

*Kitaba et al*

1 **Fathers' preconception smoking and offspring DNA methylation: A two generation study**

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81 **Running title:** Paternal smoking and offspring DNA methylation

82

83 **Abstract**

84 **Rationale:** Experimental studies suggest that exposures may impact respiratory health across  
85 generations via epigenetic changes transmitted specifically through male germ cells. Studies  
86 in humans are however limited. We aim to identify epigenetic marks in offspring associated  
87 with father's preconception smoking.

88 **Methods:** We conducted epigenome-wide association studies (EWAS) in the RHINESSA cohort  
89 on father's any preconception smoking (N=875 offspring) and father's pubertal onset smoking  
90 <15 years (N=304), using Infinium MethylationEPIC Beadchip arrays, adjusting for offspring  
91 age, maternal smoking and personal smoking. EWAS of maternal and offspring personal  
92 smoking were performed for replication.

93 **Results:** Father's smoking commencing preconception was associated with methylation of  
94 blood DNA in offspring at two Cytosine-phosphate-Guanine sites (CpGs) (False Discovery Rate  
95 (FDR) <0.05) in *PRR5* and *CENPP*. Father's pubertal onset smoking was associated with 19  
96 CpGs (FDR <0.05) mapped to 14 genes (*TLR9*, *DNTT*, *FAM53B*, *NCAPG2*, *PSTPIP2*, *MBIP*,  
97 *C2orf39*, *NTRK2*, *DNAJC14*, *CDO1*, *PRAP1*, *TPCN1*, *IRS1* and *CSF1R*). These differentially

98 methylated sites were hypermethylated and associated with promoter regions capable of  
99 gene silencing. Some of these sites were associated with offspring outcomes in this cohort  
100 including ever-asthma (NTRK2), ever-wheezing (DNAJC14, TPCN1), weight (FAM53B, NTRK2)  
101 and BMI (FAM53B, NTRK2) ( $P < 0.05$ ). Pathway analysis showed enrichment for gene ontology  
102 pathways including regulation of gene expression, inflammation and innate immune  
103 responses.

104 **Conclusion:** Father's preconception smoking, particularly in puberty, is associated with  
105 offspring DNA methylation, providing evidence that epigenetic mechanisms may underly  
106 epidemiological observations that pubertal paternal smoking increases risk of offspring  
107 asthma, low lung function and obesity.

108

109 **Key Words:** Preconception, paternal effects, tobacco smoke, epigenetic, Epigenome-Wide  
110 Association Study, DNA methylation, RHINESSA

111 **Introduction**

112 There is growing consensus that perturbations of the epigenome through parental exposures  
113 even before their offspring are conceived may explain some of the variation in the heritability  
114 of health and disease not captured by Genome-Wide Association Studies (GWAS). The period  
115 of puberty in future parents, in particular fathers, may represent a critical window of  
116 physiological change and epigenetic reprogramming events, which may increase the  
117 individual's susceptibility for environmental exposures to be embodied in the developing  
118 gametes<sup>1,2</sup>. Animal and human studies have shown that prenatal as well as personal exposure  
119 to smoking are associated with epigenetic modifications that impact on sperm count and  
120 quality<sup>3</sup>. There is now growing interest in how epigenetic modifications, such as DNA  
121 methylation (DNAm), related to the parental *preconception* period may influence the health  
122 of the *next generation*<sup>4</sup>.

123 Although smoking rates are generally declining, smoking commencing before the age of 15 is  
124 increasing<sup>5,6</sup>. Epidemiological studies have demonstrated that father's smoking in adolescent  
125 years may be a causal factor for poorer respiratory health in offspring. Both fathers' smoking  
126 initiation before age 15 and smoking duration before conception have been associated with  
127 more asthma and lower lung function in offspring<sup>7-9</sup>. Father's preconception smoking onset  
128 has also been associated with higher body fat mass in sons<sup>10-13</sup>.

129 Epigenome-Wide Association Studies (EWAS) have identified extensive methylation  
130 biomarkers associated with personal smoking, all-cause mortality in current and former  
131 smokers, as well as mother's smoking during pregnancy<sup>6,14-17</sup>. While previous studies have  
132 identified DNA methylation signals in offspring blood<sup>18</sup> and cord blood<sup>19</sup> related to father's  
133 smoking, they have not specifically investigated the timing of exposure, partly because  
134 detailed smoking information from fathers is rarely available<sup>20</sup>. Methylation markers

135 associated with paternal preconception smoking, could have an important role in elucidating  
136 long-term effects on the offspring epigenome, with the potential for developing efficient  
137 intervention programs and improved public health.

138 This study aimed to investigate whether DNA methylation of DNA measured in offspring blood  
139 is associated with fathers' smoking commencing before conception, and in particular, with  
140 fathers' smoking starting in (pre)pubertal years (before age 15). We hypothesized that  
141 epigenetic changes involving DNA methylation may explain the molecular mechanisms  
142 underlying the association between fathers' smoking preconception and offspring health  
143 observed in epidemiological studies. Additionally, we hypothesized that fathers' smoking in  
144 the critical window of early puberty may have a more significant impact on the offspring  
145 epigenome. In a two-generation cohort, we sought to identify the DNA methylation changes  
146 in offspring blood associated with (1) father's smoking onset preconception compared with  
147 never or later onset smoking, and (2) father's smoking onset before age 15 compared with  
148 never smoking.

149

150

151 **Methods**

152 **Study design and data**

153 We used data and samples from offspring that participated in the RHINESSA study  
154 ([www.rhinessa.net](http://www.rhinessa.net)). Parent data, including detailed information on smoking habits, were  
155 retrieved from the population-based European Community Respiratory Health Survey  
156 (ECRHS, [www.ecrhs.org](http://www.ecrhs.org)) and/or the Respiratory Health in Northern Europe study (RHINE,  
157 [www.rhine.nu](http://www.rhine.nu)) studies. This analysis comprised 875 offspring-parent pairs with complete  
158 information, from six study centres with available peripheral blood for offspring (Aarhus,  
159 Denmark; Albacete/Huelva, Spain; Bergen, Norway; Melbourne, Australia; Tartu, Estonia). All  
160 participants were of Caucasian ancestry. Medical research committees in each study centre  
161 approved the studies, and each participant gave written consent.

162 Father's smoking and age of starting/quitting was reported in interviews/questionnaires, and  
163 related to offspring's birth year, to define the categories: never smoked (N=547), any  
164 preconception smoking (N=328), preconception smoking with onset <15 years (pubertal  
165 smoking) (N=64) (cut point based on mean age of voice break 14.5 years, first nocturnal  
166 seminal emission 14.8 years). Personal smoking was classified as current, ex- or never  
167 smoking. Maternal smoking was defined by offspring's report on mothers' smoking during  
168 their childhood/pregnancy.

169 DNAm in offspring was measured using Illumina Infinium MethylationEPIC Beadchip arrays  
170 (Illumina, Inc. CA, USA) and data processed using an established pipeline as detailed in the  
171 online supplement. Following processing 726,661 CpGs were retained for analysis.

172 **Statistical analysis**

173 Two EWAS on preconception paternal smoking as exposure (any preconception smoking,  
174 prepuberty smoking) using robust regression were run with offspring blood DNA methylation



175 as outcome adjusting for offspring's sex, age, personal and mother's smoking, study center  
176 and cell-type proportions at significance level of false discovery rate (FDR) corrected p-value  
177 <0.05. Inflation from systematic biases was adjusted using BACON. Differentially methylated  
178 regions were detected using DMRCate and dmrrf. In additional analyses, associations  
179 between fathers' any preconception smoking and offspring's DNA methylation were also  
180 stratified by offspring sex. Biological interpretation of significant dmCpGs is detailed in the  
181 supplementary methods.

182 We compared our EWAS results with findings from meta-analyses of EPIC array DNA  
183 methylation associated with personal smoking from four population-based cohorts<sup>21</sup>,  
184 personal smoking-methylation effects from 16 cohorts using 450K arrays<sup>16</sup>; and the  
185 Pregnancy and Childhood Epigenetics Consortium (PACE) meta-analysis of mother's smoking  
186 in pregnancy on offspring cord blood methylation<sup>22</sup> to assess the shared count of dmCpG sites  
187 at (FDR<0.05) for the overlap between each EWAS.

#### 188 *Replication analysis*

189 Replication was carried out in the ALSPAC (Avon Longitudinal Study of Parents and Children)  
190 cohort adjusted for predicted cell count proportions, batch effects (plate), maternal smoking  
191 during pregnancy, self-reported own smoking, age and sex using DNA methylation data from  
192 whole blood measured at age 15-17. A description of the ALSPAC cohort is provided in the  
193 supplementary methods. T-tests were used to compare the association of regression  
194 coefficient of RHINESSA's dmCpG sites at FDR <0.05 and the top 100 CpG sites with ALSPAC.  
195 Signed tests were used to test the direction of association.

#### 196 **Sensitivity analyses**

197 To assess the effect of social class, father's education was used as a proxy for social class. In  
198 order to see the effect of CpGs changing with age, the correlation of methylation at dmCpGs

199 known to be associated with offspring age, known aging markers from RHINESSA EWAS,  
200 dmCpG sites for father smoking before age 15, and offspring age was assessed. To further  
201 investigate whether the identified dmCpGs were associated with respiratory outcomes and  
202 weight in the offspring, we conducted regression analysis between offspring's DNA  
203 methylation signals and offspring's own reports of ever-asthma, ever-wheeze, weight and  
204 BMI, while accounting for offspring sex

205

## 206 **Results**

207 The analysis included 875 RHINESSA participants (Table 1A), 457 males and 418 females, aged  
208 7 to 50 years. Of these 328 had a father who had ever smoked of which 64 had started before  
209 age 15 years; 263 had a mother who had ever smoked, and 240 had smoked themselves.  
210 Characteristics are also given for the sub-sample of 304 offspring whose father either had  
211 started smoking before age 15 years, or never smoked (Table 1B).

212

### 213 **Epigenome wide association analysis of preconception father's smoking**

214 Epigenome-wide association between father's any preconception smoking and offspring DNA  
215 methylation identified two dmCpGs (inflation  $\lambda=1.187$ ); cg00870527 mapped to *PRR5* and  
216 cg08541349 mapped to *CENPP* (Table 2A, and supplementary table E1). The genome-wide  
217 distribution of associated dmCpGs is shown in Figure 1A. The comparison of methylation  
218 distribution between never- and ever-smoke exposed is shown in Figure 1C.

219

220 In sex-stratified analysis, in males (N=457) we identified four dmCpGs mapped to *KCNJ1*,  
221 *GRAMD4*, *TRIM2* and *MYADML2*. In females (N=418) there was one dmCpG mapped to

222 LEPROT1 (FDR  $\leq 0.05$ ) ( Supplementary Table E2). All sex-specific dmCpGs were  
223 hypomethylated.

224

225 To specifically determine the signature related to father's early onset smoking, we compared  
226 methylation differences between offspring of fathers who started to smoke  $<15$  years ( $n=64$ )  
227 with offspring of never smoking fathers ( $n=240$ ). We identified 55 dmCpGs at FDR  $<0.05$   
228 ( $\lambda=1.44$ ) showing genome-wide significance. After adjusting for inflation using BACON, 19  
229 dmCpGs showed significant association at FDR  $<0.05$  with  $\lambda=1.29$  (Table 2B, Figure 1B, and  
230 supplementary Table E3). These dmCpGs were mapped to 14 known genes and 5 intergenic  
231 regions. The genes include TLR9, DNNT, FAM35B, NCAPG2, MBIP, C2orf39, NTRK2, DNAJC14,  
232 CDO1, PRAP1, TPCN1, IRS1, PSTPIP2, and CF1R. All hits were hypermethylated in the exposed  
233 group. The comparison of methylation distribution between the never and smoke exposed is  
234 shown in Figure 1D.

235

236 The dmCpGs associated with father's preconception smoking were mainly located in open-  
237 sea genomic features and enriched for promoter regions (Table 2A). The dmCpGs associated  
238 with father's pubertal smoking were in open-sea genomic features and CpG island shores  
239 (flanking shore regions,  $<2$  kb up-and downstream of CpG islands) and enriched for CpG  
240 islands and gene bodies (Table 2B).

241

#### 242 **Father's preconception smoking signatures as compared with signatures of personal and** 243 **mother's smoking**

244 To compare the effects of father's preconception and pubertal smoking on the offspring  
245 epigenome with that of other smoking exposures, the epigenome-wide effects of offspring's

246 own smoking as well as their mother's smoking during pregnancy and childhood were  
247 assessed. We identified 33 dmCpGs related to personal smoking, and 14 dmCpGs associated  
248 with mother's smoking (FDR<0.05) (Supplementary Tables E4 and E5, respectively).

249

250 To illustrate the distinct and shared genome-wide effects of personal, mother's, and father's  
251 smoking on the offspring methylome, we generated a locus-by-locus genome comparison,  
252 (Figure 2A). While there was similarity between the effects of personal smoking and mother's  
253 smoking on chromosome 5, we observed distinct signatures for father's preconception  
254 smoking on chromosome 22, and for mother's smoking exposure on chromosomes 7 and 15.

255

256 Comparing our EWAS results with findings from previous studies showed that 10 of the  
257 dmCpGs we identified as related to maternal smoking, and 20 (14+6) and 19 (14+5) of the  
258 dmCpGs identified as related to personal smoking, were present in the relevant meta-  
259 analyses<sup>16,2122</sup> (Figures 2B and 2C). However, when we compared our top 100 dmCpGs for  
260 father's any preconception smoking onset EWAS with mother's smoking, there was no  
261 evidence for shared CpGs (Figure 2B). For father's pubertal smoking, only two CpG sites  
262 (cg11380624 (*DNAJC14*), cg20728490 (*DNTT*)) were shared with analyses of personal smoking  
263 by Joehanes et al.<sup>21</sup> and two sites (cg12053348 (intergenic), cg20728490 (*DNTT*)) with  
264 Christiansen et al.<sup>16</sup>, while 16 CpG sites were unique (Figure 2C).

265

#### 266 **Enrichment of dmCpGs for related traits**

267 We investigated whether the significant dmCpGs associated with father's preconception  
268 smoking onset overlapped with other traits, using the repository of published EWAS literature  
269 in the EWAS atlas. The top 23 dmCpG sites for father's any preconception smoking (those

270 with p-value  $\leq 9.86 \times 10^{-06}$ , distinctly lower than the following sites) were enriched for traits  
271 that include Immunoglobulin E (IgE) level, muscle hypertrophy, maternal smoking, and  
272 birthweight (Figure 3A). dmCpGs (FDR<0.05) associated with father's pubertal smoking were  
273 enriched for traits such as autoimmune diseases, atopy, smoking, and puberty (Figure 3B).  
274 For comparison, maternal and personal smoking dmCpGs were enriched for shared traits  
275 including aging, birthweight, cognitive function, lung function, smoking and type 2 diabetes  
276 and cancers – whereas IgE level and atopy were specifically enriched in paternal smoking  
277 (Figure 3C and 3D).

278

#### 279 **Role of dmCpGs for father's pubertal smoking (smoking initiation < 15 years)**

280 Given the stronger effects of father's pubertal smoking we further explored the biological  
281 relevance of these findings.

#### 282 **Transcription factor enrichment**

283 We interrogated eFORGE TF for transcription factor enrichment in CD4<sup>+</sup> cells to determine  
284 the regulatory role of our 19 significant dmCpGs (FDR<0.05) related to father's pubertal  
285 smoking. We found significant enrichment of 27 transcription factor binding sites that  
286 overlapped with 7 of the dmCpGs (q-value<0.05) identified in our EWAS study  
287 (Supplementary Table E6).

288

#### 289 **EWAS atlas lookup**

290 Of the 19 dmCpGs associated with father's pubertal smoking identified in our analysis, 11  
291 were present in the EWAS atlas and correlated with gene expression in a variety of tissues in  
292 the EWAS atlas (Figure 4A) and overlapped with promoters (Figure 4B) (FDR <0.05). These  
293 were significantly associated with 9 other traits, including atopy and fractional exhaled nitric

294 oxide (cg23021329), smoking (cg20728490; cg16730908), BMI (cg03516318), Acute  
295 Lymphoblastic Leukemia (cg2240207), cancer (cg11380624), and Crohn's disease  
296 (cg10981514), (Supplementary Table E7).

297

### 298 **Differentially methylated region (DMR) analysis**

299 No DMRs were significantly associated with father's any preconception smoking using either  
300 DMRcate or dmrff. There were suggestive hits for father's pubertal smoking, such as DNNT at  
301 FDR= 0.084. All DMRs are listed in supplementary Table E8.

302

### 303 **Pathway enrichment**

304 To gain further insight into the functional roles of the dmCpGs, we used 14 genes that were  
305 mapped to dmCpGs associated with father's pubertal smoking to generate a protein-protein  
306 interaction network from the String database. The top 20 protein interactors were included  
307 with high confidence score cutoff 0.7 from protein-protein interaction data sources including  
308 experimentally validated protein physical complexes, curated databases and co-expressions.  
309 The network indicated that immune response related genes *TLR9*, *CSF1R*, *NTRK2*, *PSTPIP2*,  
310 *PTPN11* and *IL34* were well connected (Figure 5A) (p-value  $<1.0 \times 10^{-16}$ ). The molecular  
311 function enrichment analysis showed enrichment for gene expression, inflammatory  
312 response, innate immunity, and cytokine binding (Figure 5B). We also assessed enrichment  
313 of GO terms using gometh. The most significantly enriched biological process terms  
314 (FDR<0.05) include: Inactivation of MAPK activity involved in osmosensory signaling pathway  
315 (GO:0000173), negative regulation of interleukin-6 production (GO:0032715), regulation of  
316 mast cell chemotaxis (GO:0060753), regulation of neutrophil migration (GO:1902622) and  
317 insulin processing (GO:0030070) (Supplementary Table E9).

318

319 **Replication of DNA methylation signatures associated with father's preconception smoking**

320 The replication cohort in ALSPAC included 542 participants (female=280, male=262), of whom  
321 86 had a father who started to smoke before the age of 15 and 456 had never-smoking  
322 fathers. There was no overlap of dmCpG sites significantly associated with father's smoking  
323 before age 15 between the two cohorts (FDR<0.05). However, of the 19 significant dmCpGs  
324 identified as related to father's pubertal smoking in RHINESSA, 11 showed nominal replication  
325 in ALSPAC ( $p < 0.05$ ) with similar direction. The correlation of effects between studies is  
326  $R=0.49$ . The binomial sign test showed the association to be significant at  $p < 0.05$ . Expanding  
327 the comparison to the top 100 dmCpGs in RHINESSA, the correlation of effects between  
328 studies,  $R = 0.54$ ,  $p\text{-value} = 3.04 \times 10^{-05}$ .

329

330 **Sensitivity analyses**

331 In order detect whether the associations identified were influenced by social class, we carried  
332 out regression analysis between paternal smoking associated dmCpGs as outcome and  
333 father's education as exposure. No association was found.

334 In order to see the effect of CpGs changing with age, we compared known aging-related CpG  
335 markers identified from Rhinessa EWAS and paternal smoking dmCpGs with offspring age.  
336 There was only weak correlation between paternal smoking dmCpGs and offspring age  
337 (maximum  $R = |0.2|$ , with 9 CpGs  $R = 0$ ). In contrast, the age-related CpG markers showed a  
338 strong correlation with age ( $R \geq |0.6|$ ) (Supplementary Figure 1).

339 In order to determine whether paternal smoking dmCpGs were associated with offspring  
340 outcomes we ran logistic and linear regression on ever-asthma, ever-wheezing, weight and  
341 BMI. Some dmCpG sites showed association with ever-asthma (cg22402007: NTRK2), ever-

342 wheezing (cg11380624: DNAJC14, cg10981514: TPCN1), weight (cg12053348, cg03380960:  
343 FAM53B, cg22402007: NTRK2<sup>23</sup>) and BMI (cg03380960: FAM53B, cg12053348, cg22402007:  
344 NTRK2) at  $P < 0.05$  as shown in (Supplementary Table E10). The study power is shown in  
345 Supplementary Table E11.

346  
347 **Discussion**

348 To our knowledge, this is the first human study to investigate the potential epigenetic  
349 mechanisms behind the impact of father's pubertal smoking on offspring. In this epigenome-  
350 wide association study, using data from two generations of study participants, we found  
351 differentially methylated CpG sites in offspring associated with father's preconception  
352 smoking. Signatures related to father pubertal smoking (smoking initiation before age 15)  
353 were much more pronounced than smoking starting at any time preconception. Sixteen of  
354 our identified dmCpGs have not previously been reported to be associated with personal or  
355 maternal smoking. We suggest these new smoking-associated methylation biomarkers may  
356 be specific to smoking exposure of future fathers in early puberty. Several top dmCpGs were  
357 enriched for promoter regions and overlapped with significant transcription factor sites that  
358 correlated with gene expression in a variety of tissues. Besides unique sites identified for  
359 father's preconception smoking onset, our study confirms previously reported DNA  
360 methylation sites associated with personal and mother smoking, demonstrating the validity  
361 of our cohort and analytical methods. The genes to which dmCpGs map are related to  
362 regulation of innate immunity and inflammatory responses.

363  
364 For father's any preconception smoking, we found two novel CpG sites that were not  
365 previously linked with any previously investigated smoking phenotype. PRR5 (mapped with  
366 cg008870527) is a component of the (mTOR) complex 2 which is upstream of major pathways



367 known to have a crucial role in metabolic regulation and is suggested to play a role in obesity  
368 and the pathogenesis of insulin resistance<sup>24</sup>. CENPP (mapped with cg08541349), has been  
369 associated with lung function, leucocyte count, BMI and type II hypersensitivity reaction in  
370 GWAS studies<sup>25</sup>. In the male EWAS analysis, gene KCNJ1 is known to be associated with vital  
371 capacity and linked with obesity. A population-based study of Hispanic children has shown  
372 association of GRAMD4 with IgE levels (relevant to asthma pathogenesis)<sup>26</sup>. TRIM2 is linked  
373 with low density lipoprotein measurement and total cholesterol, while MYADML2 is linked  
374 with vital capacity and BMI-adjusted waist-hip ratio. Of the female EWAS hits, LEPROTL1 has  
375 a role in lung function (FEV1/FVC ratio) and several cancers, and a regulatory effect on growth  
376 hormone action and glucose homeostasis<sup>27</sup>.

377 For father's pubertal smoking, two of our 19 significant CpG sites, have previously been  
378 associated with personal smoking (cg20728490 in *DNTT* and cg16730908 in *PSTPIP2*), and  
379 they map to genes with important roles in innate immune responses to infections<sup>28,29</sup>.  
380 Upregulation of *PSTPIP2* has also been linked to neutrophilic airway inflammation and non-  
381 allergic asthma. When exploring the biological impact of other genes mapped to the dmCpGs  
382 uniquely associated with father's pubertal smoking, several were related to genes associated  
383 with innate immunity, allergic diseases, and asthma development, such as *TLR9*, *CSF1R*,  
384 *DNAJ14*, *NTRK2* and *TPCN1*<sup>28-33</sup>. We also identified CpGs and genes with links to obesity  
385 (*NTRK2*, *PSTPIP2*, *MBIP*)<sup>25,35</sup>, and glucose and fat metabolism (*IRS1*). The differentially  
386 methylated CpGs were mainly located in open-sea genomic features, and enriched for  
387 promoter regions, CpG island and gene bodies. These findings suggest that the identified DNA  
388 methylation differences, even though of relatively small magnitude, have functional  
389 implications in terms of a regulatory role in specific gene expression. Pathway analysis and  
390 molecular function enrichment further found interconnection of immune response related

391 genes, and enrichment for inflammatory response, innate immunity, and cytokine binding.  
392 When seeking replication of results in an independent sample in the ALSPAC, although no  
393 dmCpGs overlapped in the two population cohorts, results showed that effect estimates  
394 associated with fathers' preconception smoking were moderately correlated and with  
395 concordant directional effects.

396

397 Several mechanistic reports have demonstrated that the toxicogenic components in cigarette  
398 smoke impact on epigenetic germline inheritance and affect the offspring's metabolic  
399 health<sup>36</sup>. However, given this is the first study that investigated DNA methylation signatures  
400 in young and adult offspring in relation to a timing-specific exposure on father's smoking,  
401 there is limited published literature that is directly comparable to our findings. In a pilot study,  
402 we previously observed differentially methylated regions associated with father's ever  
403 smoking, among which annotated genes were related to innate and adaptive immunity and  
404 fatty acid synthesis<sup>18</sup>. Preconception paternal smoking has been shown to alter sperm DNA  
405 methylation<sup>37</sup>, and independently increase asthma risk and reduce lung function in the  
406 offspring<sup>9</sup>, especially if the smoking started before age 15<sup>7,9</sup>. The observed association  
407 between the dmCpG sites related to father's early onset smoking, and offspring asthma,  
408 wheezing and weight, suggests that epigenetic changes may lie on the casual pathway  
409 between paternal smoke exposures and offspring health outcomes.

410

411 Strikingly, the dmCpG sites we identified as related to fathers' preconception smoking (any  
412 preconception smoking as well as pubertal smoking), were quite unique and not the same as  
413 those previously reported or found in our data to be associated with mothers' or personal

414 smoking. Reassuringly, our EWAS of mother's smoking and personal smoking, identified  
415 several of the dmCpG sites related to these exposures in other cohorts.

416

417 Available data for appropriate replication of our results is a major challenge. We found  
418 moderate correlation between RHINESSA and ALSPAC EWAS for paternal smoking before 15  
419 years. Although the replication analysis found effect estimates to have concordant directions  
420 in several of the dmCpGs, we did not identify overlapping significant dmCpGs associated with  
421 fathers' preconception smoking in the replication cohort. The low sample size in both cohorts  
422 for paternal smoking before 15 might contribute to the lack of shared genome-wide  
423 significance. Even within the same population, using different platforms can cause difficulties  
424 with replication<sup>38</sup>. The similarity in the direction of association suggests a potential biological  
425 effect of early pre-puberty father's smoking, but further research is warranted in order to  
426 verify our novel results.

427

428 Although we accounted for personal and mother's smoking exposure in the analysis, we  
429 cannot disregard potential residual confounding related to maternal and personal smoking.  
430 Further, our analyses cannot fully disentangle effects of father's early onset smoking from  
431 effects of subsequent accumulating second hand smoke exposure. However, epidemiological  
432 analyses of various measures of father's smoking as related to offspring phenotype in over  
433 20,000 father-offspring pairs found that effects of any other aspect of father's smoking was  
434 negligible as compared to that of starting smoking early<sup>7</sup>. We did not control for genetic  
435 variations at single nucleotide polymorphisms and cannot rule out that the differentially  
436 methylated CpG sites are affected by, or interact with, GWAS-associated genetic variants.  
437 However, a recent analysis of our study cohorts using highly advanced statistical probabilistic

438 simulations demonstrated that unmeasured confounding had a limited impact on the effects  
439 of father's preconception smoking on offspring asthma<sup>8</sup>. This suggests that the identified  
440 dmCpGs associated with father's preconception smoking, most likely are not driven by  
441 unmeasured confounding - by genetic factors or by lifestyle-related or environmental factors.  
442  
443 Self-reporting of smoking is another limitation of our study. However, based on validation  
444 studies there is an overall consensus that self-report provides a valid and reliable tool for  
445 assessing smoking behaviour in cohort studies. Furthermore, it is likely that error in father's  
446 reporting of smoking habits is independent of DNA methylation measured in the offspring,  
447 and that misclassification thus will have attenuated the observed results and that the  
448 underlying true results might be stronger<sup>39,40</sup>.  
449  
450 We suggest that the observed association between father's preconception smoking and  
451 offspring DNA methylation marks could be caused by transmission through germline imprint  
452 of male sperm. Supported by previous mechanistic and epidemiological findings we also  
453 speculate that our novel results reflect that early adolescence may constitute a period of  
454 particular vulnerability for smoking exposure to modify the offspring's epigenome. A recent  
455 study demonstrated that preconception paternal cigarette exposure in mice from the onset  
456 of puberty until 2 days prior to mating modified the expression of miRNAs in spermatozoa  
457 and influenced the body weight of F1 progeny in early life<sup>41</sup>. As prepubertal years as well as  
458 the onset of puberty represents periods of epigenetic reprogramming events<sup>42</sup>, we suggest  
459 early adolescence may be a critical time for tobacco-related exposures to interfere with  
460 germline epigenetic patterns. This is, however, most challenging to study in humans and

461 multiple scientific approaches are needed to elucidate the molecular mechanisms underlying  
462 the current findings as well as previous epidemiological results.

463

464 **Conclusion**

465 We have identified dmCpG sites in offspring associated with father's onset of smoking before  
466 conception, with most pronounced effects when the father started to smoke already in early  
467 puberty (before the age of 15). The pattern differed from those of maternal smoking in  
468 pregnancy and of personal smoking, and we suggest these may be unique methylation  
469 signatures specific to father's early adolescent smoking. The genes to which the identified  
470 dmCpGs map, are related to asthma, IgE and regulation of innate immunity and inflammatory  
471 responses. Our study provide evidence for an epigenetic mechanism underlying the  
472 epidemiological findings of high risk of asthma, obesity and low lung function following  
473 father's early adolescent smoking. The functional links of hypermethylated genes suggest that  
474 particularly father's pubertal smoking can have cross-generational effects impacting on the  
475 long-term health in offspring. Smoking interventions in early adolescence may have  
476 implications for better public health, and potential benefits, not only for the exposed, but also  
477 for future offspring.

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Table 1 A and B: General characteristics of study participants from the RHINESSA study with complete data on offspring DNA methylation and father's age of onset of tobacco smoking. A: for the full cohort of 875 offspring, and B: for the 304 offspring whose father started to smoke before age 15 years or never smoked.

Characteristic	A: FULL Cohort			B: Start Smoking <15 years		
	No, N = 547 <sup>1</sup>	Yes, N = 328 <sup>1</sup>	p-value <sup>2</sup>	No, N = 240 <sup>1</sup>	Yes, N = 64 <sup>1</sup>	p-value <sup>3</sup>
<b>Sex</b>			0.8			0.5
F	263 (48%)	155 (47%)		112 (47%)	33 (52%)	
M	284 (52%)	173 (53%)		128 (53%)	31 (48%)	
<b>Study centre</b>			<0.001			0.005
Albacete	24 (4.4%)	32 (9.8%)		7 (2.9%)	9 (14%)	
Arhus	34 (6.2%)	17 (5.2%)		14 (5.8%)	1 (1.6%)	
Bergen	320 (59%)	194 (59%)		174 (72%)	47 (73%)	
Huelva	17 (3.1%)	14 (4.3%)		5 (2.1%)	2 (3.1%)	
Melbourne	78 (14%)	14 (4.3%)		21 (8.8%)	1 (1.6%)	
Tartu	74 (14%)	57 (17%)		19 (7.9%)	4 (6.2%)	
<b>Age</b>	26 (8)	30 (8)	<0.001	26 (8)	27 (8)	0.2
<b>Mother smoking</b>	84 (15%)	179 (55%)	<0.001	30 (12%)	34 (53%)	<0.001
<b>Offspring Smoking</b>	121 (22%)	119 (36%)	<0.001	44 (18%)	25 (39%)	<0.001
<b>B-cells</b>	0.022 (0.019)	0.020 (0.016)	0.4	0.022 (0.016)	0.020 (0.015)	0.4
<b>CD4-cells</b>	0.030 (0.031)	0.032 (0.032)	0.3	0.026 (0.026)	0.026 (0.024)	>0.9
<b>CD8-cells</b>	0.13 (0.05)	0.12 (0.05)	0.5	0.13 (0.04)	0.12 (0.04)	0.7
<b>NK-cells</b>	0.07 (0.04)	0.07 (0.04)	0.8	0.07 (0.04)	0.07 (0.04)	0.4
<b>Mononuclear cells</b>	0.073 (0.022)	0.071 (0.020)	0.2	0.074 (0.020)	0.071 (0.020)	0.4
<b>Neutrophil</b>	0.67 (0.09)	0.68 (0.08)	0.3	0.68 (0.08)	0.68 (0.07)	0.8

<sup>1</sup> n (%); Mean (SD)  
<sup>2</sup> Pearson's Chi-squared test; Wilcoxon rank sum test  
<sup>3</sup> Pearson's Chi-squared test; Fisher's exact test; Wilcoxon rank sum test

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\*Including father never smoked and father started smoking after birth of the offspring

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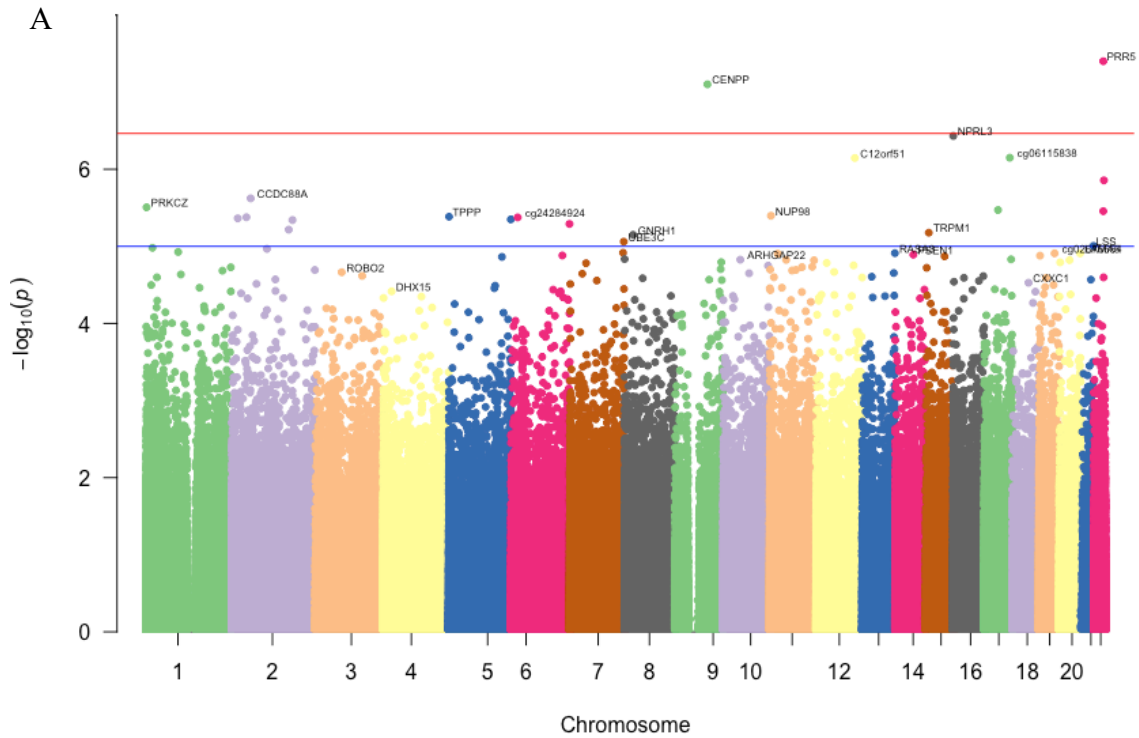
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**Table 2A and B.** CpG sites associated with father’s smoking at genome wide significance (FDR<0.05) **A:** for father’s any preconception smoking, in the full cohort (N=875), and **B:** for father’s smoking starting before age 15 years, in the subpopulation (N=304).

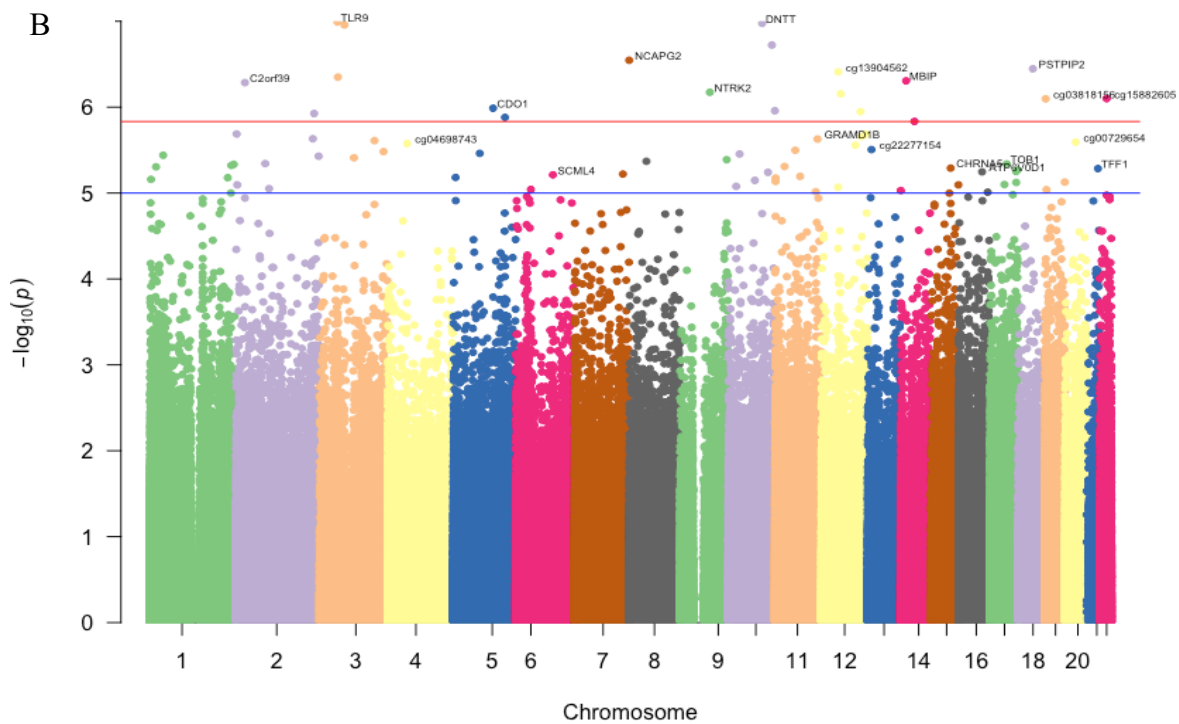
Fathers’ smoking	CpG	Coefficient*	Average**	SD	Adj.P***	Gene	Location****
A: Any preconception smoking onset	cg00870527	-0.024	0.5	0.07	0.028	PRR5	N_Shelf
	cg08541349	-0.012	0.88	0.023	0.028	CENPP	OpenSea
B: Fathers’ smoking onset before age 15	cg23021329	0.015	0.27	0.021	0.026	TLR9	S_Shore
	cg20728490	0.032	0.37	0.049	0.026	DNTT	OpenSea
	cg12053348	0.036	0.61	0.056	0.026	NA	OpenSea
	cg03380960	0.019	0.48	0.045	0.034	FAM53B	OpenSea
	cg26274304	0.018	0.36	0.027	0.037	NCAPG2	N_Shore
	cg16730908	0.021	0.39	0.032	0.037	PSTPIP2	S_Shore
	cg13904562	0.041	0.53	0.056	0.037	NA	OpenSea
	cg07508217	0.026	0.69	0.042	0.037	NA	OpenSea
	cg03516318	0.028	0.21	0.039	0.037	MBIP	OpenSea
	cg10883621	0.02	0.35	0.032	0.037	C2orf39	Island
	cg22402007	0.022	0.16	0.031	0.041	NTRK2	N_Shore
	cg11380624	0.024	0.27	0.036	0.041	DNAJC14	N_Shore
	cg15882605	0.025	0.44	0.051	0.041	NA	OpenSea
	cg03818156	0.017	0.9	0.028	0.041	NA	OpenSea
	cg13288863	0.02	0.79	0.049	0.048	CDO1	N_Shore
	cg03743584	0.018	0.3	0.025	0.048	PRAP1	OpenSea
	cg10981514	0.023	0.42	0.042	0.048	TPCN1	OpenSea
cg06600694	0.005	0.06	0.008	0.048	IRS1	Island	
cg14700085	0.016	0.71	0.024	0.050	CSF1R	OpenSea	

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\* Coefficient: Regression coefficient between father smoking/not smoking  
 \*\* Average methylation across all samples  
 \*\*\* adj.P.Val: FDR adjusted p value  
 \*\*\*\* N (north) Shelf: up to 2 kb outward from flanking shores; Open Sea: > 4 kb from CpG islands; N (north) and S (south) Shores: up to 2 kb from flanking CpG islands



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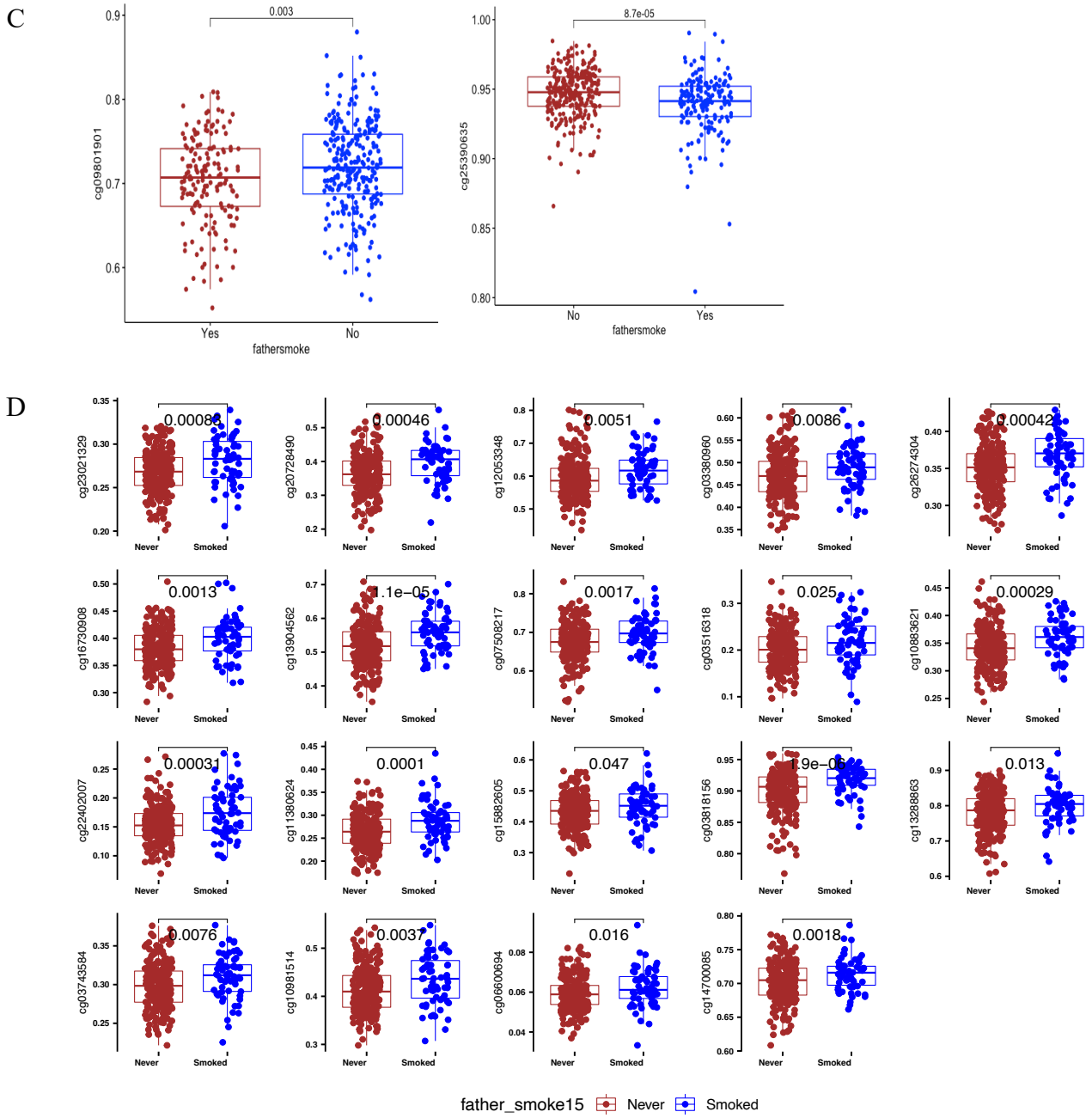


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**Figure 1A and B.** Manhattan plot for Genome-wide distribution of dmCpGs **A:** for father's any preconception smoking, and **B:** father's pubertal smoking starting before age 15. The red line shows genome-wide significance, the blue is the suggestive line. The y-axis represents  $-\log_{10}$  of the p-value for each dmCpG (indicated by dots) showing the strength of association. The x-axis shows the position across autosomal chromosomes. The top dmCpGs on each chromosome were annotated to the closest gene.



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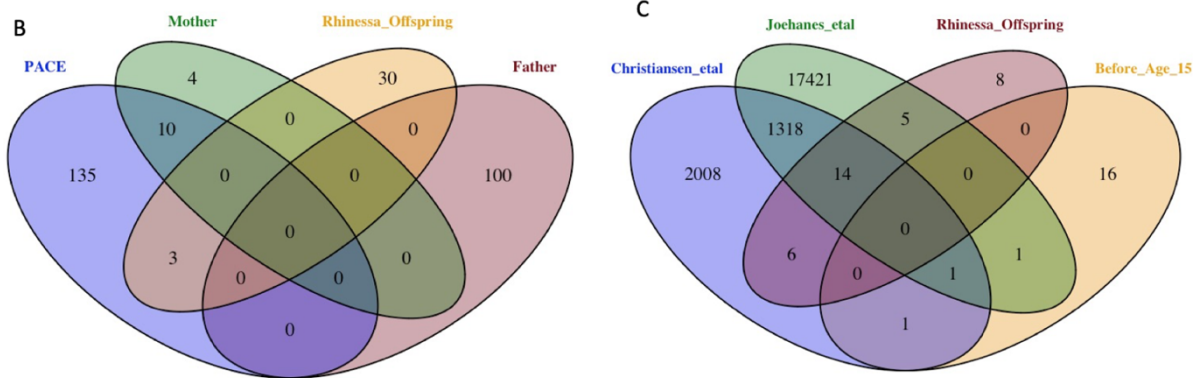
**Figure 1C and D.** Comparison of methylation differences for **C:** for father's any preconception smoking, and **D:** for father's pubertal smoking starting before age 15.



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551 **Figure 2A.** Circos plots showing genome-wide distribution across autosomal chromosomes of  
552 dmCpGs associated with **A:** personal smoking (in offspring), **B:** mother's smoking, **C:** father's  
553 any preconception smoking, and **D:** father's pubertal smoking starting before age 15. Each  
554 dot represents a CpG site; the radial line shows the  $-\log_{10}$  p-value for each EWAS. Zoomed  
555 dots show significant sites in one of the EWAS; each zoomed dot colour shows a unique CpG  
556 site specific locus in all 4 EWASs.

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**Figure 2B and C.** Venn diagram showing EWAS CpG top hits for personal smoking, mother's smoking (FDR<0.005), father's any preconception smoking (top 100 dmCpGs), and father's pubertal smoking starting before age 15 (FDR<0.05) in the RHINESSA cohort, which are shared with top hits from meta-analysis of **B**: mother smoking (blue oval) as reported by Joubert et al 2016, and **C**: personal cigarette smoking signature as reported by Christiansen et al 2021 (blue) and by Joehanes et al 2016 (green).

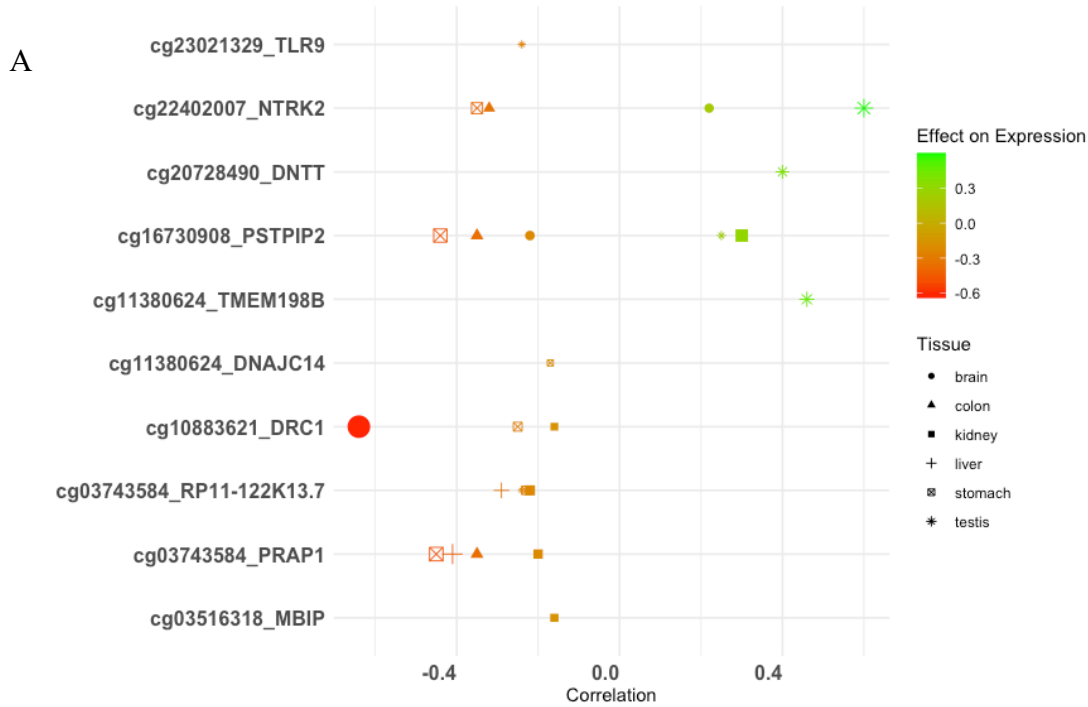


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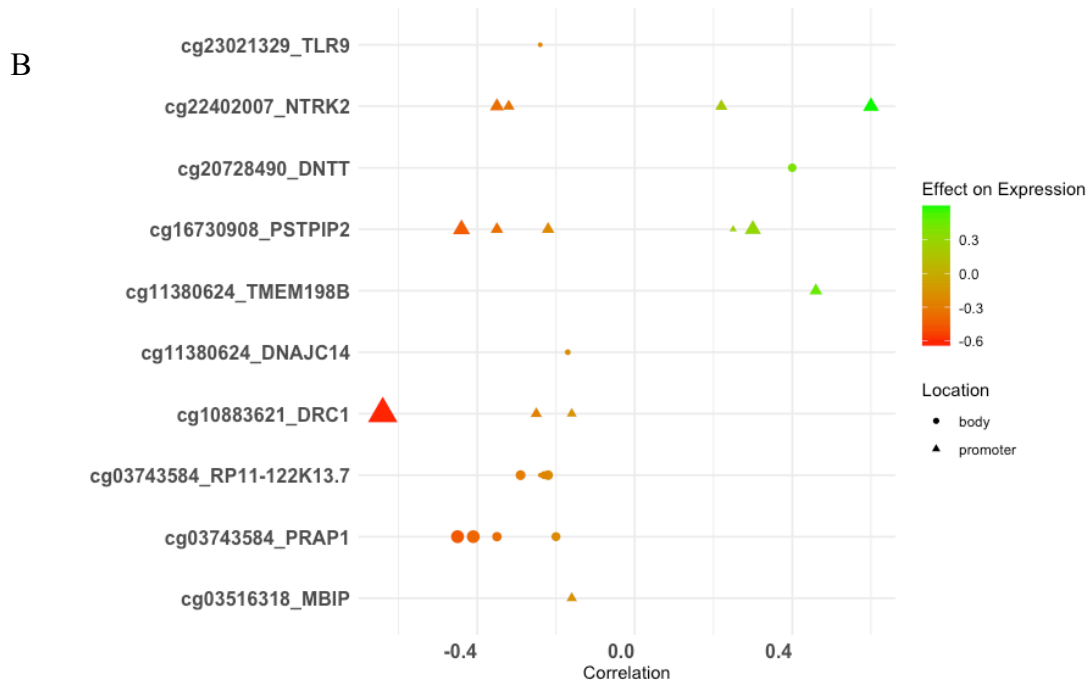
**Figure 3:** Traits associated with the CpG sites that in EWAS were identified to be differentially methylated according to **A**: father's any preconception smoking, **B**: father's pubertal smoking starting before age 15, **C**: Mother's smoking and **D**: personal smoking

\*PPBAPDE: perinatal polychlorinated biphenyls and polychlorinated dibenzofurans exposure

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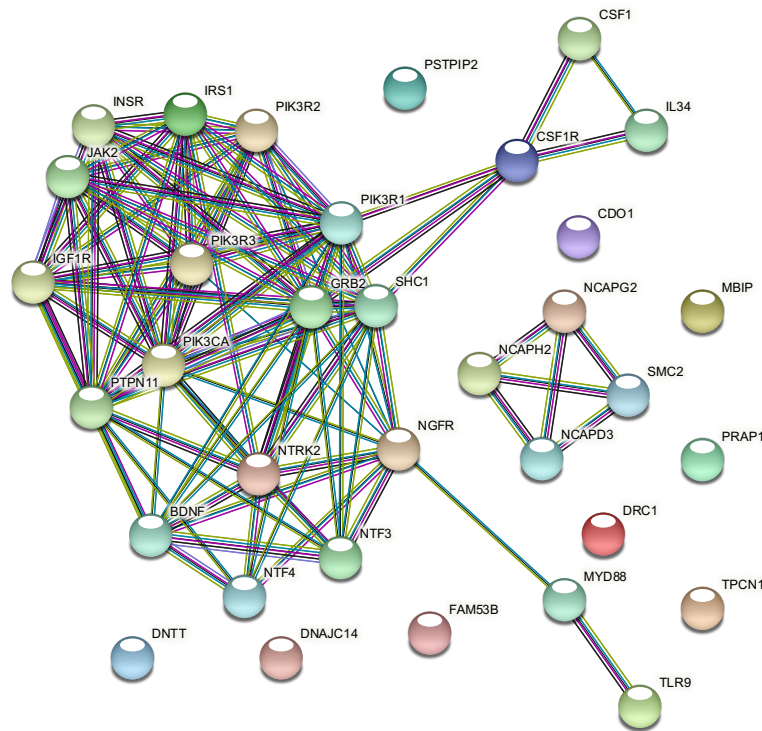
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615 **Figure 4:** Methylation effects on gene expression regulation across different tissue types for  
616 the CpG sites differently methylated according to father's pubertal smoking starting before  
617 age 15 years (FDR < 0.05). [Accessed on 20 June 2021]. Size of point represents  $-\log_{10}$  p-  
618 value, colour scale shows CpG site correlation with expression; red to green represents  
619 increasing expression. In A) shape shows the tissue type, in B) shape shows genomic feature  
620 location.

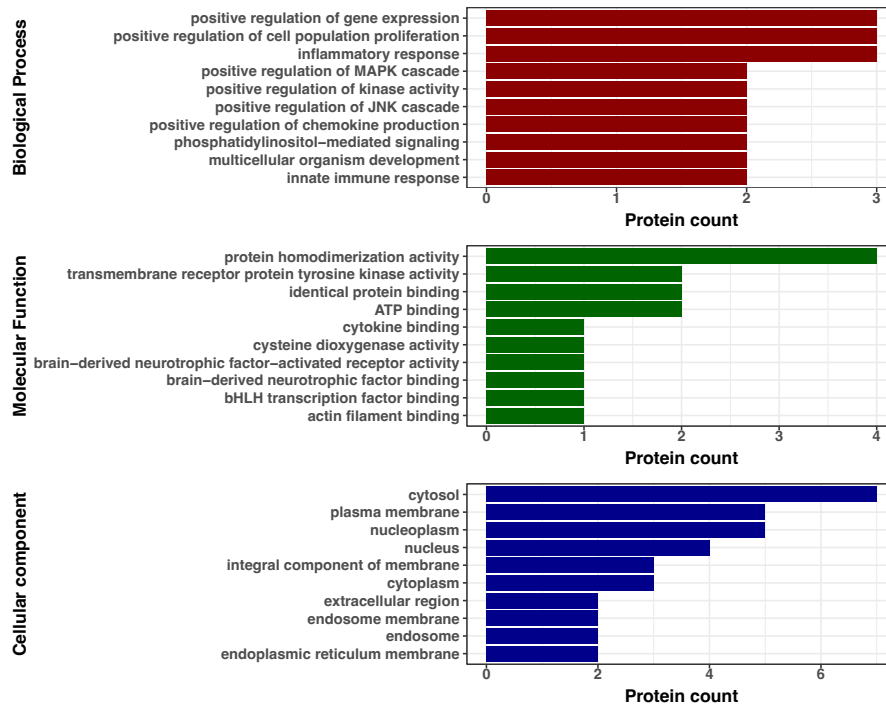
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**Figure 5A and B.** Interactome of dmCpGs associated with father's pubertal smoking starting before age 15 (FDR < 0.05). **A:** Network with high confidence score 0.7 and 20 top interactors. The interaction line colour shows dataset source: Red = experimentally determined, cyan = curated database, yellow-green = text mining. **B:** Functional enrichment for gene expression regulation, inflammatory response and innate immunity.

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