Preterm birth accelerates the maturation of spontaneous and resting 1 activity in the visual cortex 2

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- Keywords: Preterm, Brain, Visual, Inhibition, EEG, Neuron, Activity, Aperiodic 16

17 Abstract

Prematurity is among the leading risk factors for poor neurocognitive outcomes. Brains of preterm 18

19 infants often show alterations in structure, connectivity, and electrical activity, but the underlying

20 circuit mechanisms are unclear. Using electroencephalography (EEG) in preterm and term-born

- infants, we find that preterm birth accelerates the maturation of aperiodic EEG components including 21
- 22 decreased spectral power in the theta and alpha bands and flattened 1/f slope. Using in vivo
- 23 electrophysiology in preterm mice, we find that preterm birth mice also show a flattened 1/f slope.
- 24 We further found that preterm birth in mice results in suppressed spontaneous firing of neurons in the
- 25 primary visual cortex, and accelerated maturation of inhibitory circuits, as assessed through
- 26 quantitative immunohistochemistry. In both mice and infants, preterm birth advanced the functional
- 27 maturation of the cortex. Our studies identify specific effects of preterm birth on the spectral
- 28 composition of the infant EEG, and point to a potential mechanism of these effects, highlighting the
- 29 utility of our parallel approach in studying the neural circuit mechanisms of preterm birth-related
- 30 brain injury.

31 Introduction

- 32 Preterm infants born <37 weeks of gestation currently represent a tenth of the infant population, with
- rising prevalence. Risks for prematurity include multiple gestations and pregnancy complications, 33
- 34 with health outcomes positively correlated with increasing age at birth (1-3). As the brain is still
- 35 developing during the third postnatal month, premature birth can interrupt the developmental
- trajectory, resulting in multiple neurological conditions, including cerebral palsy, developmental 36
- 37 delays, and visual deficits (4–7). Brains of preterm infants are significantly smaller and often display
- 38 persistent abnormalities in functional connectivity (8–12). Neurocognitive and behavioral conditions

- 39 are common in preterm born children and adults, with a high prevalence of attention disorders and
- 40 autism spectrum disorder (4,13-19). Given the increasing prevalence of preterm birth, identifying the
- 41 mechanisms of preterm birth-related brain injury, along with early prognostic markers of increased
- 42 risk for neurodevelopmental and neurocognitive disorders are vital for improving health outcomes of
- 43 preterm individuals.

44 Electroencephalography (EEG) has been increasingly used in the clinical setting, both for prognostic

- 45 and diagnostic purposes (20–23). EEG is non-invasive and as little as 40 s of recording is sufficient
- 46 to construct basic measures of neural activity, such as neural oscillations (periodic) and background
- 47 (aperiodic) neural activity (24). Both periodic and aperiodic components of the EEG power spectra
- 48 show developmental changes, with spectral power decreasing in low frequency power bands and the
- 49 slope of aperiodic component flattening (25–27). Both components have been used as a diagnostic 50 and prognostic tool in the preterm population, with varying success (17.22.24.28–31). It is currently
- and prognostic tool in the preterm population, with varying success (17,22,24,28–31). It is currently
- 51 unknown how preterm birth affects EEG components in healthy preterm born infants outside of the
- 52 neonatal intensive care unit (NICU) setting.
- 53 Increasing use of animal models that replicate electrophysiological signatures of preterm birth-related
- 54 brain injury, such as hypoxia, has aided in identifying the cellular and circuit changes after preterm

birth (32–35). Very early preterm infants and hypoxic mice share common neural deficits, such as

56 impaired development and integration of cortical interneurons (36–39). Animal models of preterm

57 birth itself are less commonly studied, likely due to low viability (40–42). Previous research has

shown that preterm cesarean delivery does not result in neurobehavioral impairments (43), but the

- 59 commonalities in electrical activity of the brain between preterm mice and preterm infants have not
- 60 yet been identified.
- 61 In this study, we used EEG in healthy preterm infants and *in vivo* electrophysiology in preterm born
- 62 mice to identify changes in periodic and aperiodic neural activity associated with prematurity. We
- 63 focused our study on the visual brain areas, as they are well characterized anatomically and
- 64 functionally in both humans and mice (44–53). We find that the aperiodic 1/f component slope is
- 65 significantly flatter in both preterm infants and mice, indicating accelerated brain maturation. Using
- 66 preterm mice, we identify increased inhibition in the preterm brain and point to a new mechanism
- 67 that mediates preterm birth-related changes in neural activity.

68 Method

- 69 Infants. Sixty-eight preterm infants recruited from the University of Virginia NICU and 75 term-born
- infants recruited from the greater Charlottesville area completed a resting-state EEG paradigm as part
- of a larger, ongoing study. Infants in the NICU participated in the study as soon as their health
- 72 condition was deemed sufficiently stable by their neonatology care team. Term-born infants were
- invited to participate from birth to 4 months of age. The primary caregiver of the infant provided
- 74 written informed consent for a protocol approved by the University of Virginia (UVA) Institutional
- 75 Review Board (HSR210330 or HSR19514, principal investigator: Puglia). Families were
- 76 compensated \$50 for their participation.
- 77 EEG acquisition and preprocessing. Resting-state EEG was recorded from 32 Ag/AgCl active
- 78 actiCAP slim electrodes (Brain Products GmbH, Germany) affixed to an elastic cap according to the
- 79 10–20 electrode placement system (Figure 1A) while the infant rested in a caregiver's arms for up to
- 80 7 min. EEG was amplified with a BrainAmp DC Amplifier and recorded using BrainVision Recorder
- 81 software with a sampling rate of 5000 Hz, online referenced to FCz, and online band-pass filtered

- 82 between 0.01 and 1000 \square Hz. Data were preprocessed with an automated preprocessing pipeline for
- 83 pediatric EEG data using EEGLab v2021.1 software with quality assurance via manual inspection:
- 84 data were down-sampled to 500 Hz, band-pass filtered from 0.1-100 Hz, and segmented into 10s
- 85 epochs (54,55). Epochs with a voltage exceeding $\pm \Box 500 \Box \mu V$ were rejected. The data was then
- 86 decomposed via independent components analysis and artifactual components (M = 6.39, SD = 3.90)
- 87 were removed (56,57). Epochs with amplitude standard deviations exceeding $80 \square \mu V$ within a 200-
- 88 ms sliding window with a 100-ms window step were discarded and problematic channels were
- 89 interpolated (M = 0.84, SD = 0.83) (58). Finally, the 6 epochs with a total global field power (GFP)
- 90 closest to the median GFP for each participant were selected for spectral analysis. Thirty-eight 91
- preterm and 30 term-born infants had sufficient, artifact-free data after preprocessing. An additional
- 92 11 infants (9 preterm) were excluded due to high line noise (60 Hz) contamination and mean signal
- 93 amplitude $< 1 \mu V$. See Table 1 for participant demographic and perinatal characteristics.
- 94 Mice. Mice were maintained on C57BL/6 background (The Jackson Laboratory, Bar Harbor, ME) on
- standard 12:12 light:dark cycle, with food and water ad libitum. Animals from both sexes were used 95
- during the 4th week after birth. Preterm mice were generated through timed breedings, where the day 96
- 97 after the pairing was considered as gestational day (GD) 0. Once the pregnancy was confirmed
- 98 (>1.5g increase in weight at GD 10), pregnant dams were habituated to handlers by daily handling.
- 99 Mifepristone (MFP, Milipore Sigma, Burlington, MA) was dissolved in DMSO and 150 µg was
- 100 injected subcutaneously on GD 17. Preterm mice were delivered on GD 18. The cage with preterm
- 101 mice was supplemented with external heat and occasional oxygen to prevent hypothermia and
- 102 hypoxia, commonly observed in preterm mice. Control term mice were obtained from timed pregnant
- 103 dams injected with DMSO only on GD 17. Animals were treated in accordance with the University
- 104 of Virginia Institutional Animal Care and Use Committee guidelines.
- 105 Immunohistochemistry and imaging. Term and preterm mice (aged 35-40 days, N=3-6/group, as
- 106 indicated in text and figure legends) were anesthetized with a mixture of ketamine and xylazine and
- 107 transcranially perfused with warm 0.1 M phosphate buffer, followed by warm 4% paraformaldehyde
- 108 (Electron Microscopy Sciences, Hatfield, PA). Brains were postfixed 1 hr at room temperature,
- 109 followed by overnight fixation at 4° C. Brains were sectioned into 40 µm sections using a vibratome
- 110 and stored in 1x phosphate buffered saline (PBS) and 0.01% sodium azide. For
- 111 immunohistochemistry, sections were rinsed in PBS, non-specific binding was blocked with 3%
- 112 normal horse serum (heat inactivated, ThermoFisher, Waltham, MA) and 0.3% Triton-X 100 (Sigma-
- 113 Aldrich) in PBS (sterile filtered). Antibodies were incubated overnight at 4°C. Parvalbumin (anti-
- 114 goat) was used at 1/200 (Swant, Belinzona, Switzerland) and detected with donkey anti-goat Alexa
- 115 647 (ThermoFisher). NeuN and GAD65/67 were both anti-rabbit (Milipore Sigma) and were used
- 116 with secondary NanoTag reagents (FluoTag X2 Atto 488 and Alexa 647) according to the
- 117 manufacturer's protocol (NanoTag Biotechnologies, GmbH, Goettingen, Germany). After staining,
- 118 sections were rinsed in distilled water, mounted on glass slides, briefly dried, and coverslipped with
- 119 Aquamount (Polysciences, Warrington, PA). Images were acquired using Zeiss LSM 800 at
- 120 2048x2048 resolution. Single optical sections from the visual cortex using 63x 1.2 NA C-
- 121 Apochromat were acquired for GAD65/67 quantification, and z-stacks were acquired using 40x1.2
- 122 NA Plan-Apochromat for Parvalbumin intensity quantification. Images were collected from 4-6
- 123 sections/mouse (minimum 20 images/mouse). Quantification was performed on background
- 124 subtracted images using ImageJ. Automatic thresholding was applied to GAD65/67 images before
- 125 using the puncta analyzer function on NeuN-outlined neuronal cell bodies.
- 126 In vivo electrophysiology in mice. Recordings were performed on awake term and preterm (N=9 and 127 6, respectively) female and male mice, ages 21 to 28 days after birth, using a treadmill as described in

128 (Niell and Stryker, 2010). Four to seven days before the recording session, custom made stainless 129 steel head-plate implants were cemented to the mouse skull. Animals were anesthetized with 130 isoflurane in oxygen (2% induction, 1.0–1.8% maintenance), warmed with a heating pad at 38°C and given subcutaneous injections of Buprenorphine SR (1mg/kg) and 0.25% Bupivacaine (locally). Eves 131 132 were covered with Puralube (Decra, Northwich, UK). Scalp and fascia from Bregma to behind 133 lambda were removed, and the skull was cleaned, dried and covered with a thin layer of Scotchbond 134 adhesive (3M, Maplewood, MN). Skin edges were sealed with VetBond (3M). The head plate was 135 attached with dental cement (RelyX Ultimate, 3M). The well of the head plate was filled with 136 silicone elastomer (Reynold Advanced Materials, Brighton, MA) to protect the skull before 137 recordings. Animals were group housed after the implantation and monitored daily for signs of shock 138 or infection. Two to three days before the recording, the animals underwent one to two 20-30 minutes 139 handling sessions and one to two 10-20 minutes sessions in which the animals were habituated to the 140 treadmill (Dombeck et al., 2007). On the day of recording, the animals were anesthetized as above and small craniotomies (~0.5 mm in diameter) with 18G needles were made above V1 (2-3 mm 141 142 lateral to midline, 0.5-1 mm anterior to lambda) and cerebellum. The brain surface was covered in 2-143 3% low melting point agarose (Promega, Madison, WI) in sterile saline and then capped with silicone 144 elastomer. Animals were allowed to recover for 2-4 h. For the recording sessions, mice were placed 145 in the head-plate holder above the treadmill and allowed to habituate for 5-10 minutes. The agarose 146 and silicone plug were removed, the reference insulated silver wire electrode (A-M Systems, 147 Carlsborg, WA) was placed in cerebellum and the well was covered with warm sterile saline. A 148 multisite electrode spanning all cortical layers (A1x16-5mm-50-177-A16; Neuronexus Technologies, 149 Ann Arbor, MI) was coated with Dil (Invitrogen) to allow post hoc insertion site verification and 150 then inserted in the brain through the craniotomy. The electrode was lowered until the uppermost 151 recording site had entered the brain and allowed to settle for 20-30 minutes. The well with the 152 electrode was then filled with 3% agarose to stabilize the electrode and the whole region was kept 153 moist with surgical gelfoam soaked in sterile saline (Pfizer, MA). Minimum 2 penetrations were 154 made per animal to ensure proper sampling of the craniotomy. After the recording, mice were 155 euthanized with an overdose of ketamine and xylazine or kept for subsequent experiments after 156 protecting the craniotomy with silicone elastomer.

157 Data collection and analysis for mice. Blank screen was generated with MATLAB (MathWorks,

158 Natick, MA) using the Psychoolbox extension (Brainard, 1997) and presented on a gamma corrected

159 27" LCD. The screen was centered 25 cm from the mouse's eye, covering $\sim 80^{\circ}$ of visual space. The

signals were sampled at 25 kHz using Spike2 and data acquisition unit (Power 1401-3, CED). Signals

161 were fed into a 16-channel amplifier (Model 3500; A-M Systems), amplified 200x and band-pass

filtered 0.7-7000 Hz. Only stationary, non-running stages were analyzed offline using Spike2
 software (CED). For single unit analysis, spikes were extracted from band-pass filtered data (all 16

164 channels) using thresholds (3x standard deviation) and sorted in Spike 2. For spectral analyses, layer

165 2/3 was selected due to its high correlation with EEG signal (59). 60 s epochs of data during viewing

of the blank screen were selected and downsampled to 500 Hz prior to spectral analysis to match the

167 infant data.

168 *Quantification and statistical analysis.* All analyses were performed with the researchers blind to the

169 condition. Statistical analyses were performed in GraphPad Prism 9.0 (GraphPad Inc., La Jolla, USA)

using nested t-test and one or two-way ANOVA with post-hoc comparisons (as indicated in text and

171 figure legends), unless stated otherwise. Spectral analysis was performed with Spike2 on 60 s of data

at 500 Hz for both infant and mouse datasets. Power was normalized to the power in high frequency

- band (150-250 Hz). Power spectral density values were averaged across channels of interest (Figure
- 174 1A). 1/f slope was generated using linear regression from 0.1-100 Hz after log-transform of

175 frequency and power. All data are reported as mean \pm SEM, where N represents number of animals

- and infants used, unless indicated otherwise. Target power for all sample sizes was 0.8. In all cases,
- 177 alpha was set to 0.05.

178 **Results**

179 Neurocognitive and visual deficits are common in preterm children (60–65), but they are often

- 180 diagnosed in school age, precluding early interventions (66–68). To identify early postnatal
- 181 biomarkers of impaired activity in visual areas, we used electroencephalography (EEG) to measure
- resting state activity in the occipital and parietal visual areas within the first 4 months of life in
- 183 preterm and term-born infants. All data were collected prior to the onset of the critical period for the
- 184 development of binocularity at 6 months of age (69), a visual function that is highly sensitive to
- altered perinatal experience (69–71). Sixty seconds of resting state EEG data from occipital and
- 186 parietal electrodes (Figure 1A) were collected from 29 preterm and 28 term infants. While the
- 187 preterm infants had a typical distribution of high power in low frequencies and low power in high 188 frequencies (Figure 1 B-C), power in theta and alpha bands was significantly reduced in preterm
- 188 frequencies (Figure 1 B-C), power in theta and alpha bands was significantly reduced in preterm 189 infants (Figure 1B)
- 189 infants (Figure 1B).

190 As visual function matures earlier in preterm infants (71), we then asked if the electrophysiological

activity of the visual cortex would reflect this accelerated maturation. To test this, we calculated the

192 slope of aperiodic EEG component 1/f (Figure 1C). 1/f is thought to reflect the background activity

of the brain (72), and 1/f slopes become progressively flatter during infancy (25). As previously

- reported (25), we found that the power spectra of term and preterm infants were largely aperiodic
- 195 (Figure 1C). However, we found that preterm infants had a significantly flatter 1/f slope when
- 196 compared to term infants, despite preterm infants being significantly younger in postmenstrual age 197 (t(55) = -6.66, p < .001) and equivalent in chronological age (t(55) = -0.75, p = .455, see also Table
- 198 1). These results confirmed accelerated maturation of visual areas in preterm infants (71).
- 176 1). These results communed accelerated maturation of visual areas in preterm infants (71).

199To study the effects of preterm birth on the maturation of visual areas in cellular details, we used200prematurely-born mice as a model of preterm birth (73). Preterm mice were generated through

201 subcutaneous injections of progesterone antagonist mifepristone (MFP) to timed-pregnant dams at

- GD 17 (Figure 2A)(41). Preterm mice are born a 0.75-1 day early (depending on the precise
- 203 parturition time), have a significantly lower birth weight (Figure 2B), and increased mortality rates 204 due to hypothermia, hypoxia, and inability to suckle (1-3 pups/litter). However, surviving pups are
- 204 due to hypothermia, hypoxia, and inability to suckle (1-3 pups/litter). However, surviving pups are 205 otherwise viable and display a catch-up growth typical of preterm-born infants (Figure 2C) (74).
- 206 Preterm mice open their eyes significantly earlier, further suggesting accelerated development of
- 207 visual brain areas after preterm birth (Figure 2D). To confirm that electrophysiological activity of
- 208 visual areas in preterm mice recapitulates changes seen in preterm infants (Figure 1C), we used *in*
- 209 vivo electrophysiology to record intracortical local field potentials (LFPs) in layer 2/3 of the primary
- 210 visual cortex (V1) of awake, young term and preterm mice (Figure 2E) (75). We used mice in their
- fourth week of postnatal development as that period represents the onset of the critical period for
- binocular maturation in mice (76). There was a significant interaction between the timing of birth and
- the energy composition of the power spectra (Figure 2F), but post-hoc tests revealed no significant differences within any of the power bands, likely due to lower number of mice used for the
- experiments (Figure 2F, compare to Figure 1B). However, preterm mice also had a significantly
- 216 flatter 1/f slope (Figure 2G), indicating accelerated maturation of the primary visual cortex and
- suggesting the relative conservation of the effects of prematurity on neural activity in mice and
- 218 humans.

219 The fourth postnatal week represents a critical transition towards visually-driven activity in mice, 220 reflected in increasing suppression of spontaneous activity by rising levels of inhibitory 221 neurotransmission (51,77). Given the flatter, "older" 1/f slope in preterm mice (Figure 2F-G), we 222 hypothesized that the spontaneous firing rates of visual cortex neurons would be lower in preterm 223 mice reflecting accelerated transition to visually-driven activity. We isolated single unit responses 224 from all layers of the cortex (Figure 3A) and estimated their firing rates in stationary, awake mice 225 whose eyes were centered on a blank, grey screen (Figure 2E). We indeed found a significantly 226 reduced spontaneous firing rate of visual cortex neurons in preterm mice (Figure 3B). To probe the 227 cellular mechanism of reduced spontaneous firing in preterm mice, we quantified the expression of 228 inhibitory synapse marker glutamic acid decarboxylase 65/67 (GAD65/67) (78-80). The number and 229 size of perisomatic GAD65/67 puncta are a reliable indicator of inhibitory neurotransmission levels 230 (80). In agreement with suppressed spontaneous activity in preterm visual cortex, the size of 231 perisomatic GAD65/67 puncta was significantly increased in preterm mice (Figure 3 E-D), with no changes in their density (Term= 15.62 ± 0.4 , Preterm= 14.98 ± 0.88 puncta/100 μ m² of NeuN+ soma; 232 233 N=6 and 5 mice). Cortical perisonatic inhibition is mediated by fast-spiking, Parvalbumin-234 expressing interneurons (81). As previous studies of preterm birth-related brain injury models 235 reported changes in Parvalbumin interneuron distribution and density (36,37,82), we then asked if 236 this neuronal population is affected by preterm birth. Cortical interneurons represent a mixture of 237 high, middle and low Parvalbumin (PV)-expressing interneurons (83), with low PV interneurons 238 being the dominant group in the developing brain and high PV in the mature brain (83). In agreement 239 with accelerated maturation of the visual cortex, preterm mice had a significantly higher proportion 240 of high PV interneurons (Figure 3 E-F), without any changes in the overall density of PV 241 interneurons (Term=22.45±3.53, Preterm=23.79±2.56 PV interneurons per field of view, N=5 term 242 and 3 preterm mice). Altogether, these results demonstrate accelerated maturation of the visual cortex

after preterm birth and suggest a central role of inhibition in this process.

244 **Discussion**

245 Despite extensive research, effects of premature birth on cortical activity in the early postnatal period

- remain unclear. Through a comparative approach, our study identifies accelerated maturation of
- 247 neural activity in the visual cortex of preterm infants and mice. Our study further suggests that
- elevated levels of inhibition are central to mediating the changes in neural activity after preterm birth.
- 249 Cortical oscillatory activity shows distinct developmental patterns, with a reduction in the relative
- 250 power of low frequencies and an increase in high frequencies with increasing age (26,84). Such
- distribution of powers is likely responsible for the age-related flattening of 1/f EEG slope (25,27). An
- 252 "older" spectral profile in preterm infants and mice is in agreement with the notion that premature
- 253 exposure to extrauterine environment accelerates brain maturation, at least in primary sensory areas
- such as the visual cortex (71). As primary sensory areas mature earlier than the frontal executive and
- association areas (85), it will be important to determine if the accelerated maturation of sensory areas
- impairs the sequence of cortical maturation, especially considering the high prevalence of executive 1.11 + (26.97)
- 257 function disorders in preterm children (86,87).
- 258 Fast-spiking, Parvalbumin interneurons are central for the maturation of cortical circuits (85,88).
- 259 Their functional development is sensitive to experience and in the visual cortex, their maturation can
- 260 be accelerated or delayed through manipulations of visual input (89–91). In agreement, our results
- suggest that premature onset of visual input can accelerate the maturation of cortical Parvalbumin
- 262 interneurons, shifting their distribution to high-PV expressing interneurons in preterm mice. Previous
- studies of hypoxic mouse models of preterm birth have demonstrated that hypoxia results in reduced
- density of Parvalbumin interneurons, as well as in an increase in the intensity of Parvalbumin signal

265 (91). The differing findings in our study are likely due to low or absent hypoxia in our mouse model,

- as well as differences in how the preterm brain injury is modelled. Hypoxia models of preterm birth
- are commonly term-born, with continuous or intermittent exposure to hypoxia during the postnatal
- development (38,91–93). While hypoxia represents a severe injury, it may not recapitulate the effects of preterm birth alone. Birth itself is an environmental shock that can significantly affect neuronal
- of preterm birth alone. Birth itself is an environmental shock that can significantly affect neuronal and synaptic development (73,94). As the effects of preterm birth in the absence of other pathologies
- and synaptic development (75,94). As the effects of preterm birth in the absence of other pathologies are unclear, our results highlight a need for multiple animal models to capture the variability in the
- 272 degree of preterm birth-related brain injury.

273 Another potential cause of divergence between our findings in preterm mice and previously

- 274 published findings on interneuronal populations (38,95) in preterm infant cortex is the degree of
- 275 prematurity. The most vulnerable population of preterm infants are born extremely early, prior to 276 week 28 of gestation, and very early (28-32 weeks of gestation). These are also the infants that show
- deficits in cortical interneurons (36,38,95,96). Yet, the majority (>70%) of preterm infants are born
- moderately to late preterm (32-37 weeks of gestation), with variable degrees of health complications,
- including hypoxic brain injury (97,98). Infants in our study reflect this, with 51.7% born moderately
- to late preterm (Table 1). Considering the relatively high viability of preterm mice, our results
- suggest that mice born a day early are a model of middle to late preterm birth. As early and late
- 282 preterm infants have similarly poor neurocognitive outcomes (18,67,86,97), our study points to
- 283 potentially divergent neural circuit mechanisms of impaired brain function in early and late preterm
- infants.
- 285 Term-born mouse pups in the first postnatal week are commonly compared to preterm newborns,
- based on cortical development milestones (52,99). While direct comparison between developmental
- stages of mice and humans is difficult due to different rates of maturation, our results confirm
- 288 previous findings of accelerated brain maturation after premature birth (73,94). Our results further
- highlight the utility of simple EEG measures in the clinical setting and set the stage for future
- 290 longitudinal studies that will explore the relationship between 1/f slope and neurodevelopmental
- 291 outcomes in the preterm population. Our study adds to the growing body of evidence that birth itself
- is a critical transition in brain development. Future studies will undoubtedly explore how the timing
- of this transition affects sensory and cognitive processing, given that preterm infants are at higher
- risk for developing neurodevelopmental and neuropsychiatric disorders (87).

295 **Conflict of Interest**

- The authors declare that the research was conducted in the absence of any commercial or financial
- relationships that could be construed as a potential conflict of interest.

298 Author Contributions

- AR and MHP conceived and designed the study. AR acquired and analyzed data collected from mice,
- and MHP, MN, WC, AB, and AD acquired and analyzed data collected from infants. EM analyzed
- 301 data collected from mice and infants. IW, TDH and CS collected and analyzed the
- 302 immunohistochemistry data. AR wrote the manuscript with input from all authors.

303 Funding

- AR, IW, TDH, CS and EM are funded through the Department of Psychology at the University of
- 305 Virginia. Research reported in this publication was supported in part by the National Center For
- 306 Advancing Translational Sciences of the National Institutes of Health under Award Numbers

307 KL2TR003016/ULTR003015 to AR, The National Institutes of Mental Health K01MH125173 to

308 MHP, and The Jefferson Trust Foundation to MHP. The content is solely the responsibility of the

309 authors and does not necessarily represent the official views of the National Institutes of Health.

310 Acknowledgments

- 311 The authors would like to thank the Cang and Liu labs at the Departments of Biology and
- 312 Psychology for generous access to their confocal microscope, Drs. Zanelli and Fairchild at the
- 313 University of Virginia Children's Hospital for their assistance identifying candidate participants in
- the NICU, and the participating families for taking part in our research.

315 Data Availability Statement

316 The datasets generated and analyzed in this study will be provided upon reasonable request.

317 Table 1. Participant demographic and perinatal characteristics

318

	Preterm infants (n = 29)	Term infants (n = 28)
GA (weeks), mean $\pm \Box$ SD	31.4 ± 3.4	39.2□±0.9
Extremely preterm (<28 weeks)	6 (20.7%)	-
Very preterm (28-32 weeks)	8 (27.6%)	-
Moderate preterm (32-34 weeks)	4 (13.8%)	-
Late preterm (34-37 weeks)	11 (37.9%)	-
Early term (37-39 weeks)	-	6 (21.4%)
Full term (39-41 weeks)	-	22 (78.6%)
Late term (>41 weeks)	-	-
PMA at test (weeks), mean $\Box \pm \Box$ SD	39.0 ± 5.4	47.9 ± 4.6
CA at test (weeks), mean $\Box \pm \Box$ SD	7.5 ± 6.8	8.7 ± 4.4
Sex		
Female (%)	16 (55%)	15 (54%)
Male (%)	13 (45%)	13 (46%)
Race		
White (%)	23 (79%)	21 (75%)
Black (%)	5 (17%)	1 (3.5%)
More than 1 race (%)	1 (3%)	5 (18%)
Unknown race (%)	-	1 (3.5%)
Delivery method		
Vaginal (%)	9 (31%)	18 (71%)

Cesarean (%)	20 (69%)	7 (25%)
Unknown	-	1 (4%)
Birth weight (grams), mean□±□SD	1681 (664)	3652 (817)*
SGA (%)	6 (20%)	_*
APGAR at 5 min, median (min, max)	7 (2, 8)	8 (6, 9)*

Data are expressed as sample size unless otherwise stated. GA, gestational age; PMA, postmenstrual 319

- 320 age; CA, chronological age; SGA, small for gestational age, defined as $< 10^{\text{th}}$ percentile.
- *Birthweight was not available for 1 term infant; Apgar scores were not available for 4 term infants. 321

Figure legends 322

- 323 Figure 1. A) EEG cap montage. Highlighted parietal and occipital channels are analyzed. B) Preterm
- 324 birth significantly reduces resting theta and alpha band power. Two-way ANOVA interaction:
- 325 p=0.039; F (4, 220) = 2.55; N= 29 preterm and 28 term-born infants. Sidak's multiple comparisons
- 326 test: theta p<.0001, alpha p=.022. C) Preterm infants have a significantly flatter slope of \log
- transformed 2-25 Hz power. Linear regression; slope and r^2 values are indicated. Data shown as mean 327
- 328 or mean±SEM.

329 Figure 2. Preterm mice display a flatter slope of aperiodic LFP component. A) Preterm mice are

- 330 generated through the injection of Mifepristone (dissolved in DMSO) to pregnant dams at
- 331 postconceptional day 17. Dams deliver pups within 24 hrs (1 day early: postnatal day/P 0). Term
- 332 controls are generated by injecting pregnant dams at postconceptional day 17 with DMSO. B)
- 333 Preterm pups show a significantly lower birth weight (N=29 term and 37 preterm mice, t-test,
- 334 t(64)=5.63, p<0.0001) and C) an accelerated postnatal growth rate (ordinary two-way ANOVA, F(1, 1)335 (433) = 98.53, p < 0.0001; N=29 term and 19 preterm mice and **D**) precocious eye opening (t-test,
- 336 t(25)=7.95, p<0.0001; N=16 term and 11 preterm mice). E) Schematics of in vivo electrophysiology
- 337 in awake mice. Local field potentials (LFPs) are collected using linear silicone probes (Neuronexus).
- Scale bar: 10 µV and 0.1 s. F) Preterm birth significantly affects the distribution of power across 338
- different frequency bands (two-way ANOVA, F(4, 56) = 2.568, p = .048; N=6 preterm and 10 term 339
- 340 and mice). G) Preterm mice show a significantly flatter slope of log transformed 2-70 Hz power.
- Linear regression; slope and r^2 values are indicated. Data shown as mean or mean \pm SEM. 341

342 Figure 3. Preterm mice show elevated inhibition in the visual cortex. A) Top: raw LFP trace;

- 343 middle: filtered 0.7-7 kHz LFP; bottom: identified single units. **B**) Preterm mice have a significantly
- 344 reduced firing rate of neurons in the visual cortex during the presentation of a blank grey screen. 239
- 345 units from N=5 term and 6 preterm mice, nested t-test, t(9)=2.428, p=.038. Data shown as mean or
- 346 mean±SEM. C) Immunohistochemical detection of NeuN (yellow) and GAD65/67 (cyan) in the
- 347 visual cortex of term and preterm mice. Arrowheads: putative inhibitory synapses. **D**) Cumulative
- 348 frequency distribution of puncta size in term and preterm mice shows a significant shift to the right in
- term mice, signifying increased puncta size across all synaptic populations. Kolmogorov-Smirnov 349
- 350 test, p<.001. Minimum 100 NeuN cell bodies/mouse from N=5 preterm and 6 term mice. E)
- 351 Representative maximum projections of brain sections stained for Parvalbumin and quantified for
- Parvalbumin intensity. F) Cumulative distribution of Parvalbumin intensity measurements from. The 352 353
- curve in preterm mice is shifter to the right, demonstrating the significantly increased intensity of
- 354 Parvalbumin staining in preterm mice. N=477 and 636 cell bodies from 3 preterm and 6 term mice, 355
 - respectively. Kolmogorov-Smirnov test, p<.001.

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