

1 **Title**
2 **Paradoxical consequences of early hippocampal damage: greater**
3 **atrophy is associated with better recall, working memory and**
4 **visuospatial perception in developmental amnesia**

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20 **Abstract**

21 Despite bilateral hippocampal damage dating to perinatal or early-childhood
22 period, patients with developmental amnesia (DA) exhibit well-developed
23 semantic memory, but severely impaired episodic memory. It is not clear,
24 however, whether the residual hippocampus plays a role in encoding and/or
25 retrieval of new information, or the surrounding cortical areas rescue aspects of
26 these critical cognitive memory processes after early injury. We used manual MRI
27 segmentation to estimate the volume of three hippocampal subregions and three
28 surrounding cortical areas in 23 patients with DA and 32 controls. In patients, the
29 level of atrophy in CA-DG subregions and subicular complex was more than 40%
30 while the atrophy of the uncus was moderate (-23%). In contrast, volumes of
31 entorhinal, perirhinal and parahippocampal cortices were smaller than those of
32 controls, but not statistically different. Patients' recall, Verbal IQ, Working
33 Memory Index and Processing Speed Index scores correlated negatively with the
34 volume of the uncus while spatial perception/immediate memory performance
35 correlated negatively with the volume of the subicular complex. We propose that
36 in patients with DA, no other structure can perform the function of the
37 hippocampus in episodic memory. However, cognitive memory processing is
38 compromised as a function of extent of atrophy in hippocampal subregions, such
39 that the greater the damage, the more likely that preserved surrounding cortical
40 areas will be recruited to rescue the putative functions of the damaged subregions.
41 Our findings document for the first time not only the extent, but also the limits of
42 circuit reorganization occurring in the young brain following bilateral
43 hippocampal damage.

44 **Introduction**

45 ***Developmental amnesia***

46 We previously identified a group of patients with hippocampal atrophy who had
47 suffered hypoxic-ischaemic episodes in infancy or childhood and later developed
48 a memory disorder differing from that commonly described in patients with adult-
49 onset amnesia of temporal-lobe origin. In the latter cases, the disorder most often
50 takes the form of a global anterograde memory loss [i.e. one affecting both
51 episodic and new post-injury semantic memory, and severely restricting recall and
52 recognition processes (Bayley and Squire, 2005; Manns et al., 2003; Scoville and
53 Milner, 1957; Verfaellie et al., 2000)]. In patients with hippocampal damage of
54 early-onset, by contrast, the disorder is more limited, being characterized by
55 markedly impaired episodic memory, recall/recollection, and spatial processing
56 deficits, but relatively preserved semantic memory, working memory, and
57 recognition performance. We have labeled this dissociated form of memory
58 function ‘developmental amnesia’ (DA, Guderian et al., 2015; Patai et al., 2015;
59 Picard et al., 2013; Rosenbaum et al., 2015; Vargha-Khadem et al., 1997). Given
60 the early onset of hippocampal damage, the compensatory organization that has
61 occurred in patients with DA is likely to be distinct from what is observed in
62 patients with hippocampal damage acquired in adulthood. Also, in patients with
63 acquired hippocampal lesions, the damage has occurred to already-established,
64 and normally-functioning memory circuits, whereas in patients with DA, the early
65 bilateral lesions have probably led to the development of a different memory
66 system. For these reasons, it is difficult, and somewhat inappropriate, to compare
67 memory function and cognitive profiles in patients with DA to those of patients
68 with adult-onset amnesia (Elward and Vargha-Khadem, 2018, P: 24-26).

69 To date, a cohort of 18 patients with DA has been reported, each patient
70 having suffered an episode of hypoxia-ischaemia in early life (Dzieciol et al.,
71 2017). Importantly, irrespective of the underlying aetiology (Geva et al., 2020), or
72 age at onset (Vargha-Khadem et al., 2003), the hypoxic-ischaemic event was the
73 cause of hippocampal damage and cognitive impairment (Cooper et al., 2015).

74 ***Memory circuit reorganization***

75 Among the many questions that have arisen from the study of patients with DA,
76 the foremost concerns the regions of the medial temporal lobe (MTL) and/or
77 preserved hippocampal subregions that could be involved in memory circuit
78 reorganization following early hippocampal damage.

79 In the model of hierarchical organization of cognitive memory proposed
80 by Mishkin and colleagues (1997; see also: Baddeley, 2020; Vargha-Khadem and
81 Cacucci, 2021), recollection and familiarity depend on different MTL structures.
82 According to this model, the perihippocampal gyrus, and in particular the
83 perirhinal cortex, may support familiarity, while the hippocampus may support
84 recollection (Argyropoulos et al., 2021). The selectivity of impairment observed
85 in patients with DA has provided strong support for this dual-process model.

86 Because patients with DA have both neuroradiological and quantitatively-
87 confirmed bilateral hippocampal atrophy, but no visible abnormality within the
88 parahippocampal region, it has been proposed that their episodic memory
89 impairment, and recall/recollection deficits are due to their hippocampal
90 pathology, whereas their relatively intact semantic memory, and

91 recognition/familiarity processes are due in part to the preservation of the
92 perihippocampal gyrus.

93 *Hippocampus*

94 Hypoxia-ischemia is known to differentially affect the hippocampal fields and
95 subdivisions. Studies of animal models of cerebral ischemia have reported that a
96 brief episode results in selective neuronal death in the CA1 field of the
97 hippocampus (Schmidt-Kastner and Freund, 1991) while the adjacent CA3
98 remains less vulnerable (Wang and Michaelis, 2010). In humans, while damage to
99 CA1 is consistently reported following cerebral ischemia, damage to additional
100 hippocampal regions is much more variable (Bartsch et al., 2015). Postmortem
101 examinations of patients who suffered ischemic episodes in adulthood have
102 reported neural cell loss either restricted to the CA1 region, or distributed in the
103 dentate gyrus, subiculum, uncus and entorhinal cortex (Rempel-Clower et al.,
104 1996; Zola-Morgan et al., 1986). The preservation of circumscribed hippocampal
105 subregions following injury of early-onset in DA could account for the increased
106 activation of the residual hippocampus during different memory tasks (Maguire et
107 al., 2001; Rabin et al., 2016), although activation *per se* does not necessarily
108 imply correspondingly intact function (Elward et al., 2021).

109 In the study reported by Dzieciol and colleagues (Dzieciol et al., 2017), the
110 degree of hippocampal atrophy in patients with DA ranged from 28% to 62%
111 compared to healthy controls. This large range of hippocampal volume reduction
112 in this cohort suggests that there may indeed be variability in the hippocampal
113 response to hypoxia-ischemia at the level of hippocampal subregions. It would
114 thus be possible to assess whether the compensatory response in patients with DA
115 is dependent on the extent of atrophy in the hippocampal subregions. One way to
116 address this is to evaluate the association between task-dependent
117 neuropsychological performance and volumes of hippocampal subregions

118 *Perihippocampal gyrus*

119 While postmortem examinations have reported some neural cell loss in layers of
120 entorhinal cortex in patients who suffered ischemic episodes in adulthood
121 (Rempel-Clower et al., 1996), it was reported that the volume of the
122 parahippocampal gyrus was not significantly reduced in patients with adult-onset
123 amnesia presenting with bilateral hippocampal damage (Shrager et al., 2008). A
124 cortical thickness analysis performed in a single patient with DA didn't find
125 abnormality in the subhippocampal structures within the medial temporal lobe,
126 including the perirhinal and ventral entorhinal cortices (Jonin et al., 2018). Also, a
127 recent study demonstrated that parahippocampal activity during scene
128 reinstatement in patients with DA was similar to controls (Elward et al., 2021).
129 However, the structural integrity of the perihippocampal gyrus, and by
130 implication, the integrity of the hypothesized substrate of semantic memory and
131 other preserved mnemonic processes remain to be quantitatively assessed in
132 patients with DA.

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134 Here, we have used manual segmentation of MRI scans to obtain estimates of the
135 volume of three hippocampal subregions: the uncus [uncal portion of the
136 hippocampus (Zeidman and Maguire, 2016)], the CA-DG (including CA fields
137 and dentate gyrus) and the subicular complex (including subiculum, presubiculum
138 and parasubiculum), and three surrounding cortical areas (entorhinal, perirhinal

139 and parahippocampal cortices) in patients with DA and healthy controls. Controls
140 and patients were assessed with neuropsychological tests of intelligence (WISC or
141 WAIS), recall/recognition for verbal and visual material (Doors and People Test)
142 and spatial perception/memory (Four Mountains Test). Finally, we investigated
143 the relationships between degree of atrophy of hippocampal subregions and
144 cognitive deficits.

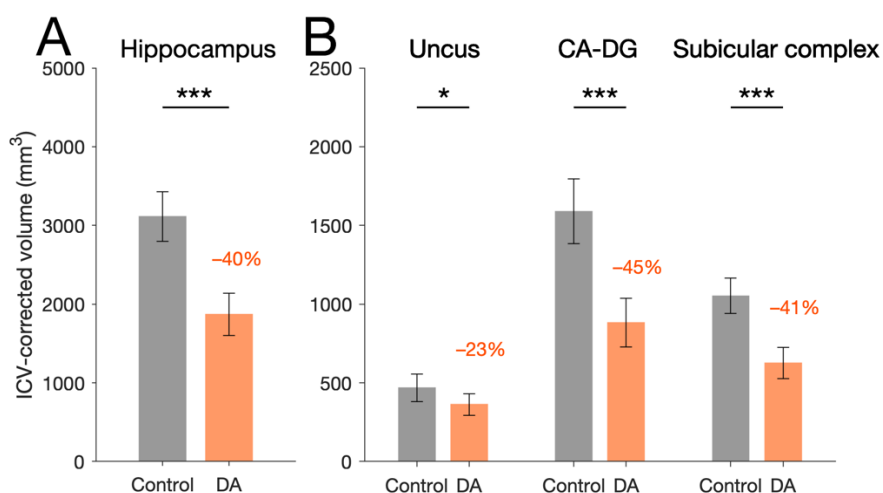
145 We predicted that: (i) residual hippocampal subregions and/or surrounding
146 cortical areas were sufficiently preserved to rescue some aspects of hippocampal
147 function, and (ii) plastic changes in these regions occurred as a function of
148 hippocampal damage in all patients with DA.

149 We documented several paradoxical inverse relationships: i) between the
150 volume of the residual uncus and patients' recall memory performance, Verbal
151 Comprehension Index, Working memory Index and Processing Speed Index, and
152 ii) between the volume of the residual subicular complex and patients'
153 performance on spatial perception/memory. We also reported an absence of
154 structural damage in the surrounding cortical areas in patients with DA.

155 Results

156 Volumetric estimates

157 Three hippocampal subregions (uncus, CA-DG and subicular complex) and three
158 surrounding cortical areas (entorhinal, perirhinal and parahippocampal cortices)
159 were manually segmented in healthy controls (N = 32) and DA (N = 23) groups
160 (Fig. S1).
161



162 **Fig. 1. Volume of the hippocampus and its subregions.** Volume of the hippocampus (A.)
163 and its subregions (B., uncus, CA-DG, and subicular complex) in control (grey; N = 32) and
164 DA (orange; N = 23) groups. Data are represented as mean \pm SD. Percentage values refer to
165 the mean difference to control group. Volumes are calculated as the average of left and right
166 hemisphere volumes. All volumes are corrected for intracranial (ICV) volume. *: P < .05;
167 ***: P < .001.
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169
170 The volume of the hippocampus as a whole was 40% smaller in the DA than in
171 the control group (range: -26% to -53%; $t_{(53)} = -15.32$; P < .001) (Fig. 1; Table
172 S1). The volume of the hippocampal subregions was also significantly smaller in
173 the DA than in the control group (2-way ANOVA, $F_{(1,159)} = 392.5$, P < .001). The

174 uncus was 23% smaller (range: +4% to -49%; Tukey's HSD post-hoc test: $P =$
175 .037), the CA-DG subregions were 45% smaller (range: -28% to -59%; $P <$
176 .001), and the subicular complex was 41% smaller (range: -22% to -56%; $P <$
177 .001). The level of atrophy of the patients' hippocampal subregions differed
178 significantly from each other ($F_{(2,66)} = 23.0$, $P < .001$). Tukey's HSD post-hoc test
179 indicated that the CA-DG and subicular complex showed greater atrophy than the
180 uncus (both $P < .001$).

181 Atrophy of the hippocampus in the DA group was significantly lower in its
182 anterior (-36%) than its posterior (-44%) segment (paired t test, $t_{(22)} = 2.72$, $P =$
183 .012; Fig. S2). Because no differences were observed between the anterior and the
184 posterior segment of the CA-DG (paired t test, $t_{(22)} = 0.94$, $P = .36$) or subicular
185 complex ($t_{(22)} = 1$, $P = .33$) subregions, the lower level of atrophy observed in the
186 anterior part of the whole hippocampus can be attributed to the relatively more
187 preserved uncus.

188 In contrast, there was no significant difference in the volume of the entorhinal,
189 perirhinal and parahippocampal cortices between control and DA groups (Table
190 S1; 2-way ANOVA, $F_{(1,159)} = 6.7$, $P = .010$; Tukey's HSD post-hoc tests, all $P >$
191 .35). Also, we didn't observe any correlation between the volume of the
192 hippocampus or hippocampal subregions and the volume of surrounding cortical
193 areas in patients with DA (Pearson's correlation analysis, all $P > .19$).

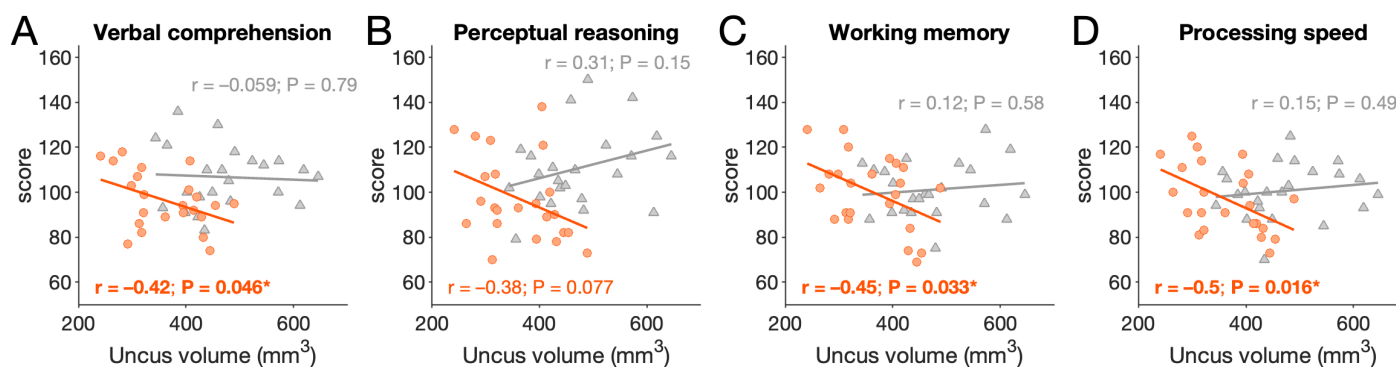
194 ***Neuroradiological assessment***

195 Neuroradiological ratings based on visual inspection of the MR images provided
196 independently by one of the authors (WKC) revealed abnormally small fornix and
197 mammillary bodies in all but two patients with DA (Table S2). No abnormalities
198 were detected in the parahippocampal gyrus (perirhinal, entorhinal, and
199 parahippocampal cortices), or the thalamus, and the basal ganglia. Additional
200 visible abnormality was observed in some cases in the white matter and the
201 cerebellum. The pattern of visible abnormality detected within the hippocampal
202 circuit, and the notable absence thereof in other cortical and subcortical structures,
203 was consistent across individual patients irrespective of their varying aetiology,
204 and age at hypoxic-ischaemic-induced hippocampal damage.

205 ***WISC/WAIS***

206 All patients with DA ($N = 23$) and 23 of the control participants were assessed on
207 the WISC or WAIS. Patients were impaired relative to our group of controls only
208 for the Perceptual Reasoning Index scores (2-way ANOVA, $F_{(1,176)} = 10.61$, $P =$
209 .001; Tukey's HSD post-hoc test, $P = 0.04$; all other $P > .25$). There were
210 significant inverse correlations between the volume of the uncus and patients'
211 scores for the Verbal Comprehension Index (VCI), the Working Memory Index
212 (WMI) and the Processing Speed Index (PSI) (all $r_{(22)} < -0.42$; all $P < .05$; Fig 2).
213 Looking at PSI scores, we observed an inverse correlations between "Digit
214 Symbol-Coding" score and the volume of the uncus ($r_{(22)} = -0.44$; $P = .037$) and
215 between "Symbol Search" and the volume of the uncus and subicular complex
216 ($r_{(22)} = -0.43$; $P = .04$ and $r_{(22)} = -0.44$; $P = .037$, respectively; Table S3). No
217 other correlations for other ROIs in the DA group were significant. In controls, the
218 WMI scores were positively associated with the volume of the subicular complex
219 ($r_{(22)} = 0.46$; $P = .029$).

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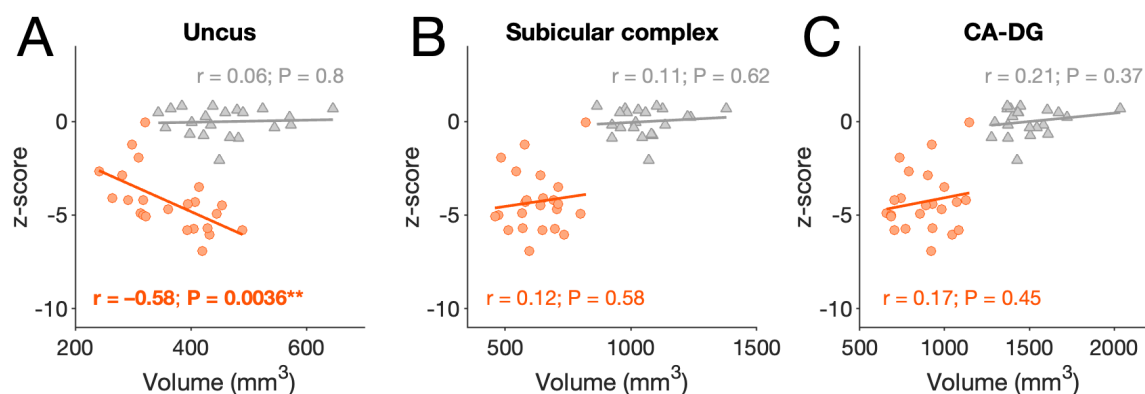
222 **Fig. 2. Inverse correlations between WISC/WAIS indexes scores and the volume of the**
223 **uncus in patients with DA.** Participants' scores on Wechsler Intelligence Scales WISC or
224 WAIS (patients: orange circles, N = 23; controls: grey triangles, n = 23). **A.** Verbal
225 comprehension Index; **B.** Perceptual Reasoning Index; **C.** Working Memory Index; **D.**
226 Processing Speed Index. Pearson's correlation coefficient. All volumes are corrected for
227 intracranial (ICV) volume. *: $P < .05$.

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229 *Doors and People Test*

230 All patients with DA (N = 23) and 21 of the control participants were assessed on
231 the Doors and People Test, which provides equated measures of recognition and
232 recall in the visual and verbal domains. All patients' subtest scores were
233 significantly below zero (Wilcoxon signed rank test: all $Z < -2.4$, all $P < .016$),
234 indicating that patients were impaired relative to controls (who represent zero, as
235 their scores were used to convert raw scores into z-scores). The patients' scores
236 for the four Doors and People subtests differed significantly from each other
237 (Friedman's test: $\chi^2_{(3,n=22)} = 43.7$, $P < .001$). The visual recall ('Shapes') subtest
238 yielded a significantly greater deficit than all of the other subtests (all $P < .001$).
239 The degree of deficit on the verbal recall ('People') subtest was greater than on
240 the two recognition subtests (both $P < .02$). The degree of deficit was greater on
241 the visual recognition ('Doors') subtest than on the verbal recognition ('Names')
242 subtest ($P = .001$). Collapsing across subtests, we found a greater deficit in recall
243 compared with recognition ($Z = -4.04$; $P < .001$), and a greater deficit in memory
244 for visual compared with memory for verbal material ($Z = -4.11$; $P < .001$).

245 We observed significant negative correlations between the two recall
246 subtest scores and the volume of the uncus in the DA group [Verbal recall
247 ('People'): Pearson's $r_{(22)} = -0.47$; $P = .02$; Visual recall ('Shapes'): $r_{(22)} = -0.55$;
248 $P = .007$]. No other correlations for other subtests or other regions of interest in
249 the DA group were significant (all $P > .09$; Table S4). Collapsing across test
250 material confirmed that scores on recall were inversely correlated with the volume
251 of the uncus in the DA group ($r_{(22)} = -0.58$; $P = .004$; Fig. 3A). As a corollary, we
252 observed that patients with more preserved uncus had significantly lower scores
253 on recall than patients with more damaged uncus ($Z = -2.74$; $P = .006$). In the
254 control group, no correlations for any subtests or regions of interest were
255 significant (all $P > .11$; Table S4).



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Fig. 3. Doors and People recall scores correlations with the volumes of hippocampal subregions. The patients' scores (orange circles; N = 23) on recall subtests of the *Doors and People Test* correlated negatively with the volume of the uncus (A.) but not with the volumes of the subicular complex (B.) or CA-DG (C.). The controls' scores (grey triangles; n = 21) did not correlate with the volume of any subregion. Pearson's correlation coefficient. All volumes are corrected for intracranial (ICV) volume. **: P < .01.

During *Doors and People* testing, the procedures for recall subtests are repeated until all items (names or shapes) are correctly recalled, or for a maximum of three presentations. The patients' scores differed significantly from each other between the three trials of the visual recall ('Shapes') subtest (Friedman's test: $\chi^2_{(2,n=20)} = 8.00$, P = .018) and between the three trials of the verbal recall ('People') subtest ($\chi^2_{(2,n=20)} = 14.15$, P < .001). Post hoc Wilcoxon signed-ranks tests indicated that scores obtained on trial-1 were significantly lower than scores obtained on trial-3 for the visual recall ('Shapes') subtest (Z = -2.72; P = .006) and for the verbal recall ('People') subtest (Z = -2.88; P = .004). Interested to determine potential differences in learning between patients depending on whether they presented with severe or moderate uncus atrophy, we compared increases in patients' scores between trial-1 and trial-3. There was no difference in learning scores between patients with a larger uncus (above group mean volume) and patients with a smaller uncus (Mann-Whitney U test; visual recall ('Shapes'): Z = -1.26; P = .21; verbal recall ('People'): Z = 0.61; P = .54).

Delayed (cued) verbal and visual recall were tested after completion of the verbal and visual recognition subtests, respectively. We found a correlation between patients' scores for the delayed verbal recall ('People') subtest and the volume of the uncus (Pearson's $r_{(20)} = -0.5$; P = .02) while the correlation with delayed visual recall ('Shapes') scores was not significant ($r_{(20)} = -0.3$; P = .18).

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Four Mountains Test

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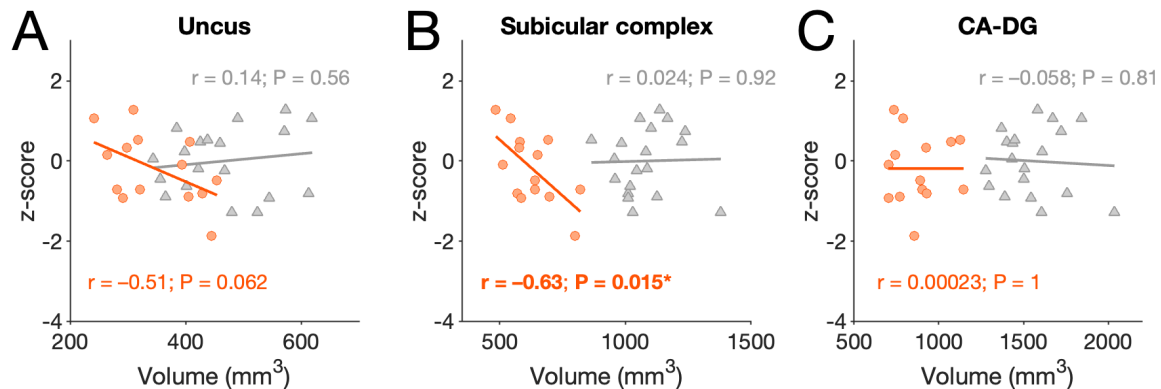
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Fourteen patients with DA and 19 of the control participants were assessed on the *Four Mountains Test* to examine their perception and immediate memory for topographical information in spatial scenes. Patients' subtest scores converted to z-scores were not significantly below zero (Wilcoxon signed rank test: 'Spatial Perception': P = .75; 'Spatial Memory': P = .13), indicating that many of the patients were not impaired relative to our group of controls. Patients' scores were significantly lower for the 'Spatial Memory' than for the 'Spatial Perception' subtest (Z = -2.67; P = .008). There were significant inverse correlations between patients' scores for the 'Spatial Perception' and 'Spatial Memory' subtests and the volume of the subicular complex ($r_{(13)} = -0.59$; P = .025 and $r_{(13)} = -0.58$; P =

295 .03, respectively). Collapsing across test material confirmed that scores were
296 strongly inversely correlated with the volume of the subicular complex in the DA
297 group ($r_{(13)} = -0.63$; $P = .015$) (Fig. 4B). Patients' scores for the 'Spatial
298 Perception' subtest were also negatively associated with the volume of the uncus
299 ($r_{(13)} = -0.54$; $P = .047$). In the control group, no correlations for any ROIs were
300 significant (all $P > .49$; Table S5).



301

302 **Fig. 4. Four Mountains scores correlations with the volumes of hippocampal subregions.**

303 The patients' scores (orange circles; $n = 14$) correlated negatively with the volume of the
304 subicular complex (B.) but not with the volumes of the uncus (A.) or CA-DG (C.). The
305 controls' scores (grey triangles; $n = 19$) did not correlate with the volume of any subregion.
306 Pearson's correlation coefficient. All volumes are corrected for intracranial (ICV) volume.

307 Discussion

308 In this study, we addressed the question of whether the volumes of residual
309 hippocampal subregions and parahippocampal areas are associated with memory
310 and spatial processing performance in patients with hypoxic-ischaemic-induced
311 hippocampal damage of early-onset. Using a combination of neuropsychological
312 test results and volumetric estimations in a large cohort of patients with
313 developmental amnesia (DA), we documented several inverse relationships: i)
314 between the volume of the residual uncus and patients' performance on recall
315 memory, Verbal comprehension Index, Working memory Index and Processing
316 speed Index, and ii) between the volume of the residual subicular complex and
317 patients' performance on spatial perception/memory. The effects reported here
318 suggest that following an early-life exposure to hypoxia-ischemia, the function of
319 hippocampal subregions showing greater damage will more likely be compensated
320 by preserved extra-hippocampal structures. By contrast, hippocampal subregions
321 with milder injury could increase system disruption by maintaining their putative
322 functions. Despite these compensatory reorganizations, hippocampal-dependent
323 episodic memory recall remains irreversibly compromised.

324 Limitations

325 Although manual tracing is still the gold standard for measuring the volumes of
326 hippocampal subregions and cortical areas on MRI acquisitions, manual
327 segmentation is subjective and susceptible to measurement errors. Also, the
328 precision of the measure is limited by the resolution of the MRI scans. However,
329 analyses of MR images for estimating the extent of hippocampal damage in

330 amnesic patients have been validated by postmortem examinations (Rempel-
331 Clower et al., 1996). In particular, a clear concordance has been reported in the
332 appearance of the damaged hippocampus on the MRI and in the histological
333 sections (Rempel-Clower et al., 1996). The border between cytoarchitectonic
334 hippocampal subregions were estimated based on delineation of these regions on
335 histological sections (Rosene and Van Hoesen, 1977). The delineation on MR
336 images of the perihippocampal and parahippocampal cortical areas were based on
337 that described in (Insausti et al., 1998). It is not clear to what extent these borders
338 would be affected in the brains of DA patients with significant hippocampal
339 volume reduction. Our observations of an absence of significant volume reduction
340 of the entorhinal, perirhinal and parahippocampal cortices in patients with DA is
341 consistent with previous report showing an absence of significant volume
342 reduction in patients with adult-onset amnesia (Shrager et al., 2008), and in a
343 patient with DA (Jonin et al., 2018). Also, intact parahippocampal activity during
344 scene reinstatement has been described in patients with DA (Elward et al., 2021).

345 ***Differential effect of early hypoxia-ischemia on hippocampal subregions***

346 Our observation that the CA-DG were the most affected hippocampal subregions
347 in patients with DA is congruent with documented reports of greater sensitivity of
348 this subregion to hypoxia-ischemia. It has long been known that hypoxia and
349 ischemia result in selective neuronal death in the CA1 field (Schmidt-Kastner and
350 Freund, 1991), although the reason for this selectivity is still debated (Wang and
351 Michaelis, 2010). Neurohistological studies of patients who suffered ischemic
352 episodes in adulthood have reported cases with neural cell loss restricted to the
353 CA1 region bilaterally whilst the CA1' region of the uncus, the subiculum, CA3
354 and surrounding cortices were all preserved (Rempel-Clower et al., 1996; Zola-
355 Morgan et al., 1986). High-resolution magnetic resonance imaging showed that
356 CA1 was always affected in patients with hippocampal damage (Bartsch et al.,
357 2015).

358 The subicular complex was less affected than the CA-DG subregion in
359 patients with DA and this difference could be related to the different levels of
360 maturation of the hippocampal subregions at birth. Quantitative volumetric
361 measurements in non-human primates revealed that the subiculum, presubiculum
362 and parasubiculum develop earlier than the dentate gyrus, CA3, and CA1 (Jabès et
363 al., 2011) and this progressive maturation of the hippocampal circuits may
364 participate in the differential protracted maturation of relational memory processes
365 (Jabès and Nelson, 2015). The level of maturation of the hippocampal subregions
366 at birth could also influence their vulnerability to hypoxia-ischemia (Vannucci
367 and Hagberg, 2004).

368 In contrast, the uncus showed a low sensitivity to hypoxia in our patient cohort
369 which could be a reflection of the distinct vascularization of this hippocampal
370 subregion. The branches of the posterior cerebral artery (PCA) coming from the
371 vertebral artery irrigate the posterior part of the hippocampus, while the branches
372 of the anterior choroidal artery (AChA) originating from the internal carotid artery
373 irrigate the uncus portion of the hippocampus (Erdem et al., 1993; Huther et al.,
374 1998; Marinković et al., 1992). High-resolution angiography and anatomical
375 studies have highlighted that the anterior hippocampal region could receive a
376 mixed blood supply (from both the PCA and the AChA) in about half of the
377 individuals (Erdem et al., 1993; Perosa et al., 2020). A mixed blood supply could

378 provide more vascular reserve and lower vulnerability to neuronal injury and
379 atrophy (Liebeskind, 2003). The uncus, which receives a distinct blood supply
380 compared to the rest of the hippocampus, might thus exhibit greater resistance to
381 the adverse effects of hypoxic-ischemic events than the other hippocampal
382 regions.

383 ***Uncus and recall memory***

384 The residual uncus could be partly functional in patients with DA and could be
385 recruited for recall memory. We reported here that the volume of the uncus
386 correlated negatively with recall scores as well as working memory performance
387 in patients with DA. In the WAIS/WISC test, Working Memory Index is made up
388 of two subtests (Digit Span and Letter Number Sequencing) both requiring verbal
389 recall in the span of working memory. The function of the uncus is not well
390 understood mainly because its structure-function mapping remains to be
391 determined in rodents (Ding, 2013) but in humans, the uncus has been proposed to
392 be involved in the long-term recall of scenes (Zeidman et al., 2015). Connectivity
393 studies in nonhuman primates have shown that the uncus is less connected to
394 other regions of the hippocampus, and that it has its own associational system of
395 connections (Kondo et al., 2009). Notably, the uncus is also the only hippocampal
396 region reciprocally connected to its contralateral counterpart (Amaral et al., 1984).
397 The uncus' unique connectivity could allow this region to support some
398 information processing despite severe damage to the other hippocampal areas.

399 Notwithstanding the importance of the uncus in relation to severity of
400 atrophy during delayed recall, this subregion maintains its role during encoding
401 and learning of memoranda in both low and high severity subgroups equally.
402 Thus, we noted that despite their poor performance overall, both patient subgroups
403 incrementally increased their scores across the three learning trials of the *People*
404 and *Shapes* subtests of the *Doors and People Test*. The absence of a difference in
405 performance between the subgroups during encoding and learning, but not during
406 delayed recall, highlights the role of the uncus in retrieval of auditory verbal
407 memory after a delay in patients with DA.

408 Interestingly, we also reported an inverse relationship between the volume of
409 the uncus and patients' performance in delayed verbal, but not visual recall.
410 Impairment in delayed verbal recall in relation to extent of atrophy of the uncus
411 highlights the vulnerability of auditory verbal information to recall after a delay
412 (Schulze et al., 2012).

413 ***Subiculum***

414 Residual circuits of the subicular complex might participate in spatial perception
415 and spatial memory in patients with DA. Here, we reported inverse correlations
416 between spatial perception/memory performance and the volume of the residual
417 subicular complex in patients with DA. The subiculum receives its major
418 excitatory input from CA1, but it also receives a strong projection from the
419 entorhinal cortex (Amaral and Lavenex, 2007; Matsumoto et al., 2019; Witter and
420 Amaral, 2020). The subiculum is also the main source of subcortical projections
421 directed to the hippocampus, and the presubiculum receives the only direct input
422 from the anterior thalamic nucleus (Amaral and Lavenex, 2007; Matsumoto et al.,
423 2019). The subiculum, presubiculum and parasubiculum send dense projections to
424 the entorhinal cortex (Saunders and Rosene, 1988; Witter and Amaral, 2020).

425 Thus, in the absence of functional CA-DG, the residual subicular complex could
426 marginally participate in input processing through its other interconnections, and
427 in particular, through interaction with the structurally preserved entorhinal cortex
428 which constitutes the main entryway for much of the neocortical input reaching
429 the hippocampus (Amaral et al., 1987).

430 Animal and human studies suggest that the subiculum plays an important role
431 in spatial processing and spatial representation (Matsumoto et al., 2019). Grid,
432 border, and head direction cells have been found in the subiculum of rodents and
433 primates including humans (Boccaro et al., 2010; Lee et al., 2018; Lever et al.,
434 2009; Robertson et al., 1999). In the rat, cross-structural interaction between the
435 subiculum and the ventral striatum has been reported to be critical for spatial
436 memory consolidation (Torromino et al., 2019). In humans, the subiculum is
437 suggested to be specifically involved in scene-based cognitive processing,
438 including scene perception and scene construction during memory recall (Dalton
439 and Maguire, 2017; Hodgetts et al., 2017; Zeidman et al., 2015).

440 Interestingly, we did not observe correlations between the volume of the
441 subicular complex and patients' performance on the non-spatial tasks of the *Doors*
442 *and People Test*, suggesting that the subicular complex might be playing a more
443 prominent role in the processing of spatial inputs.

444 The Processing Speed Index of the Wechsler Scales is made up of two
445 subtests ('Coding' which requires learning and memory and 'Symbol Search'
446 which requires visual scanning/cancellation, and visual stimulus matching, but
447 without memory demands). Interestingly, the Coding scores are negatively
448 correlated with the uncus volume while the Symbol Search scores are negatively
449 correlated with both the uncus and the subicular complex. Our results tend to
450 demonstrate that the hippocampus is involved in two cognitive processes of visual
451 perception, and cognitive memory.

452 ***Working memory***

453 We reported here, in addition to an absence of working memory impairment, a
454 negative association between uncus volume and working memory performance in
455 patients with DA. The more established view proposes that the hippocampus plays
456 an important role in episodic memory, spatial cognition, and navigation, while it
457 is not critically contributing to working memory (Eichenbaum, 2006; Eichenbaum
458 et al., 1994). But an alternative view proposes that the hippocampus may
459 contribute to any memory task, including working memory (Hannula and
460 Ranganath, 2008; Libby et al., 2014), in particular in supporting high-precision
461 binding (Borders et al., 2021). This latter possibility is challenged however by the
462 fact that patients with DA presenting with severe hippocampal damage have
463 repeatedly shown unimpaired working memory performance (Allen et al., 2014;
464 Baddeley et al., 2011, 2010). Recent work extended these findings by showing
465 that high resolution working memory performance was unimpaired, and even
466 often superior, relative to control group in a patient with DA (Allen et al., 2022).
467 Our observation of a negative correlation between uncus volume and working
468 memory performance suggests that the perirhinal, entorhinal, and
469 parahippocampal cortices normally involved in the binding of information in the
470 short term (Miyashita, 2019) may be subject to plastic changes in patients with
471 DA and that these plastic changes may depend on the degree of hippocampal
472 damage. The possibility that the hippocampal damage in patients with DA may

473 not influence working memory performance directly, but indirectly via
474 reorganization of the MTL circuit is explored below.

475 ***Functional hypotheses***

476 Experimental studies in nonhuman primates have reported similar paradoxical
477 findings to those reported in the present study. Monkeys with small hippocampal
478 lesions exhibit greater memory loss on Delayed Nonmatching-to-Sample (DNMS)
479 task than that found in animals with greater damage (Bachevalier and Mishkin,
480 1989). Furthermore, a positive correlation between the extent of damage to the
481 hippocampus and scores on a DNMS task has been reported in experimentally
482 lesioned monkeys (Murray and Mishkin, 1998). Several hypotheses have been
483 proposed to explain why discrete lesions result in greater memory impairment
484 (Bachevalier and Mishkin, 1989; Baxter and Murray, 2001; Beason-Held et al.,
485 1999). However, it is difficult to relate these findings obtained following adult
486 experimental lesions in the monkey to observations in patients with early
487 hippocampal damage whose circuits might have undergone massive
488 compensation. One hypothesis to explain our observations is that the level of
489 hippocampal damage would likely have a strong direct influence on the
490 organization of the medial temporal lobe memory circuits in the adult. Following
491 an early event of hypoxia-ischemia, however, greater hippocampal damage might
492 induce greater compensatory reconfigurations in the neural circuits and enable
493 other structures, in particular the surrounding cortical areas normally recruited by
494 the hippocampus, to assume important aspects of memory function. In contrast,
495 when the hippocampus is only partially damaged, the information flow would
496 remain present in the hippocampus and could result in incomplete, and possibly
497 disruptive information processing. Our observation could thus reveal the existence
498 of multiple, redundant routes within the residual hippocampal subregions and
499 surrounding cortical areas in patients with developmental amnesia (Aggleton et
500 al., 2000). These parallel circuits could compete for the control of behavior and
501 disrupt perception and memory performance (Baxter and Murray, 2001).

502 Our findings are consistent with the hypothesis that a close relationship
503 can be evidenced between the volume of remaining hippocampal subregions and
504 the severity of cognitive deficits in patients with hippocampal damage, in the case
505 of patients with DA this relationship was inverse. Our observations also provide
506 further support for the model of hierarchical organization of cognitive memory
507 (Mishkin et al., 1997) by proposing that surrounding cortical areas normally
508 involved in the encoding of associative relations between decontextualized
509 information (Miyashita, 2019) could reorganize to contribute to hippocampal
510 function following early hippocampal damage. The limits of this reorganization,
511 however, may be determined by the extent of damage to the hippocampal
512 subregions.

513 Evidence for such reorganization has already been reported in a nonhuman
514 primate model of developmental amnesia. Monkeys with early bilateral
515 hippocampal lesion can learn new spatial relational information in striking
516 contrast to monkeys with adult lesion (Banta Lavenex et al., 2006; Lavenex et al.,
517 2007). Interestingly, in these monkeys with early hippocampal lesions, the
518 surrounding cortical areas were preserved and were shown to contribute to spatial
519 learning in the absence of functional hippocampal circuits (Chareyron et al.,
520 2017).

521 One could speculate that the functional reorganization of the
522 perihippocampal structures (normally devoted to the processing of
523 decontextualized information) to compensate hippocampal function could, in turn,
524 benefit the processing of semantic memory and lead to better context-free memory
525 performance in patients (see: Kapur et al., 2013). Indications of increased memory
526 performance have indeed been reported in two different patients with DA. Jonin et
527 al. (2018) studied patient ‘KA’ presenting with 55% hippocampal volume
528 reduction and preserved perihippocampal structures. While patient KA displayed
529 few, if any, residual episodic abilities, this patient was able to accurately retrieve
530 semantic memories, and show evidence of superior or even very superior access to
531 these memories than controls. Another patient with DA, patient ‘Jon’, has often
532 produced response accuracy levels that are at least higher than those of control
533 participants in previous experimental explorations of visuospatial working
534 memory (Allen et al., 2022, 2014; Baddeley et al., 2010). Jon was at least
535 numerically superior to the control mean on recognition-based measures of shape-
536 color binding (Baddeley et al., 2010). In measures of color-location memory,
537 Jon’s recognition accuracy matched the highest achieving control participant,
538 while his reconstruction performance was superior to 6 of the 7 controls (Allen et
539 al., 2014). Across four tasks measuring binding between color and orientation or
540 color and location using simultaneous or sequential presentation of stimuli, Jon’s
541 response accuracy was high, and always numerically superior to the control mean
542 (Allen et al., 2022).

543 These observations from single patients studies together with the present
544 findings, obtained in a large cohort of patients with DA, support the hypothesis of
545 a reorganization of the preserved perihippocampal areas following early
546 hippocampal damage.

547 **Conclusions**

548 Our results have important implications for understanding the organization of
549 memory following early hippocampal injury. These observations highlight the
550 paradoxical finding that in patients with DA greater hippocampal damage can be
551 associated with better performance in recall memory, Verbal comprehension
552 Index, Working memory Index, Processing speed Index and spatial perception. In
553 contrast, less severe damage leaving behind relatively preserved hippocampal
554 subregions can lead to more pronounced cognitive deficits. These unexpected
555 findings can disentangle the functional organization of the medial temporal lobe
556 memory system, and attribute a central role in the compensation of memory and
557 spatial cognition to extra-hippocampal structures in patients with early
558 hippocampal damage.

559 **Materials and methods**

560 *Participants*

561 The patients with DA were characterized by (i) a history of episodes of hypoxia-
562 ischemia in early life, (ii) quantified hippocampal volume reduction above 25%
563 relative to a group of controls, and (iii) severely impaired episodic memory
564 (Dziociol et al., 2017). The most common aetiology was perinatal hypoxic-

565 ischemic encephalopathy (Table S2). While long-term neurodevelopmental
566 outcome depends on the severity of the hypoxic-ischemic episode, subtle
567 cognitive deficits and behavioral alterations have been revealed through long-term
568 evaluations even in mild forms of hypoxia-ischemia (de Vries and Jongmans,
569 2010).

570 When all the volumetric estimates were completed, one of the patients,
571 who presented with moderate memory deficits and only 11.0% hippocampal
572 volume reduction associated with two unconfirmed episodes of prolonged seizures
573 at age 4, was excluded from the DA group.

574 Also, we excluded from the DA group a patient with temporal lobe
575 epilepsy presenting with a 25.8% hippocampal volume reduction, but without a
576 documented episode of early hypoxia-ischemia and/or status epilepticus.
577 Additionally, blinded neuroradiological examination carried out independently by
578 one of the authors (WKC) revealed that this patient's MRI scan was entirely
579 normal. The hippocampus, fornix and mammillary bodies were noted to have a
580 normal appearance in contrast to all other patients with DA.

581 We report here hippocampal volume estimates in 32 control participants
582 (16 females; mean age: 17.7 years, SD 7.7, range 8–38) and 23 patients with DA
583 (11 females; mean age: 19.5 years, SD 9.0, range 8–40).

584 ***Structural MRI***

585 Participants were either scanned with a 1.5T-MRI scanner (24 control
586 participants, 16 patients with DA) or with a 3T-MRI scanner (8 control
587 participants, 7 patients with DA).

588 Whole brain structural 1.5T-MRI scans were obtained using a Siemens
589 Avanto Scanner, with a T1-weighted 3D FLASH sequence with the following
590 parameters: repetition time 11ms; echo time 4.94ms; in-plane resolution
591 1mm×1mm; slice thickness of 1mm (Cooper et al., 2011).

592 Whole brain structural 3T-MRI scans were obtained using a 3T Siemens
593 MRI system with a 20 channel head coil. A T1-weighted magnetization-prepared
594 rapid gradient-echo (MPRAGE) scan was acquired with the following parameters:
595 in-plane resolution of 1mm×1mm; slice thickness of 1mm; repetition time of
596 2,300ms; echo time of 2.74ms (Buck et al., 2019).

597 For the measurement of regions of interest (ROI) volumes, the data were
598 reformatted into 1 mm-thick contiguous slices in the coronal plane. ROI cross-
599 sectional areas were measured in all slices along the entire length of the
600 hippocampus, entorhinal, perirhinal and parahippocampal cortices using ITK-
601 SNAP (version 3.8.0; www.itksnap.org; Yushkevich et al., 2006). Manual tracing
602 is still the gold standard for measuring hippocampal volume and is particularly
603 appropriate for samples of relatively small size (Akudjedu et al., 2018), presenting
604 with various levels of hippocampal atrophy (Schmidt et al., 2018), or including
605 children and adolescents (Herten et al., 2019). All manual measurements of the
606 ROIs were carried out by one of the authors (LJC) who remained blind to
607 participant identity.

608 The hippocampus comprises the CA fields (CA3, CA2, CA1), the dentate
609 gyrus (DG), subiculum, presubiculum, and parasubiculum (Amaral and Lavenex,
610 2007). The human uncus [also termed the “uncal portion of the hippocampal
611 formation” (Amaral et al., 1984)] is situated antero-medially and consists of
612 slightly modified DG, CA3, CA2, CA1, and subiculum (Ding and Van Hoesen,

613 2015). For the segmentation of the hippocampus and its subregions, we followed
614 the guide provided by Dalton et al. (Dalton et al., 2017). Given the difficulty to
615 distinguish hippocampal CA fields on 1.5T and 3T-MRI acquisitions, we
616 restricted our volumetric analyses to three ROIs: uncus [defined according to
617 (Ding and Van Hoesen, 2015)], CA-DG (CA fields and dentate gyrus), and
618 subicular complex (subiculum, presubiculum, and parasubiculum). The
619 segmentation of the entorhinal, perirhinal and parahippocampal cortices was
620 based on structural descriptions of human medial temporal lobe and
621 segmentations protocols (Blaizot et al., 2010; Ding and Van Hoesen, 2010;
622 Frankó et al., 2014; Insausti et al., 1998; Kivisaari et al., 2020).

623 The volumes in cubic millimeters were calculated by summing the number
624 of voxels ($1 \times 1 \times 1$ mm) for each ROI. The volume of the hippocampus as a whole
625 was calculated as the sum of hippocampal subregions' volumes (uncus, CA-DG,
626 subicular complex). We then used parametric tests as volumetric estimates of the
627 hippocampal subregions and cortical areas were normally distributed (Shapiro-
628 Wilk normality test; control: all $P > .06$; DA: all $P > .09$). There was no
629 significant difference between volumetric estimates of the whole hippocampus or
630 cortical areas obtained with the 1.5T-MRI scanner and the 3T-MRI scanner in
631 control and DA groups (two-way ANOVA, both $F < 1.3$; both $P > .25$). Also there
632 was no significant gender difference for the volumes of the whole hippocampus or
633 cortical areas in control and DA groups (both $F < 0.08$; both $P > .79$). Volume
634 estimates on 1.5T and 3T-MRI scans and volume estimates in males and females
635 were thus presented together. There was a significant right larger than left volume
636 asymmetry for the volume of the whole hippocampus in both control and DA
637 groups (repeated measures two-way ANOVA: $F_{(1,53)} = 19.2$; $P < .001$; Tukey's
638 HSD post-hoc test: both $P < .004$). The right CA-DG subregions were
639 significantly larger than the left in both control and DA groups ($F_{(1,53)} = 26.1$; $P < .001$;
640 both $P < .004$). There was no significant asymmetry for the volume of the
641 other hippocampal subregions (all $P > .05$). Also, there was no significant
642 asymmetry for the volume of the entorhinal or perirhinal cortices (all $P > .12$) but
643 there was a significant right larger than left volume asymmetry for the volume of
644 the parahippocampal cortex in the control group ($F_{(1,53)} = 7.0$; $P = .011$; Tukey's
645 HSD post-hoc test: $P = .009$). The volume of all the ROIs was calculated as the
646 mean of the left and right hemisphere measurements.

647 Intracranial volume (ICV) was manually measured on the sagittal dataset with
648 a 1-in-10 random and systematic sampling strategy. The ICV was normally
649 distributed (Shapiro-Wilk normality test; control: $P = .05$; DA: $P = .23$). The ICV
650 was 6.8% smaller in the DA group than in the control group ($t_{(53)} = -2.70$; $P = .009$).
651 Corrections, derived from the regression line of control ROI volume (V)
652 versus ICV, were then made for ICV based on the formula [$\Delta V = a \times (\Delta ICV)$] where
653 'a' is the regression coefficient.

654 **WISC/WAIS**

655 The Wechsler Adult Intelligence Scale, 3rd Ed. (WAIS-III) and the Wechsler
656 Intelligence Scale for Children, 4th Ed. (WISC-IV) provide four index scores:
657 Verbal Comprehension Index, measuring understanding and expression of verbal
658 concepts; Perceptual Reasoning Index, reflecting visuospatial perception and
659 perceptuomotor manipulation; Working Memory Index, tracking on-line
660 immediate memory and executive control; and Processing Speed Index,

661 measuring speed of visuoperceptual discrimination, and copying of number-
662 symbol associations. The four index scores are expressed as standard scores with a
663 mean of 100 and a standard deviation of 15.

664 **Scoring.** The WISC-IV or WAIS-III were administered to all patients with DA (N
665 = 23, 11 females, mean age: 19.5 years, SD: 9.0, range: 8–40) and to 23 controls
666 (13 females, mean age: 18.0 years, SD: 8.4, range: 8.2–38). For the analysis of the
667 data, we then used parametric tests as the data were normally distributed in all
668 four indexes (Shapiro–Wilk test of normality; Control: all $P > .36$; DA: all $P >$
669 $.10$).
670

671 ***The Doors and People Test***

672 The *Doors and People Test* was administered according to the instructions in the
673 published manual (Baddeley et al., 1994), as described in detail in (Adlam et al.,
674 2009; Patai et al., 2015). Briefly, for the verbal recall subtest (‘People’),
675 participants were presented with four pictures of people and after three learning
676 trials asked to recall their names cued by their profession. For the visual recall
677 subtest (‘Shapes’), participants first copied, and drew the shapes from memory
678 after three learning trials. For verbal recognition (‘Names’), participants were
679 presented with a list of names, and then asked to recognize each one from a list of
680 four alternatives. Finally, in the visual recognition subtest (‘Doors’), participants
681 viewed a list of doors and then identified each one from a list of four alternatives.
682 See Supplementary Information for more details.

683 **Scoring.** The *Doors and People Test* was administered to all patients with DA (N
684 = 23, 11 females, mean age: 19.5 years, SD: 9.0, range: 8–40) and to 21 controls
685 (11 females, mean age: 17.6 years, SD: 8.6, range: 8–38). One of the patients
686 performed all but the ‘Names’ subtest (‘People’, ‘Doors’, ‘Shapes’: N = 23;
687 ‘Names’: n = 22). Given that the DA group included individuals under the age of
688 16, which is the first age band at which standard scores are available on the *Doors*
689 *and People Test*, we used standard scores based on the scores of our control
690 group; raw scores (SD): People, 28.2 (6.9); Doors, 18.9 (3.8); Shapes, 34.6 (2.7);
691 Names, 18.6 (3.7). We converted patients’ and controls’ raw scores to z-scores
692 relative to the control group’s scores. For the analysis of the data, we then used
693 nonparametric tests as the data were not normally distributed in all four subtests
694 (Shapiro–Wilk test of normality; Control: $P < .001$; DA: $P = .044$).

695 ***The Four Mountains Test***

696 The *Four Mountains Test* was developed to examine hippocampal contribution to
697 perception and short-term memory for topographical and nonspatial information
698 in spatial scenes (Hartley et al., 2007). Briefly, this test involves computer-
699 generated landscapes containing four mountains. In the ‘Spatial Perception’ task,
700 participants selected one picture that matched the sample image (the same place
701 from a different viewpoint) from four alternatives. In the ‘Spatial Memory’ task,
702 the sample image was presented for approximately 8 sec. After a 2 sec. delay,
703 participants were presented with four choices and selected the one previously
704 viewed. See Supplementary Information for more details.

705 **Scoring.** The *Four Mountains Test* was administered to 14 patients with DA (7
706 females, mean age: 21.6 years, SD: 10.3, range: 8–40) and to 19 controls (11
707 females, mean age: 16.9 years, SD: 7.8, range: 8–35). We converted patients’ raw
708 scores to z-scores relative to the scores of our control group; raw scores (SD):

709 Perception, 11.6 (2.3); Memory, 9.95 (2.8). We then used nonparametric tests as
710 the data in the Control group were not normally distributed [Shapiro–Wilk test of
711 normality; $P < .05$].

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715

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717

718 **Competing interests**

719 The authors declare no conflict of interest.

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