Cont-ID: Detection of samples cross-contamination in viral metagenomic data

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11 Background: High Throughput sequencing (HTS) technologies completed by the bioinformatic analysis 12 of the generated data are becoming an important detection technique for virus diagnostics. They have 13 the potential to replace or complement the current PCR-based methods thanks to their improved 14 inclusivity and analytical sensitivity, as well as their overall good repeatability and reproducibility. Cross-15 contamination is a well-known phenomenon in molecular diagnostics and corresponds to the exchange 16 of genetic material between samples. Cross-contamination management was a key drawback during the 17 development of PCR-based detection and is now adequately monitored in routine diagnostics. HTS 18 technologies are facing similar difficulties due to their very high analytical sensitivity. As a single viral 19 read could be detected in millions of sequencing reads, it is mandatory to fix a detection threshold that 20 will be influenced by cross-contamination. Cross-contamination monitoring should therefore be a 21 priority when detecting viruses by HTS technologies. 22 **Results:** We present Cont-ID, a bioinformatic tool designed to check for cross-contamination by 23 analysing the relative abundance of virus sequencing reads identified in sequence metagenomic datasets 24 and their duplication between samples. It can be applied when the samples in a sequencing batch have

25 been processed in parallel in the laboratory and with at least one external alien control. Using 273 real

26 datasets, including 68 virus species from different hosts (fruit tree, plant, human) and several library

preparation protocols (Ribodepleted total RNA, small RNA and double stranded RNA), we demonstrated
 that Cont-ID classifies with high accuracy (91%) viral species detection into (true) infection or (cross)

contamination. This classification raises confidence in the detection and facilitates the downstream

30 interpretation and confirmation of the results by prioritising the virus detections that should be

- 31 confirmed.
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Conclusions: Cross-contamination between samples when detecting viruses using HTS can be monitored and highlighted by Cont-ID (provided an alien control is present). Cont-ID is based on a flexible methodology relying on the output of bioinformatics analyses of the sequencing reads and considering the contamination pattern specific to each batch of samples. The Cont-ID method is adaptable so that each laboratory can optimise it before its validation and routine use.

38 Keywords:(1)

39 Bioinformatic, virus, detection, sequencing, contamination, metagenomic

41 Background

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The advent of high-throughput sequencing (HTS) technologies coupled with the development of powerful bioinformatics approaches has improved our ability to detect viruses in a non-targeted way from any sample collected from diverse sources. Noteworthy, detecting viruses by HTS technologies relies on many steps in the laboratory: sampling, transport and storage, nucleic acid extraction, library preparation, and sequencing (1). Compared to other molecular tests like (RT)-PCR, these steps are much more numerous and complex (2).

49 The analytical sensitivity, e.g. the ability to detect viral species at very low concentration in a sample, has

50 been demonstrated to be similar to or even better than RT-PCR for animals (3) or plant viruses(4,5)). In

- addition, the inclusivity of HTS technologies, e.g. the ability to detect all isolates from a species and all species whose nucleic acids are present in enough quantity in a nucleic acid extract, is particularly high compared to any other detection test (2,6). Consequently, the use of HTS technologies is currently expanding at a rapid pace in research and is also progressively used for the diagnostic of viruses
- threatening humans (7), including SARS-Cov-2 (8), livestock (9) or plant health (10).

The broader application of HTS technologies for virus detection, with the simultaneous analysis of tens to 56 57 hundreds of samples, is raising a significant challenge that needs to be addressed: the management of 58 cross-contamination between samples. Scientists and diagnosticians already faced such challenges 59 decades ago during the development of PCR-based techniques for detecting plants (11,12) or animal 60 viruses (13,14), and this phenomenon might worsen with the use of HTS for virus detection (2). The higher 61 complexity of laboratory operations, the intrinsically very high inclusivity, and the very low limit of 62 detection (few viral reads are enough to detect the virus) of HTS make cross-contamination a more 63 pressing issue. This is a frequently observed but, until recently, rarely reported observation in many, if not 64 all, laboratories that have tested these technologies for virus detection. In many cases, these problems are frequently limited to a low number of reads and are of little consequence. Still, the specifics of the 65 66 diagnostics field, with the need to detect viruses that can be at very low titre in the sample, clearly give 67 more impact to such potential contamination problems (2). The occurrence of contamination is, therefore, 68 a key element to consider when interpreting the viruses detected in HTS datasets.

The consequences of erroneous detection due to cross-contamination between samples can be
 catastrophic, as described for tuberculosis prior to HTS (15) but also using HTS for human and plant viruses
 (16,17).

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73 So, even if the cross-contamination issue of HTS is long known and discussed in the scientific community, 74 proper methodologies and dedicated algorithms are still missing to address it. Until now, the burden of 75 detection confirmation relied on the virologist's expertise and the use of laboratory tests to independently 76 confirm the presence of the virus in the sample, which is a fastidious, costly, and time-consuming task. To 77 minimise the confirmation burden, arbitrary thresholds (like 5 or 10 reads) (4,18) have been proposed to 78 consider a detection valid. Still, these thresholds are subjectively fixed based on the sequencing/detection 79 tools or the scientist's experience. In addition, it has been shown recently that the cross-sample 80 contamination burden can be very variable between sequencing batches and that an adaptative threshold is required(5). Therefore, the need for formal bioinformatic pipelines for HTS-based data that consider the 81 82 possible cross-contamination is growing (19).

To handle cross-contamination, several laboratory protocol improvements have been implemented over time: laboratory or reagent decontamination, alternate dual indexes, inter-run washing (20,21) or, more recently, the use of alien control. An alien control is defined as "a matrix infected by a target (called alien target) which belongs to the same group as the target organism to be tested in the samples, but that cannot be present in the samples of interest." (22). It is processed as external control alongside the sample to be analysed. It is preferably the same type of matrix as the analysed samples: plant tissue, water ...

89 Ideally, the alien target, in our case a virus, should be at a high concentration in the alien sample as it

90 allows a better analysis of cross-contamination between samples. Indeed, the probability of detecting any

- 91 virus at a low level due to cross-contamination rises if this virus is very abundant in at least one of the
- 92 processed samples. A high abundance of the alien virus will therefore allow better monitoring of
- contaminations, including for other viruses highly abundant in at least one tested sample. The presence of
- 94 sequencing reads from the alien virus in any tested sample can be considered the consequence of 95 contamination from the alien control to this sample. Such information can be used to monitor the cross-96 contamination level between samples within the sequencing batch
- 96 contamination level between samples within the sequencing batch.
- 97 Many generalist bioinformatic tools, such as Kraken (23) or BLAST (24) can detect the presence of viruses 98 in HTS datasets with very high analytical sensitivity, as the detection is possible from a single viral read or 99 contig. Some of them, like VirHunter (25), VirAnnot (26) or VirusDetect (27), have been specifically
- 100 developed for that purpose. Nevertheless, they have not been designed to detect cross-contamination in
- 101 the input datasets. Instead, they will detect a virus, whatever its origin: virus infection in the biological
- sample or contamination from another sample. The risk of contamination is particularly acute for viruses
- 103 in very high abundance in one of the samples sequenced as a few contaminating reads can be detected by
- 104 the bioinformatic tools in other samples prepared in parallel. The situation's impact is growing, especially
- 105 in the diagnostic field (2), as false positive results due to contamination can lead to inaccurate data
- 106 interpretation, which can cause tremendous health and trade issues.
- 107 According to EDAM ontology (28), tools that address cross-contamination issues should be labelled as 108 "Sequence contamination filtering". We were looking for tools using EDAM terms and the usual ones (virus 109 reads contamination, cross-contamination, ...). Some tools address similar issues like contamination on 110 bacterial isolates (ConFindr- (29) or bacterial metagenome (GUNC - (30). They both use methods relying on operons organisation of genes that are not applicable for viruses. Croco (31) uses an approach mainly 111 based on bacterial quantitative data. Finally, DecontaMiner (32) can be applied to metagenome data, 112 113 including viruses but is based on a combination of detection methods (mainly mapping and Blast) that try 114 to assign the dark matter (reads from unknown origin) more than formally detecting the cross-115 contamination material. To our knowledge, there is no tool specifically addressing cross-contamination 116 during virus detection in metagenome datasets. It means that some risks of false positive results remain 117 unmonitored for virologists, and the burden of confirmation of detection in case of false positive is still not 118 addressed.
- To solve this issue, we present Cont-ID, a method designed to check sample cross-contamination for 119 viruses previously identified in metagenomic datasets. It relies on a simple requirement: every sample in 120 121 a sequencing batch should have been processed at the same time and followed the same steps in the 122 laboratory with at least one alien control as external control. Cont-ID uses a voting system to classify every 123 species prediction on each sample of the sequencing batch into (true) infection or (cross) 124 contamination. This tool will help the virologist to distinguish virus presence and virus cross-contamination 125 in HTS data improving the reliability of viral detection and the efficiency of downstream confirmation and 126 characterisation analyses. It can also help to improve feedback on upstream steps that might be linked to 127 cross-contamination events. Cont-ID is an open-source python (v3) based script method freely available 128 here: https://github.com/johrollin/viral_contamination.
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130131 Methods:

133 Implementation

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135 In viral metagenomics, detecting multiple viral species in the same sample is frequent, and a virus species

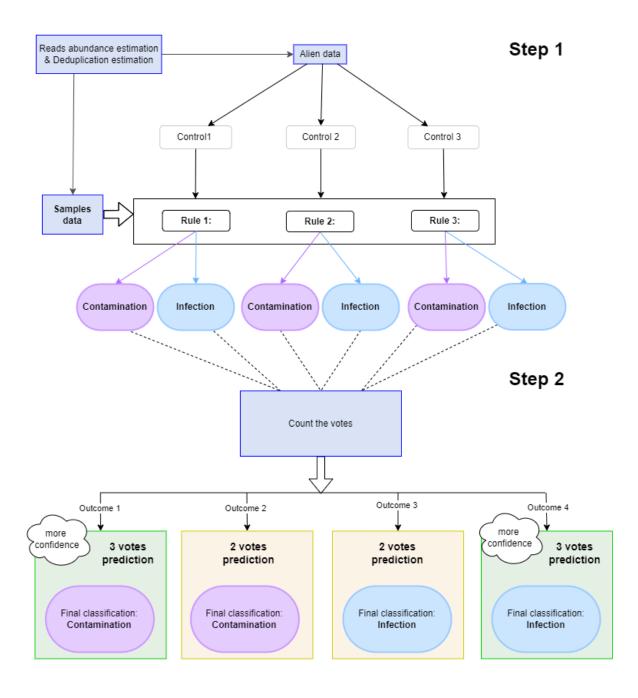
136 can be seen with different confidence levels in several samples of the same sequencing batch. Therefore,

137 Cont-ID aims to determine whether a given detected virus in a sample is likely to be a contaminant or not

- by comparing it to the results from the other samples of the same sequencing batch, e.g. samples
- 139 processed in parallel and following the same laboratory steps.

140 Cont-ID does not require any development or maintenance of database as it only relies on data generated

- by usual bioinformatics tools for HTS dataset analyses and, most specifically, two elements: (i) the
- normalised abundance estimation (number of reads assigned to each detected virus species on each
- sample) and (ii) the number of identical reads among pairs of samples (deduplication ratio). These input metrics are easy to obtain as the abundance estimation can be calculated by using any mapping tool like
- BWA (33) or a read classifier like Kraken/Bracken (23,34), and the number of identical reads from a virus
- 146 between two samples can be obtained by running any deduplication tool like BBduck (35). A tabulated file
- 147 containing these numbers associated with the detected virus name and the unique ID for each batch
- sample is used as input for Cont-ID, as shown in **Figure 2**. Each virus predicted on each batch sample is
- 149 considered a distinct element and corresponds to a line in the generated table. A separate table is
- 150 generated for the alien virus.
- 151 Computing the two elements mentioned above into three different metrics for the alien virus and each
- detected virus, Cont-ID can predict through three rules if a given viral species detection is likely a cross-
- 153 contaminant or not in the sequencing batch, as described in **Figure 1**.
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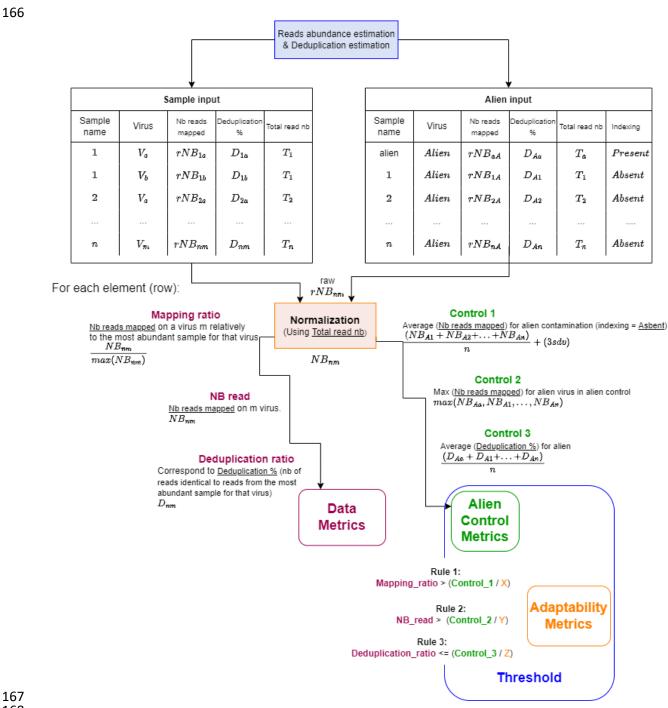
156 Figure 1: Cross-contamination prediction with Cont-ID.

- 157 There are two input files, one for the alien data and one for the samples data. Alien file is used to
- 158 calculate control thresholds which are then used along with the sample data to apply rules to a voting
- 159 system (step 1). The votes are then counted to decide for each virus on each sample (element) either if it
- 160 *is a (cross)contamination or an infection (step 2).*
- 161 The three rules classify as contamination or infection each element according to the pattern of reads
- 162 number observed among the samples and the alien control for the alien virus and the considered viral

163 species. Rules one and two both use the (normalised) reads abundance estimation, while rule three uses

164 the assessment of unique (identical) reads. Rules are calculated after normalising the number of reads

165 per sample and are described more precisely in Figure 2.



167 168

169 Figure 2: Cont-ID rules explanation.

170 There are two input files, one for the alien data and one for the samples data. Alien file is used to calculate

each alien control metric after normalisation. The sample file is used to calculate each data metric after 171

normalisation. Each alien control metric is associated with a user (manually) designed adaptability metric 172

173 (X, Y or Z) to compose each rule's threshold. Finally, each Data Metric is compared to the corresponding
174 threshold in order to obtain the three rules used in Cont-ID.

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The first rule uses the mapping ratio of each virus in each sample (corresponding to an element): the number of reads of each element is divided by the maximum number of reads of the corresponding virus in one of the samples. This first rule compares this mapping ratio for the element with the Control 1 metrics calculated for the alien virus and corresponds to the average number of reads mapped on the alien virus in the samples for which the alien is a cross-contaminant, with three times the standard deviation of this average.

182 The second rule relies on the number of reads of the element in the sample. The rule compares it with 183 Control 2, corresponding to the number of alien reads identified in the alien control. The third rule is based 184 on each element's deduplication ratio, which is compared with Control 3. The average deduplication ratio

- 185 of the alien virus reads between each tested sample and the alien control.
- 186

187 We aimed to find the most reliable formula for threshold calculation on each rule while allowing a part of 188 adaptability according to the biological system used. As the system variability can come from the 189 laboratory using HTS, the host and type of sample (fruit tree, herbaceous plant, human, animal ...), the 190 type of virus (integrated or non) or the extraction protocol used (dsRNA, total RNA, small RNA...), each 191 rule includes a third number (represented by X, Y or Z) that is called adaptability metrics (see Figure 2). 192 The X will impact the first rule that considers the relative proportion of reads of a virus in this sample 193 compared to the sample with the maximum read of this virus. This threshold is a refinement of the "alien 194 threshold" described earlier(5). The default value proposed is 2. The Y divides the number of reads from 195 the alien virus in the alien control for comparing it to the number of reads of each virus in each sample. In 196 this publication, a default value of 1,000 has been fixed for Y, and it was in the range of the expected 197 (cross) contamination ratio (number of reads in the truly infected sample versus the number of reads in 198 contamination one). The Z metric impacts Control 3 and the evaluation of the proportion of identical reads 199 between different samples. The proportion of identical reads can be influenced by different factors 200 (mutation rate, respective genome length ...). The role of Z is to consider those different factors. A default 201 value of 1.5 is proposed.

202 Default values of the three adaptability metrics have been provided in this publication after their 203 optimisation on the banana datasets and their evaluation of other datasets. Nevertheless, users can 204 independently modify them during the evaluation or validation of Cont-ID applied to their datasets. A 205 careful evaluation of the adaptability metrics by the user is recommended to evaluate their impact on the 206 diagnostic performance of the test. In addition, several sets of adaptability metrics can be run in parallel 207 for further improvements in diagnostics performance. The value given to the adaptability metrics and 208 controls resulting is always recorded in an additional log file (see Supplementary File 1 [log_file]). This log 209 file help to ensure traceability allowing the user to check the pertinence of the chosen numbers and to 210 adapt them when needed. As each of the three rules has two possible decisions (contamination or 211 infection), a majority vote will be obtained with two or three votes. The decision of each vote is available 212 in the generated result to support the result interpretation and let the user decides on the confidence to 213 give to each individual rule according to the biological system tested.

In addition, the proper quantitative comparison of sequencing reads datasets relies on normalising the number of reads per sample, for example, as always done for transcriptomic or microbiome studies. This assertion is also true for Cont-ID and corresponds to an adaptative parameter. To limit some bias due to the difference in sequencing depth between samples in the same batch, we also normalise by default to 5

218 000 000 reads in this publication. Still, it is manually changeable by the user.

Finally, Cont-ID also has another level of flexibility: the script is made to ease the change of rules in the code that can complete or replace the existing ones.

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222 Conditions of application for Cont-ID

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224 Three main conditions are essential to run Cont-ID. First, an alien control should be used, alien control 225 should contain a high concentration of the alien virus, so reads from that viral species are more prone to 226 be detected in other samples when cross-contamination occurs from the alien control to the other 227 samples. Similarly, if another virus is found in the alien control sample, that is also an indication of potential 228 contamination (although not used so far by Cont-ID). The alien control is bioinformatically processed 229 exactly as the samples of interest to generate the alien metrics for each sample (in a separate tabulated 230 file). In the absence of external alien control, it is still possible to analyse sequencing batches if they include 231 samples from different host species and some detected viruses, preferably at high abundance, are known 232 to infect only some of the host species. In such a case, the alien file should be filled with the selected virus 233 as if it was an alien (with the status of alien present/absent in the file). Nevertheless, the threshold set-up 234 and the results will be less accurate and include fewer samples (the samples corresponding to species that 235 can be hosts for the virus could not be considered). In addition, a high degree of confidence is needed 236 regarding the actual infection of the sample selected as alien control by the virus selected as an alien virus. 237 Cont-ID always requires at least one (cross) contamination in the alien file to be reported; otherwise, the 238 threshold calculation will fail; in that case, the tool will state it.

239

The second application condition is related to the processing of the samples and the alien control. The alien control and all the other samples in a given batch should have been processed together in parallel for all the laboratory steps (RNA/DNA extraction, library preparation, sequencing) and bioinformatics (Reads cleaning, host removing ...). This is a good diagnostic practice, but it is even more important here as the goal is to observe cross-contamination levels. The assumption is that the level seen with the alien represents what could have happened in samples of interest. Therefore, this assumption depends on processing all samples and control in parallel.

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The third condition is that, once the user has fixed the adaptability metrics, the analysis should be carried out batch per batch. The calculation of sample and alien metrics is dynamically done for each batch as cross-contamination patterns can strongly vary between batches, as recently shown for banana samples (5).

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254 Sequencing reads datasets

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256 The first datasets (batches A to D) were generated in our laboratory by total RNA sequencing protocol with 257 ribodepletion applied to RNA extracted from banana plants (belonging to the Musa genus) (5). These data 258 were generated to compare the test performance criteria of high throughput sequencing with classical 259 virus testing protocols that include ImmunoCapture (IC)-(RT)-PCR and electron microscopy (36). The alien 260 control corresponded to wheat plants infected by two species of barley yellow dwarf virus (BYDV-PAS and 261 BYDV-PAV)(5). In total, four sequencing datasets (called A, B, C, and D) composed respectively of 27, 20, 262 27 and 25 samples were generated independently. A fifth batch generated during this validation experiment using diluted samples for evaluating the limit of detection (analytical sensitivity) was not 263 264 included in our analysis according to the recent guidelines proposed for statistical analysis of validation 265 datasets for plant pest detection (22). A total of 10 different viral species were infecting these samples, 266 including banana mild mosaic virus (BanMMV), banana bract mosaic virus (BBrMV), banana bunchy top

virus (BBTV), cucumber mosaic virus (CMV), and five species belonging to the banana streak virus (BSV)

species complex. In addition, two other sequencing protocols were applied to some banana plants, small
 RNA sequencing (5) starting from the same RNA extract as total RNA sequencing (for 21 samples in a single

batch) and double-stranded RNA (dsRNA) enrichment and sequencing protocol (37) applied from plant

270 batch, and double stranded hits (dshifts) emelanent a 271 tissue of 13 samples in a single sequencing batch.

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Batch_ID	NB of samples	Host type	Extraction Method	Extraction kit	Library kit	Sequencing	Data link	Publication
A (1)	27	Plant (Musa)	Total RNA extraction	RNeasy Plus Mini Kit (Qiagen, Venlo, Netherlands)	Stranded Total RNA library Prep Human/Mouse/Rat illumine CA, United States) & Ribo-Zero™ Plant Leaf Kit (illumine CA, United States)	Illumina NextSeq 500 2X150	BioProject: PRJNA777477 samples starting with (1-XXX)	Wei et al., accepted.
В (2)	20	Plant (Musa)	Total RNA extraction	RNeasy Plus Mini Kit (Qiagen, Venlo, Netherlands)	Stranded Total RNA library Prep Human/Mouse/Rat illumine CA, United States) & Ribo-Zero™ Plant Leaf Kit (illumine CA, United States)	Illumina NextSeq 500 2X150	BioProject: PRJNA777477 samples starting with (2-XXX)	Wei et al., accepted.
C (3)	27	Plant (Musa)	Total RNA extraction	RNeasy Plus Mini Kit (Qiagen, Venlo, Netherlands)	Stranded Total RNA library Prep Human/Mouse/Rat illumine CA, United States) & Ribo-Zero™ Plant Leaf Kit (illumine CA, United States) & TruSeq* Stranded Total RNA Library Prep Plant (illumine CA, United States)	Illumina NextSeq 500 2X150	BioProject: PRJNA777477 samples starting with (3-XXX)	Wei et al., accepted.
D (5)	25	Plant (Musa)	Total RNA extraction	RNeasy Plus Mini Kit (Qiagen, Venlo, Netherlands)	TruSeq* Stranded Total RNA Library Prep Plant (illumine CA, United States)	Illumina NovaSeq 6000 BioProject: PRJNA777477 sa 2X150 starting with (5-XXX)		Wei et al., accepted.
E (6 sRNA)	31	Plant (Musa)	Total RNA extraction	RNeasy Plus Mini Kit (Qiagen, Venlo, Netherlands)	SMARTer smRNA-Seq Kit (Clonetech)	Illumina NovaSeq 6000 2X150	BioProject: PRJNA777477 samples starting with (1sR-XXXX)	Wei et al., accepted.
F (5 dsRNA)	9	Plant (Musa)	Double stranded RNA	see article (Armelle Marais)	NEBNext Ultra II DNA library prep kit (New England BioLabs, US)	Illumina NovaSeq 6000 2X150	BioProject: PRJNA777477 samples starting with (5ds-XXX)	Method: Marais et al., 2018 https://doi.org/10.1 007/978-1-4939- 7683-6_4
G (Queensland university of technology)	5	Plant (mix)	Total nucleic acid	Naxwen ~ Kapro Sample Concentrator instrument using SimplyRNA Tissue kit (AS1340, Promega)	TruSeq Stranded Total RNA	Illumina NovaSeq 6000 2X150	BioProject: PRJNA752836	Gauthier, ME. A.,et all https://doi.org/10.3 390/BIOLOGY11020 263
н	49	Human	Total nucleic acid + amplification	NucliSENS EasyMAG platform (bioMérieux, Marcy l'Etoile, France)	Nextera XT (Illumina, San Diego, CA, USA)	Illumina NextSeq 500 2X150	bioproject: PRJNA494633	Bal et al., 2018 https://doi.org/10.1 186/S12879-018- 3446-5
I	25	Human	Total nucleic acid	MagNAPure 96 DNA and Viral NA Small Volume Kit (Roche Diagnostics, Almere, the Netherlands)	EBNext Ultra Directional RNA Library Prep Kit for Illumina (New England Biolabs, Ipswich, MA)	Illumina HiSeq 4000 and NextSeq 500 depth: 10 million 2X150	bioproject: PRJNA560243	Boheemen et al., 2020 https://doi.org/10.1 016/J.JMOLDX.2019. 10.007
J	55	Human	Total nucleic acid	TRIzol LS reagent (Invitrogen, USA)	SMARTer® Stranded Total RNA- Seq Kit v2 - Pico Input Mammalian (Takara Bio, USA) and the Trio RNA-Seq kit (NuGEN Technologies, USA)	Illumina HiSeq 2X150	bioproject: PRJNA540900	Li, et al., 2020 https://doi.org/10.1 038/s41598-020- 60992-6

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Table 1: list of datasets used on Cont-ID

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276 BSV is a species complex (genus: Badnavirus, family: Caulimoviridae) among which five species were 277 included in our samples: banana streak CA virus (BSCAV), banana Goldfinger virus (BSGFV), banana streak 278 IM virus (BSIMV), banana streak Mysore virus (BSMYV), and banana streak OL virus (BSOLV). Notably, some 279 species of this complex have their genome fully or partially integrated into the plant genome as 280 endogenous viral elements (EVE), most specifically in B genomes originating from *M. balbisiana*. These 281 EVE can be transcribed in the plant, and for some BSV species, they can even trigger an infection with viral 282 particles of BSV in the plant (38). It is well documented that BSGFV, BSIMV and BSOLV are constitutive of 283 Musa balbisiana (B genome) but can be activated in some conditions (39). In addition, BSMyV is also 284 integrated into the Musa B genome, although the ability to produce infectious viral particles is not yet 285 demonstrated. This brings additional complexity as EVE can be transcribed without the presence of a viral

particle. It has been recently demonstrated that the detection of BSV transcripts by HTS tests must be
 confirmed by an independent test such as immunocapture (IC)-PCR for confirming the presence of viral
 particles (5).

289 The other datasets used in this work came from publicly available datasets listed in **Table 1** and were 290 already included in peer-review publications. They were selected because they fit two criteria: (i) having 291 all virus presence checked in all the samples and (ii) having a virus species that could act like an alien 292 control for the input file. First, another set generated to detect viruses from diverse plant samples by high 293 throughput sequencing of total RNA extraction was kindly provided by Queensland University of 294 Technology (17), corresponding to a total of 19 plant viruses and viroid in 5 samples. In addition, the 295 datasets generated from human samples came from published data from 3 different sources, with a total 296 of 129 samples containing 39 viral species (40–42). These three human datasets allowed us to test Cont-297 ID with a large diversity of viruses, with different extraction and sequencing methods listed in 298 Supplementary File 2.

299

300 In total, ten sequencing batches, including 273 samples and the presence of 68 viral species, were used to

test the potential impact of a different host, extraction, and sequencing method on Cont-ID performances.

- All the data generated are available with the link and procedure applied to obtain them described in **Table**
- **1**; the indexing status of each virus in each sample is also available in Supplementary File 2.

304

305 **Bioinformatic analyses**

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307 Quality control and mapping of sequencing reads

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For all datasets, read quality control (quality trimming, reads deduplication) was performed using a standard procedure described elsewhere (5). The cleaned reads were then mapped to a custom-built database (DB) containing all complete genome sequences from previously detected viruses in the datasets. For banana samples, all the complete genome sequences of the viruses were downloaded from NCBI nt database on (12/12/2020) to serve as mapping DB. While the BYDV reference (KU170668 – for the alien control) was selected as it was the closest sequence from our isolate. More information on the composition of each mapping DB is available elsewhere (5).

316 The reads were mapped on the custom DB using Geneious mapper (Prime 2020.0.5, Biomatters). First, the 317 profile parameters "Low sensitivity / Fastest" were selected (with 20% mismatch and a maximum of 3 318 nucleotides gap allowed). To improve the results by aligning reads to each other in addition to the 319 reference sequence, the fine-tuning for mapping was set to "Iterate 2 times". The "multiple best matches" 320 option was set to "Randomly" (no multiple best matches between two different viruses were observed in 321 any sample processed). In the coming result section, we will refer to these parameters as "relax". A second 322 mapping referred to later on as "strict" was carried out using the same parameters except for the 323 mismatch allowance that was lower than 10%. Only the second mapping was carried out for small RNA 324 (20% mismatch is too much for small RNA). Indeed, using mismatches up to 20% should allow better 325 inclusivity of the analysis by mapping reads from isolates that can be genetically distant from the reference 326 sequences, especially if few reference genomes are available in the literature. Mapping with a strict 327 parameter was done to use small RNA and confirm this hypothesis. The tolerance of mismatches of 20% 328 is also close to many ICTV demarcation criteria to distinguish two different species (although these criteria

329 are often considered for only one or a few genes and might vary between families). Another test with 330 more relaxed parameters would increase the risk of adding non-specific reads (e.i. not generated from the 331 viral genomes) and was not considered.

332

Deduplication of identical reads between samples

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335 To investigate cross-contamination between samples, additional deduplication of identical reads between 336 samples was performed using dedupe V38.37 (from BBMap) embedded in Geneious (Prime 2020.0.5, 337 Biomatters) and with the parameters kmer seed length, maximum edit, and maximum substitutions set as 338 "31", "0", and "0", respectively. For each virus and sample, the mapped reads from each tested sample 339 and the sample with the highest number of mapped reads in the batch were grouped into a single pool 340 (using "Group sequences into a list" in Geneious) and deduplicated. The deduplication percentage equalled 341 the number of reads removed as duplicates divided by the lower number of reads between the two tested 342 samples. The deduplication percentage was not calculated on samples if less than 5 reads were mapped 343 to target viruses. For those samples, the rule (number three) automatically votes contamination. While for 344 the samples with the highest number of reads for a given virus, the deduplication ratio was set as reference 345 (i.e. "RF"), and the vote for rule three is infection.

346 **Confusion matrix and performance criteria calculation**

347

348 We used a confusion matrix for each batch's results to have standard metrics for comparing batches and 349 samples. We compared the tool prediction for each element to the indexing status of the dataset

assimilating infection as a positive result and contamination as a negative result, as explained in **Table 2**.

351 **A**

Cont-ID confus	ion motrix	Pre	diction
Cont-ID contus	ion matrix	Infection (Positive)	Contamination (Negative)
Indexing status	Infection	True Positive (TP)	False Negative (FN)
Indexing status	Contamination	False Positive (FP)	True Negative (TN)

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В

Diagnostic sensitivity (DSE)	TP/(TP+FN)
Diagnostic specificity (DSP)	TN/(TN+FP)
False omission rate (FOR)	FN/(FN+TN)
False discovery rate (FDR)	FP/(FP+TP)
Accuracy	(TP+TN)/(TP+TN+FP+FN)

Table 2: (A) Confusion matrix based on Cont-ID results. (B) The formula is used to calculate tool performance criteria.

355

356 Based on the confusion matrix, we have four possibilities after a prediction: False Positive (FP) when the

tool wrongly predicted an infection, True Positive (TP) when the tool correctly predicted an infection,

358 False Negative (FN) when the tool wrongly predicted contamination and True Negative (TN) when the

- 359 tool correctly predicted contamination. In addition, we calculated several performance criteria
- 360 commonly used in diagnostics to evaluate our tool. To calculate those performance criteria
- automatically, we used an automated script available on the same GitHub
- 362 (https://github.com/johrollin/Cont ID/tree/master/further analysis).
- 363

364 **<u>Results</u>**

365

We used Cont-ID on ten metagenomic datasets, including a total of 273 samples, as a proof of concept (see details in method). These datasets covered a broad range of use for Cont-ID as they were generated from plant or human samples according to three library preparation protocols (total RNA, small RNA and double stranded RNA).

370

371 Set up adaptability metrics datasets on the banana datasets

372

When applying for the first time Cont-ID on banana datasets generated from reference samples with known viral status, the first objective was to determine the most appropriate values for the adaptability metrics (X, Y and Z), allowing to minimise both FP (over-prediction of infection) and FN (over-prediction of contamination). This was particularly complex as raising the value of an adaptability metric could lead to an over-prediction of either contamination or infection by the rule, while lowering it had the opposite effect.

379

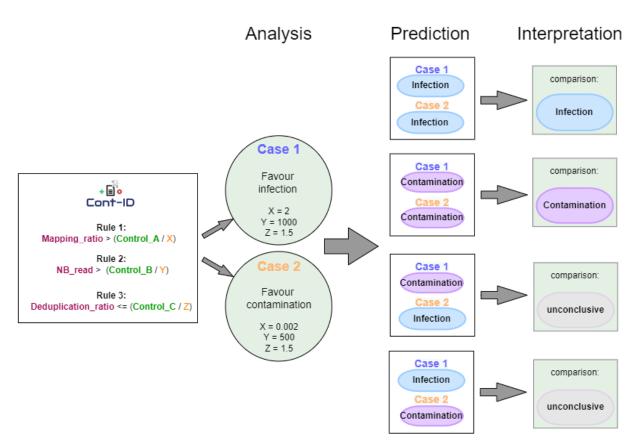


Figure 3: Cont-ID prediction when using the two default cases

382

During the set-up of the method, we looked for the most adapted set of values to balance our rule prediction on *Musa* datasets A, B and C. We tested several ranges of values aiming at limiting both wrong predictions (FP and FN). The optimised single set of values maintaining FP and FN low in the three datasets was not found. Indeed, variability was observed between batches, as any set limiting FP and FN in one or several batches was not optimal for the other batch(es).

388 Indeed, the uneven proportion and pattern of cross-contaminations observed in different sequencing 389 batches made it very difficult to decide on a unique set of values. Instead, it seemed more efficient to 390 apply two different sets of values (called "case 1" and "case 2" further on) that favoured the prediction of 391 either true infection (TP - case 1) or true contamination (TN - case 2) from the same datasets. The 392 combination of the prediction from both cases would give additional information for interpretation. We 393 proposed values that gave the best performance criteria on our training datasets on bananas, and the 394 purpose of the diagnostic test was to minimise the risk of false negatives (priority 1) while keeping the 395 confirmation burden manageable (priority 2). Importantly, those sets of values can be manually adapted 396 by the user to improve one or several performance criteria of the test, to better fit the purpose of the HTS 397 tests carried out and its associated risks (risk of false positive or false negative) or to limit the "grey zone" 398 of inconclusive results (see under).

399 Therefore, we propose to run Cont-ID with two sets of adaptability metrics every time to compare the 400 results. Therefore, a high level of confidence is reached for the elements with identical predictions 401 between both cases. The combination can also highlight elements for which the prediction changed; they 402 correspond to the "grey zone" with metrics of abundance and/or duplication close to thresholds. In such 403 cases, the automated prediction might not be accurate. At this stage, it is mandatory to carry on additional 404 verification, such as checking the confidence (2 or 3 votes) for each prediction or comparing the threshold 405 numbers (also provided in the result) with the sample metrics. Cont-ID provides the list of votes for each 406 rule in each case to facilitate this additional verification. Then according to the additional information and 407 the test's purpose, the user can decide on the status (infection or contamination) or keep it inconclusive 408 but decide to test the virus presence independently by another test. For the presentation of the result, 409 the result is mentioned as "inconclusive" when both cases disagree.

410

411 Evaluation of the method accuracy on banana samples

412

413 Based on the results obtained with the two sets of adaptability metrics, the tool predictions were 414 compared with the biological status of each reference banana sample (batches A, B, C and D 415 Supplementary File 2), allowing us to predict the cross-contamination on the four tested batches with an 416 average accuracy of 90%, excluding 23% of elements classified as "inconclusive" (see table 3A).

		A	- Relax	k mappin	ig	B - Strict mapping				
Batch			В	С	D	Α	В	С	D	
Sequencing method			Tota	lRNA			То	talRNA		
Total element tested		105	93	143	128	76	65	93	68	
Expected Infection/Contamination		28/77	14/79	34/109	19/109	28/48	14/51	34/59	17/51	
	3 votes accuracy	91%	87%	86%	90%	100%	92%	94%	87%	
Case 1	2 votes accuracy	60%	60%	45%	80%	69%	62%	46%	69%	
	overall accuracy	73%	67%	66%	85%	78%	68%	62%	75%	
Case 2 3 votes accuracy		99%	95%	100%	96%	95%	92%	100%	94%	

	2 votes accuracy	58%	69%	87%	67%	70%	59%	64%	44%
	overall accuracy	85%	85%	93%	91%	83%	78%	80%	82%
	accuracy %	88%	82%	94%	95%	82%	78%	81%	90%
	inconclusive %	23%	20%	33%	16%	5%	17%	32%	28%
Cases	correct prediction	71	61	90	103	59	42	51	44
combination	wrong prediction	10	13	6	5	13	12	12	5
	inconclusive (occurrence)	24	19	47	20	4	11	30	19

418

Table 3: Percentage of the accuracy of case 1 and case 2 analysed alone or in combination on banana samples sequenced by ribodepleted totalRNA sequencing. Each case is presented with the proportion of correct or wrong predictions according to the number of votes obtained (2 or 3). The percentage is given by three votes confidence count only the result with three votes while the overall accuracy aggregates the 2 and 3 vote results. When combining results from both cases, the percentage of inconclusive results and the number of correct or wrong predictions are stated. Two different mapping parameters were tested, allowing respectively 20% of mismatches (part A) or 10% of mismatches (part B)

426

427 The predictions with the three votes using default mapping parameters ("relax mapping") are very 428 trustworthy as the accuracy is higher than 86% and 95% for cases 1 and 2, respectively. These promising 429 results are obtained on the fraction of the elements representing 25-50% and 48-84% for case 1 and case 430 2, respectively. The remaining elements are classified with two votes (more information is available in 431 Supplementary File 3). The prediction accuracy with two votes is much lower, whatever the case. So, 432 knowing the number of votes obtained by each element is crucial when the results need to be interpreted 433 (and this number is always given in the report generated by Cont-ID). For case 1, most elements were 434 predicted with two votes meaning that one of the (three) rules had the opposite prediction, which might 435 explain why the accuracy was lower. While for case 2, the majority of the elements were predicted with 436 three votes. The explanation is probably in the "expected Infection/contamination" row in Table 3A: for 437 all batches, there is more contamination than infection (from 28 infections for 77 contaminations - batch 438 A to only 19 infections for 109 contaminations - batch D). As stated above in the text and Figure 3, Case 2 439 is designed to favour contamination detection at the expense of infections occurring at a low 440 concentration that tend to be considered contamination (FN). Nevertheless, as a direct consequence, true 441 contamination (TN) detection is high (see confusion matrix in Supplementary File 3).

Overall, case 2 presented a higher accuracy (85-91% relax mapping) than case 1 (66-85%), while the combination of the two cases reached a similar one (82-95 % relax mapping). Those good results from combination accuracy mean that very few predictions are wrong (5 – 13) in both cases, but 16-33% of elements are not counted in the accuracy percentage because they are inconclusive. The combination's importance relies on maintaining a high accuracy while highlighting the inconclusive prediction to prioritise them for manual expertise.

448

The mapping parameters impacted the input files and the Cont-ID performance

451

452 In **Table 3**, we explored the impact on the prediction of two levels of mismatch tolerance (20% and 10%) 453 when mapping the sequencing reads on the viral genome DB. The goal was to explore if changing a

454 parameter from the primary bioinformatics step delivering the input files of Cont-ID could have an impact 455 on the prediction. Strict mapping tends to lower the total number of elements tested due to a decrease in 456 the number of samples for which we have very few reads mapped to a candidate virus. Cont-ID has more 457 samples to process with a relaxed mapping, which should be better for threshold calculation. Logically, the 458 elements lost by the strict mapping parameter should be predicted as "contamination" and present a 459 relatively low number of reads. Indeed, those elements are most likely more distant reads (between 20 460 and 10 % mismatch with the reference genome) mapped on the virus. They could correspond to non-viral 461 reads wrongly mapped in datasets from samples tested negative by classical indexing. For example, for 462 batch B, on the 23 differential mapping results, the number of reads mapped ranged from 1 to 10. Of those 463 23 elements, 21 are classified as "contamination" the two remaining are labelled inconclusive. In batch C, 464 there are 50 differential elements between the two parameters, with 37 correct (13 from BSV), 11 465 inconclusive (10 from BSV) and 2 wrong (2 from BSV) classified elements. In total, 25 elements (on the 50 466 - batch C) are from the non-integrated virus, of which 24 are labelled contamination (one inconclusive). 467 The separation between integrated and non-integrated viruses is explained in Table 4 and another 468 publication (5).

469 Using the relaxed mapping parameter seems beneficial for prediction as the accuracy is better (82-95% 470 relax, 78-90% strict). Moreover, thanks to the combination strategy, we can focus on the proportion of 471 inconclusive; it is uneven with an important increase, 5% (strict) to 23% (relax) for batch A, while in batch 472 D, it decreases from 28% to 16 %. However, when we look closely at the accuracy improvement, most 473 comes from differential elements (present only with relax) that are 'obvious' contamination with few 474 reads. So, most of the accuracy improvement did not come from very informative elements, except in 475 some rare occurrences where it helped classify well elements in relax parameters that were inconclusive 476 with strict or classified inconclusive elements in relax that were wrong with strict parameters. As an 477 example, in batch C, on the 24 elements for BanMMV, BBRMV, BBTV and CMV common in both conditions 478 (relax and strict), elements prediction is improved (from inconclusive [strict] to correct [relax]) for three of 479 them (sample 3B1, 3B2 and 3B14 with BanMMV). 480 There is, therefore, a slight improvement with relaxed mapping parameters, and we set these parameters 481 by default to generate the input files. Indeed, with the relaxed parameters, the number of reads for each 482 element (including alien) increases along the rise of the number of elements in the batch. This means that

we change the rule's threshold (see Figure 2), which is critical for the threshold calculation in a way that
seems more representative of reality than strict mapping. In these batches, some element metrics are very
close to the threshold used for the rules and slightly changing those metrics or the alien metrics (the alien
control metrics are obviously changed by the mapping parameters) can modify the prediction.

487 As we did not know the divergence of the virus genomes between different samples and the reference 488 genomes, it seemed more logical to use relaxed mapping parameters by default. According to the virus 489 system the user is working on and the ICTV demarcation criteria that go with it, these parameters should 490 or could be adapted.

491

492 The virus biology can impact Cont-ID performance: the case of virus

integration in the host genome

	A-		integra Virus	ted	B- 1	Integra	ated Vi	rus (BSV)
Batch	Α	В	С	D	А	В	С	D
Sequencing method		Tota	IRNA			Т	otalRNA	

	Total el	ement tested	40	31	51	55	65	62	92	73
		xpected Contamination	19/21	9/22	22/29	10/45	9/56	5/57	12/80	9/64
		3 votes accuracy	100%	91%	96%	100%	82%	83%	68%	54%
	Case 1	2 votes accuracy	94%	70%	75%	100%	47%	56%	43%	78%
		overall accuracy	98%	77%	94%	100%	58%	61%	50%	74%
		3 votes accuracy	100%	85%	100%	100%	97%	100%	100%	93%
Relax	Case 2	2 votes accuracy	50%	91%	82%	67%	62%	58%	89%	67%
mapping		overall accuracy	88%	87%	92%	96%	83%	84%	93%	88%
		accuracy %	100%	88%	96%	100%	79%	79%	92%	91%
		inconclusive %	15%	16%	6%	4%	28%	23%	48%	25%
	Cases combination	correct prediction	34	23	46	53	37	38	44	50
		wrong prediction	0	3	2	0	10	10	4	5
		inconclusive (occurrence)	6	5	3	2	18	14	44	18

495

Table 4: Percentage of the accuracy of case 1 and case 2 analysed alone or in combination on banana samples sequenced by ribodepleted totalRNA sequencing with relaxed mapping parameters. Each case is presented with the proportion of correct or wrong predictions according to the number of votes obtained (2 or 3). The percentage given by three votes confidence count only the result with three votes while the overall accuracy aggregates the 2 and 3 vote results. When combining results from both cases, the percentage of inconclusive results and the number of correct or wrong predictions are stated. Two types of viruses were tested, Non-integrated virus (part A) or integrated virus (part B).

503

To highlight the potential impact of the virus biology on the results of Cont-ID, the analysis of banana batches was split between integrated and non-integrated viruses. Indeed, several species of BSV are integrated into its host genome, which complicates the reliable detection of BSV infection from sequencing datasets. Consequently, it has been recommended to confirm any detection of BSV reads by an independent PCR test combined with immunocapture of viral particles (5).

Table 4 shows better accuracy and a lower proportion of inconclusive results for non-integrated viruses compared to BSV. More elements with contamination status are obtained when looking for BSV than nonintegrated viral species. This over-representation of contaminants might be caused by the transcription of integrated sequences of BSV even without viral particles, which will raise the number of detected reads. These are two points that reduced the efficiency of our method on BSV and, by extension, might also

514 concern any other viral species integrated into the host genome and able to produce transcripts.

515 The global accuracy is lower for BSV species (79-92%) compared to the other viruses (88-100%), even if 516 the maximum accuracy obtained with batch C (92%) was high. In addition, the proportion of inconclusive 517 results should also be considered, and this proportion was much higher for BSV (23-45%) than for the other 518 viruses (4-16%). So, the overall performance of Cont-ID is lower when applied on BSV and did not solve the issues of appropriate detection in sequencing data of viral infection from viruses integrated into the 519 520 plant genome. Consequently, BSGFV, BSIMV, BSMYV, and BSOLV, which correspond to different but closely 521 related species of Banana streak virus (BSV) integrated into the Musa genome, were excluded from the 522 calculation of performance criteria for the banana datasets. BSCAV was also excluded (despite not being 523 integrated) because of its similarity with other BSV species.

524

525 **Performance of Cont-ID on diverse datasets**

526 The performance of Cont-ID using the two cases was further evaluated while diversifying the hosts (fruit

527 trees, grasses, humans) and the sequencing protocols (total RNA, small RNA, dsRNA).

528

]	Batch	Α	B	С	D	E	F	G	Н	Ι	J	
(Ba	nana (o	own seo	quencing)		Plant Mix (Gauthier et al., 2022)	Human	Human (Boheemen et al 2019)	Human (Li et al 2020)		
Sequen	cing method		Total	RNA		SmallRNA	dsRNA		Tota	alRNA		Average
Total e	lement tested	40	31	51	55	20	12	51	112	62	206	64
	Expected Infection/Contamination		9/22	22/29	10/45	19/1	5/7	18/33	37/75	25/37	50/156	
	3 votes accuracy	100%	91%	96%	100%	100%	100%	100%	96%	100%	90%	97%
Case 1	2 votes accuracy	94%	70%	75%	100%	36%	67%	86%	71%	54%	63%	72%
	overall accuracy	98%	77%	94%	100%	55%	92%	92%	87%	73%	77%	84%
	3 votes accuracy	100%	85%	100%	100%	33%	100%	97%	92%	92%	95%	89%
Case 2	2 votes accuracy	50%	91%	82%	67%	63%	50%	92%	68%	84%	78%	72%
	overall accuracy	88%	87%	92%	96%	45%	92%	96%	86%	89%	87%	86%
	accuracy	100%	88%	96%	100%	50%	100%	98%	93%	93%	92%	91%
	inconclusive %	15%	16%	6%	4%	20%	17%	8%	15%	29%	24%	15%
Cases combination	correct prediction	34	23	46	53	8	10	46	88	41	144	49,3
	wrong prediction	0	3	2	0	8	0	1	7	3	12	3,6
	inconclusive (occurrence)	6	5	3	2	4	2	4	17	18	50	14,4

529 530

531 Table 5:

532 Percentage of the accuracy of case 1 and case 2 analysed alone or in combination from sequencing with 533 relaxed mapping parameters (except for small RNA). Each case is presented with the proportion of correct

or wrong predictions according to the number of votes obtained (2 or 3). The percentage given by three votes confidence count only the result with three votes while the overall accuracy aggregates the 2 and 3 vote results. When combining results from both cases, the percentage of inconclusive results and the number of correct or wrong predictions are stated. Several virus datasets were tested, banana samples

538 (only non-BSV viruses are considered), a mix of plants, and human datasets.

539

540 **Table 5** shows the method's accuracy on all datasets with relaxed mapping parameters (except for small 541 RNA, see method). Overall, the accuracy of Cont-ID was 94%, with 15% of inconclusive results. The sRNA 542 dataset provides a poor accuracy (50%) with 20% inconclusive; this can be explained by the (almost) 543 absence of contamination (Expected Infection/Contamination 19/1) by the low level of reads found (see Supplementary Files 2 & 3 for more information). Apart from small RNA, the worst accuracy (88%) has 544 545 been obtained from the batch B sequencing dataset of banana. Noteworthy, this protocol was 546 independently evaluated for virus testing in banana, but its performance for virus detection was much 547 lower than total RNA sequencing (5). The accuracy calculated from the single batch of dsRNA, with only 9 548 samples and 12 elements, was 100%. Even if not enough representative dataset was used for dsRNA, the 549 method accuracy seems not too far from what we obtained in Total RNA, indicating that, Cont-ID is 550 independent of the extraction method. On Total RNA, for banana samples, the accuracy ranged from 88% 551 to 100%, with 4% to 15% of inconclusive results. The accuracy of the plant mix (G) was also very high (98%), 552 with 8% of inconclusive results. On human datasets, the accuracy remained high (92-93%), but the

inconclusive results reached up to 15 - 29%. Overall, the application of Cont-ID on human datasets reached
 similar performance in accuracy; the slightly worse inconclusive metrics can be explained by the fact that
 the adaptability metrics might not be the best ones for the human dataset and underlined the importance
 given at Cont-ID for the flexible adaptation of metrics and parameters.

For most of the datasets, case 1 performed worse than case 2, probably due to the design of the case metrics (see **Figure 2**), where case 1 values were determined to favour infection. The expected infection/contamination ratio showed that for all the datasets but E (small RNA), there was a lot more contamination than infection; therefore, case 1 overpredicted infection, lowering its accuracy. In the E dataset, case 1 (55%) performed better than case 2 (45%) as expected; it is also the case for the human dataset H (97% case 1, 96% case 2), even if the ratio (37/75) leans toward contamination.

563

Those results indicated that Cont-ID performed well in classifying cross-contamination in very different virus-host systems, even if some adjustments may be needed in some cases in the future. The different levels of flexibility of Cont-ID made such adjustments possible. To provide an example of analysis, all the information regarding batch C from the input file to the analysis file (including raw results) is available in Supplementary File 3.

569

570 **Discussion**

571

572 Despite significant efforts to limit cross-contamination (dual indexes, inter-run washing ...), this still 573 represent a concern and the appropriate distinction between low-level infection, and cross-sample 574 contamination is crucial for the large-scale development of HTS technologies as a diagnostic test. 575 Furthermore, it should be adequately managed because identifying and monitoring the cross-576 contaminations improves the detection results' reliability. In other words, it can help to find the source of 577 contamination in the laboratory, take appropriate measures to minimise it, and raise confidence in the 578 detected viruses.

579 This publication improved a preliminary work on determining an adaptative contamination threshold for 580 the detection of plant viruses (5), which uses the maximal number of alien virus reads contaminating a 581 sample as the threshold of detection for each sequencing batch. So, instead of using a fixed number for 582 the contamination threshold as done in the literature, the threshold is adapted to the level of 583 contamination monitored in the batch thanks to the alien control. The former publication used a single 584 threshold corresponding to the maximum number of alien virus reads in a sample. Some limitations of this 585 previous threshold, for example, overestimating contamination when viral reads are in low number for a 586 virus, underlined the need for improvements. This was achieved with Cont-ID through the definition of 587 multiple formal rules, the automation of calculation and the ability to adapt the thresholds and rules by 588 the user. The tool's prediction relies on basic and usual information generated by bioinformatics analysis 589 of sequencing data (mapping and duplication numbers) and the use of external alien control. The criteria 590 based on reads (relative) abundance of each virus in each sample and the (approximation of) number of 591 identical reads for a virus between samples performed well while being relatively easy to generate. Our 592 objective with this tool was to show that exploring data generated by standard bioinformatic procedures 593 can facilitate the identification of cross-contamination between samples.

594 Cont-ID discriminated virus infection and cross-contamination between samples with a global accuracy of 595 91 % (median=95%) on the diverse range of datasets included in its evaluation. The diversity of situations 596 included viral species belonging to diverse viral families with cellular hosts belonging to plant or animal 597 kingdoms and three different library preparation protocols. Importantly, the default values of adaptability 598 metrics determined from banana dataset predicted cross-contamination with high accuracy (96%, on 599 banana excluding small RNA) and remain high even on human datasets (94%). To further help the user in

600 the analysis, we provide the detailed votes prediction in the result file (see Supplementary File 3). This is, 601 therefore, a solid basis for the diagnostician to check the level of confidence in the generated results. 602 Indeed, each prediction made by the method uses at least two rules to determine the classification of the 603 element for each case. A prediction with three votes is more confident than with two votes. But all 604 predictions with two votes do not provide the same confidence as it depends on which rules predicted 605 what. Of our three rules, two rely more or less directly on abundance estimation, which means that when 606 that metric is not obtainable in a reliable way, the tools' predictions will be impacted, and predictions with 607 those rules might be less confident. On the other hand, rule three (deduplication ratio) is less effective 608 when the read numbers are low. Depending on the scenario, the user should consider the relative 609 confidence of each rule when trying to confirm Cont-ID prediction. This underlines again the importance 610 of proper interpretation of the obtained results based on the virus biology

611

612 The prediction quality depends on the input data quality, meaning that the deduplication and mapping 613 parameters are essential and should be carefully considered while evaluating their impact on the results. 614 For example, some deduplication tools remove reads if a (small) read is contained in another (larger) read; 615 having that option active or not will significantly impact the deduplication ratio. As shown in the results, 616 mismatch parameters are very impactful for the mapping. Considerations like ICTV demarcation criteria 617 or what parameters the biologist would use to reconstruct the whole viral genome are helpful in deciding 618 the ones to use for Cont-ID input. In that regard, testing and expertise in bioinformatics analysis are heavily 619 beneficial. Here, the 20% mismatch parameter performed well; it might be different in other datasets (viral 620 composition) configurations or when working with databases containing many reference genomes. 621 Indeed, independently of the mismatch parameters used, using more genome references for each 622 expected species could also improve the ability to detect sequences from distant isolates by better 623 covering the genetic diversity of the virus.

The biology of the virus should also be considered, as shown by the results obtained with viruses with 624 625 functionally integrated genomes in the host, like BSV species. Our conclusion is that they should be 626 considered independently from the non-integrated viruses. It was challenging to extract a reliable metric 627 for BSV as the differentiation between reads from integrated genomes and reads from viral particles is 628 impossible. Indeed, the biology of viruses integrated into the host genome differs from non-integrated 629 viruses, as viral genome transcription can happen without viral particle production. We have not tested 630 our method on species with different biological behaviour like viroids or phages. But optimisation of the 631 adaptative metrics might likely be required in order the use Cont-ID with high accuracy. Viroid genomes 632 are generally smaller than viruses, while the phage genome tends to be much larger and has specific 633 biological features. For example, a different level of identical reads and abundance (calculation based on 634 reads number) could be obtained between the different scales of genome size.

For these reasons, Cont-ID allows the evaluation of other values for adaptability metrics (X, Y, Z) by each user to adapt the tool and optimise its diagnostic performance depending on the biological matrix, the protocol and the purpose of the test. Independently, the user can also adapt the metrics to reach the appropriate balance between FN and FP by deciding if, for the purpose of the test and the available resource for confirming detection, it is preferable to be overpredicting contamination to be confident that all the virus detection remaining are true infection or the opposite (overpredicting infection to be sure not to miss any).

- 642 In our tests, the analysis of the wrong predictions showed that none of the proposed rules (and
- 643 adaptability metrics values) allowed us to reach satisfactory accuracy with a proper balance between FN
- and FP (see Supplementary File 1). We have observed that using two sets of adaptability metrics (one to
- 645 favour contamination and the other, infection prediction) gave a higher accuracy. In a real scenario (with

646 infection status not known for the samples), it is difficult to know if HTS virus detection (at low

647 concentration) is in the majority due to true infection or cross-contamination. The two-case strategy

allows the biologist to predict both scenarios with at least one case accurately. Indeed, if the expected

ratio of infection/contamination is unknown, the relative performance of cases 1 or 2 will be unknown,

so it seems preferable to use the combination results instead of the individual.

651 If both cases agree, the assumption is that the prediction is correct. Nevertheless, combining the results 652 will provide a list of interesting inconclusive results. Each inconclusive result means that the two cases

653 delivered opposite predictions. Therefore, the scientist should address those results when analysing

654 Cont-ID prediction by checking the number of rules for each prediction, for example, knowing if 2 or 3

rules agreed and checking the results of each rule : How close to the threshold was the read

abundance/ratio and/or the duplication rate? Spotting the few errors that may occur requires excellent

657 manual expertise as the usual manual verification methods may also indicate the wrong decision (if there

are many reads from cross-contamination, the mapping results can be wrongly positive while the and/or

the (RT)-PCR can also be wrongly positive if the contamination occurred at an early stage and the (RT)-

660 PCR was carried out on the same nucleic acids extract). Other information about the virus-plant

661 interaction should be considered, like virus-species-cultivar compatibility or geographical virus

distribution (see investigation on unexpected viruses (5)).

663

664 Cont-ID also presents some limitations that need to be discussed. First, the number of identical reads 665 estimation comes from the deduplication procedure, which is an approximation, and that can be a problem 666 because it can consider the non-specific reads (reads that are not coming from cross-contamination but 667 that are identical to another sample from a common area of the genome) as identical to the probable 668 source of contamination by mistake. Indeed, this can be the case if, for example, two samples are infected 669 by the same virus isolate at very different concentrations. The presence of duplicated reads might suggest 670 contamination instead of a low-level infection. The risk of such an extreme situation is limited using two 671 other rules, although interpreting the data will require good expertise in virus genomic variability and 672 detailed information on the sample origins and virus prevalence and diversity.

673 In addition, the duplication metric assumes that contamination (if any) comes from the sample with the 674 highest number of reads. This theory seems logical since the more reads in a sample, the higher the 675 probability of detecting a few reads from it contaminating other samples (potential of contamination). Nevertheless, it can create a bias when a virus is highly abundant in two (or more) samples and detected 676 677 with a low frequency in others. In that case, it is difficult to determine the true origin of cross-678 contamination. Such a case could be a fundamental limit of our current method. If several samples with a 679 very high abundance of reads are present in a batch, as developed here, Cont-ID should be applied as many 680 times as the number of highly abundant samples. Ideally, Cont-ID should include the read duplication 681 comparison of each sample to all other samples for a virus, but this can raise additional issues (like 682 contamination from several origins at the same time), and, at this stage, it was not implemented.

683 We must also keep in mind that the relative quantity of genetic material between samples might change 684 because the biologist normalises the quantity of DNA/RNA at two steps of the process: before starting 685 library preparation and during the pooling of the prepared libraries. Meaning that the differential in 686 genomic material concentration (potential of contamination of a sample) is resettled. If cross-687 contamination happens before that step, it can cause less accurate predictions from Cont-ID. This bias in 688 the estimation of abundance is another limitation of our method.

Using an (alien) control helps to know the expected level of contamination but is also impacted by the limit
 of detection inherent to the standard bioinformatic procedures. Indeed, working with very few reads for
 some viruses makes some analyses impossible when below their detection limit. For example, the

calculation of the duplication rate below a minimal number of reads (in this study, we chose 5) of a virusdid not make sense. The limit of calculation of the input metrics is another limitation of Cont-ID.

694

695 Cont-ID accuracy was high, but additional improvements can probably be explored. For example, by 696 exploiting the ability of other metrics generated during bio-informatic analyses (like RKPM, genome 697 coverage percentage, relative coverage depth repartition, ...) to help detect contamination. In fact, some 698 of these metrics with several thresholds were tested for Cont-ID before selecting the three rules described 699 in **Figure 2** that provided the highest accuracy (in both contamination and infection determination). 700 Importantly, values leading to a perfect scenario were not identified, and a two-cases classification system 701 was set up (more information in Supplementary File 1).

- 702 Nevertheless, adding more metrics will also complexify the decision system. If more metrics are considered 703 for cross-contamination prediction, other implementations (decision tree, machine learning ...) might be 704 envisioned to replace the current voting system. On the other hand, the detection in the alien control of 705 sequencing reads of other viruses detected in the tested samples is also the consequence of contamination 706 from one of the tested samples toward the alien control. This information is not used now but could also 707 be considered for future improvements as it requires less complex to implement. In addition, it might allow 708 refinement of Cont-ID, potentially introducing an adaptation of threshold per virus instead of a single 709 threshold for all samples from the sequencing batch. The idea is that two viruses present in the same batch 710 may have different relative abundance behaviour in the samples, so setting up a limit that can adapt for 711 each virus should improve the tool's ability to distinguish real infection from cross-contamination. Finally, 712 working with the combination of all/some viruses profile instead of each individually for contamination 713 check (similarly to what is used in metabarcoding of bacteria) can also be considered. Indeed, when a 714 sample contaminates another, it is expected that all the viruses (highly frequent) from the contaminating 715 sample can be found in the contaminated samples. Monitoring the virus detection profile of samples can provide additional information for cross-contamination (and ease the quest for contamination origin). 716 717 Even if there is still improvement to be made, Cont-ID has already delivered an excellent ability to consider 718 the level of contamination genuinely present in the batch.
- 719

720 In conclusion, detection of cross-contamination is complex; in the age of sequencing, the contaminant 721 issue is increasingly important; therefore, Cont-ID will facilitate the interpretation of results by the 722 virologist/diagnostician and reduces the confirmation burden. We demonstrated that simple metrics like 723 relative abundance estimation and redundancies of genetic material (reads duplicates) could help monitor 724 contamination occurring in the laboratory. The method accurately distinguished cross-contamination from 725 infection in very diverse HTS viral datasets. Our standard parameters allowed very good accuracy (median 726 = 95%); in addition, Cont-ID has several levels of flexibility and can be adapted by each user to take into 727 account the specificities of the detection test (purpose of the test, type of samples, viruses to be detected, 728 laboratory work, available resources....). We believe this is the first significant step toward increasing the 729 monitoring and management of sample cross-contamination when using HTS technologies for virus 730 detection.

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733 Availability and requirements:

- 734
- 735 Project name: Cont-ID
- 736737 Project home page: https://github.com/johrollin/Cont_ID
- 738

- 739 Operating system(s): Platform independent
- 740

742

- 741 Programming language: Python (v3.7)
- 743 Other requirements: pandas; NumPy
- 744 745 License: GNU GPL-3.0
- 746
- 747 Any restrictions to use by non-academics: none
- 748
- 749 **Declarations**

750 Ethics approval and consent to participate

- 751 Not applicable.
- 752
- 753 Consent for publication
- 754 Not applicable.

755

756 Availability of data and materials

757 The datasets generated and/or analysed during the current study are available in the NCBI Sequence Read Archive (SRA) repository at https://www.ncbi.nlm.nih.gov/sra (See Table 1). In addition, cont-ID is freely 758 downloaded 759 available and can be with the following command (without <>): 760 <https://github.com/johrollin/Cont ID>. It can be used as a command line application on a personal 761 computer on any operating system (Linux, MacOSX or Windows) with python.

762

763 Competing interests

- The authors declare that they have no competing interests.
- 765

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 Platform

771

772 Authors' contributions

773 WR and SM designed the data preparation and sequencing procedure. WR, JR and SM designed the 774 bioinformatics analysis. JR implemented the program. JR ran CroCo analyses. WR and JR validated Cont-ID 775 results with previous PCR indexing results and re-analysed outlier. JR and SM drafted the manuscript. All

- authors read and approved the final manuscript.
- 777

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785

786 **<u>Reference</u>**

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