Dating in the Dark: Elevated Substitution Rates in Cave Cockroaches

(Blattodea: Nocticolidae) Have Negative Impacts on Molecular Date

Estimates

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Abstract.—Rates of nucleotide substitution vary substantially across the Tree of Life, with

potentially confounding effects on phylogenetic and evolutionary analyses. A large

acceleration in mitochondrial substitution rate occurs in the cockroach family Nocticolidae,

which predominantly inhabit subterranean environments. To evaluate the impacts of this

among-lineage rate heterogeneity on estimates of phylogenetic relationships and evolutionary

timescales, we analysed nuclear ultraconserved elements (UCEs) and mitochondrial genomes

from nocticolids and other cockroaches. Substitution rates were substantially elevated in

nocticolid lineages compared with other cockroaches, especially in mitochondrial protein-

coding genes. This disparity in evolutionary rates is likely to have led to different

evolutionary relationships being supported by mitochondrial genomes and UCE loci.

Furthermore, analyses using relaxed-clock models inferred much deeper divergence times

compared with a flexible local clock. Our phylogenetic analysis of UCEs, which is the first

genome-scale study to include all nine major cockroach families, unites Corydiidae and

Nocticolidae and places Anaplectidae as the sister lineage to the rest of Blattoidea. We

uncover an extraordinary level of genetic divergence in Nocticolidae, including two highly

distinct clades that separated ~115 million years ago despite both containing representatives

of the genus *Nocticola*. The results of our study highlight the potential impacts of high

among-lineage rate variation on estimates of phylogenetic relationships and evolutionary

timescales.

Keywords: Nocticolidae, ultraconserved elements, molecular dating, divergence times,

among-lineage rate heterogeneity, clock models, flexible local clock

Rates of molecular evolution vary by several orders of magnitude across the Tree of Life.

This among-lineage rate variation has been linked to differences in life-history traits such as

body size, population size, longevity, metabolic rate, and generation time (Bromham 2009).

Remarkably, large disparities in evolutionary rates can even be found between closely related

lineages, such as in burrowing crayfish (Gan et al. 2018), crabronid wasps (Kaltenpoth et al.

2012), and parasitic plants (Lemaire et al. 2011; Bromham et al. 2013).

When molecular evolutionary rates show extreme or complex variation across

lineages, they can pose difficulties for phylogenetic inference and molecular dating

(Kolaczkowski and Thornton 2004, 2009; Susko 2015; Roch et al. 2019). A range of clock

models have been developed in order to account for among-lineage rate variation. These

include local clocks, in which different rates are allowed in groups of neighbouring branches,

and relaxed clocks, in which a different rate is allowed along each branch (Ho and Duchêne

2014). The most widely used relaxed clocks either treat the branch rates as autocorrelated

(Thorne et al. 1998; Sanderson 2002; Lepage et al. 2006), where they are assumed to be

related between adjacent branches, or uncorrelated, where they are drawn independently from

a chosen parametric distribution (Drummond et al. 2006; Rannala and Yang 2007). These

relaxed clocks typically rely on the branch rates fitting a simple unimodal distribution. When

the pattern of rate variation is more complex, it might be more appropriate to use a model

such as the flexible local clock, which allows multiple independent, uncorrelated relaxed

clocks for different clades across the tree (Fourment and Darling 2018).

One group of organisms that appears to have experienced a dramatic acceleration in

mitochondrial substitution rates is the cockroach family Nocticolidae (Bourguignon et al.

2018). The causes and timing of this rate elevation, and whether such a pattern also occurs in

the nuclear genome of nocticolids, are unknown. Dominated by cavernicolous taxa exhibiting

troglomorphic (cave adapted) characters, nocticolids are small (<10 mm body length), pale,

and delicate, and often have absent or reduced eyes and wings (Fig. 1). There are 38

described species of Nocticolidae across nine genera, found in Africa, Asia, and Oceania

(Supplementary Table S1). The majority (24) of species are subterranean, including three

from non-cave subterranean environments (Trotter et al. 2017) and two that are known to

move in and out of caves. Ten species are epigean and three are termitophilous (living within

termite nests). Epigean taxa typically have fully developed wings, compound eyes, and

increased pigmentation, while subterranean taxa rarely possess these features. Owing to their

small size, great speed, and inaccessible habitats, nocticolids remain the most poorly studied

of the nine recognised cockroach families (Karny 1924; Fernando 1957; Asahina 1974; Roth

1988).

The phylogenetic position of Nocticolidae remains uncertain because of limited taxon

sampling in the family. Several phylogenetic studies have found a close relationship between

Nocticolidae and the family Corydiidae, though these analyses have typically included only a

small number of nocticolid representatives (Inward et al. 2007; Djernæs et al. 2012, 2015;

Legendre et al. 2015; Wang et al. 2017; Bourguignon et al. 2018; Evangelista et al. 2019; Li

and Huang et al. 2020; Djernæs and Murienne 2022). There has so far been no phylogenomic

analysis of all nine cockroach families, nor has there been a comprehensive phylogenetic

study of nocticolids themselves.

Here we evaluate the impact of extreme among-lineage rate variation on the inference

of phylogenetic relationships and evolutionary timescales in Nocticolidae and Blattodea. We

present a detailed phylogenetic analysis of all nine recognised families of cockroaches, using

the largest data set so far assembled for Nocticolidae. We design the first baits for

ultraconserved elements (UCEs) from cockroaches, and assemble a data set comprising UCE

loci and mitochondrial genomes. Our study resolves the major branching order of all nine

cockroach families, identifies two highly divergent and rapidly evolving clades within

FIGURE 1. Evolutionary timescale of Blattodea, with Mantodea and Phasmatodea as
outgroup taxa. The tree topology was inferred using maximum likelihood and Bayesian
inference analysis of UCE loci. Node support is represented by squares: outline colours
indicate values or the SH-like approximate likelihood-ratio test (SH-alrt), fill colours indicate
ultrafast bootstrap (UFb) values. Nodes with SH-alrt and UFb > 99 are not labelled. Posterior
probabilities were very high (1.0) for all nodes, with the exception of the node labelled with a
star, which had a different topology. Divergence times were estimated based on UCE loci,
using a Bayesian phylogenomic approach with an uncorrelated relaxed clock in MCMCTree.
Insert shows the diverse morphology present in Nocticolidae a) female *Nocticola*
australiensis (Royal Arch Cave) with highly reduced eyes (photo by Braxton Jones), b) blind
female *Nocticola baumi* with elongated appendages (photo by Martin Bláha) and c) male
Nocticolidae sp. (Singapore) with fully developed eyes and wings (photo by Maimon
Hussin).

Nocticolidae, and shows that widely used relaxed-clock models have difficulty in accounting

for lineage-specific accelerations in evolutionary rates.

MATERIALS AND METHODS

We generated three genetic sequence data sets for our phylogenetic analyses of nocticolids

and other cockroaches. We began by using a barcoding marker, *16S*, to identify specimens

for genetic sequencing, given that the majority of samples either represented undescribed

species or could not be identified based on morphology. From the observed diversity in the

family, we chose 22 samples for short-read metagenomic 'genome skim' sequencing. Using

these libraries, we assembled our first data set, comprising sequences from mitochondrial

protein-coding genes (mtPCG), and our second data set, comprising sequences from nuclear

ultraconserved elements (UCE). We combined sequences of UCE loci, mitochondrial

protein-coding genes, and mitochondrial *16S* to form a third data set (UCE-mtPCG-*16S*).

Taxon Sampling and Sequencing

We sampled 131 specimens of Nocticolidae from museums and private collections.

The majority of samples were from Australia (including Christmas Island), but we also

included taxa from New Guinea and Singapore. For non-type samples and taxa with multiple

specimens, genomic DNA was extracted from whole organisms. For type specimens or

individuals from unique locations for which no known species have been described, a single

hind leg was used. We used PCR to amplify a 440 bp fragment of the mitochondrial gene

encoding 16S rRNA (*16S*). These 115 newly generated sequences were combined with six

nocticolid sequences available on GenBank, as well as outgroup sequence data from 37 non-

nocticolid cockroaches and termites and 5 other insects (Supplementary Tables S2-4). We

aligned these sequences using MAFFT 7.475 (Katoh and Toh 2008) and manually removed

poorly aligned regions. The final *16S* alignment comprised 438 bp from 163 taxa. Using this

alignment, we estimated phylogenetic relationships using maximum likelihood in IQ-TREE

v2 (Bui et al. 2020) to identify representative taxa for further sequencing.

For our first data set, we selected 23 representative lineages (Supplementary Table

S5) for short-read metagenomic 'genome skim' sequencing by BGI (Shenzhen, China). Using

the read libraries produced, we assembled mitochondrial genomes by mapping reads to an

available nocticolid mitochondrial genome (Bourguignon et al. 2018) in Geneious 2020.0.5

(www.geneious.com). Samples with incomplete mapping were assembled *de novo* using

NOVOplasty (Dierckxsens et al. 2017) and the resulting assembly was then used as a

reference for read mapping. We annotated mitochondrial genes using MITOS2 (Donath et al.

2019). Mitochondrial genomes from nocticolids were combined with those from

representatives of the diversity in each of the eight other cockroach families as well as

outgroup taxa from related insect lineages (Supplementary Table S6; Bourguignon et al.

2018). Nucleotide sequences of each of the 13 mitochondrial protein-coding genes were

aligned at the amino acid level using MUSCLE through the TranslatorX web server (Abascal

et al. 2010). Using PhyloMAd (Duchêne et al. 2022), we found evidence of saturation at first

and third codon sites and removed these from the sequences. The resulting data set comprised

the second codon sites (3530 bp) of the 13 mitochondrial protein-coding genes (mtPCG) from

23 nocticolid taxa and 87 outgroup taxa.

To construct our second data set, we designed UCE baits using PHYLUCE 1.6.6

(Faircloth 2016). Baits were designed from whole genomes of five cockroaches and one

termite, using the same parameters as Hellemans et al. (2022) for the termite-specific set. Our

sampling for this approach included our nocticolid taxa, at least one representative from each

of the other eight cockroach families, as well as one mantid and five phasmids as outgroup

taxa. Reads were assembled using metaSPAdes 3.13 (Nurk et al. 2017), and UCEs were

extracted and aligned using the PHYLUCE suite. The concatenated UCE data set comprised

1676 loci (total length 383,325 bp) from 61 samples (Supplementary Table S7). To maximise

the taxon coverage in Nocticolidae and the outgroup, we constructed a third data set by

combining the UCE data with mtPCG and *16S* sequences (UCE-mtPCG-*16S*). Further details

of taxon selection, DNA extraction, amplification, library preparation, museum vouchers,

sample locations, and accession numbers are given in the Supplementary Material.

Phylogenetic Analyses

We inferred phylogenetic relationships using the mtPCG, UCE, and combined UCE-

mtPCG-*16S* data sets using maximum likelihood in IQ-TREE. We also performed Bayesian

phylogenetic analyses using ExaBayes v1.5 (Aberer et al. 2014) for the mtPCG and UCE

data sets. To account for gene-tree incongruence among loci, we also analysed the UCE data

set using the summary-coalescent method in ASTRAL-III (Zhang et al. 2018). In order to

compare substitution rates across Blattodea, we calculated the mean root-to-tip distance for

each cockroach family based on the maximum-likelihood trees inferred from the mtPCG and

UCE data sets.

We inferred the evolutionary timescale of cockroaches using Bayesian phylogenetic

analyses of the mtPCG data set using BEAST v2.5 (Bouckaert et al. 2019) and MCMCTree

in PAML (Yang 2007). We also analysed our UCE dataset in MCMCTree. In BEAST, we

ran analyses using five different clock models: strict clock, uncorrelated exponential relaxed

clock, uncorrelated lognormal relaxed clock (Drummond et al. 2006), random local clock

(Drummond and Suchard 2010), and the flexible local clock (Fourment et al. 2018). We used

the flexible local clock to model substitution rates across the tree under two independent

lognormal distributions, one within Nocticolidae (including the stem branch) and one for the

rest of the tree. The five clock models were compared using marginal likelihoods estimated

by nested sampling (Maturana Russel et al. 2019). We used a set of fossil calibrations

selected by Evangelista et al. (2019), with the addition of *Crenocticola* (Li and Huang 2020),

to inform minimum bounds of uniform distributions (Supplementary Table S8). Both the

mtPCG and UCE data sets were analysed in MCMCtree using the independent (uncorrelated)

lognormal relaxed clock and autocorrelated relaxed clock. Our UCE data set had fewer

outgroup taxa, reducing the number of fossil calibrations that could be applied; we added

secondary calibrations for the root of the tree and crown Dictyoptera, based on estimates

from a recent phylogenomic study (Evangelista et al. 2019). A detailed description of all

methods can be found in the Supplementary Material.

RESULTS

Phylogenetic Relationships

Phylogenetic relationships among cockroach families could not be confidently

resolved using the mtPCG data set alone (Supplementary Fig. S2−S4). In contrast, our

analyses of the UCE data set produced well-resolved phylogenetic trees with strong support

for relationships among cockroach families (Fig. 1, Supplementary Fig. S5−S7). All of our

phylogenetic analyses strongly supported the monophyly of Nocticolidae (Fig. 1). However,

the inferred relationships between Nocticolidae and the other cockroach families differed

between our mtPCG and UCE analyses. In our mtPCG analyses, the relationship between

Nocticolidae and the other cockroach families was unresolved, with Anaplectidae usually

being placed as the sister group. In our analyses of UCE data, Corydiidae was consistently

and confidently placed as the sister group to Nocticolidae (Fig. 1, UFb = 100, SH-aLRT =

100, ASTRAL-PP = 0.99, ExaBayes-PP = 1) and Anaplectidae was inferred to be the sister

lineage to the rest of Blattoidea, with high support (UFb = 100, SH-aLRT = 100, ASTRAL-

PP = 0.99, ExaBayes-PP = 1).

Within Nocticolidae, our analyses found uniformly strong support for the mutual

monophyly of two divergent clades. We refer to these clades as Nocticolidae A and B. The

inferred relationships within each of these two clades varied between mtPCG and UCE

analyses. Here we focus on the results of our analyses of the data sets that included the UCE

loci, which yielded the highest phylogenetic support. Within Nocticolidae, consistent

relationships were found between our analyses of the UCE data set (Fig. 1) and the

UCE+mtPCG+*16S* data set (Fig. 2). A nocticolid sequence obtained from GenBank

("*Nocticola* sp. Unknown"; Legendre et al. 2015), for which collection location details are

not available, was found to be the sister lineage to all other nocticolids examined.

Nocticolidae A contains approximately half of the Australian mainland taxa, nested

within three lineages containing taxa from Vietnam, Singapore and Malaysia, and Christmas

Island, respectively (Fig. 2). Among the Australian taxa in Nocticolidae A, Queensland taxa

formed the sister clade to a group comprising taxa from Western Australia and the Northern

Territory. The second clade, Nocticolidae B, comprised a sister grouping between the

remaining Australian taxa and one taxon from New Guinea (*N. baumi*). The Queensland taxa

(*N. babindaensis* and N. sp. (Mt Haig)) again formed the sister clade to taxa from Western

Australia and the Northern Territory.

Evolutionary Timescales and Substitution Rates

Our phylogenetic analyses suggest that an acceleration in mitochondrial substitution

rates occurred early in the evolution of Nocticolidae. In our maximum-likelihood analyses of

the mtPCG and UCE data sets, root-to-tip distances for nocticolid clades were considerably

greater than those of other cockroach families (Fig. 3). For the mtPCG data set, Nocticolidae

FIGURE 2. Phylogeny of Nocticolidae. Maximum-likelihood tree estimated using concatenated UCE loci, second codon sites of 13 mitochondrial protein-coding genes, and mitochondrial *16S*. There are two major clades in Nocticolidae, A (numbers in black) and B (numbers in grey). Node support is represented by squares: outline colours indicate values or the SH-like approximate likelihood-ratio test (SH-alrt), fill colours indicate ultrafast bootstrap (UFb) values. Nodes with SH-alrt and UFb = 100 are not labelled. Colours represent the geographical location of taxa from the Australian Mainland (blue), South-East Asia (green), Christmas Island (red) and New Guinea (purple). Scale bar represents 0.03 substitutions per site.

FIGURE 3. Accelerated mitochondrial substitution rates in Nocticolidae compared with other

families in Blattodea (cockroaches). (a) Maximum-likelihood tree of Blattodea inferred using

the second codon sites of the 13 mitochondrial protein-coding genes. Scale bar indicates 0.1

substitutions per site. (b) Phylogenetic root-to-tip distances for the concatenated

mitochondrial protein-coding genes and the concatenated UCE loci. Root-to-tip distances

were calculated for each of the major cockroach families, with Nocticolidae split into its two

major clades, A and B. Root-to-tip distances were normalized by dividing the mean for each

family by the mean root-to-tip distance across all taxa.

A and B had root-to-tip distances that were 3–5 times those of representatives of other

cockroach families. In comparison, the UCE sequences showed root-to-tip distances for

nocticolids that were ~1.5 times those of other cockroach families.

In the Bayesian phylogenetic analysis of the mtPCG data set using BEAST, the

flexible local clock was decisively preferred over other clock models (minimum log Bayes

factor = 30.8, SD = 6.0, Supplementary Table S9). The flexible local clock inferred a

substantially higher rate in Nocticolidae (posterior mean 3.7×10^{-3} substitutions/site/Myr; 95%

CI 2.6–6.3×10^{-3}) than across the rest of the tree (posterior mean 8.0×10^{-4}

substitutions/site/Myr; 95% CI 6.4–9.4×10^{-4}) (Fig. 4). Our analyses using the uncorrelated

exponential and lognormal relaxed-clock models inferred very high rates along the stem

branches leading to Nocticolidae and to Nocticolidae A and B. Within each of these clades,

however, the inferred rates were similar to the background rate across the tree (Fig. 4).

Our Bayesian analyses inferred deeper divergence times when using mtPCG data than

UCE data. Among our analyses of the mtPCG data, the flexible local clock produced the

youngest estimates of divergence times, particularly for crown Nocticolidae (Fig. 4). These

estimates were the most similar to those inferred using the UCE data set. Our analyses using

the random local clock estimated the second most similar divergence times to those inferred

using the UCE data set, and also identified a clear distinction between substitution rates in

Nocticolidae and across the rest of the tree (Fig. 4). The strict clock estimated the oldest dates

for nodes within Nocticolidae, presumably to accommodate an excess of substitutions in

nocticolid lineages.

In the molecular dating analysis of the mtPCG data set using MCMCtree, the

autocorrelated relaxed clock gave very similar estimates of substitution rates and divergence

times to those inferred using the flexible local clock in BEAST (Fig. 4). The independent

lognormal relaxed clock in MCMCtree gave similar estimates of substitution rates and

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FIGURE 4. Estimates of divergence times and substitution rates using a range of molecular-

clock models. (a) Dates for crown Nocticolidae, crown Nocticolidae A and crown

Nocticolidae B estimated from mitochondrial protein-coding genes using a strict clock

(strict), uncorrelated lognormal relaxed clock (UCLN), uncorrelated exponential relaxed

clock (UCEXP), random local clock (RLC), flexible local clock (FLC), autocorrelated

relaxed clock (AC), and independent lognormal relaxed clock (ILN). Date estimates for the

same nodes using our UCE data set are also presented for comparison using an autocorrelated

relaxed clock (UCE-AC) and independent lognormal relaxed clock (ILN). Circles indicate

posterior means and bars indicate 95% credibility intervals. b) Estimates of mitochondrial

substitution rates in Nocticolidae compared with other families in Blattodea (cockroaches).

Violin plots represent the mean substitution rate (substitutions per site per million years) for

all terminal and internal branches within Nocticolidae (including stem) and without

Nocticolidae (non-Nocticolidae). Rates were estimated using concatenated mitochondrial

protein-coding genes.

divergence dates to those inferred using the uncorrelated relaxed-clock models in BEAST

(Fig. 4). For our UCE data set, the independent lognormal and autocorrelated relaxed clocks

yielded different estimates of substitution rates and divergence times. The independent

lognormal relaxed clock estimated slightly higher substitution rates in Nocticolidae compared

with the rest of the tree, aligning with the results from our investigation of root-to-tip

distances. The autocorrelated relaxed clock estimated that the highest substitution rates

occurred along the branches leading to the phasmid and mantid outgroups, the early branches

among the major cockroach families including the stem of Noctioclidae, and the stem

branches leading to the two nocticolid clades. Estimated substitution rates within each of the

families were generally lower than those along the respective stem branches, with branches

within each of the major Nocticolidae clades having the lowest rates in the tree (Fig. 4b).

Consequently, for the UCE data set the autocorrelated relaxed clock yielded older divergence

times within Nocticolidae than did the independent lognormal relaxed clock.

We consider the divergence times estimated using the independent lognormal relaxed

clock from the UCE data set to be our best estimates in Nocticolidae. The most recent

common ancestor of cockroaches and mantids is dated at 272 Ma (95% CI 241–292 Ma),

while that of cockroaches is dated at 209 Ma (95% CI 186–233 Ma). The age of crown

Nocticolidae was inferred to be 115 Ma (95% CI 93–138 Ma), with the family diverging

from Corydiidae at 172 Ma (95% CI 152–193 Ma). The crown age of Nocticolidae A was

estimated at 39 Ma (95% CI 32–48 Ma), with the Australian lineages diverging from each

other at 21 Ma (95% CI 17–26 Ma). Nocticolidae B was inferred to have a crown age of 42

Ma (95% CI 34–51 Ma), with the Australian lineages diverging from each other at 32 Ma

(95% CI 26–39 Ma).

DISCUSSION

Our analyses have highlighted a substantial elevation of evolutionary rates in the

mitochondrial genomes of nocticolids, particularly along the basal branches of the clade, and

that a similar but smaller increase in rates has occurred in the nuclear genome. These results

are partly consistent with previous findings of long nocticolid branches in mitochondrial trees

(Lo et al. 2007, Djernaes et al. 2015, Wang et al. 2017, Bourguignon et al. 2018) but not in

trees inferred from nuclear protein-coding genes from transcriptomic data (Evangelista et al.

2019). We have also found that some of the widely used relaxed-clock models are unable to

accommodate the large discrepancies in mitochondrial substitution rates across branches.

This rate heterogeneity is likely to be at least partly responsible for the differences in

evolutionary relationships and divergence times between the phylogenetic trees inferred from

mitochondrial genomes and nuclear ultraconserved elements.

Molecular Dating When Rates Vary

Mitochondrial substitution rates differed by a factor of more than 3 between

nocticolids and other cockroaches, representing a marked elevation of rates in a single family

compared with its relatives. This disparity in rates was apparent in the root-to-tip distances of

the phylograms and was identified in the branch rates inferred under the flexible local clock

and random local clock in BEAST, as well as the autocorrelated relaxed clock in MCMCtree.

Among the clock models compared in BEAST, the flexible local clock had the highest

marginal likelihood and yielded mitochondrial estimates of divergence times that were most

similar to those inferred from UCE data. Although the random local clock was also able to

identify an elevation of mitochondrial rates in nocticolids, it had a much lower marginal

likelihood. This model produced a date estimate for crown Nocticolidae that was similar to

that inferred using the flexible local clock, but appeared to have difficulty in resolving the

location of an early shift in rates within the family.

The uncorrelated relaxed clocks in BEAST and MCMCtree were unable to

reconstruct the disparity in substitution rates between nocticolids and other cockroaches.

Notably, the three relaxed-clock models inferred dates for nocticolids that were broadly

similar to those obtained under a strict clock, but widely divergent from those inferred from

the UCE data. These results call into question the reliability of the divergence times inferred

using these widely used relaxed-clock models under conditions of extreme rate variation.

Phylogenetic Inference When Rates Vary

Our analysis of UCE data found strong support for Corydiidae as the sister group to

Nocticolidae, and Anaplectidae as the sister group to the rest of Blattoidea. This conflicts

with previous findings based on mitochondrial genomes, which supported a close relationship

between Anaplectidae and Lamproblattidae (Bourguignon et al. 2018). The results of our

UCE analysis also conflict with the evolutionary relationships inferred from our mtPCG data

set, which united Nocticolidae with Anaplectidae. The instability of the position of

Nocticolidae in our analyses of mitochondrial genomes could be due to the long stem

branches leading to the two clades of the family. In our analysis, there was also a long stem

branch leading to Anaplectidae because of minimal taxon sampling in the family.

Consequently, long-branch attraction could have occurred in the maximum-likelihood

analysis, even though this phenomenon is less pronounced when using model-based

phylogenetic methods (Swofford et al. 2001, Kolaczkowski et al. 2009, Kück et al. 2012).

Our results highlight the potential impacts of extreme among-lineage rate variation on the

inference of phylogenetic relationships.

Causes of Increased Substitution Rates in Nocticolidae

The causes of the increased mitochondrial and nuclear rates in Nocticolidae are yet to

be determined. Previous studies have suggested that the rate acceleration might be the result

of small population sizes and repeated bottlenecks associated with the colonization of caves,

or relaxed selection associated with the loss or reduction of characters no longer required in

subterranean environments (Bourguignon et al. 2018). Increased mitochondrial substitution

rates have been associated with the loss of flight in a number of insect lineages (Mitterboeck

and Adamowicz 2013). However, our results suggest that an increase in substitution rate

occurred early in the evolution of Nocticolidae when the ancestor had not yet become

restricted to the subterranean habitat, and presumably still possessed fully developed wings.

One common factor across all studied lineages of Nocticolidae, including epigean and

subterranean taxa, is their reduced body size compared with other cockroaches. Small body

size is associated with higher substitution rates in a number of animals, presumably because

of its covariation with shorter generations, higher metabolic rate, and increased fecundity,

none of which has been studied in Nocticolidae (Bromham 2009, Thomas et al. 2010). In

cockroaches, there is a positive relationship between body size and clutch size, although this

might be offset by increased frequency of clutches in smaller-bodied species (Djernaes et al.

2020). Other groups of cockroaches with small body sizes have also shown greater

phylogenetic root-to-tip distances, including the subfamily Latindiinae (inferred to be the

sister lineage to Nocticolidae), and a distantly related genus of minute myrmecophilous

cockroaches, *Attaphila* (Djernaes et al. 2020). The effects of life-history traits in elevating

substitution rates are more likely to be seen in mitochondrial genomes, which have smaller

effective population sizes than nuclear genomes.

We found evidence of increased substitution rates for nocticolids in the UCE data set,

although less pronounced than the increases found in mitochondrial genomes. The UCE loci

are likely to be under strong selective constraints because many are found in exonic regions

in insects (e.g., Hellemans et al. 2022). Our finding of an increased rate in the nuclear DNA

of nocticolids stands in contrast with the results of a previous analysis of a transcriptome data

set, which found broadly similar root-to-tip distances among nocticolids and other taxa

(Evangelista et al. 2019). However, the transcriptome data set comprised the second codon

sites from ~2000 protein-coding genes conserved across a diverse group of insects, which are

likely to be under strong purifying selection.

Evolutionary History of Nocticolidae

We consider our estimates of evolutionary timescales based on UCE data and the

independent lognormal relaxed clock to be the most reliable, given the size of the data set, the

reduced among-lineage rate variation compared with the mtPCG data set, and because the

distribution of substitution rates estimated by the autocorrelated relaxed clock are

inconsistent with expectations based on root-to-tip distances. These results suggest that

Nocticolidae is an old family with a crown age of ~115 Ma, which aligns with results from

recent molecular dating studies (Evangelista et al. 2019, Li and Huang 2020), and the

existence of ~100 Myr old nocticolid fossils from Asia (Li and Huang 2020, Sendi et al.

2020). The crown age of the family might be even older than inferred in this study, given that

we did not include nocticolid representatives from Africa and Madagascar.

The estimated timing of divergence between Nocticolidae A and B suggests that these

two lineages separated after the breakup of Pangaea (~200–150 Ma) (Seton et al. 2012). An

Asian origin for Nocticolidae A is suggested by the placement of Asian taxa and the nested

position of Australian mainland and Christmas Island taxa. Our date estimates suggest that

nocticolids arrived on the Australian mainland some time between 31 and 21 Ma, which

aligns with the collision of the Australian and Asian tectonic plates ~25 Ma. Around this time,

there was extensive floral and faunal exchange between Australia and South-East Asia

(Maekawa et al. 2003; Crayn et al. 2015). The estimated crown age of 42 Ma for

Nocticolidae B suggests its presence on the ancient Australia/New Guinea landmass prior to

its collision with the Asian plate to the north. However, this hypothesis should be considered

tentative because of the absence of African, Madagascan, and other Asian lineages in our

data sets. Further analyses involving additional taxa will be needed to test the biogeographic

origins of Nocticolidae B and of Nocticolidae.

Australia was largely covered in rainforest ~25–100 Ma (Byrne et al. 2011), which

would have favoured the movement of ancestral epigean nocticolids across the continent.

Periods of aridity from the Miocene onwards led to rainforest habitats receding to a few

refugia along the east coast of Australia (Byrne et al. 2011). These conditions might have

forced various ancestral nocticolid lineages to enter caves or other subterranean habitats, or to

develop an inquiline relationship with burrowing insects.

Nocticolid Systematics and Taxonomy

Our study is the first to include genetic data for a diverse range of Nocticolidae and

highlights the need for taxonomic revision in the family (Roth 1988). A recent morphological

analysis was unable to resolve relationships amongst the genera of Nocticolidae and

Corydiidae (Li and Huang 2020). By combining morphological data for all individuals of a

genus, they assumed the monophyly of each, despite widespread taxonomical uncertainty. As

with most troglomorphic taxa, regressive evolution is likely to have occurred in parallel

across Nocticolidae, owing to multiple independent transitions into caves. Therefore, it is

likely that the current taxonomy of the group, which is based on morphology, does not

accurately reflect true evolutionary relationships. Our analysis of UCE data yielded an

estimate of ~115 Ma for the crown age of *Nocticola*, making this genus very old and

potentially containing at least some of the other nocticolid genera. Our samples from

Christmas Island are likely to represent *Metanocticola christmasensis*, which would confirm

the non-monophyly of *Nocticola*, although we only sampled nymphs which are difficult to

identify (Roth 1999) (full discussion in Supplementary Material). Further genetic sampling of

other nocticolid genera is required to confirm that *Nocticola* is indeed polyphyletic.

Members of Nocticolidae A and B are likely to represent two distinct genera.

Representatives of the genus *Nocticola* have previously been split into two groups: the *uenoi*-

species group, in which the male terga are specialized; and the *simoni*-species group, in

which the male's terga are unspecialized (Roth 1988). This grouping does not align with the

phylogenetic relationships inferred here. Instead, tergal glands appear to have been derived

independently on multiple occasions, potentially during subterranean adaptation, as they are

not present in any epigean or inquiline taxa. Tergal specializations vary substantially in

Nocticola, with some taxa (e.g., *N. australiensis* and N. sp. (Carpentaria Cave)) possessing a

simple sclerotized indent on the fourth tergum, while others have complex structures

involving multiple tergal segments (e.g., *N. currani*, *N. ueoni*, and N. sp. (Kimberley 175_1)).

There are two morphological characters that align with the relationships inferred

using molecular data and are good candidate synapomorphies. One potential synapomorphy

of members of Nocticolidae A constitutes a group of large sclerotized spines on the ventral

surface of segments 4–6 of the female cerci (Roth 1988, Trotter et al. 2017). This has been

observed in all available females of Nocticolidae A (Supplementary Table S1), including

Nocticola sp. (Malaysia) and our Christmas Island samples, which suggests that the trait

could be ancestral in this clade (Mari Fujita, personal communication). Importantly, this

character was not observed in any of the females in Nocticolidae B. This character could

provide some guidance for the placement of species without genetic data (see Supplementary

Table S1). Unfortunately, no females are known for most of the other nocticolid genera, but

females in *Speleoblatta* and *Alluaudellina* do not have this morphology (Chopard 1932;

Vidlicka et al. 2003, 2017). *Alluaudellina* is likely to be a synonym of *Nocticola* (Chopard

1932, Roth 1988) and the lack of female cerci spinals suggests that African Nocticolidae is

closely related to Nocticolidae B, although genetic analysis will be required to confirm this.

Previous studies have found striking differences in male genitalia between closely

related species in *Nocticola* (Trotter et al. 2017). One genital character that aligns with our

molecular results is the shape of L3d (left phallomere 3 dorsal). In three representatives of

Nocticolidae B (N. sp. (Kimberley 175_1), *N. currani*, and *N. flabella*), L3d is long, curved,

and spear-like (Roth 1991; Trotter et al. 2017). Contrastingly, in four representatives of

Nocticolidae A (*N. cockingi*, *N. quartermainei*, N. sp. (Kimberley 107_1), *N. australiensis*,

and N. sp. (Glennie Tablelands)), L3d is rounder, complex and shovel-like (Trotter et al.

2017). The spear-like L3d present in the African species *N. clavata*, *N. scytala*, *N. wliensis*,

and *A. cavernicola* (Chopard 1932; Andersen and Kjaerandsen 1995) appears to be similar to

the morphology seen in Nocticolidae B, although this should be confirmed by re-examination

of type material. Unfortunately, the type species for the genus, *Nocticola simoni*, cannot be

grouped into either clade because of its basic description (Bolivar 1892). Therefore, re-

examination and generation of genomic data for the type taxon is required to identify whether

Nocticolidae A or B should be redescribed as *Nocticola*, and which of these should be

described as a new genus.

CONCLUSIONS

In performing the largest phylogenomic and molecular dating analysis of nocticolid

cockroaches, we have uncovered an extraordinary level of genetic diversity in the family.

Nocticolids have experienced remarkably high substitution rates compared with other

cockroaches, especially in mitochondrial protein-coding genes. This extreme lineage-specific

rate acceleration is likely to have misled phylogenetic inference using maximum-likelihood

and Bayesian methods. Our phylogenetic analysis of UCE loci has resolved the deep

relationships among the major cockroach families, in uniting Corydiidae with Nocticolidae

and placing Anaplectidae as the sister lineage to the rest of Blattoidea. Within Nocticolidae,

we identify two highly divergent clades that separated ~115 Ma despite both containing

representatives of the cryptic genus *Nocticola*, suggesting that the genus requires taxonomic

attention.

Our results suggest that some of the widely used relaxed-clock models are unable to

account for large disparities in substitution rates among lineages, with potentially negative

impacts on estimates of divergence times. In this regard, localized accelerations of rates can

be taken into account using models such as the flexible local clock. This model has not been

widely used, possibly because it requires that the number and phylogenetic placements of

local relaxed clocks be chosen *a priori*. However, unusual patterns of among-lineage rate

heterogeneity call for the use of more complex clock models. Modelling the variation in

molecular rates more accurately will allow more reliable reconstructions of evolutionary

timescales and phylogenetic relationships.

SUPPLEMENTARY MATERIAL

Data available from the Dryad Digital Repository: http://dx.doi.org/10.5061/dryad.[NNNN]

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AUTHOR CONTRIBUTIONS

TGLK, JW, SYWH, and NL conceived and designed the study. Personal collections

were provided by JW and sample collection was undertaken by TGLK, JW, and NL. NJT and

JMM provided museum and environmental survey samples. SH and TB designed and

generated UCE data. SYWH provided input into phylogenetic and molecular dating analyses.

TGLK completed the wet lab work, analysed the molecular data, performed data analyses,

and drafted the manuscript with assistance from SYWH and NL. All authors approved the

manuscript prior to submission.

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FIGURE CAPTIONS

FIGURE 1. Evolutionary timescale of Blattodea, with Mantodea and Phasmatodea as

outgroup taxa. The tree topology was inferred using maximum likelihood and Bayesian

inference analysis of UCE loci. Node support is represented by squares: outline colours

indicate values or the SH-like approximate likelihood-ratio test (SH-alrt), fill colours indicate

ultrafast bootstrap (UFb) values. Nodes with SH-alrt and UFb > 99 are not labelled. Posterior

probabilities were very high (1.0) for all nodes, with the exception of the node labelled with a

star, which had a different topology. Divergence times were estimated based on UCE loci,

using a Bayesian phylogenomic approach with an uncorrelated relaxed clock in MCMCTree.

Insert shows the diverse morphology present in Nocticolidae a) female *Nocticola*

australiensis (Royal Arch Cave) with highly reduced eyes (photo by Braxton Jones), b) blind

female *Nocticola baumi* with elongated apendages (photo by Martin Bláha) and c) male

Nocticolidae sp. (Singapore) with fully developed eyes and wings (photo by Maimon

Hussin).

FIGURE 2. Phylogeny of Nocticolidae. Maximum-likelihood tree estimated using

concatenated UCE loci, second codon sites of 13 mitochondrial protein-coding genes, and

mitochondrial *16S*. There are two major clades in Nocticolidae, A (numbers in black) and B

(numbers in grey). Node support is represented by squares: outline colours indicate values or

the SH-like approximate likelihood-ratio test (SH-alrt), fill colours indicate ultrafast bootstrap

(UFb) values. Nodes with SH-alrt and UFb = 100 are not labelled. Colours represent the

geographical location of taxa from the Australian Mainland (blue), South-East Asia (green),

Christmas Island (red) and New Guinea (purple). Scale bar represents 0.03 substitutions per

site.

FIGURE 3. Accelerated mitochondrial substitution rates in Nocticolidae compared with other

families in Blattodea (cockroaches). (a) Maximum-likelihood tree of Blattodea inferred using

the second codon sites of the 13 mitochondrial protein-coding genes. Scale bar indicates 0.1

substitutions per site. (b) Phylogenetic root-to-tip distances for the concatenated

mitochondrial protein-coding genes and the concatenated UCE loci. Root-to-tip distances

were calculated for each of the major cockroach families, with Nocticolidae split into its two

major clades, A and B. Root-to-tip distances were normalized by dividing the mean for each

family by the mean root-to-tip distance across all taxa.

FIGURE 4. Estimates of divergence times and substitution rates using a range of molecular-

clock models. (a) Dates for crown Nocticolidae, crown Nocticolidae A and crown

Nocticolidae B estimated from mitochondrial protein-coding genes using a strict clock

(strict), uncorrelated lognormal relaxed clock (UCLN), uncorrelated exponential relaxed

clock (UCEXP), random local clock (RLC), flexible local clock (FLC), autocorrelated

relaxed clock (AC), and independent lognormal relaxed clock (ILN). Date estimates for the

same nodes using our UCE data set are also presented for comparison using an autocorrelated

relaxed clock (UCE-AC) and independent lognormal relaxed clock (ILN). Circles indicate

posterior means and bars indicate 95% credibility intervals. b) Estimates of mitochondrial

substitution rates in Nocticolidae compared with other families in Blattodea (cockroaches).

Violin plots represent the mean substitution rate (substitutions per site per million years) for

all terminal and internal branches within Nocticolidae (including stem) and without

Nocticolidae (non-Nocticolidae). Rates were estimated using concatenated mitochondrial

protein-coding genes.