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3 **Experimental estimates of germline mutation rate in eukaryotes: a phylogenetic meta-analysis**

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Abstract

Mutation is the ultimate source of all genetic variation, and over the last ten years the easy

availability of whole-genome sequencing has permitted direct estimation of the mutation rate for

many non-model species from across the tree of life. In this meta-analysis we make a comprehensive

search of the literature for mutation rate estimates in eukaryotes, identifying 138 mutation

accumulation (MA) and parent-offspring (PO) sequencing studies covering 70 species. Based on

these recently accumulated data, we revisit the mutation rate differences between different

phylogenetic lineages and update the known relationships between mutation rate and generation

time, population size, and genome size. We do this for single nucleotide mutations (SNM) in all

species, and for short indels in the 29 species for which data are available. We do not find a

significant difference between MA and PO in estimated mutation rates. However, we confirm that

mammal and plant lineages have higher mutation rates than arthropods, and that unicellular

eukaryotes have the lowest mutation rates. Generation time and genome size are each positively

correlated with mutation rate, even when accounting for phylogenetic relationships. Meanwhile,

indel mutation rates are also positively correlated with SNM rates and short deletions are generally

more common than short insertions across all the species. However, we note that no estimates of

either SNM or indel mutation rates are available for the majority of deeply-branching eukaryotic

lineages—or even for most animal phyla. Even among charismatic megafauna, experimental

mutation rate estimates remain unknown for reptiles and amphibia and scarce for birds and fish.

Keywords: *de novo* mutation rate, eukaryotes, indels, phylogeny

Abbreviations: MA, mutation accumulation experiment; PO, parent-offspring experiment; SNMs,
single nucleotide mutations; indels, insertions and deletion mutations; PGLMM, phylogenetic
generalised linear mixed model; Mb, Mega base pair(s)

Introduction

The *de novo* mutation rate, μ, is a key parameter in population and evolutionary genetics, appearing

in almost every equation, either alone or combined with effective population size (N_e) in the

compound parameter θ. However, it seems implicit that μ is of no interest to many evolutionary

studies; researchers often use μ from one species when analysing another, simply for convenience—

as if μ were exchangeable in a way that N_e is not (e.g. Wilding 2017). This is presumably because

variation in μ among species is taken to be negligible compared to variation in parameters such as

N_e. But, if the mutation rate varied among multicellular eukaryotes as much as the recombination

rate varies—around 1000-fold (Stapley, et al. 2017)—there would be much less need to invoke

variation in N_e in (e.g.) explaining variation in genetic diversity. One reason that we might expect

mutation rate to vary little in comparison with recombination rate is if recombination is optimised

differently by different selective regimes (Stapley, et al. 2017), but mutation is minimised to a lower

limit imposed by drift (the drift barrier hypothesis; Lynch, et al. 2016). However, it might be that

mutation rate is also optimised to well above the drift-barrier limit by selection, in favour of

adaptability (Peck and Lauring 2018; Liu and Zhang 2021). If this were the case, then (as with

recombination rates) we might find that mutation rates varied dramatically among even closely

related taxa.

Early estimates of the mutation rate leveraged visible phenotypes, such as the occurrence of genetic

diseases in humans (Trimble and Doughty 1974; Nute and Stamatoyannopoulos 1984). For example,

using haemoglobin M disease Stamatoyannopoulos and Nute (1982) estimated the mutation rate in

the human haemoglobin beta gene at 7.4×10^{-9} per bp per generation. However, these estimates are

prone to bias, e.g. if the mutation is required to be autosomal dominant and non-lethal (Nute and

Stamatoyannopoulos 1984), and such approaches are not easily applicable in organisms for which

disease is hard to observe. More commonly, using the equivalence between neutral mutation and

substitution rates (Kimura 1968), many studies have used time-calibrated sequence divergence to

estimate mutation rates. For example, comparison between humans and chimpanzees give rise to

estimates on the order of 10^{-8} per bp per generation (Kondrashov and Crow 1993; Drake, et al. 1998;

Nachman and Crowell 2000). However, such indirect estimates are also limited (Kondrashov 2003).

First, because generation time and calibration dates are prone to substantial uncertainty (e.g.

Obbard, et al. 2012). Second, because it is hard to distinguish between sites that are unconstrained

versus sites that are weakly constrained (Harmon, et al. 2021). Third, because mutation saturation in

hotspots may lead to an underestimation, especially for sequences with a long divergence time

(Sigurðardóttir, et al. 2000). In addition, phylogenetic-calibration approaches reflect the long-term
mutation rate, averaging across biologically important factors such as generation time, sex
differences, life-stage differences, and inter-individual variation.

In contrast to phenotypic and phylogenetic approaches, mutation-accumulation (MA) and parent-
offspring (PO) sequencing can provide direct estimates of the per-generation mutation rate.
Mutation accumulation utilises the accumulation of spontaneous mutations in a single inbred
genome over multiple generations, by minimising the effectiveness of natural selection (Keightley, et
al. 2009). Given the low mutation rates in eukaryotes, the multiple generations in an MA experiment
allow sufficient mutations to be easily detected at the end. Although the first use of MA to estimate
mutation rates phenotypically dates back to the 1970s (Mukai and Cockerham 1977), the availability
of whole genome sequencing and its declining price have recently made it more widely applicable.
Mutation accumulation best suits easily maintained species with short generation times, for example
nematodes (Denver, et al. 2004; Meier, et al. 2014; Konrad, et al. 2019; Saxena, et al. 2019), fruit
flies (Haag-Liautard, et al. 2007; Keightley, et al. 2009; Schrider, et al. 2013; Huang, et al. 2016),
green algae (Ness, et al. 2012; Lopez-Cortegano, et al. 2021), and water fleas (Ho, et al. 2020; Keith,
et al. 2021). However, MA may underestimate mutation rates (Lynch and Walsh 1998) because
natural selection will still come into play for highly deleterious or lethal mutations (Baer, et al. 2007).

By sequencing parents and offspring, and counting the mutations arising over a single generation,
the parent-offspring approach avoids losing lethal mutations (unless they are dominant) and
provides the most direct estimate of mutation rate. It is not limited to model species (Keightley, et
al. 2014; Yang, et al. 2015; Wang, et al. 2022b), and is suitable for large animals outside of the
laboratory (Harland, et al. 2017; Wang, et al. 2022a). Parent-offspring sequencing has been
particularly widely used in humans, where MA is not applicable. However, the challenge in robustly
identifying new mutations in natural heterozygous genomes is formidable, as the number of
mutations arising within one generation is small when compared to the impact of sequencing and
mapping errors. Minimising the rates of false positive and false negative mutation-calls is therefore
critical for the PO approach (Yoder and Tiley 2021). For example, Bergeron, et al. (2022) compared
the estimates from five different research groups for the same family of rhesus macaques and found
that the highest estimates could be twice the lowest ones.

Relaxed-clock phylogenetic studies generally identify substantial among-lineage variation in the
evolutionary rate, suggestive of variation in mutation rate among taxa (Ho, et al. 2015). However,
such studies cannot separate the impact of generation time and mutation rate, and may be biased
by variation in the action of (weak) selection (Harmon, et al. 2021). As more direct experimental

estimates have become available, it has become possible to draw comparisons within particular

clades such as primates (Chintalapati and Moorjani 2020), or vertebrates (Yoder and Tiley 2021;

Bergeron, et al. 2022). However, there has not been a wider analysis, nor one that includes both PO

and MA studies. Here we perform a comprehensive meta-analysis of published eukaryotic SNM and

indel mutation-rate estimates that utilise direct sequencing of multiple generations, either through

MA or PO comparison. We focus on the variation in mutation rate among species, and the role of the

host phylogeny and experimental approach in determining variation in rate estimates.

Results and Discussion

Studies included

We searched for eukaryotic mutation-rate studies in Clarivate Web of Science, and then we

manually examined these studies and identified those containing mutation rate estimates (see

Methods). In total, we identified 138 studies using either MA or PO approaches for inclusion,

covering 70 species (see Availability of data and materials). Studies on human mutation rate

accounted for a third of the identified literature, followed by unicellular organisms (20%),

arthropods (17%), primates (8%), and plants (8%) (**Figure 1A)**. However, across all groups, the

majority of studies focused on model species; yeast in the unicellular group, *Drosophila* in

arthropods, mice in mammals, and *Arabidopsis* in plants. These estimates tended to derive from MA

analyses rather than PO studies (61 vs. 37 among non-human studies). Nevertheless, as sequencing

has become cheaper, there has been a rapid increase in the number of published experimental

estimates of the mutation rate, with an average of 10 studies each year in eukaryotic species since

2010 (**Figure 1B**).

Figure 1: A summary of *de novo* mutation rate estimates included here. (A) The proportion of studies in different taxonomic groups. (B) The number of studies by year. MA: mutation accumulation studies, PO: parent-offspring studies.

Single nucleotide mutation rates across eukaryotes

To summarise the single nucleotide mutation (SNM) rates in different lineages, we obtained a time-scaled phylogenetic tree of all represented species from http://www.timetree.org/ (Kumar, et al. 2022), and used this to fit the covariance among species in a Phylogenetic Generalised Linear Mixed Model (PGLMM) using the Bayesian mixed model package MCMCglmm (e.g. Hadfield and Nakagawa 2010). Treating species as a random effect, this provides a posterior estimate of mutation rate for each species (and each internal node) under a Brownian motion model of trait evolution (see Methods), given the observations and relationships to other species. In these data, the phylogenetic effect accounts for just 2.10% of the random-effects variance, with 95% HPD interval (0.93%, 3.44%).

Among the chosen species groupings, the unicellular species had the lowest mutation rate ranging from 0.02×10^{-9} per generation per base pair in ciliates to 0.72×10^{-9} in haptophyta. This estimate is more than an order of magnitude lower than rates in multicellular species (below; **Figure 2**), and may either be attributable to there being a single cell division per generation, or possibly to a lower limit set by the drift barrier in species with larger N_e (Sung, et al. 2012a). We also observed relatively

low SNM rates for a nematode at 2.24×10^{-9}, arthropods at 3.51×10^{-9}, fish at 7.22×10^{-9}, and a bird

at 6.00×10^{-9} (random-effects estimates from our model, given the phylogeny). In particular, for

arthropods (which were represented by multiple species) the lowest mutation rate was identified as

0.45×10^{-9} in pea aphids (per parthenogenic generation) and the highest one was only 4.94×10^{-9} in

fruit flies. In contrast to these species, mammals and plants had relatively high mutation rates,

including non-primate mammals at 8.87×10^{-9} and primates at 9.94×10^{-9}. The plants (excluding

duckweed) and a multicellular fungus had the highest rates of all, ranging from 8.23 to 44.62×10^{-9}

(**Figure 2**), and it is tempting to speculate that this might be attributable to the lack of a segregated

germline (but see Wang, et al. (2019)).

To assess the impact of the experimental methods on rate estimates, we included the experimental

approach (MA or PO) as a fixed effect in the PGLMM. Overall, we found that while the mean value

from PO studies was higher than the value from MA studies, when accounting for variation among

species and the covariance between them induced by the phylogeny, this effect was not significant

(MCMC *p*-value = 0.646). We then examined comparisons between MA and PO in fruit flies and mice

more closely, as these two species have been investigated using both methods. The rate estimated

using MA in fruit flies was 5.28×10^{-9}, but 3.20×10^{-9} using PO (**supplementary Figure S1**), and in

mice the MA estimate was 5.40×10^{-9} while the PO estimate was 4.03×10^{-9} (**supplementary Figure**

S2), and these differences were likewise not significant. Note, however, that the mutation rate may

be heterogeneous among different populations: for *D. melanogaster*, the estimated mutation rate

from African populations was lower than that from European population and North American

population (Chan, et al. 2012; Wang, et al. 2022c). To make a more robust analysis would require

samples of multiple populations for each species, which are not yet available.

Species	Mutations	Sites (1e9)	Rates (Log scale)	Rates (1e-9)	95%-CI
Group = primates					
chimpanzee	636	66.61		12.25	[8.93,15.99]
human	327417	24598.44		13.92	[11.55,16.26]
gorilla	83	7.38		12.95	[7.81,19.39]
orangutan	51	3.07		14.10	[6.71,23.66]
rhesus macaque	970	139.03		8.76	[4.39,13.63]
baboons	475	83.33		8.73	[3.69,14.04]
green monkey	8	0.85		9.61	[3.76,16.07]
owl monkey	283	34.77		9.19	[3.73,16.40]
marmoset	9	2.09		8.03	[2.32,14.27]
mouse lemur	92	6.05		15.07	[4.35,28.86]
	330024	**24941.62**		**9.94**	**[3.95, 17.37]**
Group = mammals					
mice	1613	358.90		5.84	[3.17,8.86]
bear	115	13.69		9.76	[3.21,18.23]
wolf	27	6.00		7.22	[2.25,13.54]
cat	233	27.09		10.08	[3.08,18.78]
cattle	220	18.80		12.55	[3.88,23.54]
platypus	12	1.71		8.93	[1.95,19.07]
	2220	**426.19**		**8.87**	**[1.27, 19.73]**
Group = birds					
flycatcher	55	11.96		6.00	[1.41,12.65]
Group = fish					
atlantic herring	19	9.50		3.08	[0.59,6.54]
cichlid fishes	16	2.68		13.32	[4.12,25.81]
	35	**12.18**		**7.22**	**[0.44, 19.44]**
Group = arthropods					
midge	68	24.76		3.22	[1.18,5.82]
mosquito	27	14.60		2.11	[0.91,3.52]
fruit fly	3111	596.01		4.94	[3.39,6.54]
butterfly	9	3.21		3.50	[0.56,7.78]
bumblebee	23	6.42		4.31	[0.99,8.71]
honeybee	28	8.24		4.19	[0.98,8.42]
pea aphid	43	159.26		0.45	[0.11,0.96]
water flea	3025	1321.43		3.67	[2.53,4.87]
	6334	**2133.93**		**3.51**	**[0.17,9.78]**
Group = worm					
nematode	4649	2318.06		2.24	[1.49,3.05]
Group = fungus					
mushroom	136	2.20		44.62	[15.23,82.33]
Group = plants					
cabbage	12	1.32		10.14	[3.45,18.84]
arabidopsis	2061	275.94		9.97	[5.67,14.63]
peach	240	29.41		9.22	[2.52,18.38]
white campion	39	5.34		8.48	[2.13,17.22]
maize	7961	205.73		23.62	[7.22,44.27]
rice	51	5.97		8.23	[2.94,14.34]
duckweed	79	900.98		0.19	[0.08,0.34]
	10433	**1424.69**		**4.58**	**[0.81,9.45[]**
Group = unicellular					
yeast	5956	29777.21		0.22	[0.17,0.28]
algae	13007	14421.51		0.61	[0.41,0.80]
malaria parasites	113	366.94		0.67	[0.23,1.19]
ciliates	85	4229.04		0.02	[0.01,0.03]
haptophyta	455	819.82		0.72	[0.15,1.58]
amoeba	38	1534.47		0.03	[0.01,0.06]
	19654	**51148.99**		**0.94**	**[0.0007,3.37]**

-1500 -1000 -500 0
Mya

3.8e-11 2.8e-10 2.1e-9 1.5e-8

Figure 2. A phylogenetic meta-analysis of SNM rates for different species identified from the
literature. Mutation rates and 95% CIs were estimated using a PGLMM. For each group, we
estimated the rate for their most recent common ancestor (shown in bold under each group and

187 vertical solid grey lines). The vertical dashed line indicates the mutation rate of common ancestor of
188 the tree.

Factors associated with the SNM rates

Many biological factors have been proposed to be associated with mutation rate, including
generation time (Wu and Li 1985; Li, et al. 1987; Bailey, et al. 1991; Keightley and Eyre-Walker 2000),
population size (Lanfear, et al. 2014; Krasovec, et al. 2020), and genome size (Drake 1991; Lynch
2010). To test for a dependence of mutation rate on each of these factors, we ran three further
models, including each of them separately as an additional fixed effect in a PGLMM.

We found a significant positive relationship with generation time (pMCMC = 0.0004 when
accounting for phylogeny; for simple non-phylogenetic linear model p-value < 2×10^{-16} **Figure 3A**),
such that species with a longer generation time had a higher mutation rate per generation. If
mutations resulted only from replication errors, and most species had a similar number of cell
divisions per generation, then the per-generation mutation rate would not scale with generation
time (Wu and Li 1985; Thomas and Hahn 2014). However, in reality the number of germline cell
divisions per generation varies within and between species. In humans, there are around 401 cell
divisions per 30-year generation in males and 31 in females (Drost and Lee 1995; Ohno 2019), but in
mice it is 62 per 9-month generation in males and 25 in females. In *Drosophila* the sexes are much
more similar, with an estimated at 35.5 cell divisions in a 18-day generation for males but 36.5 in an
25-day generation in females in *D. melanogaster* (Drost and Lee 1995). Within a species, a long
generation time is likely to permit more cell divisions and thus a higher mutation rate per
generation, and many studies in humans and primates have shown that mutations are heavily male-
biased and correlated with paternal ages (Kong, et al. 2012; Thomas, et al. 2018; Wang, et al. 2020;
Kaplanis, et al. 2022). Alternatively, mutations may not result predominantly from replication errors.
Recent studies suggest that not all germline mutations track cell divisions, and DNA damage may
contribute to the mutation rates (Wu, et al. 2020; Wu and Przeworski 2022). Independent of cell
divisions, this mutation rate would be correlated with calendar time, and thus species with a longer
generation time would have a higher rate. The general correlation we observe between generation
time and per-generation mutation rate could therefore be a consequence of either a greater number
of cell divisions or of accumulating damage over time, and it will be hard to separate these potential
causes (Gao, et al. 2016; Wu and Przeworski 2022).

A negative relationship is visible between per generation mutation rate and census population size
(**Figure 3B**)—suggesting that species with more individuals tend to have lower mutation rates—but
this was not significant in a phylogenetic mixed model (pMCMC = 0.315). It was, however, highly

significant under a simple linear model that does not account for phylogenetic non-independence (p-

value $< 2.2 \times 10^{-16}$), demonstrating the importance of including species relationships in such models.

If census size is a predictor of effective population size (Buffalo 2021), then a significant negative

relationship could be consistent with a drift-barrier hypothesis; i.e. that mutation rates are

minimised by natural selection until the point at which the impact of selection for lower mutation

rate is counterbalanced by allele frequencies changes due to drift (Lynch 2010). And, if that were the

case, this could help to lessen the extent of "Lewontin's paradox", that diversity varies less than

expected among species, given the range of census population sizes. In that case, our observed (but

non-significant) negative correlation between mutation rate μ and N_e could mitigate the

unexpectedly small differences in nucleotide diversity across species, since $\theta_\pi = 4N_e\mu$ (Krasovec, et

al. 2020; Charlesworth and Jensen 2022).

Figure 3. The relationship between SNM rates and (A) generation time, (B) population size, and (C)

genome size. Both axes are shown in log scale. The solid black lines represent simple linear

regressions; the coloured lines represent different PGLMM regressions conditional on each of the

species random effects. Cr: *C. reinhardtii*; Pt: *P. tetraurelia*; Tt: *T. thermophila*; Dd: *D. discoideum*.

Finally, we found a positive relationship between genome size and per-generation mutation rate,

such that species with larger genomes also had higher mutation rates (*p*MCMC = 0.002; *p*-value for

simple linear model < 2× 10^{-16} **Figure 3C**). Mutation rate has previously been found to correlate

negatively with genome size in prokaryotes (a phenomenon termed "Drake's rule" (Drake 1991;

Bourguignon, et al. 2020; Marais, et al. 2020)), but positively in eukaryotes (Lynch 2010). Two

'outlier' unicellular eukaryotes with large genomes but very low mutation rates have (*Paramecium*

tetraurelia and *Chlamydomonas reinhardtii*), have in the past been explained by appeal to their large

effective population size and the 'drift-barrier' hypothesis (Sung, et al. 2012a). With the increased

availability of mutation rates accrued over the past decade, we find that the mutation rate of *C.*

reinhardtii is not as low as previously thought, and this species now fits well to the positive trend in

eukaryotes (**Figure 3C**). However, the mutation rates of *Tetrahymena thermophila* (Long, et al.

2016), *P. tetraurelia*, and *Dictyostelium discoideum* (Kucukyildirim, et al. 2020) are indeed very low.

Under 'drift-barrier' hypothesis, these outliers could be caused by the large N_e in these species, and

T. thermophila should have the highest N_e. In fact, the N_e in *T. thermophila* is smaller than that in *P.*

tetraurelia (1.1 × 10^8 *vs.* 1.2 × 10^8) (Sung, et al. 2012b; Long, et al. 2016), suggesting that N_e seems

unable to explain these outliers (Kucukyildirim, et al. 2020).

In each of the three models above, we fitted generation time, population size and genome size

individually as predictors of mutation rate. However, these factors tend to be highly correlated: large

organisms tend to have longer generation times, smaller population sizes, and often larger genomes

(Martin and Palumbi 1993; Buffalo 2021) and is therefore hard to distinguish between casual

relationships and confounding correlations. Indeed, including all three factors (generation time,

genome size, population size) as fixed terms in a single model, results in none of them being

significant, although generation time is marginal with *p*MCMC=0.08, versus *p*MCMC = 0.354 for

genome size and 0.602, for population size.

The mutation rates of short indels across eukaryotes

Although the rate of indel mutation is estimated much less frequently than the SNM rate, our search

identified estimates for 29 species. We found that the indel mutation rate across eukaryotes

265 displayed a similar pattern to that of the SNM rate (**Figure 4**), with a Pearson's correlation coefficient

266 between the two of 0.58 (p-value = 8.32 × 10^{-8}, df = 70; ignoring any phylogenetic effect), with the

267 SNM rate being generally higher than the indel mutation rate, except in two lineages (nematodes

268 and amoebae) for which the indel mutation rate was higher (**Figure 5A**). The unicellular species had

269 the lowest indel mutation rate, from 0.04 × 10^{-9} in yeast to 0.17 × 10^{-9} in algae, followed by

270 arthropods with 0.65 (0.02, 1.57) × 10^{-9}. Mammals had a relatively higher indel mutation rate with

271 1.23 (0.34, 2.36) × 10^{-9}, with humans at the higher end of this range at 1.86 (1.15, 2.70) × 10^{-9}. Of all

272 the studied species, plants had the highest indel mutation rate, which was as high as 2.85 (0.34,

273 6.21) × 10^{-9}.

275 **Figure 4**. An analysis of short indel mutation rates for different species. The estimated mutation

276 rates are presented on a log scale for clarity.

278 Short deletions generally outnumbered short insertions across eukaryotes (**Figure 5B,**

279 **supplementary Figure S3**). The ratio of deletions over insertions was as high as 15.00 in the water

flea, 4.28 in amoeba, 3.43 in fruit flies, 2.64 in humans, 1.80 in nematode, 1.58 in Arabidopsis and

1.28 in algae. Honeybees and two plants (rice and peach) exhibited more insertions than deletions,

but the difference wasn't statistically significant (*p*-value > 0.05, binomial test). Although yeast

shows significantly more insertions overall, this estimate is dominated by two large studies and an

excess of deletions was still reported in yeast across other studies (**supplementary Figure S3**).

A bias toward deletion events has been known for at least 40 years since de Jong and Rydén (1981)

found a four-fold excess of deletions over insertions in protein sequences. Petrov (2002) argued that

the deletion bias was likely due to a thermodynamic disparity between short deletions and

insertions that makes deletion events easier. Studies in plants have suggested that unequal

homologous recombination and illegitimate recombination may be another important cause of small

deletions (Bennetzen, et al. 2005). Whatever the cause, the dominance of deletions over insertions

seems convincing, and many early studies argued that these short deletions resulted in genome

contraction (Petrov 2002; Gregory 2003; Thomas, et al. 2003). However, the role of deletions in

shaping genome size remains controversial (Gregory 2003, 2004). A recent study in birds and

mammals indicates that short deletions alone cannot explain the DNA content loss (Kapusta, et al.

2017), and we fail to find any evidence of a relationship between deletion rate and genome size here

(**supplementary Figure S4;** but note that power is likely to be low). It seems probable that other

structural mutations, such as large deletions, gene duplication and transposable elements, may have

greater impacts on genome size. For example, in *Drosophila* Wang, et al. (2022c) found transposable

element insertions to be more frequent than SNMs, and an order of magnitude more frequent than

short indels. Together, these studies suggest that the impact of short deletions on genome size is

likely to be small, despite their higher frequency relative to short insertions.

Figure 5. The correlation between indel mutation rates and SNM rates plotted on a log scale with a

1:1 ratio shown as a dashed line (A). The aggregated log ratios of deletion/insertion in eukaryotes,

(B).

Concluding remarks

All genetic variation arises from *de novo* mutations. To estimate the rate at which these arise,

studies have moved from investigating a few genes that result in phenotypic change, to whole

genome sequencing of accumulated lines or pedigrees. Ten years ago, Campbell and Eichler (2013)

called for more efforts to sequence genomes from non-human primate families to understand 'how

the rate has changed in different lineages'. And, in the present data-rich and tool-rich era we have

now seen the sequencing of not just multiple non-human primates, but many taxa representing

major clades across the tree of life. Nevertheless, the 'tree of mutations' is far from complete, at

either the large or small scale. Even within animals, the vast majority of phyla have never been

examined, and with the exception of primates, no groups of close relatives have been examined.

However, as progress is being made toward a tree of mutation rates, attention still needs to focus on

methodological heterogeneity. Different data filtering strategies may result in non-negligible

differences in mutation rate estimates (Bergeron, et al. 2022). Although many strategies have been

proposed (Bergeron, et al. 2022), no standardised pipeline has yet been agreed upon or widely

adopted. All studies must therefore carefully address the issues of false positives, by manual

curation and/or PCR follow-up, and false negatives, by simulation. Biases may also come from the

samples used in each study. Besides the biological factors that affect mutation rates (age, sex),

environmental factors can also exert influences on mutation rates, such as temperature (Belfield, et

al. 2021; Waldvogel and Pfenninger 2021), osmotic stress (Hasan, et al. 2022) or ultraviolet light (Xu,

et al. 2019). Samples collected from the wild rather than reared in laboratories may reflect the *real*

mutation rate for the studied species, but the variation that this introduces may also make it harder

to draw broad conclusions. Despite these challenges, the data to come are exciting. In particular, as

sequencing technology advances, we expect improved accuracy in long-read sequencing facilitating

the phasing of mutations and permitting the easy detection of complex mutations and larger-scale

structural changes.

Methods

We made an exhaustive literature search for publications on the experimental estimation of

mutation rate. We first searched Clarivate Web of Science up to the date of 21 September 2022 for

'Title/Keywords/Abstract' fields containing ("mutation rate" | "mutation rates" | "mutational rate" |

"mutational rates"). As much of the resulting literature was related to somatic mutations (e.g.,

cancers) or virus mutations, we excluded '("tumor" | "cancer" | "clinical" | "virus")' in the search

field of 'Title/Keywords/Abstract'. This search strategy resulted in 9462 studies with the earliest one

dated 1928. We also searched for references to mutation-rate estimates in other papers not

captured by our search. As bacteria are outside of our study, we manually removed studies related

to bacterial mutations. These filters resulted in 174 studies. Of these studies, we further excluded 20

studies that used phylogenetic approaches to infer mutation rates, 14 studies that used phenotypic

markers, and two other studies that used recombination landscape or the site frequency spectrum

to infer mutation rates statistically. This resulted in a total of 138 studies in our final dataset.

From each of the potential studies we extracted the year of the publication, the species, the

population, mutation type (SNM, short insertion, short deletion), the unit of mutation rate (per bp

per year, per bp per generation), the mean and 95% CI of mutation rate, the number of identified

mutation events, the number of callable sites, the methods used to infer mutation rate (PO, MA or

others), the sample size, the number of generations (if MA), the sequencing technology, the

sequencing depth and other pertinent facts comments. If a 95% confidence or credible interval was

not provided in the original studies, we calculated a 95% confidence interval using 'binconf()' from

the Hmisc R package (version 4.7-0), based on the number of mutation events and callable sites and

assuming a binomial distribution (Girard, et al. 2011). For studies in which the number of callable

sites was not reported, an estimate was made from the number of mutation events and the

reported mutation rate.

To compare the SNM rates across different species, we conducted a phylogenetic meta-analysis (i.e.

a combined analysis of published data) using the mutation data from MA or PO studies. For the

social amoeba (Saxer, et al. 2012), the estimated mutation rate was reported without the mutation

count or callable sites. Instead of discarding this study, we assumed one mutation event and

calculated the corresponding callable sites based on mutation rates. This will necessarily

underestimate the precision with which rates are estimated (minimising their weight in the analysis),

while keeping it in the meta-analysis with unchanged mean values.

We obtained the phylogenetic tree and relative divergence dates for the species included in this

study from http://www.timetree.org/ (Kumar, et al. 2022). We performed the analyses using a

phylogenetic mixed model with phylogeny as random effect, assuming a poisson distribution for the

occurrence of *de novo* mutations, off-set by the number of callable sites (i.e. enforcing a directly

proportional relationship between the expected number of mutations and the number of sites

observed). The analysis was conducted using Bayesian mixed-model R-package MCMCglmm (version

2.32) (Hadfield 2010) with a weak prior for the variance of random effects and a fully-informative

prior at 1 for the fixed effect of 'callable sites', using the following code in R:

vv <- diag(2)

diag(vv) <- c(1e6, 1e-8)

prior <- list(B=list(mu=c(0,1), V=vv),

G=list(G1=list(V=1, nu=1, alpha.mu=0, alpha.V=100)))

The syntax for the basic model in R was:

m1 <- MCMCglmm(Mutation ~ log(Callable),

random= ~ Species,

ginverse=list(Species=InverseTree),

prior = prior, data=aa,

nitt=5001000, thin=1000, burnin=10000,

family = "poisson", pr=TRUE)

where 'Mutation' is the number of mutations and 'Callable' is the number of callable sites. 'Species'

is the random effect and 'InverseTree' is the expected phylogenetic covariance among species based

on relative branch lengths. To achieve stationarity, we ran 5010000 iterations with a thinning

interval of 1000 and a burn-in of 10000 steps for the model. To investigate the effects of methods

(MA or PO) used to estimate mutation rate, we additionally included 'Method' as a second fixed

effect in the above model.

We also investigated the relationships between mutation rate and generation time, population size,

genome size using phylogenetic mixed models that included these factors separately as fixed effects

and phylogenetic covariance as a random effect. A log-transformation was made on these variables

before fitting model and model predictions were made conditioning on each of the different species

as random effect levels. Estimated generation time for each species was gathered from the literature

(see Availability of data and materials). Estimates of census population size data were taken from

Buffalo (2021), who used body length and species range size to infer the population size. As the

estimation of population size is extremely challenging and subject to many uncertainties, we chose

to use only this source to avoid methodological heterogeneity. Genome sizes were taken to be

assembly size, and gathered from the NCBI database.

Availability of data and materials

The datasets and the code to analyse the data are available on GitHub:

https://github.com/Yiguan/mutation_literature

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Competing interests

The authors declare that they have no competing interests.

Supplementary figure legends

Supplementary Figure S1: The SNM rates comparison in *D. melanogaster* across different studies

using MA or PO method to estimate mutation rates. 1a: line33; 1b: line39; a2: West African

population; 2b: European population.

Supplementary Figure S2: The SNM rates comparison in *M. musculus* across different studies using

MA or PO method to estimate mutation rates.

Supplementary Figure S3: The ratio of deletions over insertions across all the identified studies.

Supplementary Figure S4: The relationship between genome size and the ratio of deletion/insertion

without (A) or with (B) human and mammal groups. Log-transformed has been made on both

variables.

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