

1 **Bivalent mRNA vaccine improves antibody-mediated neutralization of many SARS-CoV-2**
2 **Omicron lineage variants**

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24 **Abstract**

25 The early Omicron lineage variants evolved and gave rise to diverging lineages that fueled the
26 COVID-19 pandemic in 2022. Bivalent mRNA vaccines, designed to broaden protection against
27 circulating and future variants, were authorized by the U.S. Food and Drug Administration (FDA)
28 in August 2022 and recommended by the U.S. Centers for Disease Control and Prevention (CDC)
29 in September 2022. The impact of bivalent vaccination on eliciting neutralizing antibodies against
30 homologous BA.4/BA.5 viruses as well as emerging heterologous viruses needs to be analyzed.
31 In this study, we analyze the neutralizing activity of sera collected after a third dose of vaccination
32 (2-6 weeks post monovalent booster) or a fourth dose of vaccination (2-7 weeks post bivalent
33 booster) against 10 predominant/recent Omicron lineage viruses including BA.1, BA.2, BA.5,
34 BA.2.75, BA.2.75.2, BN.1, BQ.1, BQ.1.1, XBB, and XBB.1. The bivalent booster vaccination
35 enhanced neutralizing antibody titers against all Omicron lineage viruses tested, including a 10-
36 fold increase in neutralization of BQ.1 and BQ.1.1 viruses that predominated in the U.S. during
37 the last two months of 2022. Overall, the data indicate the bivalent vaccine booster strengthens
38 protection against Omicron lineage variants that evolved from BA.5 and BA.2 progenitors.

39 Introduction

40 Hundreds of SARS-CoV-2 variant lineages have emerged, spread, and replaced by newer
41 lineages in the past three years. Thirteen of them were designated as “variants of concern”
42 (VOCs) or “variants of interest” (VOIs) by the World Health Organization (WHO) with Greek
43 alphabet letters assigned from Alpha to Omicron. Each of these so-called “variants” exists as a
44 lineage that includes many descendant lineages (a.k.a. sublineages or clades) defined by specific
45 genetic changes in the genome (e.g., Pango Nomenclature¹). In the United States, viruses
46 containing primarily a D614G substitution in the spike protein predominated in 2020 followed by
47 the Alpha lineage viruses predominating in the first half of 2021 and the Delta lineage viruses in
48 the second half of 2021². The early Omicron lineage, BA.1, rapidly displaced the Delta lineage
49 between December 2021 and January 2022 (Fig. 1), with a fitness advantage largely conferred
50 by immune evasion^{2, 3, 4, 5, 6, 7, 8}. Omicron viruses evolved further leading to several fit lineages,
51 many of which accumulated additional spike substitutions in the course of infecting or reinfecting
52 people. In 2022, BA.1 and its descendant, BA.1.1, predominated in January-March; BA.2 and its
53 descendant, BA.2.12.1, predominated in March-June; and BA.5 predominated in July-October
54 until BQ.1 and BQ.1.1 surpassed it in November-December (Fig. 1).

55

56 The U.S. CDC's national SARS-CoV-2 surveillance system collects SARS-CoV-2 specimens for
57 sequencing through the National SARS-CoV-2 Strain Surveillance (NS3) program and various
58 laboratories. Besides the predominant Omicron lineages, other less prevalent lineages were also
59 identified during the surveillance. As part of CDC's effort to assess the risk of emerging SARS-
60 CoV-2 variants (primarily Omicron lineages in 2022), we continuously assessed the antibody
61 neutralization of viruses representative of emerging Omicron lineages. Selection of viruses
62 lineages to test was based on epidemiologic data, lineage proportion, forecasted growth, spike
63 mutations, and other information reported by public health and academic laboratories. Sera
64 collected from volunteer vaccinees who received three doses of the original monovalent mRNA

65 vaccine (based on the index virus) were used in neutralization assays throughout 2022. Sera
66 from vaccinees who received a fourth dose of the bivalent mRNA vaccine became available in
67 late 2022, and those sera were also used in assays of lineages that emerged more recently.

68
69 In this study, we assessed the neutralizing activity of sera from post-third monovalent dose and
70 post-fourth bivalent dose against 10 Omicron lineages (i.e., BA.1, BA.2, BA.5, BA.2.75, BA.2.75.2,
71 BN.1, BQ.1, BQ.1.1, XBB, and XBB.1), which included lineages that predominated in different
72 periods of 2022 as well as recent co-circulating lineages with the most significant changes in the
73 spike. We further dissected the role that the receptor-binding domain (RBD) and non-RBD spike
74 mutations played in the observed antibody escape by uncoupling mutations identified in lineages
75 with different spike proteins.

76

77 **Results**

78 **Anti-spike and anti-RBD antibody binding activity of post-third (monovalent) dose and** 79 **post-fourth (bivalent) dose sera.**

80 Sera were collected from vaccinees 2-6 weeks after receiving a third dose of monovalent mRNA
81 vaccine or 2-7 weeks after receiving a fourth dose of bivalent booster mRNA vaccine. Meso Scale
82 Discovery (MSD) assays were used to compare the total IgG antibody response (i.e., neutralizing
83 and non-neutralizing) elicited by post-third dose sera and the post-fourth dose sera against
84 representative spike proteins of the progenitor index virus (614D), Alpha, Beta, Delta, and
85 Omicron lineages of BA.1, BA.2, BA.5, and BA.2.75. Anti-spike binding activity post-third dose
86 showed minimal decrease for Alpha, Beta, and Delta, with fold reductions of 1.2, 1.7, and 1.4
87 (350,666 AU/mL, 257,039 AU/mL, and 293,492 AU/mL) compared to the 614D reference
88 (425,339 AU/mL), respectively. Larger differences were observed for BA.1, BA.2, BA.5, and
89 BA.2.75, with fold-change decreases of 4.2, 3.8, 3.7, and 5.6 (100,556 AU/mL, 112,390 AU/mL,
90 113,795 AU/mL, and 75,406 AU/mL), respectively (Fig. 2a). The post-bivalent vaccine (i.e., fourth

91 dose) sera, compared to the post-third dose sera, showed slightly higher activity against all the
92 spike antigens (Fig. 2b). However, the breadth/specificity of those two sera were similar in the
93 MSD assay, as the fold changes compared to the 614D spike reference amongst BA.1, BA.2,
94 BA.5, and BA.2.75 between post-third dose and post-bivalent sera remained similar (i.e., 4.2 vs.
95 3.8, 3.8 vs. 3.1, 3.7 vs. 2.9, and 5.6 vs. 4.9) (Fig. 2a and 2b). When the RBD domain was used
96 as the target antigen, the antibody binding decreased an additional ~3-fold for both sera against
97 all variants and Omicron lineages (Fig. 2c and 2d). However, again, the fold changes amongst
98 BA.1, BA.2, BA.5, and BA.2.75 between post-third dose and post-bivalent sera remained similar
99 (4.6 vs. 4.4, 3.6 vs. 3.4, 4.0 vs. 4.1, and 4.8 vs. 4.7) compared to the 614D RBD reference (Fig.
100 2c and 2d).

101
102 It will be interesting to compare the post-third dose and post-bivalent sera against the spike or
103 RBD of more recent Omicron lineages, such as BQ.1.1 and XBB.1, once the corresponding MSD
104 kits are manufactured. However, based on the less-than-2-fold difference against the different
105 variants analyzed (Fig. 2), the spike-binding antibody level against these more recent Omicron
106 lineages in the post-third dose sera and post-bivalent is likely to be within 2-fold.

107
108 **Neutralizing activity of post-third dose and post-fourth (bivalent) dose sera against**
109 **Omicron lineages.**

110 To determine the neutralizing activity of post-third dose and post-bivalent vaccine dose sera
111 against key Omicron lineage viruses, we generated a recombinant BA.1 fluorescent reporter virus
112 and engineered lineage-specific spike mutations in the BA.1 spike background, resulting in
113 corresponding reporter viruses for 10 Omicron lineage viruses (Supplementary Fig. 1). These
114 reporter viruses contained all the spike and non-spike genes (minus ORF7) from Omicron and an
115 mNeonGreen fluorescent reporter gene in place of ORF7 to enable rapid high-throughput focus
116 reduction neutralization test (FRNT) in the context of SARS-CoV-2 rather than pseudotyped

117 viruses. BA.1, BA.2, and BA.5 represent the three predominant Omicron lineages in 2022 from
118 which other lineages have evolved (Fig. 1). BA.2 spawned the BA.2.75 lineage which evolved into
119 BA.2.75.2 and BN.1. BA.2.75 underwent a recombination event with BJ.1 (a descendant of
120 BA.2.10) to form the XBB and XBB.1 recombinant lineages⁹, which predominated in Singapore¹⁰
121 and are also increasing in the U.S. BA.5 was also derived from BA.2, and its descendant lineages,
122 BQ.1 and BQ.1.1, were the predominant lineages in the U.S. in November and December 2022.
123 While the evolution of SARS-CoV-2 RNA virus genome is complex, the spike proteins encoded
124 by these Omicron lineage viruses are fairly closely related with the XBB.1 virus being the most
125 divergent (Supplementary Fig. 1).

126

127 The post-third dose sera showed high neutralizing activity against the 614D reference virus
128 (Neutralizing antibody (nAb) titer = 3,451 IU/mL), intermediate activity against the BA.1, BA.2,
129 BA.5, and BA.2.75 (nAb titer = 174 – 647 IU/mL), and low activity against BA.2.75.2, BN.1, BQ.1,
130 BQ.1.1, XBB, and XBB.1 (nAb titer = 9 – 54 IU/mL) (Fig. 3a). Compared to the nAb titer of 614D,
131 the nAb titers of BA.1, BA.2, BA.5, and BA.2.75 decreased by 5-, 8-, 20- and 12-fold, respectively.
132 Titers of the two BA.2.75-derived lineages, BA.2.75-2 and BN.1, decreased by 127- and 64-fold
133 compared to 614D, respectively. Titers of the BA.5-derived lineages, BQ.1 and BQ.1.1, decreased
134 by 190- and 288-fold compared to 614D, respectively. XBB and XBB.1 exhibited the greatest
135 extent of escape, with 324-fold and 371-fold nAb reductions compared to 614D, respectively (Fig.
136 3a).

137

138 Compared to the post-third dose sera, neutralizing activity against the 614D reference increased
139 moderately, by 1.4-fold, with the post-bivalent vaccine sera (nAb titer = 4,867 vs. 3,451 IU/mL),
140 but dramatically increased against all Omicron lineages (Fig. 3b). Specifically, the post-bivalent
141 vaccine sera were 7.1-fold more potent in neutralizing BA.5 (homologous antigen) and 2.9- to 5.1-
142 fold more potent in neutralizing heterologous antigens BA.1, BA.2, BA.2.75, BA.2.75.2 and BN.1,

143 than the post-third dose sera (Fig. 3a vs. Fig. 3b). The greater increase of BA.5 compared to
144 BA.1/BA.2 lineage viruses indicated some BA.5 lineage-specific antibodies were elicited by the
145 bivalent mRNA vaccine. This was corroborated by the observation that the post-bivalent vaccine
146 sera were 12-fold more potent than the post-third dose sera in neutralizing the currently
147 predominant BQ.1 (nAb titer = 225 vs. 18 IU/mL) and BQ.1.1 (nAb titer = 145 vs. 12 IU/mL), which
148 descended from BA.5 and have nearly identical spike glycoproteins (Fig. 3b and Supplemental
149 Fig. 1).

150

151 In summary, these results demonstrated that the bivalent booster vaccine that included the
152 Omicron BA.4/BA.5 antigen increased neutralizing antibodies against progenitor and
153 contemporary Omicron lineages, improved antibody breadth against all Omicron lineages, and
154 elicited greater effect on BA.5 lineage viruses (*e.g.*, BA.5, BQ.1, and BQ.1.1) than on other
155 Omicron lineages (*e.g.*, BA.1, BA.2.75.2, XBB).

156

157 **Impact of RBD and non-RBD mutations on neutralizing activity**

158 There are numerous mutations in the spike glycoprotein of Omicron lineages compared to the
159 index virus and the B.1.1.529/BA.1 virus represented a major antigenic drift that led to a global
160 sweep. More mutations accumulated in the spike during the evolution of the Omicron in human
161 population. For example, the XBB.1 lineage carries approximately 40 spike glycoprotein
162 mutations, roughly half of which reside within the RBD, which contains epitopes targeted by potent
163 neutralizing antibodies (Supplementary Fig. 1). It is important to understand the molecular
164 mechanism (*e.g.*, domains/specific mutations) responsible for the most significant neutralization
165 escape because these data inform risk assessment and/or vaccine antigen selection or design.
166 To specifically analyze the role of different spike domains playing in antibody escape, we chose
167 the lineages BA.1, BA.2, BA.5, and XBB.1 and segregated their RBD and non-RBD spike
168 mutations. All the viruses were analyzed using pooled post-second dose sera (primary series of

169 two doses of mRNA vaccine) and pooled post-third dose sera. The post-second dose sera were
170 included to accurately measure the reduction in neutralization for SARS-CoV-2 viruses containing
171 different spike domains, as we found the post-second dose sera were more sensitive to antibody
172 escape than the post-third dose sera².

173

174 Compared to the 614D virus, titer reductions for the BA.1, BA.2, BA.5, and XBB.1 were 45-, 38-,
175 29- and >192-fold for post-second dose, respectively, and 8-, 11-, 10- and 289-fold for post-third
176 dose, respectively (Supplementary Table 1). Viruses carrying only RBD mutations (*i.e.*, BA.1-
177 RBD, BA.2-RBD, BA.5-RBD, and XBB.1-RBD) were associated with titer reductions of 1-, 3-, 7-
178 and 38-fold for post-second dose, respectively, and 1-, 4-, 8- and 17-fold for post-third dose,
179 respectively. Removing the RBD mutations (*i.e.*, BA.1-wt-RBD, BA.2-wt-RBD, BA.5-wt-RBD, and
180 XBB.1-wt-RBD) restored neutralizing titers of the viruses to near 614D levels (1- to 2-fold
181 difference) in both post-second and post-third sera (Supplementary Table 1). Because
182 neutralizing titers against the XBB.1 virus lacking RBD mutations (XBB.1-wt-RBD) were
183 comparable to those of 614D (1- to 2-fold reduction), the 38-fold (post-second dose) and 17-fold
184 (post-third dose) reductions obtained using the XBB.1-RBD virus cannot explain the >192-fold
185 (post-second dose) and 289-fold (post-third dose) reductions of XBB.1 with full spike mutations.
186 Previous studies using a domain-specific antibody-depletion strategy have shown that the RBD
187 accounts for up to 90% of the targeted neutralization activity^{11, 12, 13, 14}. Our strategy of using live
188 SARS-CoV-2 viruses with Omicron spike mutations removed from different regions suggests that
189 spike mutations outside RBD may function synergistically with RBD mutations resulting in a
190 dramatic escape from antibody neutralization by XBB.1. This underscores the importance of
191 mutations outside the RBD region in the extreme antibody escape by XBB.1, probably by inducing
192 conformational changes in the spike structure or by increasing fitness in other ways such as higher
193 stability, tighter receptor binding, and more efficient fusion.

194

195 Discussion

196 Two recent CDC-led vaccine effectiveness (VE) studies found that the bivalent mRNA vaccine
197 booster provided 42-73% additional protection against COVID-19-associated hospitalization
198 compared with 2 to 4 monovalent COVID-19 vaccine doses during September-November 2022¹⁵,
199 ¹⁶, when BA.5 and BQ.1/BQ.1.1 predominated. In the present study, from the post-third dose
200 monovalent sera to post-fourth dose bivalent vaccine sera, the neutralizing titers increased by
201 7.1-fold against BA.5 and 12-fold against BQ.1 and BQ.1.1 (Fig. 3), strongly suggesting that the
202 higher VE was resulted from the increased neutralizing titers from the bivalent vaccine. While the
203 correlates of protection to SARS-CoV-2 continue remain to be fully elucidated, they may be further
204 established as we collect more data from the VE studies and determine serum neutralizing titers
205 of corresponding vaccinees in the following months.

206
207 We recognize a limitation of this study may be the lack of fourth dose monovalent sera as a
208 comparison. We have previously shown that neutralization activity increased following a third
209 dose of monovalent booster compared to the primary series of two vaccine doses². The boosted
210 activity not only increased against 614D by 4-fold but also against Omicron lineages BA.1 and
211 BA.2 by 13-fold and 9-fold, respectively, suggesting increased breadth². However, a more recent
212 study on Omicron lineages showed minimal effect on antibody breadth comparing post-third dose
213 monovalent and post-fourth dose monovalent vaccination, where neutralizing titers increased
214 evenly for the D614G virus (2.8-fold), BA.2 (2.7-fold), BA.4/5 (2.5-fold), and BQ.1 (2.4-fold)¹⁷.
215 Thus, additional monovalent doses of the original mRNA vaccine alone may not consistently
216 increase the breadth of protection. Lineage-specific boosters and/or antigens designed to
217 enhance breadth are likely essential to combat future antigenic changes.

218
219 Recent studies to define SARS-CoV-2 immune correlates of protection have related an increase
220 of neutralizing titers from 10 to 100 IU/mL with respective increases in VE from 78% to 91%¹⁸.

221 Additional studies have reported neutralization titers increasing from 8 IU/ml to 26 IU/ml in
222 association with VE increasing from 70% to 80%¹⁹ and from 9.9 IU₅₀/ml to 96.3 IU/ml with VE from
223 78% to 89%²⁰. In the present study, although the post-third dose sera only had neutralizing titers
224 of ~10 IU/mL against BQ.1.1, XBB, and XBB.1, the post-bivalent vaccine sera increased the titers
225 to 145 IU/mL for BQ.1.1, 71 IU/mL for XBB, and 39 IU/mL for XBB.1, a similar trend as reported
226 recently by others^{21, 22, 23, 24}. Sera used in this test were collected 2-7 weeks post bivalent booster,
227 and considering the waning of antibodies over time of 2- to 10-fold decreases in about 6 months²⁵,
228 ^{26, 27, 28, 29}, the bivalent vaccine booster may protect against these lineages for a few more months
229 but unlikely for more than 6 months. Furthermore, the effect of waning immunity increases as the
230 virus spike mutates and the low titers of XBB variants illustrates these and their descendants are
231 most likely to escape vaccine and infection induced immunity resulting in symptomatic infections.
232 Nevertheless, the increased level of polyclonal antibodies induced by the bivalent vaccine (Fig.
233 2) should reduce virus replication through opsonization and/or antibody dependent cellular
234 cytotoxicity, aiding in protection from severe disease. Furthermore, cell mediated immunity is
235 largely unaffected by the relatively small number of mutations in the spike or other parts of the
236 genome^{30, 31}. Another booster with bivalent, or monovalent BA.4/BA.5 vaccine will likely help, but
237 continual updates to vaccine antigens to induce antibodies that better protect against circulating
238 and future lineages are likely to offer superior protection.

239
240 Collectively, rapid evolution of Omicron lineages warrants continued close epidemiologic and
241 virologic surveillance that includes continual virus neutralization analysis of emerging viruses.
242 Vaccination remains the most effective strategy to combat the COVID-19 pandemic.

243

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245

246

247 **Methods**

248 Ethics statement.

249 Vaccinee serum samples were collected from individuals through the Investigating Respiratory
250 Viruses in the Acutely Ill (IVY) Network, a Centers for Disease Control and Prevention (CDC)-
251 funded collaboration to monitor the effectiveness of SARS-CoV-2 vaccines among US adults.
252 Participants were vaccinated with a primary series (initial two doses) of either the Moderna mRNA-
253 1273 or Pfizer-BioNTech BNT162b2 vaccine, and then boosted with either a third monovalent
254 dose or, additionally, a fourth bivalent dose. Post-second dose sera², post-third dose sera², and
255 post-fourth dose sera were collected 2-6 weeks, 2-6 weeks or 2-7 weeks after vaccination,
256 respectively. Participants had no prior diagnosis of infection with SARS-CoV-2 based on self-
257 reporting or low levels of anti-nucleocapsid protein antibodies in MSD assay. This activity was
258 approved by each participating institution, either as a research project with written informed
259 consent or as a public health surveillance project without written informed consent. This activity
260 was also reviewed by the CDC and conducted in a manner consistent with applicable federal laws
261 and CDC policies: see e.g., 45 C.F.R. part 46.102(l)(2), 21 C.F.R. part 56; 42 U.S.C. §241(d); 5
262 U.S.C. §552a; 44 U.S.C. §3501 et seq.

263

264 Biosafety statement.

265 Infectious SARS-CoV-2 viral work was performed under enhanced BSL-3E conditions. Additional
266 safety measures were followed as described².

267

268 SARS-CoV-2 variant and Omicron lineage prevalence

269 SARS-CoV-2 variant and lineage analytics for NCBI- and GISAID-reported specimens within the
270 National SARS-CoV-2 Strain Surveillance (NS3) network, CDC-contracted diagnostic and
271 research laboratories, and baseline US surveillance were rendered in Tableau Desktop (version
272 2022.3.0). Daily, percent proportionalities were aggregated by attributed Pango annotation

273 (version 4.1.3) with further consolidation based on B.1.1.529 (BA) lineages: BA.1, BA.1.1, BA.2,
274 BA.2.12.1, BA.2.75, BA.2.75.2, BA.3, BA.4, BA.4.6, BA.5, and BA.5.2.6. In addition, select
275 BA.2.75.5 (BN; BN.1), BA.5.2.1 (BF; BF.7 and BF.11), and BA.5.3.1.1.1.1.1 (BQ; BQ.1, and
276 BQ.1.1) lineages were included. Exceptions included the non-BA lineages: B.1.617.2 (Delta) and
277 XBB. All A and remaining B lineages were assigned the “Prior Lineage(s)” label. Both non-XBB X
278 lineages and specimens without an assigned Pango nomenclature were consolidated into “Other
279 Lineage(s).” Applied analytics included available surveillance data from November 1, 2021, to
280 November 30, 2022 (last updated on December 19, 2022).

281

282 Generating SARS-CoV-2 reporter viruses.

283 RNA was extracted from a SARS-CoV-2 Omicron BA.1 clinical isolate (SARS-CoV-
284 2/human/USA/CA-CDC-4358237-001/2021) (GenBank: OM264909.1) using the QIAamp Viral
285 RNA kit (Qiagen, Hilden, Germany). The complete wild-type Omicron genome was then reverse
286 transcribed via RT-PCR with SuperScript™ IV First-Strand Synthesis System (Thermo Fisher
287 Scientific, Waltham, MA, 18091050), amplified with Q5 High-Fidelity DNA Polymerase (NEB,
288 Ipswich, MA, M0492S), and cloned into a bacterial artificial chromosome as described². Reverse
289 genetics SARS-CoV-2 clones were produced as previously described² with a mNeonGreen
290 fluorescent reporter gene^{32, 33}. *In vitro* transcribed RNA from these infectious clones was then
291 electroporated into VeroE6-N cells as described². Sequence of rescued viruses was confirmed
292 by Illumina Next-generation sequencing. Domain substitution analysis used the SARS-CoV-2
293 index virus as reference.

294

295 Meso scale discovery (MSD) immunoassay.

296 Meso Scale Discovery (MSD) assays were performed as previously described². Briefly, 5 µl of
297 each post-third dose and post-bivalent vaccine dose serum was added to 245 µl of buffer, initially
298 diluted by 1:50, and then serially diluted by 1:10. The 1:500 dilution was used to measure IgG

299 binding to SARS-CoV-2 nucleocapsid protein to exclude sera with prior infection. The final
300 1:50,000 dilution was used to measure IgG binding to SARS-CoV-2 spike from a variety of
301 variants and Omicron lineages, including 614D, Alpha, Beta, Delta, BA.1, BA.2, BA.5, and
302 BA.2.75, in addition to the RBD alone. Binding was measured using the V-PLEX SARS-CoV-2
303 Key Variant Spike Panel 1 Kit (K15651U-2 (IgG)) and Key Variant RBD Panel 1 Kit (K15659U-2
304 (IgG)). IgG concentrations were calculated using the DISCOVERY WORKBENCH 4.0 Analysis
305 Software.

306

307 Focus reduction neutralization test (FRNT).

308 FRNT was used to determine serum neutralization titers and performed as previously described².
309 Briefly, post-third dose and post-bivalent vaccine sera were serially diluted in 3-fold steps for 7
310 dilutions (starting from 1:10 or 1:30) in sextuplicate in 96-well round bottom plates. SARS-CoV-2
311 reporter virus was diluted to 3,200-6,000 focus forming units (FFUs) per mL. Diluted serum
312 samples were mixed with an equal volume of diluted virus and incubated for 1 hour at room
313 temperature (21±2°C). Serum-virus mixtures were then inoculated into each well of
314 VeroE6/TMPRSS2 cells in 96-well tissue culture plates and incubated at 37°C in a 5% CO₂
315 atmosphere for 2 hours. The wells were then overlaid with 100 µl of 0.75% methylcellulose and
316 incubated at 33°C in a 5% CO₂ incubator for 16-18 hours. Plates were scanned on the Cytation7
317 and FFU were quantified using Gen5 version 3.11 (BioTek). FRNT₅₀ values were calculated from
318 FFU values as previously described². The First WHO International Standard for anti-SARS-CoV-
319 2 immunoglobulin (human; NIBSC code: 20/136) was included in the assay to calibrate the
320 neutralizing antibody titer in International Units per milliliter (IU/mL)³⁴.

321

322 Statistical analysis.

323 Average fold changes were calculated using the geometric mean of neutralizing Ab titer ratios
324 against that of 614D. Statistical analyses were performed using GraphPad Prism 9.3.1 and R

325 version 4.1.2, with significance defined as $P < 0.05$. A two-tailed Wilcoxon matched-pairs signed-
326 rank test was used to determine significance of anti-spike and anti-RBD IgG titers as well as
327 neutralizing antibody titers relative to 614D (Supplementary Table 2).

328

329 **Data availability**

330 SARS-CoV-2 genome sequences of viruses generated in this study are being deposited in
331 GenBank. Additional data generated from this study are provided in the Supplementary
332 Information/Source Data files.

333

334 **Code availability**

335 Scripts used to calculate the FRNT₅₀ titers were deposited previously².

336

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446 **Acknowledgements**

447 We thank the Strain Surveillance and Emerging Variant Team's Bioinformatics Unit for their work
448 in tracking the evolution of the US SARS-CoV-2 viruses: Peter Cook, Adam Retchless, Sandra
449 Mathew, Philip Shirk Catherine Smith, Xiao-Yu Zheng, Samuel Shepard, Thomas Stark, Dakota
450 Howard, Roopa Nagilla, Nicole Paterson, David Patton, Jason Caravas, and Dhvani Batra. We
451 acknowledge the investigators in the SARS-CoV-2 Assessment of Viral Evolution (SAVE)
452 Program under the National Institute of Allergy and Infectious Diseases (NIAID) for tracking and
453 sharing of information on spike mutations in emerging SARS-CoV-2 variants and lineages. This
454 study was funded by the CDC COVID-19 Emergency Response. The findings and conclusions in
455 this report are those of the authors and do not necessarily represent the official position of the
456 U.S. Centers for Disease Control and Prevention or the Agency for Toxic Substances and Disease
457 Registry.

458 **Author contributions**

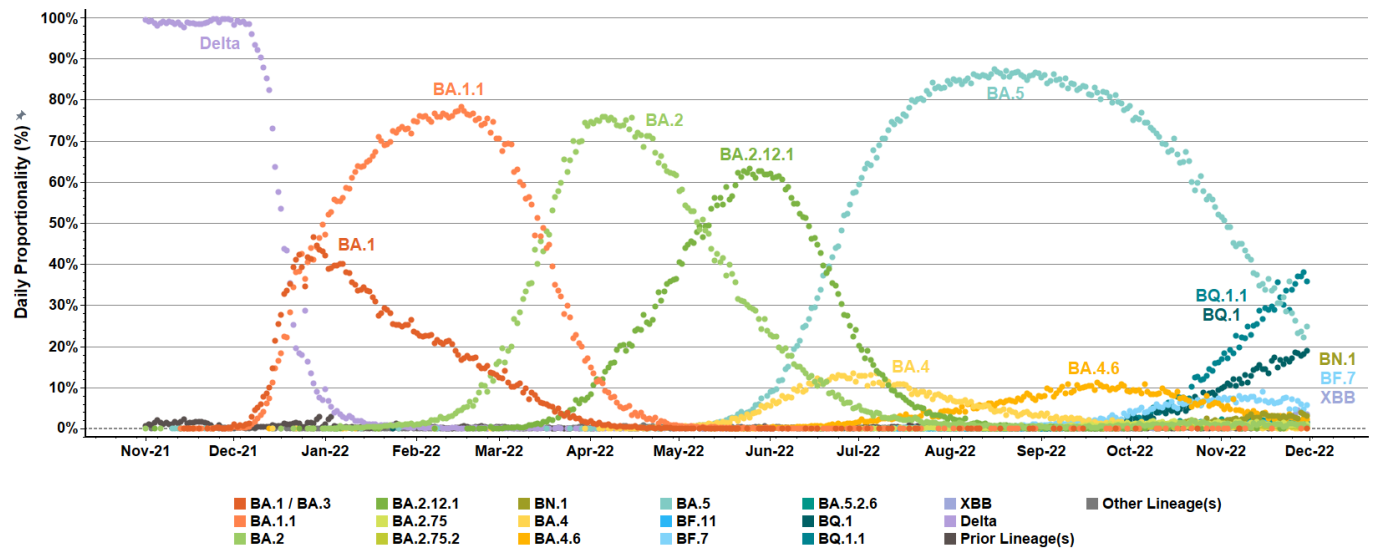
459 D.E.W. and B.Z. conceived the study. L.W. and B.Z. designed the experiments. N.J., L.W., D.E.W.,
460 and B.Z. wrote the manuscript. N.J., L.W., M.H., C.F., M.C., X.L., J.H., D.C., B.R.M., W.W., G.A.,
461 M.W., R.C., B.R., J.R.B, and B.Z. performed experiments and/or analyzed data. B.R.M., N.K.,
462 K.L., C.R.P., N.H., R.J.K, and A.S.L. tracked and analyzed the prevalence of SARS-CoV-2
463 variants and Omicron lineages. W.H.S., J.P.R., A.B., J.D.C., N.I.S., K.W.G., D.N.H., D.S., M.L.M.,
464 and N.J.T. supervised or coordinated serum sample collection. All authors have reviewed and
465 approved this manuscript.

466 **Competing interests**

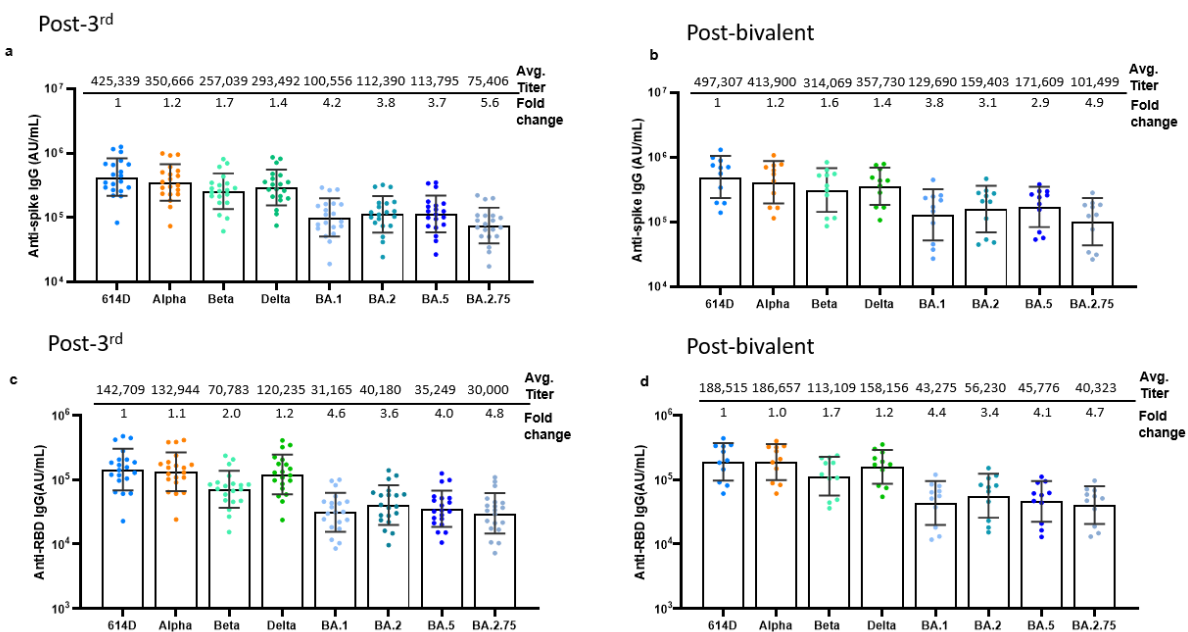
467 K.W.G. received grants from NIH and DoD, as well as support for MHSRS 2022 travel from the
468 DoD, outside the submitted work. D.N.H received grants from NHLBI, outside the submitted work.
469 A.S.L. has received additional grants or contracts from the CDC, NIAID, MDHHS, Burroughs
470 Wellcome Fund, and Flu Lab, in addition to consulting fees from Roche and Sanofi.

471 **Figures**

472 **Fig. 1: Emergence and diversification of SARS-CoV-2 Omicron variants and lineages in US**
473 **surveillance networks.** Daily, percent proportionalities (dot icons, 0% to 100%) were
474 summarized for detected variant/lineage populations within US National SARS-CoV-2 Strain
475 Surveillance (NS3) and baseline surveillance initiatives from November 1, 2021, to November 30,
476 2022. Reported sequences were aggregated and color-coded based on attributed Pango
477 lineages. Delta (B.1.617.2) variant preceded the emergence and expansion of Omicron
478 (B.1.1.529, BA) variant within US surveillance networks. Several tracked Omicron (BA)
479 (e.g., BA.2.75, BA.2.75.2, BF.11, and BA.5.2.6) were detected at low levels ($\leq 10\%$, labels not
480 shown). “Prior lineages” included additional A and B lineages with limited detection. “Other
481 lineages” consolidated the few specimens with no assigned Pango nomenclature or non-XBB X
482 lineage.

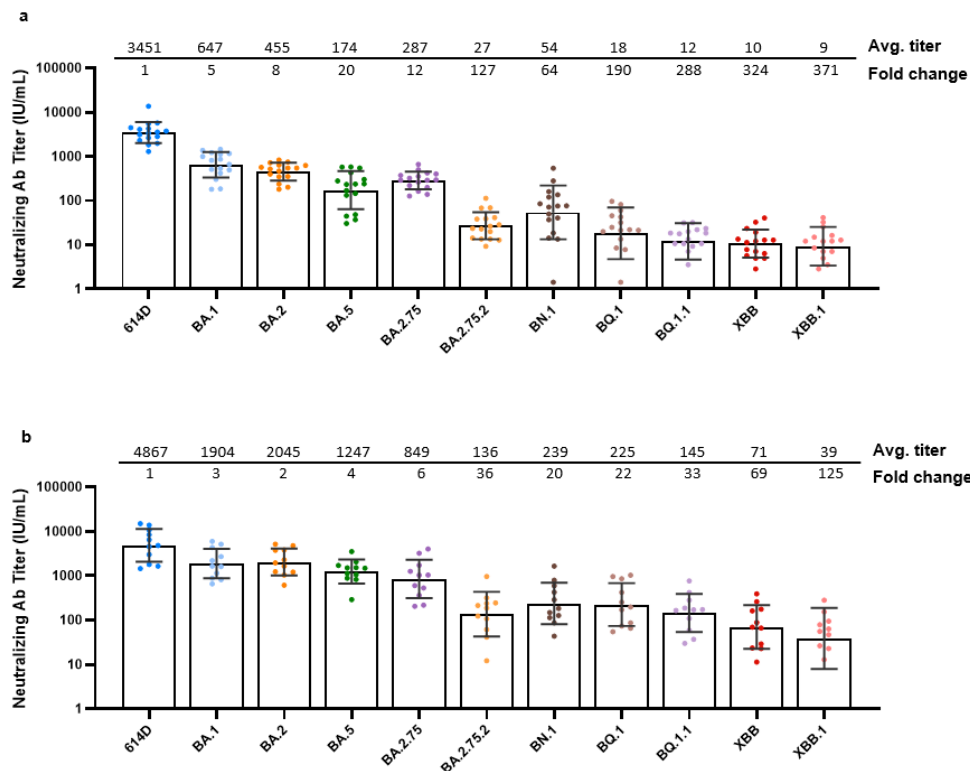


485 **Fig. 2: Quantification of anti-spike and anti-RBD IgG binding activity in post-third dose and**
 486 **post-fourth dose (bivalent) vaccinee sera.** Each dot represents the IgG measured in AU/mL
 487 for one serum-antigen pair. Geometric mean titers with geometric standard deviations are
 488 displayed across the top of each graph. Fold-change was calculated as the ratios relative to 614D.
 489 For statistical analysis, a two-tailed Wilcoxon matched-pairs signed-rank test was performed by
 490 comparing each variant with 614D. Test statistics and P value are summarized in Supplementary
 491 Table 2. IgG antibodies were measured for 8 different spikes and RBDs as: **a**, anti-spike
 492 antibodies in post-third dose sera (N = 20 biologically independent post-third dose sera examined
 493 over 8 spike antigens); **b**, anti-spike antibodies in post-bivalent dose sera (N = 11 biologically
 494 independent post-bivalent dose sera examined over 8 spike antigens); **c**, anti-RBD antibodies in
 495 post-third dose sera (N = 20 biologically independent third-dose sera examined over 8 RBD
 496 antigens); and **d**, anti-RBD antibodies in post-bivalent dose sera (N = 11 biologically independent
 497 post-bivalent dose sera examined over 8 RBD antigens). Variant and lineage names are
 498 displayed across the bottom of each graph. Within each serum-antigen pair, average IgG
 499 concentrations were significantly lower ($P < 0.001$) for all viruses tested relative to the 614D
 500 reference except for Alpha in Fig. 2d ($P = 0.8984$). AU, arbitrary units.



501

502 **Fig. 3: Neutralization activity of post-third (monovalent) dose sera and post-fourth**
 503 **(bivalent) dose sera against Omicron lineages.** Each dot represents the neutralizing antibody
 504 titer (IU/mL) for each serum-virus pair. For statistical analysis, a two-tailed Wilcoxon matched-
 505 pairs signed-rank test was performed by comparing each variant with 614D. Test statistics and P
 506 value are summarized in Supplementary Table 2. The geometric mean of neutralizing antibody
 507 titer with geometric standard deviation and average fold-change with respect to the 614D
 508 reference virus are displayed across the top of each graph for: **a**, post-third dose sera (8 Pfizer-
 509 BioNTech BNT162b2 mRNA vaccine and 8 Moderna mRNA-1273 vaccine biologically
 510 independent post-third dose sera examined over 11 viruses); and **b**, post-fourth dose bivalent
 511 vaccine sera (N = 11 biologically independent post-fourth dose bivalent vaccine sera examined
 512 over 11 viruses). Average fold-change was calculated as the individual ratios of the geometric
 513 mean relative to 614D. Average neutralizing antibody titers in both sera for all lineages differ
 514 significantly ($P < 0.001$) from 614D (Supplementary Table 2). Virus lineage names are displayed
 515 across the bottom of each graph.



516

517 **Supplementary Fig. 1: Omicron lineage spike mutations relative to 614D.** Spike mutations in
 518 Omicron lineages BA.1, BA.2, BA.2.75, BA.2.75.2, BN.1, BA.5, BQ.1, BQ.1.1, XBB, XBB.1, and
 519 XBB.1.5 relative to 614D are shown. Mutations common across all 11 Omicron lineages are not
 520 displayed. Spike amino acid positions are displayed across the top of the figure with the RBD
 521 annotated. BA.1 is highlighted in blue. BA.2 and descendants are highlighted in green. BA.5 and
 522 descendants are highlighted in yellow. XBB and descendants are highlighted in orange. Dots
 523 represent no change relative to 614D. Dashes represent deletions.

	19	24-26	27	67	69-70	83	95	143-144	145	146	147	152	157	183	210	211	212	213	214	252	257	339	346	356	368	371	376	405	408	444	445	446	452	460	486	490	493	496	547	856	981	1199		
614D	T	LPP	A	A	HV	V	T	V	Y	H	K	W	F	Q	I	N	L	V	REP	E	G	G	R	K	L	S	T	D	R	K	V	G	L	N	F	F	Q	G	T	N	L	D		
BA.1	.	.	.	V	-	-	-	-	I	V	REP	E	.	.	D	.	.	.	L	S	R	Q	S	K	K	F	.
BA.2	I	-	S	G	D	.	.	.	F	A	N	S	R	
BA.2.75	I	-	S	F	R	L	.	.	.	G	.	.	.	S	H	.	.	.	F	A	N	S	.	.	S	.	K	
BA.2.75.2	I	-	S	F	R	L	.	V	.	G	.	.	.	S	H	T	.	.	F	A	N	S	.	.	S	.	K	S	N	
BN.1	I	-	S	E	R	L	.	V	.	G	.	.	.	S	H	T	T	.	F	A	N	S	.	.	S	.	K	.	S	
BA.5	I	-	S	.	-	-	-	-	G	D	.	.	.	F	A	N	S	.	.	.	R	.	V	
BQ.1	I	-	S	.	-	-	-	-	G	D	.	.	.	F	A	N	S	T	.	.	R	K	V		
BQ.1.1	I	-	S	.	-	-	-	-	G	D	T	.	.	F	A	N	S	T	.	.	R	K	V		
XBB	I	-	S	.	.	A	.	-	Q	.	.	.	E	.	.	.	E	.	.	.	H	T	.	I	F	A	N	S	.	P	S	.	K	S	S		
XBB.1	I	-	S	.	.	A	.	-	Q	.	.	.	E	.	.	E	.	.	V	.	H	T	.	I	F	A	N	S	.	P	S	.	K	S	S		
XBB.1.5	I	-	S	.	.	A	.	-	Q	.	.	.	E	.	.	E	.	V	.	H	T	.	I	F	A	N	S	.	P	S	.	K	P	S		

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525