1 Intercellular extrachromosomal DNA copy number heterogeneity

2

drives cancer cell state diversity

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30 Abstract

Neuroblastoma is characterised by extensive inter- and intra-tumour genetic heterogeneity and varying clinical outcomes. One possible driver for this heterogeneity are extrachromosomal DNAs (ecDNA), which segregate independently to the daughter cells during cell division and can lead to rapid amplification of oncogenes. While ecDNA-mediated oncogene amplification has been shown to be associated with poor prognosis in many cancer entities, the effects of ecDNA copy number heterogeneity on intermediate phenotypes are still poorly understood.

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Here, we leverage DNA and RNA sequencing data from the same single cells in cell lines and neuroblastoma patients to investigate these effects. We utilise ecDNA amplicon structures to determine precise ecDNA copy numbers and reveal extensive intercellular ecDNA copy number heterogeneity. We further provide direct evidence for the effects of this heterogeneity on gene expression of cargo genes, including *MYCN* and its downstream targets, and the overall transcriptional state of neuroblastoma cells.

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These results highlight the potential for rapid adaptability of cellular states within a tumour cell population mediated by ecDNA copy number, emphasising the need for ecDNA-specific treatment strategies to tackle tumour formation and adaptation.

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50 Keywords: extrachromosomal DNA, tumour heterogeneity, cell state diversity, copy
51 number, neuroblastoma, single-cell RNA sequencing

52 Introduction

53 Paediatric neuroblastoma is a genetically heterogeneous tumour demonstrating a spectrum 54 of different clinical outcomes (1,2). It is characterised by relatively few somatic nucleotide 55 variants (SNVs) and known driver events, but considerable chromosomal instability and

56 somatic copy number alterations (SCNAs) (3,4). One key genetic alteration is frequent 57 amplification of the *MYCN* oncogene, associated with unfavourable outcome and aggressive 58 disease, which often occurs in the form of a high number of copies of one or multiple 59 extrachromosomal DNA (ecDNA) amplicons (5,6).

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61 EcDNAs form as the result of DNA damage, in particular double-strand breaks (7), which 62 may occur on their own or as part of larger catastrophic events including chromothripsis (8). 63 Despite lacking centromeres, ecDNAs can be maintained in circularised form in the nucleus, 64 where they replicate proportionally with the chromosomes during S-phase and subsequently 65 segregate independently and randomly to daughter cells upon cell division. When paired 66 with a distinctive selective advantage, as in the case of MYCN, these random segregation 67 patterns can lead to a rapid increase in the number of oncogene copies in the tumour cell 68 population.

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While ecDNA in cancer was first described over 50 years ago (9), its high prevalence amongst tumour types and the crucial role it plays in oncogene amplification and tumour evolution was only recently revisited (6,10–19). Importantly, ecDNA not only serves as a substrate for oncogene amplification and copy number heterogeneity, but also drives high oncogene expression by increasing copy number dosage, enhancing chromatin accessibility and by optimising enhancer topology (15,18,19).

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These transcriptional effects possibly contribute to providing the tumour with increased plastic potential to evade therapeutic selection pressures and rapidly adapt to changing environmental conditions. Recent studies have leveraged fluorescence in-situ hybridisation (FISH) to visualise this increased genetic plasticity within individual tumours, where the number of ecDNA copies varies substantially between cell populations and clones (20). However, it is unclear if and to what extent these varying copy numbers affect cell states and influence cellular phenotypes within individual patients.

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85 We here use a combination of previously published single-cell transcriptome data of 86 neuroblastoma patients and newly derived single-cell genome-and-transcriptome (G&T) (21) 87 and ecDNA-and-transcriptome (scEC&T) (22) sequencing of neuroblastoma cell lines and 88 patients to address this issue. We demonstrate substantial transcriptional heterogeneity 89 within individual neuroblastoma patients and provide evidence that ecDNA copy number 90 variability is causally linked to these transcriptional changes. We believe that understanding 91 the precise role that ecDNA plays in generating intra-tumour heterogeneity will not only 92 enhance our understanding of cancer evolution as a whole, but will further inform our 93 treatment strategies.

94 Results

95 <u>Intra-patient MYCN expression heterogeneity is linked to distinct transcriptional states in</u> 96 MYCN-amplified primary neuroblastomas

97 To assess the extent of transcriptional heterogeneity between individual cells in 98 neuroblastoma, we first analysed gene expression data of twelve *MYCN*-amplified primary 99 neuroblastoma samples using 10x single-nuclei RNA sequencing [Figure 1a]. To this end we 100 combined samples collected locally at the Charité university hospital Berlin (N=4) with two 101 published cohorts from the University Hospital of Cologne ((23) , N=4) and St. Jude's 102 Hospital Memphis ((https://scpca.alexslemonade.org/), N=4) [Table S1, Additional File 2].

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We annotated cell types by combining principal component analysis (PCA) with canonical marker gene expression (Methods) obtained from (23) and quantified endothelial cells, immune cells, mesenchymal cells and tumour cells for all patients. Samples across the cohort showed an overall high tumour cell content (average 86%, +/- 21), in line with previous findings (23,24). Most samples consisted of a substantial proportion of immune cells (average 5%, +/- 9), and varying degrees of endothelial (average 4%, +/- 3) and

mesenchymal cells (average 4%, +/- 4) [Table S2, Additional File 2]. Transcriptional profiles
were visually inspected using UMAP per patient, which confirmed separation of cell types
into distinct clusters [Figure S1a, Additional File 1], and all non-tumour cells were excluded
for downstream analyses.

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115 To obtain an in-depth characterisation of the transcriptional landscape of MYCN-amplified 116 neuroblastomas and to investigate its heterogeneity, we next identified transcriptional 117 programs (modules) for each patient using non-negative matrix factorisation (cNMF (25), 118 Methods). We chose an optimal number of modules per patient based on a trade-off 119 between module stability and reconstruction error (Methods) and identified 106 120 transcriptional programs across the cohort (mean 9 [6 - 12]). To investigate commonalities 121 between patients, we performed pairwise Pearson correlation analysis of all modules 122 followed by hierarchical clustering [Figure 1c] and identified 3 meta modules which were 123 further split into 10 sub modules (Methods, [Figure S1b, Additional File 1]). Thirty-one 124 modules without significant correlation to at least 50% of other modules were considered 125 uncommon and removed from downstream analyses. Average gene activity scores for each 126 meta and submodule followed by gene set enrichment analysis (GSEA) revealed high 127 activity of genes involved in cell cycle progression and cell division for Meta Module 1 (e.g., 128 KIF18B, ASPM, KIF14), in line with recent findings in other cancer entities (26). In particular, 129 submodules of this cell cycle meta module showed enrichment of replication (S1) and cell 130 division (S8). Meta module 2 was strongly enriched for genes involved in ribosome 131 biogenesis and the third meta module contained genes associated with cell-cell interactions 132 (e.g., CNTN5, TENM2, CTNNA2). The submodules of the ribosome meta module showed 133 enrichment of genes involved in translation (S2), post-transcriptional regulation (S6) and 134 cellular response to stress (S10). The cell-cell interaction meta module was divided into 135 submodules associated with neuronal differentiation (S3), sensory perception (S4), 136 regulation of cell size (S5), axonogenesis (S7) and synaptic signalling (S9) [Figure 1d; Table 137 S3, Additional File 2].

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139 Recent large-scale efforts into transcriptional cell states pan-cancer have identified cell-cycle 140 related modules, but not any associated with ribosome biogenesis or cell-cell interactions 141 (26). We thus hypothesised that the latter modules might be specific to neuroblastoma 142 biology and indicative of MYCN-mediated upregulation of ribosome biogenesis (27,28) and 143 downregulation of neurogenesis (29). To assess this, we correlated MYCN expression levels 144 with module activity for all patients and observed higher average correlation scores with 145 modules grouped into the ribosomal biogenesis meta module 2, as opposed to cell cycle or 146 cell-cell interaction [Figure 1f]. The direct association between MYCN expression and 147 ribosomal biogenesis activity was further confirmed by visual inspection of UMAPs with meta 148 module activity and MYCN expression overlaid respectively [Figure 1b, e].

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150 Interestingly, within the cell cycle meta module we found the sub module associated with 151 replication pathways and the G1/S transition pathway (S1) to be positively correlated with 152 MYCN expression, while the sub module associated with cell division (S8) was negatively 153 correlated with MYCN [Figure S1c, Additional File 1]. Investigating canonically expressed 154 cell cycle marker genes for each cell (30), we found cells with high activity of the cell cycle 155 meta module 1 to be predominantly in G2M and S phase [Figure S1d, Additional File 1] in 156 line with the role of MYCN in cellular proliferation (31). MYCN expression was further 157 significantly associated with cell cycle phase in 7 out of 12 samples. In the remaining 5 158 samples the number of detected features and reads was significantly lower on average to 159 those samples with association, suggesting technical rather than biological effects as a 160 potential cause for this lack of association [Figure S1e, Additional File 1].

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Taken together, we observe substantial transcriptional heterogeneity and distinct
transcriptional states of cells within individual patients directly associated with and potentially
causally linked to heterogeneous *MYCN* expression levels.

165 Intra-patient MYCN expression heterogeneity is biologically functional and consistent

166 <u>between patients</u>

167 To confirm that the observed MYCN expression heterogeneity is biologically functional, we 168 next grouped cancer cells into discrete groups with high (MYCN-high), intermediate (MYCN-169 med) and low (MYCN-low) MYCN expression levels based on the top and bottom 30% 170 expression quantiles per patient. Differential gene expression analysis between MYCN-high 171 and MYCN-low cells [Figure 2a, b] showed an average MYCN log2 fold change of 1.613 172 (1.138 - 2.132). Next, we ranked all genes according to their fold change and tested whether 173 known MYCN target genes (32) were enriched in this ordered list using GSEA, which was 174 the case in 11 out of 12 patients. This consistent differential activity of MYCN target genes 175 indicates that observed MYCN expression differences may affect expression of downstream 176 MYCN targets [Figure 2c inset] and strongly suggests that the observed MYCN variability is 177 biologically functional. Additionally, the normalised enrichment score (NES) of MYCN target 178 genes was significantly correlated with the difference in gene expression between MYCN-179 high and MYCN-low cells (Pearson correlation, p = 0.0043), indicating a direct connection 180 between the degree of MYCN expression variability and the observed differences in 181 downstream MYCN target activity [Figure 2c].

182

183 To identify additional differences between MYCN-high and MYCN-low cells, we performed 184 GSEA on gene ontology (GO) biological processes and identified a set of 38 pathways that 185 were recurrently enriched in every single patient and positively associated with MYCN 186 expression. These 38 pathways include ribosome biogenesis, RNA catabolic processes, 187 protein targeting, peptide biosynthetic and viral processes [Figure 2d,e; Table S4, Additional 188 File 2; Table S5, Additional File 3]. To investigate how these 38 recurrent pathways relate to 189 the transcriptional cell states identified above, we performed a meta pathway enrichment 190 analysis (Methods) [Figure 2f]. Briefly, all GO terms were ranked according to their averaged 191 NES in each meta module and this ranked list was tested for enrichment for each of the 38

original pathways. The ribosome meta module 2 and its sub modules showed a strong positive association with all 38 pathways similar to MYCN-high cells [Figure 2e,f], whereas the cell-cell interaction module 3 and cell-cycle module 1 showed a strong negative association.

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We further investigated whether MYCN-high and -low cells expressed signatures of mesenchymal and adrenergic differentiation states (33,34). Overall, all samples in the 3 cohorts primarily expressed the adrenergic signature. We found cells with high *MYCN* expression to show lower expression of adrenergic features but also lower expression of mesenchymal features than cells with low *MYCN* expression [Figure S1f, Additional File 1]. In conclusion, we do not find any evidence for adrenergic to mesenchymal state transition driven by *MYCN* expression within individual patients.

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The enriched pathways demonstrate biological functionality of the observed *MYCN* expression heterogeneity on the single-cell level and its consistency across a cohort of *MYCN*-amplified primary neuroblastomas. We describe its association with changes in ribosomal activity and cell-cell interaction and to a weaker degree with cell cycle transition, potentially indirectly through co-activation of other cancer pathways (26).

210 <u>MYCN-amplified neuroblastoma cells show high inter-cellular ecDNA copy number</u> 211 heterogeneity

212 One potential cause of the observed *MYCN* gene expression heterogeneity are variations in 213 copy number, possibly driven by ecDNA (6,20,35). Unequal mitotic segregation of ecDNA 214 can lead to high inter-cellular copy number heterogeneity, but the extent of this 215 heterogeneity within patients remains unclear.

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217 We therefore performed genome and transcriptome (G&T) sequencing of one primary 218 neuroblastoma (N=96 cells) and two neuroblastoma cell lines CHP212 (N=96 cells) and

TR14 (N=192 cells)), which are known to harbour ecDNA-linked *MYCN* amplifications. Additionally, we performed single-cell scEC&T-seq (22) on the same patient sample (N=96 cells) and cell lines (CHP212 (N=170 cells) and TR14 (N=42 cells)), to confirm the presence of ecDNA and to determine ecDNA-amplified regions in the genome [Figure 3a]. We hypothesised that ecDNA-amplified regions show patient- or cell-line specific amplification and expression patterns and that ecDNA copy number variation is the main contributor to *MYCN* expression heterogeneity.

226

227 Previous investigations into the ecDNA amplicon structures in CHP212 and TR14 (18) and 228 patient 8 (22) revealed that the CHP212 cell line contains one single circular amplicon of 229 size 1.7Mb containing genes LPIN1, TRIB2, DDX1 and MYCN. In contrast, TR14 contains 230 three different circular amplicons 710, 475 and 1,000 kbp in size respectively, harbouring 231 together over 29 genes of which we only consider those containing the known neuroblastoma oncogenes MYCN, CDK4 and MDM2 [Figure 3b]. The ecDNA amplicon 232 233 structure in patient 8 is 500 kbp long and only contains MYCN [Figure S2b, Additional File 1]. 234 The varying amplicon structures were also clearly visible from overall read coverage in DNA 235 sequencing [Figure 3a, track "DNA"].

236

237 We next determined global copy number profiles for each single cell from G&T sequencing 238 using Ginkgo (36), and then refined those copy number profiles by leveraging previously 239 reconstructed precise ecDNA breakpoints (Methods) (18,22). Genomic regions that 240 contained these oncogenes on ecDNA showed extensive copy number heterogeneity across 241 cells within a single cell line and patient, whereas regions that were not on ecDNA did not 242 [Figure 4a]. The MYCN locus in CHP212 showed on average a copy number of 50 (range 3 -243 353), for the MYCN locus in TR14 the average copy number was estimated at 105 (range 6 -244 852). The copy number of the MYCN amplicon locus in patient 8 was on average 191 (range 245 5 - 916) [Figure S2c, Additional File 1]. For a full overview of the copy number states and 246 expression levels for all genes considered, please refer to [Table S6,S7, Additional File 2].

To confirm the presence of ecDNA and to validate the copy number estimates, we performed FISH by staining centromeres and genomic regions containing *MYCN*, *CDK4* and *MDM2* [Figure S2a, Additional File 1] (Methods). FISH in metaphase spreads confirmed the presence of ecDNA in all cases, and copy number estimates from FISH in interphase cells showed distributions similar to those obtained from sequencing [Figure 4a].

252

253 In TR14, the MYCN locus is present in two different ecDNA amplicons, which raises the 254 question whether one amplicon contributes more copies to the total MYCN copy number 255 than the other. We thus estimated the fraction of copies contributed per amplicon by 256 leveraging additional non-overlapping loci on the amplicon and distributing the MYCN copy 257 number accordingly (Methods). The amplicon containing only MYCN was substantially larger 258 and contributed more copies than the amplicon containing both MYCN and CDK4. However, 259 when comparing the number of MYCN-only amplicons to the largest amplicon in this cell line 260 carrying MDM2, we found two thirds of the cells to harbour more ecDNA containing MYCN 261 than *MDM2*, suggesting that amplicon size alone does not equate to ecDNA copy number.

262

263 We next investigated whether the observed copy number heterogeneity is reflected on the 264 transcriptional level by leveraging RNA expression readouts from the same single-cells. Due 265 to the cell lines' unique amplicon structures, different genes can be amplified on ecDNA. As 266 expected, we observed increased gene expression levels in genes present on the ecDNA 267 including MYCN, DDX1, TRIB2 and LPIN1 in CHP212 and MYCN, CDK4 and MDM2 in 268 TR14. In contrast, genes not present on their respective amplicons showed only low overall 269 levels of expression. For an example, consider MDM2, CDK4 in the CHP212 cell line in 270 [Figure 3b, track "RNA"]. Thus, significant inter-cellular ecDNA copy number heterogeneity 271 occurs in neuroblastoma cells.

272 Inter-cellular ecDNA copy number heterogeneity drives transcriptional states in

273 <u>neuroblastoma cells</u>

Pan-cancer analyses have recently confirmed that copy number is a main driver of aberrant gene expression across human cancers (37). Since ecDNA presence can lead to exceptionally high copy number levels (10,16), we leveraged the combined genome and transcriptome data from G&T and scEC&T sequencing to investigate whether the observed inter-cellular ecDNA copy number heterogeneity is reflected in the transcriptome.

279

280 Overall we found strong positive correlations between ecDNA copy number and mRNA 281 expression across all genes contained on the ecDNA amplicons [Figure 4b; Figure S3a,b, 282 Additional File 1]. Gene expression measured in TPM increased linearly with ecDNA copy 283 number, explaining gene expression variability on average by 42% (median: 47, range 2.5 -284 76) in CHP212 and by 25% (median: 22, range 0.2 - 66) in TR14. Interestingly, effect sizes 285 differed significantly between genes on amplicons, but also between cell lines for the same 286 gene [Table S8, Additional File 2]. This sample- and gene-specific dosage effect of ecDNA 287 copy number suggests other regulatory mechanisms to be involved in gene expression 288 heterogeneity such as chromatin conformation, enhancer hijacking and ecDNA hub 289 formation (15,18,38).

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To ascertain that this dosage effect is truly driven by ecDNA, we exploited the linear relationship of ecDNA copy number and gene expression to build a gene-wise samplespecific linear model from the G&T-seq data [Table S8, Additional File 2]. We then predicted copy number from gene expression in cells sequenced with scEC&T-seq, for which absolute copy number measurements are not readily available, and observed a strong correlation of the predicted copy number with the number of ecDNA reads in a region covering that gene [Figure S3c, Additional File 1]. This strongly suggests that the observed gene dosage is

indeed caused by ecDNA copy number which ultimately drives the increase in geneexpression in the analysed samples.

300

301 To confirm that the observed expression differences found in the high-throughput 10X 302 patient cohort might be plausibly linked to ecDNA, we repeated the above analysis on the 303 cell lines by considering the transcriptomes from both G&T and scEC&T data. We once 304 again stratified cells into MYCN high and low expressing cells (30% guantile) and tested for 305 differential gene expression between both groups. In CHP212, MYCN, LPIN1, DDX1 and 306 TRIB2 were consistently identified, in line with CHP212 containing a single ecDNA 307 containing those genes [Figure 3b]. Interestingly, in TR14 only MYCN itself and one 308 additional, non-amplified gene (E2F1), were identified, likely due to the relative 309 overabundance of the ecDNA amplicon containing only MYCN compared to the two 310 alternative circle structures present in the cell line [Figure 3b; Table S9,S10, Additional File 311 2].

312

313 As previously, we ranked the genes based on their expression difference and then 314 performed GSEA for GO biological processes. Consistent with our observations in the high-315 throughput patient data we observed elevated MYCN target gene expression in MYCN-high 316 cells [Figure 4c; Figure S3d, Additional File 1] which supports our previous result of 317 functional MYCN heterogeneity in patients. Among the 38 identified pathways enriched in 318 MYCN-high cells in patients, 5 and 17 pathways were also significantly positively enriched in 319 CHP212 and TR14 respectively [Figure 4d; Table S11, Additional File 2] including the 320 ribosome biogenesis pathway.

321

In summary, we observed similar transcriptional patterns in the patient cohort as comparedto the cell lines for which we have established a clear link to ecDNA presence.

324 Conclusions/Discussion

325 The role of ecDNA in the development of malignant phenotypes has been explored in recent 326 studies which uncovered ecDNA-associated poorer survival and treatment resistance 327 (13,15,39). We here use genomic and transcriptomic information from the same single cells 328 to link MYCN-amplifications on ecDNA to downstream transcriptional effects and cell states. 329 We show that ecDNA-mediated intercellular heterogeneity of MYCN expression within 330 patients creates various co-existing cellular subpopulations with differing transcriptional 331 states. We demonstrate changes in key pathways including ribosome biogenesis and cell-332 cell interaction, a potential substrate for rapid adaptation to environmental changes including 333 treatment [Figure 4e].

334

335 Our characterization of transcriptional programs in MYCN-amplified neuroblastoma revealed 336 3 recurring meta pathways across 12 patients, which are associated with cell cycle, 337 ribosome biogenesis and cell-cell interaction. While the cell cycle module was also found in 338 recent investigations pan-cancer (26), the lack of ribosome biogenesis in this study might 339 indicate that it is neuroblastoma specific. We demonstrated functional intra-patient MYCN 340 expression heterogeneity across the cohort leading to upregulation of ribosome biogenesis 341 and deregulation of neurogenesis genes within individual patients, effects that were 342 previously only described in bulk between patients or cell lines with varying MYCN 343 expression (32), (27,28)(29). Future work will need to elaborate to what extent this 344 heterogeneity can be found in non-MYCN-amplified neuroblastoma or in tumours harbouring 345 other forms of amplification, such as homogeneously staining regions.

346

347 Surprisingly, not all individuals showed significant associations between *MYCN* expression 348 levels and cell cycle phase, although it has been shown that *MYCN* amplification is 349 associated with the cells ability to escape G1 phase (40,41). This might be explained by the

varying degrees of MYCN expression heterogeneity in our cohort, where in some patientsphenotypic effects might be weaker and remain undetected.

352

353 To investigate the role of ecDNA in the observed transcriptional heterogeneity, we inferred 354 ecDNA amplicon-specific copy number from single-cell DNAseq data. While FISH followed 355 by semi-automated counting of fluorescent markers remains the gold standard for ecDNA 356 detection, the technique is limited by the 2D nature of the images and can underestimate 357 ecDNA copy number due to stacking of cells. We show that single-cell DNA sequencing is 358 sufficiently accurate to recapitulate amplicon boundaries and that, depending on the 359 amplicon architecture, accurate ecDNA copy numbers can be derived from read counts by 360 combining general copy number calling methods (36) with a custom inference algorithm. 361 However, naturally, such efforts are dependent on the quality of the output of the copy 362 number calling algorithm.

363

Another possible source of noise is the integration of different sequencing technologies in our cohort, in particular single-nuclei sequencing in patients with single-cell sequencing in cell lines. While both approaches were found to be comparable with similar sensitivity (42– 44), single-nuclei sequencing can be prone to a higher gene dropout rate, which might affect the size of the discovered gene sets. However, we also found a generally good agreement between approaches and sequencing technologies in this study.

370

In conclusion, we were able to associate cell state heterogeneity in *MYCN*-amplified neuroblastomas with ecDNA copy number heterogeneity, implying that the rapid evolutionary dynamics associated with ecDNA (20) have the potential to also enable rapid phenotypic adaptation potentially within a single cell division cycle. One important question is thereby whether the relationship between the number of ecDNA copies and the transcriptional effects and its function are linear, and if and where there is an upper limit to the fitness advantage accrued through ecDNA accumulation. Arguably, the replicative and metabolic

378 burden inferred by excessive ecDNA copy numbers will likely lead to diminishing returns in 379 terms of clonal fitness beyond a certain level. However, in our study we observed largely 380 linear relationships between ecDNA copy number and transcriptomic output within the 381 observed copy number range. Additionally, we could show that increases in MYCN target 382 gene expression activity are linearly correlated with MYCN expression fold change increase, 383 suggesting that additional ecDNA copies continue to linearly affect oncogene function within 384 the range of copy numbers observed in real tumours and cell lines. Additional experiments 385 will need to investigate whether this linear increase directly translates to an increase in 386 biological function, for example by increasing cell growth and proliferation through 387 upregulation of ribosome biogenesis.

388

Treatment strategies targeting downstream effects of ecDNA-mediated pathways have been shown to lead to therapy resistance or recurrence after the treatment ended (14), likely because of rapid re-emergence of cells with high ecDNA copy number. Investigating the ecDNA evolution and associated cellular states during and after treatment could potentially uncover new treatment strategies.

394 Figures

Figure 1: Cellular state heterogeneity in *MYCN***-amplified neuroblastomas**

396 A: Schematic of available data and sample preparation of 12 MYCN-amplified 397 neuroblastoma patients with single-nuclei RNA-seq (10X genomics) and workflow; B: UMAP 398 of 4,641 single-nuclei of patient 1, tumour cells coloured by MYCN expression level; C: 399 Heatmap of Pearson correlation coefficients of TPM Z-scores of patient derived modules 400 from non-negative matrix factorization, rows coloured by meta and sub modules, columns 401 coloured by patient of origin; D: Heatmap of average TPM Z-score across sub modules of 402 meta module defining genes; E: UMAPs of patient 1 coloured according to corresponding 403 meta module activity; F: Correlation of MYCN expression and patient derived modules, 404 grouped by meta modules

405

406 Figure 2: Functional *MYCN* expression heterogeneity in *MYCN*-amplified 407 neuroblastoma

408 A: MYCN expression distribution of patient 1 coloured by MYCN groups; B: Workflow 409 schematic of DEG and GSEA C: Correlation of MYCN expression difference between 410 MYCN-high and MYCN-low cells and normalised enrichment score of MYCN target genes 411 per patient, colours represent the negative logarithmic adjusted p-value of the enrichment, 412 Pearson correlation coefficient and p-value are given as inset, inset: GSEA of MYCN target 413 genes, genes decreasingly ordered by logarithmic fold change derived from differential gene 414 expression analysis between MYCN-high and MYCN-low cells in patient 1; D: Barplot of the 415 number of pathways recurrently positive (red) or negative (green) enriched in the respective 416 number of patients; E: Network of 38 recurring pathways enriched in cells with high MYCN 417 expression across all 12 patients, edges refer to similarity of underlying gene set over a 418 threshold, line type corresponds to kappa score referring to the number of overlapping genes 419 in the gene set, colours highlight manual set summary term, some labels omitted for better 420 readability, full list can be accessed in [Table S5, Additional File 3]; F: Meta pathway 421 enrichment of 38 GO-Terms in ranked average NES list of meta modules and sub modules 422

423 Figure 3: EcDNA amplicon structures in neuroblastoma cell lines

A: Two MYCN-amplified neuroblastoma cell lines, TR14 and CHP212, with G&T-seq and scEC&T-seq; B: Mean scDNA-seq read coverage of selected regions on chromosomes 2 and 12; amplicon boundaries from scEC-seq (red); schematic of ecDNA amplicons in CHP212 and TR14; distribution of gene expression (TPM) for CHP212 and TR14 cells of *LPIN1*, *TRIB2*, *DDX1*, *MYCN*, *CDK4* and *MDM2*.

429

430 Figure 4: EcDNA copy number heterogeneity in neuroblastoma cell lines

A: Distribution of ecDNA amplicon copy number adapted from Ginkgo copy number profiles
(500kb bin size) from single-cell whole genome sequencing (dark) and distribution of foci

counts from FISH (light) for *MYCN* in CHP212 and *MYCN*, *CDK4* and *MDM*2 in TR14); B:
Correlation of gene expression and copy number of *MYCN* in CHP212, Pearson correlation
coefficient and p-value are given as inset; C: GSEA of MYCN target genes, genes
decreasingly ordered by logarithmic fold change derived from differential gene expression
analysis between MYCN-high and MYCN-low cells in CHP212; D: NES of significantly
enriched recurring pathways in patients per cell line; E: Schematic of summarising results

439 Methods

440 Cell culture

441 Human cancer cell line CHP212 was obtained from the American Type Culture Collection 442 (ATCC; Manassas, VA, USA) and cancer cell line TR14 was kindly provided by J. J. 443 Molenaar (Princess Máxima Center for Pediatric Oncology, Utrecht, Netherlands). Cells 444 were tested for Mycoplasma sp. contamination with a Lonza MycoAlert system (Lonza) and 445 absence of contamination was confirmed biweekly. STR genotyping (Genetica DNA 446 Laboratories and IDEXX BioResearch) was performed to confirm the identity of both cell 447 lines. For cell culture, we used RPMI-1640 medium (Thermo Fisher Scientific) supplemented 448 with 1% penicillin, streptomycin, and 10% FCS. Cell viability was assessed with 0.02% 449 trypan blue (Thermo Fisher Scientific) mixed in a 1:1 ratio, and counted with a BioRad TC20 450 cell counter.

451 Patient samples and clinical data access

This study comprised the analyses of tumour and blood samples of patients diagnosed with neuroblastoma between 1991 and 2016. Specimens and clinical data were archived and made available by Charité-Universitätsmedizin Berlin or the National Neuroblastoma Biobank and Neuroblastoma Trial Registry (University Children's Hospital Cologne) of the GPOH. The *MYCN* gene copy number was determined as a routine diagnostic method using

457 FISH. DNA and total RNA were isolated from tumour samples with at least 60% tumour cell458 content as evaluated by a pathologist.

459 Preparation of Metaphase spreads and FISH

460 Cells were cultured in a 15 cm dish and grown to 80% confluency. Metaphase arrest was 461 performed by adding KaryoMAX[™] Colcemid[™] (10 µL/mL, Gibco) and incubating for 1-2 462 hours. Afterwards, we washed the cells with PBS, trypsinized and centrifuged at 200 g for 10 463 min. We slowly added a total of 10 mL of 0.075 M KCl preheated at 37 °C, one mL at a time 464 and vortexing at maximum speed in between. Cells were then incubated for 20 min at 37 °C. 465 For cell fixation, we added 5 mL of ice-cold 3:1 MeOH/acetic acid (kept at -20 °C), one mL at 466 a time and resuspending the cells by flicking the tube. We centrifuged the sample at 200 g 467 for 5 min. We repeated this step of addition of the fixate followed by centrifugation four times. 468 Finally, two drops of cells within 200 µL of MeOH/acetic acid were added onto prewarmed 469 slides from a height of 15cm and slides were incubated overnight. We fixed the slides in 470 MeOH/acetic acid for 10 min at -20 °C and washed them in PBS for 5 min at room 471 temperature (RT). We incubated the slides in pepsin solution (10 µL pepsin (1 g / 50 mL) in 472 0.001N HCI) at 37 °C for 10 min and washed in 0.5x SSC buffer for 5 min. Dehydration of 473 the slides was performed by 3-minutes washes in 70%, 90% and 100% cold ethanol (stored 474 at -20 °C). After drying, we stained the slides with 10 µL of Vysis LSI N-MYC 475 SpectrumGreen/CEP 2 SpectrumOrange Probes (Abbott), ZytoLight ® Spec CDK4/CEN12 476 Dual Color Probe (ZytoVision) or ZytoLight ® SPEC MDM2/CEN 12 Dual Color Probe 477 (Zytovision), covered with a coverslip and sealed with rubber cement. The probes were 478 denatured by incubation at 72 °C for 5 min in a Thermobrite (Abbott) followed by overnight 479 incubation at 37°C. We washed the slides for 5 min in 2x SSC/0.1% IGEPAL at RT followed 480 by a 3-minutes wash at 60 °C in 0.4x SSC/0.3% IGEPAL (Sigma-Aldrich Inc.), and an 481 additional 3-minutes wash in 2x SSC/0.1% IGEPAL at RT. After drying, we used 12 µL 482 Hoechst 33342 (10 µM, Thermo Fisher Scientific) to stain the slides for 10 min, followed by a

wash with PBS for 5 min. Once the slides were completely dried, a coverslip was mounted
and sealed with nail polish. Images were taken using a Leica SP5 Confocal microscope.

485 Interphase FISH

486 TR14 cells for interphase FISH were grown in 8-chamber slides (Thermo Scientific™ Nunc™ 487 Lab-Tek™) to 80 % confluence. Wells were fixed in MeOH/acetic acid for 20 min at -20 °C 488 followed by a wash of the slide in PBS for 5 min at room temperature (RT). The wells were 489 removed and digestion of the slides was done in Pepsin solution (0.001 N HCI) with the 490 addition of 10 µl pepsin (1 gr/50 mL) at 37 degrees for 10 min. Slides were washed in 0.5x 491 SSC for 5 min and dehydrated by washing in 70 %, 90 % and 100 % cold ethanol stored at -492 20 °C (3min each). Dried slides were stained with either a 5 µl of Vysis LSI N-MYC 493 SpectrumGreen/CEP 2 SpectrumOrange Probes (Abbott), ZytoLight ® Spec CDK4/CEN12 494 Dual Color Probe (ZytoVision) or ZytoLight ® SPEC MDM2/CEN 12 Dual Color Probe 495 (Zytovision), covered with a coverslip and sealed with rubber cement. Denaturing occurred in 496 a Thermobrite (Abbott) for 5min at 72 °C followed by 37 °C overnight. The slides were 497 washed for 5 min at RT within 2x SSC/0.1 % IGEPAL, followed by 3 min at 60 in 0.4x 498 SSC/0.3 % IGEPAL (Sigma-Aldrich Inc.) and further 3 min in 2x SSC/0.1 % IGEPAL at RT. 499 Dried slides were stained with 12 µl Hoechst 33342 (10 µM, Thermo Fisher) for 10 min and 500 washed with PBS for 5 min. After drying, a coverslip was mounted on the slide and sealed 501 with nail polish. Images were taken using a Leica SP5 Confocal microscope and analysed 502 using the FIJI find maxima function.

503 Nuclei isolation

504 For nuclei isolation, tissue samples were added in 1mL of ice-cold EZ PREP buffer (Sigma) 505 and homogenised using a pre-cooled glass dounce tissue homogenizer (Wheaton). We used 506 ten strokes with the loose pestle followed by 5 strokes with the tight pestle for adequate 507 tissue homogenization. The sample was kept on ice at all times during homogenization to 508 avoid heat generation caused by friction. After homogenization, we filtered the sample using

a BD Falcon tube with a 35µm cell strainer cap (Becton Dickinson). To estimate the number

of intact nuclei, we stained with 0.02% trypan Blue (Thermo Fisher Scientific) in a 1:1 ratio.

511 Fluorescence-activated cell sorting (FACS)

512	One to ten million neuroblastoma cells were stained with Propidium lodide (PI, Thermo
513	Fisher Scientific) in 1× PBS, and viable cells selected based on the forward and side
514	scattering properties as well as PI staining. Nuclei suspensions were stained with DAPI
515	(Thermo Fisher Scientific, final concentration 2 μ M). For plate-based single-cell sequencing,
516	viable cells were sorted using a FACSAria Fusion flow cytometer (Biosciences) into 2.5 μL of
517	RLT Plus buffer (Qiagen) in low binding 96-well plates (4titude) sealed with foil (4titude) and
518	stored at -80 °C until processing. For droplet-based single-nuclei RNA-seq, DAPI-positive
519	nuclei were sorted using a FACSAria Fusion flow cytometer (Biosciences) into 20 μL of 4%
520	(w/vol) Bovine Serum Albumin (BSA; Sigma) in 1× PBS, supplemented with 2 μL of RNAse-
521	In (40 U/µL; Life Technologies) and 2 µL of SUPERase-In (20 U/µL; Life Technologies).

522 Droplet-Based snRNA-seq

523 Droplet-based single-nuclei RNA-seq was performed using the 10x Genomics Chromium 524 Single Cell 3' Kit (v.3.1) following the manufacturer's protocol (45). For single nuclei gel 525 bead-in-emulsions (GEMs) generation, we aimed for a target output of 10,000 nuclei for 526 each sample. The amplified cDNA and final libraries were evaluated on a 4200 Tapestation 527 (Agilent Technologies) using the HS-D5000 and HS-D1000 High Sensitivity DNA kits 528 (Agilent Technologies), respectively. snRNA-seq libraries were sequenced on an Illumina 529 NovaSeq 6000.

530 <u>G&T-seq and scEC&T-seq</u>

531 For plate-based single-cell sequencing, physical separation of genomic DNA and mRNA, 532 and cDNA generation was performed as described in the G&T-seq protocol by Macaulay et 533 al. (21). For G&T-seq, single-cell's gDNA was purified using 0.8x AMPure XP beads 534 (Beckman Coulter) and genomic DNA amplification was carried out using the PicoPLEX 535 Single Cell WGA kit v3 (Takara) and following the manufacturer's instructions. For scEC&T-536 seq, the purified gDNA was subjected to exonuclease digestion and rolling-circle 537 amplification as previously described (22). All single-cell libraries were prepared using the 538 NEBNext Ultra II FS kit (New England Biolabs) following the manufacturer's instructions but 539 using one-fourth volumes. Unique dual index primer pairs (New England Biolabs) were used 540 to barcode single-cell libraries. Pooled libraries were sequenced on a HiSeq 4000 instrument 541 (Illumina) or a NovaSeq 6000 instrument with 2x 150bp paired-end reads for genomic DNA 542 and circular DNA libraries and 2x 75 bp paired-end reads for cDNA libraries.

543 Single-nuclei RNA-seq processing

544 10x Genomics Cell Ranger v.5.0.1 was used to quantify the sequencing reads against the 545 human genome build 38 (hg38), distinguish cells from the background and generate count 546 tables of unique molecular identifiers (UMIs) for each gene per cell. Intronic counts were 547 included.

548

549 Single-cell DNA-seq and RNA-seq processing

Reads sequenced from the genomic DNA libraries were trimmed using Trim Galore (version
0.6.4) (46) and mapped to the human genome build 19 (hg19). Alignment was performed
with bwa mem (version 0.7.17) (47).

Hisat2 (version 2.2.1) (48) was used to align the RNAseq data obtained from Smart-Seq2
(49) against a transcriptome reference created from hg19 and ENCODE annotation v19 (50).
Afterwards genes and isoforms were quantified using rsem (version 1.3.1) (51) with a single
cell prior.

557 Single-cell/nuclei RNA-seq analysis

558 The following data analyses on count matrices from single-cell/nuclei RNA-seq were 559 performed using the R package Seurat v4.1.0 (52).

560 Quality control

For data generated using the 10X single-nuclei technology, nuclei with fewer than 1000 counts, 300 distinct features or more than 2.5% of reads mapping to mitochondrial genes were omitted. Sequencing libraries generated with Smart-seq2 (21,22,49) from patients were filtered by omitting nuclei with fewer than 2500 distinct features or more than 1.5% of reads mapping to mitochondrial genes. Sequencing libraries generated with Smart-seq2 from cell lines were filtered by excluding cells with fewer than 5000 distinct features or more than 15% of reads mapping to mitochondrial genes.

568 The R package DoubletFinder v2.0.3 (53) was used to detect and filter doublets in 10X 569 single-nuclei samples. Default settings were used and 7.5% doublet rate was estimated 570 based on the number of recovered cells.

571 Genes present in fewer than five cells were excluded and analysis was restricted to protein-572 coding genes.

573 Normalisation of RNA

574 10X single-nuclei data was normalised using the Seurat function 'NormalizeData' accounting 575 for sequencing depth, scaling counts to 10,000 and adding a pseudocount of one before 576 natural-log transformation. Genes were scaled using the Seurat function 'ScaleData' with 577 mean of 0 and standard deviation of 1 (default).

578

579 Smart-seq2 data was normalised using transcripts per million (TPM), accounting for gene 580 length and total read count in each cell. For downstream analyses a pseudocount of one 581 was added and then natural-log transformed.

582 Feature selection and dimension reduction

The Seurat function 'FindVariableGenes' was used to find the top 2000 most variable genes in each patient and cell line individually. Principal component analysis was performed on most variable genes and the first 20 components were used to generate the clustering ('FindClusters') and the uniform manifold approximation and projection (UMAP) embeddings (resolution of 0.5).

588 Module Scores

To determine the cell cycle phase for each cell, module scores for S-phase and G2M-phase were estimated from gene sets (30) using the Seurat function 'CellCycleScoring'. Module scores for mesenchymal and adrenergic state were calculated from published gene sets (33,34) using the Seurat function 'AddModuleScore'.

- 593 Cell type annotation
- 594 Cell types were annotated per cluster and sample by using marker genes and cell type 595 annotation curated from (23).
- 596 Differential gene expression and gene set enrichment analysis

597 For cells sequenced using the 10X single-nuclei technology, tumour cells were identified and

598 cells without measured *MYCN* expression were removed.

Remaining nuclei in each sample were ranked by their *MYCN* expression level and grouped by assigning the top 30 percent of cells with highest expression levels the label 'MYCN-high' and bottom 30 percent of cells with lowest expression the label 'MYCN-low'. All other cells were annotated as 'MYCN-med' corresponding to intermediate expression levels. The cell line samples were grouped in the same manner.

604 Differential expression analysis was performed between MYCN-high and MYCN-low cells in 605 each sample and cell line individually using the Seurat function 'FindMarkers' without

logarithmic fold change threshold and a minimum of 5% presence of a feature in the sampleof only regarding protein-coding genes.

For GSEA, genes were ranked by their logarithmic fold change in decreasing order. The enrichment score of MYCN target genes (32) were calculated using the R package fgsea v1.18 (54). Unsupervised gene set enrichment of all biological processes in the gene ontology terms was performed using the R package clusterProfiler v4.0.5 (55) function (gseGO' with a gene set size between 3 and 800 genes and p-values were corrected using BH. The network of recurrent significant enriched pathways was built using the Add-on ClueGO v.2.5.9 in Cytoscape v.3.9.1 (56,57).

615 Non negative matrix factorisation and module scores

Transcriptional profiles (modules) for each high-throughput patient sample were determined by non-negative matrix factorization (NMF) using cNMF v1.4 (25). The input matrix was restricted to only contain tumour cells and protein-coding genes. The number of modules k for each sample was determined by running the 'cnmf prepare' command with variable k equals 5 through 15. The resulting stability and error plots were used as guidance as described by Kotliar et al., mostly choosing the most stable number of modules. Each module activity matrix was normalised, so that the sum for each cell equals 1.

Pairwise Pearson correlation of module TPM gene score (further as gene score) was performed to determine similar modules. Modules that showed less than 50% significant correlation (p<0.05) with other modules were excluded. The remaining modules were grouped using hierarchical clustering and the number of meta modules was determined by comparing the heights in the corresponding dendrogram, by choosing the maximum height. The number of submodules was chosen such that each meta module is divided into at least 2 groups and the height in the dendrogram is the largest under this assumption.

Functional association of meta modules and sub modules was determined using the top 10 genes with the highest gene score in each module and ranking those genes by their frequency among the modules classified as the corresponding meta and sub module. The

top 50 genes were evaluated using g:profiler (58) and STRING (59). In addition, GSEA of all
GO-biological processes was performed in each module and the most frequent pathways
with a significant positive NES were evaluated.

For meta module representation in UMAP space, the module activity was determined by the
sample specific module activity corresponding to the meta module, in case multiple sample
modules refer to the same meta module, the sum of module activity is displayed.

The meta pathway analysis is performed for each meta and sub module separately on the ranked list of pathways based on the average NES across sample modules in the respective meta and sub module and uses the set of previously described recurrent significant pathways as pathway test set.

643 Single-cell DNA-seq analysis

644 The copy number profiles from cells sequenced with G&T-seq were determined using 645 Ginkgo (36) on the DNA data with bin size 500 kB for CHP212 and TR14 cells and 250 kB 646 for the patient sample. EcDNA amplicon specific copy number was estimated from the raw 647 Ginkgo output (Normalised read counts) by leveraging the bins that overlap amplicon 648 boundaries. Amplicon boundaries were obtained from previous publications (18,22) and 649 recapitulated in the DNA data. For each cell a step function was determined based on the 650 raw Ginkgo output and the Ginkgo copy number. Then the step function was applied to the 651 average read count in the overlapping bins.

For the TR14 *MYCN* and *CDK4* amplicon an additional step was included, because of their overlapping region. The percentage of contributing normalised read count of each amplicon to the overlapping region was estimated by averaging only unique amplicon bins and dividing the normalised read count of the unique *MYCN* amplicon by the sum of the unique *MYCN* and *CDK4* amplicon. The normalised read count in the overlapping region was then split up with respect to the contributing percentage and was further used to average over the raw data of the bins overlapping the amplicon regions.

659 Correlation of genomic and transcriptomic content

660 A sample specific linear model was built for each gene present on an ecDNA amplicon using 661 the Im function in R. The models were built on the G&T-seq data using the gene expression 662 from RNA-seq and the respective amplicon copy number determined as described above. 663 The scEC&T-seq data was used to correlate the gene expression with extrachromosomal 664 (ec) content. Gene specific ec content was determined by binning the genome into 1MB 665 segments, summing up their reads from EC-seq and overlapping the segment boundaries 666 with the gene location. The copy number was estimated using gene expression and applying 667 the gene and sample specific linear model described above.

668 **Declarations**

669 Ethics approval and consent to participate

Patients were registered and treated according to the trial protocols of the German Society of Pediatric Oncology and Hematology (GPOH). This study was conducted in accordance with the World Medical Association Declaration of Helsinki (2013) and good clinical practice; informed consent was obtained from all patients or their guardians. The collection and use of patient specimens was approved by the institutional review boards of Charité-Universitätsmedizin Berlin and the Medical Faculty, University of Cologne.

- 676 Consent for publication
- 677 Not applicable

678 Availability of data and materials

679 The dataset of the Berlin cohort generated and analysed during the current study will be

available in the EGA repository. The other datasets analysed during this study are included

681 in Janksy et al. (23) and at https://scpca.alexslemonade.org/.

682 Code will be made publicly available on zenodo.

683 Competing interests

684 AGH and RK are co-founders of AMZL therapeutics. The remaining authors have no 685 competing interests to declare.

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702 Authors' contributions

AGH, KH and RFS contributed to the study and analysis design and supervised the project. MCS and KH performed the bioinformatic analyses of single-cell sequencing data and data analysis. MCS, AGH, KH and RFS wrote the manuscript. LB and NW performed FISH experiments and analysed the data. RCG, TC and NW performed sequencing experiments and contributed to quality control. RK contributed to study design and analysis of the

- 708 scEC&T data. AE, JHS and AS contributed clinical specimens. All authors approved the final
- 709 version of the manuscript.

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- 884
- 885 Additional Files
- 886 Additional File 1
- 887 Word document (.docx)
- 888 Supplementary Figures
- 889 Supplementary Figure 1a: UMAPs per patient, cell type annotation and MYCN level
- 890 Supplementary Figure 1b: Barplot of heights in dendrogram
- 891 Supplementary Figure1c: Boxplot of correlation between MYCN expression and module
- 892 activity grouped by submodule
- 893 Supplementary Figure1d: UMAP of patient1 coloured by cell cycle phase
- 894 Supplementary Figure1e: Barplot of MYCN group per sample coloured by cell cycle phase
- 895 Supplementary Figure 1f: Boxplot of MES and ADRN score for each patient
- 896 Supplementary Figure2a: FISH images uncombined (interphase and metaphase)
- 897 Supplementary Figure2b: Patient amplicon
- 898 Supplementary Figure2c: Patient copy number count
- 899 Supplementary Figure2d: Patient MYCN CN vs expression plot
- 900 Supplementary Figure3a/b: Correlation copy number gene expression for all genes on
- 901 amplicon in CHP212 and TR14
- 902 Supplementary Figure3c: Correlation EC-Seq reads and gene expression

903 Supplementary Figure3d: GSEA of MYCN target genes for TR14 cells

904

- 905 Additional File 2
- 906 Excel spreadsheet (.xlsx)
- 907 Supplementary Tables
- 908 Table S1: Patient meta data including QC statistics
- 909 Table S2: Meta data per cell including cell cycle phase, cell type, mesenchymal and
- 910 adrenergic score
- 911 Table S3: List of top 10 gene scores per NMF module
- 912 Table S4: DEG between MYCN-high and MYCN-low per patient
- 913 Table S6: Cell line copy number and gene expression of oncogenes
- 914 Table S7: Patient 8 GnT-seq copy number and gene expression of MYCN
- 915 Table S8: Linear model of oncogenes in CHP212 and TR14
- 916 Table S9: DEG between MYCN-high and MYCN-low in CHP212
- 917 Table S10: DEG between MYCN-high and MYCN-low in TR14
- 918 Table S11: GSEA results of 38 recurring pathways in CHP212 and TR14
- 919

920 Additional File 3

- 921 Excel spreadsheet (.xlsx)
- 922 Supplementary Table
- 923 Table S5: GSEA results for patients
- 924







