



## Color and stress manipulations: effects on nestlings

16

### 17 **ABSTRACT**

18 The risk of predation directly affects physiology, behavior, and fitness of wild birds. Social  
19 interactions with conspecifics may affect how individuals respond to stressors such as predators.  
20 Strong social connections could help individuals recover from a stressful experience; however,  
21 competitive interactions also have the potential to exacerbate stress. Few studies have investigated  
22 the interaction between environmental stressors and the social landscape in wild bird populations.  
23 Here, we experimentally simulated predation attempts on breeding female tree swallows (*Tachycineta*  
24 *bicolor*). At the same time, we manipulated female breast plumage color, a key social signal. Simulated  
25 predation events on tree swallows negatively affected their nestlings' condition, telomere lengths,  
26 and fledging success. However, the effects of experimental manipulations were timing-dependent:  
27 simulated predation during the early nestling period was more detrimental than “predation” during  
28 incubation. Contrary to our expectations, manipulation of the social environment did not affect the  
29 response of tree swallows to simulated predation. However, manipulating female plumage during the  
30 nestling period did affect nestling size, indicating an effect of the social environment on  
31 reproductive success. Our data demonstrate that transient stressors on breeding female birds can  
32 have carry-over effects on their nestlings, some of which may be long-lasting.

33

### 34 **INTRODUCTION**

35 Predation is an important source of mortality for birds (Martin, 1993; Ricklefs, 1989).  
36 Nestlings are highly vulnerable while in the nest and behaviors such as incubation and provisioning  
37 also expose parents to predation. Even in the absence of direct consumption, the perceived risk of  
38 predation impacts behavior, physiology, and fitness of adults and their nestlings (Lima, 2009;  
39 Zanette et al., 2011). Predation therefore is not just an acute challenge that forces temporary changes

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40 in physiology and behavior; it also creates a “landscape of fear” that may affect individuals’ life-  
41 history and even population dynamics (Brown et al., 1999; Clinchy et al., 2013; Laundre et al., 2010).

42       Animals vary in their resilience to predation, i.e., their ability to withstand this stressor  
43 and return to normal functioning following a confrontation with a predator (Davis et al., 2021). One  
44 potential factor influencing resilience is social connectivity. For example, baboons (*Papio hamadryas*  
45 *ursinus*) respond to the loss of a close family member to predation by increasing social grooming,  
46 which may help lower stress hormone levels back to baseline (Engh et al., 2006). Social position and  
47 connectedness have emerged as key mediators of the psychophysiological effects of stress  
48 (Charuvastra and Cloitre, 2008; Holt-Lunstad et al., 2010; Yang et al., 2016). However, the cognitive  
49 and behavioral costs of non-consumptive predation are difficult to observe and measure in natural  
50 populations (Clinchy et al., 2013) and studies of the relationship between social connectivity and  
51 stress resilience have been conducted primarily in primates (Creel et al., 2013).

52       The physiological mechanisms involved in the stress response are key to understanding  
53 stress resilience and the long-term consequences of stressors. A major component of the stress  
54 response is the hypothalamic—pituitary—adrenal (HPA) axis, which regulates glucocorticoid  
55 hormones (Sapolsky et al., 2000; Wingfield et al., 1998). The release of glucocorticoid hormones  
56 helps an organism maintain fitness by mobilizing energy stores and shifting resources away from  
57 reproduction, growth and maintenance and towards an “emergency” behavioral and physiological  
58 state focused on surviving the immediate threat (Wingfield et al., 1998). Although this physiological  
59 stress response is an important adaptation allowing animals to react rapidly to challenges, chronic  
60 exposure to glucocorticoids can have negative fitness consequences (Sapolsky et al., 2000).

61       Glucocorticoids may connect stressors to fitness through effects on telomeres (Hausmann  
62 and Heidinger, 2015; Hausmann and Marchetto, 2010). Telomeres are repetitive sections of non-  
63 coding DNA that “cap” the ends of chromosomes and help maintain chromosome integrity during

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64 replication. Telomeres degrade over the course of an animal's lifetime and telomere shortening is  
65 associated with disease and senescence (Angelier et al., 2018; Asghar et al., 2015). Both genetic and  
66 environmental factors influence telomere length and so telomeres are used as a proxy for "long-term  
67 somatic state," an integrative measure of an individual's condition (Benowitz-Fredericks et al., 2022).  
68 Chronically high levels of glucocorticoids increase somatic damage from inflammation and oxidative  
69 stress, which are also linked to telomere loss (Angelier et al., 2018; Ridout et al., 2018). Early life  
70 stress may have particularly strong effects on telomeres (Injaian et al., 2019; Ridout et al., 2018; van  
71 Lieshout et al., 2021). For instance, nestling European shags (*Phalacrocorax aristotelis*) exposed to  
72 simulated predation events experienced higher stress-induced corticosterone (the main  
73 glucocorticoid in birds) concentrations and increased telomere loss over the course of the  
74 experiment (Herborn et al., 2014). Juvenile telomere lengths can predict overall lifespan (Hausmann  
75 et al., 2005), thus even transient stressors early in life may shorten overall life expectancy.

76 In this study we exposed breeding female tree swallows (*Tachycineta bicolor*) to two different  
77 experimental treatments: simulated predation and manipulation of the social environment.  
78 Heightened predation risk is associated with changes in parental care of tree swallows (Wheelwright  
79 and Dorsey, 1991) and changes in investment of within-pair vs. extra-pair young (Hallinger et al.,  
80 2020). Previous experiments that manipulated perceived predation risk in our population showed  
81 that breeding females that had a robust glucocorticoid response along with a strong negative  
82 feedback to predator exposure were less likely to abandon nests during incubation (Zimmer et al.,  
83 2019). However, it is not clear whether the effects of predation carry over to nestling condition or  
84 physiology. If predation stress on breeding females is transmitted to her offspring, it could affect the  
85 nestlings' telomere lengths and overall lifespan.

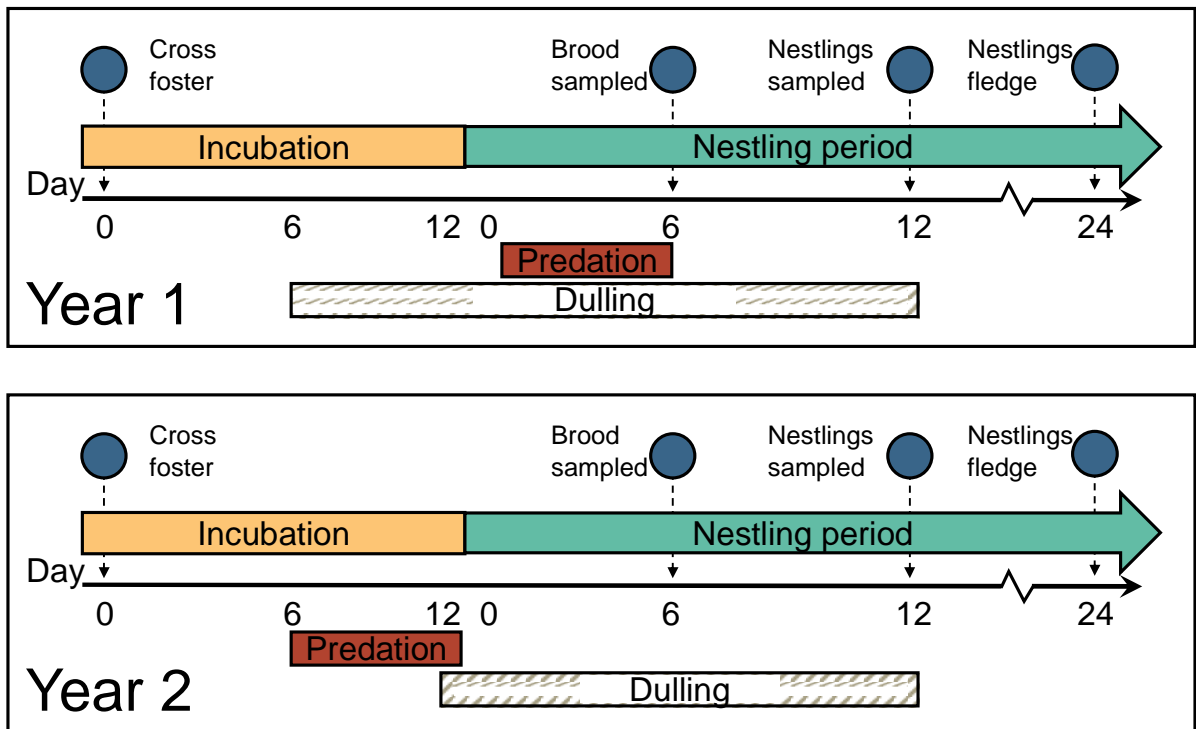
86 In addition to simulating predation, we manipulated the social environment by dulling the  
87 white breast plumage of females. In tree swallows, breast plumage is an important signal and

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88 naturally brighter plumage is associated with greater immunity, reproductive success, and the  
89 frequency of social interactions at the nest (Beck et al., 2015; Taff et al., 2019a). A previous  
90 experiment in our population found that manipulating female breast brightness – in the absence of a  
91 separate environmental stressor – changed the patterns of social interactions and led to higher  
92 reproductive success for dulled females, particularly when those females were initially bright (Taff et  
93 al., 2019b). Thus, signal variation alone creates feedback between the social environment,  
94 physiology, and fitness and may help mediate resilience to external stressors.

95         We tested for the effects of simulated predation and social manipulation on nestling growth,  
96 physiology, and fledging success during one field season (2018). The following year (2019), we  
97 repeated the experiment, switching the order of the treatments between years to test how timing of  
98 stressors affected nestling outcomes (Fig. 1). We predicted that elevated predation stress on mothers  
99 would lead to reduced parental care and overall poorer nestling outcomes. Second, we expected that  
100 there would be a tradeoff between the stress response and telomeres: nestlings that responded to  
101 predation stress with an elevated stress response would have shorter telomeres. Finally, we expected  
102 that experimentally dulled females would be less resilient to environmental stressors, and so we  
103 predicted that predation would have a more severe effect on the reproductive success of dulled  
104 females.

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106 Figure 1. Schematic depicting the experimental design in year 1 (2018) and year 2 (2019) of the study.

107

## 108 METHODS

109 We studied wild tree swallows breeding in nest boxes near Ithaca, New York, USA (42.503°  
110 N, 76.437° W) from May to July of 2018 and 2019. This population has been monitored continuously  
111 since 1986 using standardized field methods (Winkler et al., 2020). We conducted a separate  
112 experiment in each year; however, general methods for monitoring reproductive behavior were the  
113 same in each year except for where noted. Nest boxes were monitored every other day starting at the  
114 beginning of the breeding season and active nests were checked every day around the expected  
115 hatching date to determine the timing of clutch initiation, onset of incubation (+/- 1 day) and  
116 hatching (exact day, approximately 12 days after clutch completion).

117

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### 118 *Experimental manipulations*

119 In 2018 we carried out a 2x2 factorial experiment in which we first manipulated signal coloration of  
120 breeding female swallows and then later imposed a simulated predation challenge (Figure 1). At  
121 around day 6 of incubation, females were alternately assigned a “dulled” or control treatment. For  
122 this signal manipulation treatment, dulled females were colored across their entire white ventral  
123 surface with a light grey non-toxic marker (Faber-Castell PITT artist pen ‘big brush’ warm grey III  
124 272). We previously validated that this treatment maintains the spectral characteristics of the  
125 plumage patch while reducing overall brightness (Taff et al., 2021). As a control, we applied a  
126 colorless marker over the same plumage area for the same length of time (Prismacolor Premier  
127 Colorless Blender PB-121; Newell Brands, Oak Brook, IL, U.S.A.). The treatments were re-applied  
128 one day after hatching and again six days after hatching so that the signal manipulation lasted during  
129 most of their reproductive attempt. In 2018, 20 females were experimentally dulled, and 22 females  
130 received the control treatment. We balanced treatment within age groups (second year vs. after  
131 second year) because breeding phenology and reproductive success differ between these ages in tree  
132 swallows (Winkler et al., 2020).

133 The second part of the experiment simulated an attempted predation event. For nests in the  
134 “predation” treatment, we simulated attempted predation on the female swallow by a mink (*Neovision*  
135 *vision*), which is a common predator of both adults and nestlings at our field sites. Females were  
136 trapped in the nest box and then gently pulled out of the box using a taxidermied mink wrapped  
137 around the researcher’s hand. The bird was brought to the ground below the nest box and then  
138 allowed to escape. During this treatment, the researcher’s face and body were covered with a  
139 camouflage suit and the female was held facing away from the researcher’s body to make the  
140 predation experience seem as realistic as possible. The predation simulation was performed three  
141 times during days 2-5 after hatching. The control group received no additional treatment outside of

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142 the signal manipulation (dulling or control) described in the previous paragraph. As above, we  
143 alternately assigned the predation and control treatments, while balancing these treatments within  
144 age classes. In 2018, 22 females were in the predation group and 20 females were in the control  
145 group.

146 In 2019 we repeated these experiments, but we reversed the order of the signal manipulation  
147 and predation treatments. Females were assigned to either predation or control treatments at day 6  
148 of incubation and females in the predation treatment received two additional simulated predation  
149 attempts between days 8 and 12 of incubation. We included 29 females in the predation group and  
150 33 in the control group. Then, on day 12-13 of incubation females were alternately assigned to either  
151 a plumage dulling or control signal manipulation treatment. Signal manipulation treatments were  
152 applied exactly as described for 2018, with coloring re-applied at the third capture on day 6 after  
153 hatching. In 2019, 31 females were experimentally dulled, and 31 females received the control  
154 treatment (Table S1).

155

156

### 157 *Nestling cross fostering and measurements*

158 Differences in initial female quality are known to have a large effect on reproductive  
159 performance in tree swallows (Winkler et al., 2020). While our randomly assigned experimental  
160 treatments should account for these differences, we also sought to separate the effects of our  
161 treatments from any pre-treatment maternal effects by cross fostering eggs at each nest in the study.  
162 Nests were paired by breeding stage and on the fourth day of the egg laying stage we swapped half  
163 of the eggs from each nest and marked the bottom of all eggs with a pencil. For half of the nest  
164 pairs, we swapped an additional unmarked egg on the next day. This scheme ensured that egg-laying  
165 order was not associated with cross fostering status. In a few cases, we modified the swapping



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166 scheme to include three nests when appropriately timed matches were not available and a few later  
167 season nests were not cross fostered. For all nests, the ultimate clutch size remained the same after  
168 cross fostering.

169 Nestling growth and physiology at each nest were monitored as follows: On day 6 after  
170 hatching, we took a ‘total brood mass’ with all nestlings counted and weighed together (nearest 0.5  
171 g). This total brood mass was divided by the number of nestlings to calculate a mean nestling mass  
172 at day 6. On day 12 after hatching, we banded nestlings with a USGS aluminum band and measured  
173 each nestling individually. We measured head + bill length (to the nearest 0.1 mm), flat wing length  
174 (to the nearest 0.5 mm), and mass (to the nearest 0.25 g). All blood samples were collected by  
175 brachial venipuncture into a heparinized micro-hematocrit tube. A baseline sample (< 70  $\mu$ l) was  
176 collected within 3 minutes of capture followed by a stress-induced sample (< 30  $\mu$ l) collected after  
177 30 minutes of restraint. Immediately after the stress-induced sample was taken, we injected birds  
178 with 4.5  $\mu$ l g<sup>-1</sup> of dexamethasone to stimulate negative feedback (Mylan® 4mg ml<sup>-1</sup> dexamethasone  
179 sodium phosphate, product no.: NDC 67457-422-00).

180 All blood samples were stored on ice in the field for < 3 hours and then red blood cells and  
181 plasma were separated by centrifugation. Red blood cells were divided: part of the sample was stored  
182 in Longmire lysis buffer at room temperature for genotyping (Longmire et al., 1997). The other part  
183 was stored in NBS buffer (90% newborn calf serum and 10% DMSO) for telomere analysis.  
184 Samples for telomeres were kept at -80°C until analysis. Plasma was stored at -30°C until processing.  
185 We measured corticosterone with enzyme immunoassay kits (DetectX Corticosterone, Arbor  
186 Assays: K014-H5) that were previously validated for tree swallows in this population (see  
187 supplementary methods for details on extractions and hormone measurements; Taff et al., 2019a).

188 We used blood samples from nestlings to determine the nest of origin using a previously  
189 validated set of 9 microsatellite markers (Hallinger et al., 2019; Makarewich et al., 2009). For the

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190 purposes of this study, we were only interested in assigning nestlings to their correct mother from 2-  
191 3 possible females. Females were considered good matches if they matched nestlings at 8 of 9 loci  
192 and we were able to assign maternal origin for 374 of 386 nestlings sampled. Details on the lab  
193 procedure and criteria for assigning nest of origin can be found in the supplemental materials.

194

### 195 *Telomere quantification*

196 We quantified relative nestling telomere length using a quantitative real-time PCR protocol  
197 following methods described in (Taff and Freeman-Gallant, 2017) and using current best practices  
198 (Morinha et al., 2020). Briefly, we extracted DNA from erythrocytes preserved in NBS buffer using  
199 Qiagen DNeasy Blood and Tissue Kits (Catalogue #69504, Valencia, CA). We used a QuickDrop  
200 spectrophotometer (Molecular Devices, San Jose, CA) to assess the DNA concentration and purity.  
201 The mean A260/280 absorbance ratio was 1.96 and the mean A260/230 absorbance ratio was 1.58.  
202 Because the A260/230 ratios tended to be lower than the mean recommended value (~1.8; Morinha  
203 et al., 2020) we tested for a correlation between sample A260/230 and the T/S ratio. We found no  
204 indication that the absorbance ratio was influencing our estimate of telomere length. We verified  
205 DNA integrity by running a subset of samples (~25%) on a 2% agarose gel; in all cases the DNA  
206 formed a single bold band with high molecular mass.

207 qPCR reactions were run on 384 well plates in reaction volumes of 13.5  $\mu$ l. Each reaction  
208 contained 7  $\mu$ l of PerfeCTa® SYBR® Green SuperMix, Low ROX™ (Quantabio, Beverly, MA), 2.8  
209 picomoles of each primer and 14 ng of sample DNA. We amplified telomeres using qPCR with the  
210 primers Tel1b (5'-CGGTTTGTTTGGGTTTGGGTTTGGGTTTGGGTTTGGGT-3') and  
211 Tel2b (5'-GGCTTGCCCTACCCTTACCCTTACCCTTACCCTTACCCT-3') which have been  
212 optimized for birds (Criscuolo et al., 2009) We amplified a single copy control gene (GAPDH:  
213 glyceraldehyde-3- phosphate dehydrogenase) using the primers GAPDH-F (5'-

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214 TTGACCACTGTCCATGCCATCAC-3') and GAPDH-R (5'-TCCAGACGGCAGGTCAGGTC-  
215 3'). Both GAPDH and telomere reactions were run on a ViiA 7 Real-Time PCR System (Thermo  
216 Fisher Scientific, Waltham, MA). The telomere thermocycling conditions were as follows: 95°C for  
217 10 min then 28 amplification cycles (95°C for 15s, 58°C for 30s, 72°C for 30s), followed by a melt  
218 curve (95°C for 15s, 60°C for 60s, 95°C for 15s). The GAPDH thermocycling conditions were as  
219 follows: 95°C for 10s, then 40 amplification cycles (95°C for 30s, 60°C for 30s), followed by a melt  
220 curve (95°C for 15s, 60°C for 60s, 95°C for 15s). Samples were run in triplicate for each reaction  
221 (telomere or GAPDH). Each plate also included three negative controls, a calibrator or “golden”  
222 sample (run on each plate to control for inter-plate variation) and five serial dilutions of a single  
223 high-quality sample. The repeatability of our standards across plates was 0.93 for both the telomere  
224 and GAPDH reactions.

225

### 226 *Telomere data processing*

227 We exported raw fluorescence data from the Thermo Fisher Scientific Design and Analysis Software  
228 (v. 2.4.3) and then used LinRegPCR (v. 1.5.3) (Untergasser et al., 2021) to analyze amplification  
229 curves and calculate per-well efficiency and the quantification cycle ( $C_q$ ; the cycle number at which  
230 fluorescence rises above the threshold). Per-well reaction efficiencies ranged from 1.76 to 1.86 for the  
231 telomere reactions and from 1.87 to 2.03 for the GAPDH reaction (an efficiency value of 2 indicates  
232 the amount of the amplicon doubled each cycle). We examined the  $C_q$  values of triplicates to ensure  
233 precision in our estimation of telomere length. We averaged  $C_q$  values for each sample, including  
234 only replicates whose  $C_q$  values were within 0.25 standard deviations of one another. If we did not  
235 have at least two replicates within 0.25 standard deviations, we excluded or re-ran the sample.

236 We calculated the relative telomere length (RTL) for each sample using the following  
237 equation:

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$$239 \quad RTL = (E_{TEL}^{(Cq_{TEL}[Calibrator] - Cq_{TEL}[Sample])}) / (E_{GAP}^{Cq_{GAP}[Calibrator] - Cq_{GAP}[Sample]})$$

240

241 Where  $E$  is the mean reaction efficiency across all samples on a given plate;  $Cq[Calibrator]$  is the  
242 mean  $Cq$  across the calibrator samples on the plate, and  $Cq[Sample]$  is the mean  $Cq$  of a given  
243 sample (Reichert et al., 2017).

244

### 245 *Analysis*

246 We used linear mixed models (LMMs) and generalized linear mixed models (GLMMs) to test for  
247 effects of the coloration and predation treatments on nestling growth and physiological  
248 measurements, including mass, skeletal measurements, corticosterone, and relative telomere length.  
249 Each response variable was modeled separately as a function of the fixed effects of color treatment,  
250 predation treatment, and their interaction. When the interaction term was not significant (all  
251 models), we removed it and report the results of the additive model. Models also included the  
252 covariate of female brightness before manipulation (numeric, centered and scaled). We initially  
253 included female brightness because this trait mediated the effect of plumage dulling in a previous  
254 experiment (Taff et al., 2021). We tested first for an interaction between initial brightness and color  
255 treatment; when the interaction effect was not significant, we removed the interaction and when the  
256 main effect of brightness was not significant, we removed the effect of brightness as well. We also  
257 initially included a covariate of whether the nestling was raised in its natal nest or was cross fostered  
258 (binary), but this effect was never significant, so we did not include it in any final model. Finally,  
259 models included two random effects: social nest box (i.e., the nest where the nestling was raised) and  
260 genetic mother. In some models the random effect of genetic mother did not explain any residual  
261 variance and caused a singular fit warning, indicating that the random effects structure was overfit.

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262 In those cases, we removed the random effect of genetic mother, leaving only the random effect of  
263 social nest box. In R notation the full model structure is as follows:  $\text{response\_variable} \sim$   
264  $\text{predator\_treatment} + \text{color\_treatment} * \text{female\_brightness} + \text{raised\_nest} + (1 | \text{nest\_id}) +$   
265  $(1 | \text{genetic\_mom})$ . Because of differences in experimental design each year, we ran separate models  
266 for 2018 and 2019. To test for differences in reproductive success between years, we ran GLMMs  
267 predicting per-nest hatching success and per-nest fledging success with year as a fixed factor, and  
268 nest id as a random effect.

269 We used mixed effects Cox proportional hazards models to test for differences in nestling  
270 survival between treatment groups. We included the fixed effects of color treatment and predation  
271 treatment and nest as a random effect. We tested for differences in overall fledging success using a  
272 logistic regression predicting nestling fate (fledged or died) based on treatment, with nest as a  
273 random effect.

274

## 275 **RESULTS**

276 *Nestling growth and physiology*

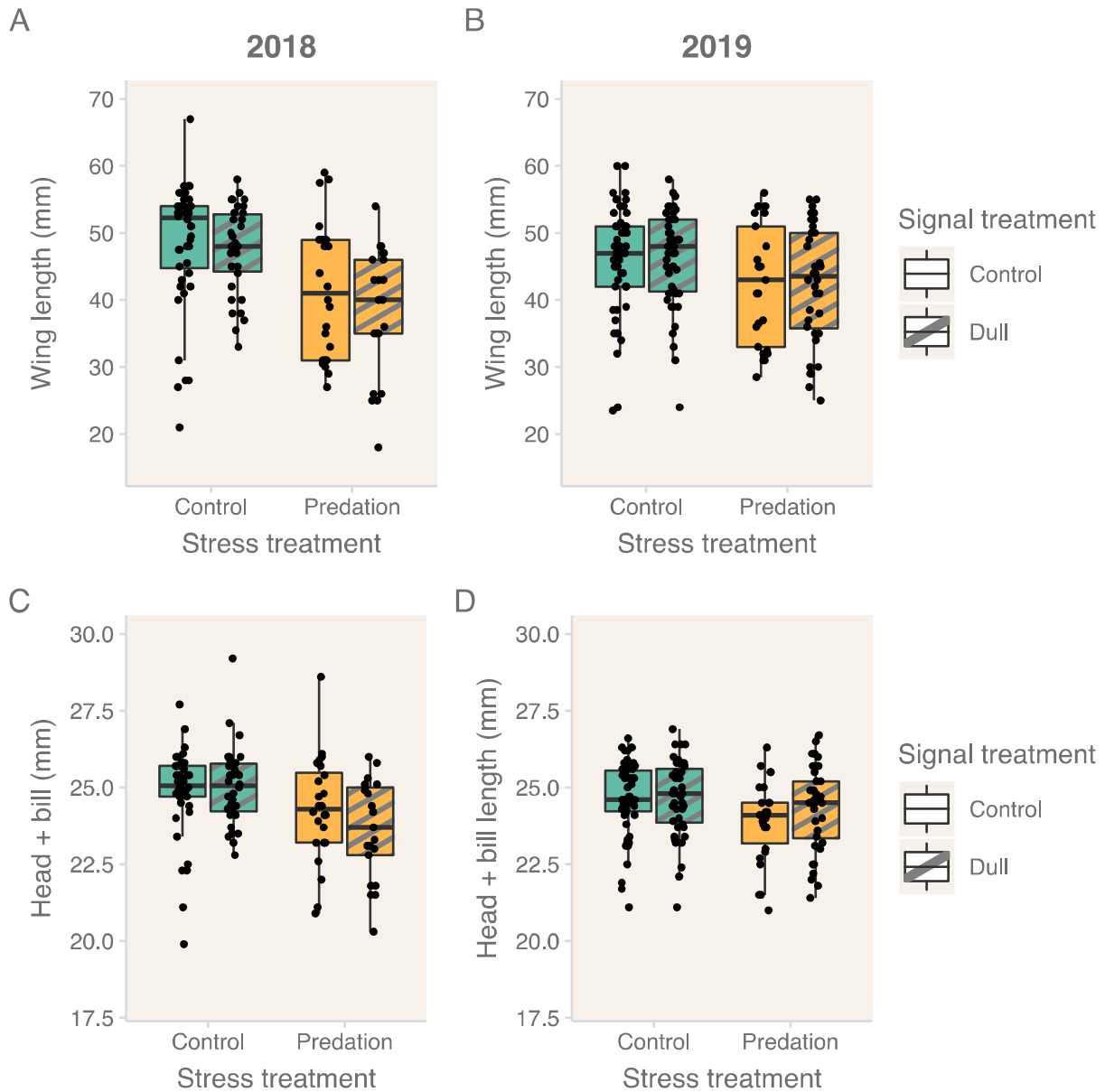
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277 In 2018, when perceived predation risk was manipulated during the nestling period, the predation  
278 treatment had a negative effect on some measures of nestling growth. At 12 days of age, nestling  
279 wing length was significantly shorter in the predation group compared to controls ( $\beta = -8.07$ , CI = -  
280 14.08 – -2.06,  $P = 0.009$ ; Table S2, Fig. 2). Nestling head + bill length was also smaller on average  
281 for predation nestlings compared to controls; however, difference was not significant ( $\beta = -0.91$ , CI  
282 = -1.86 – 0.04,  $P = 0.060$ ; Table S3). Nestling mass at day 6 was significantly lower in the predation  
283 treatment compared to controls ( $\beta: -2.31$ , CI = -3.79 – -0.83,  $P = 0.004$ ; Table S4). However, by day  
284 12 mass was not significantly different between predation nestlings and control nestlings ( $\beta = -1.75$ ,  
285 CI = -4.23 – 0.74,  $P = 0.166$ ; Table S5).

286 In contrast, the dulling treatment (which occurred during incubation and the nestling period)  
287 in 2018 had only minor effects on nestling growth and effects depended on female brightness at the  
288 start of the season. There was no significant effect of dulling treatment on 12-day old nestling wing  
289 length, head + bill length, or mass (Fig. 2-3; Tables S2, S3, S5). There was a significant interaction  
290 between initial female brightness and the dulling treatment on six-day old nestling mass (Table S4):  
291 females in the experimentally dulled treatment had a positive relationship between pre-treatment  
292 brightness and nestling mass, whereas for females in the control treatment there was not a  
293 significant relationship between brightness and nestling mass (Fig. S1).

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296 Figure 2: Nestling skeletal size at 12 days of age in predation and dulling treatments. Top row: wing length in A) 2018 and B)

297 2019. Bottom row: head + bill length in C) 2018 and D) 2019. Nestlings in the predation group (yellow) had significantly smaller

298 wings at day 12 than nestlings in the control group (teal) in 2018. See results for full statistical comparisons.

299 In 2019, the predation treatment (which occurred during incubation) did not have a

300 significant effect on nestling mass, wing length, or head + bill length (Fig. 2-3, Tables S2-5).

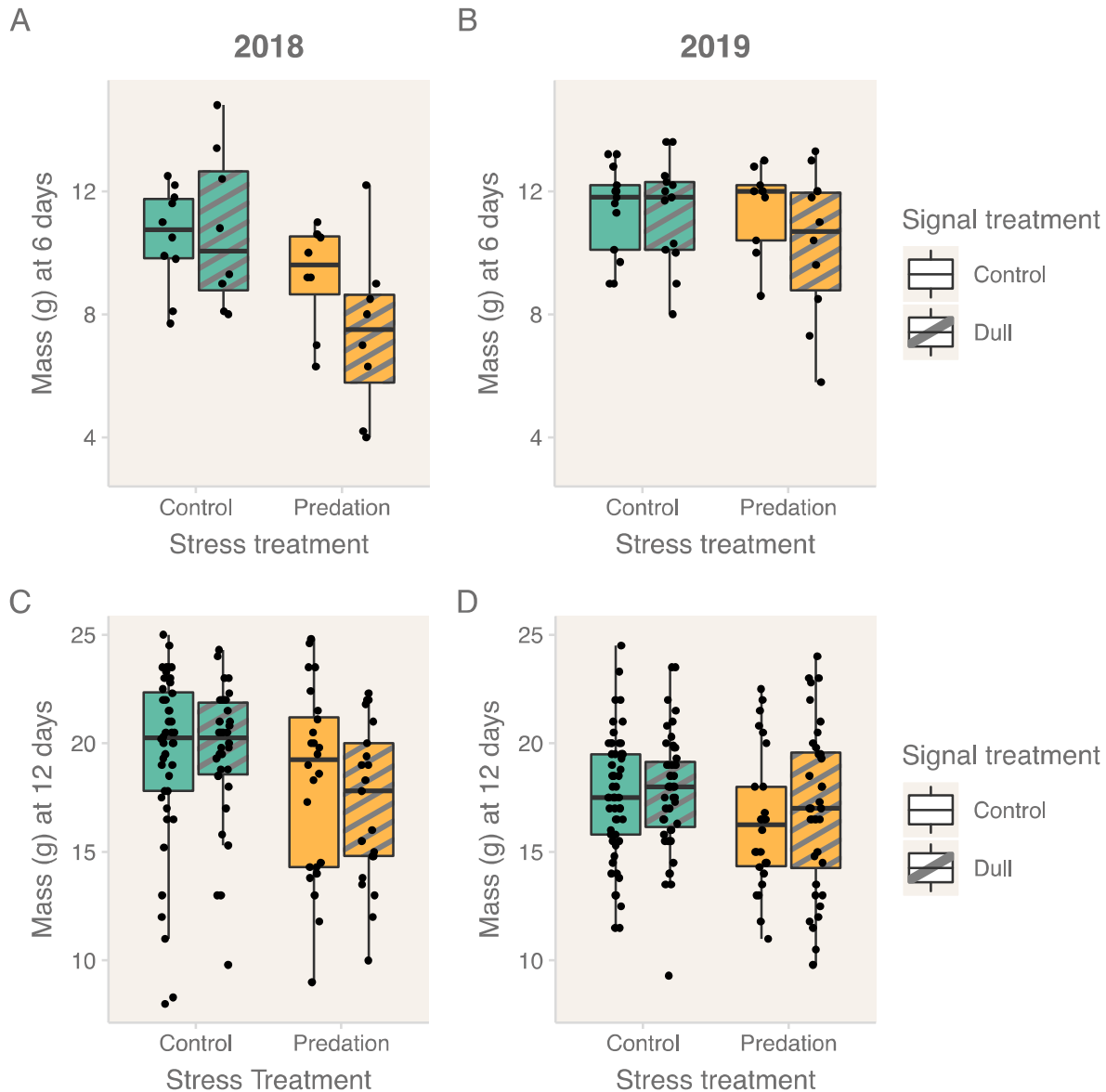
301 However, the dulling treatment (which occurred during the nestling period) did have effects on

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302 nestling size, which were mediated by initial female brightness. There was a significant interaction  
303 between initial female brightness and the dulling treatment on nestling wing length ( $\beta = 4.93$ , CI =  
304  $0.31 - 9.54$ ,  $P = 0.036$ ), head + bill length ( $\beta = 0.73$ , CI =  $0.09 - 1.37$ ,  $P = 0.025$ ) and 12-day  
305 nestling mass ( $\beta = 2.31$ , CI =  $0.62 - 3.99$ ,  $P = 0.008$ ). For experimentally dulled females, nestling  
306 size was slightly positively correlated with initial female brightness. However, for control females,  
307 nestling size was negatively correlated with female brightness (Fig S1-2).



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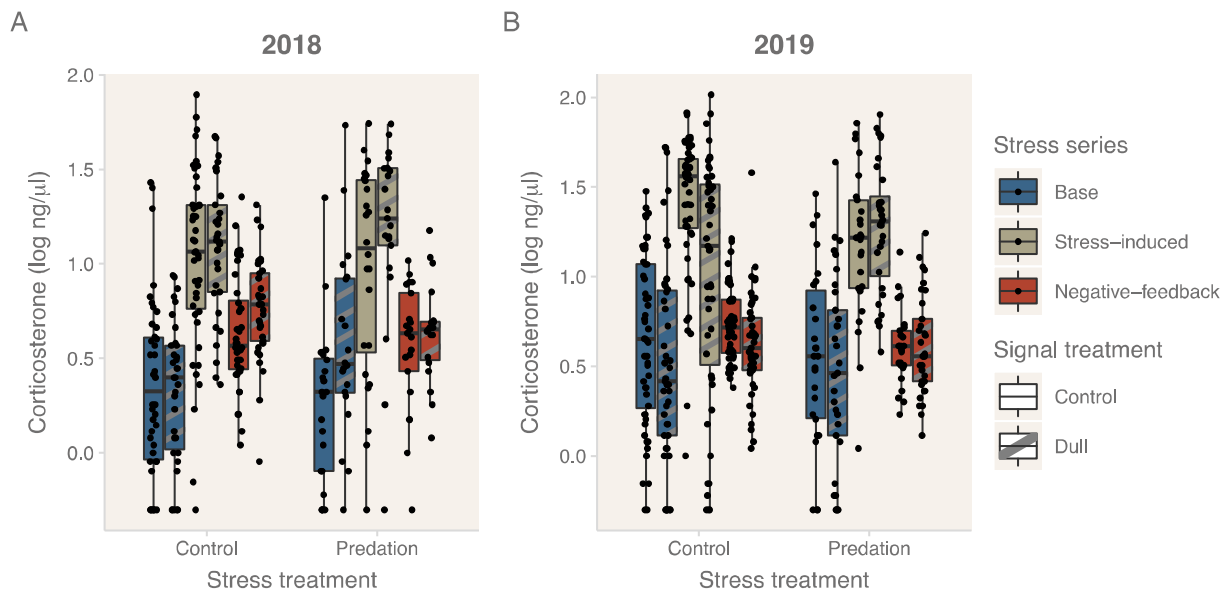
309 Figure 3. A) Average mass at 6 days of age in 2018 and B) 2019. C) Nestling mass at 12 days in 2018 and D) 2019. At 6 days of  
310 age all nestlings in the brood were massed together and then an average mass was calculated by dividing the total by the number  
311 of nestlings. At 12 days of age each nestling was individually massed. Each point in A-B is an average for a nest, each point in C-  
312 D is an individual nestling. Nestlings in the predation treatment (yellow) were significantly smaller than controls (teal) at 6 days  
313 of age in 2018. No other differences between treatment groups were significant.

314 We tested for effects of the predation and dulling treatments on nestling corticosterone.

315 Each year we quantified baseline, stress-induced, and post-dexamethasone corticosterone in 12-day

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316 old nestlings. There were no significant differences either between predator treatments or between  
317 dulling treatments in any corticosterone measurement (Fig. 4, Table S6-S7). In contrast to models of  
318 nestling size, there was no effect of initial female brightness on nestling corticosterone in either year.



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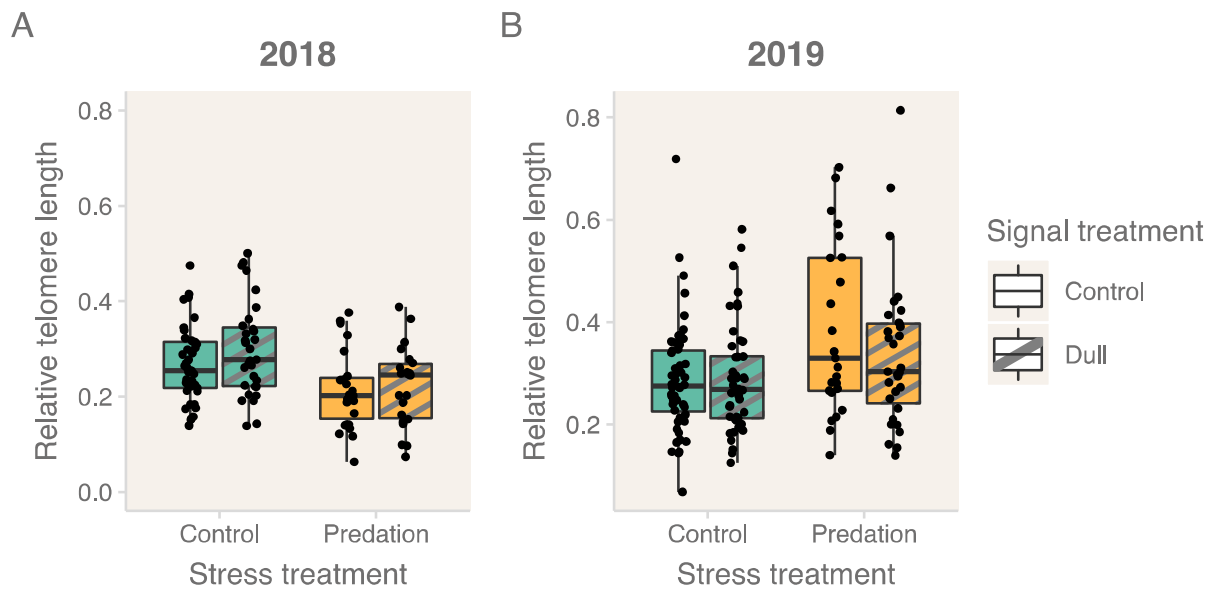
320 Figure 4. Corticosterone (cort) concentrations for nestlings at 12 days of age. We conducted a stress series for each nestling  
321 quantifying baseline (within three minutes of disturbance), stress-induced (after 30 minutes) and negative-feedback cort levels  
322 (30 minutes after injection with dexamethasone, see methods). There was no significant effect of either predation or signal  
323 manipulation in either year.

### 324 *Telomere length*

325 Nestlings in 2018 had significantly shorter relative telomere lengths in the predation group  
326 compared to the control group ( $\beta = -0.05$ , CI =  $-0.10 - -0.01$ ,  $P = 0.013$ ; Fig. 5, Table S8). There  
327 was no significant difference between dulling and control treatments ( $\beta = 0.02$ , CI =  $-0.02 - 0.07$ ,  $P$   
328 =  $0.270$ ). In contrast, nestlings in 2019 had no significant difference in telomere lengths between  
329 predation and control groups ( $\beta = 0.05$ , CI =  $-0.01 - 0.12$ ,  $P = 0.117$ ). Again, there was no  
330 difference between dulling and control treatments ( $\beta = 0.00$ , CI =  $-0.06 - 0.06$ ,  $P = 0.988$ ; Fig. 5,  
331 Table S7). We tested whether relative telomere length was correlated with measurements of nestling

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332 quality. There was no relationship between telomere length and mass or wing length of 12-day old  
333 nestlings in either study year (Tables S9-S10). Telomere length also was not a significant predictor of  
334 fledging success in either year (Table S11); however, this analysis only included those nestlings that  
335 survived to 12 days of age (the date of blood sampling).



336  
337 Figure 5: Relative telomere length in 2018 (A) and 2019 (B). Telomeres were significantly shorter in the predation group  
338 (yellow) compared to controls (teal) in 2018. There was no significant difference between predation and control groups in 2019  
339 or between signal treatment groups in either year.

340

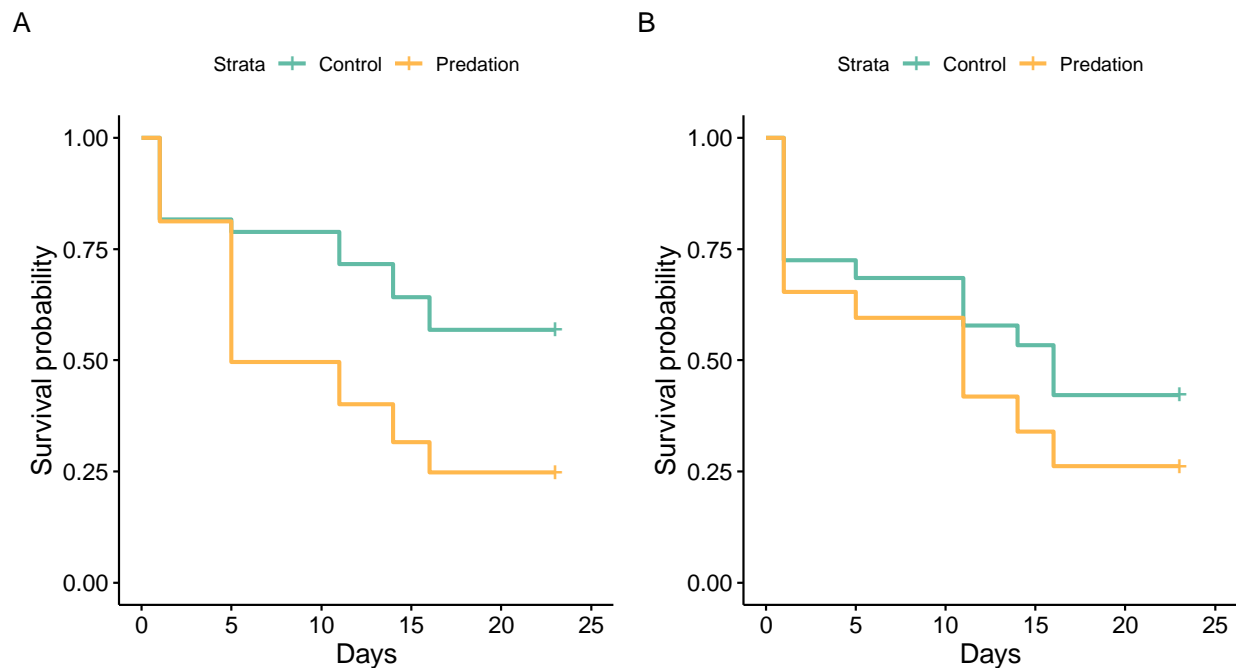
### 341 *Reproductive success*

342 There was no effect of either the predation treatment or the dulling treatment on hatching success in  
343 either year (Table S12). We compared reproductive success (hatching success and fledging success)  
344 between our two study years to investigate whether environmental differences between years could  
345 have contributed to our results. Hatching success did not differ between years. In 2018, 81% of eggs  
346 hatched and in 2019, 87% of eggs hatched (Odds ratio = 1.55, CI = 0.55 – 4.39,  $P = 0.407$ ). Once

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347 nestlings hatched, fledging success did not differ overall between years. In 2018, 51% of nestlings  
348 fledged and in 2019 52% of nestlings fledged (Odds ratio = 0.94, CI = 0.24 – 3.66,  $P = 0.932$ ).

349 We used a Cox proportional hazards model to test for differences between treatments in  
350 nestling survival from hatching (day 0) to fledging (~ day 23). In 2018, nestlings in the predator  
351 treatment had a higher risk of death (hazard ratio = 3.38, CI = 1.32 – 8.62,  $P = 0.011$ , Fig. 6). In  
352 2019, however, survival did not differ between predator and control treatments (hazard ratio = 2.07,  
353 CI = 0.72 – 5.96,  $P = 0.178$ , Fig. 6). There was no significant difference in either year between  
354 dulling treatments (Table S13). Fledging success was lower for nestlings in the predator treatment  
355 compared to the control treatment in 2018; however, it was not significantly different between  
356 predator and control treatments in 2019 (Table S14). There was no significant difference in fledging  
357 success between dulling and control treatments in either year (Table S14).



358  
359 Figure 6: Daily survival probability of nestlings in the predator and control groups in 2018 (A) and 2019 (B). Shaded lines  
360 represent confidence intervals. Nests were checked at hatching (0 days), 6 days, 12 days, 15 days, and fledging (~23 days). In  
361 2018 (A) simulated attempted predation occurred between days 1 – 5 of the nestling period. In 2018 (B) simulated attempted  
362 predation occurred before hatching (Fig. 1).

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363

## 364 **DISCUSSION**

365       The presence of predators affects bird physiology, behavior, and reproductive investment  
366 (Allen et al., 2022). Here, we tested for the effects of increased perceived predation risk on tree  
367 swallow reproductive success and sought to identify the physiological mechanisms involved in  
368 nestling stress resilience. We found that simulated predation attempts negatively affected tree  
369 swallows; however, the effects depended on the timing of predation events. During the first year of  
370 the study, when breeding females experienced three simulated predation attempts during the early  
371 postnatal period, their nestlings suffered lower fledging success and those that survived had reduced  
372 growth and shorter telomeres. In contrast, in the second year of the study, when predation attempts  
373 occurred during the prenatal period, there were no effects on nestling survival, growth, or  
374 physiology. Contrary to our predictions, signal manipulation (i.e., plumage dulling) did not mediate  
375 resilience to predation stress. The plumage dulling treatment did have minor effects on nestling  
376 growth, but only when it occurred during the nestling period and only after considering initial female  
377 brightness.

378       In 2018, the largest proportion (42%) of nestling deaths in the predation group occurred  
379 during the first six days of life, i.e., during or shortly after the experimental manipulation (Fig. 6).  
380 Tree swallow nestlings are unable to thermoregulate on their own until they are about 10 days old  
381 (Dunn, 1979); thus, reduced parental brooding to avoid predation may have caused nestling  
382 mortality. In addition to the immediate effects of predation stress on nestling survival, we observed  
383 secondary effects on nestling growth. At six days of age (shortly after predation events), nestlings in  
384 the predation group were significantly smaller in mass than those in the control group (Fig. 3). By 12  
385 days of age there was no difference in mass between treatments, suggesting that nestlings may have  
386 been able to accelerate growth to recover from a temporary reduction in parental care. However,

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387 wing length at 12 days of age was still shorter in the predation group, indicating that not all aspects  
388 of development recovered. Previous studies that have manipulated the growth rate of nestlings have  
389 found that an acceleration in growth rate during development can have long term metabolic  
390 consequences for adults (Alonso-Alvarez et al., 2007; Criscuolo et al., 2008). Thus, even if swallows  
391 only temporarily reduce parental care in response to predators in the environment, the effects on  
392 growth and physiology of their offspring may be lasting.

393         In 2019, we reversed the order of the signal and predation manipulations and simulated  
394 predation before nestlings hatched. In contrast to the previous year, predation stress had no  
395 significant effect on nestling condition or survival. Nest abandonment in response to perceived  
396 predation risk can be high during incubation, when parental investment in reproduction is still  
397 relatively low (LaManna and Martin, 2016). Although hatching success was lower on average in the  
398 predation group, the differences were not statistically significant. Thus, our data indicate that  
399 breeding tree swallows are more resilient to simulated predation stress during the incubation period  
400 compared to the nestling period. Since eggs require less parental care than nestlings, behavioral  
401 changes of females during the incubation period are likely less consequential than similar changes  
402 during the first days of the nestling period.

403         We investigated the physiological mechanisms linking simulated predation to swallow  
404 fledging success. Direct contact with a predator can prompt a rise in nestling corticosterone  
405 (Herborn et al., 2014). The presence of predators may also indirectly provoke a hormonal response  
406 in nestlings through changes in parental care, e.g. reductions in provisioning or brooding (Crino et  
407 al., 2020; DuRant et al., 2010; Oers et al., 2015; Rensel et al., 2010). Although we expected that the  
408 effect would be most evident in nestlings, developing embryos may respond to predation stress as  
409 well. For example, yellow-legged gull eggs exposed to increased predator alarm calls hatched  
410 nestlings with elevated corticosterone levels and shorter telomeres (Noguera and Velando, 2019).

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411 Despite our predictions, we found no difference in corticosterone levels between predation and  
412 control groups in either year. There are several potential explanations for the lack of effect: First, the  
413 treatment may have only induced an acute response during the “predation” event or treatment  
414 period, and the response may have subsided by the time nestlings were sampled. Second, the HPA  
415 axis develops over the nestling period in altricial birds, and stressors early in development may not  
416 induce a detectible glucocorticoid response (Wada et al., 2009). Finally, corticosterone is just one of  
417 a number of hormones involved in the physiological response to a stressor, and corticosterone has  
418 physiological roles beyond the stress response (MacDougall-Shackleton et al., 2019). Indeed, the  
419 glucocorticoid response is not always predictable; other studies have similarly found no effect or  
420 unexpected effects of early life stressors on glucocorticoids in nestling birds (Ibáñez-Álamo et al.,  
421 2011; Wada et al., 2015). Correspondingly, there are increasing calls for a broader approach to  
422 characterizing the stress phenotype, including measures of oxidative stress, telomeres and other  
423 physiological traits (Bateson, 2016; MacDougall-Shackleton et al., 2019; Whitham et al., 2020).

424 In contrast to corticosterone, we found that simulated predation negatively affected telomere  
425 length of nestlings when predation events occurred early in the nestling period. In the first year of  
426 the study, nestlings in the predation treatment had shorter telomeres relative to controls (Fig. 5).  
427 Although telomere lengths were not associated with corticosterone concentrations, our results are  
428 consistent with previous studies showing that stressful conditions shorten telomeres, potentially  
429 through the negative effects of oxidative stress, and/or inflammation (Hausmann and Heidinger,  
430 2015; Monaghan, 2014). Nestlings were not the direct targets of the simulated predation events;  
431 however, the stressor still had lasting negative effects on their skeletal size and telomere lengths. An  
432 earlier study at our field site found that first year telomere length in tree swallows predicts survival  
433 over the next three years (Hausmann et al., 2005). Thus, the indirect effects of predation stress on

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434 nestling tree swallows have the potential to affect long term survival, even for those birds that did  
435 fledge successfully.

436         We observed different effects of simulated predation stress in the two years of our study.  
437 While the most striking difference between our two field seasons was the timing of the experimental  
438 manipulations (pre- or post-hatching), it is possible that other factors may have contributed to the  
439 contrasting results. Tree swallow reproductive success is closely tied to environmental conditions  
440 and adverse weather events such as cold snaps reduce food availability and fledging success (Shipley  
441 et al., 2020). There was no significant difference in overall reproductive success between years, thus  
442 we do not expect that environmental differences between years were a primary driver of our results.  
443 Still, other factors, such as variation the density of birds in the area or abundance of natural  
444 predators could have contributed to the different results we observed each year.

445         The effects of the dulling treatment on tree swallow reproductive success were minor and  
446 did not affect the response to predation stress. Like the predation manipulation, plumage dulling had  
447 a stronger effect during the nestling period compared to the incubation period. In the second year of  
448 the study (2019), we found a significant effect of plumage dulling; however, the effect depended on  
449 initial female brightness. Earlier studies in our population and other populations of tree swallows  
450 found that females with brighter breast plumage are more resilient to environmental challenges and  
451 have higher reproductive success (Beck et al., 2015; Taff et al., 2019a). However, manipulation of  
452 this social signal sometimes leads to unexpected results. A previous study that experimentally dulled  
453 female plumage, as we did here, found that dulled females invested more in reproduction and had  
454 higher reproductive success (Taff et al., 2021). Manipulation of the breast plumage has the potential  
455 to create a “mismatch” between the social signal and the true quality of a female. Brighter females  
456 receive more aggressive interactions from conspecifics, and therefore may be forced to defend their  
457 territories more often (Coady and Dawson, 2013). In our study, we saw a positive relationship



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458 between initial brightness and nestling quality in the dulled females (Fig. S1). For females who were  
459 initially bright, dulling had a positive effect on the size of their nestlings (Fig. S3). It is possible that  
460 bright females experienced the advantages of bright plumage earlier in the breeding season while  
461 they were securing territories and mates but avoided negative conspecific interactions after they were  
462 dulled during the nestling period. On the other hand, for females that were initially duller than  
463 average, experimental dulling had a negative effect on the size of their nestlings at day 12 (Fig. S3).  
464 Experimental dulling of the lowest-quality females may have exacerbated any negative social position  
465 they had, further reducing their reproductive success.

466 Contrary to our initial predictions, plumage manipulation did not mediate resilience to  
467 predator stress. Interaction with the predator manipulation could have led to a “cancelling-out”  
468 effect of the dulling treatment. For instance, brighter females may have naturally been higher quality  
469 which increased their resilience to predation stress. However, experimentally dulled females may  
470 have been able to avoid aggressive interactions with other tree swallows, allowing them to invest  
471 more in reproduction and maintain fitness even under heightened predation stress. However, this  
472 theory does not explain why we saw no differences between dulling and control treatments in the  
473 absence of predation stress. Our sample sizes were slightly smaller than in Taff et al. 2021 ( $N = 34 -$   
474  $36$  per group in the previous study,  $N = 20 - 34$  here) and so it is possible we did not have the  
475 power to detect small effects of the dulling treatment. Finally, it is also likely that the effects of the  
476 social environment are context-dependent and vary among years with environmental conditions,  
477 population density, and age/breeding condition of the females. The social environment of species  
478 like tree swallows likely interacts with HPA axis function and has the potential to mediate resilience  
479 to environmental stressors (Creel et al., 2013). However, isolating and manipulating these complex  
480 processes is difficult in wild populations and requires future investigation in our system.

481

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## 482 **CONCLUSIONS**

483 We found that the perceived risk of predation alters tree swallow reproductive success. Simulated  
484 predation events early in the nestling period resulted in increased nestling mortality, reduced size,  
485 and shorter telomeres. The effects of heightened perceived predation risk on nestling telomeres are  
486 especially notable because telomere length is linked to overall lifespan. Thus, our results add to a  
487 growing body of evidence demonstrating that transient, early-life stressors may have lasting effects,  
488 and that telomeres may link early-life conditions to later health and survival. Although previous  
489 studies have shown that the social environment may mediate how animals respond to stressors, here  
490 we did not find an interaction between our manipulation of a key social signal and the response of  
491 swallows to simulated predation. Birds live in dynamic environments involving challenges from  
492 intra- and inter-specific interactions. More work is needed in avian systems to understand the role of  
493 the social environment in a complex and changing world.

494

## 495 **ETHICAL NOTE**

496 All procedures were approved by the Cornell University Institutional Animal Care & Use Board  
497 (IACUC protocol 2019-0023 and 2001-0051). Work was conducted under federal and state scientific  
498 collecting permits to MNV (USGS 24129, USFWS MB42428C; New York State 215 and 2350).

499

## 500 **DATA AVAILABILITY**

501 Raw data and code for the analyses are available at

502 [https://github.com/smcnew/mcnew\\_etal\\_tres\\_nestling\\_telos](https://github.com/smcnew/mcnew_etal_tres_nestling_telos) and will be archived permanently on  
503 Zenodo upon acceptance.

504

## 505 **ACKNOWLEDGEMENTS**

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506 We thank the many field and lab assistants who helped with data collection including Bashir Ali,  
507 Allison Anker, Paige Becker, Raquel Castromonte, Jeremy Collison, KaiXin Chen, Alex Dopkin,  
508 Zapporah Ellis, Audrey Fox, Brianna Johnson, Christine Kallenberg, Raisa Kochmaruk, Alex Lee-  
509 Papastravos, Jabril Mohammed, Yusol Park, Alyssa Rodriguez, Bella Somoza, and Kwame Tannis.  
510 We also thank Brittany Laslow and Benj Sterrett for support in the lab and field. A.S.I, C.C.T., and  
511 S.M.M were funded by Edward Rose postdoctoral fellowships from the Cornell Lab of Ornithology.  
512 Additional funding for this research was provided by the U.S. Department of Defense, Defense  
513 Advanced Research Projects Agency (DARPA D17AP00033), the U.S. National Science Foundation  
514 (NSF IOS 1457251) and the U.S. National Institute of Food and Agriculture (NIFA Hatch 1017321)  
515 to M.V. The views, opinions and/ or findings expressed are those of the authors and should not be  
516 interpreted as representing the official views or policies of the U.S. Department of Defense or the  
517 U.S. Government

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