rapidly emerging area of 'precision fermentation' 28 for the manufacturing of food proteins. However, the earliest steps in the development of the 29 industrial strain (NRRL Y-11430/CBS 7435) that is used throughout the world were performed 30 prior to 1985 in industry (Phillips Petroleum Company) and are not in the public domain. Moreover, despite the long expiry of associated patents, the patent deposit NRRL Y-11430/CBS 7435 that 31 32 is the parent to all commonly used industrial strains, is not or no longer made freely available 33 through the resp. culture collections. This situation is far from ideal for what is a major chassis for 34 synthetic biology, as it generates concern that novel applications of the system are still 35 encumbered by licensing requirements of the very basic strains. In the spirit of open science and 36 freedom to operate for what is a key component of biotechnology, we set out to resolve this by 37 using genome sequencing of type strains, reverse engineering where necessary, and 38 comparative protein expression and strain characterisation studies. We find that the industrial 39 strains derive from the K. phaffii type strain lineage deposited as 54-11.239 in the UC Davis Phaff 40 Yeast Strain collection by Herman Phaff in 1954. This type strain has valid equivalent deposits 41 that are replicated/derived from it in other yeast strain collections, incl. in ARS-NRRL NRRL 42 YB-4290 (deposit also made by Herman Phaff) and NRRL Y-7556, CBS 2612 and NCYC 2543. 43 We furthermore discovered that NRRL Y-11430 and its derivatives carry an ORF-truncating 44 mutation in the HOC1 cell wall synthesis gene, and that reverse engineering of a similar mutation 45 in the NCYC 2543 type strain imparts the high transformability that is characteristic of the industrial strains. Uniquely, the NCYC 2543 type strain, which we propose to call 'OPENPichia' henceforth, 46 47 is freely available from the NCYC culture collection, incl. resale and commercial production 48 licenses at nominal annual licensing fees¹. Furthermore, our not-for-profit research institute VIB 49 has also acquired a resale/distribution license from NCYC, which we presently use to openly provide to end-users our genome-sequenced OPENPichia subclone strain and its derivatives, 50 51 i.e., currently the highly transformable *hoc1^{tr}* and the *his4* auxotrophic mutants. To complement 52 the OPENPichia platform, a fully synthetic modular gene expression vector building toolkit was 53 developed, which is also openly distributed, for any purpose. We invite other researchers to 54 contribute to our open science resource-building effort to establish a new unencumbered standard chassis for Pichia synthetic biology. 55

56 Introduction

57 Presently, a wide variety of microbial hosts is available for the production of recombinant proteins. However, for general laboratory use as well as biopharmaceutical protein manufacturing, a strong 58 59 consolidation has taken place over the past years. E. coli remains the most-frequently used 60 prokaryotic system for proteins of prokaryotic origin and for relatively simple stably folding proteins 61 of eukaryotic origin, especially those with no or few disulphide bonds. On the other end, production 62 in HEK293 or CHO cells is used for production of proteins of higher eukaryotic origin, affording 63 i.a. complex-type N-glycosylation. The methylotrophic yeast known in biotechnology as Pichia 64 pastoris (and formally classified as Komagataella phaffii) takes up the intermediate-complexity position in most protein expression lab's toolkit, as it combines the easy cultivation and fast growth 65 66 of a micro-organism with the presence of a eukaryotic secretory system and the ensuing ability to perform complex post-translational modifications such as N-glycosylation and strong capacity for 67 the formation and isomerization of disulphide bonds²⁻⁴. Hundreds of papers are published every 68 69 year reporting on the use of Pichia for protein production (and increasingly also for engineered 70 metabolite production, incl. in the area of sustainable chemical building block manufacturing from 71 methanol and even CO_2^{5}). Quite often, *Pichia*-produced proteins are developed by academic and 72 industrial scientists alike with an eventual applied/commercial use in mind, being it as a 73 therapeutic compound, an industrial biocatalyst^{6,7} or more recently, as a food ingredient.

74 Historically, in 1954 Herman Phaff deposited a methylotrophic yeast strain from a black oak tree 75 (Quercus) in the Yosemite region⁸. The isolate was stored in the culture collection of the University 76 of California at Davis as UCD-FST K-239, with formally equivalent type strain deposits in other 77 culture collections as NRRL YB-4290, NRRL Y-7556, CBS 2612 and NCYC 2543. At this time, 78 these isolated strains of Phaff could not be distinguished from similar strains isolated in 1919 by 79 A. Guilliermond, and Herman Phaff categorized both together as a new species: Pichia pastoris 80 (the genus *Pichia* was established half a century before, in 1904, by E. Hanssen⁹) (Figure 1). In 81 1995, Pichia pastoris was re-classified into the new genus of Komagataella, named after the 82 Japanese scientist Kazuo Komagata as a tribute to his contributions to yeast systematics, in particular the methanol-assimilating yeasts¹⁰. In 2005, the two distinctly evolved isolates from 83 84 Phaff and Guilliermond were divided into two separate species and renamed Komagataella phaffii and Komagataella pastoris by C. Kurtzman¹¹, based on the sequencing of 26S rDNA. 85 Consequently, the strain of Phaff (UCD-FST K-239, NRRL YB-4290, NRRL Y- 7556, CBS 2612, 86 87 and NCYC 2543) was now considered the type strain of the species Komagataella phaffii, while the strain of Guillermond (CBS 704 or NRRL Y-1603) was regarded as the type strain of the 88 89 species Komagataella pastoris.

90 By the 1970's, these yeast species that can utilize methanol as the sole carbon source¹²⁻¹⁴ 91 sparked the interest of Phillips Petroleum Company, since they had a vast supply of cheap 92 methane gas (a by-product of their oil refinement process), which can be easily oxidized to 93 methanol by chemical oxidation. Hence, they explored the available methylotrophic yeast species 94 through procedures that are not in the public domain, and selected a *Pichia pastoris* to grow on 95 the synthesized methanol and produce a single cell protein source for animal feed using fermentation. The application was patented in 1980 (with the earliest priority application on April 96 97 12th, 1979)¹⁵, which required the strain to be deposited once more in public culture collections and 98 it became known as NRRL Y-11430 and CBS 7435. Which exact strain was deposited, is not 99 described in the patent, nor available in the public domain. It was already assumed that it could 100 be either the isolate from Guillermond (NRRL Y-1603) or that of H. Phaff (NRRL Y-4290) 101 (GRN 737). However, recent efforts of genomic sequencing show that the genetic differences 102 between both lineages are large enough to conclude that the latter was used^{16,17}.

103 In the early 80's, Phillips Petroleum Company contracted with the Salk Institute 104 Biotechnology/Industrial Associates (SIBIA) to develop the organism for recombinant protein 105 production, based primarily on the extremely strong and tightly regulated Alcohol Oxidase 1 106 promoter (pAOX1). In this context, NRRL Y-11430 derived strains were generated with 107 auxotrophies, such as the GS115 strain, which is a *his4* auxotrophic mutant obtained through 108 nitrosoguanidine mutagenesis of NRRL-Y11430¹⁸, and the X33 strain that is a HIS4 109 complemented strain deriving from GS115, likely via an intermediate¹⁸⁻²⁰. In 1993, Phillips 110 Petroleum sold its patent position in the Pichia system to Research Corporation Technologies 111 $(RCT, Tucson, AZ)^{21}$, apparently including the strain patent deposits associated to this, and their 112 derived strains. Unfortunately, NRRL Y-11430 is not distributed anymore, likely because the 113 patentee is no longer under an obligation to provide the strain, given that the associated patent(s) 114 have expired almost 20 years ago. Indeed, the NRRL Y-11430 in the Agricultural Research 115 Service culture collection (ARS-NRRL) is no longer available, and the same is the case for the 116 CBS 7435 strain in the collection of the Westerdijk Fungal Biodiversity Institute (CBS). Also, it 117 appears that the strain and its popular derivatives, incl. their distribution, are still controlled by the 118 original patent holder. This occurs through the enforcement of Material Transfer Agreements 119 (MTAs) that were associated to obtaining the strain from the NRRL culture collection during the 120 time it was maintained as a patent deposit, or conditions of sale associated to obtaining derivative 121 strains through the commercial distribution by Invitrogen (currently a brand of Thermo Fisher 122 Scientific) as part of Pichia expression system kits. To our knowledge, the NRRL Y-11430 123 parental industrial strain can currently only be obtained at the American Type Culture Collection 124 (ATCC 76273) under a similarly restrictive MTA. Because of the RCT-mandated distribution of 125 Pichia expression technology kits by Invitrogen, for more than two decades, thousands of protein

126 expression labs have extensive experience with the GS115 family of strains that were included in 127 these kits^{22,23} and the currently approved biopharmaceuticals are manufactured by use of the 128 NRRL Y-11430 industrialized strain lineage. Many researchers are not fully aware of the 129 restrictions to strain distribution and potential applied use downstream of discoveries and 130 inventions made with them. Without prejudicing the legal aspects of some of this, which is outside 131 of our field of competence, as scientists we find it entirely unsatisfactory that the very basis of a 132 critical mainstay of academic and industrial biotechnology remains not simply openly accessible 133 exceedingly long after the original patents have expired. Given that *Pichia* takes such an ever 134 more prominent place in the toolkit for diverse sectors of synthetic biology and biotechnology, we 135 believe that it is long overdue for an open-access alternative strain platform to be set up by the 136 community. To continue to take benefit of the extensive regulatory agency acquaintance with 137 Pichia, it is important to achieve this by use of the same parental strain lineage as used in the 138 strains that were industrialized so far.

139 To achieve this goal, we and others have recently turned to the Komagataella phaffii type strains 140 that are present in culture collections throughout the world, armed with now highly affordable 141 genome resequencing, to try and identify the original isolate from nature that the Phillips 142 Petroleum researchers used in their derivation of NRRL Y-11430. In an exploration in the largest 143 US yeast strain collection (ARS-NRRL), the Love lab at MIT found that two deposits indicated by 144 the culture collection as equivalent Komagataella phaffii type strains (NRRL YB-4290, directly 145 deposited by Herman Phaff, and NRRL Y-7556), are genetically identical. Furthermore, in 146 comparison to this type strain, in their analysis they found only 1 point mutation leading to a 147 truncation of the Rsf2p open reading frame in NRRL Y-11430²⁴, establishing the type strain lineage of this industrial strain. In this study, the NRRL type strains were found to have problems 148 149 with easily generating high copy number transformants and the authors recommended to keep 150 using the industrial NRRL Y-11430 strain background for recombinant protein production, 151 especially for expressions under methanol control. The NRRL YB-4290 strain is also present in 152 the UC Davis Phaff Yeast Culture Collection as its deposit made by Herman Phaff in 1954, and 153 numbered 54-11.239 (referred to as UCD-FST K-239 in the NRRL entries). It is documented in 154 the collections as having been isolated at Mather, Central Sierra Nevada, California, USA, from 155 the exudate flux of a black oak (Quercus kelloggii). Given its origin, no Access and Benefit Sharing 156 restrictions apply to its use for any purpose (Nagoya Protocol or Convention on Biological 157 Diversity). This type strain was also deposited in European culture collections: at the Westerdijk 158 Fungal Biodiversity Institute (CBS, Delft, The Netherlands, deposit CBS 2612) and at the National 159 Collection of Yeast Cultures (Norwich, UK, deposit NCYC 2543). In our present study, we started out by analysing the genome of the four type strains. We then focused on the NCYC 2543 strain, 160 161 as the NCYC collection uniquely provides standard very affordable distribution and commercial

162 use licenses for its strains and derivatives thereof, fulfilling our requirements for a community 163 open-access Pichia platform strain. Different from all other culture collections where the K. phaffii 164 type strain deposits are found, technology developers can acquire a distribution license to end-165 users for their newly generated materials, or have the choice of also depositing these in the NCYC 166 collection for full open access. Hence, we propose to nickname this NCYC 2543 type strain 167 deposit as 'OPENPichia'. In an effort to establish confidence in the OPENPichia strain as a new 168 standard open-access Pichia chassis for use by the research and biotechnological industry 169 community, we set out on a comprehensive characterization of its genome, growth characteristics, 170 transformation efficiency and recombinant protein expression, in comparison to the NRRL 171 Y-11430 industrial strain. We discovered a key frameshift mutation in the HOC1 gene of the 172 industrial strain that enhances conduciveness to transformation, and introduced this mutation in 173 OPENPichia using open-source genome engineering technology, to overcome this important 174 limitation. Further characterization of this OPENPichia-hoc1^{tr} strain in comparison to NRRL 175 Y-11430 in terms of growth rate, cell wall density and cell sensitivity to cell envelope-binding 176 chemicals confirmed it to be indistinguishable phenotypically from the industrial strain. As set forth 177 below, we complement this OPENPichia strain set with an OPENPichia modular protein 178 expression vector toolkit, entirely made up of synthetic DNA to avoid any third-party MTAs, and 179 following the Golden Gate cloning standard for compatibility with complementary toolkits from 180 other *Pichia* developer labs²⁵. The basic NCYC 2543 OPENPichia strain is available from NCYC, 181 incl. straightforward and low-cost distribution licenses for labs that develop novel strains. Our own 182 derived OPENPichia strains described in this paper are openly available for end-users (incl. for 183 royalty-free Pichia-made commercial product manufacturing) under such distribution license that 184 our not-for-profit research institution VIB obtained from NCYC. OPENPichia vector cloning 185 materials are openly distributed for any utilization purpose in association with the public plasmid 186 collection of the Belgian Coordinated Collection of Microorganisms.

187

188 **Results**

189 The genomes of the *K. phaffii* type strains and commercial strains are virtually identical

To evaluate alternative *K. phaffii* strains at the genomic level, we deeply (avg. 180x genome coverage) resequenced the genome of several type strains available at culture collections (i.e., NRRL YB-4290 / NRRL Y-7556 / CBS 2612 / NCYC 2543) in comparison to the NRRL Y-11430 industrial strain (**Error! Reference source not found.**) (Supplementary Table 1 and 2). The reads were mapped against the published reference genome of the CBS 7435 strain incl. the mitochondrial genome sequence and that of the two *K. phaffii* linear killer plasmids²⁶. CBS 7435 is a deposit of the NRRL Y-11430 industrial strain in the CBS culture collection (Delft, The

197 Netherlands). To this end, the Breseq²⁷ software package was used in consensus mode. There 198 was some variation in overall GC content, which can be attributed to the varying amount of 199 mitochondrial DNA and the presence of the two killer plasmids in some of the strains, as these 200 have an average GC content of 24%, 28% and 29%, respectively (unlike the nuclear 201 chromosomes, which have an average GC content of 41%). Indeed, the read alignment showed 202 that the proportion of reads that mapped to the mitochondrial genome varied between 10 and 203 47%.

- 204 The proportion of reads originating from the two killer plasmids, varied between 0% and 9% 205 (Supplementary Table 2). The K. phaffii killer plasmids are linear autonomously replicating DNA 206 fragments of 9.5 kb and 13.1 kb¹⁹ that encode exotoxins that can kill other yeast cells^{17,19}. These toxins may also be toxic to cells from the same species, in case these have lost resistance to the 207 208 toxin, and this phenomenon is therefore undesired in large scale culturing of yeast as it may 209 reduce the overall viability of the culture. To verify the presence of such killer plasmids in the 210 tested strains, their sequences, as reported by Sturmberger et. al., were included in the reference 211 for the alignment of the reads²⁶. Surprisingly, the killer plasmids could not be detected in both the 212 CBS 2612 and NCYC 2543 strain, while such reads were abundant for the analysed NRRL strains 213 (NRRL YB-4290, Y-7556 and Y-11430) (Table 2). According to the strain history, the NRRL 214 YB-4290 and CBS 2612 strains were deposited by Phaff, while the NRRL Y-7556 strain was a 215 redeposit of CBS 2612 by D. Yarrow (CBS). Since the daughter strain (NRRL Y-7556), still has 216 these killer plasmids, the mother strain (CBS 2612) should have had them as well, leading to the 217 conclusion that these linear plasmids are easily lost while propagating K. phaffii strains. In our lab 218 as well, several NRRL Y-11430 descendants were identified that have lost these killer plasmids 219 upon the standard microbial practice of single colony streaking (unpublished data). Also, the 220 commercial strain GS115, does not have any killer plasmids¹⁸.
- Using a Maximum Likelihood method and the Hasegawa-Kishino-Yano model, a phylogenetic tree based on the nuclear genome was computed to visualize the genetic distances between the sequenced strains and a variety of other *K. phaffii* strains whose genomes were published previously^{18,24,28}. All *K. phaffii* type strains are strongly clustered together with the NRRL Y-11430 and CBS 7435 strains, as well as other close relatives (Figure 2). Thus, these data support previous literature^{24,29}, where it was hypothesized that all these strains are derived from the same isolate, originally isolated by Phaff²⁸.
- Based on the resequencing data, we identified single nucleotide polymorphisms (SNPs) and short insertion-deletions (indels) (Table 2). First comparing the mutation calling of strain NRRL Y-11430 with the reference genome of the equivalent-deposit CBS 7435 strain reference revealed only one protein-coding difference in one hypothetical protein and about 20 intergenic/intronic/silent exonic differences. All these alterations were also identified in the type strains, indicating that

233 these are likely to be considered as the wild type K. phaffii genotype and that the CBS 7435 234 reference genome was most likely miscalled at these sites. The same holds for two insertions that 235 were observed in each of the sequenced strains in a region annotated to encode for a hypothetical 236 papain-like cysteine protease. This region appears to have been difficult to sequence in previous 237 experiments, as also in the datasets associated with a previous type strain genome sequencing 238 study²⁴, we found only a few reads mapping to this area, which were accompanied by many 239 mutations, low quality bases (Phred scores of <28) and low overall mapping quality score (<20). 240 Note that the type strain deposits of the different culture collections also differ from one another 241 each at one other coding sequence-altering genomic position and a few non-coding ones, likely 242 reflecting drift due to background mutational rate during strain propagation (Table 2 and 3).

243 We then focused on the very few protein-coding alterations that consistently distinguish the 244 industrial strain NRRL Y-11430 from the equivalent type strain deposits NRRL YB-4290, NRRL 245 Y-7556, CBS 2612, and NCYC 2543. Three coding sequence altering mutations (in SEF1, RSF2, 246 and HOC1) were shared by all type strains but were absent in the NRRL Y-11430 strain. Our re-247 analysis of the raw sequencing reads from a previous genome analysis of deposits NRRL 248 YB-4290 and NRRL Y-7556 confirmed the presence of these mutations and their absence in the 249 NRRL Y-11430 strain also based on these datasets²⁴. Data quality in the area of the SEF1 and 250 HOC1 mutation is rather poor in these earlier datasets, and the authors only detected the mutation 251 in $RSF2^{24}$. Since all three mutations are shared by the type strains, it must be concluded that they 252 represent the original state of K. phaffii, and that NRRL Y-11430 is actually the mutant strain at 253 these three loci.

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Three mutations in protein-coding regions in the NRRL Y-11430/CBS 7435 industrial strain lineage vs. the type strain deposits

257 SEF1 encodes for a putative transcription factor (UniProt ID F2QV09) and the observed SNP 258 causes a S315C mutation in NRRL Y-11430 as compared to the type strains. RSF2 encodes for a transcription factor which is involved in methanol- and biotin-starvation (UniProt ID F2QW29). 259 260 The observed mutation is a SNP which causes the introduction of a stop codon (W748*) in NRRL 261 Y-11430, resulting in the deletion of 183 amino acids from the C-terminus of the protein. The non-262 truncated Rsf2p which is found in the K. phaffii type strains, is very similar to its homolog in S. 263 cerevisiae³⁰, additionally indicating that this was the original genomic state, as previously 264 reported²⁴. HOC1 (homolog of OCH1) encodes for an α -1,6-mannosyltransferase (UniProt ID 265 F2QVW2) involved in the synthesis of cell wall mannan and is part of the M-PoIII complex³¹. Here, 266 the industrial strain NRRL Y-11430 has a single base pair deletion in a poly-A stretch (at bp 755 267 of the 1191 bp long coding sequence), as compared to the type strains. This causes a frameshift 268 and premature stop codon, resulting in a C-terminally truncated protein (274 versus 398 amino

269 acids), with the last 22 amino acids up to the new stop codon being different from the type strain 270 sequence. The indel in the homopolymer was confirmed by Sanger sequencing (data not shown). 271 In parallel to our work, the same mutation was identified by the lab of Kenneth Wolfe (UC Dublin), 272 as the phenotype-causative mutation for a quantitative trait locus (QTL) that yielded 2-3 fold 273 higher secretion of a reported beta-glucosidase (personal communication, publication in press²⁹). 274 With this knowledge on which mutations could be involved in phenotypic differences between the 275 type strains and the industrial strains, we set out for a comparison of characteristics important to 276 the use of Pichia for recombinant protein production. Given that the NCYC 2543 deposit of the 277 type strain was the only one for which the resp. culture collection openly provides both commercial 278 and re-sale/strain distribution licenses as part of its standard business practice¹, we further mainly 279 focused on this deposit's characteristics, and we called it OPENPichia.

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281 Strain comparison for growth rate, protein production and transformation efficiency

282 NCYC 2543/OPENPichia was compared to NRRL Y-11430 and GS115, both in terms of growth 283 rate and their capacity for expressing recombinant proteins. GS115 is a his4 auxotrophic mutant 284 derived from NRRL Y-11430 by nitrosoguanidine mutagenesis and, depending on the analysis, 285 its genome contains about 69^{32} , 74^{24} or 71 (our unpublished data) mutations vs. that of its parent. 286 To be able to evaluated the impact of *his4*-mediated histidine auxotrophy on strain characteristics, 287 we generated an OPENPichia *his4* strain using the split-marker method^{33,34}. No significant 288 difference in growth rate between the type strains and NRRL Y-11430 could be observed 289 (Error! Reference source not found.), but the GS115 strain grew significantly more slowly (oneway ANOVA, p=0.0034, Tukey test), as reported²⁴. Interestingly, our histidine auxotrophic 290 291 OPENPichia does not show the slower growth phenotype, demonstrating that this GS115 292 phenotype is not due to histidine auxotrophy, but rather must be due to one or more of the other 293 nitrosoguanidine-induced mutations in its genome. As his4 complementation is an often-used 294 antibiotic-free selection marker, this unaffected growth rate in the OPENPichia his4 strain is a 295 useful feature.

296

To compare the protein production capacity of the strains, a selection of model proteins was produced in NRRL Y-11430 and OPENPichia (

Table). Four proteins of very different types in use in biotechnology were chosen: a cytokine (GM-CSF), a redox enzyme (GaOx), a VHH-hFc α fusion (Cdiff-VHH-IgA), and a VHH-hFc γ fusion (CovidVHH-IgG). To enable recombinant expression, the two most commonly used off-patent *K*. *phaffii* promoters were tested: pGAP for strong constitutive and pAOX1 for strong methanolinducible expression, respectively. Protein expression in *K. phaffii* is prone to clonal variations that can interfere with the comparison of expression capabilities between strains. Most of the variation is due to the integration site and the copy number of the construct³⁵. To this end, a single copy was targeted to the respective promoter regions in the genome, the copy number and
 integration site were confirmed by qPCR and integration-site specific PCR, and two independent
 clones of each setup were grown in triplicate.

- 309 For both the pGAP-driven and pAOX1-driven expressions, there is in general no major difference 310 in protein production titres between the two hosts. However, there is a clear difference in cell 311 density at harvest of the pGAP cultures, with OPENPichia growing to higher densities than NRRL 312 Y-11430 (Supplementary Figure 1), whereas this is not the case on methanol. Additionally, in all 313 cases, NRRL Y-11430 shows slightly more host cell proteins (HCPs) in the medium of the pGAP 314 expressions (on limiting glucose), as visible on the SDS-PAGE gels (Figure 4), but not when 315 grown on methanol. Both observations point towards a minimally increased cell lysis of the NRRL 316 Y-11430 strain vs. the OPENPichia strain when grown on glucose.
- 317

318 HOC1-truncation restores the transformation efficiency in NCYC 2543

319 Upon generating expression clones, a strongly reduced transformation efficiency was observed 320 for OPENPichia as compared to NRRL Y-11430 (Figure 5C), as was also observed by others²⁴. 321 Out of the three consistent protein-coding differences between the type strains and NRRL 322 Y-11430, we reasoned that the one in the HOC1 open reading frame could be causative for the 323 low transformation efficiency of the type strains, as the S. cerevisiae Hoc1p ortholog is an 324 α-1,6-mannosyltransferase that is part of the mannan polymerase II complex in the yeast Golgi 325 apparatus. The hypermannosyl-N-glycans, of which the backbone is synthesized by this mannan 326 polymerase complex, form the outermost layer of the ascomycete cell wall, and carry most of their 327 charge under the form of mannosylphosphate modifications on the side chains. HOC1 deletion in 328 S. cerevisiae is viable though the phenotyping and genetic interaction data available in the 329 Saccharomyces Genome Database clearly indicate a cell wall stress response. As negatively 330 charged plasmid DNA during transformation has to traverse the cell wall, a cell wall that presents 331 less of a diffusional/charge barrier due to less mannan/mannosylphosphate density could explain 332 the more highly transformable phenotype of NRRL Y-11430. Using the split-marker method, we 333 introduced this single base pair deletion in the genome of OPENPichia, hence reverse 334 engineering the genetic makeup of the NRRL Y-11430 strain (Figure 5A) in this locus. Two mutant 335 versions were generated: NCYC 2543 hoc1^{tr}-1, where only the single base pair was deleted, 336 resulting in the truncated ORF and a Lox72-scar downstream of the novel stop codon; and NCYC 337 2543 hoc1^{tr}-2, where additionally 115 bp downstream of the novel stop codon were removed 338 (Figure 5B). The transformation efficiency was compared between the two wild type strains and 339 the *hoc1^{tr}* mutants, using a plasmid encoding for a VHH under control of the GAP or AOX1 340 promoter. The HOC1-truncation drastically increased the transformation efficiency of the

OPENPichia strain, and even showed a 2-3-fold improvement as compared to the NRRL Y-11430
 in this experiment (Figure 5C). In general, it was also observed that the transformation efficiency
 for pGAP-based plasmids is lower as compared to similar plasmids that are pAOX1-based, which
 we speculate is due to the metabolic burden imposed by constitutive GBP VHH production during

- 345 recovery of the cells after transformation.
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347 Characterization of the NRRL Y-11430, NCYC 2543 and NCYC 2543 *hoc1^{tr}* cell wall

348 These strains were further characterized in terms of their cell wall composition. First, the cell wall 349 mannoprotein N-glycans were profiled by capillary electrophoresis³⁶ after the cells were grown on 350 glucose or glycerol (Supplementary Figure 2). This method mainly detects the lower-degree of 351 polymerization N-glycans, and the profiles were very similar for all four strains, indicating that the 352 pathway of synthesis of the mannan core was intact in all strains. This capillary electrophoresis 353 method is however unsuited to the detailed profiling of the higher-polymerized mannan N-glycans, 354 as there are so many isomeric structures formed that are all in part or completely resolved, such 355 that they form one long trail of overlapping low-abundance peaks that is impossible to interpret. 356 Most of the mannosylphosphate negative charge-imparting moieties are added to the mannan 357 side branches of these long chains. They bind to cationic dyes such as Alcian Blue and hence 358 the staining density of yeast cells with such dye reflects the density of these negative charges on 359 the outermost layer of the cell wall. Indeed, as compared to the type strain NCYC 360 2543/OPENPichia, both NRRL Y-11430 and the two OPENPichia hoc1^{tr} mutants showed a 361 reduced Alcian Blue staining intensity (Figure 6A). This is consistent with the reduced Alcian Blue 362 staining of *S. cerevisiae hoc1* mutants^{37,38}.

363 To further investigate the cell wall integrity, resistance of the strains towards Congo red and 364 Calcofluor white was analysed (Figure 6B) using previously reported methods^{24,29}. The 365 OPENPichia type strain is much more resistant than NRRL Y-11430 towards both dyes, a 366 difference which is lost in the HOC1-truncated OPENPichia mutants. This indicates the 367 importance of Hoc1p in cell wall integrity. We also performed transmission electron microscopy 368 (TEM) using a freeze substitution technique that is optimized to pull in the osmium tetroxide 369 membrane-staining contrast reagent as well as fixatives through the cell wall during the slow 370 dehydration of the cells, which is then reversed in subsequent sample preparation. We observe 371 a much stronger electron scattering at the outermost cell wall layer of the wild type OPENPichia 372 type strain than for the other, HOC1-truncated strains (NRRL Y-11430 and OPENPichia hoc1^{tr}) 373 (Figure 6C). We interpret that this is caused by OsO₄-accumulation at the mannan layer of the 374 cell wall due to a stronger barrier to diffusion of the reagent in the wild type strain during freeze 375 substitution. Scanning electron microscopy looked very similar for the four strains (Figure 6C), 376 indicating no gross malformations. In summary, the data are consistent with the *hoc1^{tr}* mutation

resulting in a relatively mild cell wall integrity deficiency, resulting in increased passage of plasmid
 DNA during transformation and, depending on the target protein, in some cases also increased
 production or cell wall passage of secreted recombinant proteins²⁹.

380

381 Strain comparison for growth rate and protein production of OPENPichia hoc1^{tr}

382 The growth rate, as well as protein production capacity of the newly generated OPENPichia 383 HOC1-truncated strains were compared to those of NRRL Y-11430 and wild type OPENPichia. 384 No significant difference in growth rate is observed between the 4 tested strains (Figure 7A). As 385 we earlier found most phenotypic difference between NRRL Y-11430 and wild type OPENPichia 386 in terms of growth and HCP levels when the strains were grown on glucose, we tested pGAP-387 based protein expression for the different strains (Table 4), using an anti-GFP VHH (GBP) as test 388 protein. To this end, 24 clones of each strain were screened, except for wild type OPENPichia, 389 where only 12 transformants were obtained (Figure 7B and C). For pGAP-based GBP expression, 390 the NCYC 2543 hoc1^{tr} strains outperform the NCYC 2543 strain, and NCYC 2543 hoc1^{tr}-1 even 391 outperforms NRRL Y-11430, although the differences are small and clonal distributions overlap. 392 The result is in line with the observations made by the Wolfe lab (personal communication, 393 publication in press²⁹), where they observed that the truncated HOC1 genotype in NRRL Y-11430 394 resulted in doubling of the secretion level of a beta-glucosidase under control of the pGAP promoter, vs. rather divergent K. phaffii NRRL strains. 395

396 The presented data together with the growth and expression analysis, show that the NCYC 2543 397 background is at least as good as an expression host as the industrial NRRL Y-11430 strain (or 398 its derivatives). With the discovery of the HOC1 truncation as the basis for the higher 399 transformability of NRRL Y-11430, and the finding that it can easily be accomplished using free-400 to-operate recombination-based genome engineering, also this last remaining handicap of the 401 parental NCYC 2543 was removed. Indeed, as reported recently by Brady et al.²⁴, the low 402 transformability, which makes it laborious to find clones with multicopy integration of the 403 expression vector, was the key reason for them to decide on continued use of NRRL Y-11430-404 based strains over more wild type strains. This problem is now solved.

As no difference between the two NCYC 2543 *HOC1*-mutant versions could be observed and given their excellent performance, we decided to continue all future *Pichia* work with the version *hoc1^{tr}-1*, which has the smallest genomic scar downstream of the truncated *HOC1* coding sequence (i.e., insertion of a remaining Lox72 site).

409

410 **OPENPichia modular protein expression vector construction toolkit**

411 Many scientists have used or still use commercial *K. phaffii* expression kits, which conveniently 412 match expression strains and vectors. Indeed, constraints in vector choice are sometimes

413 imposed by the properties of the chosen K. phaffii strain (e.g., selection markers, auxotrophies, 414 methanol utilization phenotypes, etc). Although such kits are attractive to beginner users and are 415 hence widespread within the scientific community, their conditions of sale are legally restrictive 416 and forbid further distribution and reutilization of vector parts, let alone use in commercial 417 production, which requires commercial licensing from the provider. Fortunately, issues with non-418 FTO DNA constructs can nowadays be avoided by *de novo* synthesis, combined with novel and 419 fast cloning techniques which both emerged rapidly in the last decade³⁹. However, establishing a 420 new properly documented FTO genetic toolkit is still a relatively expensive and time-consuming 421 occupation for most labs.

422 To enable scientists to express their genes of interest, a well-equipped genetic toolkit and 423 corresponding cloning framework is provided to the community (Figure 8), and deposited at the 424 BCCM plasmid collection. The cloning system that was chosen is modular, to enable maximum 425 flexibility and based on Golden Gate cloning, similar to other toolkits^{25,40–48}. These cloning systems 426 have gained a lot of popularity as they are user-friendly and easily expandable, while also boasting 427 high versatility in construct design. In essence, the strength of Golden Gate assembly is based 428 on the use of Type IIS restriction endonucleases that cut outside their recognition sites, which 429 allows users to flank DNA fragments of interest with customizable 4 nt overhangs. As such, a 430 4 nt overhang of one fragment can be made complementary to a 4 nt overhang of another 431 fragment, and such compatible overhangs are ligated much more efficiently, enabling directional, 432 multi-insert cloning in a single reaction. The MoClo system takes this concept a step further, as it 433 standardizes Golden Gate assembly by designating a priori all DNA elements of a desired vector, 434 which are typically referred to as 'parts', to a particular 'part type' (e.g., promoter, CDS, etc) and 435 flanking each part type by unique 4 nt overhangs and Type IIS restriction sites⁴⁸. In practice, parts 436 are derived from PCR fragments or synthetic constructs, which are first subcloned in entry vectors, 437 also known as 'Level 0' vectors (Figure 8). As such, a collection of sequence-verified Level 0 438 vectors is established and vectors of interest can then be assembled into expression vectors of 439 interest, which are termed 'Level 1' vectors. By providing proper connector sequences with 440 additional Type IIS restriction sites, the resulting expression vectors or Level 1 vectors can then 441 be assembled again to obtain multigene or Level 2 vectors, which is the top level in the system's 442 hierarchy. In the current toolkit, all 4 nt overhangs were adopted to ensure a high degree of 443 compatibility with existing yeast toolkits^{25,41,45} and to ensure a near 100% predicted ligation 444 fidelity⁴⁹. Since this toolkit is essentially derived from the S. cerevisiae MoClo system, it shares 445 the restriction enzymes (BsmBl and Bsal), most of the 4 nt overhangs, and the number and design 446 of the individual part types⁴¹. As such, the system is comprised of eight part types, of which 447 Part 3 (Coding Sequence) and Part 4 (Terminator) can still be split up to allow additional 448 modularity, for example to incorporate N- and C-terminal fusion partners for the protein of interest

(Figure 8). An overview of the part types and the parts that are provided in this OPENPichia toolkit is included (Supplementary Figure 3). Part sequences are available in Supplementary Information and materials can be obtained from the BCCM GeneCorner plasmid collection⁵⁰. The Material Transfer Agreement associated with it was custom-designed in collaboration with GeneCorner to allow for any use of resulting plasmids, including royalty-free commercial manufacturing.

454

455 **Discussion**

456 Pichia pastoris (formally known as K. phaffii) is an important protein production host in both 457 academia and industry, but the most common industrially developed strains are currently 458 distributed with restrictive MTAs, or not any longer. To facilitate academic and commercial host 459 strain development for recombinant protein expression and easy distribution throughout the 460 biotechnology community, alternative K. phaffii strains were investigated. We put forward an 461 open-access wild type strain, i.e., NCYC 2543 or OPENPichia, as well as two derivatives: a 462 histidine-auxotrophic strain and a HOC1-truncated strain with an improved transformation 463 efficiency. Moreover, a compatible genetic engineering toolkit is made available, which contains 464 all the necessary components for the expression of recombinant proteins and which can be easily 465 expanded with more genetic parts to fit the researcher's needs. This toolkit can be obtained with 466 an open-usage MTA from the GeneCorner plasmid collection, but it can also be assembled from 467 scratch, based on the sequences provided in the Supplementary Files 2.

468 It was shown that the proposed alternative OPENPichia strain (NCYC 2543) and its derivatives 469 are almost identical to the common NRRL Y-11430 strain. Only a handful of mutations could be 470 identified in our direct comparative genome analysis, of which only 4 are protein coding-altering 471 (SNPs and indels). Additionally, OPENPichia does not contain the undesired killer plasmids and 472 the strain shows the same maximum growth rate under the tested conditions. With respect to the 473 protein production capacity, the data presented here demonstrate that small differences can occur 474 between the K. phaffii type strain NCYC 2543/OPENPichia and NRRL Y-11430, but that there is 475 no consistently better performing strain, considering the variety of proteins tested in this study. 476 Previously, Brady et al²⁴, performed a similar experiment where NRRL Y-11430 showed to have 477 the highest protein expression level as compared to a series of other K. phaffii strains. However, 478 none of the type strains from which NRRL Y-11430 directly derives were included in this study; 479 instead, Y-12729 and Y-48124 (amongst others) were included, which are all members of Cluster 480 1 of their transcriptomics experiment. Y-7556 and YB-4290, which are type strains like NCYC 481 2543, are members of the transcriptomics Cluster 2, and would have allowed a better comparison. 482 Due to the increased cell wall robustness and reduced transformation efficiencies of the type 483 strains, they were excluded from the protein expression comparison in that study. Indeed, we also

484 observed that the transformation efficiency of the NCYC 2543 strain is dramatically lower as 485 compared to NRRL Y-11430. However, we could completely overcome this after our discovery 486 by more in-depth genome resequencing that NRRL Y-11430 has a truncating frameshift mutation 487 in the HOC1 cell wall synthesis gene. We introduced the same frameshift mutations in HOC1 of 488 OPENPichia, resulting in an even improved transformation efficiency as compared to NRRL 489 Y-11430. Hoc1p is part of one of two Golgi mannan polymerase complexes that in S. cerevisiae 490 also contains Anp1p, Mnn9p, Mnn10p and Mnn11p⁵¹, and which mediates elongation of the 491 α-1,6-mannan backbone that is initiated by the activity of Och1p. In S. cerevisiae, HOC1 has 492 synthetic positive genetic interactions with the PKC1 pathway that mediates responses to cell wall 493 stress, as well as genetic interactions with a multitude of genes involved in cell wall integrity, 494 protein secretion, vesicular transport, all key pathways in the yeast cell wall maintenance⁵². 495 Indeed, we observed a lower cell wall mannoprotein N-glycan mannosylphosphorylation density, 496 which is a sensitive hallmark of cell wall stress. The truncated Hoc1p that is produced in NRRL 497 Y-11430 vs. the type strain still has the alpha-helicoidal N-terminal luminal protein regions that 498 type II Golgi proteins typically use to space their catalytic domains away from the membrane, and 499 to interact with other Golgi proteins of similar topology. As Hoc1p forms part of such multi-protein 500 complex, we hypothesize that the truncated *Hoc1* allele maintains assembly of this complex but 501 lacks its own catalytic activity (see AlphaFold 2 model of the protein in Supplementary Figure 4). 502 In this way, likely a milder phenotype is obtained than with full HOC1 deletion, making the $hoc1^{tr}$ 503 strains grow equally well as the wild type.

Interestingly, under pGAP expression conditions, NRRL Y-11430 has somewhat more HCPs in its culture supernatant and grows to a lower cell culture density as compared to OPENPichia. We hypothesize that both observations are related and due to slightly increased cell lysis in NRRL Y-11430, which can have an impact on the need for additional purification steps. Whether the *hoc1^{tr}* mutation in OPENPichia has the same effect remains to be determined.

509 The K. phaffii strain that is proposed here as OPENPichia is one deposit of the type strain which 510 is widely available in many culture collections in different countries (see Global Catalogue of 511 Microorganisms)⁵³. For instance, strain CBS 2612 also does not have killer plasmids and is 512 identical but for a few drift mutations. If a Pichia-user is an end-user and wishes to merely 513 manufacture a product in these type strains, multiple culture collections provide cost-effective 514 licenses. However, for labs who are developing improved Pichia technology and implement these 515 novel inventions in the strains, strains have to be distributable to other laboratories, and this is 516 most often not allowed by the MTAs even of the public strain collections. NCYC should be 517 commended for uniquely transparently providing cost-effective resale/redistribution as well as

commercial manufacturing use licenses as part of standard culture collection practice, fulfilling an
essential need for yeast-based technology developers.

520 More broadly, our study illustrates the need to build 'generic' biotechnological platforms after 521 patents on these foundational inventions of our field expire, much in the same way as 522 'generic/biosimilar' medicines need to be developed to increase access to more affordable 523 medicines. We have previously also accomplished this for the HEK293 cell lineage that is used 524 for viral vector and vaccine manufacturing and hope that others will join us in such open science 525 endeavours for other synthetic biology 'chassis' systems⁵⁴. For now, we invite all *Pichia* 526 researchers and users to contribute to this OPENPichia resource and make best use of it.

527

528 Materials & Methods

529 Strains and Media

530 The wild type *K. phaffii* strains NRRL YB-4290, NRRL Y-7556 and NRRL Y-11430 were obtained 531 from the Agricultural Research Service (ARS, USA), CBS 2612 was obtained from Westerdijk 532 Institute (Netherlands) and NCYC 2543 was obtained from the National Collection of Yeast 533 Cultures (NCYC, UK). All mentioned strains were cultured and maintained on YPD or YPD agar.

All entry vectors and expression vectors were propagated and are available in the *E. coli* DH5 α strain. MC1061 and MC1061 λ strains were used successfully as well and generally showed higher transformation efficiency and easier green-white or red-white screening than was the case for DH5 α . All *E. coli* strains were cultured and maintained on LB agar.

Antibiotics were used in the following concentrations for selection in *E. coli*: Zeocin 50 μg/ml,
Nourseothricin 50 μg/ml, Hygromycin 50 μg/ml, Kanamycin 50 μg/ml, Chloramphenicol 50 μg/ml
and Carbenicillin 50 μg/ml. Antibiotics were used in the following concentrations for selection in *Pichia*: Zeocin 100 μg/ml, Nourseothricin 100 μg/ml, Hygromycin 100 μg/ml, Geneticin 100 μg/ml,
Blasticidin 100 μg/ml.

543 Several media were used: LB (1% Tryptone, 0.5% Yeast Extract, 0.5% NaCl), YPD (1% Yeast 544 Extract, 2% Peptone, 2% D-glucose), YPG (1% Yeast Extract, 2% Peptone, 1% Glycerol), BMY (1% Yeast Extract, 2% Peptone, 1,34% YNB without amino acids, 100 mM Potassium phosphate 545 buffer pH6), BMGY (BMY with 1% Glycerol), BMDY (BMY with 2% D-glucose), BMMY (BMY with 546 547 1% Methanol), and limiting glucose (1% Yeast Extract, 2% Peptone, 100mM Potassium 548 phosphate buffer pH6, 50g/l Enpresso EnPump substrate, 5ml/l Enpresso EnPump enzyme 549 solution (Enpresso GmbH, Germany)). For plates, 1.5% agar was added for LB media and 2% 550 for YPD media; when Zeocin selection was used, media were set to pH7.5.

All oligonucleotides and synthetic DNA fragments were ordered at Integrated DNA Technologies (IDT), Leuven, Belgium. All synthetic DNA fragments (gBlocks[®] and Genes[®]) were designed and adapted for synthesis using the Codon Optimization Tool and the gBlocks Gene Fragments Entry Tool available at the website of IDT Europe.

555 Illumina sequencing

556 The strains were cultured overnight in YPD medium and the genomic DNA was extracted using 557 the Epicentre MasterPure[™] Yeast DNA Purification Kit. Sample preparation (DNA fragmentation, 558 adapter ligation, size selection and amplification) and next generation sequencing (5M 150bp 559 paired end reads) was done by Eurofins, using Illumina technology. The reads were checked for 560 quality using fastqc⁵⁵, from which the %GC and number of reads was obtained. From the number 561 of reads. calculated the average overall coverage was with the formula $\#reads \times read \ lengt$ (in bp) 562

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563 NGS analysis

564 The reads were trimmed using Trimmomatic⁵⁶ to remove adapter, leading and trailing low guality 565 bases (cut off quality 3), low quality reads (4-base sliding window quality below 15) and reads 566 below 100 bp. Next, the reads were aligned to a reference and the mutations were identified using 567 Breseq²⁷ in consensus mode. As reference, the genome sequence published by Sturmberger et. 568 al.26 was used. The reference sequences for killer plasmids and the mitochondrial DNA were obtained from Sturmberger et. al.²⁶ and Brady et. al.¹⁷, respectively. The reported coverage depth 569 570 was calculated by the Breseg algorithm. This is done by fitting a negative binomial distribution to the read coverage depth observed at unique reference positions. The mean of this binomial fit is 571 572 used as the coverage depth. The killer plasmid copy number was estimated by comparing their 573 coverage depth with the average of the four chromosomes. The coverage depth for each molecule 574 was calculated as the mean of a binomial fit for the coverage depth for each reference position.

575 Phylogenetic tree

576 In order to make a phylogenetic tree, the sequencing data from this study was combined with the 577 raw reads that were published before²⁴ and also aligned as described above. From the predicted 578 mutations of both datasets, a whole genome alignment was constructed from which a 579 phylogenetic tree was calculated using the Mega X⁵⁷ software package. A maximum likelihood 580 algorithm was used with an HKY substitution matrix.

581 Creation of the NCYC2543 his4 strain

582 The NCYC2543 *his4* strain was generated using the split-marker method that was described 583 previously by Heiss *et al.*⁵⁸. The homology arms of the *HIS4* gene were selected from Näätsaari

584 et al.⁵⁹, and the reference genome of the CBS7435 strain. First, a construct containing the two 585 homology arms with a floxed Nourseothricin acetyltransferase marker was created. Next two 586 overlapping fragments containing one of the homologies and a part of the antibiotic marker were 587 generated by PCR using Tag polymerase (Promega), which overlap for a length of 594 bp. These 588 fragments were purified by a phenol chloroform precipitation. In brief, after adding an equal 589 volume of phenol:chloroform:isoamyl alcohol (25:24:1), the solution was mixed, centrifuged 590 (5 min at 12,000 g) and the liquid phase was isolated by decanting. To this, 1/10th volume of 3 M 591 sodium acetate pH 5.5 and 2 volumes of 100% ethanol was added and the sample was mixed 592 and centrifuged (15 min at 12,000 g). Afterwards, the pellet, containing the amplified DNA, was 593 isolated, washed with 70% ethanol, air-dried, and resuspended in water.

594 Both purified fragments were transformed into NCYC 2543 competent cells by electroporation 595 and transformants were streaked to single clone onto YPD plates containing Nourseothricin and 596 grown for 2 days at room temperature. The resulting clones were replica plated onto CSM-his 597 plates for growth screening and grown for 2 days at room temperature. Strict non-growers were 598 checked by colony PCR for replacement of the *HIS*4 gene with the antibiotic marker cassette.

599 The Nourseothricin acetyltransferase marker was finally removed by transient expression of a 600 Cre-recombinase. This gene was cloned into a plasmid with an ARS⁶⁰ and a Zeocin resistance 601 cassette, which was then transformed in the *his4* strain. Transformants were incubated overnight 602 on a YPD plate containing Zeocin and colonies were transferred to YPD plates without antibiotics. 603 The removal of the antibiotic cassettes of the plasmid and *HIS4* knock-out was verified with replica 604 plating on YPD containing the respective antibiotics and double-checked via colony PCR.

605 Creation of the NCYC2543 *hoc1*^{tr} strains

606 The NCYC2543 *hoc1^{tr}* strains were generated using the split-marker method as described above. 607 The left homology arm of the HOC1 gene was chosen such as to contain about 1 kb upstream of 608 the premature stop codon. To introduce the single nucleotide deletion, genomic DNA of NRRL 609 Y-11430 instead of NCYC 2543 was used as the PCR template. The right homology arm was 610 chosen as to contain about 1 kb downstream of the premature stop codon, also for this PCR, 611 genomic DNA of NRRL Y-11430 was used, although NCYC 2543 would have also worked. The 612 left and right homology arms were respectively fused by PCR to the first and last two thirds of the 613 floxed Nourseothricin acetyltransferase marker. The PCR fragments were purified over gel and 614 the DNA was recovered using the Wizard SV Gel and PCR Clean-Up System (Promega), 615 according to the manufacturer's instructions. Both purified fragments were transformed into NCYC 616 2543 competent cells by electroporation and transformants were streaked to single clone onto 617 YPD plates containing Nourseothricin and grown for 2 days at room temperature. The resulting 618 clones were screened using colony PCR using a forward primer annealing upstream of the left

homology arm and a reverse primer annealing to the Nourseothricin selection marker. The Nourseothricin acetyltransferase marker was removed by transient expression of a Crerecombinase as described above. The engineered *HOC1* locus was confirmed for both strategies by colony PCR and Sanger sequencing. The sequences for the PCR primers and split-marker cassettes can be found in Supplementary Tables 3 and 4.

624 Growth Analysis

The different *Pichia* strains were cultured on YPD agar for 2 days, inoculated in triplicate into a 5 ml preculture of BMDY and grown overnight at 28 °C, shaking at 225 rpm. The optical density at 600 nm (OD_{600}) of each culture was measured and 250 ml of BMDY was inoculated at a starting OD₆₀₀ of 0.05. Samples of 1 ml were immediately isolated from each culture to measure the OD₆₀₀ again. Then, samples of 1 ml were isolated every 2 h for 22 hours and again after 26 hours and 29 hours. All samples were diluted accordingly and measured within an OD₆₀₀ range of 0.05 – 1.

631 **Recombinant protein expressions**

The expression vectors were made using the MoClo toolkit, based on Golden Gate cloning as
described in this paper. Briefly, the protein coding sequences were ordered synthetically with Part
3b type Bsal overhangs (NEB R3733) and cloned into the entry vector with BsmBI (NEB R0739).
Next, expression vectors were made by assembly of the Level 0 parts.

636 The cloning procedure was as follows: 1 µl of T4 DNA Ligase (400 U; NEB M0202), 2 µl of T4 637 DNA Ligase Buffer (NEB M0202), 1 µl of Restriction Enzyme (20 U) were added to 20 fmoles of 638 backbone (pPTK081 for entry vectors; any P8 backbone for destination vectors). An excess of 639 insert (>1000 fmoles of PCR amplicon or synthetic gene; 10 pmoles of annealed oligonucleotides) 640 was added for a BsmBI assembly, while equimolar amounts (20 fmoles) of each entry vector were 641 added for a Bsal assembly. BsmBl assembly mixtures were incubated according to the following 642 protocol: >25 cycles of 42 °C for 2 min (digest) and 16 °C for 5 min (ligation), followed by 60 °C 643 for 10 min (final digest) and 80 °C for 10 min (heat inactivation step). Bsal assembly mixtures were incubated similarly, except that the digestion steps were performed at 37 °C. 644

645 Pichia electrocompetent cells were generated, using the lithium acetate method as described by 646 Wu et al.⁶¹. In brief, precultures were inoculated in 5 ml YPD and grown overnight in an incubator 647 at 28 °C and 250 rpm. The precultures were diluted and grown to an OD₆₀₀ of approximately 1.5. 648 50 ml of the culture was isolated and the cells were harvested by centrifugation (1,519 g for 5 min 649 at 4 °C), resuspended in 200 ml of a lithium acetate (LiAc)/dithiothreitol (DTT) solution (100 mM 650 LiAc, 10 mM DTT, 0.6 M sorbitol, 10 mM Tris-HCl pH 7.5) and incubated for 30 minutes at 28 °C 651 and 100 rpm. Next, the cells were collected by centrifugation (1,519 g for 5 min at 4 °C), washed 652 two times with 1 M ice-cold sorbitol and finally resuspended in 1.875 ml of 1 M ice-cold sorbitol.

0.5 to 1 μg of DNA was added to aliquots of 80 μl and electroshocked (1.5kV, 200Ω, 25μF).
Immediately, 1 ml of 1 M sorbitol was added and the suspension was incubated at 28 °C for
2-5 h. Next, the cells were plated on YPD agar containing the appropriate antibiotic, and colonies
were isolated after 2 days of incubation at 30 °C.

657 To be able to compare expression, only colonies with single copy integration of the construct were 658 selected. The copy number was determined by quantitative PCR on a Lightcycler 480 (Roche) 659 using primers that bind pAOX1 and pGAP. The genes OCH1 and ALG9 were used as reference. 660 Genomic DNA (gDNA) of NCYC 2543 was included as a single copy positive control. A single copy plasmid integration will yield one additional copy and more than two copies would be the 661 662 result of multiple plasmid integrations. Amplification efficiencies were determined using serial 663 dilutions of gDNA samples. Reactions were set up in 10 µl with final concentrations of 300 nM 664 forward primer, 300 nM reverse primer, 1x SensiFast SYBR no-rox mastermix (Bioline), 10 ng 665 gDNA and the following cycling conditions: 3 min at 95 °C, followed by 45 cycles of 95 °C for 3 s. 666 60 °C for 30 s at ramp rate 2.5 °C/s, 72 °C for 1 s, ending with 0.11 °C/s from 65 °C to 95 °C for 667 melting curve determination (5 acquisitions/s). Copy numbers were calculated using the 668 $\Delta\Delta$ Ct method⁶².

669 The different strains expressing the recombinant proteins, were cultured on YPD agar plates for 670 2 days, inoculated in triplicate into a 5 ml preculture of BMDY and grown overnight at 28 °C. 671 shaking at 225 rpm. Next, the cultures for pAOX1-driven expression, were inoculated in BMDY, 672 grown for 24 h, subsequently transferred to BMMY and incubated for 48 h. After 24 h in BMMY, 673 an extra 1% of methanol was added. The cultures for pGAP-driven expression, were instead 674 inoculated in limiting glucose medium and incubated for 48 h. Then, optical density at 600nm was 675 measured for all cultures and the supernatant was collected by centrifuging (2,500 g for 5 min). 676 The samples were incubated with EndoH (produced in-house) to remove N-glycans and analysed 677 by SDS-PAGE.

678 ELISA-based quantification of GBP

679 Nunc MaxiSorp[™] 96-well plates were coated with 75 ng/well of penta-His antibody in PBS 680 solution (Qiagen, 34660) and incubated overnight at 4 °C. Plates were washed three times with 681 200 µl/well of wash buffer (PBS + 0.05% Tween-20) and any residual liquid was removed. Plates were blocked with 100 µl/well Reagent Diluent (1% Probumin (Millipore, 82-045-1) in PBS pH 7.2) 682 683 for 2 h. Plates were washed three times with 200 µl/well of wash buffer and residual liquid was 684 removed. Dilutions of the yeast supernatant were prepared in 96-deepwell plates and 100 µl of a 100,000-fold dilution was applied to the plates and incubated for 1 hour while shaking gently on 685 686 a table top plate shaker. Plates were washed three times with 200 µl/well of wash buffer and 687 residual liquid was removed. Plates were provided with 100 µl of a 250 ng/ml MonoRab™ Rabbit

688 Anti-Camelid VHH Antibody coupled to HRP in Reagent Diluent and incubated for 1 hour while 689 shaking gently on a table top plate shaker. Plates were washed three times with 200 µl/well of 690 wash buffer and residual liquid was removed. TMB substrate was prepared according to the 691 manufacturer's instructions (BD OptEIA[™]) and 100 µl/well was applied to the plate before 692 incubating for 10 min. Next, 50 µl of stop solution (2N H₂SO₄) was added to each well and the 693 plate was read at 450 nm by a plate reader. Absorbance units were background corrected. All 694 strains were compared in a Kruskal-Wallis omnibus test, followed by pairwise comparison 695 corrected with Dunn's multiple comparison procedure.

696 Transformation efficiency testing

697 Competent cells were prepared using the lithium acetate method as described above. 200 ng of 698 linearized plasmid was transformed to each strain and several dilutions of the transformation mix 699 were plated on either non-selective YPD agar or YPD agar containing 100 µg/ml Zeocin. For each 700 transformation, colonies were counted from the plates where clear individual colonies could be 701 observed after 2 days at 30 °C incubation. Both the selective and non-selective plates were 702 counted to correct for a potential difference in the number of competent cells per transformation.

703 A linear model (estimated using ordinary least squares) in the statistical software R was fitted⁶³. 704 As the outcome variable, the log-transformed, normalized transformation efficiency (natural log of 705 the number of transformants per million clones) and as predictor variables the strain and promotor 706 type, including an interaction effect were used. The model explains a statistically significant and 707 substantial proportion of variance (R²=0.94, F(7,38)=81.33, p<.001, adj.R²=0.93). Model-708 predicted group means with 95% confidence intervals were obtained using the ggeffects package 709 with heteroscedasticity-consistent variance estimators from the sandwich package (vcovHC, type 710 HC0)^{64,65}. Further, we defined specific contrasts using the multcomp package⁶⁶, again with 711 heteroscedasticity-consistent variance estimators to obtain multiple-comparison corrected 712 estimates for the ratios of transformation efficiencies between the different strains and using 713 different plasmids.

714 DSA-FACE-based glycan analysis of the cell wall mannoproteins

Strains were inoculated in YPD or YPG, from their respective precultures, at an OD₆₀₀ of 0.05 and grown overnight at 28 °C and 200 rpm. The next day, 500 OD units per strain were pelleted (10 min at 1,500 g) and the mannoproteins were isolated, as follows. The pellets were washed three times with Milli-Q, after which 20 mM of citrate buffer pH6.6 was added at 1 ml per 150 µg of wet cell weight. The resuspended cells were autoclaved for 1.5 hours at 120 °C in cryovials and then centrifuged for 10 min at 16,000 g. To the supernatant fractions, 3 volumes of ice-cold methanol were added and the vials were incubated for 15 min at 20 °C. The mannoproteins were

spun down for 10 min at 16,000 g and the pellets were left to dry until transparent. The pellets
were resuspended in 50 µl RCM buffer (8 M Urea, 360 mM Tris-HCl pH 8.6, 3.2 mM EDTA) and
stored at 4 °C until further analysis.

- 725 The N-linked oligosaccharides were prepared from the purified mannoproteins upon blotting to
- 726 PVDF membrane in the wells of 96-well plate membrane plates, and were analysed by capillary
- 727 electrophoresis with laser-induced fluorescence detection (CE-LIF) using an ABI 3130 capillary
- 728 DNA sequencer as described previously³⁶.

729 Alcian blue assay

730 The assay was performed as described previously³⁷, with adaptations. Briefly, Alcian blue was 731 prepared in 0.02 N HCl at a concentration of 63 µg/ml and the solution was centrifuged to remove 732 insoluble precipitates. An overnight culture of each strain was grown in YPD at 28 °C and 733 200 rpm. The next day, the cells were pelleted and the supernatant was removed. The cells were 734 washed with 0.02 N HCl and the pellet was resuspended again in 0.02 N HCl to an OD₆₀₀ of 735 10 OD/ml. 100 µl (1 OD₆₀₀) of cells was transferred to a 96-V-bottom plate to which 100 µl of the 736 Alcian blue solution was added. After 15 min of incubation at room temperature, the plate was 737 centrifuged for 15 min at 3,220 g, after which the pellets were visually checked.

738 **Congo red and Calcofluor white test**

The test was performed as described elsewhere⁶⁷, with slight adaptations. Briefly, the strains were grown overnight in BMGY. The next day, dilutions were made in order to obtain 10E5 to 10E1 cells in 5 μ l BMGY. 5 μ l drops were spotted on the different plates and the plates were incubated for 3 days at 30 °C. Congo red (Sigma, C6767) and Calcofluor white (Fluka, 18909) were present at final concentrations of 75 μ g/ml and 10 μ g/ml, respectively.

744 Electron microscopy

745 Transmission electron microscopy

The strains were cultivated in BMGY at 28 °C and 200 rpm, overnight. High Pressure Freezing, 746 as described previously⁶⁸, was carried out in a high-pressure freezer (Leica EM ICE; Leica 747 748 Microsystems, Vienna, Austria). Cells were pelleted and frozen as a paste in 150 µm cupper 749 carriers. HPF was followed by Quick Freeze Substitution as described previously⁶⁹. Briefly, 750 carriers were placed on top of the frozen FS solution inside a cryovial containing 1% ddH₂O, 751 1% OsO₄ and 0.5% glutaraldehyde in dried acetone. After reaching 4 °C for 30 min, samples were 752 infiltrated stepwise over three days at 0-4 °C in Spurr's resin and embedded in capsules. The 753 polymerization was performed at 70 °C for 16 h. Ultrathin sections of a gold interference colour

were cut using an ultra-microtome (Leica EM UC6), followed by a post-staining in a Leica EM
AC20 for 40 min in uranyl acetate at 20 °C and for 10 min in lead stain at 20 °C.

- 756 Sections were collected on formvar-coated copper slot grids. Grids were viewed with a JEM-
- 757 1400Plus transmission electron microscope (JEOL, Tokyo, Japan) operating at 60 kV.

758 Scanning electron microscopy

759 The strains were cultivated in BMGY at 28 °C and 200 rpm, overnight. Cells were fixed overnight 760 in 1.5% Paraformaldehyde, 3% Glutaraldehyde in 0.05 M Na-Cacodylate buffer pH7.4. The fixed 761 cells were centrifuged for 2 min at 1,000 g between each following step. First the cells were 762 washed 3 times with 0.1 M Na-Cacodylate buffer pH7.4 and then incubated for 30 min in 2% OsO4 763 in 0.1 M Na-Cacodylate pH7.4. Osmicated samples were washed 3 times with Milli-Q, prior to a 764 stepwise ethanol dehydration (50%, 70%, 90%, 2 x 100%). Samples were incubated twice in 765 hexamethyldisilazane (HMDS) solution (Sigma-Aldrich), as a final dehydration step, after which 766 they were spotted on silicon grids (Ted Pella) and air-dried overnight at room temperature. 767 Samples were next coated with 5 nm Platinum (Pt) in a Quorum Q 150T ES sputter coater 768 (Quorum Technologies) and placed in a Gemini 2 Cross beam 540 microscope from Zeiss for 769 SEM imaging at 1.50 kV using a SE2 detector.

770

771 Associated content

- All plasmids from the modular cloning kit are available at the Belgian Co-ordinated
 Collections of Micro-organisms (BCCM)/GeneCorner Plasmid Collection
 (http://bccm.belspo.be/about-us/bccm-genecorner).
- All raw reads of the genomes sequenced in this study have been submitted to NCBI and can be found under the following accession numbers: NRRL Y-11430 (SAMN32067769), NRRL YB-4290 (SAMN32067770), NRRL Y-7556 (SAMN32067773), NCYC 2543 (SAMN32067771), CBS 2612 (SAMN32067772).
- Supplementary Figure 1: Summary of the end-ODs of the pGAP- and pAOX1-based
 cultivations at harvest.
- Supplementary Figure 2: DSA-FACE profiles of the cell wall mannoproteins of the different
 strains grown on YPD or YPG.
- Supplementary Figure 3: Overview of the available elements for the different parts of the
 MoClo toolbox.
- Supplementary Figure 4: AlphaFold 2 models of the type strain Hoc1p and of the NRRL
 Y-11430 lineage derived strain.

Supplementary Table 1: Overview of the NGS results, including the number of reads, GC%
 and average overall coverage.

- Supplementary Table 2: Proportion of NGS reads mapping to different molecules of the
 reference genome, mitochondrial DNA and killer plasmids.
- Supplementary Table 3: List of oligonucleotides that were used as primers for PCR, cPCR
 or sequencing.
- Supplementary Table 4. Sequences of the split-marker fragments used to generate the two
 HOC1 mutants.
- Supplementary File 1: GenBank files of the expression constructs used in the study.
- Supplementary File 2: FASTA files of the available MoClo Parts.
- 797
- 798 Author Information

This work was originally conceived and initiated by DVH, KV and NC. DVH, RV, KV, SV, EW, BVM, HE, DF, HG, CL, GM, LM, JN, CR, LVS, and KC performed experiments and contributed to data analysis and/or results presentation. RDR, MDB and PB performed the electron microscopy. DVH, RV, KC and NC cowrote the manuscript, while KC and NC supervised the work.

804

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995 Tables

 Table 1. Strains used in this publication. * Type strain; Abbreviations: UCD: University of California, Davis, USA; CBS: Centraalbureau voor Schimmelculturen, currently known as Westerdijk Fungal Biodiversity Institute, The Netherlands; NCYC: National Collection of Yeast Cultures, UK; NRRL: Northern Regional Research Laboratory, currently known as Agricultural Research Service (ARS), USA; NTG: nitrosoguanidine.

Strain ID	Origin of strain isolate	Source	Original depositor
CBS 2612 *	Quercus kelloggii (California, USA)	CBS culture collection	HJ Phaff, UCD
NCYC 2543 *	Quercus kelloggii (California, USA)	NCYC culture collection	CBS
NRRL YB-4290 *	Quercus kelloggii (California, USA)	NRRL culture collection	HJ Phaff, UCD
NRRL Y-7556 *	Quercus kelloggii (California, USA)	NRRL culture collection	D. Yarrow, CBS
UCD FST K-239 *	Quercus kelloggii (California, USA)	UCD culture collection	HJ Phaff, UCD
NRRL Y-11430	Most likely a subclone of the type strain	NRRL culture collection	Patent deposit Phillips Petroleum Company (USA)
GS115	NTG-mutagenized derivative of NRRL Y-11430	Internal VIB culture collection	
NCYC 2543 his4	Mutagenesis	This publication	
NCYC 2543 hoc1tr-1	Mutagenesis	This publication	
NCYC 2543 hoc1tr-2	Mutagenesis	This publication	

Table 2. Overview of the functional mutations of the analysed K. phaffii strains compared to the CBS 7435 (eq. strain deposit of NRRL- Y-11430) reference genome, non-functional SNPs and indels are between brackets. Functional mutations are those resulting in a SNP or indel in a gene.

Strain Designation	SNP	Indel	Total	Mutations/Mbp	Killer plasmids copy number (KP1/KP2)
NRRL Y-11430	0 (2)	2 (18)	2 (20)	2.3	21/15
NRRL YB-4290	2 (2)	3 (20)	5 (22)	2.9	140/82
NCYC 2543	3 (5)	3 (18)	6 (23)	3.1	none detected
CBS 2612	3 (4)	3 (19)	6 (23)	3.1	none detected
NRRL Y-7556	3 (3)	3 (21)	6 (24)	3.2	138/86

Table 3. Summary of the coding mutations in the Philips Petroleum strain and the type strains, compared to the CBS 7435 reference genome. The exact mutations are mentioned in the second column, with the CBS 7435 amino acid as reference amino acid; although the original genetic makeup is as present in the type strains, and it is the CBS 7435/NRRL Y-11430 that mutated. The mutations indicated with an asterisk were also reported by Brady et. al.²⁴. All mutations found in these strains are concentrated in eight locations. The mutations in SEF1, ROP100/RSF2, and HOC1 are shared by all type strains. Two mutations in a gene encoding for a hypothetical protein were found in all sequenced genomes. The other mutations (in SRB7, RAD18 and PRP46) are present in one of the type strains, NCYC 2543, CBS 2612 and NRRL Y-7556, respectively.

Gene	Mutation	NRRL Y-11430	NRRL YB-4290	NCYC 2543	CBS 2612	NRRL Y-7556	Gene function
SEF1	SNP: C315S		x	x	х	x	Putative transcription factor
ROP100/RSF2	SNP: *748W		x*	x	х	x*	Methanol- and biotin-starvation- inducible zinc finger protein
HOC1	Indel: (T)₅→6 Premature *		x	x	х	х	Alpha1,6mannosyltransferase
Hypothetical	Indel 1: +G Indel 2: +GT GNYD821GFPND	x	x	x	x	x	Papain-like cysteine protease
SRB7	SNP: E131Q			x			RNA polymerase II mediator complex subunit
RAD18	SNP: K210N				х		E3 ubiquitin ligase
PRP46	SNP: Q320L					x	NineTeen Complex (NTC) component
Total mutations in protein-coding sequences		2	5	6	6	6	

1014

1015 Table 4. Details of the selected proteins used for the protein expression comparisons.

Abbreviation	Name	Туре	Molecular mass (kDa)	Secretion leader	Ref.
GM-CSF	Granulocyte-macrophage colony-stimulating factor	Cytokine	15.7	αMF	70
GaOx	Galactose oxidase from <i>Fusarium graminearum</i>	Enzyme	69.9	αMF	73
Cdiff-VHH-IgA	Anti-C.difficile toxin VHH IgA fusion protein	VHH-IgA	41.2	Ost1	72
CovidVHH-IgG	SARS-CoV-2 neutralizing VHH hlgG1 fusion protein	VHH-lgG	40.6	Ost1	71
GBP	GFP-binding protein	VHH	14.1	MF	74

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1017 **Figures**





1020 Figure 1. Schematic timeline of the history of the Komagataella species and the available type strain deposits 1021 and patent strain deposits.







Figure 1: Phylogenetic tree of the K. phaffii strains with node lengths. The tree was constructed using a Maximum Likelihood method and Hasegawa-Kishino-Yano model. Node lengths of less than 0.0001 were neglected.



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1027 1028 1029 1030 Figure 3. Maximum growth rate of the different Pichia strains, plotted individually as dots, as well as a boxplot with median value. A one-way ANOVA test showed significant influence of the strain on the growth rate (p = 0.0034). A post-hoc Tukey test shows that GS115 grows significantly slower than the other strains. The asterisks indicate the p-value of the Tukey test: **, 0.01>p>0.001; *, 0.05>p>0.01.





Figure 4. Expression comparison between NCYC 2543 and NRRL Y-11430. The proteins were expressed using the GAP or AOX1 promoter. As controls, both wild type strains were grown and analysed as well. Supernatant samples were treated with EndoH to remove N-glycans and samples were analysed on SDS-PAGE. EndoH is also visible on the gels at around 30 kDa.



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Figure 5. Overview of the HOC1 genome engineering strategy and the effect on transformation efficiency of the resulting strains. A. Alignment of a part of the HOC1 gene as present in NRRL Y-11430 vs. NCYC 2543, showing the frameshift resulting in a premature stop codon in the NRRL Y-11430. B. Resulting genomic HOC1 sequence upon split-marker-based gene editing. Two strategies were followed, where either the single base pair deletion (indicated with *) resulting in the Hoc1p truncation and a Lox72 scar is introduced downstream of the stop codon; or where an additional 115 bp deletion downstream of the resulting stop codon and Lox72 scar is introduced. C. Transformation efficiency in the two wild type strains and two HOC1-engineered strains, either using a pGAP-based plasmid (left) or a pAOX1-based plasmid (right). The analysis was performed as described in the Materials & Methods section.



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1046 Figure 6. Characterization of the cell walls of NRRL Y-11430, NCYC 2543 and the two NCYC 2543 hoc1^{tr} mutants. 1047 A. Alcian blue staining of the strains to determine the density of negative charges at the yeast cell wall. Alcian blue is 1048 a cationic dye that binds negative charges at the cell wall. The more intense the blue staining of the cells, the more 1049 negative charge, i.e., mannosylphosphate moieties, are present on the glycan trees of the cell wall mannoproteins. 1050 Duplicate wells are shown per strain (vertical). B. Sensitivity of the strains towards Congo red and Calcofluor white, 1051 compared to growth on YPD agar, as an indicator for cell wall integrity. The plates were incubated for 3 days at 30 °C. 1052 C. Transmission electron microscopy (TEM) and scanning electron microscopy (SEM) images of the four strains. The 1053 increased electron density of the outermost layer, i.e., the cell wall is indicated with an arrow in the TEM images of the 1054 NCYC 2543 strain. Only two individual images per strain are shown.



1055

Figure 7. Overview of the strain performance of NRRL Y-11430, NCYC 2543 and the two NCYC 2543/OPENPichia hoc1^{tr} mutants. A. Comparison of the maximal growth rate of the four main strains, accompanied by GS115 and the NCYC 2543/OPENPichia his4. B. ELISA results of 24 clones of pGAP-based GBP expression in the different strains (no selection for single copies was done, wells were excluded when no expression was observed on SDS-PAGE, assuming these clones contain no expression cassette, or due to a technical issue during the ELISA procedure). Absorbance units were background corrected. All strains were compared in a Kruskal-Wallis omnibus test, followed by pairwise comparison corrected with Dunn's multiple comparison procedure. Significance scores are annotated as follows, *: 0.002<p<0.03; **: 0.001<p<0.002; non-significant differences are not shown. C. SDS-PAGE analysis of the first 12 clones per transformation. Equal volumes of supernatant were loaded.



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genes or annealed oligonucleotides are flanked with the proper Type IIS restriction sites and 4 nt overhangs, which are 1068 then accommodated in a Level 0 entry vector through BsmBI digest and T4 DNA ligation. Then, selected Level 0 vectors 1069 are assembled into a Level 1 expression vector by means of a Bsal digest and T4 DNA ligation. Finally, the system 1070 allows the assembly of multiple transcription units (promoter, CDS, terminator) from the individual Level 1 vectors, into 1071 1072 a higher order Level 2 vector, in case the assembly connector sequences were properly selected during the assembly of the Level 1 vectors. Assembled assembly connectors are depicted with two horizontal lines and different shades of 1073 blue and purple. Note that the Part 3 Coding Sequence can be split up in a Part 3a and Part 3b Coding Sequence, to 1074 allow additional modularity. Likewise, the Part 4 Terminator can be split up in a Part 4a Coding Sequence and a Part 1075 4b Terminator. Figure adapted from Lee et al⁴¹.