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3 **Experimental estimates of germline mutation rate in eukaryotes: a phylogenetic meta-analysis**

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Abstract

Mutation is the ultimate source of all genetic variation, and over the last ten years the easy
availability of whole-genome sequencing has permitted direct estimation of the mutation rate for
many non-model species from across the tree of life. In this meta-analysis we make a comprehensive
search of the literature for mutation rate estimates in eukaryotes, identifying 139 mutation
accumulation (MA) and parent-offspring (PO) sequencing studies covering 71 species. Based on
these recently accumulated data, we revisit differences in mutation rate between different
phylogenetic lineages and update the known relationships between mutation rate and generation
time, genome size, census population size, and neutral nucleotide diversity—while accounting for
phylogenetic non-independence. We do not find a significant difference between MA and PO in
estimated mutation rates, but we confirm that mammal and plant lineages have higher mutation
rates than arthropods, and that unicellular eukaryotes have the lowest mutation rates. We find that
mutation rates are higher in species with longer generation times and larger genome sizes, even
when accounting for phylogenetic relationships. Moreover, although neutral nucleotide diversity is
positively correlated with mutation rate, the gradient of the relationship is significantly less than one
(on a logarithmic scale), consistent with higher mutation rates in populations with smaller effective
size. For the 29 species for which data are available, we find that indel mutation rates are positively
correlated with SNM rates, and that short deletions are generally more common than short
insertions. Nevertheless, despite recent progress, no estimates of either SNM or indel mutation
rates are available for the majority of deeply-branching eukaryotic lineages—or even for most
animal phyla. Even among charismatic megafauna, experimental mutation rate estimates remain
unknown for reptiles and amphibia and scarce for birds and fish.

Keywords: *de novo* mutation rate, eukaryotes, indels, phylogeny

Abbreviations: MA, mutation accumulation experiment; PO, parent-offspring experiment; SNMs,
single nucleotide mutations; indels, insertions and deletion mutations; PGLMM, phylogenetic
generalised linear mixed model; Mb, Mega base pair(s); HPD, highest posterior density.

Introduction

The *de novo* mutation rate, μ, is a key parameter in population and evolutionary genetics, appearing in almost every equation, either alone or combined with effective population size (N_e) in the compound parameter θ. However, it seems implicit that μ is of no interest to many evolutionary studies; researchers often use μ from one species when analysing another, simply for convenience— as if μ were exchangeable in a way that N_e is not (e.g. Wilding 2017). This is presumably because variation in μ among species is taken to be negligible compared to variation in N_e. But, if the mutation rate varied among multicellular eukaryotes as much as the recombination rate varies— around 1000-fold (Stapley, et al. 2017)—there would be much less need to invoke variation in N_e when explaining variation in (e.g.) genetic diversity.

One reason that we might expect mutation rate to vary little compared to recombination rate, is if recombination is optimised differently by different selective regimes (Stapley, et al. 2017), whereas mutation is minimised to a lower limit imposed by drift (the drift barrier hypothesis; Lynch, et al. 2016). However, it might be that in reality mutation rate is optimised to well above the drift-barrier limit by selection in favour of adaptability (Peck and Lauring 2018; Liu and Zhang 2021). If this were the case, then (as with recombination rates) we might find that mutation rates varied dramatically, even among closely related taxa.

Early estimates of the mutation rate leveraged visible phenotypes, such as the occurrence of genetic diseases in humans (Trimble and Doughty 1974; Nute and Stamatoyannopoulos 1984). For example, using haemoglobin M disease Stamatoyannopoulos and Nute (1982) estimated the mutation rate in the human haemoglobin beta gene at 7.4×10^{-9} per bp per generation. However, such estimates are prone to bias, for example if the mutation is required to be autosomal dominant and non-lethal (Nute and Stamatoyannopoulos 1984), and such approaches are not easily applicable in organisms for which disease is hard to observe. More commonly, using the equivalence between neutral mutation and substitution rates (Kimura 1968), many studies have used time-calibrated sequence divergence to estimate mutation rates. For example, comparison between humans and chimpanzees gives rise to estimates on the order of 10^{-8} per bp per generation (Kondrashov and Crow 1993; Drake, et al. 1998; Nachman and Crowell 2000). However, such indirect estimates are also limited (Kondrashov 2003). First, because generation time and calibration dates are prone to substantial uncertainty (e.g. Obbard, et al. 2012). Second, because it is hard to distinguish between unconstrained and weakly constrained sites (Harmon, et al. 2021). Third, because mutation saturation in hotspots may lead to an underestimation, especially for sequences with a long

divergence time (Sigurðardóttir, et al. 2000). In addition, phylogenetic-calibration approaches reflect

the long-term mutation rate, averaging across biologically important factors such as generation time,

sex differences, life-stage differences, and inter-individual variation.

In contrast to phenotypic and phylogenetic approaches, mutation-accumulation (MA) and parent-

offspring (PO) sequencing can provide direct estimates of the per-generation mutation rate.

Mutation accumulation utilises the accumulation of spontaneous mutations in a single inbred

genome over multiple generations, by minimising the effectiveness of natural selection (Keightley, et

al. 2009). Given the low mutation rates in eukaryotes, the multiple generations in an MA experiment

allow sufficient mutations for them to be easily detected at the end. Although the first use of MA to

estimate mutation rates phenotypically dates back to the 1970s (Mukai and Cockerham 1977), the

availability of whole genome sequencing and its decreasing price have recently made it more widely

applicable. Mutation accumulation best suits easily maintained species with short generation times,

for example nematodes (Denver, et al. 2004; Meier, et al. 2014; Konrad, et al. 2019; Saxena, et al.

2019), fruit flies (Haag-Liautard, et al. 2007; Keightley, et al. 2009; Schrider, et al. 2013; Huang, et al.

2016), green algae (Ness, et al. 2012; Lopez-Cortegano, et al. 2021), and water fleas (Ho, et al. 2020;

Keith, et al. 2021). However, MA may underestimate mutation rates (Lynch and Walsh 1998)

because natural selection will still come into play for highly deleterious or lethal mutations (Baer, et

al. 2007).

By sequencing parents and offspring, and counting the mutations arising over a single generation,

the parent-offspring approach avoids losing lethal mutations (unless they are dominant) and

provides the most direct estimate of mutation rate. It is not limited to model species (Keightley, et al.

2014; Yang, et al. 2015; Wang, et al. 2022b), and is suitable for large animals outside of the

laboratory (Harland, et al. 2017; Wang, et al. 2022a). Parent-offspring sequencing has been

particularly widely used in humans, where MA is not applicable. However, the challenge of robustly

identifying new mutations in natural heterozygous genomes is formidable, as the number of

mutations arising within one generation is small when compared to the impact of sequencing and

mapping errors. For example, Bergeron, et al. (2022) compared the estimates from five different

research groups for the same family of rhesus macaques and found that the highest estimates could

be twice the lowest ones. Minimising the rates of false positive and false negative mutation-calls is

therefore critical for the PO approach (Yoder and Tiley 2021).

Relaxed-clock phylogenetic studies generally identify substantial among-lineage variation in the

evolutionary rate, suggestive of variation in mutation rates among taxa (Ho, et al. 2015). However,

such studies cannot separate the impact of generation time and mutation rate, and may be biased

by variation in the action of (weak) selection (Harmon, et al. 2021). As more direct experimental

estimates have become available, it has become possible to draw comparisons within particular

clades such as primates (Chintalapati and Moorjani 2020), or vertebrates (Yoder and Tiley 2021;

Bergeron, et al. 2022). However, there has not been a wider analysis, nor one that includes both PO

and MA studies. Here we perform a comprehensive meta-analysis of published eukaryotic SNM and

indel mutation-rate estimates that use direct sequencing of multiple generations, either through MA

or PO comparison. We focus on the variation in mutation rate among species, and the role of the

host phylogeny, experimental approach, generation time and population size in determining

variation in estimates rates.

Results and Discussion

Studies included

We searched for eukaryotic mutation-rate studies in Clarivate Web of Science, and then we

manually examined these studies and identified those containing mutation rate estimates (see

Methods). In total, we identified 139 studies using either MA or PO approaches for inclusion,

covering 71 species (see Availability of data and materials). Studies on human mutation rate

accounted for a third of the identified literature, followed by unicellular organisms (20%),

arthropods (17%), primates (8%), and plants (8%) (**Figure 1A**). However, across all groups, most

studies focused on model species: yeast in the unicellular group, *Drosophila* in arthropods, mice in

mammals, and *Arabidopsis* in plants. These estimates tended to derive from MA analyses rather

than PO studies (61 vs. 38 among non-human studies). Nevertheless, as sequencing has become

cheaper, there has been a rapid increase in the number of published experimental estimates of the

mutation rate, with an average of 11 studies each year in eukaryotic species since 2010 (**Figure 1B**).

Figure 1: A summary of *de novo* mutation rate estimates included here. (A) The proportion of studies

in different taxonomic groups. (B) The number of studies by year. MA: mutation accumulation

studies, PO: parent-offspring studies.

Single nucleotide mutation rates across eukaryotes

To summarise the single nucleotide mutation (SNM) rates in different lineages, we obtained a time-

scaled phylogenetic tree of all represented species from http://www.timetree.org/ (Kumar, et al.

2022), and used this to fit the covariance among species in a Phylogenetic Generalised Linear Mixed

Model (PGLMM) using the Bayesian mixed model package MCMCglmm (e.g. Hadfield and Nakagawa

2010). Treating species as a random effect, this provides a posterior estimate of mutation rate for

each species (and each internal node) under a Brownian motion model of trait evolution (see

Methods), given the observations and relationships to other species. In these data, the phylogenetic

effect accounts for 94.3% of the random-effects variance, with 95% HPD interval (85.4%, 98.6%).

Among the chosen species groupings, the unicellular species had the lowest mutation rate ranging

from 0.02×10^{-9} per generation per base pair in ciliates to 0.74×10^{-9} in haptophyta. This estimate is

more than an order of magnitude lower than rates in multicellular species (below; **Figure 2**), and

may either be attributable to there being a single cell division per generation, or possibly to a lower

limit set by the drift barrier in species with larger N_e (Sung, et al. 2012a). We also observed relatively

low SNM rates for a nematode at 2.27×10^{-9}, arthropods at 3.56×10^{-9}, fish at 7.37×10^{-9}, and a bird

at 6.15×10^{-9} (random-effects estimates from our model, given the phylogeny). In particular, for

arthropods (which were represented by multiple species) the lowest mutation rate was identified as

0.46×10^{-9} in pea aphids (per parthenogenic generation) and the highest one was only 4.97×10^{-9} in

fruit flies. In contrast to these species, mammals and plants had relatively high mutation rates,

including non-primate mammals at 8.76×10^{-9} and primates at 9.60×10^{-9}. The plants (excluding

duckweed) and a multicellular fungus had the highest rates of all, ranging from 8.32 to 44.34×10^{-9}

(**Figure 2**), and it is tempting to speculate that this might be attributable to the lack of a segregated

germline (but see Wang, et al. (2019)).

To assess the impact of the experimental methods on rate estimates, we included the experimental

approach (MA or PO) as a fixed effect in the PGLMM. Overall, we found that while the mean value

from PO studies was higher than the value from MA studies, when accounting for variation among

species and the covariance between them induced by the phylogeny, this effect was not significant

(MCMC p-value = 0.659). We then examined comparisons between MA and PO in fruit flies and mice

more closely, as these two species have been investigated using both methods. The rate estimated

using MA in fruit flies was 5.28×10^{-9}, but 3.20×10^{-9} using PO (**supplementary Figure S1**), and in

mice the MA estimate was 5.40×10^{-9} while the PO estimate was 4.03×10^{-9} (**supplementary Figure**

S2), and these differences were likewise not significant. Note, however, that the mutation rate may

be heterogeneous among different populations: for *D. melanogaster*, the estimated mutation rate

from African populations was lower than that from European population and North American

population (Chan, et al. 2012; Wang, et al. 2022c). To make a more robust analysis would require

samples of multiple populations for each species, which are not yet available.

Species	Mutations	Sites (1e9)	Rates (Log scale)	Rates (1e-9)	95%-CI
Group = primates					
chimpanzee	636	66.61		12.41	[8.87,16.08]
human	327417	24598.44		14.01	[11.67,16.23]
gorilla	83	7.38		13.10	[7.31,19.30]
orangutan	51	3.07		14.15	[6.07,23.10]
rhesus macaque	970	139.03		8.86	[4.38,13.74]
baboons	475	83.33		8.93	[4.13,14.94]
green monkey	8	0.85		9.79	[4.25,16.43]
owl monkey	283	34.77		9.26	[3.43,16.58]
marmoset	9	2.09		8.06	[2.84,14.98]
mouse lemur	92	6.05		14.83	[3.95,28.19]
	330024	**24941.62**		**9.60**	**[3.93, 16.64]**
Group = mammals					
mice	1613	358.90		5.86	[3.02,8.90]
bear	115	13.69		9.63	[3.09,18.23]
wolf	27	6.00		7.26	[2.14,14.05]
cat	233	27.09		9.89	[3.05,18.68]
pig	44	12.22		6.21	[1.59,11.74]
cattle	220	18.80		11.77	[3.39,22.71]
platypus	12	1.71		8.87	[1.86,18.71]
	2264	**438.41**		**8.76**	**[1.29, 19.46]**
Group = birds					
flycatcher	55	11.96		6.15	[1.23,12.88]
Group = fish					
atlantic herring	19	9.50		3.15	[0.65,6.76]
cichlid fishes	16	2.68		13.54	[3.37,26.22]
	35	**12.18**		**7.37**	**[0.42, 19.47]**
Group = arthropods					
midge	68	24.76		3.26	[1.09,5.88]
mosquito	27	14.60		2.14	[0.95,3.53]
fruit fly	3111	596.01		4.97	[3.26,6.59]
butterfly	9	3.21		3.52	[0.58,7.88]
bumblebee	23	6.42		4.42	[1.00,9.32]
honeybee	28	8.24		4.23	[0.92,8.59]
pea aphid	43	159.26		0.46	[0.09,0.97]
water flea	3025	1321.43		3.69	[2.57,4.96]
	6334	**2133.93**		**3.56**	**[0.13,9.59]**
Group = worm					
nematode	4649	2318.06		2.27	[1.53,3.13]
Group = fungus					
mushroom	136	2.20		44.34	[14.11,81.26]
Group = plants					
cabbage	12	1.32		10.20	[3.36,18.81]
arabidopsis	2061	275.94		9.99	[5.76,14.64]
peach	240	29.41		9.32	[2.62,18.74]
white campion	39	5.34		8.47	[2.22,17.09]
maize	7961	205.73		23.94	[9.46,41.54]
rice	51	5.97		8.32	[3.05,14.99]
duckweed	79	900.98		0.21	[0.09,0.38]
	10433	**1424.69**		**4.68**	**[1.00,9.82]**
Group = unicellular					
yeast	5956	29777.21		0.22	[0.17,0.29]
algae	13007	14421.51		0.55	[0.38,0.73]
malaria parasites	113	366.94		0.68	[0.23,1.22]
ciliates	85	4229.04		0.02	[0.01,0.03]
haptophyta	455	819.82		0.74	[0.14,1.57]
amoeba	38	1534.47		0.03	[0.01,0.06]
	19654	**51148.99**		**0.87**	**[0.0003,2.94]**

-1500   -1000   -500   0
Mya

3.8e-11 2.8e-10 2.1e-9 1.5e-8

Figure 2. A phylogenetic meta-analysis of SNM rates for different species identified from the

literature. Mutation rates and 95% CIs were estimated using a PGLMM. For each group, we

estimated the rate for their most recent common ancestor (shown in bold under each group and

vertical solid grey lines). The vertical dashed line indicates the mutation rate of common ancestor of

the tree.

Biological predictors of the SNM rate

Many biological factors have been proposed to be associated with mutation rate, including

generation time (Wu and Li 1985; Li, et al. 1987; Bailey, et al. 1991; Keightley and Eyre-Walker 2000),

genome size (Drake 1991; Lynch 2010), and the rate of genetic drift (Lynch, et al. 2016) — perhaps

through the impact of population size (Lanfear, et al. 2014; Krasovec, et al. 2020). To test for a

dependence of mutation rate on each of these factors, we ran three further models, including each

of them separately as an additional fixed effect in a PGLMM.

We found a significant positive relationship with generation time (Bayesian PGLMM pMCMC <

0.0002; **Figure 3A**), such that a longer generation time predicted a higher mutation rate per

generation. If mutations resulted only from replication errors, and most species had a similar

number of cell divisions per generation, then the per-generation mutation rate would not scale with

generation time (Wu and Li 1985; Thomas and Hahn 2014). However, in reality, the number of

germline cell divisions per generation varies within and between species. In humans, there are

around 401 cell divisions per 30-year generation in males and 31 in females (Drost and Lee 1995;

Ohno 2019), but in mice it is 62 per 9-month generation in males and 25 in females. In *Drosophila*

the sexes are much more similar, with an estimated at 35.5 cell divisions in a 18-day generation for

males but 36.5 in an 25-day generation in females in *D. melanogaster* (Drost and Lee 1995). Within a

species, a long generation time is likely to permit more cell divisions and thus a higher mutation rate

per generation, and many studies in humans and primates have shown that mutations are heavily

male-biased and correlated with paternal ages (Kong, et al. 2012; Thomas, et al. 2018; Wang, et al.

2020; Kaplanis, et al. 2022).

Alternatively, mutations may not result predominantly from replication errors. Recent studies

suggest that not all germline mutations track cell divisions, and DNA damage may contribute to the

mutation rate (Wu, et al. 2020; Wu and Przeworski 2022). Independent of cell divisions, this

mutation rate would be correlated with calendar time, and thus species with a longer generation

time would have a higher rate. The relationship we observe between generation time and per-

generation mutation rate could therefore be a consequence of either a greater number of cell

divisions or of accumulating damage over time, and it will be hard to separate these potential causes

(Gao, et al. 2016; Wu and Przeworski 2022).

We also found evidence that larger genome size predicts a higher per-generation mutation rate

(pMCMC = 0.0020; **Figure 3B**). Mutation rate has previously been found to correlate negatively with

genome size in prokaryotes (a phenomenon termed "Drake's rule" (Drake 1991; Bourguignon, et al.

2020; Marais, et al. 2020)), but positively in eukaryotes (Lynch 2010). Two 'outlier' unicellular

eukaryotes with large genomes but very low mutation rates have (*Paramecium tetraurelia* and

Chlamydomonas reinhardtii), have in the past been explained by appeal to their large effective

population size and the 'drift-barrier' hypothesis (Sung, et al. 2012a). With the increased availability

of mutation rates accrued over the past decade, we find that the mutation rate of *C. reinhardtii* is

not as low as previously thought, and this species now fits the positive trend in eukaryotes well

(**Figure 3B**). However, the mutation rates of *Tetrahymena thermophila* (Long, et al. 2016), *P.*

tetraurelia, and *Dictyostelium discoideum* (Kucukyildirim, et al. 2020) are indeed very low. Under

'drift barrier' hypothesis, these outliers could be caused by the large N in these species, and *T.*

thermophila would be expected to have the highest N. In fact, the N_e in *T. thermophila* is smaller

than that in *P. tetraurelia* (1.1×10^8 *vs.* 1.2×10^8) (Sung, et al. 2012b; Long, et al. 2016).

If census population size were a good predictor of the rate of genetic drift (N_e) then a significant

negative relationship between them could be consistent with the 'drift barrier' hypothesis; that is

that mutation rates are minimised by natural selection until the point at which the impact of

selection for lower mutation rate is counterbalanced by allele frequencies changes due to drift

(Lynch 2010). A negative relationship was indeed superficially apparent in our data (**Figure 3C**), but

this relationship was not significant when accounting for the non-independence among species in a

phylogenetic mixed model (pMCMC = 0.3350). Nevertheless, given the weak link between N_e and

census population size (Buffalo 2021), and the challenge of estimating census size (especially for

smaller organisms), the lack of a relationship is perhaps unsurprising.

In each of the three models above, we fitted generation time, population size, and genome size

individually as predictors of mutation rate in a regression model. However, these factors tend to be

highly correlated: large organisms tend to have longer generation times, smaller population sizes,

and often larger genomes (Martin and Palumbi 1993; Buffalo 2021) and is therefore hard to

distinguish between casual relationships and confounding correlations. Indeed, including all three

factors (generation time, genome size, population size) together as fixed terms in a single model

resulted in none of them being significant, although the effect of generation time was marginal with

pMCMC = 0.0781 (versus pMCMC = 0.3855 for genome size and 0.6844, for population size).

The drift-barrier hypothesis

The most direct test of the drift-barrier hypothesis would be a regression of SNM rate on N_e.

However, long-term N_e can only be estimated from putatively neutral genetic diversity (i.e. π_S) by

making use of the relationship $\theta_\pi = 4N_e\mu$, and unfortunately any uncertainty in μ could induce a

spurious correlation in a regression of μ on $\pi/4\mu$. Instead, error in both π_S and μ can be accounted

for by fitting a bivariate linear mixed model, parameterised in terms of a regression of π_S on μ (see

Methods). Then, on a logarithmic scale, the gradient of this relationship would be expected to be 1 if

μ and N_e are independent of each other. Fitting only these terms, we found that π_S increased with μ,

but that the gradient of this relationship was significantly less than 1 (gradient = 0.390 [0.078, 0.709];

*p*MCMC = 0.0002; **Figure 3D**). This suggests that π_S does not grow in direct proportion to μ, such that

populations with larger N_e tend to have a lower mutation rate—as predicted by the drift barrier

hypothesis (Lynch, et al. 2016).

Nevertheless, such a relationship could be driven by other factors. For example, if putatively silent

sites are in fact weakly constrained, then the action of direct selection would lead to lower diversity

in populations with larger N_e. Perhaps more seriously, if species with small N_e tend to have a longer

generation time, and longer generation time causes higher mutation rates (i.e. **Figure 3A**), then a

higher μ in species with low N_e could be driven by a mechanistic generation-time effect, rather than

the drift barrier. We attempted to account for this latter hypothesis by fitting generation time as a

predictor of both μ and π_S, thereby accounting for the direct impact of generation time on the

relationship between them. In this final model, μ increased with generation time (*p*MCMC = 0.0004)

and π did not (*p*MCMC = 0.9457), but the gradient of the regression of π_S on μ could no longer be

distinguished from 1 (gradient = 0.688 [0.109, 1.230]; *p*MCMC = 0.1324).

Figure 3. Phylogenetic linear regressions of SNM rate on (A) generation time, for 45 species, (B) genome size, for 63 species and (C) population size, for 25 species. The solid black lines represent simple linear regressions; the coloured lines represent different PGLMM regressions conditional on each of the species random effects. (D) a regression of pairwise nucleotide diversity (π_S) on SNM rate (μ), fitted as a bivariate model in MCMCglmm for 41 species. Grey solid line indicates the model regression line; grey dashed line indicates slope = 1. All axes are plotted on a log scale; Cr: *C. reinhardtii*; Pt: *P. tetraurelia*; Tt: *T. thermophila*; Dd: *D. discoideum*.

The mutation rates of short indels across eukaryotes

Although the rate of indel mutation is estimated much less often than the SNM rate, our literature search identified estimates for 29 species. We found that the indel mutation rate across eukaryotes displayed a similar pattern to that of the SNM rate (**Figure 4**), with a correlation coefficient between

the two of 0.76 (95% HPD CI: 0.40, 0.99; pMCMC = 0.0030), with the SNM rate being generally higher

than the indel mutation rate, except in two lineages (nematodes and amoebae) for which the indel

mutation rate was higher (**Figure 5A**). The unicellular species had the lowest indel mutation rate,

from 0.04×10^{-9} in yeast to 0.17×10^{-9} in algae, followed by arthropods with 0.65 (0.05, 1.61) $\times 10^{-9}$.

Mammals had a relatively higher indel mutation rate with 1.23 (0.35, 2.33) $\times 10^{-9}$, with humans at

the higher end of this range at 1.87 (1.16, 2.74) $\times 10^{-9}$. Of all the studied species, plants had the

highest indel mutation rate, which was as high as 2.83 (0.40, 6.28) $\times 10^{-9}$.

Figure 4. An analysis of short indel mutation rates for different species. The estimated mutation

rates are presented on a log scale for clarity.

Short deletions generally outnumbered short insertions across eukaryotes (**Figure 5B,**

supplementary Figure S3). The ratio of deletions over insertions was as high as 15.00 in the water

flea, 4.28 in amoeba, 3.43 in fruit flies, 2.64 in humans, 1.80 in nematode, 1.58 in Arabidopsis and

1.28 in algae. Honeybees and two plants (rice and peach) exhibited more insertions than deletions,

but the difference wasn't statistically significant (*p*-value > 0.05, binomial test). Although yeast

shows significantly more insertions overall, this estimate is dominated by two large studies and an

excess of deletions was still reported in yeast across other studies (**supplementary Figure S3**).

A bias toward deletion events has been known for at least 40 years since de Jong and Rydén (1981)

found a four-fold excess of deletions over insertions in protein sequences. Petrov (2002) argued that

the deletion bias was likely due to a thermodynamic disparity between short deletions and

insertions that makes deletion events easier. Studies in plants have suggested that unequal

homologous recombination and illegitimate recombination may be another important cause of small

deletions (Bennetzen, et al. 2005). Whatever the cause, the dominance of deletions over insertions

seems convincing, and many early studies argued that these short deletions resulted in genome

contraction (Petrov 2002; Gregory 2003; Thomas, et al. 2003). However, the role of deletions in

shaping genome size remains controversial (Gregory 2003, 2004). A recent study in birds and

mammals indicates that short deletions alone cannot explain the DNA content loss (Kapusta, et al.

2017), and we fail to find any evidence of a relationship between deletion rate and genome size here

(**supplementary Figure S4;** but note that power is likely to be low). It seems probable that other

structural mutations, such as large deletions, gene duplication and transposable elements, may have

greater impacts on genome size. For example, in *Drosophila* Wang, et al. (2022c) found transposable

element insertions to be more frequent than SNMs, and an order of magnitude more frequent than

short indels. Together, these studies suggest that the impact of short deletions on genome size is

likely to be small, despite their higher frequency relative to short insertions.

Figure 5. The correlation between indel mutation rates and SNM rates plotted on a log scale with a
1:1 ratio shown as a dashed line (A). The aggregated log ratios of deletion/insertion in eukaryotes,
(B).

Concluding remarks

All genetic variation arises from *de novo* mutations. To estimate the rate at which these arise,
studies have moved from investigating a few genes that result in phenotypic change, to whole
genome sequencing of MA lines or pedigrees. Ten years ago, Campbell and Eichler (2013) called for
more efforts to sequence genomes from non-human primate families to understand 'how the rate
has changed in different lineages'. And, in the present data-rich and tool-rich era we have now seen
the sequencing of not just multiple non-human primates, but many taxa representing major clades
across the tree of life. Nevertheless, the 'tree of mutations' is far from complete, at either the large
or small scale. Even within animals, the vast majority of phyla have never been examined, and with
the exception of primates, no groups of close relatives have been examined.

However, as progress is being made toward a tree of mutation rates, attention still needs to focus on
methodological heterogeneity. Different data filtering strategies may result in non-negligible
differences in mutation rate estimates (Bergeron, et al. 2022). Although many strategies have been
proposed (Bergeron, et al. 2022), no standardised pipeline has yet been agreed upon or widely
adopted. All studies must therefore carefully address the issues of false positives, by manual
curation and/or PCR follow-up, and false negatives, by simulation. Biases may also come from the
samples used in each study. Besides the biological factors that affect mutation rates (age, sex),
environmental factors can also exert influences on mutation rates, such as temperature (Belfield, et
al. 2021; Waldvogel and Pfenninger 2021), osmotic stress (Hasan, et al. 2022) or ultraviolet light (Xu,
et al. 2019). Samples collected from the wild rather than reared in laboratories may reflect the *real*
mutation rate for the studied species, but the variation that this introduces may also make it harder
to draw broad conclusions. Despite these challenges, the data to come are exciting. In particular, as
sequencing technology advances, we expect improved accuracy in long-read sequencing facilitating
the phasing of mutations and permitting the easy detection of complex mutations and larger-scale
structural changes.

Methods

We made an exhaustive literature search for publications on the experimental estimation of

mutation rate. We first searched Clarivate Web of Science up to the date of 21 September 2022 for

'Title/Keywords/Abstract' fields containing ("mutation rate" | "mutation rates" | "mutational rate" |

"mutational rates"). As much of the resulting literature was related to somatic mutations (e.g.,

cancers) or virus mutations, we excluded '("tumor" | "cancer" | "clinical" | "virus")' in the search

field of 'Title/Keywords/Abstract'. This search strategy resulted in 9462 studies with the earliest one

dated 1928. We also searched for references to mutation-rate estimates in other papers not

captured by our search. As bacteria are outside of our study, we manually removed studies related

to bacterial mutations. These filters resulted in 174 studies. Of these studies, we further excluded 20

studies that used phylogenetic approaches to infer mutation rates, 14 studies that used phenotypic

markers, and two other studies that used recombination landscape or the site frequency spectrum

to infer mutation rates statistically. This resulted in a total of 139 studies in our final dataset.

From each of the potential studies we extracted the year of the publication, the species, the

population, mutation type (SNM, short insertion, short deletion), the units of mutation rate (per bp

per year, per bp per generation), the mean and 95% CI of mutation rate, the number of identified

mutation events, the number of callable sites, the methods used to infer mutation rate (PO, MA or

others), the sample size, the number of generations (if MA), the sequencing technology, the

sequencing depth and other pertinent observations. If a 95% confidence or credible interval was not

provided in the original study, we calculated a 95% confidence interval using 'binconf()' from the

Hmisc R package (version 4.7-0), based on the number of mutation events and callable sites and

assuming a binomial distribution (Girard, et al. 2011). If a study did not provide the mutation counts,

we inferred an effective number of mutation events based on the reported mutation rate and its

confidence interval. For studies in which the number of callable sites was not reported, an estimate

was made from the number of mutation events and the reported mutation rate. If a study *only*

reports mutation rate, we assumed one mutation event and calculated the corresponding callable

sites based on mutation rates. This will necessarily underestimate the precision with which rates are

estimated (minimising their weight in the analysis), while keeping it in the meta-analysis with

unchanged mean values.

To compare the SNM rates across different species, we conducted a phylogenetic meta-analysis (i.e.

a combined analysis of published data) using the mutation data from MA or PO studies. We obtained

the phylogenetic tree and relative divergence dates for the species included in this study from

http://www.timetree.org/ (Kumar, et al. 2022). We performed the analyses using a phylogenetic

mixed model with a unit-scaled phylogeny as random effect, assuming a Poisson distribution for the

occurrence of *de novo* mutations, off-set by the number of callable sites (i.e. enforcing a directly

proportional relationship between the expected number of mutations and the number of sites

observed). The analysis was conducted using the Bayesian mixed-model R-package MCMCglmm

(version 2.32) (Hadfield 2010) with a weak prior for the variance of random effects and a fully-

informative prior fixed at 1 for the fixed effect of 'callable sites', using the following code in R:

vv <- diag(2)

diag(vv) <- c(1e6, 1e-8)

prior <- list(B=list(mu=c(0,1), V=vv),

396   G=list(G1=list(V=1, nu=1, alpha.mu=0, alpha.V=100)))

398   The syntax for the basic model in R was:

399   m1 <- MCMCglmm(Mutation ~ log(Callable),

400   random= ~ Species,

401   ginverse=list(Species=InverseTree),

402   prior = prior, data=aa,

403   nitt=5001000, thin=1000, burnin=10000,

404   family = "poisson", pr=TRUE)

where 'Mutation' was the number of mutations and 'Callable' was the number of callable sites.

'Species' is the random effect and 'InverseTree' is the expected phylogenetic covariance among

species based on relative branch lengths. To achieve stationarity, we ran 5010000 iterations with a

thinning interval of 1000 and a burn-in of 10000 steps for the model. To investigate the effects of

methods (MA or PO) used to estimate mutation rate, we additionally included 'Method' as a second

fixed effect in the above model.

We also investigated the relationships between mutation rate and generation time, population size,

and genome size using univariate phylogenetic mixed models that included these factors separately

as fixed effects, and a phylogenetic species term as a random effect. A log-transformation was made

on these variables before fitting the model, and model predictions were made conditioning on each

of the different species as random effect levels. The estimated generation time for each species was

gathered from the literature (see Availability of data and materials) and we obtained estimates for

45 species. Genome sizes were taken to be assembly size, and gathered from the NCBI database,

which resulted in 63 species with genome size available. Estimates of census population size data

were taken from Buffalo (2021), who used body length and species range size to infer the population

size. As the estimation of population size is extremely challenging and subject to many uncertainties,

we chose to use only this source to avoid methodological heterogeneity, which covered 25 species.

To test for a relationship between putatively neutral nucleotide diversity (π_S) and the SNM rate (μ)

while accounting for uncertainty in both, we used MCMCglmm to fit a bivariate PGLMM with

mutation number and π_S as responses. We collected nucleotide diversity data for 41 species either

from (Buffalo 2021) or literature (see Availability of data and materials). Because we were interested

in the gradient of regression of π_S on μ (on the logarithmic scale), we modelled the covariance

between them using an antedependence structure that parameterises the covariance matrix in

terms of a regression (see supporting material to Thomson, et al. (2017)). As before, we modelled

the number of mutations as a Poisson distribution offset by the number of callable sites and

included a phylogenetic term to account for non-independence among species in either SNM rate or

π_S. The basic syntax for this model was:

```
432 biv_model <- MCMCglmm(y ~ trait + at.level(trait, 'mu'):log_callable,

434            random = ~ante1(trait):Species2_phylo,

436            rcov = ~idh(trait):units,

438            data = d12,

440            ginverse = list(Species2_phylo=InverseTree),

442            prior = prior,

444            nitt=5001000, thin=1000, burnin=10000,

446            family = NULL

448            )
```

In addition, to test for the potential role of generation time in driving this negative relationship, we

fitted a final model that additionally included log generation time as a fixed effect predicting both

SNM rate and π_S.

Availability of data and materials

The datasets and the code to analyse the data are available on GitHub:

https://github.com/Yiguan/mutation_literature

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465   Attribution (CC BY) licence to any Author Accepted Manuscript version arising from this submission.

Competing interests

467   The authors declare that they have no competing interests.

Supplementary figure legends

Supplementary Figure S1: The SNM rates comparison in *D. melanogaster* across different studies

using MA or PO method to estimate mutation rates. 1a: line33; 1b: line39; 2a: West African

population; 2b: European population.

Supplementary Figure S2: The SNM rates comparison in *M. musculus* across different studies using

MA or PO method to estimate mutation rates.

Supplementary Figure S3: The ratio of deletions over insertions across all the identified studies.

Supplementary Figure S4: The relationship between genome size and the ratio of deletion/insertion

without (A) or with (B) human and mammal groups. Log-transformed has been made on both

variables.

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