# Mathematically mapping the network of cells in the tumor microenvironment

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# 16 Abstract

17 Cell-cell interaction networks are pivotal in cancer development and treatment response. 18 These networks can be inferred from data; however, this process often combines data from 19 multiple patients, and/or creates networks on a cell-types level. It creates a good average 20 overview of cell-cell interaction networks but fails to capture patient heterogeneity and/or 21 masks potentially relevant local network structures. We propose a mathematical model based 22 on random graphs (called RaCInG) to alleviate these issues using prior knowledge on potential 23 cellular interactions and patient's bulk RNA-seq data. We have applied RaCInG to extract 444 24 network features related to the tumor microenvironment, unveiled associations with immune 25 response and subtypes, and identified cancer-type specific differences in inter-cellular 26 signaling. Additionally, we have used RaCInG to explain how immune phenotypes regulated 27 by context-specific intercellular communication affect immunotherapy response. RaCInG is a 28 modular pipeline, and we envision its application for cell-cell interaction reconstruction in 29 different contexts.

# 30 Introduction

In the fight against cancer, it is key to stratify patients based on tumor characteristics, since these predict how a patient will respond to treatment. To stratify effectively, one needs to measure the functional state of the cells and molecules that reside in a tumor, collectively called the tumor microenvironment (TME). Big breakthroughs have been achieved focusing on the functionality of individual cells and proteins. For example, the development of programmed cell death ligand 1 (PD-L1) blockers<sup>1</sup> to counteract the protein's unambiguous pro-tumor effect<sup>2</sup>.

38 However, the TME exhibits emergent behavior that cannot be explained by individual cell- or 39 protein types<sup>3,4</sup> and focusing only on individual parts of the TME hinders the development of 40 more comprehensive treatment strategies. For example, the tumor necrosis factor alpha (TNF-41  $\alpha$ ) protein can elicit both a pro- or anti-tumor reaction based on further context cues in the 42 TME<sup>5</sup>. Thus, to fully capture the functional state of the TME it should be considered as an 43 interconnected system rather than a collection of individual components.

44 An unbiased approach to do this consists in the modeling of the TME as a cell-cell 45 communication network, which can be inferred typically from RNA sequencing (RNAseq) data 46 using statistical inference methods or machine learning techniques<sup>6</sup>. Several studies have 47 shown the value of using the reconstructed cell-cell communication networks to study the role 48 of cell-cell communication in the TME<sup>7-12</sup>. However, existing techniques have several 49 drawbacks. Most of them build a network on the cell- and protein-type level and not on the 50 level of individual cells/proteins<sup>6-9</sup>. This creates a "low resolution picture" of the cell-cell 51 communication network that masks important local network structures. Moreover, these methods are often do not capture cell-cell communication networks of individual patients<sup>11,13</sup>. 52

53 Most of the methods that construct networks of individual cells or individual patients rely on single-cell RNA-sequencing (scRNA-seq) data to derive their networks<sup>9,12</sup>. This provides 54 55 "higher resolution" modeling, but is more complicated to apply in specific use cases, since scRNA-seq data itself has some technical limitations: higher uncertainty, drop-outs, and 56 57 limited clinical applicability due to its higher costs and difficulties in sample preparation<sup>14</sup>. A recent approach has been proposed that combines bulk RNA-seq data with probabilistic 58 59 techniques to reconstruct cell-cell interaction networks for individual patients<sup>10</sup>. However, this 60 method builds a network on the level of cell-types that provides only a mean-field 61 approximation to the actual cell-cell interaction network without mathematical guarantees on 62 how well this approximation fits the data.

The field of random graphs models<sup>15</sup> can help in addressing these limitations, providing natural 63 64 ways to deal with limited prior knowledge when constructing cell-cell interaction networks. 65 Where prior knowledge fails us, stochasticity of random graphs can fill the knowledge gaps in 66 the most unbiased way possible, ensuring the result has no statistical bias outside the 67 provided data. Although these models explicitly introduce noise in the cell-cell interaction 68 network construction, emergent network behavior remains statistically consistent. These 69 consistencies can be mathematically proven, extracted and used as fingerprints of the actual 70 cell-cell interaction network. We can then use these fingerprints as features to understand, 71 predict and ultimately reshape the TME. Thus, even if we cannot derive a cell-cell 72 communication network at the level of individual cells directly from available data, random 73 graph modeling will still allow us to pinpoint local properties that should emerge from such 74 "high resolution" networks. In practice, this means random graph modeling allows us to 75 reconstruct single cell networks from widely accessible bulk RNA-seq data. It can do this by 76 relying on prior knowledge until uncertainties are encountered, which it resolves by sampling from all possible options uniformly at random without making extra explicit assumptions<sup>16</sup>. 77

78 Here, we provide a methodological pipeline to reconstruct (ensembles of) cell-cell interaction 79 networks using patient-specific bulk RNA-seg data and prior knowledge on the ligands and 80 receptors that can be secreted by given cell-types as input. We make use of a specifically 81 designed random graph model as a statistical model for the potential configurations of the 82 network that respects constraints from the input data and biology. We provide this data 83 analysis pipeline as a general toolbox called the "Random Cell-cell Interaction Generator" 84 (RaCInG) to study context-specific cell-cell interaction networks. To validate the pipeline, we 85 reconstruct cell-cell interactions among relevant cell-types in the TME for 3310 cancer 86 patients. In these case studies we show that we can extract consistent properties from 87 individual patients that form predictors for their immune subtype and response to immunotherapy with immune checkpoint blockers (ICB). 88

# 89 **Results**

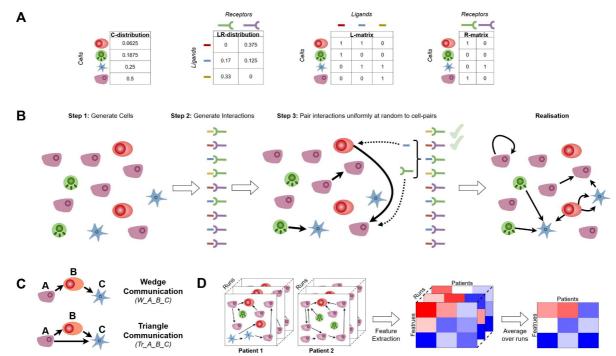
90 Reconstructing cell-cell communication networks through monte-carlo91 simulations

92 RaCInG constructs directed networks where the nodes represent individual cells, and the arcs 93 (i.e., the directed connections) represent ligand-receptor interactions between two cells. To 94 generate networks, four types of input are needed (**Fig. 1A**): 1. A cell-type vector (*C-*95 *distribution*), where each entry indicates the probability of an individual cell having a given 96 type. 2. A ligand by receptor matrix (*LR-distribution*), where each entry indicates the probability

of an individual interaction involving a given ligand and receptor. 3 and 4. A ligand (or receptor)
by cell-type binary matrix, where 0 indicates that a ligand (or receptor) cannot be expressed
by a cell-type, and 1 indicates that it can. An example of how these input matrices can be
derived from patient-specific bulk RNA-seq data and general prior knowledge is provided later
in the case study.

The network generation procedure (**Fig. 1B**) starts by generating a fixed number of individual cells whose types are assigned randomly based on the *C-distribution*. Then, a fixed number of random ligand-receptor interactions are generated based on the *LR-distribution*. Treating the C- and LR-distributions as probabilities rather than as exact numbers allows handling uncertainties in the input data.

107 The procedure continues by attaching each ligand-receptor interaction as an arc in between 108 two cells selected uniformly at random among the ones which can express the ligand and the 109 receptor as defined through the *L-matrix* and *R-matrix*, respectively. This process of 110 connecting cell-cell pairs based on ligand and receptors continues until all ligand-receptor 111 pairs have been assigned.



112 113 Fig. 1: Methodology of monte-carlo simulation. (A) Input matrices used by RaCInG including information on: 114 cell-types and ligand-receptors relative quantification (C-distribution and LR-distribution respectively); which 115 ligands and receptors can be expressed by specific cell types (L-matrix and R-matrix respectively). (B) Schematic 116 depiction of the simulation steps for one network based on the input matrices including: random generation of cells 117 and ligand-receptor interactions based on C-distribution and LR-distribution matrices respectively (Step 1-2); 118 iterative assignment of ligand-receptor interactions to cell-pairs based on L-matrix and R-matrix (Step 3). (C) The 119 types of features extracted from the simulated networks. (D) The global pipeline of the monte-carlo method 120 including the generation of multiple possible realizations of random networks and the extraction of robust network 121 features.

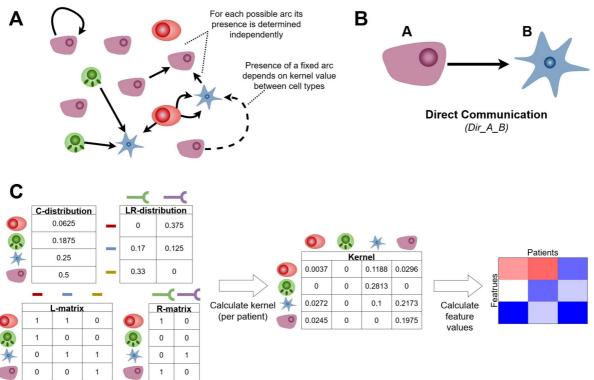
After this procedure, RaCInG has created one network instance for a given patient that adheres to the constraints from RNA-seq data. This is only one possible representation of the network and is not necessarily representative of the patient's actual cell-cell communication network. Thus, RaCInG generates an ensemble of networks for the same patient and extracts statistical properties that remain consistent in the network ensemble<sup>17</sup>. We define these as network fingerprints which include information about high-level interactions between two or more cell-types (graphlets) and about low-level interactions between ligands and receptors.

129 Currently, two types of fingerprints involving triplets of cells are extracted based on monte-130 carlo simulations by RaCInG: wedges and triangles (Fig. 1C). Specific wedges and triangles 131 are referred to hereafter as W A B C and Tr A B C, respectively, with letters indicating the 132 cell types involved. The count of wedges and triangles for individual patient-specific networks 133 is computed as the average over the ensemble to account for model randomness and derive 134 close approximation of their abundance in the actual cell-cell communication network (Fig. 135 **1D**, see **Methods** for the computation of the counts). The guantification of network fingerprints 136 for individual patients are interpreted as features for further analysis.

## 137 Kernel-based approach to derive network fingerprints

138 Although monte-carlo simulation provides an intuitive method to extract features, RaCInG also 139 allows to mathematically derive some features using random graph theory, based on kernels. 140 This is a matrix that encodes the asymptotic probability that a ligand-receptor interaction exists 141 between two individual cells with specific cell-types. It is based on the expected number of ligand-receptor interactions that connect these cells<sup>15,18-20</sup> (see Methods for the exact 142 143 expression). If we would generate networks using a kernel, then after generation of cells a 144 coin flip determines whether a ligand-receptor interaction between each pair of individual cells 145 appears. The success probability of this flip is determined by the cell-types of the pair and their 146 kernel value (Fig. 2A).

RaCInG allows quantifying the direct communication between individual cells with cell-type A and B (referred hereafter as *Dir\_A\_B*, **Fig. 2B**) using the kernel method. First the kernel is computed for each patient using all four input matrices, and then the kernels are transformed into the feature values (**Fig. 2C**). This approach uses a mathematical guarantee (see **Methods** for the derivation) and is faster than using the network generation procedure.



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Fig. 2: Kernel method based on random graph theory. (A) The mathematical idea behind graph generation in 154 the kernel method, which highlights the interpretation of the kernel. (B) The feature type extracted using the kernel 155 method. (C) The general pipeline used to extract features from the graph using the kernel method. 156

#### Normalization of network fingerprints to account for different 157 cellular composition 158

159 All methodologies in RaCInG to extract network features (i.e., the monte-carlo and kernel 160 method) are biased through cell-type quantification. When assigning ligand-receptor pairs to 161 cells, the model selects cells uniformly at random, so highly abundant cells-types have a larger 162 probability of being selected, reflecting in the feature values. To account for this and allow 163 comparison of network features between samples with different cellular composition, we implement a normalization procedure that corrects for the influence of the cell-type 164 165 abundance.

166 RaCInG recomputes the network features for each patient using the same input matrices 167 except for the LR-distribution, which is made uniform (i.e., same probability for all ligand-168 receptor interactions). This removes the influence of the ligand-receptor quantification, as the 169 features extracted using the uniform *LR-distribution* are determined just by cell-type 170 guantification. Finally, we compute the fold-change between the (average) feature values 171 obtained using the data-derived versus uniform *LR-distributions*. The resulting feature values 172 depend predominantly on ligand-receptor quantification. Moreover, the procedure ensures 173 that all feature values have the same order of magnitude, regardless of their type.

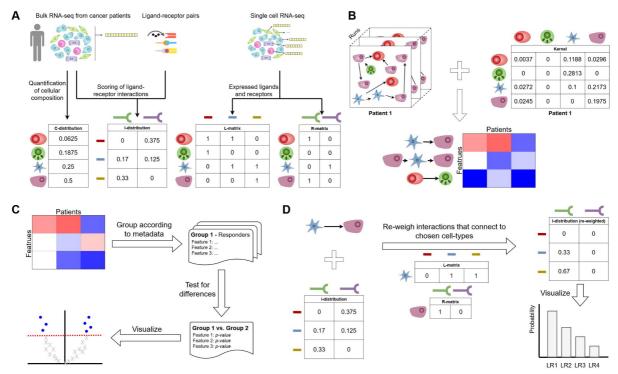
### 174 Application to characterize the tumor microenvironment

We used RaCInG to investigate the role of cell-cell communication by building patient-specific cell-cell interaction network models for 3213 patients from six solid cancers from The Cancer Genome Atlas (TCGA): bladder urothelial carcinoma (BLCA; N = 407), colon rectal cancer (CRC; N = 379), clear cell renal cell carcinoma (KIRC; N = 533), non-small cell lung cancer (NSCLC; N = 1012), skin cutaneous melanoma (SKCM; N = 467) and stomach adenocarcinoma (STAD; N = 415) (**Methods**)<sup>21</sup>.

- We first derived the four input matrices required by RaCInG as follows (Fig. 3A; see Methods 181 182 for more details): 1. The *C*-distribution table consists of nine cell-types present in the TME 183 (names and abbreviations are summarized in **Table 1**). The probability of each cell-type to appear in the network was defined specifically for each patient from RNA-seg data as their 184 relative abundance quantified using an ensemble of deconvolution methods<sup>22</sup>. The LR-185 distribution table was defined based on a list of 971 literature-curated LR interactions<sup>23</sup> and 186 187 guantified for each patient as the most limiting factor between the expression of the ligand and 188 the receptor based on the RNA-seq data. 3-4. The L-matrix and the R-matrix were defined as 189 (non patient-specific) prior knowledge that indicated which ligand and receptor can be 190 expressed by a specific cell-type based on cell-type specific gene expression data<sup>24</sup>.
  - Abbreviation Abbreviation Cell name Cell name Tumor B-cell В Tumor Cancer associated fibroblast CAF Macrophage М Endothelial cell Dendritic cell DC Endo CD8+ T-cell CD8 Regulatory T-cell Treg Natural killer cell NK
- 191 Table 1: The cell-types included in our case studies.

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Using the monte-carlo simulations and the kernel method described above (Fig. 1D and 2C) 193 194 we derived the three sets of network features consisting of: 81 direct communications, 729 195 wedge communications and 978 triangle communications (Fig. 3B). Based on our first 196 analysis of the results, showing a limited influence of the directionality of interactions, we 197 decided to consider classes of undirected interactions (Methods). Additionally, due to the low 198 and inconsistent quantification of NK cells, features involving this cell-type were discarded. 199 These adjustments reduced the number of network features to 36, 288 and 120, respectively. 200 Finally, we used the extracted features (Supplementary Table 1) to compare patients or 201 patient groups (Fig. 3C) and look into the LR-pairs that make up specific features of interest 202 (Fig. 3D).



 ERT LR2 LR3 LR4
 Fig. 3: Modular structure of RaCInG for analysis of cell-cell interactions in the TME. (A) Cell- and interactionquantification from bulk RNA-seq data. (B) Feature extraction from patient specific graphs or kernel values. (C)
 Statistical analysis based on a list of extracted feature values in a batch of patients. (D) Extraction of LR-pair probabilities for given cell-type interactions.

#### 209 Network features correlate with immune response

Cell-cell communication has shown to influence the orchestration of anti-cancer immune
 response<sup>25</sup>. Therefore, we have applied RaCInG to investigate how different graph features in
 our models correlate with an ensemble immune response score (Methods)<sup>26</sup>.

We observed that 31-87 features out 444 (7-20% depending on cancer type) strongly 213 214 correlated with immune response (absolute Spearman rho > 0.5; p-val < 0.01 after Bonferroni 215 correction; Fig. 4). Generally, the more "complex" features (i.e., wedges and triangles) showed 216 similar associations with immune response (6.6%-18.1% and 6.7%-21.7% highly correlated 217 features respectively) as the "simple" fingerprints (i.e., direct communication; 8.3%-38.9% 218 highly correlated features). However, if a direct communication feature appeared (e.g. 219 Dir CD8 M in NSCLC; rho = 0.652, p-val < 0.0001), then often a more complex feature, 220 including this direct communication as a subset, showed a higher absolute correlation (e.g. 221 W CD8 M M; rho = 0.754, p-val < 0.0001). Such more complex features are more informative 222 as they describe intercellular communication paths rather than simple direct interactions and 223 they can highlight which detailed interaction elicits the strong correlation with immune 224 response. Following the example above, W CAF M CD8 has lower correlation (rho = 0.590, 225 p-val < 0.0001) meaning that the addition of CAFs to direct communication between 226 macrophages and T-cells worsens the overall immune response. These observations highlight

the importance of looking at more intricate communication mechanisms to study thecoordination of anti-cancer immune responses.

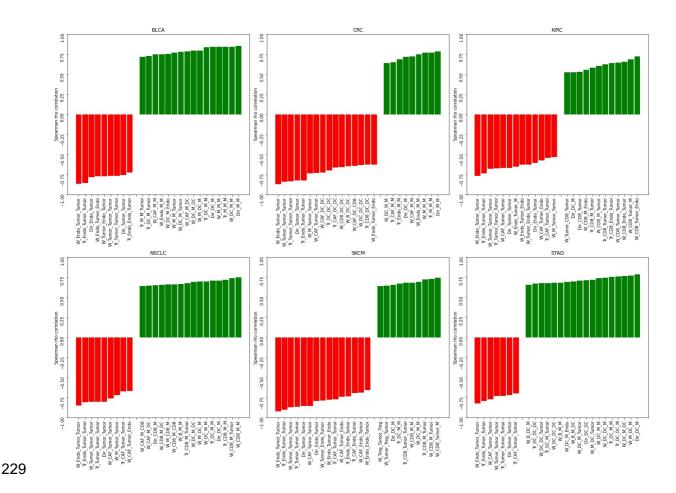


Fig. 4: Network features associated with immune response. The 25 features with the largest spearman rho
 correlation with immune response for each of the six cancer types. Features were only selected if their associated
 p-value was smaller than 0.01 after Bonferroni correction.

234 When focusing on features that showed negative association with immune response, we 235 mainly found communication structures consisting of tumor cells, endothelial cells and CAFs 236 and absence of involvement of immune cells. One reason for that is the direct positive 237 relationship between immune response and the presence of infiltrated immune cells in the tumor (e.g., through the formation of tertiary lymphoid structures)<sup>27,28</sup>. In this scenario, we 238 239 expect the immune cells to drive the communication with the aforementioned three cell-types. 240 Instead, in tumors with a less active immune response, the main remaining communication 241 players will be tumor cells, CAFs and endothelial cells.

Interestingly, we observed a different behavior in CRC, where endothelial cell and tumor communication as well as dendritic cell communication to CAFs and CD8+ T-cells were negatively correlated with the immune response score (**Fig. 4**; CRC panel). This can be

therefore likely to establish crosstalks with stromal cells like CAFs<sup>29</sup>. In agreement with the negative correlation, dendritic cells in the stroma have been shown to be associated with low infiltration of CD8+ T-cells<sup>29</sup>.

Concerning positive associations with immune response, we observed a varied palette of features, with communication predominantly between immune cells or between immune cells and tumor cells found among the features with the largest, positive correlation. The specific set of features correlated with immune response varies between cancer types, confirming the well-established heterogeneity of the TME across cancer types<sup>30</sup>. This heterogeneity underlies the potential of deriving patient-specific models of intercellular communication, as we will further explore in the next sections.

#### 256 Network features as immune phenotype indicators

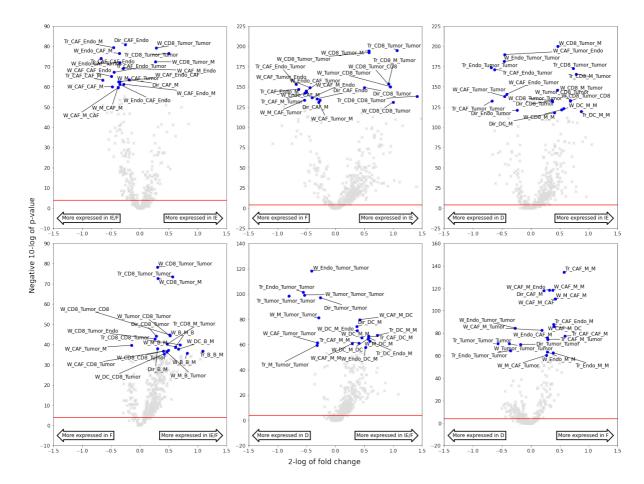
257 To uncover the existent heterogeneity of cell-cell communication across patients, we used 258 RaCInG to seek whether certain network features can explain differences between immune 259 phenotypes. We considered four immune phenotypes previously defined in literature<sup>31</sup>: 260 immune enriched (IE), immune enriched-fibrotic (IE/F), fibrotic (F) and immune deprived (D). 261 The immune enriched groups (IE and IE/F) are characterized by high anti-tumor immune cell 262 infiltration and activation in the tumor. The fibrotic groups (IE/F and F) are characterized by 263 activation of stromal cells like CAFs. IE and F tumors are expected to have positive and 264 negative correlation with response to ICB therapy respectively. Finally, the deprived group (D) 265 is characterized by little immune or stromal cell activation. In the following sections we start 266 from a pan-cancer analysis of patients from the six cancer types discussed above, and then 267 focus on two cancer-specific analyses.

#### 268 Pan-cancer analysis

When looking at comparison between immune subtypes at the pan-cancer level (**Fig. 5**) we identified that the D phenotype is mainly characterized by communication between tumor and endothelial cells. This is in agreement with the expected negative association with the immune response. The D group is likely to have minimal leukocyte or lymphocyte activity<sup>31</sup>, opening

the door for high cellular communication between malignant and non-immune cell-types.

As expected, we identified increased CAF activity in the fibrotic groups (IE/F and F) as well as CD8+ T-cell activity in the immune enriched groups (IE and IE/F). Interestingly, we see in the IE to F comparison that macrophages appear in both groups: communicating with CD8+ Tcells in the IE group, or with CAFs in the F group. The dual importance of macrophages in both IE and F subtypes might be explained by macrophages playing different roles in the tumor depending on their phenotype. Anti-tumor macrophages (also called M1 macrophages) recruits CD8+ T-cells to fight the tumor<sup>32</sup>, explaining its appearance in the IE group where we expect to have higher anti-tumor to pro-tumor macrophage ratio<sup>31</sup>. On the contrary, pro-tumor macrophages (also called M2 macrophages) are known to conspire with CAFs to boost tumor malignancy<sup>33,34</sup>, motivating why this interaction appears in the most hostile immune phenotype. Overall, these considerations show that the network features are able to capture general characteristics of immune phenotypes well and show potential to distinguish the functional role of cell-types based on their interactions.



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Fig. 5: Network-based characterization of microenvironment subtypes in pan-cancer settings. Volcano plots showing the statistical comparison of network-based features identified by RaClnG when doing pairwise comparisons of microenvironment subtypes across cancer types. The red line indicates the  $\alpha = 0.05$  significance threshold after Bonferroni correction. On the x-axis we show the fold change between the average feature values for each group, and on the y-axis the negative 10-log of the Wilcoxon rank sum test's p-value. For each plot, the twenty features with lowest p-value have been highlighted.

293 Cancer type-specific analysis

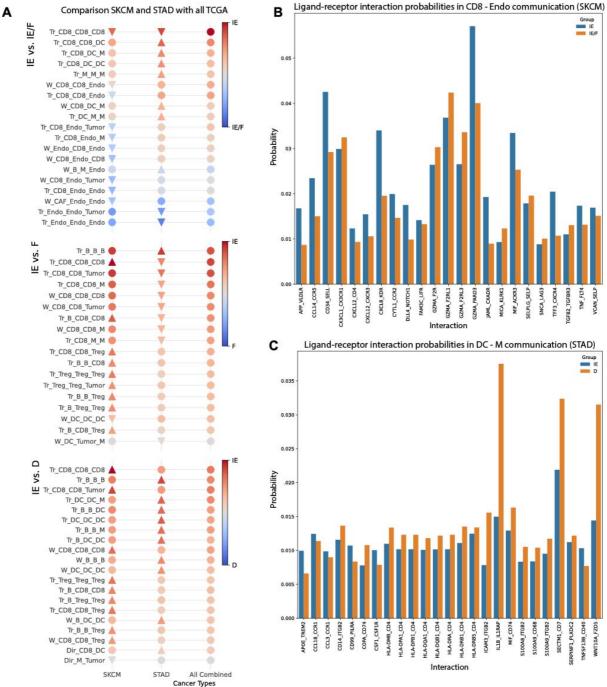
Next, we focused our analysis on melanoma (SKCM) and gastric cancer (STAD) to show
which additional insights RaCInG can provide at the cancer-specific level (Fig. 6;
Supplementary Fig. 1-3).

When comparing the IE versus non-IE (F and D) subtypes we observed that several features associated with immune activation are more prominent in SKCM compared to pan-cancer

299 (Fig. 6A). Examples are B-cells activating CD8+ T-cells (e.g. Tr B CD8 CD8, 2-log fold-300 change 0.99 and 1.34 in IE vs D and IE vs F comparisons respectively), self-activation of 301 CD8+ T-cells (e.g. Tr CD8 CD8 CD8, fold-change 1.66 and 1.77 in IE vs D and IE vs F 302 comparisons respectively) and CD8+ T-cells targeting tumor cells (Tr CD8 CD8 Tumor, fold-303 change 1.40 in the IE vs D comparison). These observations are in agreement with the strong immune response reported in SKCM<sup>31</sup>. This strong antitumor immune response can cause the 304 305 recruitment of immunosuppressive Treg cells by CD8+ T-cells (Tr CD8 CD8 Treg; fold-306 change 1.08 and 1.14 in IE vs D and IE vs F comparisons respectively) and B-cells 307 (Tr B B Treg; fold-change 0.90 and 1.03 in IE vs D and IE vs F comparisons respectively) 308 to counterbalance the high immunogenicity of these tumors and as a potential mechanism of 309 immune evasion<sup>35–37</sup>.

When comparing IE and IE/F groups we observed that the CD8+ T-cell communication with endothelial cells is stronger in IE/F patients for the SKCM dataset when compared to the pancancer analysis (eight out of the top ten features that are more specific for SKCM involve this interaction, all with 2-log fold-change < 0; arrows pointing down in **Fig. 6A** for SKCM). Often, these features shifted from being more represented in IE patients to being enriched in IE/F patients (see e.g., *W\_CD8\_Endo\_CD8*; going from red in the pancancer to blue in the SKCM comparison of IE vs IE/F in **Fig. 6A**).

317 To delve deeper into what proteins contribute to this shift, we retrieved from RaCInG the top 20 ligand-receptor interactions that are likely to drive this cell-cell communication (Fig. 6B). 318 319 Three out of the five interactions with a higher probability of appearing in the IE/F subtype 320 compared to the IE subtype involve a member of the family of thrombin receptors (F2R, 321 F2RL1, and F2RL3) interacting with granzyme A (GZMA). Interestingly, GZMA interacting with thrombin receptors is usually associated with apoptosis in targeted cells<sup>3839</sup>, creating an anti-322 323 tumor microenvironment that is more fitting for the IE subtype. However, in melanoma 324 thrombin receptors stimulation has been associated with tumor progression, which is more 325 common in IE/F patients<sup>40</sup>.



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Fig. 6: Cancer specific analysis of SKCM and STAD. (A) List of top ten features for SKCM and STAD that changed the most in average fold-change when compared to the results of the pan-cancer analysis. The direction of the triangles indicates the direction of the fold-change shift when compared to the pan-cancer analysis. Dots indicate that the fingerprint is not part of the top ten features for the given cancer type. (B) The top twenty ligand-receptor pairs that are most likely to create a connection between CD8+ T-cells and endothelial cells in SKCM for the immune subtypes IE and IE/F. (C) The top twenty ligand-receptor pairs that are most likely to create a connection between dendritic cells and macrophages in STAD for the immune subtypes IE and D.

Regarding STAD, we observed that interactions involving dendritic cells, especially with macrophages, are the most distinguishing features which are downregulated in the D subtype compared to pan-cancer (arrows going up in the IE vs D comparison **Fig. 6A**). Focusing on the interaction between macrophages and dendritic cells, we identified three ligand-receptor pairs which are particularly more abundant in the D than in the IE subtype in STAD (**Fig. 6C**). 340 These are the interactions between interleukin 1 beta (IL1B) and interleukin-1 receptor 341 accessory protein (IL1RAP), between WNT family member 10A (WNT10A) and frizzled class 342 receptor 5 (FZD5), and between secreted and transmembrane protein 1 (SECTM1) and CD7. 343 By communicating through the IL1RAP and IL1B proteins, the macrophages and dendritic 344 cells dampen the inflammatory process in the D subtype (if they communicate), inducing a 345 poor prognosis<sup>41</sup>. This entails that in the D subtype immune cells interact less, explaining why 346 globally we see macrophage interaction with dendritic cells more in the IE subtype, where 347 inflammation is stronger. Similarly, overexpression of WNT10A has been shown to induce a poor prognosis<sup>42</sup>, and is known to interact with FZD5<sup>43</sup>. Finally, there is also evidence of the 348 secretion of SECTM1 by dendritic cells to attract monocytes to the TME via binding to CD7, 349 promoting their differentiation into macrophages<sup>44</sup>. Taken together, pro- or anti-tumor immune 350 351 infiltration through macrophage communication with dendritic cells is more likely to occur in 352 patients from non-desert immune phenotypes<sup>31</sup>.

## 353 Network features as indicators for response to ICBs

As the graph features derived by RaCInG provided mechanistic understanding in terms of cellcell communication about patients' immune phenotype, we were interested in extending the analysis into investigating patients' response to anti-PD1 immunotherapy<sup>45–47</sup> (**Methods**; **Supplementary Tables 2**).

First, we analyzed two melanoma datasets (Gide-Aulander cohorts<sup>45,46</sup>) with known ICB response and RNAseq data from samples collected before (n = 51) and on (n = 26) treatment. We computed the average (theoretical) kernel-values (**Methods**) for the responder and nonresponder patients and used it as a measure of direct communication between cell-types in the TME (**Fig. 7A**).

363 For the responding patients in the Gide-Auslander cohorts we observed a large increase of 364 CD8+ T-cell communication during immunotherapy (sum of the kernel values 1.4576 vs 2.8788 for before and on-treatment samples, respectively). When we zoomed into the types 365 366 of communication this cell-type was involved in, we observed specifically that both the amount 367 of communication from tumor to CD8+ T cells (kernel value 0.2930 before treatment vs 0.4510 368 on treatment) and from macrophages to T-cells (0.1558 vs 0.3170) doubled. Moreover, the 369 amount of communication in between T-cells almost quadrupled (0.1159 vs 0.4002). Overall, 370 this suggests an increased activation of CD8+ T cells enabled by ICB therapy.

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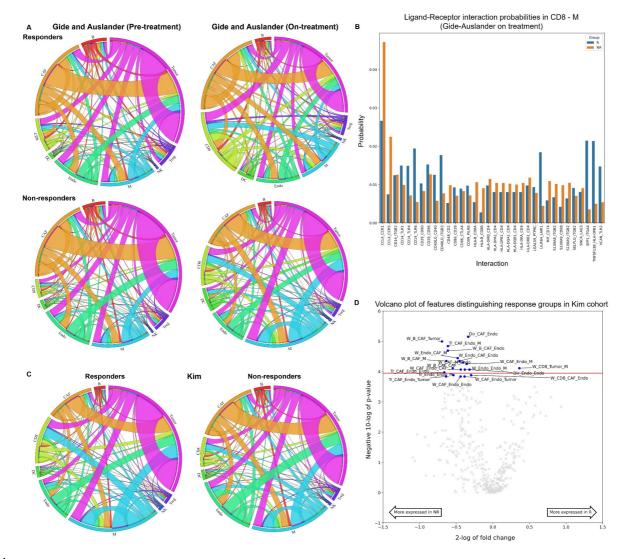


Fig. 7: Comparison of responders and non-responders to immunotherapy. (A) Circos plot of average kernel values in
 responder and non-responder groups of the Gide-Auslander cohorts. The size of each ribbon indicates the fraction
 of total communication each cell-type is part of. The thickness of the lines in between two cell-types indicates how
 much these cell-types communicate. Circos plots were produced using the online tool "circos"<sup>50</sup>. (B) Protein
 communication scores between CD8+ T-cells and macrophages in the Gide-Auslander cohorts on treatment. (C)
 Circos plot of average kernel values in responder and non-responder groups of the Kim cohort. (D) Comparison of
 responders and non-responders in the Kim cohor

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These results are in agreement with the increased CD8+ T cell communication in the IE subtype (associated with ICB response<sup>31</sup>) with respect to the F subtype (associated with lack of ICB response<sup>31</sup>) that we previously observed in the pan-cancer analysis (**Fig. 5**). For ICB to be effective, T-cell activation is important<sup>31,48</sup>. This means that T-cells communicate more with tumor cells (for direct killing and additive cytotoxicity<sup>49</sup>) or with each other (for selfactivation), explaining why these two fingerprints increased in responders upon ICBs treatment.

Interestingly, when we subsequently compared non-responders before and on treatment in
 the same cohort, we still saw an increase in T-cell communication (the sum of all kernel values
 involving T-cells is 0.8212 before treatment versus 1.7490 on treatment), but not in tumor

communication (5.4529 versus 5.2310). We also noted that macrophage communication to T cells tripled (0.0924 versus 0.3398). Taken together with increased T-cell activity, this hinted
 at non-responders having a high activity of pro-tumor macrophages after treatment, which
 could not solely be explained by increased pro-tumor macrophage abundance
 (Supplementary Fig. 4). The pro-tumor macrophages possibly suppress the anti-tumor
 activity of CD8+ T-cells and cause resistance to ICB treatment.

398 To better understand the dual role that macrophages to CD8+ T cells communication have in 399 responders and in non-responders we compared the ligand-receptor interactions driving this 400 cell-cell interaction in the on-treatment samples between these two patient groups (Fig. 7B). 401 Here, we observed that non-responders had a higher expression of the macrophage 402 inflammatory protein 1ß (CCL4), which is linked to suppression of CD8+ T-cells and 403 recruitment of pro-tumor macrophages<sup>51</sup>. Moreover, we also saw increased activity of the 404 S100 calcium-binding protein A8 and A9 (S100A8/-A9), which is a biomarker of tumor 405 progression also in response to ICB therapy in melanoma patients, in agreement with their 406 appearance in non-responders<sup>52</sup>. Additionally, non-responders showed higher expression of 407 major histocompatibility complex class I-B (HLA-B) binding to CD8(A and B) T cell receptors, 408 which is linked to downregulation of CD8+ T-cell activity<sup>53</sup> and T-cell exhaustion<sup>54</sup> mediated 409 by pro-tumor macrophages. Interestingly, major histocompatibility complex class II (HLA-DM, 410 -DP, -DQ, -DR) interaction with cluster for differentiation 4 (CD4), which is normally related to 411 antigen presentation, is also slightly higher in non-responders. This could be linked to an 412 aberrant expression of HLA class II molecules that have been linked in melanoma to recruitment of dampened CD8+ T-cells<sup>55</sup>. Finally, some other minor proteins that are more 413 414 expressed in non-responders are also linked to pro-tumor macrophage activity (e.g., macrophage migration inhibitory factor; MIF<sup>56</sup>). Overall, these results suggest that 415 416 macrophages to CD8+ T cells regulation in non-responders is associated with pro-tumor 417 macrophages which provide alternative ways to inhibit immune response and therefore resist 418 anti-PD1 treatment.

419 Next, we analyzed responder and non-responder gastric cancer patients treated with anti-PD1 (Kim cohort, n = 45; Fig. 7C)<sup>47</sup>. We observed that features involving CAFs and endothelial 420 421 cells were indicative of non-response (e.g. Dir CAF Endo in Fig. 7D; p-value < 0.0001 and 422 2-log fold-change -0.34) while the W CD8 Tumor M feature was indicative of response (p-423 value < 0.0001 and fold-change 0.36). Communication of CAFs and endothelial cells could be 424 expected for the non-response group, given their known association with angiogenesis and a pro-tumor microenvironment<sup>57</sup>. The appearance of CD8+ T-cell communication with tumor 425 426 cells was in line with the general behavior for responders we observed when analyzing the 427 melanoma cohorts.

428 Since macrophages made an appearance in both the non-responder and responder groups, 429 we further looked at direct cell-cell communications (Fig. 7C). We observed that macrophages 430 communicate more with CD8+ T-cells in the responder (kernel of 0.4483) than in the non-431 responder group (kernel of 0.2462). This is in agreement with previous observations that, in gastric cancer, interactions between CD8+ T-cells and macrophages create an immune 432 433 inflamed TME that is associated with better prognosis and survival<sup>58</sup>. Additionally, in the non-434 responder group the protein interaction profile showed that the macrophages exhibited a pro-435 tumor phenotype (Supplementary Fig. 5).

436 Finally, we observed that B-cells tend to preferentially appear in features associated with non-437 response (Fig. 7D) and that they are in general more active in non-responders (sum of kernel 438 values involving B-cells is 0.5221 in responders versus 0.7593 in non-responders; Fig. 7C). A 439 possible explanation to this behavior is the formation of regulatory B-cells. This phenotype of 440 B-cells plays a role in tumor progression and immune system suppression in gastric cancer<sup>59</sup>. 441 To test this hypothesis, we compared the ligand-receptor interactions for B-cell to CD8+ T-cell 442 communication between responders and non-responders (Supplementary Fig. 6). This 443 showed that especially the lymphocyte-specific protein tyrosine kinase (Lck) was more active 444 in the non-response group. This protein is known to hinder T-cell activation<sup>60</sup>, providing a 445 pathway exploited by B-cells other than PD-L1 to allow tumor cells to evade the immune 446 system, hinting at the regulatory B-cell phenotype<sup>59</sup>.

# 447 **Discussion**

448 RaCInG is a new computational tool to construct patient-specific cell-cell interaction models 449 based predominantly on bulk RNA-seq. This methodology leverages techniques from the mathematical field of random graphs and provides a way to build cell-cell interaction networks 450 451 at the level of individual cells. Moreover, since the networks are built based on well-studied<sup>17</sup> 452 models, theoretical guarantees can be derived about the patient's networks. Our method 453 extends previous research efforts since it captures the unknown features in a patient's TME 454 through stochasticity. It assumes the input data as given but does not infer a deterministic network based on this data. Rather, it builds a network ensemble of admissible networks which 455 456 adhere to the provided input data and searches for features that remain (statistically) 457 consistent over the entire ensemble. Moreover, RaCInG allows us to go beyond 458 communication of individual cell-types: it can consider features for which more than two cell-459 types come into play (wedges and triangles). Finally, RaCInG removes the bias introduced 460 through cell-type quantification and places all network features on the same footing by 461 considering the fold-change between feature values in two network settings: one with "normal"462 input data, and one with input data that only considers cell-type quantification.

463 We have applied RaCInG to study the role of cell-cell interactions in the TME. We have shown 464 that RaCInG is able to extract network fingerprints for individual patient's TME that correlate 465 well with immune response, TME subtypes and response to ICB therapy. Intracellular 466 communication regulates cellular phenotypes possibly explaining the dual role that certain cell-types can have in different contexts<sup>27,61</sup>. For example, using RaCInG in a pan-cancer 467 468 analysis of six cancer types from the TCGA, we have observed that macrophages can be 469 associated with an anti-tumor or a pro-tumor TME subtype depending if they preferably 470 interact with CD8+ T-cells or with CAFs, respectively. In agreement with this observation, 471 macrophages interaction with CD8+ T-cells is also positively associated with better response 472 to ICB therapy in gastric cancer.

473 RaCInG allows us to dive deeper into which ligand-receptor pairs characterize specific cell-474 cell interactions, providing a better understanding of their potential role in regulating immune 475 cell phenotypes. In this way we can link network fingerprints with their corresponding 476 proteomic landscape. For example, we used this approach to look into ligand-receptor 477 interactions driving communication between macrophages and CD8+ T cells in melanoma 478 patients treated with ICB therapy. In this way we identified a potential role of pro-tumor 479 macrophages in downregulating immune response in non-responders, which could justify their 480 lack of response to anti-PD1 therapy. If this is the case, combining anti-PD1 therapy with 481 macrophage-targeting treatment could provide a better treatment strategy.

It is worth noticing that more "complex" features (i.e., wedges and triangles, involving triplets of cell-types) are often better associated with tumor characterization than the "simpler" direct communication features between pairs of cell-types. Although cellular communications involving more than two cell-types are more difficult to interpret, this observation highlights the importance of studying cell-cell communication networks rather than focusing only on direct cell-cell interactions pairs.

488 In the TCGA case study we are not using the model to its full potential: we are extracting 489 undirected features from the graph while we are constructing models that consider 490 directionality. This choice was made based on the observation that directed features involving 491 the same cell-types often had similar relevance, e.g., in the pan-cancer analysis comparing 492 different TME subtypes. A possible explanation for this in the context of the TME, is the 493 observation that ligand-receptor interactions are not a one-way street. When a ligand-receptor 494 interaction occurs, often this elicits a reaction in both the ligand cell-type, and the receptor celltype<sup>10</sup>, partially masking the directionality of the interaction. 495

496 RaCInG does not perform well with very rare cell-types like the NK cells in our case study, 497 possibly because they cannot be accurately estimated with current deconvolution methods. In 498 practice, this meant that NK cells might not appear in any of the networks for some patients, 499 rendering features including NK cells unstable. To overcome this issue, we did not include NK 500 cells in our statistical analysis for the features computed with the monte-carlo method.

501 For direct communication we overcame this issue by resorting to the kernel method. Kernels 502 are derived from random graph theory and capture the limiting behavior of the networks when 503 the amount of cells tends to infinity. Since RaCInG's kernel is determined by a relatively simple 504 equation, this allows us to derive feature values much quicker and more precisely than in the 505 monte-carlo method. However, we can only apply the kernel method in cases where the exact 506 feature values are theoretically known. When no theoretical results exist, one needs to rely on 507 the monte-carlo method.

508 Although we have shown the potential of RaCInG when applied to some case studies, we 509 provide RaCInG as a flexible and modular tool that can be adapted to different research needs. 510 For example, we currently implemented the extraction of three types of network fingerprints 511 (direct communication features, wedges, and triangles), however other graph fingerprints (e.g., 512 the size of the giant strongly connected component, or more intricate graphlets like stars) can 513 be integrated in the pipeline. RaCInG can also be adapted to using different input data, for 514 example when cell quantification in a sample is directly measured (e.g., by flow cytometry). 515 This information can be provided as input to RaCInG without having to rely on deconvolution methods. Similarly, when more context specific information on the expression of ligands and 516 517 receptors are available for individual cell-types, this information can be directly used to compute the LR distribution matrix. Random graph methods could be expanded in the future 518 to include geometry<sup>20</sup> to leverage the increasing availability of spatial data (e.g. spatial 519 transcriptomics<sup>62</sup> or immunohistochemistry<sup>63</sup>). 520

521 To conclude, we envision that RaCInG will be a useful tool to study how cell-cell 522 communication characterizes the observed tissue phenotypes in different contexts. This can 523 extend to the investigation of intercellular communication in different physiological (e.g. cell 524 development<sup>64–67</sup> or tissue homeostasis<sup>68</sup>) and pathological contexts<sup>69</sup>.

# 525 Methods

- 526 Cancer specific data acquisition and transformation
- 527 In the context of modeling the TME, RaCInG requires different types of biological information.
- 528 We first annotated which ligand-receptors are specific for the different cell-types of interest by
- 529 leveraging curated literature resources<sup>23</sup> and cell-type specific RNA-seq data<sup>24</sup>. And then, we
- 530 used bulk RNA-seq data to quantify cell type fractions and ligand-receptor bindings for each
- 531 individual patient.
- 532 To better characterize the cell-cell communication network produced by RaClnG, we gathered
- 533 information about the TME subtype of patients (from literature) and their anti-cancer immune
- 534 response (inferred from bulk RNA-seq).
- 535 Bulk RNA-sequencing data
- 536 The Cancer Genome Atlas (TCGA)

537 Gene expression data for six solid tumors: BLCA, CRC, NSCLC, KIRC, SKCM and STAD 538 were downloaded via the Firehose tool from the BROAD Institute 539 (https://gdac.broadinstitute.org), released January 28, 2016. We selected primary tumor or metastatic (only in the case of melanoma) samples, resulting in a total of 3213 patients. 540

541 We extracted the gene expression data from "illuminahiseq\_rnaseqv2-RSEM\_genes" files. 542 From these data, we used "raw\_count" values as counts, and we calculated transcripts per 543 million (TPM) from "scaled\_estimate" values multiplied by 1,000,000. We first removed those 544 genes with a non-valid HGNC symbol and then we averaged the expression of those genes 545 with identical HGNC symbols.

546 Datasets of patients treated with immunotherapy

547 Gene expression data for melanoma (Gide<sup>45</sup> and Auslander<sup>46</sup> cohort) and gastric cancer

548 (Kim<sup>47</sup> cohort) was available from published datasets of patients treated with anti-PD1 therapy,

549 which also include information about patients' best overall response (**Supplementary Table** 

- 550 **3** for more details and accession numbers).
- 551 For each cohort, we downloaded FASTQ files of RNA-seq reads from the Sequence Read 552 Archive (SRA, <u>https://www.ncbi.nlm.nih.gov/sra/</u>). We used quanTIseq to process the data<sup>70</sup>. 553 First, Trimmomatic <sup>71</sup> is used to remove adapter sequences and read ends with Phred quality 554 scores lower than 20, discard reads shorter than 36 bp, and trim long reads to a maximum 555 length of 50 bp (quanTIseq preprocessing module). Then, Kallisto<sup>72</sup> is applied on the pre-556 processed RNA-seq reads to generate gene counts and TPM using the "hg19\_M\_rCRS" 557 human reference (quanTIseq gene-expression quantification module).

#### 558 TME subtypes

559 We used a previously defined classification of the TME to assign patients into different 560 subtypes: Immune-Enriched Fibrotic (IE/F), Immune-Enriched Non-Fibrotic, Fibrotic (F) and 561 Desert (D)<sup>31</sup>. The TME subtype associated with each patient was provided by the original work

562 for TCGA datasets as well as for Gide-Auslander cohorts.

#### 563 Transformation of RNA-seq into RaCInG input data

564 Quantification of individual cell-type abundance

We used in silico deconvolution<sup>73</sup> to estimate cell fractions from bulk-tumor RNA-seg data. In 565 order to obtain robust cell fraction estimates, we used a consensus approach based on six 566 deconvolution methods accessible through the immuned econv<sup>74</sup> R package v2.1.0: 567 guanTIseg<sup>70</sup>, EPIC<sup>75</sup>, ConsensusTME<sup>76</sup>, xCell<sup>77</sup>, TIMER<sup>78</sup>, and MCP-counter<sup>79</sup>. guanTIseg and 568 EPIC were selected for their capability of estimating cell fractions referred to the overall 569 570 composition of the tumor sample (not possible for the other methods), whereas the remaining 571 methods were used to confirm and/or refine the estimates as explained in the following. 572 quanTIseq was used to estimate cell fractions for CD8+ T cells, B cells, Tregs, M1 and M2 573 macrophages, which showed high correlation with the other deconvolution methods 574 (Supplementary Fig. 7). Since M1 and M2 signatures do not recapitulate their diversity in the 575 tumor and given the limited availability of methods to derive a consensus we decided to sum them and consider macrophages as a unique cell type. EPIC was used to estimate CAFs 576 577 (absent in quanTlseq signature), NK cells (low consensus agreement for quanTlseq), and 578 tumor cells (high agreement with guanTIseg estimates, but more accurate as they do not 579 include endothelial and epithelial cells), and normal cells (endothelial cells). Treg and NK cell 580 fractions that were given a null score by xCell, were set to zero. Given the low agreement of 581 EPIC and guanTlseg on DC fractions compared to other methods, we used a three-step 582 consensus approach: 1) we scaled in the 0-1 range DC scores obtained with xCell, MCP-583 counter, and TIMER; 2) we took their median; and 3) we rescale it to span the range of values 584 covered by guanTlseq, after correction of absent cells according to xCell. Finally, cell fractions 585 in each sample were rescaled to sum up to 1.

586 Cell-type compatibility of ligands and receptors

587 Using the LIANA<sup>80</sup> R package v0.1.10 and the OmnipathR R package v3.7.0, we retrieved a 588 customized set of intercellular interactions from OmniPath<sup>23</sup>, which consisted of interactions 589 curated in the context of cell-cell communication available from six resources: CellphoneDB<sup>81</sup>, 590 CellChat<sup>82</sup>, ICELLNET<sup>83</sup>, connectomeDB2020<sup>84</sup>, CellTalkDB<sup>85</sup> and Cellinker<sup>86</sup>. Then, we

591 filtered for direct cell-cell communication interactions by excluding proteins related to the

extracellular matrix. Additionally, protein complexes were splitted into individual subunits. Thisresulted in a total of 3081 LR interactions.

From the database of Ramilowski et al.<sup>24</sup>, the gene expression of 144 human cell-types based 594 595 on cap analysis of gene expression (CAGE) from the FANTOM5 project is available. We kept 596 only the cell-types for which we could quantify their abundance based on deconvolution 597 methods. The agreement was not perfect and certain "deconvolution" cell-types matched more 598 than one "ramilowski" cell type, thus we aggregated them by averaging their expression 599 because they showed high correlation between the expression of their ligands and receptors. 600 We additionally included a pan-cancer cell type derived by using data from the Cancer Cell 601 Line Encyclopedia (CCLE)<sup>87</sup> as described in our previous study<sup>26</sup>. Based on gene expression 602 data of 583 cell lines (from 18 solid cancer types), the median expression of each gene was 603 considered as the gene expression of the pan-cancer cell type.

Ligands and receptors were first selected based on their expression (≥10 TPM threshold) in

at least one of the 10 cell-types considered, and then based on the presence of the corresponding ligand or receptor pair in the network. The 10 TPM threshold was initially used in the Ramilowski paper for the CAGE data, and it was based on known expression data from B-cells. We have previously described that this cutoff value was suitable for the CCLE RNAseq data<sup>26</sup>.

- 610 The compatibility of ligand and receptors was specific for each cell type, comprising a total of611 971 LR pairs.
- 612 Quantification of ligand-receptor pair activation

Patient-specific LR pair weights were defined as the minimum of the log<sub>2</sub>(TPM+1) expression

of the ligand and the receptor, hypothesizing that the expression of the gene at the lower level

- 615 limits the LR binding affinity.
- 616 Computation of immune response score

We used our "easier" R/Bioconductor package<sup>26,88</sup> to compute a score of immune response based on the median of the z-score values of 10 published transcriptomics signatures of the immune response. All these signatures were calculated according to the methodology reported by the original studies.

- Random graph generation (monte-carlo simulation)
- 622 The process in which RaCInG created graphs and extracted features is independent of the
- 623 application domain. Four different facets are important in this pipeline:
- 624 1. Generation of nodes and arcs based on input data.

- 625 2. Assignment of arcs to node-pairs.
- 626 3. Feature extraction.
- 627 4. Normalization.
- 628 Generating nodes and arcs
- An overview of the variables and distributions used for the random graph model is presented
- 630 in **Table 2**. These variables correspond to (elements of) the input matrices in **Fig. 1A**.
- 631 **Table 2.** Symbols used to describe the random graph model.

Symbol	Туре	Interpretation	Notes
Ν	Number	Number of cells in one network instance.	
λ	Number	Average number of interactions per cell.	
Q	Probability distribution	Probability of cells having a given type, i.e. the cell-type quantification in the C-matrix of <b>Fig. 1A</b> .	$q_k$ is the probability of one cell having type $k$ .
Р	Probability distribution	Probability of an interaction consisting of a given ligand and receptor, i.e. the ligand-receptor quantification in the LR-matrix of <b>Fig. 1A</b> .	$p_{ij}$ is the probability of one interaction consisting of ligand <i>i</i> and receptor <i>j</i> .
L	Matrix	Compatibility of specific cell-types with specific ligands.	L(k,i) is the indicator that cell- type k can secrete ligand i.
R	Matrix	Compatibility of specific cell-types with specific receptors.	R(k,i) is the indicator that cell- type k can secrete receptor j.

632

To create the nodes for one instance of the network, RaCInG creates a list of length *N* with

634 independent realizations from Q. In this list entry l corresponds to the cell-type of node l.

635 Similarly, to create the (unpaired) arcs for one instance of the network, RaCInG creates a list

of length  $\lambda N$  (rounded down) with independent realizations from P. Here, entry l of the list

- 637 corresponds to a tuple that encodes both the ligand and the receptor of interaction *l*.
- 638 Pairing nodes and arcs
- 639 To pair nodes and arcs, RaCInG iterates over the list of interactions in the following way:
- 640 1. It reads the type of the interaction's ligand. Suppose it had type *i*.
- 641 2. It highlights all nodes that have a type k such that L(k, i) = 1.
- 642 3. It chooses one of these nodes uniformly at random with replacement.
- 643 4. It reads the type of the interaction's receptor. Suppose it had type *j*.

- 644 5. It highlights all nodes that have a type k such that R(k,j) = 1.
- 645 6. Independently of the previous choice, it chooses one of these nodes uniformly at 646 random with replacement.

After this procedure is executed for all interaction pairs, we obtain a complete network. To generate an ensemble of networks for one patient, RaCInG repeats the node/interaction procedure and pairing procedure a predetermined number of runs. Each run is generated independently from the previous runs.

#### 651 Feature extraction

652 Wedges and triangles (monte-carlo method)

For wedges and triangles the feature extraction is based on a network's adjacency matrix *A*. In this matrix the entry  $a_{ij}$  indicates the number of arcs from node *i* to node *j*. For each network, RaCInG outputs a list of paired arcs, which is transformed into an adjacency matrix. Features are then extracted from this matrix.

For example, for the wedges this is done by iterating over all rows in the matrix, recording the neighbors a given vertex connects to (together with the multiplicity of the connection) and then recording these neighbors' subsequent neighbors. This yields a list of triplets of vertices that form wedges. The types of these wedges can subsequently be extracted and tallied for each combination of cell-types. Triangles counts are computed in a similar way.

662 Once this procedure is executed for each individual network in the ensemble, the average is 663 computed over all the tallies. This provides the value of one feature for a given patient. The 664 standard deviation is also recorded as a check to ensure the average expression value 665 concentrates around the actual measured feature values from each network.

666 Direct communication (kernel method)

For direct communication values an asymptotic count is implemented based on the law of total probability and the law of large numbers<sup>89</sup>. To derive this count, we first note that the expected fraction of cells a fixed ligand *i* can connect to, is given by  $\sum_{s} q_{s}L(s, i)$ . Similarly, the expected fraction of cells a fixed receptor *j* can connect to is given by  $\sum_{r} q_{r}R(r,j)$ . Together,  $\sum_{s,r} q_{s}L(s,i)q_{r}R(r,j)$  is the fraction of cells an LR-pair (*i*, *j*) can connect to.

An arc from cell-type k to l is only formed if cells of these types are chosen in the arc assignment step. Since the fraction of cells with these types is given by  $q_k$  and  $q_l$ , respectively,

and since only one pair of (admissible) cells is chosen uniformly at random, the probability that LR-pair (i, j) formed an arc from cell-type k to l is given by

676 
$$\frac{q_k q_l L(k, i) R(l, j)}{\sum_{s,r} q_s L(s, i) q_r R(r, j)}$$

677 Here, multiplication with L(k, i)R(l, j) is needed since the probability can only be nonzero when 678 the LR-pair is allowed to connect cells with type k and l. This probability is built on the 679 assumption that LR-pair (*i*, *j*) is chosen to connect two cells. In reality, RaCInG can generate 680 all possible LR-pairs to connect cells, hence it is not known a-priori. Thus, to find the a-priori 681 probability of an arc being formed from cell-type k to l, a weighted sum needs to be taken over 682 the above probability for all possible LR-interactions. The weight for each probability is given 683 by the LR-pair's quantification  $p_{ii}$ . Mathematically, this means the law of total probability is 684 applied, and it yielded the following a-priori probability  $\pi_{kl}$  of generating a connection from 685 cell-type k to l:

686 
$$\pi_{kl} = q_k q_l \sum_{ij} p_{ij} \cdot \frac{L(k,i)R(l,j)}{\sum_{s,r} q_s L(s,i)q_r R(r,j)}$$

687 With this probability  $\pi_{kl}$ , an asymptotic count can be computed. If  $N_{kl}$  denotes the total number 688 of arcs from cells of type k to l, then it is known due to independence of the various arc 689 placements in RaCInG's network generation algorithm that  $N_{kl}$  is a binomial distribution with 690  $\lambda N$  trials and success probability  $\pi_{kl}$ . From this fact, together with the (weak) law of large 691 numbers, we subsequently conclude that

$$\frac{N_{kl}}{\lambda n} \to \pi_{kl}$$

693 in probability. These were the theoretical feature values used for direct communication.694 Moreover, the expression

695 
$$\kappa(k,l) = \sum_{ij} p_{ij} \cdot \frac{L(k,i)R(l,j)}{\sum_{s,r} q_s L(s,i)q_r R(r,j)}$$

696 within the expression of  $\pi_{kl}$  is called the kernel of RaCInG. It could be interpreted as the direct 697 communication feature with the explicit cell-type quantification bias (the product  $q_k q_l$ ) 698 removed.

#### 699 From directed to undirected features

Features from both the monte-carlo and kernel method are directed. For the TCGA case study it was decided to use undirected features instead of directed features. To compute these from the directed features, all directed counts with the same cell-types were accumulated. For example, in the case of direct communication the undirected feature Dir\_A\_B was obtained by computing  $\pi_{AB} + \pi_{BA}$ . A visual overview of all directed features to accumulate to get the corresponding undirected feature is presented in **Supplementary Fig. 8**.

#### 706 Normalization

To normalize, the pipelines for network generation and feature extraction were executed
again, but this time in a setting where the distribution *P* was made uniform over its support.
Hence, if one sets

710 
$$c = \sum_{ij} 1\{p_{ij} > 0\}$$

711 where  $1\{\cdot\}$  indicates the indicator function, then in the uniform runs a new probability 712 distribution  $\tilde{P}$  was used for the ligand-receptor interactions. In this distribution, the probability 713 of an interaction between ligand *i* and receptor *j* occurring was given by

714 
$$\tilde{p}_{ij} = (1/c) \mathbb{1}\{p_{ij} > 0\}.$$

All other parameters were kept the same as in the previous "standard" runs. Finally, if  $f_{st}$  is the (average) feature value in the "standard" run and  $f_{unif}$  is the same (average) feature value in the uniform run, then the normalized feature value was given by the fold change between these two runs, i.e.

719 
$$f_{\rm norm} = \frac{f_{\rm st}}{f_{\rm unif}}$$

One can identify  $f_{norm}$  as the number of times a feature would appear more often in the networks generated with the actual input data compared to the networks generated with input data that disregarded the LR-quantification. A big advantage of this normalization procedure is its ability to place all feature values on the same footing regardless of the method they were computed by. No matter if  $f_{norm}$  is computed through the monte-carlo method or the kernel method, its interpretation and value range stay the same.

#### 726 Analyzing the extracted features

#### 727 Statistical analysis methods

We used the Spearman rho correlation coefficient to assess correlations between two samples. This metric was applied, since limited prior knowledge was available on the joint distribution of the two samples. Moreover, since some features extracted from the networks (especially ones with small cell-type or ligand-receptor quantifications) were prone to producing outliers, a metric is used that is more robust against these outliers. To calculate it the scipy.stats.spearmanr function in Python was used based on the 1.9.2 version of the Scipy package.

The two-sided Wilcoxon rank sum test at significance level  $\alpha = 0.05$  was applied to test for differences between two groups of patients in the case studies. It was chosen for similar considerations as the spearman rho metric. To apply the test the function scipy.stats.ranksums from the 1.9.2 version of the Scipy package in Python was used. If a statistical difference between two groups was observed for a feature, the fold-change between the average feature values of the groups was used to infer how much the empirical distributions of the two groups overlap.

To correct for multiple hypothesis testing we applied Bonferroni correction by lowering the significance level for individual tests. Specifically, when we tested at significance level  $\alpha$  for *n* 

features, the null-hypothesis was rejected whenever the test's p-value dropped below  $\alpha/n$ .

745 Bayesian computation of ligand-receptor probability for given cell-types

To compute the conditional probability that a certain LR-pair caused the formation of an interaction, given the interaction is between two given cell-types we only used the LRdistribution *P* and the compatibility matrices *L* and *R*. The unconditional probability of LR-pair (*i*, *j*) appearing is given by  $p_{ij}$ . To infer its contribution to a direct interaction between cell-type *k* and *l*, one first needs to know whether it connects these cell-types. The indicator of this event is given by L(k, i)R(l, j).

Now, since all interactions were sampled and paired independently, and uniformly at random, the conditional probability of LR-pair (i, j) connecting cell-types k and l was given by the LRpair's relative (probabilistic) weight when compared to the weights of all LR-pairs that can connect cell-types k and l. Thus, the conditional probability that LR-pair (i, j) formed a connection, given that it is a connection between cell-types k and l, is given by

757 
$$p_{ij}^{(kl)} = \frac{p_{ij}L(k,i)R(l,j)}{\sum_{ab} p_{ab}L(k,a)R(l,b)}$$

To compute the LR-probability for given cell-types over an entire group, these probabilities
were taken for all patients in the group and averaged. The largest of the resulting averages
were depicted in the LR-interaction bar charts.

## 761 Data and code availability

All the datasets used are publicly available (**Supplementary Table 3**).

763 The code used for generating the random available graphs is at 764 https://github.com/SysBioOncology/RaCInG. A step-by-step reproducible report (i.e., RMarkdown notebook) on how this knowledge can be extracted is made available in github. 765 A demo that showcases RaCInG's functionalities is also made available in github. 766

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## 770 Author contribution

771 PvdH and FE designed the research. MvS, OLS, PvdH and FE discussed how to use random 772 graph models in biological context. MvS defined and implemented the mathematical 773 formulation of the model under the supervision of PvdH. OLS analyzed the data used for the 774 case study and transformed it into input matrices for the mathematical model under the 775 supervision of FE. FF defined the approach for cell-type quantification using an ensemble of deconvolution algorithms. MvS. OLS. PvdH and FE contributed to the interpretation of the 776 777 results. MvS and FE co-wrote the manuscript with input from all authors. All authors discussed 778 the results and commented on the manuscript.

## 779 Competing interests

780 The authors declare no competing interests.

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