**Flotillin-Associated rhodopsin (FArhodopsin), a widespread paralog**

**of proteorhodopsin in aquatic bacteria with streamlined genomes**

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**ABSTRACT**

Microbial rhodopsins are often found more than once in a single genome (paralogs) that often have different functions. We screened a large dataset of open ocean single-amplified genomes (SAGs) for co-occurrences of multiple rhodopsin genes. Many such cases were found among Pelagibacterales (SAR11), HIMB59 and the Gammaproteobacteria *Pseudothioglobus* SAGs. These genomes always had a *bona fide* proteorhodopsin and a separate cluster of genes containing a second rhodopsin associated with a predicted flotillin coding gene and have thus been named flotillin-associated rhodopsins (FArhodopsins). They are quite divergent from the other proteorhodopsin paralog and contain either DTT, DTL or DNI motives in their key functional amino acids. FArhodopsins are mainly associated with the lower layers of the epipelagic zone. All marine FArhodopsins had the retinal binding lysine, but we found their relatives in freshwater metagenomes that lack this key amino acid. Alfa-fold predictions of marine FArhodopsins indicate that their retinal pocket might be very reduced or absent, hinting that they are retinal-less (blind). Freshwater FArhodopsins were more diverse than marine FArhodopsins, but we could not determine if they are present as paralogs of other rhodopsins, due to the lack of SAGs or isolates. Although the function of FArhodopsins could not be established, their conserved genomic context indicated involvement in the formation of membrane microdomains. The conservation of FArhodopsins in diverse and globally abundant microorganisms suggests that they may be important in the adaptation to the twilight zone of aquatic environments.

**IMPORTANCE**

Rhodopsins have been shown to play a key role in the ecology of aquatic microbes. Here we describe a group of widespread rhodopsins in aquatic microbes associated with dim light conditions. Their characteristic genomic context found in both marine and freshwater environments indicates a novel potential involvement in membrane microstructure that could be important for the function of the co-existing proteorhodopsin proton pumps. The absence or reduction of the retinal binding pocket points to drastically different physiology. In addition to their ecological importance, novel rhodopsins have biotechnological potential in the nascent field of optogenetics.

**INTRODUCTION**

Rhodopsins are probably the most universal biological light-energy transducers. They are found in all the domains of life (eukaryotes, bacteria, and archaea) and also in viruses (1). It is now well established that microbial rhodopsins (like microbes themselves) are barely represented by culture collections. Most of their diversity is found in the vast reservoir of uncultivated microbes present in the ocean and other aquatic environments exposed to light (2–6). Microbial rhodopsins were initially discovered in the halophilic archaeon *Halobacterium salinarum* (7), which contains four paralogous rhodopsin genes coding for different functions: $H^+$ or $Cl^-$ pumping, or light sensing with two different light ranges (8). In haloarchaea, the most common case is that more than one rhodopsin gene is found per genome reaching up to six described for *Haloarcula marismortui* (9). In other aquatic bacteria, the presence of multiple

rhodopsin genes (paralogs) in the genome is much rarer, and only a few marine

bacteria, such as *Dokdonia eikasta, Gillisia limnaea,* or *Nonlabens marinus* encode for,

in addition to the regular proton-pumping rhodopsin, a sodium-pump and a chloride-

pump (10–12). One potential reason for such scarcity is that many pelagic aquatic

microbes have streamlined genomes that are depleted in paralog genes. Besides, they

tend to be hard to grow and are largely known by metagenomic assemblies that are

often incomplete. However, the presence of paralog copies of rhodopsins is interesting,

particularly when the sequence is widely divergent since they may provide different

functions to the microbe. Hence paralog rhodopsins are a potential source of novel

functions of these versatile proteins that have also biotechnological potential for their

use in optogenetics (13, 14).

Here we evaluate the genetic repertoire of rhodopsins in a large collection of single-

amplified genomes (SAGs), which were generated from a global set of seawater

samples from the tropical and subtropical, epipelagic ocean (15). The screening for

rhodopsins resulted in approximately 3% of SAGs containing two type-I rhodopsin

paralogs within their genomes. While one of them was predicted to be a standard proton

pump, the other paralog belonged to a formerly described cluster of DTT-T rhodopsins

discovered in contigs assembled from deep-water metagenomes in station ALOHA (16).

The presence of this second rhodopsin was found restricted to three taxa known to

have small and streamlined genomes- Pelagibacterales, HIMB59, and the

Gammaproteobacteria *Pseudothioglobus*. This rhodopsin gene was always found next

to a flotillin gene. Metagenomic screening in marine and freshwater samples revealed a

broad distribution of these sequences in both types of environments, always associated

with low light intensities. Our results on the protein structure, metagenomic recruitment,

and genomic context can help to understand its function in these streamlined microbes.

**RESULTS AND DISCUSSION**

**Paralog rhodopsin recovery and diversity**

To identify rhodopsin paralogs, we first tried to locate them in the RefSeq database

(April 2022) which includes cultivated marine microbes, but none was found, with the

known exceptions mentioned above. This was not surprising considering the narrow

range of diversity covered by cultivated marine bacteria, particularly of streamlined and

abundant microbes. Fortunately, an alternative to monoclonal genomes of marine

bacteria is single-amplified genomes (SAGs). Although many of them are incomplete

they offer a significant guarantee that they start from a single cell and that the redundant

genes found in them are real paralogs. Thus, we used a large collection of marine

SAGs, composed of 12,715 partial genomes, which were produced using a random cell

selection strategy from the epipelagic seawater samples from tropical and subtropical

latitudes of the Atlantic and Pacific Oceans (15). The taxonomic composition of marine

prokaryoplankton in this database is consistent with previous 16S rRNA amplicon and

shotgun metagenomic studies of marine off-shore waters, indicating that this dataset is

representative of the in situ microbial communities (15). We removed SAG assemblies

with an estimated completeness lower than 50 %, which is the threshold for classifying

them as medium quality (17). Finally, a total of 4,751 SAGs were screened for the

presence of type-1 rhodopsin genes in their genomes (see methods). Among them, 63

% coded for type-I rhodopsins (**Figure 1A**). As previously described from metagenomic assemblies, most of these sequences were found in microbes from the order Pelagibacterales (#1,619), SAR86 (#386), Flavobacteriales (#258), HIMB59 clade (#191), and *Ca.* Actinomarinales (#139), as well as other less abundant taxa (**Table S1**). A small set of SAGs (~3 %) coded for two type-I rhodopsins within their genomes. A close inspection revealed that they all belonged to three taxonomic groups: Pelagibacterales (SAR11), HIMB59 (formerly AEGEAN-169), and the gammaproteobacterial *Pseudothioglobus* **(Figure 1A)**, all typical marine streamlined microbes. To analyze the phylogenetic diversity of the recovered paralogs of type-I microbial rhodopsins, a maximum likelihood phylogenetic tree was constructed, including several reference sequences covering all types of rhodopsins. The phylogenetic tree showed that, although one of the rhodopsin sequences clustered according to their taxonomic classification and into the proton-pump proteorhodopsin family (**Figure 1B**), the sequences of the second rhodopsin paralog, which we named FArhodopsin, clustered on an independent branch (**Figure 1B**).

An analysis of the protein alignment of the two paralog rhodopsins (**Figure 1C**) indicated that all seemed to be potentially functional. Both paralogs code for the lysine (K) in helix G responsible for the binding of the retinal to the protein via a Schiff base reaction although structural and experimental predictions make it unlikely that one of them bind retinal (see below). In addition to many major differences, including a deletion of 23 aa at the N terminus of the second rhodopsin (FArhodopsin), which might include a leader peptide **(Figure S1)**, we observed differences in the ion-pumping motif. While

one of the paralogs showed the DTE motif (positions 85, 89, and 96 according to the

amino acid sequence of bacteriorhodopsin from *Halobacterium salinarum*) identical to

the green and blue light proton-pumping proteorhodopsins (**Figure 1C**), in the

FArhodopsin we detected three variations. In the case of Pelagibacterales and the

*Pseudothioglobus*, the FArhodopsin differed in the last amino acid of the triad, being

threonine (DTT) as has been described previously for metagenomic assembled

rhodopsins from ALOHA (16) and leucine (DTL), respectively, while in HIMB59, the DTE

motif was replaced by aspartate-asparagine-isoleucine (DNI). In addition, the amino

acid residue suggested being critical to the spectral tuning of rhodopsins (position 93 in

bacteriorhodopsin) also changed. Leucine, methionine, and isoleucine residues are

detected commonly in proteorhodopsins absorbing in the green light spectrum, whereas

a rhodopsin containing glutamine absorbs in the blue light range. The FArhodopsin

sequences of HIMB59 and *Pseudothioglobus* had either the glutamine or leucine, while

the Pelagibacterales FArhodopsin coded for threonine at that residue.

**The genomic context of FArhodopsins**

The genomic comparison of Pelagibacterales SAGs containing the second rhodopsin

against the genomes of isolates HIMB083 (genomospecies Ia.3/V), HTCC7011

(genomospecies Ia.3/I), and HTCC1062 (genomospecies Ia.1/I) (18) indicated that

FArhodopsins were always located on a gene cluster of approx. 26 Kb that was absent

from the isolate genomes (**Figure 2A**). Although SAGs containing this cluster were

phylogenetically distant from each other, as they belonged to different subclades (listed

in **Figure 2B**), most of the cluster genes were conserved and syntenic (**Figure 2B**).

Despite some genomic rearrangements, the rhodopsin in the cluster always appeared

co-localized on the same strand next (16 nucleotides intergenic spacer) to a large gene

(1,977 bp) predicted to code for a flotillin. Flotillins are members of a widely conserved

superfamily of proteins termed Stomatin, Prohibitin, Flotillin, and HfK/C (SPFH) domain

proteins (19). Eukaryotic flotillins have been extensively studied (20) and only later they

were found in prokaryotes (21, 22). They are classified as scaffold proteins associated

with lipid rafts, lipid microdomains in membranes, which enhance the assembly of raft-

associated proteins (23, 24). The gene clusters containing the rhodopsin in HIMB59 and

*Pseudothioglobus* were delimited by comparing the genomes against closely related

(>90% average nucleotide identity [ANI]) SAGs (the pure culture HIMB59 available was

too distant). Regardless of the gain or loss of some genes, synteny was maintained

among HIMB59 relatives, despite a wide phylogenetic distance. Even *Pseudothioglobus*

(a gammaproteobacterium) genomes conserved the flotillin and the three last genes of

the cluster located on the same strand and potentially co-transcribed (**Figure 2B and**

**Table S2**). We evaluated the presence of flotillin proteins as well as any other "SPFH"

domain-containing proteins in the initial set of marine SAGs (see methods). Among

them, nearly 85% were positive for at least one "SPFH" domain-containing hit. A manual

inspection resulted in a broad classification of these proteins as HflC and HflK. These

proteins form the complex HflKC, involved in the regulation of the protease FtsH not

related to the eukaryotic flotillins (25). Otherwise, the flotillin gene was detected mainly

in genomes coding for the FArhodopsin (only twelve bona-fide flotillin proteins were

detected not belonging to the rhodopsin gene cluster) (**Figure S2**). Phylogenetic

classification of detected flotillins against well-characterized eukaryotic and prokaryotic

sequences resulted in flotillin homologs from Pelagibacterales, HIMB59, and

*Pseudothioglobus* forming a separate cluster (**Figure S2**).

The analysis of the genes found in the FArhodopsin cluster (FAR cluster) of the

Pelagibacterales SAGs (**Figure 2B**) shows very conserved synteny despite the

divergence (ANI) of the overall genomes. The gene cluster is always bordered by long

spacers (236 and 219 nucleotides in the AG-390-L03) that are also well conserved.

After the spacer, there is a set of ten genes on the same strand and likely also co-

transcribed (see below). The next gene is annotated as a sterol-binding-domain of a

sterol-carrier protein, likely involved in lipid transport. There is also an inner membrane

translocator and a membrane sodium symporter. Thus, a correlation between

membrane structure and function is strongly suggested. Only Pelagibacterales and

HIMB59 encoded for a cluster of three genes annotated as biotin-dependent

carboxyltransferases and carboxylases, that might be involved in the degradation of

urea. Other metabolic genes detected included an N,N-dimethylformamidase, involved

in the glyoxylate and dicarboxylate metabolism (26), the PxpA-like subunit, involved in

the conversion of 5-oxoproline to L-glutamate (27), as well as some uncharacterized

alpha/beta hydrolases, iron-dependent alcohol dehydrogenases, and aldolases. In

addition, the *Pseudothioglobus* FAR cluster encoded for the transcriptional regulator

LuxR and a hybrid sensor histidine kinase/response regulator (genes annotated in the

FAR gene cluster are listed in **Table S2**).

**FArhodopsins in aquatic metagenomes.**

The presence of homologs to FArhodopsin in the ALOHA station depth profile has been extensively studied (16). The authors concluded that the DTT-T rhodopsin was present mainly in samples from 200 to 1000 m depth. Thus, to recover more genetic diversity of FArhodopsins we analyzed the assemblies of marine metagenomes, including western Mediterranean Sea depth profiles (28, 29), BATs (30), and global ocean surveys such as Tara Oceans (31) and GEOTRACES (30). A western Mediterranean sample has also been analyzed recently with long-read HiFi sequencing (32) that does not need assembly to recover complete gene clusters. Considering that microbes of the order Pelagibacterales, which are the main contributors of FArhodopsins, can be also found in freshwater ecosystems (e.g. *Fonsibacter*), we included in the analysis samples taken from lakes in North America (33), Europe, and Asia (34–36), including Lake Baikal (37, 38), where representatives of the *Pelagibacter* Ia.5 (18, 37) clade were recently found.

**Table S3** summarizes the number of rhodopsins type-I, type-III, FArhodopsins, and flotillins recovered in each metagenomic sample. In agreement with what we found in the dataset of marine SAGs, microbial type-I rhodopsins represented the majority of rhodopsin sequences in marine and freshwater assembled contigs, followed by heliorhodopsins. We could recover hundreds of FArhodopsin sequences, including from freshwater datasets (**Table S3**). This analysis showed the uneven recovery of FArhodopsin and flotillin sequences, which could call into question the strong association described previously between FArhodopsin and flotillin. However, a comprehensive study of the assembled contigs containing the rhodopsin revealed that most of them had FArhodopsins at the contig ends, indicating a breakpoint in the assembly, a common occurrence in regions of high microdiversity. Only in the

metagenomic sample from the Mediterranean Sea sequenced with PacBio CCS (32), FArhodopsin and flotillin numbers were almost at par (407 and 455 sequences, respectively). All FArhodopsins well covered by the long reads contained the tandem confirming a consistent association. This result illustrates one of the major advantages of long-read metagenomics, allowing to recover complete genes and even operons from the bulk of CCS reads, without relying on metagenomic assembly.

The phylogeny of all of the recovered FArhodopsin proteins (#912) resulted in two major phylogenetic branches, separating the marine from the majority of the freshwater sequences (**Figure 3A**). All marine metagenomic FArhodopsin-containing assemblies were classified within Pelagibacterales, HIMB59, and *Pseudothioglobus* clades, regardless of depth, or geographical origin (e.g. latitude), indicating that apparently, no other microbes encode FArhodopsin globally. Interestingly, one cluster composed of sequences from deep samples of Lake Baikal (390 m, Russia) and Lake Constance (200 m, Switzerland) grouped within the Pelagibacterales FArhodopsin. A close inspection revealed a well-conserved synteny between these contigs and the marine SAG AG-390-L03 (**Figure 3B**). Based on gene annotation of the surrounding regions, these sequences belonged to the freshwater Ia.5 *Pelagibacter* clade, which was reported for the first time in freshwaters in Lake Baikal (37). Other freshwater metagenomes, on the other hand, showed a less restricted taxonomic distribution of FArhodopsins, with several distinct subclades indicating a higher sequence diversity (**Figure 3A**). Interestingly, protein alignment of these novel freshwater metagenomic sequences revealed that most did not encode for the lysine (K) in helix G responsible

for the covalent binding of retinal to the protein, but they showed the amino acid variants

glutamine, threonine, leucine, or arginine at this site (**Figure 3A and Table S4**). **Figure**

**3B** also shows that despite the divergence, sequences coming from freshwater

preserve some genes of the FAR cluster found in the marine FARs. Besides, although

the contigs harboring this protein were short hampering their taxonomic classification

(as is typical of complex communities), those that were long enough always encoded for

a flotillin next to the rhodopsin gene, highlighting the key role the flotillin gene plays in

the cluster. Lysine-less rhodopsins (Rh-noK) have been already described for a group

of xanthorhodopsins related sequences in marine betaproteobacteria (39) and were

shown to be retinal binding and functional proton pumps when the lysine residue was

reconstituted by genetic manipulation. Interestingly, Rh-noK was found located in

tandem with a functional proteorhodopsin gene, and the authors speculated that both

gene products could form a multimer in which Rh-noK could regulate the proton

pumping activity of the neighbor (39).

**Recruitment of metagenomic and metatranscriptomic fragments on the FAR gene**

**cluster**

To assess the abundance and distribution of this novel group of rhodopsins, we

performed metagenomic fragment recruitment analysis by recruiting all the FAR gene

clusters in the SAGs of Pelagibacterales (#24), HIMB59 (#69) and *Pseudothioglobus*

(#10) taxa to 140 metagenomes from a depth profile in the Mediterranean Sea (29) and

the *Tara* Oceans datasets (31). As expected, the three taxa showed differential depth

recruitment in the Mediterranean Sea (**Figure 4A**) and the same was found for the *Tara*

Oceans datasets containing deeper samples (**Figure S3**). Pelagibacterales are the

most abundant microbes in surface layers of the water column, although it is known that

this diverse order has an eurybathic distribution, with some clades being detected in the

deep chlorophyll maximum (DCM), lower photic (LP) metagenomes, and in meso- and

bathypelagic samples (29). However, the Pelagibacterales FAR cluster recruited more

in deeper samples such as the DCM, lower photic and bathypelagic samples,

represented by 45, 60, 75, 90, 1000, and 2000m depths in the available Mediterranean

metagenomes (30) (**Figure 4A**). A similar preference for deeper waters was found

globally with the *Tara* Ocean samples (**Figure S3**). Thus, confirming the trend

discovered for the DTT-T rhodopsins found by Olson et al at ALOHA (16). In the

Mediterranean metagenomes, the maximum recruitment of the cluster was found at the

LP (75-90 m), much shallower than the 500 m detected at the more oligotrophic,

permanently stratified waters at ALOHA (16). A similar trend was found for the

Gammaproteobacteria *Pseudothioglobus*. Contrastingly, HIMB59 FAR (with the DNI

motif in the FArhodopsin) recruited the most at the upper photic (15-30 m deep),

following closely the recruitment of the full SAGs (data not shown) that were detected

almost exclusively in the upper photic. In addition, we could not find a clear association

of freshwater FArhodopsins with deeper waters, in Lake Baikal they appeared near the

surface and down to 390 m deep but not in a bathypelagic sample (data not shown). In

freshwaters, the photic zone is harder to delimit given the diversity of trophic status that

characterize lakes and their overall shallower depths.

Metatranscriptomes were available from the same seawater samples (Western

Mediterranean Sea, 15 and 60 m) (40). Their recruitment on SAGs confirmed the

transcription of the FAR cluster of Pelagibacterales AG-390-L03 at 60 m (**Figure 4B**

**and 4C**) but, as expected, no matching transcripts were found at 15m. The tandem

rhodopsin-flotillin was the second most recruited region in the cluster (**Figure 4B**),

indicating that these genes are abundantly expressed. The average recruitment value

(in reads per kilobase gene per million transcripts – RPKM) of the FAR (0.32 RPKM)

was substantially above the average number of transcripts aligning to the genome (0.2

RPKM, with the rRNA ribosomal operon excluded). The transcriptomic read coverage

indicates clearly that both FArhodopsin and flotillin (at least) are cotranscribed in a

single polycistronic mRNA despite the 16 nucleotides separating both genes (**Figure**

**4C**). Several mRNA reads containing the spacer could be detected (data not shown).

**Predicted structure of the retinal binding pocket**

The most notable characteristic of microbial rhodopsins is their capability to respond to

light through the use of the retinal cofactor. However, when (16) expressed in

*Escherichia coli* a rhodopsin gene containing the DTT motif, they detect no binding of

retinal, judging from the pellet pigmentation of centrifuged cells nor change in pH upon

illumination. The lack of function or pigmentation in *E. coli* can be associated with a lack

of proper membrane insertion into a lipid raft due to the lack of the flotillin-associated

protein. Interestingly, Olson *et. al.* (16) modified a functional DTE-Q sequence to DTT-T,

which resulted in the loss of function.

We used AlphaFold (41) to predict the structure of marine FArhodopsin to examine its

retinal binding pocket. The predicted structure showed high similarity to that of the

closest homolog with an experimentally determined high-resolution structure (PDB:

4jq6, blue proteorhodopsin from Med12, a predicted protein from a metagenomic

sample from the Mediterranean Sea at 12 m deep (42)), which allowed us to adapt the

retinal position to the predicted structure. However, when analyzing amino acids that

are highly conserved among Pelagibacterales FArhodopsins (present in more than 99%

of cases), we observed that four of these residues would intersect the retinal in its all-

trans conformation (**Figure 5A**). A similar observation was made in other groups of

marine FArhodopsins (**Figure 5B and 5C**). In addition, as mentioned above, most of the

freshwater FArhodopsins lack the lysine that is used to bind retinal. These findings

suggest that all FArhodopsins may be retinal-less like those previously described in the

Halobacteriaceae (43) or betaproteobacteria Rh-noK (39). Their seven transmembrane

helices may have evolved to perceive other stimuli, similar to G protein-coupled

receptors (G-PCRs), which have adapted for responding to both light and chemical

activation or they could be involved in either regulation or membrane microdomain

organization as shown for some G-PCRs in the animal retina (44). However, it is

important to note that there is no direct evidence that the AlphaFold prediction is

precisely correct. Recently, an unwound helix in a structure of a channelrhodopsin

called ChRmine was not predicted by AlphaFold (45). It is possible that another

significant distortion from the classic rhodopsin fold could still allow for binding of the

retinal, or that FArhodopsins may bind other carotenoid derivatives to absorb light.

**SYNTHESIS**

The discovery, two decades ago (2), of rhodopsin sequences in marine

prokaryoplankton communities resulted in a significant leap forward in the

understanding of the biology of these microorganisms and their interaction with the

ecosystem. In the photic zone, the proton-pumping activity of rhodopsins may provide

"cheap" solar energy (46). However, rhodopsins can perform a wide variety of other

functions (47, 48). Furthermore, the presence of paralogs with very divergent activities

and even sequences has been known since the first microbial rhodopsins were

described in halophilic archaea, and they are intensively looked after since they reveal

novel physio-ecological roles and can be of potential application for optogenetics. In this

work, we have analyzed the distribution and the presence of rhodopsin paralogs in a

large dataset of marine SAGs (15) that provide strong evidence that the detected genes

come from the same genome (15). We tried with the meager representation of cultured

microbes but, unsurprisingly, could not find any examples of genomes with two

rhodopsins. MAGs, on the other hand, are a composite of genomic fragments, and the

presence of contigs belonging to different organisms (chimerism) may interfere with the

analysis.

Unsurprisingly, only a small subset of SAGs coded for a rhodopsin paralog, most of

which belonged to well-known streamlined lineages, such as Pelagibacterales (49–52),

*Ca.* Actinomarinales (53, 54), and SAR86 (55, 56). These microbes are characterized

by having a small genome size, a higher coding density, and a reduced set of paralogs,

to cope with limited nutrient and energy availability in the oligotrophic open ocean (57).

Nevertheless, we detected two copies of the rhodopsin gene in the genomes of three

widespread streamlined taxa, Pelagibacterales, HIMB59 and the Gammaproteobacteria

*Pseudothioglobus*. One paralog was a typical proteorhodopsin proton pump, while the

other affiliated with a novel clade described among assembled contigs in ALOHA

metagenomes at depths of 200 to 1000 m (16). The access to the genomic context,

which was not possible previously, showed a consistent association with a conspicuous

flotillin gene forming a single mRNA transcript. Flotillins are members of a superfamily

of proteins termed "SPFH" (Stomatin, Prohibitin, Flotillin, and HfK/C) (19), detected for

the first time in the cytoplasmic membrane of eukaryotes (20). These proteins are

involved in the formation of microdomains (also known as lipid rafts) in the plasma

membrane of all mammalian cells (20). Its biological role is not well understood, but it is

believed that they act as scaffold proteins, enhancing the recruitment of raft-associated

proteins to these microdomains to be active, as well as to facilitate their interaction and

oligomerization with other proteins (23). Flotillin sequences have been also detected in

bacteria. Specifically in *Bacillus subtilis*, which encodes for two subunits, YuaG and

YqfA (renamed as FloT and FloA) (23). Mutants lacking both genes showed reduced

sporulation efficiency and changes in the biofilm formation (21, 22, 58). Remarkably, our

results indicated that the flotillin encoded in the FAR cluster was the only copy present

in the genome, and therefore, its presence might be essential for the formation of

specific microdomains in these organisms. In *B. subtilis* (and other bacteria) flotillin

appears in lipid rafts that concentrate the phospholipid cardiolipin and cyclic (hopanoids)

or non-cyclic isoprenoids, such as those derived from carotenoids (23). The genomes of

Pelagibacterales, HIMB59, and *Pseudothioglobus* encode for a cardiolipin synthase. For

that reason, the presence of lipid rafts, despite not being demonstrated "*in vivo*", seems

plausible. We would like to hypothesize that the flotillin detected in the genomic island

might be critical for the function of the FAR cluster genes. Many FAR genes annotated

were classified as membrane transporters or signal transduction/regulators, proteins

typically enriched in flotillin-associated microdomains (21, 24).

In the Mediterranean, FArhodopsin genes were abundant in metagenomes coming from

the lower photic zone, with a maximum at the deep-chlorophyll-maximum (45-60 m

deep). In contrast, FArhodopsin genes peaked in deeper waters (500 m) at ALOHA

station in the Pacific Ocean. This fact, together with the lack of *in vitro* activity and even

retinal binding of some ALOHA representatives cloned in *E. coli* suggests that these

rhodopsins might be retinal-less or even blind rhodopsins (not using light). Furthermore,

most freshwater FArhodopsins do not have the lysine residue needed for retinal binding.

However, a more in-depth screening of several metagenomic datasets indicates that

they tend to associate with the photic (or twilight) zone, but not with bathypelagic

waters. In addition, we have always detected a standard retinal-binding proton pumping

proteorhodopsin present elsewhere in the genome, which indicates a clear light-

interactive lifestyle (at least periodically). A possible explanation would be that flotillins

enhance the formation of a heterocomplex of DTE and FArhodopsins in lipid rafts. This

kind of rhodopsin heterocomplexes has been described in fungi (59, 60), on which a

classic blue or green-absorbing rhodopsin cyclase (a kind of rhodopsin linked to a type

III guanylyl cyclase) interacts with another rhodopsin cyclase, but with an absorption in

the near-infrared spectrum. Thus, there is a chance that the FArhodopsin interacts with

the proton-pump proteorhodopsin, present in the same genome, in a heterocomplex,

modifying the spectral tunning to accommodate ion transport to deeper waters.

The presence of FArhodopsins in freshwater metagenomes came as a surprise. They

are much more diverse at the sequence level than marine FArhodopsins and are

present in a much wider taxonomic array of prokaryotes. The association with flotillin

was also detected in all cases in which contigs were long enough suggesting similar

functional properties. In one case, we found a FAR cluster syntenic with a marine

*Pelagibacter* genome in a distant relative from Lake Baikal. The high similarity of the

FAR cluster between marine and freshwater Pelagibacterales might indicate marine-to-

freshwater transitions of the FAR gene cluster (37).

There is always the possibility that FArhodopsins act as sensors of other stimuli (as

hypothesized for retinal-less rhodopsins of haloarchaea (43) or Rh-noK (39), but the

lack of genes coding for transducers in the FAR cluster and the association with the

photic zone in many metagenomes indicates otherwise. We hypothesize that

FArhodopsins may act as complements to the *bona fide* proton pump, by increasing its

performance under the dimmer light conditions prevalent in the lower photic zone. The

streamlined nature of genomes containing FArhodopsin and the high conservation

detected in the FAR gene cluster, even across marine-freshwater transitions, point to an

important role in the physiology and ecology of some of the most abundant aquatic

microbes. Perhaps extending the "solar energy" strategy to deeper waters where a large

part of the water column microbiome activity takes place.

**METHODS**

**Screening of rhodopsin proteins from single-amplified genomes and metagenomic datasets.** To evaluate the presence and the number of rhodopsin sequences in the euphotic marine microbiome, a compendium of 12,715 single-amplified genomes (SAGs) were downloaded from NCBI under BioProject PRJEB33281 (15) and subjected to study. Prior to the analysis, the degree of completeness and contamination of SAGs were estimated using CheckM v1.1.2 (61), and SAGs with > 50 % completeness and < 5 % contamination were kept. Taxonomic classification of SAGs was performed using the GTDB-Tk v 2.1.0 tool (62) using the Genome Taxonomy Database (GTDB) release R207 (63).

Metagenomes from different marine and freshwater datasets were used to retrieve similar protein sequences to FArhodopsins, as well as the contigs containing them. Marine datasets included metagenomic assemblies and PacBio CCS reads from a local time series in the Mediterranean Sea (28, 29), Hawaii Ocean Time-series (HOTs) and Bermuda Atlantic Time-series Study (BATS) (30), as well as from large oceanic expeditions, such as Tara Oceans (31) and GEOTRACES (30). Freshwater datasets represented metagenomic assemblies from Lake Baikal (37, 38), Spanish reservoirs (34), and a compendium of 17 different freshwater lakes located in Europe and Asia (36). Assembled contigs from marine and freshwater metagenomes longer or equal to 5Kb were assigned family- or genus-level classification if at least 50 % of the genes

shared the same best-hit taxonomy. Contigs failing this threshold were classified at the

level of phylum.

Recovery of rhodopsin proteins was achieved using a custom and curated database of

rhodopsin sequences, comprising all the families described so far from both type-I and

type-III rhodopsins. Hidden Markov models (HMMs) of these families were built using

hmmbuild (64) after a previous alignment by muscle (65). Putative protein sequences

were then screened using hmmscan (64). Only sequence hits >= 200 aa, with an evalue

< 1e-10 and a bitscore >= 75 were kept.

**Phylogenetic classification of microbial rhodopsins.** A maximum likelihood

phylogenetic tree was built with all the type-I microbial rhodopsins recovered from the

pool of marine SAGs, as well as from the metagenomic sequences retrieved from

marine and freshwater datasets. As references, we included well-characterized

rhodopsin proteins with several functions described so far ($H^+$, $Na^+$, $Cl^-$ and sensory

rhodopsins). Sequences were first aligned with muscle (65), and the resulting alignment

trimmed with trimal (66) to remove regions with gaps in more than 80% of the

sequences. The phylogenetic tree was built with iqtree (67) with 5000 ultrafast

bootstraps and the -m MFP option to find the best model that fitted to our data.

**Determination of gene clusters carrying FArhodopsins**. In order to determine the

location of the FArhodopsin and the absence of it in other genomes from the same

taxonomic group, Pelagibacterales SAGs containing the second rhodopsin were

compared using blastp (68) against the isolate genomes HIMB083 (genomospecies

Ia.3/V), HTCC7011 (genomospecies Ia.3/I), and HTCC1062 (genomospecies Ia.1/I).

Genomic regions were then extracted and genes predicted for further analyses. In a

similar approach, the genomic islands containing the rhodopsin in HIMB59 and the

gammaproteobacterial *Pseudothioglobus* were determined and extracted by comparing

the genomes against closely related (>90% average nucleotide identity [ANI]) SAGs.

**Taxonomic and functional annotation of rhodopsin-carrying contigs.** Prodigal

v2.6.3 (69) was used to predict genes from contigs retrieved from the individual SAGs,

as well as from the metagenomic assemblies. Predicted protein-encoded genes were

taxonomically and functionally annotated against the NCBI NR database using

DIAMOND 0.9.15 (70) and against COG (71) and TIGRFAM (72) using HMMscan v3.3

(64). Domains within proteins were predicted using InterPro (73). In order to determine

the location of predicted proteins within the gene cluster, transmembrane domains and

signal peptides were identified with DeepTMHMM (74) and SignalP v6.0 (75),

respectively.

**Retrieval of SPFH proteins and Phylogenetic tree of flotillin sequences.** Domain

sequences of the SPFH family of proteins were downloaded from the Pfam database

(76) and then used to search for candidates within SAGs with hmmscan. The resulting

flotillin sequences were then phylogenetically classified. Reference sequences from

eukaryotic flotillins Flot-1 and Flot-2 (*Bos Taurus*, *Homo sapiens*, and *Rattus*

*norvergicus*) together with well-characterized sequences from *Bacillus, Staphylococcus*

and *E. coli* were downloaded from the Uniprot database. We also included the closest

hits determined by a blastp search against the NCBI protein database. Sequences were

first aligned with muscle, and the resulting alignment trimmed with trimal to remove

regions with gaps in more than 80% of the sequences. A maximum-likelihood

phylogenetic tree was built with iqtree with 5000 ultrafast bootstraps and the -m MFP

option to find the best model that fitted to our data.

**Metagenomic and metatranscriptomic read recruitment.** Illumina raw reads from

Tara Oceans metagenomes and metatranscriptomes were downloaded from the

European Nucleotide Archive (ENA) following the accession numbers PRJEB1787 and

PRJEB6608, respectively. Eight additional samples covering a metagenomic depth

profile from the Mediterranean Sea during thermal stratification (summer -- 15, 30, 45,

60, 75, 90, 1000 and 2000m) were downloaded from NCBI BioProjects PRJNA352798

and PRJNA257723. Raw reads were trimmed with Trimmomatic v0.39 (77). Coverage

values were calculated by read alignment (in subsets of 20 million reads) against the

genomic islands containing the FArhodopsin using BLASTN v2.9.0 (90 % identity, > 50

bp alignment). At least 70% of the length was required to be covered by reads to be

considered a recruit. Reads were normalized by the size of the contig in Kb and by the

size of the metagenome in Gb (RPKGs). Transcripts from metatranscriptomic samples

were aligned using BLASTN (98 % identity, > 50 bp alignment) and normalized as

transcripts per kilobase of gene per million reads (RPKM).

**In-silico determination of protein structures.** We used AlphaFold (41), a protein

structure prediction server hosted on Google Colab (78), to predict the structures of

three FArhodopsins (AG-390-L03 of Pelagibacterales, AG-337-N20 of HIMB59, and

AG-313-D08 of *Pseudothioglobus*) using standard parameters. We then used PyMOL

(https://pymol.org/2/) to align these structures to the structure of a blue-light absorbing

proteorhodopsin from Med12 (PDB ID 4JQ6). Since the FArhodopsins and

proteorhodopsin shared high overall similarity and the positions of the lysine residues,

which are putative binding residues for retinal coincided, we transferred the all-trans

retinal molecule from proteorhodopsin (PDB ID 4JQ6) to the FArhodopsins for further

analysis of the retinal binding pocket.

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**AUTHORS' CONTRIBUTIONS**

536   JMH-M conceived the study. JMH-M, ML-P, AA, EP, KK and FR-V analysed the data.

537   JMH-M, ML-P, AA, VG, RS and FR-V contributed to write the manuscript.

**COMPETING INTERESTS**

The authors declare that they have no competing interests.

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**FIGURE LEGENDS**

**Figure 1. A.** Pie charts indicating the percentage of single-amplified genomes (SAGs)

in which two, one or no type-I rhodopsins were detected. Only those SAGs (from a large

database collected from the tropical and subtropical euphotic ocean, Pachiadaki et al.,

2019) that met the established quality criteria of ≥50% completeness and ≤5%

contamination, i.e., medium to high quality draft genomes were included in the analysis.

For those SAGs containing 2 rhodopsin genes, a second pie chart indicates their

taxonomic affiliation **B.** Maximum likelihood phylogenetic tree of rhodopsin genes

detected in the marine SAGs, together with several rhodopsin references covering all

types of microbial rhodopsins. Branches have been colored for SAGs harboring two

rhodopsins according to their taxonomy (blue, Pelagibacterales; purple, HIMB59 and

green, *Pseudothioglobus*). Sequences belonging to the new clade of rhodopsins

(Flotillin-associated rhodopsin; FArhodopsin) are highlighted in red. Branches within

color background contain type-I rhodopsins from the SAG dataset. The yellow circles

correspond to the SAGs containing the two type-I rhodopsin paralogs. **C)** Protein

sequence alignment of Helix C and G residues for the two type I rhodopsins. Two

reference proteorhodopsin proton pumps at the top. Residues involved in rhodopsin

function (e.g. ion translocation) and wavelength absorption are highlighted in blue and

orange, respectively. The lysine (K) highlighted in red (helix G) is responsible for the

covalent binding of retinal. Dark and light grey regions represent conserved residues

within the alignment.

**Figure 2. A)** Genomic location of the FArhodopsin and its neighboring genes of

Pelagibacterales SAG AG-390-L03 in relation to the reference genomes HTCC1002,

HTCC7211, and HIMB083. Genes colored in yellow in the upper alignment represent

the (flotillin-associated (FAR) gene cluster. The FArhodopsin and the flotillin are colored

in red and blue, respectively. **B)** Genomic alignment (amino acids) of the flotillin-

associated  rhodopsin  gene  cluster  found  in  Pelagibacterales,  HIMB59  and

*Pseudothioglobus* genomes. The ANI value for whole genome is indicated. The region

containing the FArhodopsin and flotillin genes highlighted in a red box. Genes that are

homologous are colored with the same color. Annotation is provided for the AG-390-L03

genome.

**Figure 3. A.** Maximum likelihood phylogenetic tree of FArhodopsin sequences detected

from marine and freshwater datasets colored in red and blue, respectively. The full

description of samples can be found in Table S4 and within Methods section.

Sequences with 100% amino acid identity with those in the tree are not shown.

Sequences  in  the  blue  background  branch  to  the  right  (all  from  freshwater

metagenomes) do not have lysine in the retinal binding position in helix G (Table S4).

Numbers within freshwater branches represents clades on which a contig was used as

reference  for  the  genomic  comparison  shown  in  B.  **B**.  Genomic  comparison  of

freshwater  FArhodopsin  subclades,  using  the  Pelagibacterales  SAG  AG-390-L03

(subclade Ia.3) as a marine reference. Numbers between contigs represents the

average amino acid identity (AAI, %) of shared proteins between them.

**Figure 4. A.** Relative abundance (measured in reads per kilobase of genome per

gigabase of metagenome -- RPKG) of the flotillin-associated rhodopsin gene clusters in

a depth profile metagenomic study from Western Mediterranean Sea collected in a

single offshore location during a period of marked thermal stratification (Haro-Moreno *et*

*al.* 2018). Metagenomes are grouped by depth (i) upper photic (UP, 15 and 30 m), (ii)

deep chlorophyll maximum (DCM, 45 and 60 m), (iii) lower photic (LP, 75 and 90 m),

and deep (Deep, 1,000 and 2,000 m) layers. **B.** Metagenome and Metatranscriptome

analysis of flotillin-associated rhodopsin gene cluster. The upper panel show read

coverage of the metatranscriptomic Illumina reads from the 60 m sample of the profile

(98% identity, 250 bp window). In the lower panel, a metagenomic recruitment plot

using raw reads from the samples 15m and 60m (>70% identity, >50 bp long) is

represented. FAR gene cluster is highlighted in red. **C.** Read coverage showing

individual reads in the 60 m metatranscriptome of the FAR gene cluster showing that

the FArhodopsin and flotillin genes are co-transcribed (there are reads covering both

genes simultaneously).

**Figure 5.** Alpha fold prediction of retinal binding pockets in marine FArhodopsins from

different subclades: Pelagibacterales **(A)**, HIMB59 **(B)**, and *Pseudothioglobus* **(C)**.

Retinal is depicted in aqua green, and only residues that are present in more than 99%

of the subclade family members are displayed. Residues that clash with the retinal are

indicated and highlighted in red.

## SUPPLEMENTARY MATERIAL

**Figure S1.** Complete protein sequence alignment of 6 proteorhodopsin and 6 FArhodopsin sequences. Sequences come from 6 SAGs (two of each taxa) containing both rhodopsins in their genomes. Dark and light grey regions represent conserved residues within the alignment.

**Figure S2.** Maximum-likelihood phylogenetic tree of flotillin genes retrieved from marine SAGs. Reference flotillin sequences and their accession numbers within brackets are colored in black. Sequences in red represent sequences from marine SAGs that were not found near a FArhodopsin. Sequences in blue correspond to the flotillins of the three taxa coding for the tandem flotillin-FArhodopsin. Sequences in this branch were condensed for simplicity.

**Figure S3.** Relative abundance (measured in RPKG) of the FAR gene cluster in Tara Ocean metagenomes. Each province has been divided by depth in surface (SRF), deep chlorophyll maximum (DCM) and mesopelagic (MES) regions. The bars have been colored according to the microbes (blue*, Pelagibacterales;* purple, HIMB59 and green, *Pseudothioglobus*).

**Table S1**. Summary of marine SAGs, with their taxonomic classification and the number of type-I and type-III rhodopsin genes detected.

**Table S2.** Functional annotation of genes encoded within the FAR gene clusters of Pelagibacterales, HIMB59 and *Pseudothioglobus*. Only one FAR gene cluster per taxa is shown.

**Table S3.** Number of rhodopsin sequences per metagenomic dataset**.**

889    **Table S4.** Protein alignment of rhodopsin metagenomic sequences. Amino acids

890    involved in the ion translocation activity are colored in blue. The lysine involved in retinal

891    binding is colored in red.