1Generation of eco-friendly channel catfish, Ictalurus punctatus, harboring2alligator cathelicidin gene with robust disease resistance by harnessing different3CRISPR/Cas9-mediated systems

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15

16 Abstract

The CRISPR/Cas9 platform holds promise for modifying fish traits of interest as a 1718 precise and versatile tool for genome manipulation. To reduce introgression of 19 transgene and control reproduction, catfish species have been studied for upscaled 20 disease resistance and intervening of reproduction to lower the potential environmental risks of introgression of escapees' as transgenic animals. Taking 2122 advantage of the CRISPR/Cas9-mediated system, we succeeded in integrating the 23cathelicidin gene from an alligator (Alligator sinensis; As-Cath) into the target 24luteinizing hormone (LH) locus of channel catfish (Ictalurus punctatus) using two 25delivery systems assisted by double-stranded DNA (dsDNA) and single-stranded 26 oligodeoxynucleotides (ssODNs), respectively. In this study, high knock-in (KI) 27efficiency (22.38%, 64/286) but low on-target was achieved using the ssODN strategy, 28 whereas adopting a dsDNA as the donor template led to an efficient on-target KI 29(10.80%, 23/213). On-target KI of As-Cath was instrumental in establishing the LH 30 knockout (LH⁻_As-Cath⁺) catfish line, which displayed heightened disease resistance and reduced fecundity compared to the wild-type sibling fish. Furthermore, 31 32implanting with HCG and LHRHa can restore the fecundity, spawnability and 33 hatchability of the new transgenic fish line. Overall, we replaced the LH gene with an 34 alligator cathelicidin transgene and then administered hormone therapy to gain 35 complete reproductive control of disease-resistant transgenic catfish in an 36 environmentally sound manner. This strategy not only effectively improves the 37 consumer-valued traits, but also guards against genetic contamination. This is a

38 breakthrough in aquaculture genetics to confine fish reproduction and prevent the

39 establishment of transgenic or domestic genotypes in the natural environment.

40

41 Keywords

42 Genome editing, ssODN, dsDNA, antimicrobial peptide, immune, reproductive 43 confinement, aquaculture

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46 **1. Introduction**

47 Innovative biotechnologies continuously develop as science advances, benefiting food 48 production, quality as well as animal and human welfare. Since its inception, CRISPR/Cas9 49 (clustered short regularly interspaced palindromic 50 repeats/CRISPR-associated protein 9) has served as a prototype in genome 51 engineering, paving the way for new possibilities in transgenesis and breeding. Two 52 mechanisms are involved for DNA repair when double strand breaks are induced by 53 CRISPR/Cas9 complex: non-homologous end joining the (NHEJ) and 54 homology-directed repair (HDR) [1]. Both mechanism-mediated strategies have been 55 employed in aquaculture to improve the consumer-valued qualities targeted within genetic breeding programs. These harness the NHEJ repair pathway to knock out 56 57 (KO)/disrupt functional genes or knock in (KI) exogenous genes of interest via HDR 58 at the expected locus to improve the target traits.

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60 Numerous CRISPR/Cas9 systems have emerged recently to improve target-editing 61 efficiency for KI via the HDR pathway. Success has been observed in model animals have been shown successes using ssODN-mediated KIs for the targeted insertions of 62 63 small DNA fragments since single-stranded oligodeoxynucleotides (ssODNs) act as 64 templates for repairing DNA damage [2-4]. Yoshimi et al. [5] have optimized the 65 ssODN-mediated approach to knock-in larger sequences by the combination of 66 CRISPR/Cas9 system with two 80-bp ssODNs in length. In contrast to conventional 67 plasmid donors, the donor vector used in this system does not require homologous 68 arms (HAs), enabling the insertion of a large vector (CAG-GFP, 4.8 kb) into the 69 designated site (*rRosa26*) with a $\sim 10\%$ integration rate in rat zygotes [5]. Later, using 70 the CRISPR/Cas9-ssODNs mediated KI system, a 10.96% KI efficiency in sheep zygotes was determined [6]. Boel et al. [7] first applied this optimized system to a fish 71

72 model, zebrafish (Danio rerio), and sequencing results revealed that erroneous repair 73 was more likely to occur when ssODNs were used as repair templates. Alternatively, 74 the modified donor plasmid containing two HAs flanked by two single guide 75 (sgRNA)-targeted sequences (double-cut donors) typically results in a site-specific KI 76 with a high integration rate [8,9], and this HA-medicated KI has been adapted to 77 zebrafish and medaka (*Oryzias latipes*) [9,10]. Theoretically, if we directly offer a 78 linear double-stranded DNA (dsDNA) flanked by two HAs derived from 5'- and 3'-79 ends of the targeted site and ignore the difference in stability between circular DNA 80 and dsDNA donors, the KI efficiency will increase by convention. In addition to the 81 type of donors, a proper concentration of each component of the CRISPR/Cas9 82 system has a great positive impact on KI by reducing off-target events and embryo 83 lethality. In this regard, we anticipate achieving extremely efficient KI if a reliable 84 delivery system and an optimized dosage of components are chosen in a non-model 85 fish species.

86

87 CRISPR/Cas9-mediated Currently, transgenesis and genome editing have 88 revolutionized traditional theories to accelerate the pace of aquaculture breeding 89 programs, and delivered edible commercial products, such as the genetically modified 90 AquAdvantage salmon [11,12], gene-edited tiger puffer fish and red sea bream (https://doi.org/10.1038/s41587-021-01197-8, 2022). Although the NHEJ strategy 91 92 predominates in altering the consumer-focused traits of fish species, including growth, 93 coloration, and reproduction, the HDR-mediated KI is an effective way to improve the 94 omega-3 fatty acid content and disease resistance [13-15]. In comparison to the 95 non-insertion of KO mutations, the integration of foreign genes by harnessing the 96 HDR pathway usually raises concerns about low KI efficiency and introgression, 97 which directly impact the advocacy of this method and the consumer acceptance of 98 gene-inserted fish [16]. As a result, it is imperative to devise a strategy for both 99 improving the desired traits and preventing introgression to alleviate public concerns 100 about gene-inserted animals. Fortunately, numerous genome-editing-based studies 101 have demonstrated that it is possible to render fish reproductively sterile by 102 altering/disrupting key genes involved in reproduction via the NHEJ repair pathway. 103 Thus, potentially reducing negative environmental effects associated with genetically 104 modified fish [17-19]. Luteinizing hormone (LH) gene regulates gametogenesis and 105 gestation through binding the receptor [20,21]. LH-deficient female zebrafish are

infertile, whereas the mutant males are fertile, indicating that the *LH* gene facilitates
fish oocyte maturation and triggers ovulation [22]. In addition, interruption of the *LH*gene in channel catfish and white-edged rockfish (*Sebastes taczanowskii*) can result in
the production of sterile lines [21,23].

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Large-scale disease outbreaks are inevitable, and methods of disease control need to 111 112 be improved. Antimicrobial peptides (AMPs) are polypeptides that serve as 113 substitutes for antibiotics in a variety of species' initial line of defense (innate 114 immunity) against microbial invasions without developing considerable antibiotic 115 resistance [24,25]. AMPs and antimicrobial peptide genes (AMGs) including cecropin, 116 hepcidin, piscidin, epinecidin-1, lysozyme, and lactoferrin have been used for decades 117 to improve disease resistance in a variety of aquatic animals, as feed supplements or 118 transgenes [13,26]. Cathelicidins are a particularly important AMP family, sharing the 119 common cathelin-like domain [27] and exhibiting broad-spectrum antimicrobial and 120 immune-modulating activities [28]. Recent investigations have shown that 121 alligator-derived cathelicidin inhibits fish pathogens both *in vivo* and *in vitro* [29-31]. 122 Therefore, integrating the AMG into the genomic DNA has broad prospects for 123 establishing novel disease-resistant fish lines.

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125 Fish transgenic for AMGs could provide a significant option to address disease 126 problems, however, and additional goal would be to prevent the possibility of 127 breeding of escapees with wild populations. Hypothetically, a reproductive gene such 128 as LH, responsible for gametogenesis and gestation could be knocked out at the DNA 129 level with the replacement of a cathelicidin gene, leading to sterile fish with 130 heightened disease resistance. Genome-edited sterilized fish from this approach would 131 have fertility temporarily restored with hormone therapy used for artificial spawning 132 of fish, and it is achievable to produce environmentally-compatible and 133 disease-resistant fish lines. In this study, two CRISPR/Cas9 delivery systems: HA-134 and ssODN-mediated KI were employed to insert the As-Cath gene at the channel 135 catfish (Ictalurus punctatus) LH locus to develop a reversibly sterile and 136 disease-resistant line. We compared the KI efficiency, hatchability and fry survival 137 from various systems, and then restored the fertility of As-Cath-integrated sterile of P_0 138 founders through hormone therapy. In addition, the bacterial resistance of P_0 and F_1 139individuals from the new fish line was further evaluated.

140 **2. Materials and methods**

141 2.1. Ethical approval

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The care and use of animals followed the applicable guidelines from expert training courses. Experimental protocols in the current study were approved by the Auburn University Institutional Animal Care and Use Committee (AU-IACUC). All fish studies were conducted in compliance with the procedures and standards established by the Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC).

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150 2.2. Target locus for gene insertion

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As the target integration site, we selected the *LH* gene, which is widely expressed in the theca cells of the ovary and aids in egg maturation and ovulation during gonadal development [22]. Based on the published genome of channel catfish [32], the chosen *LH* site for sgRNA targeting was located in the middle of exon 2 (Fig. 1(A-B)). The inserted segment was derived from the coding sequence (CDS) of the cathelicidin gene of *Alligator sinensis* (*As-Cath*, GeneBank accession number XM_006037211.3) [29].

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160 2.3. Design of donor DNA, sgRNA and CRISPR/Cas9 system

161 Gene-targeted KI can be engineered via HDR using the dsDNAs or ssODNs as donor 162 templates. In the current study, we employed two CRISPR/Cas9-mediated systems to 163 conduct targeted KI of the As-Cath fragment at the LH locus. For the first system, the 164 CDS of the As-Cath gene was cloned into the pUC57_mini vector at the EcoRV 165 enzyme digestion site to create the ssODN1_As-Cath_ssODN2 construct as a plasmid 166 donor. Two sgRNAs (sgRNA1 and sgRNA2) were co-injected to operate as "scissors", 167 cutting the LH gene and linearizing the plasmid donor, respectively, and provided two 168 short ssODNs to ligate the ends of both cut sites, labeled as the 2H2OP system (Fig. 169 1(A)). ssODN1 consists of 80 bp, of which the upstream 40 bp are derived from partial exon 2 of LH gene and the remaining 40 bp are homologous to pUC57_mini 170 171 backbone. For ssODN2, the upstream 40 bp are from the pUC57_mini backbone, 172 while downstream 40 bps come from a portion of exon 2 of the LH gene. The dsDNA 173 donor was created by constructing the As-Cath CDS sequence flanked with two

174 homology arms (HAs) of 300 bp derived from the LH gene of channel catfish on 175 either side of the insert DNA, and we tagged the second construct as 176 HA1_As-Cath_HA2. More specifically, 163 bp of HA1 (the left homology arm) are 177 derived from the upstream of exon 2; 136 bp are identical to intron 1, and 1 bp 178 originated from exon 1. HA2 (the right homology arm) contains 21 bps from exon 2's 179downstream; 85 bps from intron 2 and 194 bps from upstream of exon 3 (Appendix 180 A). Here, we used one sgRNA (sgRNA1) to cut the LH site in the channel catfish 181 genomic DNA and provided a linear dsDNA as the donor template, and this system 182 was labeled as dsDNA (Fig. 1(B)). For both constructs, the expression of the As-Cath 183 gene was driven by the zebrafish ubiquitin (UBI) promoter [33]. The linear dsDNA, circular plasmid and ssODNs were synthesized by Genewiz (South Plainfield, NJ). 184

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186 The sgRNAs were selected via the CRISPR design online tool (CRISPR Guide RNA 187 Design Tool, Benching, <u>https://zlab.bio/guide-design-resources</u>) that targeted the LH 188 gene of channel catfish and the donor plasmid. Candidate sgRNA sequences were 189 compared to the whole genome of channel catfish via the Basic Local Alignment 190 Search Tool to avoid cleavage of off-target sites. In addition, putative off-target sites were 191 excluded using the online tool Cas-OFFinder 192 (http://www.rgenome.net/cas-offinder/) [34]. Eventually, sgRNA1 for LH locus and 193 sgRNA2 for donor plasmid were obtained. The Maxiscript T7 kit (Thermo Fisher 194 Scientific, Waltham, MA) was used to generate sgRNAs in vitro, according to the 195 instructions. Then purified sgRNAs were prepared using the RNA Clean and 196 Concentrator Kit (Zymo Research, Irvine, CA). The concentration and quality of 197 sgRNAs were detected with Nanodrop 2000 spectrophotometer (Thermo Fisher 198Scientific, Waltham, MA) and 1% agarose gel with $1 \times$ tris-borate-EDTA (TBE) 199 buffer, respectively. The synthetic sgRNAs were diluted to a concentration of ~ 300 200 ng/ μ L and then divided into PCR tubes (2 μ L/tube), and stored at -80 \Box until use. 201 The Cas9 protein powder was purchased from PNA BIO Inc. (Newbury Park, CA), 202 and was diluted with DNase/RNase-free water to 50 ng/ μ L, keeping at -20 \Box until 203 use. Single guide RNAs and universal primer used in this study are listed in Table 1. 204 Two different dosages of the donor DNA template and two control groups were set up: 205 50 ng/ μ L, 100 ng/ μ L, sham-injected control (iCT, only the 10% phenol red solution 206 was injected) and non-injected control (nCT, no injection) for each KI system.

208 2.4. Transgenic fish production and rearing

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210 Mature channel catfish females and males were paired for artificial spawning 211 according to Elaswad et al. [35] with some modifications. Briefly, we selected 212 individuals weighing more than 1.5 kilograms for spawning. Female channel catfish 213 were implanted with 75 μ g/kg of luteinizing hormone-releasing hormone analog 214 (LHRHa) to induce ovulation, then eggs were gently stripped in a 20-cm greased 215 spawning pan. Mature males were euthanized; testes were collected, rinsed, weighed 216 and crushed; and sperm were prepared in 0.9 % saline solution (g:v = 1:10). Two 217 milliliters of sperm solution were added to approximately 300 eggs and gently mixed. 218 After a one-minute mixing, sufficient pond water was added to the eggs to activate the 219 sperm, then the sperm/egg mixture was gently swirled for 30 s. More water was added 220 and the embryos were kept in a single layer in the pan, and the embryos were allowed 221 to harden for 15 min before microinjection.

222

223 The CRISPR/Cas9 system used for KI microinjections was combined with Cas9 224 protein, sgRNA and donor template in the ratio of 2:1:1, including one component of 225 phenol red as an indicator. For the ssODN1_As-Cath_ssODN2 construct (2H2OP system), 8 µL of Cas9 protein (50 ng/µL), 2 µL of sgRNA1/sgRNA2 (300 ng/µL), 2 226 227 μ L of donor plasmid (50 ng/ μ L, 100 ng/ μ L), 2 μ L of ssODN1/ssODN2 (50 ng/ μ L, 100 228 $ng/\mu L$) and 2 μL of phenol red solution were mixed for microinjection (Total 8 + 2 + 2229 $+2+2+2+2=20 \mu$ L). With respect to the HA1_As-Cath_HA2 construct (dsDNA 230 system), 4 μ L of Cas9 protein (50 ng/ μ L), 2 μ L of sgRNA1 (300 ng/ μ L), 2 μ L of 231 donor dsDNA (50 ng/ μ L, 100 ng/ μ L), 2 μ L of phenol red and 10 μ L of DNase-free 232water were mixed to bring it up to 20 μ L in total. For each mixture of the 233 CRISPR/Cas9 system, we mixed Cas9 protein and sgRNA first and incubated them on 234 ice for 10 min, then the donor templates were supplemented. For the iCT group, we 235 only injected phenol red (diluted with 0.9 % saline). The mixed solution for each 236 treatment was microinjected into one-cell stage embryos as previously described [36]. 237 Every 6 μ L of the mixture was loaded into a 1.0 mm OD borosilicate glass capillary 238 that was pulled into a needle by a vertical needle puller (David Kopf Instruments, 239 Tujunga, CA), and injected into 600 embryos. We injected 1,000 embryos dividing 240 them into 5 random replicates for each treatment, and another 200 embryos with 3 241 replicates were prepared for each control group, respectively. All these embryos were

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from the same parents, and the microinjection was terminated after 90 min post-fertilization.

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245 All injected and control embryos were transferred into 10-L tubs filled with 7-L 246 Holtfreter's solution (59 mmol NaCl, 2.4 mmol NaHCO₃, 1.67 mmol MgSO₄, 0.76 247 mmol CaCl₂, 0.67 mmol KCl) [37] and 10-12 ppm doxycycline for hatching 248 immediately after microinjection. All tubs were placed in the same flow-through 249 hatching trough and a heater was put upstream of the trough to ensure that the water 250 temperature was 26-28 \square while dissolved oxygen levels were > 5 ppm via continuous aeration with airstones. Holtfreter's solution was replaced twice per day 251252 and dead embryos/fry were collected and recorded daily during hatching to analyze 253hatchability, fry survival rate and genotype. The hatched fry were transferred to a 254 Holtfreter's solution without doxycycline and fed with live Artemia nauplii four times 255 per day. After one week of culture in tubs, all fry from each treatment were stocked 256 separately into 60 L aquaria (120 fish/tank) in a recirculating system for growth 257 experiments. Feed pellet size was adjusted according to the size of the fish's mouth as 258 the fish grew. In detail, fry in tanks fed with Purina® AquaMax® powdered feed (50% 259 crude protein, 17% crude fat, 3% crude fiber, and 12% ash) four times per day for two 260 months. Then fingerlings were fed with Aquaxcel WW Fish Starter 4512 (45% crude 261 protein, 12% crude fat, 3% crude fiber, and 1% phosphorus) twice a day for two 262 months. Juvenile fish were fed with WW 4010 Transition feed (40% crude protein, 10% 263 crude fat, 4% crude fiber, and 1% phosphorus) once a day [14]. All fish were fed to 264 satiation.

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266 2.5. Integration analysis and mutation detection

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After a 4-month culture, all fingerlings (20-40 g) were pit-tagged (Biomark Inc., 268 269 Boise, Idaho, USA) to distinguish each individual, the fish from different treatments 270 were then mixed together and randomly dispersed into two circular tanks (1,200 L 271 volume filled with ~800 L of water) with the same density (120 fish/tank) for growth 272 comparison monthly. Meanwhile, the pelvic fin clip and barbel were taken from 273 anesthetized fish for DNA extraction and genotypic identification. During this phase, 274 all fish received WW 4010 Transition feed once a day to satiation. Different 275 genotyping strategies were involved for these two constructs:

276 ssODN1 As-Cath ssODN2, the CDS region of As-Cath was amplified to confirm 277 gene insertion using primers Cath1-F/R (forward and reverse), and the promoter 278 region was amplified via primers Prom1-F/R. As for the junctions, ssODN1 and 279 ssODN2 regions were amplified using primers ssODN1-F/R and ssODN2-F/R to 280 determine whether it was a target-site insertion. With respect to the 281 HA1_As-Cath_HA2 construct, the As-Cath and promoter regions were detected using 282 primers Cath2-F/R and Prom2-F/R, respectively. Then the left HA and right HA 283 junctions were amplified via primers HA1-F/R and HA2-F/R. Primers were designed 284 online using the software Primer3Plus (http://www.bioinformatics.nl/cgi-bin/primer3plus/primer3plus.cgi) 285 and listed in 286 Table S1 (Appendix B). PCR was performed in a $10-\mu$ L system and PCR products 287 were resolved and visualized by running 1.0% agarose gel with $1 \times \text{tris-acetate-EDTA}$ 288 (TAE) buffer, and a bright band of each region with the corresponding length 289 indicated an on-target positive (LH⁻_As-Cath⁺). Here, if we can determine that some 290 individuals have been inserted with the As-Cath fragment, but we can not detect the 291 junctional regions (HA- or ssODN-region), we then conclude them as potential 292 off-target positives (LH⁺ As-Cath⁺).

293

294 With respect to the LH⁺_As-Cath⁺ fish, we selected 60 individuals to be tested for LH 295 mutations. In this case, PCR was performed in a 20 µL-volume system using Expand High Fidelity^{PLUS} PCR System (Roche Diagnostics, Indianapolis, IN, USA) according 296 297 to Elaswad et al. [35], and LH-F/R primers were used in both constructs. Then, the 298 surveyor mutation detection assay was performed via Surveyor Mutation Detection 299 Kit (Integrated DNA Technologies, IDT, Coralville, Iowa, USA) according to the 300 detailed instructions [38]. A negative control reaction was included in the assay by 301 using genomic DNA from the nCT group. Surveyor-digested DNA samples were 302 electrophoresed for 1 hour in a 2% agarose gel using $1 \times \text{TBE}$ buffer and compared to 303 wild-type samples.

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305 2.6. DNA sequencing

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For the integrated As-Cath, promoter and junction sequences, PCR of positive samples was performed in a 50 μ L-volume of system. Then the PCR products were purified using the QIAquick^R PCR Product Purification Kit (QIAGEN, Hilden, Germany) according to the manufacturer's instructions. Before sequencing, all purified DNA samples were quantitated and identified using Nanodrop and by running 1.0% agarose gel. Primers Cath1-F/Cath2-F and Prom1-F/Prom-2F were used for sequencing of As-Cath and promoter regions for HA1_As-Cath_HA2 and ssODN1_As-Cath_ssODN2 constructs, respectively; primers HA1-F/HA2-F and ssODN1-F/ssODN2-F were used for sequencing of junctional regions for these two constructs, respectively.

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318 Regarding LH mutations, we cloned the PCR products of putative mutant individuals 319 using TOPO TA Cloning Kit (Invitrogen, Carlsbad, CA) before sequencing following 320 the instructions with some modifications. Briefly, PCR was performed on each mutant 321 individual that was previously identified with Surveyor assay using the primers 322 LH-F/R for the next cloning steps. In addition, the DNA of three wild-type individuals 323 from the nCT group was prepared using the same primers and procedures, then 324 combined into one reaction and cloned as a wild-type control for sequencing. After cloning, we transformed the pCRTM4-TOPO vector containing the PCR products into 325 One Shot TOP10 ElectrocompTM E. coli (Invitrogen, Carlsbad, CA) as previously 326 327 described [35]. Then 15 single colonies were randomly picked up to perform Colony 328 PCR, and LH-F primer was used for the sequencing of LH mutant samples.

329

330 2.7. Determination of mosaicism and transgene expression

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332 Five 12-month-old on-target positive fish and five sham-injected control fish were 333 randomly chosen and sacrificed. Fourteen tissues, including skin, liver, kidney, spleen, 334 blood, intestine, gill, stomach, fin, barbel, muscle, eye, brain and gonad of each 335 individual were collected in 1.5 mL tubes and immediately transferred into liquid 336 nitrogen for DNA and RNA isolation. PCR and quantitative real-time PCR (qRT-PCR) 337 were conducted to detect the As-Cath gene's potential mosaicism and mRNA level. 338 Total RNAs were isolated from various tissues using TRIzol reagent (Thermo Fisher 339 Scientific) and were reverse transcribed to cDNA using iScriptTM Synthesis Kit 340 (Bio-Rad, Hercules, CA) following the manufacture protocols.

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qRT-PCR was performed on a C1000 Thermal Cycler using SsoFast[™] EvaGreen
 Supermix kit (Bio-Rad, Hercules, CA) according to the instructions. Concentrations

344 of the cDNA products were diluted to 250 ng/ μ L, and 1 μ L template was used in a 10 345 µL PCR reaction volume. The mRNA level of 18S rRNA was used as an internal 346 control, and the detailed qRT-PCR procedure was set up according to Coogan et al. 347 [39]. The primers (Cath_RT-F and Cath_RT-R) used for qRT-PCR are listed in Table 348 S1 (Appendix B). The CFX Manager Software (version 1.6, Bio-Rad) was used to 349 collect the raw crossing-point (C_i) values. The expression level of a target gene to the 350 18S rRNA gene from transgenic fish against non-transgenic sibling fish was converted to fold differences. Each sample was analyzed in triplicate using the 351 formula $2^{(-\Delta\Delta CT)}$, which sets the zero expression of the non-transgenic full-siblings to 352 353 $1 \times$ for comparison.

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355 2.8. Reproductive evaluation and restoration of parental KI fish

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357 All P_0 fish were stocked into a 0.04-ha earthen pond at Fish Genetics at Auburn 358 University for growth and maturation. At the age of two years, some P_0 individuals 359 are expected to reach sexual maturity [40]. To evaluate the reproduction of 360 two-year-old KI founders, on-target positive (LH⁻_As-Cath⁺), off-target positive 361 (LH⁺_As-Cath⁺), and wild-type (WT) fish were selected to conduct a three-round 362 mating experiment. Firstly, 3 pairs of WT, 6 pairs of LH⁻_As-Cath⁺, and 4 pairs of 363 LH⁺_As-Cath⁺ mature parents were randomly placed into 13 tanks ($60 \times 45 \times 30$ cm³) 364 for a two-week natural spawning to evaluate the spawnability of each genotype, and 365 egg masses were collected from the spawnable parents. Then we primed the males 366 with a 50 µg/kg LHRHa implant and 1600 IU/kg human chorionic gonadotropin 367 (HCG) in the unspawned groups with a one-week observation to determine if 368 LH⁻ As-Cath⁺ females were fertile. After this period, we recruited 6 more pairs of 369 LH⁻_As-Cath⁺ fish to perform a 3×4 factorial design with 3 dosages of a 370 combination of HCG and LHRHa implant (1200 IU/kg HCG + 50 µg/kg LHRHa, 371 1600 IU/kg HCG + 50 μ g/kg LHRHa, 2000 IU/kg HCG + 50 μ g/kg LHRHa) and 0.85% 372 NaCl injected control group to assess the effects of hormone therapy. A 30-g egg mass 373 for each genotype with 3 replicates was collected to calculate the fecundity (eggs/kg 374 body weight [BW]). The masses were then transferred into tubs for hatchability and 375 fry survival determination. Fish were fed ad libitum throughout the experiment. 376

377 2.9. Generation and genotype analysis for F_1 fish

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All the fry were separated into 60 L tanks by different genotypes. After 4 months of culture, fin clips and barbels were collected for DNA extraction from 60 F_1 individuals of each genotype except the control groups. The same culture and genotyping procedures as described above were applied to the F_1 generation.

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2.10. *Experimental challenge with Flavobacterium covae and Edwardsiella ictaluri*

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Gene-edited channel catfish were cultured in 60 L aquariums in the greenhouse of the Fish Genetics Laboratory at Auburn University (approved by AU-IACUC). To determine the resistance against pathogens, both P_0 and F_1 fish were challenged by *F. covae* and *E. ictaluri*.

390

391 *F. covae* challenge. Healthy P₀ fingerlings with body weight 150.62 \pm 4.24 g (mean \pm SEM), including four genotypes (15 fish/genotype): LH⁻_As-Cath⁺, LH⁺_As-Cath⁺, 392 393 negative LH⁺_As-Cath⁻ (negative fish without As-Cath insertion or LH mutation) and 394 WT were mixed and acclimated in one hatching trough for five days and then 395 transferred to a 1,800-L tank in the challenge room for acclimation for another 24 to 396 48 h prior to bacterial infections. All fish were randomly/equally separated into two 397 60-L buckets (30 L water). Briefly, a revived F. covae isolate (strain ALG-00-530) on 398 modified Shieh agar (MSA) was inoculated into multiple cultures of 12 mL of 399 modified Shieh broth (MSB) in 50-mL sterile flasks and grown in a shaker incubator 400 at 150 rpm for 12 hours at 28°C. These cultures were then expanded into 200 mL 401 cultures (5 mL additions) in 500 mL flasks and grown for another 12 h. The optical 402 density was adjusted to $OD_{540} = 0.731$ and then spread plate dilutions were performed 403 to determine the final inoculum concentration. One hundred microliters of each 404 inoculum were serially diluted and spread onto MSA agar plates in duplicate and 405 incubated at 28 °C for 48 h to quantify the concentration of the inoculum. Two flasks containing 325 mL of inocula $(4.55 \times 10^8 \text{ CFU/mL})$ were immediately added to two 406 60 L buckets with fish following preparation, respectively. Then the fish were 407 immersed statically in buckets for 1.5 hours at ~28 °C (immersion dose: 2.46×10^6 408 409 CFU/mL); afterward, all fish were gently moved back into the 1,800-L tank 410 containing 1,000-L water and water flow was resumed. Meanwhile, a 411 mock-challenged tank was used as the control but incorporated another 40 fish in 30 L

of rearing water for 1.5 hours with sterile modified Shieh broth (325 mL) instead of the bacterial culture. With respect to the challenge of F_1 fry (3.15 ± 0.24 g), four families of F_1 fry (45 fish/family): LH⁻_As-Cath⁺, LH⁺_As-Cath⁺, LH⁺_As-Cath⁻ and WT were selected, and each family was randomly divided into three replicates with 15 fish per basket. The same challenge procedure and strain of *F. covae* with a dose of 4.75 × 10⁸ CFU/mL (immersion dose: 2.57×10^6 CFU/mL) were implanted for the F_1 generation.

419

420 E. ictaluri challenge. Sixty P_0 fish (142.62 \pm 3.72 g) including the above four 421 genotypes, were prepared for the E. ictaluri challenge. E. ictaluri (\$97-773) was 422 provided by the USDA-ARS, Aquatic Animal Health Research Unit, Auburn, AL. The 423 detailed procedures of the *E. ictaluri* challenge were performed according to Simora 424 et al. [30] with some modifications. Briefly, 1 mL of frozen glycerol stock of E. 425 ictaluri was inoculated into 20-mL brain-heart infusion broth (BHIB; Hardy 426 Diagnostics) at 26°C in a shaker incubator at 180 rpm for 24 hours. And then bacteria 427 were subcultured into 1-L BHIB for another 24 hours at the same condition until the cell density reached ~ 1×10^8 CFU/mL based on the OD₆₀₀ value. All 60 P₀ individuals 428 429 were transferred into one 1,800-L tank for the challenge. Before starting E. ictaluri 430 infection, water was lowered to a total of 100 L, then one liter of E. ictaluri suspension containing 3.2×10^8 CFU/mL cells was added to the tank resulting in a 431 final immersion dose of 3.2×10^6 CFU/mL. Fish were immersed statically for 2 hours 432 433 with aeration > 5 ppm, then water was restored. In addition to infected groups, one 434 control tank containing 30 fish received only BHIB as a mock-challenged group. With 435 respect to the challenge of F_1 fingerlings (54.27 ± 1.49 g), a total of four genotypes 436 containing 60 fish were selected, and the same challenge procedure and strain of E. *ictaluri* with a dose of 2.8×10^8 CFU/mL (immersion dose: 2.8×10^6 CFU/mL) were 437 implanted for the F_1 generation. 438

439

During the first 72 h of the experiment, we checked for mortality every four hours and then three times daily. Challenged fish were continuously monitored for 10 days for external clinical signs of *F. covae/E. ictaluri* and confirmation of bacteria colony growth by isolating bacteria from the kidney and liver to determine the cause of death, and dead individuals were recorded over time.

446 2.11. Statistical analysis

447

Spawnability, hatchability, fecundity, fry survival rate, and growth data were analyzed 448 449 using one-way ANOVA/Tukey's multiple comparisons test to determine the mean 450 differences among treatments. To compare the KI efficiency of different groups, 451 one-way ANOVA/Tukey's multiple comparisons and odds ratio (OR) (Table S3 in 452 Appendix B) were adopted. The survival curves of challenge experiments from 453 different genotypes were compared by the Kaplan-Meier plots followed by Log-rank 454 (Mantel-Cox) test. All statistical analysis was achieved via GraphPad Prism 9.4.1 455 (GraphPad Software, LLC). Gene expression between transgenic and non-transgenic 456 fish was analyzed with an unpaired Student's two-sample *t*-test. Statistical 457 significance was set at P < 0.05, and all data were presented as the mean \pm standard 458 error (SEM).

459

460 **3. Results**

461

462 3.1. Targeted KI of As-Cath gene into the LH locus

463

464 Both the 2H2OP and dsDNA systems can induce As-Cath-integrated catfish lines with 465 high integrated ratios, but the 2H2OP system had significant off-target effects (Fig. 466 1(CD), Fig. S1-S4 in Appendix B). More specifically, the 2H2OP system containing 467 $50 \text{ ng/}\mu\text{L}$ of donors (2H2OP50) showed the highest KI efficiency at 27.61% (37/134), 468 followed by the groups 2H2OP100 (17.76%, 27/152), dsDNA50 (12.21%, 26/213) 469 and dsDNA100 (10.25%, 25/244) (Table S2 in Appendix B). Although the 2H2OP50 470 group can introduce the highest KI efficiency (P < 0.01) (Fig. 2(A)), and 2H2OP 471 system or 50 ng/ μ L of donors bring a significantly higher KI efficiency than the 472 dsDNA method (P = 0.0001) or 100 ng/µL of donors (P = 0.00469) (Fig. 2(BC)). 473 However, the dsDNA with 50 ng/ μ L donors demonstrated the highest on-target KI 474 efficiency (10.80%, 23/213) compared to other treatments (P < 0.01) (Fig. 2(D)). In 475 contrast, only one on-target KI case was observed in the 2H2OP system, which was 476 significantly lower than that in the dsDNA (P < 0.0001) (Fig. 2(E)). Although 477 different dosages of donors exhibited a significant effect on the total KI efficiency, our 478 results indicated that this difference was not significant in the on-target KI (P =479 0.3577) (Fig. 2(F)).

480

481 According to the odds ratio, the 2H2OP system and low dosage tended to bear a 482 higher total integrated rate which was 2.30 and 1.47 times than that of the dsDNA 483 (OR = 2.30 for 2H2OP vs dsDNA) and high dosage (OR = 1.47 for 50 vs 100 ng/ μ L), 484 respectively. Nonetheless, dsDNA had an overwhelming surpriority in on-target 485 integration, which was more than 20 times greater than that in the 2H2OP system (OR 486 = 26.70) (Table S3 in Appendix B). Taken together, the dsDNA system accompanied 487 by a dosage of 50 ng/µL of donors tends to yield the highest on-target KI efficiency in 488 our current study.

489

Given the non-As-Cath-integrated fish, we did detect individuals with only the LH
mutation. Specifically, 5.56% (3/54), 6.67% (4/60), 3.33% (2/60), and 3.33% (2/60)
of fish with *LH* deficiency in the 2H2OP50, 2H2OP100, dsDNA50 and dsDNA100
groups, respectively, were detected by the Surveyor mutation test (Table S2 in
Appendix B). The sequencing results revealed that 2, 2, 1 and 3 types of mutations in
4 *LH*-mutant individuals from the 2H2OP100 group (Fig. S5 in Appendix B).

496

497 3.2. Effects of the dosage and CRISPR/Cas9 system

498

499 Different donor dosages and CRISPR/Cas9-mediated systems exhibited toxicity to 500 fish embryos by decreasing the hatchability and fry survival rate. Although there were 501 no significant differences in hatching rates among these four CRISPR/Cas9-mediated 502 injected groups compared to the iCT group (P = 0.1630), the hatching rate was lower 503 than the nCT group (P < 0.01) (Fig. 2(G)). Moreover, the lethality of embryos was consistent across different donor dosages (50 vs 100 ng/ μ L) (P = 0.1080) or 504505 CRISPR/Cas9-mediated systems (2H2OP vs dsDNA) (P = 0.0796), which was 506 significantly higher than that in the nCT group (Fig. 2(HI)). For the fry survival, the 507 survival rate of the microinjection group was significantly lower compared with the 508 nCT group (P < 0.0001) (Fig. 2(J)). In addition, the dsDNA system induced a higher 509 survival rate of fry (P = 0.0031) (Fig. 2(K)) than the 2H2OP system. Still, donor 510 dosages showed no significant differences in fry survival after hatching (P = 0.2923) 511 (Fig. 2(L)).

512

513 3.3. Mosaicism and As-Cath expression

514

515 PCR and RT-PCR were used to detect the As-Cath transgene and its expression of 516 different tissues in on-target positive fish. The results revealed that three of the five 517 LH⁻_As-Cath⁺ fish showed the expression of the As-Cath in all 14 sampled tissues 518 (skin, liver, kidney, spleen, blood, intestine, gill, stomach, fin, barbel, muscle, eye, 519brain and gonad) (Fig. 3(AB)), but one of them had expression observed in 11 tissues 520 (except barbel, muscle and gill) and another one in 8 tissues (skin, liver, blood, 521 intestine, gill, barbel, muscle and gonad) (Fig. S6 in Appendix B), suggesting 522 mosaicism in the on-target positive individuals. We found that the expression of 523 As-Cath was detected even without pathogenic infections for the three on-target 524 positive individuals. The three highest mRNA levels were determined in the kidney 525 (28.91 fold changed), skin (24.30 fold), and gill (8.445 fold), followed by the muscle 526 (7.430 fold), spleen (6.047 fold) and barbel (4.808 fold). However, the eye (1.327 527 fold), intestine (1.589 fold), and fin (1.608 fold) had the lowest expression compared 528 to other tissues (Fig. 3(C)).

529

530 In addition, compared to the WT individuals, the mRNA level of *LH* in gonads was 531 down-regulated in LH⁻_As-Cath⁺ females at the age of one year (P = 0.0016), but 532 there was no significant difference in that of males (P = 0.5817) (Fig. 3(D)).

533

534 3.4. Reproductive sterility and restoration of reproduction

535

536 A three-round mating experiment determined the promise for complete control of 537 channel catfish reproduction (Fig. 4(A)). Our outcomes revealed that three pairs of 538 WT (100%, 7927 eggs/BW) and two pairs of LH^+ As-Cath⁺ fish (50%, 8952) 539 eggs/BW) were spawned respectively during the first two-week natural mating, but no spawn was observed in the LH⁻_As-Cath⁺ pairs (0%). Compared to the LH⁻_As-Cath⁺ 540 541 pairs, WT and LH⁺_As-Cath⁺ fish had higher spawnability under natural pairing 542 conditions (P = 0.0148 and P = 0.1743). In addition, the LH⁺ As-Cath⁺ pairs did not 543 show a significant difference in spawnability compared to the WT pairs (P = 0.2143) 544 (Fig. 4(B)).

545

546 Furthermore, a one-week hormone priming (50 μ g/kg LHRHa + 1600 IU/kg HCG) of 547 the males did not stimulate LH⁻_As-Cath⁺ females to give eggs, indicating 548 LH-deficient females blocked oocyte maturation and ovulation. However, our results 549 discovered that a combination of LHRHa and HCG can effectively induce spawning 550 for the LH⁻_As-Cath⁺ females when both males and females were primed. Specifically, two, two and one female gave eggs after 24 to 48 hours post-hormone 551 552 injection from the 1200 IU (6213 eggs/BW), 1600 IU (5514 eggs/BW) and 2000 553 IU/kg (3778 eggs/BW) HCG group combined with 50 μ g/kg LHRHa, respectively. 554These three treatments significantly improved the fecundity compared to 0.85 % NaCl 555 injection (P < 0.0001). Additionally, the fecundity decreased with increasing hormone 556 dosage, but the difference among these three hormone dosages was not significant (P 557 = 0.0731). Nevertheless, the fecundity can be restored to a normal level when 1200 (P 558 = 0.2627) or 1600 (P = 0.1983) IU/kg HCG combined with 50 µg/kg LHRHa was 559 adopted (Fig. 4(C)). Compared with the WT and the other hormonal-therapy groups, 560 the 2000 IU/kg HCG group significantly reduced the fecundity (3778 eggs/BW, P =0.0494) and hatchability (18.01%, P = 0.0476) (Fig. 4(D)). Although different 561 562 hormonal treatments had varying effects on fecundity and hatchability, they had no 563 effects on fry survival at the early stage (P = 0.1018) (Fig. 4(E)).

564

565 3.5. F_1 genotyping, growth comparison in P_0 and F_1

566

As mentioned above, three WT, two LH⁺_As-Cath⁺, and five LH⁻_As-Cath⁺ families 567 568 were generated from our three-round mating experiment. However, genotype analysis 569 determined that only one family in the LH⁺_As-Cath⁺ line (33.33%[10/30] integrated rate in the F_1 offspring) and two families in the LH⁻_As-Cath⁺ line (40%[12/30]) 570 571 integrated rate in the F_1 progeny of family 1 and 46.67% [14/30] integrated rate in the 572 F_1 offspring of family 2), respectively, had the As-Cath gene detectable in the F_1 573 generation. These results further confirmed the existence of the mosaic phenomenon 574 in the P₀ founders.

575

To determine the effects of *LH* disruption and *As-Cath* integration on fish growth, we compared the BW over time of the P₀ founders and the F₁ progeny, respectively. The growth data suggested that the LH⁻_As-Cath⁺ individuals did not show superiority in terms of growth in the first nine months in the P₀ generation. Nonetheless, P₀ LH⁻_As-Cath⁺ fish exhibited the largest body gain (36.35 g) compared to other genotypes (25 g). Furthermore, significantly faster growth was demonstrated in the F₁ generation of LH⁻_As-Cath⁺ after a three-month culture. Hence, our results indicated ⁵⁸³ more immediate growth potential for the LH⁻_As-Cath⁺ fish than the WT fish (Table

584 **2**).

585

586 *3.6. Enhanced resistance against fish pathogens*

587

588 Enhanced resistance against F. covae and E. ictaluri of As-Cath-integrated fish was 589 observed compared to WT/negative individuals from our challenge experiments in 590 both P_0 and F_1 generations. According to F. covae challenge results, there was no 591 significant difference in survival rate between the two types of controls (WT and 592 LH⁺_As-Cath⁻) in both P₀ (13.33% vs 20%, P = 0.8682) and F₁ generation (26.67% vs 40%, P = 0.8955). However, LH⁻_As-Cath⁺ and LH⁺_As-Cath⁺ fish exhibited 593 594 significantly improved survival post F. covae infection compared to the WT control 595 group in both P₀ founders (LH⁻_As-Cath⁺ vs WT: 73.33% vs 13.33%, P = 0.0016; 596 $LH^{+}_{As}-Cath^{+}$ vs WT: 66.67% vs 13.33%, P = 0.0014) and F_{1} progeny $(LH^{-}As-Cath^{+}vs WT: 86.67\% vs 26.67\%, P = 0.0010; LH^{+}As-Cath^{+}vs WT: 73.33\%$ 597 598 vs 26.67%, P = 0.0127). Additionally, on-target insertion of the As-Cath gene resulted 599 in improved resistance against F. covae than in the off-target positives without 600 statistically differing in both generations (73.33% vs 66.67%, P = 0.7726 for P₀, and 601 86.67% vs 73.33%, P = 0.3613 for F₁). Furthermore, our findings revealed that the F₁ 602 progeny was more resistant to *F. covae* than its P_0 parents (Fig. 5(AB)).

603

604 Increased resistance to *E. ictaluri* was also observed in the P_0 (LH⁻_As-Cath⁺ vs WT: 73.33% vs 33.33%, P = 0.0125; LH⁺_As-Cath⁺ vs WT: 60% vs 33.33%, P = 0.0427) 605 606 and F_1 generations (LH⁻ As-Cath⁺ vs WT: 66.67% vs 40%, P = 0.0558; 607 LH^+ _As-Cath⁺ vs WT: 73.33% vs 40%, P = 0.0350), with results that were similar to 608 those of the *F. covae* challenge. Overall, As-Cath-integrated individuals showed a 609 significant improvement in the survival rate compared to the WT fish (66.67% vs 33.33%, P = 0.0381 for P₀; 70% vs 40%, P = 0.0335 for F₁). Nevertheless, there was 610 no significant difference in LH⁻_As-Cath⁺ and LH⁺_As-Cath⁺ fish (73.33% vs 60%, P 611 612 = 0.4566 for P₀; 66.67% vs 73.33%, P = 0.6851 for F₁) (Fig. 5(CD)).

613

614 **4. Discussion**

615

In contrast to the previous gene-editing oriented exclusively to the improvement of the desired traits, the present study took into account ways to lessen the potential 618 impact of transgenic fish on the ecosystems and genetic biodiversity. Specifically, we 619 successfully integrated an AMG into the reproduction-associated locus using different 620 CRISPR/Cas9-mediated systems. We identified a suitable KI system for channel 621 catfish to achieve boosted resistance against fish pathogens and reproductive control, 622 reducing the reliance on antibiotics and anti-parasitics in aquaculture. The 623 HA-mediated CRISPR/Cas9 system displayed a high integrated rate, low off-target 624 events, and low toxicity. In addition, reproduction is entirely controllable and can only 625 be restored to normal levels of fecundity with hormone therapy in the new fish line. In 626 general, the insertion of the cathelicidin gene at the LH locus for enhanced resistance 627 against infectious diseases and reproductive confinement to improve consumer-valued 628 qualities and to promote the environmental friendliness of transgenic fish appears 629 promising.

630

631 There have been several obstacles involved in the CRISPR/Cas9-mediated KI system 632 when it is used in the embryos of non-model animals. In the history of genome editing, 633 the initial CRISPR/Cas9 systems were proposed based on mammalian cells or 634 embryos of the model animals. From model to non-model animals, there are several 635 uncertainties, such as embryo size, developmental period, and the sensitivity to Cas9 636 protein that researchers have to optimize a fitted system when starting a new species' 637 genome editing. Yoshimi et al. [5] demonstrated that the ssODN-mediated end joining 638 approach induced a high integrated rate of 17.6% (3/17) in rats when a short ssODN 639 template was provided. Conversely, recent works indicated that ssODN-mediated KI 640 could induce a high percentage (17.8%) of indel mutations in sheep [41]. In the 641 current study, we used CRISPR/Cas9 systems mediated by ssODN and HA to create 642 on-target KIs of the As-Cath gene at the LH locus. Although a high KI efficiency of 643 22.38% (64/286) was detected in the ssODN-mediated system, it caused a high 644 off-target frequency (> 90%) in the channel catfish. Our results are in agreement with 645 findings in zebrafish, which have illustrated that erroneous ssODN integration 646 occurred when various template lengths were adopted [7]. These studies suggest that 647 ssODN-mediated KI efficiency in fish models relies heavily on ssODN templates [42], 648 and caution is warranted when employing ssODNs to create KI models.

649

Compared to the ssODN-mediated system, HA-assisted KI can achieve a 20–30%
HDR-mediated knockin in human cells with various homogenous sequences [9,43]. In
addition, Simora et al. [44] determined that HA-mediated CRISPR/Cas9 provided

653 with a linear dsDNA donor displayed a total integrated rate of 29% at the non-coding 654 region of channel catfish genome, which is drastically higher than that of this work 655 (29% vs 11.16% [51/457]). We believe this difference in integration rate is due to the 656 different sample sizes, unknown functions in the target regions (non-coding vs LH 657 locus), efficiency of sgRNA and HA, and unpredictable genetic interaction; the larger 658 sample size from our study could give more robust conclusions. These findings reveal 659 that the HA-mediated system is more effective in the catfish species compared to the 660 ssODN. The KI efficiency of HDR-induced CRISPR/Cas9 has been at a low level 661 including in cell lines and model animals [5,7,9]. Fortunately, new 662 CRISPR/Cas-mediated techniques are constantly being developed. For instance, the 663 CRISPR/Cas12i-mediated system shows promise in multiplexed genome editing with 664 high mutation rates in human T cells [45]. Additionally, Kelly et al. [46] established a 665 CRISPR/Cas9 HITI system for the insertion of large DNA donors with high integrated 666 efficiency of 36% in human 293T cells. Recently, a new approach named 667 dCas9-SSAP demonstrated a high on-target KI efficiency (~20%) knocking in long 668 sequences in mammalian cells [47]. These new tools or systems are encouraging to be 669 applied from model to non-model animals and could improve genome-editing 670 efficiency.

671

672 Although we predicted and avoided possible off-target sites using the 673 well-acknowledged software, the actual integration results showed the existence of 674 off-target activities. This is mainly due to the failure of *in silico* prediction to predict 675 bona-fide off-target sites in vivo [48,49]. Furthermore, the frequency of off-target 676 events is higher *in vivo* of animal experiments than that of in cellular experiments *in* 677 vitro [50]. The majority of published studies contend that the observed unintended 678 mutations/insertions is one major concern in the application of the CRISPR/Cas9 679 system, which could confound the interpretation of findings [49,51,52]. However, 680 although some reports claim that no detectable undesirable mutations/insertions from 681 the genotypes or phenotypes have been revealed in mice and fish [44,53,54], the 682 following underlying potentials could be noted: 1) Unaltered phenotypes may be 683 observed since the off-target cleavage can occur in a non-coding region [55]. 2) The 684 researchers tend to focus on the P₀ founders with intended insertions rather than those 685 harboring possible off-target mutations [56-57]. 3) Most published research using 686 animal models does not use genome-wide methodologies for detecting off-target cases, 687 which could conceal some infrequent off-target editing sites [50]. In the same case,

with the exception of *LH* mutations, we did not conduct a thorough detection on all off-target individuals due to its being time-consuming and expensive. Nevertheless, this does not preclude us from keeping the non-analyzed off-target individuals as we will eventually genotype them in a genome-wide and unbiased way.

692

693 Genetic mosaicisms have been and will still be another obstacle to applying CRISPR/Cas9-mediated genome editing in practical applications. In this study, we 694 695 failed to effectively obtain a 100% of individuals without mosaics. In essence, 696 mosaicism from CRISPR/Cas9-genome-edited organisms is common in the case of 697 fertilized egg-based editing, and mosaic animals have been observed in mice [58,59], 698 rats [57] and zebrafish [60,61] with a variety of frequencies. CRISPR/Cas9 699 engineered mosaicisms bring undesired consequences, hindering the generation of 700 homozygous positive offspring and prolonging the generation of homozygotes. We 701 evaluated the As-Cath gene expression from five on-target positive P_0 founders and 702 found that one individual had no expression in the gonad. In our study, several mosaic 703 events were determined in the germline, resulting in the inability to transfer the 704 As-Cath gene to the offspring. Thus, we believe that mosaicism is also common and 705 unavoidable in non-model fish. Although early sperm/testis or egg/ovary genotyping 706 can be effective in avoiding the creation of undesirable offspring, it is challenging to 707 access the germline DNA without sacrificing the parents. Of importance, we still 708 maintain our mosaic populations for genotyping and phenotyping in the further F_2 and 709 F_3 progeny until homozygous individuals are obtained. Future research could reduce 710 mosaicisms by delivering CRISPR/Cas9 components to very early-stage zygotes [6]. 711 Alternatively, the new strategies, i.e., *Easi*-CRISPR, C-CRISPR [6], CRISPR/Cas9 712 HITI [46] and dCas9-SSAP [47] could be used to prevent the induction of mosaic 713 animals.

714

715 Regardless of the type of CRISPR/Cas9-mediated genome editing, microinjection 716 always has irreversible effects on embryos, i.e., increased mortality and decreased 717 hatchability from our current study. High embryonic deaths were observed from 718 shame- and CRISPR/Cas9-mediated-microinjection in our study, revealing that major 719 mortality occurs due to the injection of the yolk, while fewer impacts are from the 720 DNA donors and reagents [44]. Although a high dosage resulted in a high embryonic 721 mortality and lower hatching rate, it did not significantly reduce the fry survival rate 722 compared to the injected-control group, which is in agreement with the findings from Elaswad et al. [35]. This may be because microinjection only has a detrimental effect on the yolk of the embryo. Still, this effect no longer affects the fry once the fertilized eggs have successfully hatched. Given the unavoidable physical lethality of embryos, off-target effects and mosaicisms, we recommend microinjection of ~3000 fertilized eggs for non-model fish species in order to afford enough gene-edited fish for subsequent validation experiments.

729

730 To assess the pleiotropic effects, we compared the growth performance of the 731 on-target/off-target As-Cath-integrated fish line with the WT population. Our findings 732 demonstrated that off-target insertions did not exhibit growth depression or 733 improvement in various families of P_0 founders. Nonetheless, the preliminary data 734 revealed the LH⁻_As-Cath⁺ fish had a greater gain in body weight compared to the 735 WT individuals after a three-month culture in the tank, indicating that the growth 736 differences are emerging in the F_1 progeny. This variation may be due to heterozygous 737 individuals lacking stable genetic traits, or off-target integrations in other regions 738 concealing growth advantages in the P_0 generation [50]. cfGnRH-deficient channel catfish did not show significant effects in growth and survival throughout a four-year 739 740 culture compared to the WT fish [19]. However, potential pleiotropic effects could exist 741 when the *LH* gene is replaced by the As-Cath in our cases. Therefore, P_0 mosaic 742 founders carrying the As-Cath gene should be used to produce F_1 , F_2 and F_3 743 homozygous families, and then the comparisons of the growth, survival rate, seinability 744 and carcass traits could be performed to avail the enhanced performance of 745 LH⁻_As-Cath⁺ fish line more transparent to farmers and the public in the future.

746

747 HDR-mediated KI is rarely applied in aquaculture due to the very low integration 748 efficiency, but most of the traits were achieved by NHEJ-mediated KO [17,18]. In 749 addition, few studies proved that gene-mutants can induce disease-resistant fish lines 750 via KO to date [13]. By contrast, the integration of AMG is encouraging to improve 751 resistance against pathogens in fish [13,16]. However, consumers generally have 752 relatively little awareness of transgenesis and have more negative attitudes toward genetically modified organisms than genome-edited organisms [62], hence the public 753 754 pushback against transgenic/gene-edited animals is hindering them from reaching the 755 market. Here, we reasonably contend that cathelicidin transgenic catfish would not 756 pose a threat to food safety since: 1) Meat from artificially grown alligators is edible

even when consumed raw, and the gut will digest most proteins and inactivate them. 2)
Eventually, amino acids rather than proteins are absorbed by humans. 3) Even though
the gene sequence is ever-changing in various beings, there are only 20 different types
of encoded amino acids that are frequently consumed by humans. In this vein, we are
raising attention of potential benefits and risks of our *As-Cath* transgenic catfish by
making them transparent to the public.

- 763 Nonetheless, scientists and breeders need to be aware of the possible damage that 764 genetically modified fish could cause to the environment and ecosystem [16]. On the 765 one hand, reproductive sterility via genome editing has been attracting the attention of 766 researchers and offering opportunities to reduce environmental risks in aquaculture 767 [62]. On the other hand, representative examples have illustrated that reproductive 768 confinement is promising in model and cultured fish by knocking out/disrupting 769 gonadal development-related genes [23,63-66]. Recently, Qin et al. [19] demonstrated 770 that the reproduction-blocked channel catfish are sterile, and this reproductive 771 confinement can be lifted through hormone therapy with LHRHa. In this study, the 772 dose of 1600 IU/kg HCG coupled with 50 μ g/kg LHRHa can restore fecundity at the 773 highest level in comparison to other hormone treatments, but this improvement is not 774 significant from that of 1200 IU/kg HCG. Therefore, a low dose of 1200 IU/kg HCG 775 is recommended for hormone therapy to restore the reproduction of the sterile fish 776 line to reduce costs. In addition to genetically achieving reproductive sterility, 777 well-confined culture systems should be adopted to avoid the escape of 778 mutant/transgenic individuals, especially in the experimental phase of transgenic fish.
- 779

780 **5. Conclusions**

781

782 We established a sterile catfish line that confers enhanced resistance to fish pathogens 783 by expressing the cathelicidin protein. Our study has demonstrated that the insertion 784 of the cathelicidin gene at the LH locus by harnessing the HA- or ssODN-mediated 785 CRISPR/Cas9 system can be a robust approach to produce sterilized and 786 environmentally-sound fish lines with enhanced disease resistance. Encouragingly, 787 CRISPR/Cas9-mediated KI of AMGs at the reproduction-related loci coupled with 788 hormone therapy could be applied in other commercial fish to increase profits and 789 lower environmental dangers posed by escaped genetic-modified individuals. Notably, 790 even though the desired traits (on-target insertions) can be quickly achieved through

791	CRISPR/Cas9-mediated genome editing, this does not safeguard that we will be able
792	to yield enough non-mosaic P_0 founders. We contend the genome-editing tool should
793	be used as a complement to existing breeding techniques, not a replacement for them.
794	Hence, a combination of genome editing and conventional selective breeding is
795	required to maximize the benefits of CRISPR/Cas9 tools more effectively in aquatic
796	applications and to hasten the breeding process. In conclusion, this study showed the
797	potential of overexpressing a disease-resistant peptide inserted at a
798	reproduction-related gene using CRISPR/Cas9 in channel catfish, which may provide
799	a strategy of decreasing bacterial disease problems in catfish at the same time
800	reducing environmental risks.
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820 821	
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823	Appendix A. Supplementary data
824	
825 826	Supplementary data to this article can be found from Appendix A and Appendix B.
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Fig. 1. Single-stranded oligodeoxynucleotide (ssODN) and linear double-stranded DNA (dsDNA) with CRISPR/Cas9 mediating knock-in (KI) at the luteinizing hormone (LH) locus of channel catfish. (A) Schematic illustration of the insert-specific region for the cathelicidin gene from Alligator sinensis (As-Cath) KI via the two-hit two-oligo (2H2OP) system assisted by ssODNs at the LH locus, named as the ssODN1_As-Cath_ssODN2 construct. The structure of the LH gene's exons is constructed by yellow bars, sgRNAs-targeted sites are indicated by black triangles, and the target sequences are detailed in rectangular boxes. The protospacer-adjacent motif (PAM) is highlighted in green. Primer sets are illustrated, showing the strategy to test LH mutation, ssODN1/ssODN2 junctions, the UBI promoter region and the insert-specific region of the As-Cath gene using PCR amplifications. (B) Schematic diagram of the As-Cath KI via the dsDNA system, named HA1_As-Cath_HA2 donor. Primers show the strategy to test the HA junctions, UBI promoter

853 region, and As-Cath gene region. (C) TAE agarose gel of PCR amplicons showing off-target 854 positive detection of the ssODN1_As-Cath_ssODN2 construct using 2H2OP method. The 855 promoter region (Prom-As-CATH, 519 bp) and As-Cath region (As-CATH-PolyA, 591 bp) 856 were illustrated with sequencing results. (D) TAE agarose gel of PCR amplicons showing 857 on-target positive detection of the HA1_As-Cath_HA2 construct using dsDNA method. The 858 targeted gene regions (Prom-As-CATH, 542 bp and As-CATH-PolyA, 597 bp) and the 859 junctional regions (HA1, 573 bp and HA2, 598 bp) were determined with sequencing results. 860 The numbers on the top of the gel images indicate the sample IDs of the fish. Lane N, 861 negative control using water as template; Lane W, wild-type control (nCT); Lane P, positive 862 (plasmid or dsDNA donor) control; Lane M, DNA marker (1 kb), 500 and 650-bp bands are 863 highlighted with black triangles; 50 and 100 ng/µL show the different doses of donors: 864 plasmid or dsDNA.



866 Fig. 2. Effects of different CRISPR/Cas9-mediated systems (2H2OP vs dsDNA) with 867 various dosages of donors (50 vs 100 ng/µL) on the knock-in (KI) efficiency, hatchability 868 and fry survival rate. (A) Total KI efficiency of different CRISPR/Cas9-mediated systems 869 and dosage combinations. (B, C) Comparison of total KI efficiency for different systems or 870 dosages of donors. (D) On-target KI efficiency of different CRISPR/Cas9-mediated systems 871 and dosage combinations. (E, F) Comparison of on-target KI efficiency of different systems 872 or dosages. (G) Effect of different CRISPR/Cas9-mediated systems and dosage combinations on hatchability. (H, I) Comparison of the hatchability for different systems or dosages. (J) 873 874 Effect of different CRISPR/Cas9-mediated systems and dosage combinations on fry survival.

875 (K, L) Comparison of the fry survival rate for different systems or dosages. iCT, 876 sham-injected control; nCT, non-injected control; 2H2OP(50/100), the 877 CRISPR/Ca9-medicated system with ssODN1_As-Cath_ssODN2 construct (with а 878 pUC57_mini plasmid and ssODN donor as 50/100 ng/µL); dsDNA(50/100), the 879 CRISPR/Ca9-medicated system with HA1 As-Cath HA2 donor DNA (with a dsDNA donor as 50/100 ng/ μ L); * = P < 0.05; ** = P < 0.01; *** = P < 0.001; **** = P < 0.0001; ns = not 880 881 significant, by unpaired student's *t*-test or one-way ANOVA.

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885 Fig. 3. Mosaicism detection and the expression of the cathelicidin gene from Alligator 886 sinensis (As-Cath) in the LH⁻_As-Cath⁺ fish line. (A) PCR amplicons show the As-Cath 887 region in 14 tissues from one representative LH^- As-Cath⁺ fish. (B) The agarose gel 888 electrophoresis showed the As-Cath gene expression in various tissues of P_0 transgenic 889 channel catfish, *Ictalurus punctatus*. (C) Relative As-Cath gene expression of different tissues 890 from RT-PCR analyses. (D) Relative LH gene expression of gonads from LH⁻_As-Cath⁺ 891 males and females. Expression levels were calibrated against corresponding tissues from 892 sibling wild-type fish, and three individuals were employed for each genotype. Lane M, DNA 893 marker (1 kb); Lane P, positive (plasmid or dsDNA donor) control; Lane N, water negative control; Lane W, wild-type control (nCT); * = P < 0.05; ** = P < 0.01; *** = P < 0.001; ****894 895 = P < 0.0001; ns = not significant, by unpaired student's *t*-test or one-way ANOVA.

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903 Fig. 4. Reproductive determination and restoration of the As-Cath-integrated fish lines. 904 (A) A three-round design of the reproduction experiment. Three genotypes of P_0 founders: 905 WT, LH⁻_As-Cath⁺, and LH⁺_As-Cath⁺ fish were involved. First round, 3, 6 and 4 pairs as 906 replicates for each genotype were set up randomly in 13 tanks for mating without hormone 907 treatments, and a two-week observation was adopted. Second round, moved out spawned 908 pairs and primed un-mated males with a 50 µg/kg LHRHa implant and 1600 IU/kg HCG to 909 determine the reproduction of LH⁻ As-Cath⁺ females, observing for one week. Third round, 910 12 pairs of LH^{_}_As-Cath⁺ fish were complemented and re-paired and treated with three doses 911 of LHRHa and HCG in a 3×4 factorial design for one week. (B) Detection of spawnability 912 for LH⁻_As-Cath⁺ fish during natural mating. (C, D, E) Potential effects of different hormone 913 treatments on the fecundity and hatchability of P_0 generation, and fry survival of F_1 914 generation. LH, luteinizing hormone; LHRHa, luteinizing hormone-releasing hormone 915 analogue; HCG, human chorionic gonadotropin; * = P < 0.05; ** = P < 0.01; ns = not 916 significant, by unpaired student's t-test or one-way ANOVA.

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924 Fig. 5. Kaplan-Meier plots of As-Cath integrated catfish against two fish bacterial 925 pathogens. (A, B) Survival curves of P₀ and F₁ generations for a variety of genotypes infected by Flavobacterium covae, respectively. (C, D) Survival curves of P₀ founders and F₁ 926 927 progeny for different genotypes infected by Edwardsiella ictaluri, respectively. In addition to 928 these bacterial infection groups, one control group with medium immersion was implanted for 929 each challenge experiment, and the immersion dose was presented in each figure. Comparison 930 of different survival curves was determined by the Log-rank (Mantel-Cox) test. WT, wild-type, non-injected fish line; LH⁺ As-Cath⁻, negative fish line (micro-injected fish 931 932 without LH mutation and As-Cath insertion); LH⁻_As-Cath⁺, on-target positive fish (As-Cath 933 insertion was detected at LH locus); LH⁺_As-Cath⁺, off-target positive fish (As-Cath insertion 934 was detected but not at LH locus).

Table 1. Target sequences of sgRNAs and the universal primer used in the present study. Underlined sequences represent the protospacer
 adjacent motif.

gRNA1 5'- TTCAAACCGCCATCTGCAGC <u>GGG</u> -3' gRNA2 5'- GCGGACAGGTATCCGGTAAG <u>CGG</u> -3' niversal primer TTTTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG	· TTCAAACCGCCATCTGCAGC <u>GGG</u> -3' · GCGGACAGGTATCCGGTAAG <u>CGG</u> -3' ·TTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG
gRNA2 5'- GCGGACAGGTATCCGGTAAGCGG -3' niversal primer TTTTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG COTTALITATION A COTTACT AUTOMOUTA COTTACT A A A C	GCGGACAGGTATCCGGTAAG <u>CGG</u> -3' TTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG
niversal primer TTTTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG	TTGCACCGACTCGGTGCCACTTTTTCAAGTTGATAACGGACTAG
CCITALITIAACI IGCIALITICIAGCI CIAAAAC	TTATTTAACTTGCTATTTCTAGCTCTAAAAC

Table 2. Mean monthly body weight (BW), sample size (N) over time of P_0 and F_1 As-Cath-integrated, negative and control channel catfish, *Ictalurus punctatus*. P_0 founders were generated in June 2020, and F_1 progeny were produced in June 2022. For both generations, four genotypes: WT, LH⁺_As-Cath⁻, LH⁻_As-Cath⁺, and LH⁺_As-Cath⁺ were included. Fish were kept separately in 60-L aquaria with the density of 2 fry/L until 4 months post hatch, then they were pit-tagged (10/2/2020) and transferred to a 1,200-L circular tank (~800-L water) with a mix of these 4 genotypes (initial number of fish was 30, 30, 28 and 32) and fed daily to satiation. Differences in BW among these four genotypes were compared using one-way ANOVA followed by Tukey's multiple comparisons test. Means with different letters as superscripts are significantly different (*P* < 0.05).

		Mean body weight (g) of fish at different ages (Mean \pm SEM)										
	Genotype	10/2/2020		11/14/2020	11/14/2020		12/14/2020		1/25/2021		3/6/2021	
		BW	Ν	BW	Ν	BW	Ν	BW	Ν	BW	Ν	
P ₀	WT	$27.20\pm1.77^{\rm a}$	60	37.15 ± 2.83^a	30	42.45 ± 3.08^{ab}	30	36.75 ± 2.31^a	30	$50.75\pm3.58^{\rm a}$	27	
	LH ⁺ _As-Cath ⁻	26.30 ± 2.24^a	60	36.40 ± 2.14^a	30	$38.30\pm3.20^{\rm a}$	29	35.25 ± 3.18^{a}	29	51.10 ± 2.28^{a}	29	
	LH ⁻ _As-Cath ⁺	23.10 ± 1.72^{a}	41	41.30 ± 2.60^a	28	$49.65\pm2.35^{\text{b}}$	21	43.20 ± 2.75^a	20	58.45 ± 4.21^a	20	
	LH^+ _As-Cath ⁺	27.75 ± 2.39^a	63	39.95 ± 2.73^a	32	47.25 ± 3.26^{ab}	33	34.50 ± 3.58^a	33	50.85 ± 2.89^a	33	
		8/9/2022		9/11/2022		10/12/2022	2					
		BW	Ν	BW	Ν	BW	N					
F ₁	WT	$2.63\pm0.16^{\rm a}$	60	$15.13\pm1.00^{\rm a}$	54	$22.90\pm1.23^{\text{a}}$	54					
	LH ⁺ _As-Cath ⁻	$2.60\pm0.16^{\rm a}$	60	14.67 ± 0.91^a	56	$21.30 \pm 1.03^{\rm a}$	54					
	LH ⁻ _As-Cath ⁺	$3.03\pm0.14^{\rm a}$	60	$19.57 \pm 1.31^{\text{b}}$	59	$26.03 \pm 1.32^{\text{b}}$	57					
	LH^+ _As-Cath ⁺	$2.70\pm0.12^{\rm a}$	60	13.14 ± 1.05^{a}	58	22.13 ± 1.09^{a}	58					

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961 WT, wild-type fish without injection; LH⁺_As-Cath⁻, negative fish without the As-Cath insertion or LH gene mutation; LH⁻_As-Cath⁺, on-target positive fish

962 with the integration of the *As-Cath* gene at the LH locus; LH⁺_As-Cath⁺, off-target positive fish with the *As-Cath* insertion but no *LH* mutation.

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