1 A stochastic world model on gravity for stability inference

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9 Abstract

10 The fact that objects without proper support will fall to the ground is not only a 11 natural phenomenon, but also common sense in mind. Previous studies suggest that 12 humans may infer objects' stability through a world model that performs mental 13 simulations with a priori knowledge of gravity acting upon the objects. Here we measured participants' sensitivity to gravity's direction, the most critical parameter of 14 15 gravity in stability inference, to investigate how the world model works. We found that the world model was not a faithful replica of Newton's law of gravity but rather 16 17 encoded gravity's direction as a Gaussian distribution, with the vertical direction as the maximum likelihood. The world model with this stochastic feature fit nicely with 18 19 participants' subjective sense of objects' stability and explained the illusion that taller 20 objects are perceived as more likely to fall. Furthermore, a computational model with 21 reinforcement learning revealed that the stochastic feature likely originated from 22 agent-environment interaction, and computer simulations illustrated the ecological 23 advantage of the stochastic over deterministic representation of gravity's direction in 24 balancing accuracy and speed for efficient stability inference. In summary, the stochastic world model on gravity provides an example of how a priori knowledge of 25 26 the physical world is implemented in the brain that helps humans operate flexibly in 27 open-ended environments.

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32 Introduction

33 About two thousand years ago, Confucius warned his disciples that a wise man should 34 not stand next to a collapsing wall. We, wise or not, can easily judge whether a wall is stable or collapsing in a fraction of a second (Battaglia et al., 2013; Kubricht et al., 35 2017; McCloskey, 1983). This astonishing performance is unlikely to have been 36 37 achieved by previous visual experience alone. Taking a stack consisting of ten blocks as an example (Fig. 1), we can quickly report its stability with a satisfactory accuracy 38 of 70% on average (Bear et al., 2021; Zhang et al., 2016), but the universal cardinality 39 of possible configurations is at least 3.72×10^{19} (Extended Data Fig. 1), which is much 40 larger than the total number of sand grains on Earth (est. 7.5×10^{18}) (Blatner, 2013). 41 Contrary to this intuition, four-month-old infants, who have a little visual experience 42 43 of the physical world, expect a box to fall if it loses contact with a support platform 44 (Baillargeon, 1994, 2004). Our minds may therefore have devised a mechanism that 45 differs from the widely used discriminative approach in artificial neural networks, which relies on the extensive visual experience of objects and feedback about their 46 47 stability (Bear et al., 2021; Li et al., 2016; Zhang et al., 2016). 48 Indeed, both behavioral and neuroimaging studies have suggested that humans 49 possess a priori knowledge of Newton's law of physics in the mind. For example, 50 infants as young as seven months expect a downward moving object to accelerate and 51 an upward moving object to decelerate (Friedman, 2002; Kim & Spelke, 1999), and 52 adults can estimate the remaining time to catch a moving ball (McIntyre et al., 2001; 53 Zago & Lacquaniti, 2005) even in the absence of visual information (Lacquaniti & Maioli, 1989; Zago et al., 2009). Further fMRI studies have revealed the parieto-54 55 insular vestibular cortex in the brain as the neural basis for gravity-based stability

56 inference, suggesting that this knowledge is encapsulated as a cognitive module

57 (Fischer et al., 2016; Indovina et al., 2005; Pramod et al., 2022). Accordingly, our

58 brain is proposed as a set of generative machines that actively predict future events of

59 the ever-changing physical world through mental simulation with *a priori* knowledge

60 acting upon the world (Battaglia et al., 2013; Hegarty, 2004; Huang & Rao, 2011;

61 Tenenbaum et al., 2011; Ullman et al., 2017). For this reason, the generative machine

62 is also called the world model (Land, 2014; Tenenbaum et al., 2011).

Recently, the idea of the world model has become popular to explain thepredictive nature of the brain (Friston et al., 2021) and to improve the generality and

robustness of the artificial neural networks (Matsuo et al., 2022). However, how a 65 66 priori knowledge is implemented in the world model remains to be determined. A widely adopted but not rigorously tested assumption is that the world model in the 67 68 brain is a faithful replica of the physical laws of the world (Allen et al., 2020; Battaglia et al., 2013; Lake et al., 2017; Zhou et al., 2022). For example, the direction 69 70 of gravity encoded in the world model, which is the most critical parameter for 71 stability inference, is assumed to be straightly downward, the same as the direction of 72 gravity in the physical world. Alternatively, there is a consensus that the brain actively correlates, integrates, and comprehends the data from sensory organs (e.g., 73 74 electromagnetic waves from the eyes) and adds meaning to them (i.e., color). Therefore, the representation of the world in the brain may not be the same as reality. 75 76 Here, we investigated these two alternative hypotheses for the construction of the 77 world model in the brain by examining how gravity's direction was represented in the 78 world model when participants judged the stability of objects. 79 To do this, we measured participants' sensitivity to gravity's direction in a 80 stability inference task (Battaglia et al., 2013) and found that gravity's direction was encoded in a Gaussian distribution, with the vertical direction as the maximum 81 82 likelihood. This stochastic parameter was then built into the world model to simulate 83 the displacement of blocks in a stack under the force of gravity, and the simulation result fits nicely with participants' judgment of stacks' stability and explained the 84 daily illusion that taller objects are perceived as more like to fall. A computational 85 model with a reinforcement learning algorithm was devised to reveal its origin 86 87 through interactions with the physical world. Finally, we explored the ecological 88 advantage of the stochastic feature of the world model. 89 90

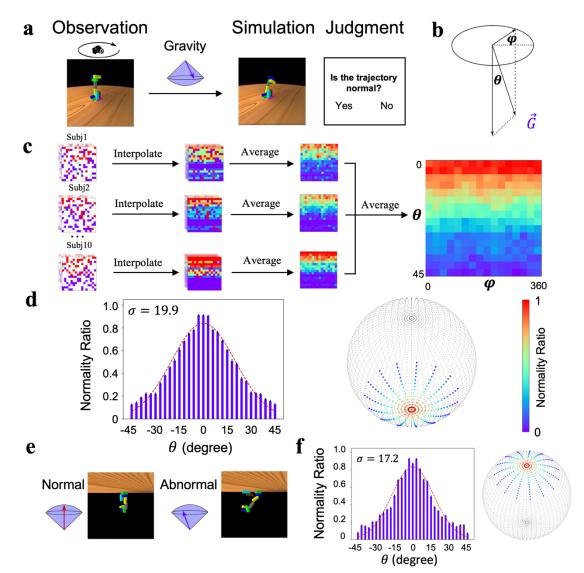
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94 **Results**

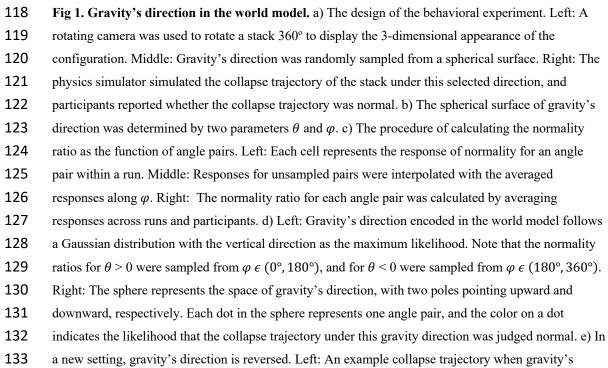
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95 The direction of gravity in the world model

96 The direction of gravity is perpendicular to the ground surface. Here, we first tested 97 humans' sensitivity to gravity's direction to investigate how faithfully our gravity is 98 represented in the world model compared to gravity in the physical world. To do this, we used Pybullet (Coumans & Bai, 2016), a forward physics simulator, to manipulate 99 100 gravity's direction. Then, we asked the participants to judge whether the collapse 101 trajectories of unstable stacks were normal (Fig 1a, Supplementary Movie S1). The direction of simulated gravity was measured by a parameter pair (θ, φ) (Fig 1b), 102 which determines the deviation of the direction of simulated gravity from the 103 direction of gravity in the physical world. Specifically, θ is the vertical component of 104 105 the direction that affects the degree of collapse, and φ is the horizontal component that determines the orientation of collapse. We collected participants' judgment of the 106 normality of collapse trajectories while varying θ from 0 to 45° and φ from 0° to 360° 107 across the force space, and the normality ratio of the judgment for each angle pair was 108 109 used to index participants' sensitivity to gravity's direction (Fig 1c). As expected, 110 when θ is equal to 0 (i.e., the direction of the simulated gravity is the direction of the natural gravity), the participants were likely to report that the collapse trajectory was 111 normal (accuracy: 91.0%, STD: 8.0%). Then, the critical question is how participants' 112 113 subjective sense about the normality of collapse trajectories changes as a function of θ . If our world model on gravity is a faithful replica of the physical reality, we should 114 expect the immediate detection of abnormality when θ is away from 0. 115







direction was upward. Right: A trajectory when the direction was away from the vertical upward. f)
Gravity's direction encoded in the world model when gravity's direction in the physical world was
reversed. Error bar: standard error.

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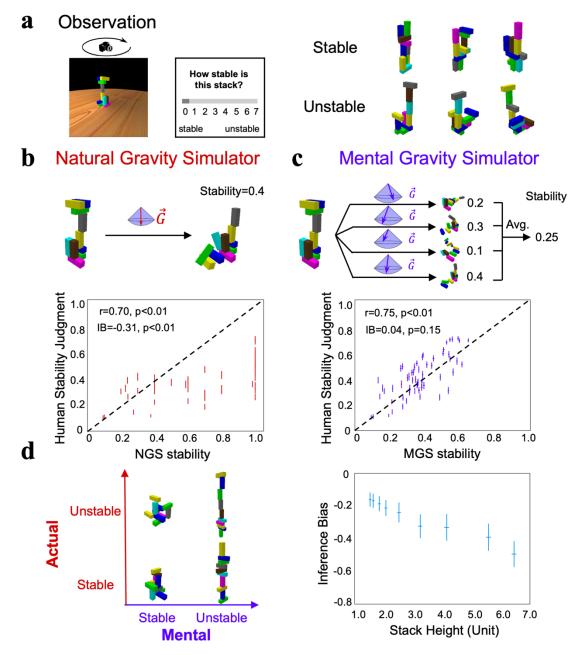
Contrary to this intuition, the subjective sense of the abnormality was not 138 139 immediately apparent as θ moved away from 0; instead, the rate of reporting 140 normality of collapse trajectories decreased gradually as a function of θ , which was 141 the best fit by a Gaussian function with $\sigma = 19.9$ (Fig. 1d left). That is, the 142 participants were 50.9% confident in reporting a normal collapse trajectory when the vertical offset of θ was 19.9°. In addition, accuracy in detecting the abnormality was 143 144 not affected by φ (Extended Data Fig. 2), consistent with the uniformly distributed 145 gravitational field in the physical world. This pattern was observed for all participants 146 tested, with σ varying from 11.1 to 37.1 (Extended Data Fig. 2). Therefore, the world 147 model on gravity is unlikely to be a faithful replica of the physical world; instead, it encodes gravity's direction as a Gaussian distribution with the vertical direction as the 148 149 maximum likelihood (Fig 1d right).

150 To further test whether the world model on gravity, once established, is 151 encapsulated from visual experience and task context, we inverted the virtual 152 environment upside down with gravity's direction pointing upward, and then asked 153 the same group of participants to judge whether collapse trajectories were normal (Fig 1e, see Supplementary Movie S2). We found that the normality ratio also decreased 154 155 gradually as a function of θ (Fig. 1f, $\sigma = 17.2$; Extended Data Fig 3 for each 156 participant), which was not significantly different from that in the environment with gravity pointing downward. Indeed, each participant's σ in the upright condition was 157 158 in high agreement with the σ in the upside-down condition (r = 0.91, p < 0.01). That 159 is, the visual experience and task context apparently did not cognitively penetrate 160 humans' world model on gravity, suggesting that it is likely encapsulated as a 161 cognitive module.

How does the stochastic gravity's direction in the world model affect our inference on objects' stability? To answer this question, we recruited an independent group of participants to estimate the stability of 60 stacks of different configurations (Fig 2a), half of which were stable. During the experiment, the participants were required to judge how stable each stack was on a 0-7 scale without feedback, which was used to index their subjective sense about stacks' stability. Two world models

were constructed for comparison. One world model was equipped with a vertically 168 downward direction of gravity without any stochastic variance. This deterministic 169 model is intended to simulate how the stacks fell in the real world, and is therefore 170 171 called a natural gravity simulator (NGS) (Fig 2b top). The other model is the same as the NGS, except that the deterministic direction of gravity in the NGS was replaced 172 by the stochastic direction obtained from the previous psychophysical experiment. 173 This model is thus called the mental gravity simulator (MGS, Fig 2c top). Both 174 175 models were used to quantify the degree of stability by measuring the proportion of unmoved blocks after the collapse, where the proportion of unmoved blocks after the 176 177 simulation was used to estimate the stability of the stacks.

NGS-estimated stability was significantly correlated with participants' 178 subjective sense (Fig 2b bottom; r = 0.70, p < 0.01), consistent with previous findings 179 (Battaglia et al., 2013). However, the participants were more inclined to judge stacks 180 as more likely to collapse, as the dots in Fig 2b are more concentrated on the lower 181 side of the diagonal line. This phenomenon is referred to as the inference bias, which 182 183 was indexed as the difference in stability estimates between the participants and the NGS (inference bias = -0.31, p < 0.01) (see Methods). In other words, the participants 184 were unlikely to infer stacks' stability from simulations with a deterministic direction 185 186 of gravity pointing vertically downward. In contrast, the MGS randomly sampled pairs of (θ_s, φ_s) from the Gaussian distribution as gravity's directions 100 times, and 187 the estimated stability of a stack was the averaged stability of simulations with 188 different angle pairs. Aside from a similar magnitude of the correlation in the stability 189 estimates between the participants and the MGS (Fig 2c bottom; r = 0.75, p < 0.01), 190 the MGS, unlike the NGS, perfectly captured participants' judgment of stability 191 192 because the points were evenly distributed along the diagonal line (inference bias = 0.04, p > 0.05; see Extended Data Fig. 4 for the agreement when the MGS was 193 194 implemented with different Gaussian functions). In other words, the magnitude of the 195 correlation coefficients is not the only indicator to evaluate the model's fitness. In 196 short, the world model that represents gravity's direction as a Gaussian distribution around the vertical direction properly explains our tendency to judge stacks as more 197 198 prone to collapse.



201 Fig 2. Stability inference by the world model on gravity. a) An experiment to rate the stability of 202 stacks, half of which were stable and the other half unstable. b) Top: The procedure of the NGS to 203 estimate the actual stability of stacks by simulation, and for unstable stacks the stability was indexed by 204 the proportion of displaced blocks. Bottom: The correlation between the stability estimates of the 205 participant and those of the NGS. Each dot represents one stack, and the lines denote the standard 206 errors. c) Top: The procedure of the MGS, where the stability of a stack was estimated by averaging 207 the estimated stabilities from multiple simulations with different gravity directions sampled from the 208 Gaussian distribution. Bottom: The correlation between the stability estimates of the participant and 209 those of the MGS. d) Left: The illusion that taller objects are perceived as more unstable than shorter 210 ones. Right: The inference bias was indexed by the difference between the stability estimated by the 211 MGS and that estimated by the NGS. The larger the negative values, the more likely stacks were

unstable. The x-axis denotes the height of a stack containing ten blocks, where the height, length, and
width of each block were 1.2, 0.4, and 0.4, respectively. IB: inference bias. Error bar: standard error.

The stochastic world model illustrated by the MGS that led to participants' 215 inference bias may explain the daily illusion that we perceive taller objects to be more 216 217 unstable than shorter ones (Fig 2d left). An intuitive explanation from physics is that a tall object has a higher center of gravity, and thus an external perturbation makes it 218 219 more likely to collapse. Our stochastic world model, on the other hand, provides an 220 alternative explanation without introducing external perturbations, simply because 221 deviations from gravity's veridical direction are likely to accumulate with the height of the objects. To test this conjecture, we constructed a set of stacks with different 222 223 heights, and estimated the degree of stacks' stability with the MGS and the NGS, 224 respectively. Because the MGS was considered to be the world model implemented in 225 the brain, the inference bias here was calculated as the difference in stability estimates 226 between the MGS and the NGS, with negative values indicating a tendency to judge a 227 stable stack as an unstable one. Consistent with the inference bias found in humans, 228 the MGS found stacks of all heights to be more prone to collapse (Fig 2d right; 229 inference bias < 0, p < 0.01 for all heights). Critically, the bias increased 230 monotonically with increasing height, consistent with the illusion that taller objects 231 are considered more prone to collapse (see Extended Data Fig. 5 for the inference bias 232 when the MGS was equipped with different levels of deviation). In short, the 233 stochastic world model on gravity provides a more concise explanation for the daily 234 illusion that taller objects are perceived as more likely to collapse, without assuming 235 external perturbations.

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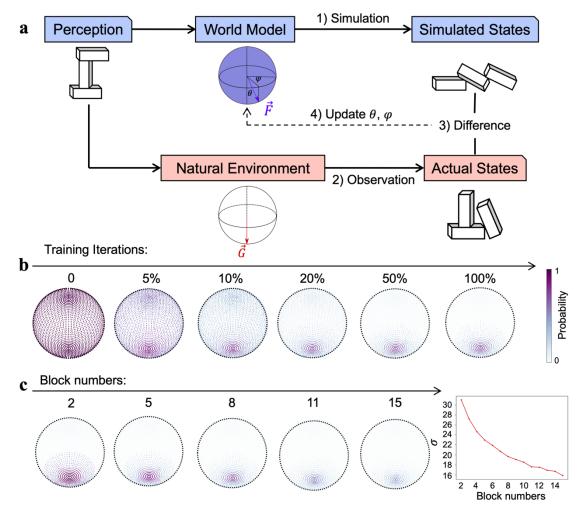
237 The origin of the stochastic feature of the world model

A deterministic model that combines gravity's veridical direction with external
perturbations, such as an external force or perceptual uncertainty (Allen et al., 2020;

240 Battaglia et al., 2013; Lake et al., 2017; Smith & Vul, 2013), is theoretically

- 241 equivalent to our stochastic model that represents gravity's direction in a Gaussian
- 242 distribution; therefore, it also fits well with humans' inference on stability by fine-
- tuning the parameters of external perturbations. Although both the cognitive
- 244 impenetrability and the self-consistency without resorting to an external perturbation

found in our study favor the stochastic model over the deterministic one, more direct 245 246 evidence comes from the origin of the stochastic feature of the world model. 247 Because our intelligence emerges and evolves under the constraints of the 248 physical world, the stochastic feature may emerge as a biological agent interacts with the environment, where the mismatches between external feedback from the 249 250 environment and internal expectations from the world model are in turn used to fine-251 tune the world model (Friston et al., 2021; MacKay, 1956; Matsuo et al., 2022). To 252 simulate this process, here we designed a reinforcement learning (RL) framework to model this interactive process to illustrate how the world model on gravity evolves 253 254 (Fig 3a). Specifically, an agent perceived a stack in the environment, which was then acted upon by a simulated gravity with direction parameters (i.e., θ and φ) sampled 255 from a spherical direction space. The initial probabilities for the sampling directions 256 were identical (Fig 3b, left). The final state of the stack served as the agent's 257 expectation under the effect of the simulated gravity. The mismatch between the 258 expectation and the observed final state of the stack under the natural gravity was 259 used to update the sampling probability of the direction space, with a larger 260 261 discrepancy leading to a larger decrease in probabilities through RL. Within this RL 262 framework, we constructed 100,000 stacks of 2 to 15 blocks to train the world model on gravity. As the training progressed, the probabilities of the direction space 263 264 gradually converged downward (Fig 3b, middle; see Extended Data Fig. 6 for the 265 training trajectory). Although gravity's direction in the environment was vertical, the 266 distribution of updated probabilities in the direction space was gradational ($\sigma = 21.6$; 267 Fig 3b, right), which is close to gravity's direction represented in the world model derived from the psychophysics experiment on human participants. Therefore, the 268 269 world model representing gravity's direction in a Gaussian distribution can emerge 270 automatically as the agent interacts with the environment, without the need for any 271 external perturbation.



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274 Fig 3. The origin of the stochastic feature of gravity's direction. a) The reinforcement learning 275 framework, which updated gravity's direction (θ, φ) of the world model by minimizing the difference 276 between the expectation from the internal simulation (i.e., simulated states) and the observation from 277 the physical world (i.e., actual states). b) Gravity's directions, which were uniformly distributed on the 278 spherical surface, gradually converged downward as the training progressed, and eventually stabilized 279 in a Gaussian distribution with the vertical direction as the maximum likelihood. Color denotes the 280 probability of a parameter pair being adopted as gravity's direction. c) Left: World models constructed 281 by reinforcement learning when stacks in the physical world were composed of different numbers of 282 blocks ranging from 2 to 15. Right: The variance of the Gaussian distribution, illustrated by the width 283 of the distribution of gravity's direction on a spherical surface, monotonically decreased as the number 284 of blocks in the stacks increased.

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To further illustrate the idea that the environment constrains the form of intelligence, we systematically manipulated the appearance of the physical world while holding the natural gravity constant. Specifically, we constructed 14 worlds, each containing stacks of the same number of blocks, but with different configurations. The number of blocks ranged from 2 to 15. We trained the world

model on gravity under the same RL framework for each world, and found that all 291 292 world models represented gravity's direction in a Gaussian distribution (Fig 3c left; see Extended Data Fig. 7 for all world models). However, the width of the 293 294 distribution, indexed by the parameter of σ , decreased monotonically as the number of 295 blocks increased (Fig 3c right). This phenomenon was shown because in general 296 stacks containing more blocks were more likely to be affected by forces whose 297 directions were not perpendicular to the ground surface, which provided more 298 information about gravity, and thus resulted in a more accurate representation of gravity's direction in the world model. In short, the world model on gravity resonates 299 300 with not only the physical law governing the environment, but also the specific 301 regularities of the environment the agent encountered.

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303 The ecological advantage of the stochastic world model

304 When passing a cliff face, we have to be constantly aware of the stability of the rocks 305 on the cliff. The ideal response would be both accurate and fast, but accuracy and speed are often difficult to achieve simultaneously. Here we investigated how the 306 307 world model on gravity balances these two factors with its stochastic feature. To answer this question, we used a linear classifier (i.e., logistic regression) to model 308 309 humans' decision-making behavior at different stages of the mental simulation. Specifically, we collected all the position coordinates of a stack's blocks at different 310 311 stages of the simulation. The position difference between the intermediate states of 312 the stack and the initial state provides information about the stability of the stack. For 313 example, a stable stack should have no difference in the positions of the component 314 blocks at all simulation stages, and an unstable stack should have a gradually 315 increasing position difference. If the linear classifier detected the difference in 316 positions sufficient for the classification at any stage, it classified the stack as unstable, otherwise stable (Fig 4a). The classification accuracy gradually increased as 317 318 the simulation progressed until it reached the asymptote.

As expected, for the NGS (i.e., the world model with the deterministic direction of gravity), the accuracy at the plateau was close to 100% (95.3% on average, Fig 4b top red box), significantly higher than that for the MGS (80.1% on average, Fig 4b top blue box) (t = 19.59, p<0.001), simply because of the stochastic feature of gravity's direction. However, the MGS reached the plateau of decision

accuracy much faster than the NGS (response time, indexed by the ratio between the 324 325 time to reach the plateau and the time to reach the final stage: 27.1% vs. 75.2%, t = 15.58, p < 0.001) (Fig 4b middle). The same pattern was also observed with different 326 327 variances of the Gaussian distribution (Extended Data Fig. 8). That is, the stochastic world model prioritized speed over accuracy, echoing the basic principle of survival: 328 329 fleeing potential danger as quickly as possible, rather than making a perfect decision 330 with a dreadful delay. In addition, by integrating the prediction accuracy and the response time as a measure of efficiency, we found that the stochastic world model 331 332 provided a better balance between accuracy and speed, with an efficiency significantly higher than that provided by the NGS (3.49 vs. 1.32, t = 9.12, p < 0.001; 333 334 Fig 4b bottom).

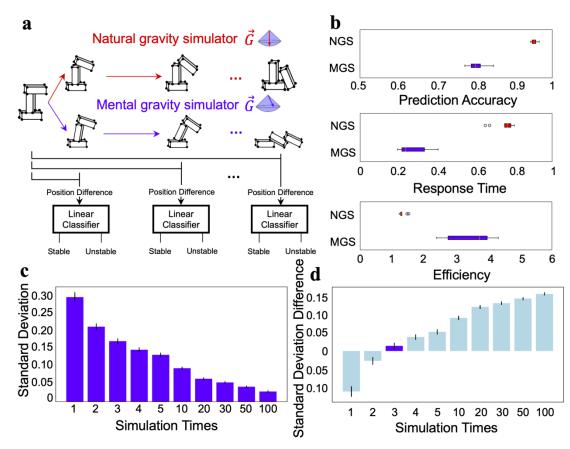




Fig 4. The ecological advantage of the stochastic feature. a) Illustration that modeled humans'
decision-making behavior at different stages of the mental simulation using the NGS and MGS. b) The
decision of the linear classifier based on the simulation of the MGS was less accurate than that of the
NGS (top), but the decision was made faster in the MGS than in the NGS (middle). The MGS was
more efficient than the NGS in combining accuracy and speed (bottom). c) The relationship between
the number of simulations and the variance of the estimated stability. d) The difference in the variance

of the estimated stability between the participants and the MGS. The difference was minimal when theMGS ran the simulation three times. Error bar: standard error.

345

346 On the other hand, if time permits, multiple simulations with the MGS can

347 significantly reduce the variance introduced by the stochastic representation of

348 gravity's direction (Fig 4c). To explore whether humans adopted this strategy of

349 performing multiple simulations before making a decision, we ran simulations with

- 350 the MGS at different numbers of times and then matched them with humans'
- 351 performance. We found that the variance of humans' inference on stability best
- 352 matched that of the MGS after three simulations (Fig 4d; see Extended Data Fig. 9 for
- 353 the model-behavior correspondence under different numbers of simulations).
- 354 Therefore, humans are likely to run simulations a limited number of times to infer

355 stacks' stability.

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360 **Discussion**

In this study, we investigated how the physical law of gravity is embodied in the brain 361 as a world model that guides inferences on objects' stability. A series of 362 psychophysics experiments showed that the world model on gravity is not a faithful 363 364 replica of the physical world, but rather a stochastic model that captures the essence of the vertically downward direction of gravity as the maximum likelihood of a 365 366 Gaussian distribution. The stochastic feature of the world model not only fits humans' stability inference behavior better than the deterministic model, but also provides new 367 368 insight into the daily illusion that taller objects are perceived as more likely to collapse. We further illustrated how the stochastic feature evolved through 369 370 interactions with the environment using reinforcement learning, and well-balanced accuracy and speed to produce a unique ecological advantage for our survival in the 371 physical world. 372

373 About 300 years ago, the philosopher Immanuel Kant proposed the intuition of 374 space and time as *a priori* knowledge in the mind for us to understand the physical 375 world (Kant, 1781), but only until recently have researchers investigated how the intuition is implemented in the brain as intuitive physics (Kubricht et al., 2017; 376 377 McCloskey, 1983). In the Noisy Newtonian Framework, intuitive physics is depicted 378 as a combination of Newtonian physics and uncertainty generated by noise (Battaglia 379 et al., 2013; Kubricht et al., 2017; Sanborn et al., 2013). The introduction of uncertainty helps to reconcile the misconception occurring under unfavorable 380 381 conditions, such as unfamiliar events or static scenes (Kaiser et al., 1986, 1992; Kim 382 & Spelke, 1999; McCloskey, 1983; Smith & Vul, 2013), which was once thought to 383 support Aristotelian physics (DiSessa, 1982; Halloun & Hestenes, 1985). The noise in previous studies was thought to originate from sources such as perceptual uncertainty 384 or external perturbations of forces, rather than from the intuitive physical engine 385 386 itself, which is thought to be a deterministic system. Our study extends these deterministic models by showing a stochastic world model that the noise instead came 387 388 from the representation of gravity's direction under Gaussian distribution. The 389 inherent stochastic feature of gravity's direction did not need to rely on external noise 390 to explain the illusory instability of taller objects. In addition, it was also confirmed by the cognitive impenetrability of the Gaussian distribution of gravity's direction 391 when gravity's direction in the physical world was reversed (Pylyshyn, 1980). 392

With a reinforcement learning framework, we further demonstrated a possible 393 394 origin of the stochastic feature of the world model through interactions with the physical world. In contrast to summarizing statistical patterns from the experience 395 396 (Bear et al., 2021; Li et al., 2016; Zhang et al., 2016), this framework was designed to 397 simulate how an agent constructed the world model on gravity through agent-398 environment interactions. Specifically, a world model with undifferentiated directions 399 of gravity generated a prediction on the stability of an object, and the mismatches 400 between the prediction and the observation of the object from the physical world were 401 used to fine-tune the distribution of the directions in the world model. This process is similar to how humans update their internal knowledge by comparing simulated 402 expectations (Hegarty, 2004; Ullman et al., 2017) with actual observations 403 (Baillargeon, 1994, 2004; Kotovsky & Baillargeon, 2000). After several generations 404 of error minimization, a Gaussian distribution of gravity's direction with the vertically 405 406 downward direction as the maximum likelihood was similar to that observed in the human world model. Interestingly, when the physical worlds that the agent interacted 407 408 with changed their appearance with stacks of different heights, the world models maintained their general patterns, but the stochastic representation of gravity's 409 410 direction changed accordingly. This finding not only demonstrates the robustness of 411 the active inference (Hegarty, 2004; Ullman et al., 2017), which efficiently encodes critical features under different physical worlds, but also resonates with the idea that 412 intelligence develops under the constraints of the physical world. Taken together, the 413 414 finding from the RL framework implies that the world model on gravity in humans may also be constructed in the same way, possibly through the mechanism of the 415 416 predictive coding in a generative process (Friston, 2018; Huang & Rao, 2011). Our world model on gravity provides an example of the world model theory 417 that emphasizes the predictive nature of generative neural networks implemented with 418 a priori knowledge of the physical world (Friston et al., 2021; Land, 2014; Matsuo et 419

420 al., 2022). In contrast to traditional discriminative neural networks that learn statistical

- 421 patterns for stability from gigantic amounts of labeled stacks, generative models
- 422 equipped with the physics laws governing the physical world rely much less on
- 423 experience. Importantly, the stochastic feature of the model further enhances the
- 424 efficiency by balancing accuracy and speed, which improves our chances of better
- 425 survival (Cosmides & Tooby, 1997) and adaptation to novel environments (e.g.,
- 426 astronauts in outer space (Wang et al., 2022)). Indeed, the close link between human

- 427 cognition and the physical world through interaction may shed light on the
- 428 development of a new generation of AI with human-like intelligence that can work
- 429 flexibly in open-ended environments (Marcus, 2018, 2020).
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435 Methods

436 Creating stacks with different configurations

437 We designed a block-stacking procedure in a physical simulation platform (PyBullet)

438 to generate stacks with different configurations. All stacks used in this study were

439 generated using this procedure with the same parameters listed below.

440 The block-stacking procedure includes three steps (Extended Data Fig. 1a): (1) 441 defining the designated area, (2) stacking blocks, and (3) fine-tuning block positions. The first step is to designate a restricted place area. All blocks of a stack were 442 443 required to place within the designated area. The designated area controls the 444 aggregation level of blocks, with a small area clustering blocks closer than a large area. The designated area is determined by two horizontal parameters x and y, which 445 separately represent the size of the area in two horizontal directions. Therefore, when 446 the block number is fixed, a smaller area in general constructs a higher stack. After 447 designating the area, in step two we stacked blocks in random horizontal positions 448 449 within the area one by one. If no block was positioned under a new block, the new block would be directly placed on the ground; otherwise, it would stack on the 450 451 positioned block. The horizontal position of each block was independently sampled 452 from a uniform distribution, with lower and upper bounds being -x and +x, or -y and +y separately (x and y were all independently sampled from a uniform distribution 453 454 U(0.2, 2.0)). The first two steps allow us to generate a large number of configurations within the designated area, which is the only restriction of the block-stacking 455 456 procedure. To better control the physical stability of each stack, in step three we fine-457 tuned blocks in the stack by adjusting overlaps between every neighboring one, which was randomly sampled from a uniform distribution U(0.2, 0.8). Smaller overlap 458 459 between neighboring blocks is more likely to construct unstable stacks, whereas more 460 extensive overlap results in more stable stacks. The overlap of neighboring blocks without contact is set to 0. Note that the overlap between neighboring blocks is not the 461 only factor determining a stack's stability, and step three is used to generate stacks 462 463 without consuming too many computational resources.

The size of each block has a 3D aspect ratio of 3:1:1 (length: width: height), with an arbitrary unit of 1.2:0.4:0.4. This constitutes three types of blocks (length, width, or height is 1.2, respectively, see Extended Data Fig. 1b). Each block of a stack was randomly selected as one of the three types of blocks. The mass of each block is

set to 0.2 kg, and the friction coefficients and the coefficients of restitution between

469 blocks are set to 1 and 0, respectively.

470

471 Estimating the stability of a stack

472 The stability of a stack was obtained by a rigid-body forward simulation under the

- 473 natural gravity environment (i.e., natural gravity simulator, NGS). The direction of the
- 474 natural gravity points downward (i.e., $\vec{G} = (0, 0, -9.8)$), and all blocks of a stack are
- 475 affected by the same gravity. Gravity is the only factor for changing the state of each
- 476 block, and no external force is added during the simulation. Within each simulation,
- 477 we recorded 500 simulation stages. In each stage, the center position of each block
- 478 was collected to measure the stability of the stack. If the position of any block does
- 479 not change during the simulation, the stack is considered stable, otherwise unstable.
- 480 We formulate the stack's state according to the below criteria:

Stable:
$$\forall t \land \forall m, |P_{tm} - P_{0m}| < \varepsilon$$

Unstable: $\exists t \lor \exists m, |P_{tm} - P_{0m}| > \varepsilon$ (1)

- 481 Where t is a simulation stage, m is the block number of a stack, P_{tm} is the position of
- 482 the block m at stage t, and ε is the just noticeable difference (i.e., j.n.d) of the
- 483 perception, which is set to 0.01.
- 484 The stability of a stack is further calculated by measuring the proportion of 485 displaced blocks, which is formulated as the following,

$$Stability = \frac{\sum_{m=1}^{M} \mathbb{I}(|P_{Tm} - P_{0m}| < \varepsilon)}{M}$$
(2)

- 487 simulation (i.e., T = 500). $\mathbb{I}(\cdot) = 1$ when $|P_{Tb} P_{0b}| < \varepsilon$, which denotes that the 488 stack is stable.
- 489

490 Measuring participants' sensitivity to gravity's direction

491 We decomposed gravity's direction into three independent components (Fig. 1b).

$$G_{x} = g \sin \theta \cos \varphi$$

$$G_{y} = g \sin \theta \sin \varphi$$

$$G_{z} = g \cos \theta$$
(3)

- 492 Where g is the magnitude of gravity (g = 9.8), which was fixed in this study. θ
- 493 represents the vertical component, φ represents the horizontal component, and x, y,

494 and z are three mutually perpendicular axes. The direction of the gravity was 495 determined by the angle pair (θ, φ) , where θ affects the extent of the collapse, and φ 496 affects the orientation of the collapse. When θ is 0, gravity's direction is vertical.

We performed a psychophysics experiment to measure humans' sensitivity to
gravity's direction. In this experiment, 10 participants (5 female, age range: 21-28)
from Tsinghua University were recruited to finish four runs of the behavioral
experiment, which measured their ability to detect the abnormality of stacks' collapse
trajectories. The experiment was approved by the Institutional Review Board of
Tsinghua University, and informed consent was obtained from all participants before
the experiment.

The collapse trajectory of a stack was solely determined by gravity with 504 different directions, where larger values of θ and φ made the trajectories more 505 506 abnormal. A pilot experiment showed that almost all θ_s greater than 45 degrees made the collapse trajectory abnormal to most participants, and therefore in the experiment, 507 θ ranges from 0 to 45 degrees with a step of 3 degrees. φ ranges from 0 to 360 508 degrees with a step of 24 degrees. Therefore, θ and φ consists of 16 values, 509 respectively, which were randomly combined into 96 pairs of (θ, φ) with each value 510 511 repeating 6 times in each run. In a trial, an unstable stack was constructed, and then the camera rotated one circle to show the 3D configuration of the stack to participants 512 513 (Supplementary Movie S1). The configuration was randomly selected from a dataset 514 with more than 2,000 unstable stacks, which was generated with the block-stacking procedure before the experiment. Each stack in the database was constructed with 10 515 516 blocks, and the color of each block was randomly rendered. There was a 1-sec delay 517 after the rotation, during which the participants were instructed to infer the collapse trajectory based on the configuration. Then, simulated gravity with a direction 518 519 determined by an angle pair (θ, φ) was applied to the stack, and the stack started to 520 collapse. If the collapse trajectory met participants' expectations, they were instructed to choose 'Normal,' otherwise 'Abnormal'. Once the judgment was made, the 521 522 subsequent trial started immediately. Each trial lasts about 10 seconds, taking 16 523 minutes for a run.

524 In addition, to test if participants' sensitivity to gravity's direction is
525 encapsulated from visual experience and task context, we flipped gravity's direction

upside down by inverting the camera's view, and the rest procedure remained thesame.

528 To calculate participants' sensitivity to gravity's direction, we converted their 529 behavioral judgment into normality ratio, which is the percentage that a trajectory was 530 judged as normal, which was calculated as below:

$$Ratio_{\theta,\varphi} = \frac{n_{\theta,\varphi}}{N_{\theta,\varphi}} \tag{4}$$

531 Where $n_{\theta,\varphi}$ is the number of trajectories that were judged as 'Normal' with the angle 532 pair (θ, φ) , $N_{\theta,\varphi}$ is the total number of trajectories with the same angle pair. Because 533 the angle pairs tested were a subset of all possible angle pairs, we used the average 534 ratio along φ as the ratio of angle pairs untested (Fig. 1c) to acquire each participant's 535 tuning curve. Finally, we calculated participants' sensitivity by fitting their normality 536 ratios at different θ to a Gaussian distribution.

$$Ratio_{\theta} = Ae^{-\frac{\theta^2}{2\sigma^2}}$$
(5)

537 Where $Ratio_{\theta}$ is the normality ratio of θ , which was calculated by averaging the 538 normality ratio along all φ_s , A is the magnitude of the gaussian curve, σ is the 539 variance of the Gaussian curve. The best-fitted σ was used to index participants' 540 sensitivity to gravity's direction, and a larger σ indicates a lower sensitivity.

541

542 Measuring participants' ability on stability inference

543 Another group of 11 participants (5 female, age range: 21-32) from Tsinghua University completed a behavioral experiment for judging the stability of 60 stacks. 544 The experiment was approved by the Institutional Review Board of Tsinghua 545 University, and informed consent was obtained from all participants before the 546 547 experiment. One male participant (age: 25) was excluded from further analyses 548 because his judgment showed an extremely weak correlation with the actual stability 549 of stacks ($r_s < 0.30$ for all experimental runs), as compared to the rest of the 550 participants.

The stacks contained 26 unstable and 34 stable stacks, which were randomly interleaved in each run. The participants were instructed to judge stacks' stability on an 8-point Likert scale, with 0 referring to 'definitely unstable' and 7 to 'definitely stable.' There was no feedback after each judgment. The participants completed six runs, within which the same group of stacks was presented but the sequence, blocks'

556 colors, and camera's perspective were all randomized. After the experiment, only two

557 participants reported that they suspected a few stacks were repeated in different runs,

558 but they could not locate the stacks they suspected. Besides, their behavioral

559 performance was not significantly different from other participants.

560 Participants' stability judgment was rescaled to 0 and 1 to match the scale of

the stacks' stability. The participants' inference bias (IB) was indexed as the

562 difference in stability judgment between the participants and the NGS, shown as

$$IB = Stability_{human} - Stability_{NGS}$$
(6)

563 Negative IB indicates that participants tended to consider a stable stack as an unstable564 one.

565

566 Estimating the stability of stacks based on the stochastic world model

567 on gravity

The actual stability of a stack can be calculated with a one-time simulation of NGS (\vec{G} 568 = (0, 0, -9.8)). In contrast, the stochastic nature of mental gravity requires a multiple-569 570 time simulation with different gravity's directions. Specifically, we first randomly 571 sampled several angle pairs (θ_s, φ_s) from the Gaussian distribution of gravity's 572 directions in humans. The distribution was the average of two distributions acquired from the real world (i.e., gravity's direction is downward) and the inverted world (the 573 574 direction is upward), with angles having larger normality ratios more likely being sampled. We then applied the simulated gravity with these sampled directions to the 575 576 stack, and used the averaged stability with these directions as the stability of the stack 577 estimated by the MGS. Similar to the IB between the participants and the NGS, the IB 578 between the MGS and NGS was calculated as

$$IB = Stability_{MGS} - Stability_{NGS} \tag{7}$$

Stacks of different heights were created to investigate whether the stochastic 579 580 world model on gravity results in the illusion that tall objects are considered less 581 stable than short ones. The height of a stack was correlated with the size of the 582 designated area, with a smaller area size corresponding to taller stacks. Therefore, we designated several square areas with different sizes. The side length of the squares 583 ranged from 0.2 to 2.0, with an increase of 0.1. For each square, we used the block-584 stacking procedure to generate 100 stable and 100 unstable stacks consisting of 10 585 blocks. The height of each stack was the height of the highest block. 586

587

588 Investigating the origin of the stochastic world model on gravity

A reinforcement learning (RL) framework was used to simulate the development of 589 the stochastic nature of the world model on gravity. To do this, we first created stacks 590 whose block number ranged from 2 to 15 with the block-stacking procedure, and 591 592 initialized a spherical force space, where θ ranged from 0 to 180 degrees and φ from 593 0 to 360 degrees. The spherical space covered all possible force directions, with the 594 initial probability of being sampled by the MGS identical. During the training, three angle pairs (θ_s, φ_s) were sampled according to the probability of the spherical space, 595 596 and then applied to a stack for simulating its collapse trajectory, which was divided into 500 stages. We optimized the sampling probability of gravity's direction by 597 598 comparing the estimated stability (i.e., expectation) with the actual stability (i.e., 599 observation) as a Q value, with a higher Q value suggesting that the sampled gravity's 600 direction more likely mismatched the actual gravity's direction. The Q value was 601 calculated as

$$Q = \frac{\sum_{m=1}^{M} \mathbb{I}(\left|P_{m,(\theta,\varphi)} - P_{m}\right| < \varepsilon)}{M}$$
(8)

602 Where $P_{m,(\theta,\varphi)}$ is the final position of block m with gravity's direction (θ,φ) , P_m is 603 the final position of block m with NGS, M is the block number of the stack, and the 604 j.n.d. ε is set to 0.01. The mismatch between the expectation and the observation was 605 used to update the sampling probability of the angle pair using a temporal difference 606 optimization

$$W_{\theta,\varphi} \leftarrow W_{\theta,\varphi} + \gamma(Q - W_{\theta,\varphi}) \tag{9}$$

607 Where $\gamma = 0.15$ as the learning rate. This process was iterated to update the sample 608 probability of angle pairs (θ_s, φ_s) until the training stopped. We prepared 100,000 609 configurations for the training.

610

611 Evaluating the ecological advantage of the model

To investigate how the world model on gravity balances response accuracy and speed,
we trained a linear classifier (i.e., logistic regression) to model humans' decisionmaking process at different simulation stages. During the simulation, the same stack

615 was separately simulated using the NGS and MGS, and we collected the position

616 coordinates of all blocks at each stage. Differences in the positions of the blocks

between the intermediate stage and the initial stage provided information about the
stability of a stack, with more displaced blocks suggesting the lower stack's stability.
As the simulation proceeded, differences in position gradually accumulated for
unstable stacks, otherwise unchanged for stable stacks. The linear classifier was
trained to judge whether a stack is stable with differences in position as inputs.

We used the block-stacking procedure to create stacks consisting of 2 to 10 blocks, and estimated their stabilities with the NGS for simulation in 500 stages. For each block number, there were 100 stable and 100 unstable stacks to train the linear classifier, and its prediction accuracy was measured with another group of 100 stable and 100 unstable stacks at every simulation stage.

The difference in positions of each block between the intermediate and initial 627 stages was used as the input of the linear classifier. Specifically, we collected all 628 629 vertex positions of a block during the simulation to acquire the difference in position, 630 which included 8 coordinate points for each block in each stage. We did not collect 631 the central position as previously used in the stability estimation, simply because it 632 did not provide information on the shape and size of the block. We separately 633 performed the simulation using the MGS and NGS, calculated the difference in position between the intermediate stage and the initial stage, and then flattened the 634 635 difference to generate 24 position features for each block (i.e., eight positions per block in three-dimensional space). Therefore, for a 10-block stack as an example, 636 there were 240 position features were prepared as the input of the linear classifier. 637

Prediction accuracy at each stage was estimated by evaluating whether a stack 638 tested was stable with the MGS or with the NGS. The highest accuracy in the whole 639 640 simulation stages was used as the prediction accuracy. Accordingly, the first 641 simulation stage to reach the maximum accuracy provided information on response speed: reaching the maximum accuracy with a smaller number of stages indicates the 642 classifier model accomplishes stability inference in a shorter amount of time (i.e., 643 644 quick response). Therefore, we measured the response speed by estimating the steps 645 to reach the accuracy plateau.

$$Time = \frac{\hat{t}}{T}$$

$$\hat{t} = \arg\max_{t} Accuracy_{t}$$
(10)

646 Where $Accuracy_t$ is the accuracy of stage t. \hat{t} is the stage that a linear classifier 647 acquires the maximum accuracy for the first time, T is the total stage number of each

- 648 simulation (T = 500). Higher values indicate longer response time (i.e., slower
- 649 response). Finally, the efficiency of the stability inference, which is the balance
- 650 between accuracy and speed, by dividing the prediction accuracy by the response
- 651 time.

$$Efficiency = \frac{Accuracy}{Time}$$
(11)

0.5

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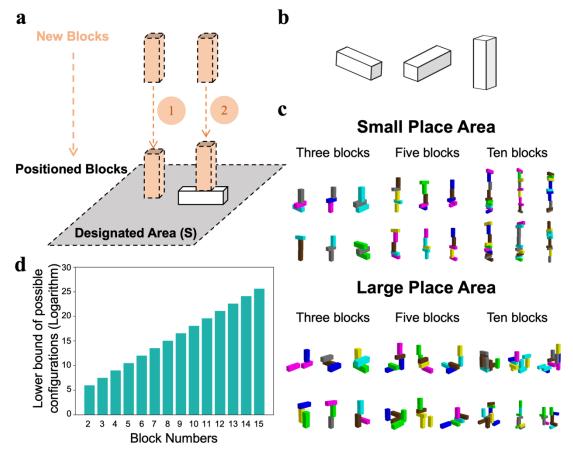
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- 778 Competing interests: Authors declare no competing interests.
- 779 Data and materials availability: All code and data underlying our study and
- 780 necessary to reproduce the results are available on Github:
- 781 <u>https://github.com/helloTC/GravityWorldModel</u>.
- 782
- 783

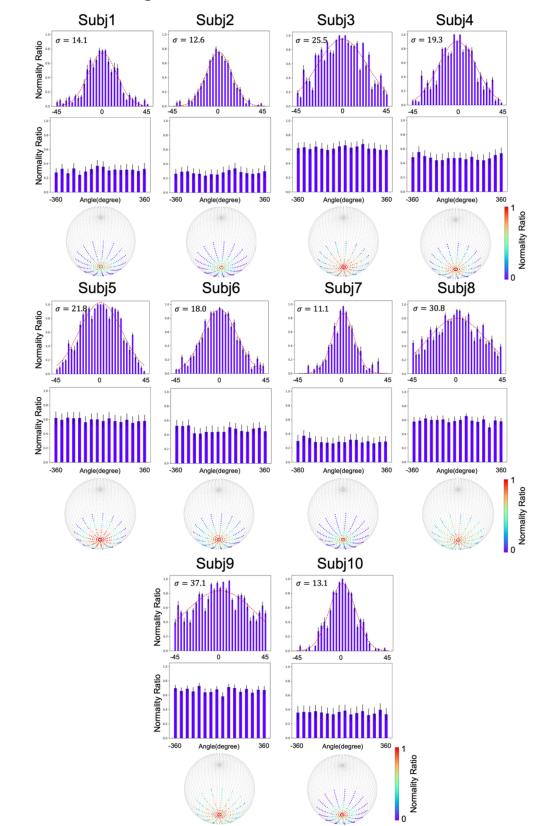
784 Extended Data Fig.1



785

Extended Data Fig. 1 Construction of stacks with different configurations. a) Illustration of the 786 787 block-stacking procedure to create stacks in different configurations. A configuration was constructed 788 by placing multiple blocks within a designated area. If there was no positioned block in the area, a new 789 block was placed on the ground; otherwise, it was placed on top of the positioned block. b) Three types 790 of blocks with an aspect ratio of 3:1:1. c) This procedure can create a large number of stacks with 791 different configurations within designated areas. Note that in small areas, the height of stacks was 792 taller. d) The lower bound of configurations' possible number showed an exponential relation with the number of blocks in a stack. The procedure can create at least 3.72×10^{19} configurations for stacks 793 794 consisting of 10 blocks. See the appendix for the estimation. 795 796

797



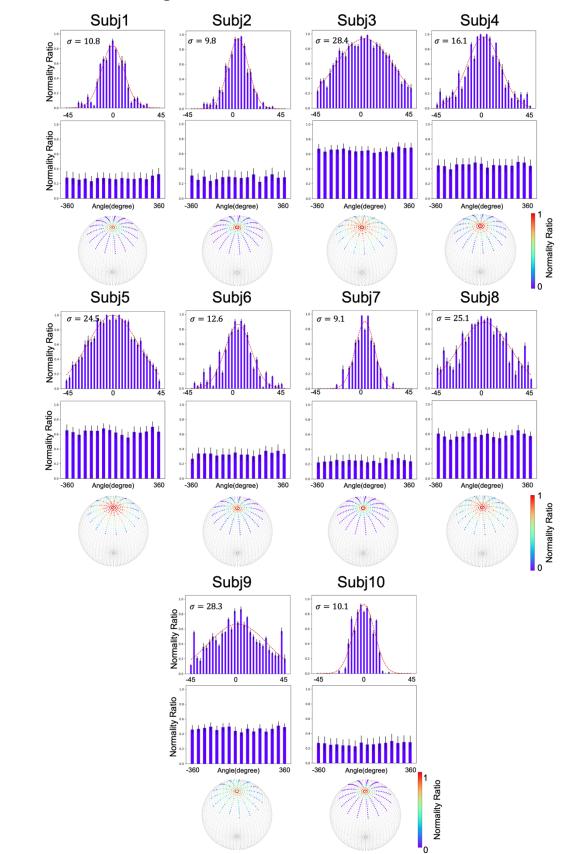
799 Extended Data Fig.2

800

801 Extended Data Fig. 2 The stochastic world model on gravity of each participant. The normality

802 ratios of θ followed a Gaussian distribution, with the variance ranging from 11.1 to 37.1. No stochastic

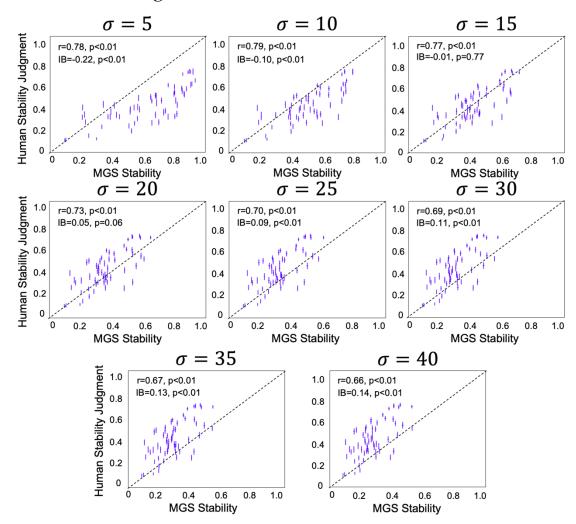
803 characteristic was observed in φ .



804 Extended Data Fig. 3

- 806 Extended Data Fig. 2 The stochastic world model on gravity of each participant when gravity's
- 807 direction was inverted. The normality ratios of θ also followed a Gaussian distribution, with the
- 808 variance ranging from 9.1 to 28.4, and no stochastic characteristic was observed along φ .

809



811 Extended Data Fig. 4

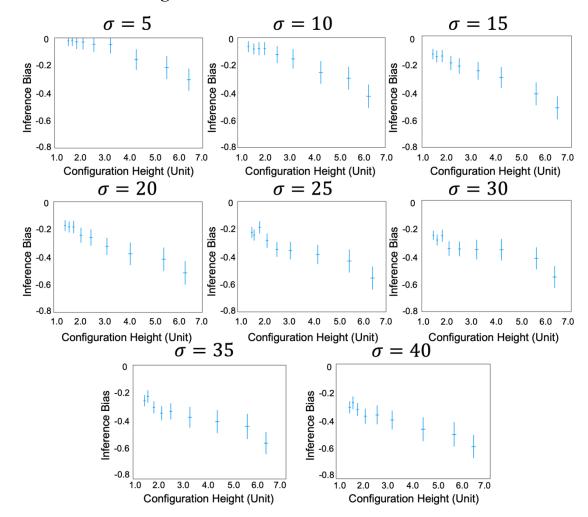


813 Extended Data Fig. 4 Relation between the stability estimated by the MGS stability and that by 814 participants when the world model was implemented with different Gaussian functions. Only 815 when the world model embodied Gaussian functions with intermediate variance (i.e., $\sigma \in (15,20)$) did 816 the stability estimated by the MGS match participants' stability inference. On the other hand, when the 817 variance was small, most points were positioned below the diagonal line, indicating the model

considered stacks more stable in general as compared to participants' judgment. When the variance waslarge, the model considered stacks less stable. Note that all models showed high correlation coefficients

820 regardless of the bias. In other words, the magnitude of the correlation is not the sole indicator to

- 821 evaluate the fitness of the model. IB: inference bias.
- 822
- 823



824 Extended Data Fig. 5

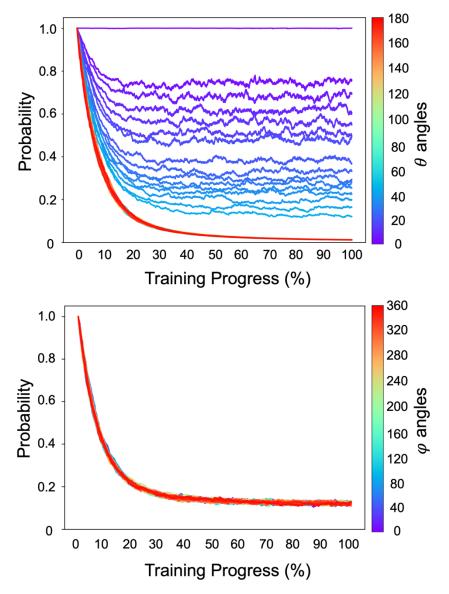


826 Extended Data Fig. 5 Height illusion of stability inference when the world model was implemented

827 with different Gaussian functions. The illusion that tall objects are considered more unstable than

short ones manifests at all levels of variances of Gaussian functions, with larger variance leading to astronger illusion.

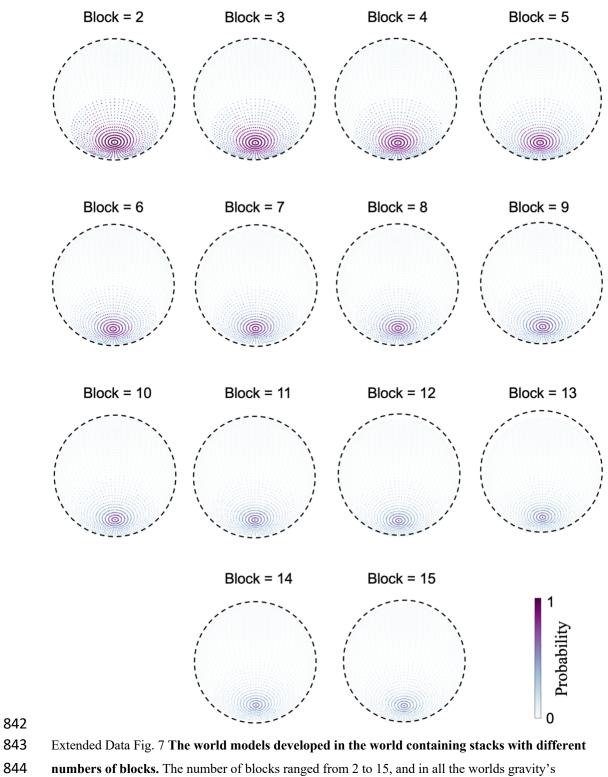
831 Extended Data Fig. 6



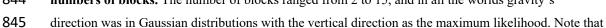
832 833

Extended Data Fig. 6 The developmental trajectory of θ (Top) and φ (Bottom) angles. Sampling probabilities of θ angles gradually decreased during reinforcement learning, with the probabilities from smaller θ angles having a lower decrement tendency. The probability of θ without any deviation (i.e., $\theta = 0$) keeps unchanged. Probabilities of all θ angles finally reached convergence after about 50% training progress. Different from θ angles, sampling probabilities of the φ angles dropped evenly.

839

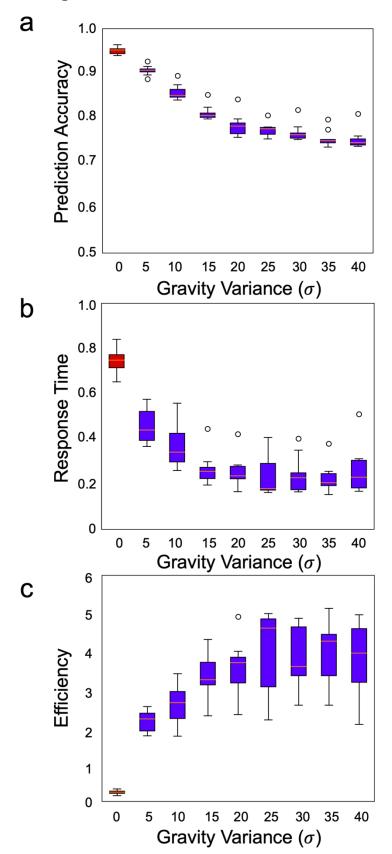


841 Extended Data Fig. 7

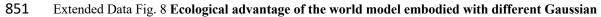


- the world with stacks consisting of more block numbers led to smaller variances in the Gaussian
- 847 function.
- 848

849 Extended Data Fig. 8



850

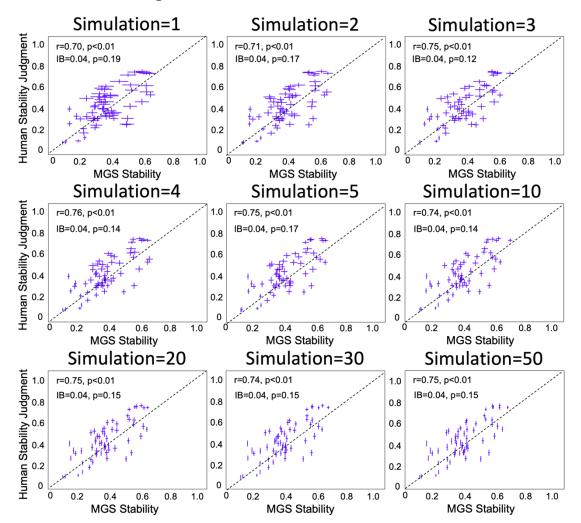


852 functions. a) Prediction accuracy decreased when the variance of the Gaussian function increased, and

- 853 reaches an asymptote of 0.75. b) Response time decreased as the variance increased, and reached an
- asymptote of 0.20. c) The prediction accuracy and response time was combined as a measurement for
- 855 efficiency, which gradually increased monotonically as the function of the variance until an asymptote
- 856 of 4. Red box: the world model embodied no stochastic characteristic (i.e., the deterministic model);
- 857 Blue box: the world model with different levels of variances. Error bar: standard error.

858

859 Extended Data Fig.9



860

861 Extended Data Fig. 9 The relation between the number of simulations and the variance of stability
862 inference. The simulation showed that the variance of stability inference decreased with the number of
863 simulations. Note that the variance in the world model observed in participants best matched the
864 variance when the simulation of the MGS was conducted three times.

- 865
- 866

Appendix: Estimate the lower bound of the possible number ofconfigurations

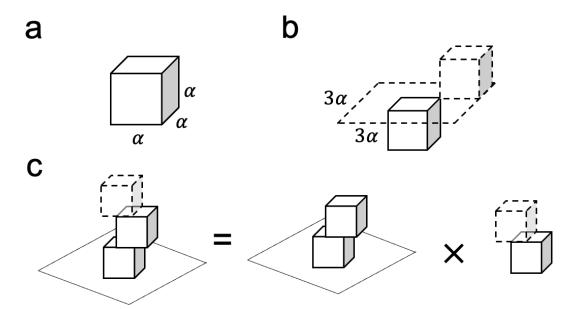
A configuration is a structure composed of several contact blocks. To simplify the
computation of estimating the number of possible configurations, here we constrained
the shape of blocks and the position where the blocks were placed.

872 *The shape constraint*: the blocks used to form a configuration are all uniform
873 rectangular blocks with the same aspect ratio.

874 *The position constraint*: only one block is allowed to be placed on the same
875 layer of the configuration.

876 Thus, the problem is then simplified to estimate the possible number of 877 configurations when only one rectangular block with the aspect ratio of α : β : γ (i.e., 878 **the shape constraint**) is allowed to place in one layer (i.e., **the position constraint**). 879 Note that the constraints significantly reduce the number of estimated configurations.

880 We illustrated our solution by starting with a simple case: the aspect ratio of 881 blocks is α : α .



883 884

882

Appendix Fig 1. An illustration of the procedure to estimate the possible number of configurations when blocks have an aspect ratio of α : α : α . (a) the cubic block with the length, width and height are α . (b) Constructing a configuration by stacking two cubic blocks. The upper block could only be placed within a $3\alpha \times 3\alpha$ area to guarantee contact with the lower block. (c) A three-block configuration can be viewed as stacking a cubic block on a two-block configuration.

889

890 The condition when the aspect ratio of blocks is α : α : α

891 The block with the aspect ratio of α : α : α is a cube (Appendix Fig 1a). The side length of the cube is defined as α . Consider a configuration with two stacking 892 blocks, the upper block needs to be placed in a $3\alpha \times 3\alpha$ area to ensure contact with 893 894 the bottom block (Appendix Fig 1b). To estimate the possible number of this simple 895 situation, we defined a visual acuity v, which is the minimum resolution to distinguish two stacks (i.e., j.n.d.). Note that v is a small value and here we set it as v = 0.01 to 896 897 match the minimal position difference for stability estimation in the simulation 898 platform (please see Methods). Therefore, the possible number of the configuration containing two cubic blocks is 899

$$N_{C2} = \left(\frac{2\alpha}{v}\right)^2 \tag{1}$$

900 Where N_{C2} indicates the possible number of configurations containing two cubic 901 blocks.

We further consider the situation with more cubic blocks. For a stack that
contains three cubic blocks, it can be viewed as placing a cubic block on a two-block
stack (Appendix Fig 1c). Therefore, the total possible number of configurations is the

905 multiplication of two two-block configurations, which is formulated as

$$N_{C3} = N_{C2} \times N_{C2} = N_{C2}$$

906 Similarly, the possible number of configurations for stacks containing four cubic907 blocks is

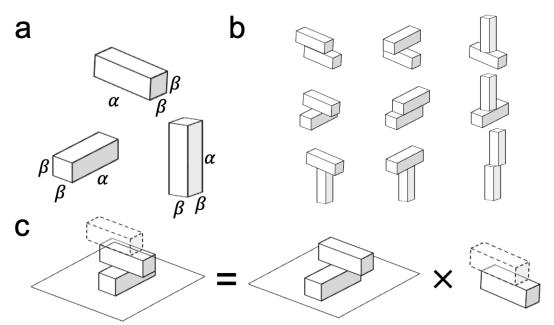
$$N_{C4} = N_{C3} \times N_{C2} = N_{C2}{}^3$$

908 Accordingly, the possible number of configurations with M cubic blocks is

$$N_{CM} = N_{C(M-1)} \times N_{C2} = \dots = N_{C2}^{M-1} = \left(\frac{2\alpha}{\nu}\right)^{2M-2}, M \ge 2$$
 (2)

909 Now, we have introduced the basic idea of calculating the number of 910 configurations using a block with an α : α : α aspect ratio as a special case. Then we 911 generalized the idea to estimate the possible number when the block is rectangular 912 with the aspect ratio as α : β : β .

913





915 Appendix Fig 2. An illustration of the procedure to estimate the possible number of configurations 916 when blocks have the aspect ratio of $\alpha: \beta: \beta$. (a) Three types of rectangular blocks with an aspect ratio 917 of $\alpha: \beta: \beta$. (b) There are nine possible two-block configurations when combining blocks with an aspect 918 ratio of $\alpha: \beta: \beta$. (c) A three-block configuration could be viewed as stacking a cubic block on a two-919 block configuration.

920

921 The condition when the aspect ratio of blocks is α : β : β

922 A block with the aspect ratio of $\alpha: \beta: \beta$ has three types, corresponding to the 923 sides of length, width and height are α and the rest sides are β ($\alpha: \beta: \beta, \beta: \alpha: \beta$, and 924 $\beta: \beta: \alpha$; see Appendix Fig 2a). For simplicity, we label the three basic blocks as A, B 925 and C. The three types of blocks can generate 9 (i.e., 3²) two-block configurations in 926 total (Appendix Fig 2b). We calculate each of the possible numbers of two-block

927 configurations below.

$$N_{R2} = \begin{bmatrix} N_{AA} & N_{AB} & N_{AC} \\ N_{BA} & N_{BB} & N_{BC} \\ N_{CA} & N_{CB} & N_{CC} \end{bmatrix}$$

$$= \frac{1}{v^2} \begin{bmatrix} 4\alpha\beta & (\alpha+\beta)^2 & 2\beta(\alpha+\beta) \\ (\alpha+\beta)^2 & 4\alpha\beta & 2\beta(\alpha+\beta) \\ 2\beta(\alpha+\beta) & 2\beta(\alpha+\beta) & 4\beta^2 \end{bmatrix}$$
(3)

928 The possible number of configurations for stacks containing two rectangular 929 blocks with the aspect ratio of $\alpha: \beta: \beta$ is

$$N_{R2} = \sum N_{R2} \tag{4}$$

For a configuration containing three blocks, it can be viewed as a block
stacked on a two-block stack (Appendix Fig 2c). Therefore,

$$N_{R3} = N_{\cdot \cdot A} + N_{\cdot \cdot B} + N_{\cdot \cdot C}$$
(5)

- 932 Where $N_{..A}$ indicates the possible number when block A stacked at the upper layer,
- and each term can be expanded as below.

$$N_{\cdot\cdot A} = N_{\cdot A} \times N_{AA} + N_{\cdot B} \times N_{BA} + N_{\cdot C} \times N_{CA}$$

$$N_{\cdot\cdot B} = N_{\cdot A} \times N_{AB} + N_{\cdot B} \times N_{BB} + N_{\cdot C} \times N_{CB}$$

$$N_{\cdot\cdot C} = N_{\cdot A} \times N_{AC} + N_{\cdot B} \times N_{BC} + N_{\cdot C} \times N_{CC}$$
(6)

934 Combining equations (4), (5) and (6), we have

$$N_{R3} = \sum \left(\begin{bmatrix} N_{\cdot A} & N_{\cdot B} & N_{\cdot C} \end{bmatrix} \times \begin{bmatrix} N_{AA} & N_{AB} & N_{AC} \\ N_{BA} & N_{BB} & N_{BC} \\ N_{CA} & N_{CB} & N_{CC} \end{bmatrix} \right)$$

935 And

$$\begin{bmatrix} N_{.A} & N_{.B} & N_{.C} \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix} \times \begin{bmatrix} N_{AA} & N_{AB} & N_{AC} \\ N_{BA} & N_{BB} & N_{BC} \\ N_{CA} & N_{CB} & N_{CC} \end{bmatrix}$$

936 Therefore,

$$N_{R3} = \sum (N_{R2}^{2})$$
(7)

937 Following a similar logic, the possible number of configurations containing M blocks 938 with an aspect ratio of $\alpha: \beta: \beta$ is

$$N_{RM} = \sum (N_{R2}^{M-1}), M \ge 2$$
(8)

939

940 The aspect ratio of blocks is α : β : γ

941 We further generalize the problem by considering the aspect ratio of blocks as 942 $\alpha: \beta: \gamma$. This forms six different types: $\alpha: \beta: \gamma, \alpha: \gamma: \beta, \beta: \alpha: \gamma, \beta: \gamma: \alpha, \gamma: \alpha: \beta, \gamma: \beta: \alpha$, 943 for each type the three proportional values corresponding to length, width and height, 944 respectively. We label the six types of blocks as A, B, C, D, E, F, and G for 945 simplicity.

Following the similar logic as above, different types of blocks generated 36
(i.e., 6²) two-block configurations in total, and the possible number of each two-block
configuration is

$$\boldsymbol{N_{R2}} = \begin{bmatrix} N_{AA} & N_{AB} & N_{AC} & N_{AD} & N_{AE} & N_{AF} \\ N_{BA} & N_{BB} & N_{BC} & N_{BD} & N_{BE} & N_{BF} \\ N_{CA} & N_{CB} & N_{CC} & N_{CD} & N_{CE} & N_{CF} \\ N_{DA} & N_{DB} & N_{DC} & N_{DD} & N_{DE} & N_{DF} \\ N_{EA} & N_{EB} & N_{EC} & N_{ED} & N_{EE} & N_{EF} \\ N_{FA} & N_{FB} & N_{FC} & N_{FD} & N_{FE} & N_{FF} \end{bmatrix}$$

(9)

	[4αβ	$2\alpha(\beta + \gamma)$	$(\alpha + \beta)^2$	$(\alpha + \beta)(\beta + \gamma)$	$(\alpha + \gamma)(\alpha + \beta)$	$2\beta(\alpha + \gamma)$
	$2\alpha(\beta + \gamma)$	$4\alpha\gamma$	$(\alpha + \beta)(\alpha + \gamma)$	$2\gamma(\alpha+\beta)$	$(\alpha + \gamma)^2$	$(\alpha + \gamma)(\beta + \gamma)$
	$(\alpha + \beta)^2$	$(\alpha + \beta)(\alpha + \gamma)$	$4\alpha\beta$	$2\beta(\alpha + \gamma)$	$2\alpha(\beta + \gamma)$	$(\alpha + \beta)(\beta + \gamma)$
	$(\alpha + \beta)(\beta + \gamma)$	$2\gamma(\alpha+\beta)$	$2\beta(\alpha + \gamma)$	$4\beta\gamma$	$(\beta + \gamma)(\alpha + \gamma)$	$(\beta + \gamma)^2$
	$(\alpha + \beta)(\alpha + \gamma)$	$(\alpha + \gamma)^2$	$2\alpha(\beta + \gamma)$	$(\alpha + \gamma)(\beta + \gamma)$	$4\alpha\gamma$	$2\gamma(\alpha + \beta)$
	$2\beta(\alpha+\gamma)$	$(\alpha+\gamma)(\beta+\gamma)$	$(\alpha+\beta)(\beta+\gamma)$	$(\beta + \gamma)^2$	$2\gamma(\alpha+\beta)$	$4\beta\gamma$

949

950 The possible number of configurations for stacks with M blocks with an aspect 951 ratio $\alpha: \beta: \gamma$ is

$$N_{RM} = \sum (N_{R2}^{M-1}), M \ge 2$$
(10)

952 Therefore, we can estimate the possible number of configurations when only 953 one rectangular block with the aspect ratio of $\alpha: \beta: \gamma$ is allowed to place in each layer 954 using the formula (9) and (10).

955

Finally, in this study we chose blocks with an aspect ratio of 3:1:1 as building blocks for stacks whose stability was evaluated. Specifically, for stacks consisting of 10 blocks and j.n.d. of v = 0.01, the number of configurations can be estimated with formula (9), which is 3.72×10^{19} .

960 961

