The differentiation and integration of the hippocampal dorsoventral axis are controlled

by two nuclear receptor genes

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Abstract

The hippocampus executes crucial functions from declarative memory to adaptive behaviors

associated with cognition and emotion. However, the mechanisms of how morphogenesis and

functions along the hippocampal dorsoventral axis are differentiated and integrated are still

largely unclear. Here, we show that *COUP-TFI* and *-TFII* genes are distinctively expressed in

the dorsal and ventral hippocampus, respectively. The loss of *COUP-TFII* results in ectopic

CA1/CA3 domains in the ventral hippocampus. The deficiency of *COUP-TFI* leads to the

failed specification of dorsal CA1, among which there are place cells. The deletion of both

COUP-TF genes causes almost agenesis of the hippocampus with abnormalities of trisynaptic

circuit and adult neurogenesis. Moreover, *COUP-TFI/-TFII* may cooperate to guarantee

appropriate morphogenesis and function of the hippocampus by regulating the *Lhx5-Lhx2*

axis. Our findings revealed a novel mechanism that *COUP-TFI* and *COUP-TFII* converge to

govern the differentiation and integration of distinct characteristics of the hippocampus in

mice.

Keywords

Memory; Hippocampus; *COUP-TF* Gene; Place Cell; Trisynaptic Circuit

Introduction

Memory, including declarative and nondeclarative memory, unifies our mental world to ensure the quality of life for people of all ages, from newborns to elderly individuals (Eichenbaum & Cohen, 2014; Kandel, Dudai, & Mayford, 2014). The pioneering studies of Milner and her colleagues revealed that the hippocampus is required for declarative memory but not nondeclarative memory (Penfield & Milner, 1958; Scoville & Milner, 1957). The discovery of activity-dependent long-term potentiation and place cells provides the neurophysiological basis of hippocampal function (Bliss & Lomo, 1973; O'Keefe & Dostrovsky, 1971). The rodent hippocampus can be divided into the dorsal and ventral domains, corresponding to the posterior and anterior hippocampus in humans, respectively. In recent decades, numerous studies have supported the Moser theory that the hippocampus is a heterogeneous structure with distinct characteristics of gene expression, connectivity, and functions along its dorsoventral axis (Bast, 2007; Fanselow & Dong, 2010; Moser & Moser, 1998; Strange, Witter, Lein, & Moser, 2014). The dorsal hippocampus, which connects and shares similar gene expression with the neocortex (Fanselow & Dong, 2010), serves the "cold" cognitive function associated with declarative memory and spatial navigation. The ventral hippocampus, which connects and generates similar gene expression with the amygdala and hypothalamus (Cenquizca & Swanson, 2007; Kishi et al., 2000; Pitkanen, Pikkarainen, Nurminen, & Ylinen, 2000), corresponds to the "hot" affective states related to emotion and anxiety (Fanselow & Dong, 2010; Tyng, Amin, Saad, & Malik, 2017). Nonetheless, to date, the molecular and cellular mechanisms by which the morphogenesis, connectivity, and functions along the dorsoventral axis of the hippocampus are differentiated and integrated are largely unknown.

The hippocampus, a medial temporal lobe structure in the adult rodent forebrain, originates from the medial pallium (MP) in the medial line of the early dorsal telencephalon. The

cortical hem (CH), which is located ventrally to the MP, functions as an organizer for

hippocampal development (Hébert & Fishell, 2008; Schuurmans & Guillemot, 2002). It has

been demonstrated that both extrinsic signals, such as *Wnts* and *Bmps*, and intrinsic factors,

including *Emx1*, *Emx2*, *Lef1*, *Lhx2*, and *Lhx5*, are involved in the regulation of early

morphogenesis of the hippocampus. As the earliest *Wnt* gene to be exclusively expressed in

the cortical hem, *Wnt3a* is required for the genesis of the hippocampus (S. M. Lee, Tole,

Grove, & McMahon, 2000); in addition, *Lef1* is downstream of Wnt signaling, and the

hippocampus is completely absent in *Lef1-neo/neo* null mutant mice (Galceran, Miyashita-

Lin, Devaney, Rubenstein, & Grosschedl, 2000). Wnt signaling is essential for early

development of the hippocampus. *Emx1* and *Emx2* are mouse homologs of *Drosophila empty*

spiracles (Simeone et al., 1992). Interestingly, the dorsal hippocampus is smaller in an *Emx1*

null mutant (Yoshida et al., 1997), while *Emx2* is required for the growth of the hippocampus

but not for the specification of hippocampal lineages (Tole, Goudreau, Assimacopoulos, &

Grove, 2000). Moreover, *Lhx5*, which encodes a LIM homeobox transcription factor and is

specifically expressed in the hippocampal primordium, is necessary for the formation of the

hippocampus (Zhao et al., 1999). *Lhx2*, encoding another LIM homeobox transcription factor,

is required for the development of both the hippocampus and neocortex (Mangale et al., 2008;

Monuki, Porter, & Walsh, 2001; Porter et al., 1997). Intriguingly, deficiency of either *Lhx5* or

Lhx2 results in agenesis of the hippocampus, and more particularly, these genes inhibit each

other (Hébert & Fishell, 2008; Mangale et al., 2008; Roy, Gonzalez-Gomez, Pierani, Meyer,

& Tole, 2014; Zhao et al., 1999), indicating that the *Lhx5* and *Lhx2* genes may generate an

essential regulatory axis to ensure the appropriate hippocampal development. Nevertheless,

whether there are other intrinsic genes that participate in the regulation of morphogenesis and

function of the hippocampus has not been fully elucidated.

COUP-TF genes, including *COUP-TFI* (also known as *NR2F1*) and *COUP-TFII* (also

known as *NR2F2*), encode two transcription factor proteins belonging to the nuclear receptor

superfamily (Yang, Feng, & Tang, 2017). Mutations of *COUP-TFI* are highly related to

neurodevelopmental disorders (NDD), such as intellectual disability (ID) and autism

spectrum disorders (ASD) (Bertacchi et al., 2020; Bosch et al., 2014; Contesse, Ayrault,

Mantegazza, Studer, & Deschaux, 2019), and mutations of the *COUP-TFII* gene are

associated with congenital heart defects (CHD) (High et al., 2016). By using animal models,

our studies and others have demonstrated that *COUP-TF* genes participate in the regulation

of the development of the central nervous system (Zhang et al., 2020). The *COUP-TFI* plays

an essential role in the differentiation of cortical excitatory projection neurons and inhibitory

interneurons, the development of the dorsal hippocampus, and cortical arealization

(Armentano et al., 2007; Bertacchi et al., 2020; Del Pino et al., 2020; J. Feng et al., 2021;

Flore et al., 2017; Lodato et al., 2011; C. Zhou et al., 1999; C. Zhou, Tsai, & Tsai, 2001).

COUP-TFII plays a vital role in the development of the amygdala, hypothalamus, and

cerebellum (S. Feng et al., 2017; Kim, Takamoto, Yan, Tsai, & Tsai, 2009; Tang, Rubenstein,

Tsai, & Tsai, 2012). Nevertheless, whether and how *COUP-TFI* and/or *COUP-TFII* genes

regulate the differentiation and integration of hippocampal morphogenesis, connectivity, and

function is still largely unclear.

Here, our data show that *COUP-TFI* and *-TFII* genes are differentially expressed along the

dorsoventral axis of the postnatal hippocampus. The loss of *COUP-TFII* results in ectopic

CA1 and CA3 domains in the ventral hippocampus. In addition, the deficiency of *COUP-TFI*

leads to not only dysplasia of the dorsal hippocampus but also failed specification and

differentiation of the dorsal CA1 pyramidal neuron lineage. Furthermore, the deletion of both

genes in the *RXCre*/+; *COUP-TFI$^{F/F}$*; *COUP-TFII$^{F/F}$* double mutant mouse causes almost

agenesis of the hippocampus, accompanied by compromised specification of the CA1, CA3,

and dentate gyrus (DG) domains. The components of the trisynaptic circuit are abnormal in

the corresponding single-gene or double-gene mutant model. Moreover, *COUP-TF* genes

may cooperate to guarantee the appropriate morphogenesis and function of the hippocampus

by regulating the *Lhx5-Lhx2* axis.

Results

Differential expression profiles of *COUP-TFI* and *-TFII* genes along the dorsoventral

axis in the developing and postnatal hippocampus

To investigate the functions of the *COUP-TFI* and *COUP-TFII* genes in the hippocampus,

immunofluorescence staining was first performed to examine their expression in wild-type

mice at postnatal month 1 (1M). In both the coronal and sagittal sections, COUP-TFI

exhibited a septal/dorsal high-temporal/ventral low expression pattern along the hippocampus

(Figure 1Aa, d, c, f, g, j, m, i, l, and o), and its expression is highest in the dorsal CA1 region

(Figure 1Aa, d, c, f, j, and l), where place cells are mainly located (O'Keefe & Conway, 1978;

O'Keefe & Dostrovsky, 1971), and the dorsal DG, where there are adult neural stem cells

(NSCs) (Gould & Cameron, 1996). However, the expression of COUP-TFII was high in the

temporal/ventral hippocampus but was barely detected in the septal/dorsal part of the

hippocampus (Figure 1Ab, c, e, f, h, i, k, l, n, and o). The dorsal-high COUP-TFI and ventral-

high COUP-TFII expression profiles were further verified in the postnatal hippocampi at 1M

by western blotting assays (Figure 1Ap, q). At embryonic day 10.5 (E10.5), COUP-TFI was

detected in the dorsal pallium (DP) laterally and COUP-TFII was expressed in the MP and

CH medially (Figure 1—figure supplement 1Aa-b). At E11.5 and E12.5, the expression of

COUP-TFII remained in the CH (Figure 1—figure supplement 1Ac-d, Bb-c). Interestingly,

COUP-TFI and -TFII generated complementary expression patterns in the hippocampal

primordium with COUP-TFI in the dorsal MP and COUP-TFII in the ventral CH at E14.5

(Figure 1—figure supplement 1Ba-f). Additionally, septal/dorsal-high COUP-TFI and

temporal/ventral-high COUP-TFII expression patterns were observed at postnatal day 0 (P0)

(Figure 1—figure supplement 1Bg-l). The data above revealed that the differential expression

patterns of *COUP-TFI* and *-TFII* genes were generated and maintained along the

dorsoventral axis in the early hippocampal primordium, the developing and postnatal

hippocampus, indicating that they could play distinct roles in the mediation of the

morphogenesis and functions of the hippocampus.

Next, to investigate the roles of *COUP-TF* genes in the hippocampus, an *RXCre* mouse was

used to excise the expression of the *COUP-TFI* and/or *COUP-TFII* genes (Swindell et al.,

2006). The deletion efficiency of RXCre recombinase was verified by immunofluorescence

assays. Compared with control mice, either *COUP-TFII* or *COUP-TFI* could be excised in

the postnatal hippocampus of corresponding single-gene mutants at 1M (Figure 1—figure

supplement 1Ca-i). In addition, compared with control mice, both the *COUP-TFI* and *-TFII*

genes were almost completely deleted in the hippocampal primordium in mutant mice at

E14.5 (Figure 1—figure supplement 1Cj-o). Since the LacZ expression serves as an indicator

for the deletion of *COUP-TFII* (Tang et al., 2012), we performed immunofluorescence

staining with antibodies against COUP-TFII and LacZ on the sagittal sections of *RXCre/+;*

COUP-TFII^{F/+} and *RXCre/+; COUP-TFII^{F/F}* mice at E11.5. COUP-TFII was readily detected

at the hippocampal primordium of the heterozygous mutant embryo at E11.5 (Figure 1—

figure supplement 1Da, c, g); in contrast, the expression of *COUP-TFII* was significantly

reduced in the homozygous mutant (Figure 1—figure supplement 1Dd, f, j). In addition,

compared with the heterozygous mutant embryo (Figure 1—figure supplement 1Db-c, h), the

LacZ signals clearly increased in the hippocampal primordium of the homozygous mutant

embryo at E11.5 (Figure 1—figure supplement 1De-f, k), suggesting that RXCre

recombinase can efficiently excise the *COUP-TFII* gene in the hippocampal primordium as

early as E11.5. Intriguingly, we observed that the expression of *COUP-TFI* increased in the

caudal hippocampal primordium of the *COUP-TFII* homozygous mutant embryo at E11.5

(Figure 1—figure supplement 1Di, l), indicating that similar to the observations in the early

optic cup (Tang et al., 2010), *COUP-TFI* and *-TFII* genes could be partially compensate with

each other in the developing hippocampal primordium. All the data above show that RXCre

recombinase could efficiently excise *COUP-TFI* and/or *COUP-TFII* in the early developing

and postnatal hippocampus.

The *COUP-TFII* gene is required for the appropriate morphogenesis of the ventral

hippocampus but not of the dorsal hippocampus

Given that the *COUP-TFII* gene is highly and specifically expressed in the postnatal ventral

hippocampus and the CH of the hippocampal primordium (Figure 1 and Figure 1—figure

supplement 1), we asked whether the *COUP-TFII* gene is required for the appropriate

morphogenesis of the hippocampus, particularly the ventral hippocampus. To answer this

question, we conducted Nissl staining with samples from the *COUP-TFII* single-gene

(*RXCre/+*; *COUP-TFII^{F/F}* or *RXCre/+*; *CII^{F/F}*) knockout mouse model. In coronal sections,

compared with the control at 1M, the septal hippocampus was normal; unexpectedly, an

ectopic CA-like region was observed medially in the temporal hippocampus in the *COUP-*

TFII mutant, where the prospective posterior part of the medial amygdaloid (MeP) nucleus

was situated, indicated by the star (Figure 1Ba-f). The presence of the ectopic CA-like region

in the ventral but not dorsal hippocampus of the mutant was further confirmed by the

presence of the prospective MeP and amygdalohippocampal area (AHi) in sagittal sections,

as indicated by the star (Figure 1Bg-l). Furthermore, immunofluorescence assays were

performed to verify whether specific lineages of the hippocampus were altered with sagittal

sections, in which the subregions of both the dorsal and ventral hippocampus were well

displayed and distinguished. Ctip2 is a marker for CA1 pyramidal neurons and DG granule

neurons, and HuB is a marker for CA3 pyramidal cells (Sugiyama, Osumi, & Katsuyama,

2014). The dorsal hippocampus appeared normal in both the control and mutant mice at 1M

(Figure 1Ca-l). Nonetheless, compared with the observations in control mice, an ectopic

HuB-positive CA3 pyramidal neuron lineage, indicated by the star, and a duplicated Ctip2-

positive CA1 pyramidal neuron lineage, indicated by the arrowhead, were observed in the

ventral hippocampal area in the mutant (Figure 1Ca-f, m-r), revealing that there were ectopic

CA1 and CA3 lineages in the *COUP-TFII* mutants.

Consistent with the previous report (Leid et al., 2004), the expression of Ctip2 was detected

in the amygdala including the AHi and posteromedial cortical amygdaloid nucleus (PMCo);

in addition, Ctip2 was also highly expressed in the dorsal part of the MeP (MePD) in the

control (Figure 1Cb, n).

Intriguingly, compared with the controls at 1M, there were ectopic CA domains in the mutant

ventral hippocampus with the expense of the Ctip2 positive AHi and MePD amygdaloid

nuclei (Figure 1Ce, q), indicated by the arrows. Clearly, all the data above suggested that the

COUP-TFII gene is necessary to ensure the appropriate morphogenesis of the ventral

hippocampus.

At early embryonic stages, *COUP-TFII* was preferentially expressed in the CH (Figure 1—

figure supplement 1Ab, d, 1Bb, e), the organizer of the hippocampus, and at postnatal 1-

month-old (1M) stage, *COUP-TFII* was also highly expressed in some amygdala nuclei such

as the AHi and medial amygdaloid nucleus, which are adjacent to the ventral/temporal

hippocampus (Figure 1Ae, h, n) (Tang et al., 2012). We would like to investigate the

correlation of the CH and/or amygdala anlage with the duplicated ventral hippocampal

domains in the *COUP-TFII* mutant in detail in our future study. The observations above

suggest that *COUP-TFII* is not only specifically expressed in the ventral hippocampus but is

also required for morphogenesis and probably the function of the ventral hippocampus. Since

the ventral hippocampus participates in the regulation of emotion and stress, mutations in the

COUP-TFII gene lead to CHDs, and the formation of the ventral hippocampus is disrupted in

COUP-TFII mutant mice at 1M, we wondered whether CHD patients with *COUP-TFII*

mutations also exhibit symptoms associated with psychiatric disorders such as depression,

anxiety, or schizophrenia.

The *COUP-TFI* gene is required for the specification and differentiation of the dorsal

CA1 identity

Next, we asked whether the deletion of the *COUP-TFI* gene by *RXCre* also affected the

development of the hippocampus. Consistent with the previous finding in *Emx1Cre/+*;

COUP-TFI$^{F/F}$ (*Emx1Cre/+*; *CI$^{F/F}$*) mutant mice (Flore et al., 2017), it was the septal/dorsal

hippocampus, not the temporal/ventral hippocampus, that was specifically shrunken in both

coronal (Figure 2Aa-f) and sagittal sections (Figure 2Ag-i) of *RXCre/+*; *COUP-TFI$^{F/F}$*

(*RXCre/+*; *CI$^{F/F}$*) mutant mice. Then, we asked whether the loss of the *COUP-TFI* gene

caused abnormal specification and differentiation of hippocampal lineages. Compared with

the control mice, *COUP-TFI* mutant mice had fewer HuB-positive CA3 pyramidal neurons,

as indicated by the star; intriguingly, Ctip2-positive CA1 pyramidal neurons failed to be

detected, as indicated by the arrowhead, with Ctip2-positive DG granule neurons unaltered in

the dorsal hippocampus (Figure 2Ba-l). The loss of the dorsal CA1 pyramidal neuron identity

in mutant mice was further confirmed by Wfs1, another dorsal CA1 pyramidal neuron-

specific marker (Takeda et al., 2001) (Figure 2Ca-r). Nonetheless, the HuB-positive and

Ctip2-positive lineages were comparable in the ventral hippocampus between the control and

mutant mice (Figure 2Ba-f, m-r), even though the low expression of COUP-TFI was detected

there. Indeed, *COUP-TFI* is not only expressed at the highest level in the dorsal CA1 but is

also required for the specification and differentiation of dorsal CA1 pyramidal neurons,

among which place cells are essential for learning and memory (O'Keefe & Conway, 1978;

O'Keefe & Dostrovsky, 1971).

Given that dysplasia of the dorsal hippocampus was generated in both the *Emx1Cre* and

RXCre models (Flore et al., 2017) (Figure 2Aa-l), we asked whether the development of

dorsal CA1 pyramidal neurons was also abolished in *Emx1Cre/+; COUP-TFI$^{F/F}$* mutant mice.

To answer this question, immunofluorescence staining was conducted first. Compared with

that in the control mice, the proportions of either the Wfs1- or Ctip2-positive CA1 domain

were reduced in the mutant mice at 3M (Figure 2—figure supplement 1Aa-h), indicating that

the differentiation of the dorsal CA1 pyramidal neurons was also compromised in the

Emx1Cre model, although it was less severe than that in the *RXCre* model. To make our

findings more consistent with previous studies, we further conducted experiments with the

Emx1Cre model. Afterward, to investigate the fine structure of the dorsal CA1 pyramidal

neurons, Golgi staining was performed. Compared with those of control mice, the numbers of

secondary dendrites and branch points of both the apical and basal dendrites were

significantly reduced in the dorsal CA1 pyramidal neurons of mutant mice at 3M (Figure 2—

figure supplement 1Ba-e). Then, the dorsal hippocampus-related spatial learning and memory

behavior test, the Morris water maze, was performed (Vorhees & Williams, 2006). Consistent

with a previous report (Flore et al., 2017), spatial learning and memory function was

significantly impaired in adult *Emx1Cre/+; COUP-TFI$^{F/F}$* mice, compared with the control

mice (Figure 2—figure supplement 1C). The data above suggest that *COUP-TFI* is vital for

the morphogenesis, lineage specification, and spatial learning and memory of the dorsal

hippocampus, and particularly, the compromised dorsal CA1 lineage could contribute to the

phenotypes associated with neurodevelopmental disorders, including ID or ASD.

The *COUP-TFI* and *-TFII* genes coordinate to ensure the genesis of the hippocampus

Given that the loss of either *COUP-TFI* or *-TFII* leads to dysplasia of the dorsal or ventral hippocampus, respectively, we asked whether these genes compensate for each other to regulate the morphogenesis of the hippocampus. To answer this question, the *RXCre/+*; *COUP-TFI$^{F/F}$*; *COUP-TFII$^{F/F}$* (*RXCre/+*; *CI$^{F/F}$*; *CII$^{F/F}$*) double-mutant mouse was generated, and a few homozygous double-gene mutant mice survived for approximately 3 weeks (3W). Nonetheless, the reason for the lethality of the double-mutant mice is still unknown. Nissl staining data showed that compared with that of control mice, the septal hippocampus was severely shrunken, as indicated by the star, and the temporal hippocampus was barely observed in the double-mutant mouse brains (Figure 3Aa-h). Unexpectedly, an ectopic nucleus was observed in the region of the prospective temporal hippocampus, indicated by the arrowhead, in the double-mutant mice (Figure 3Ag-h). In addition, compared with those of controls, the regions with HuB-positive CA3 pyramidal neurons and Ctip2-positive or Prox1-positive DG granule neurons were diminished in the double mutants; in particular, Ctip2-positive dorsal CA1 pyramidal neurons could not be detected in the double mutants (Figure 3Ba-l). Furthermore, compared with the domains of controls, no HuB-positive, Ctip2-positive, or Prox1-positive domains could be detected in the prospective temporal hippocampus in the double mutants (Figure 3Ca-l). The results above suggest that the *COUP-TFI* and *COUP-TFII* genes coordinate with each other to mediate the appropriate morphogenesis of the entire hippocampus.

***COUP-TF* genes and adult neurogenesis in the hippocampus**

Given that the *COUP-TFI* or *-TFII* gene was highly expressed in the dorsal or ventral DG, respectively (Figure 1A), and that RXCre recombinase could efficiently delete either gene in the DG (Figure 1—figure supplement 1Ca-i), we asked whether the loss of the *COUP-TFI*

or/and -*TFII* gene in the DG would affect hippocampal adult neurogenesis. To answer this

question, the ventral DG, dorsal DG, and septal DG were chosen to perform

immunofluorescence assays in the *COUP-TFII* mutant, *COUP-TFI* mutant, and double

mutant models, respectively. Adult NSCs in the subgranular zone (SGZ) of the DG express

both GFAP and Nestin, and newborn granule neurons express Dcx (Gao, Arlotta, Macklis, &

Chen, 2007). The numbers of NSCs and newborn neurons in the SGZ of the DG were

comparable between control mice and either the *COUP-TFII* or *COUP-TFI* mutant mice

(Figure 3—figure supplement 1Aa-p, Ba-h); nonetheless, compared with those of control

mice, the numbers of the NSCs and newborn granule neurons in the SGZ of the DG were

reduced in the double mutants (Figure 3—figure supplement 1Aq-x, Bi-l), and the reduction

in both lineages was significant (Figure 3—figure supplement 1Ca, b). The data above

suggest that *COUP-TF* genes may coordinate with each other to execute essential functions

for appropriate hippocampal adult neurogenesis in the DG.

Hippocampal trisynaptic connectivity was impaired in postnatal *COUP-TFII* single-,

***COUP-TFI* single-, and double-mutant mice at about 1M**

Given that dysplasia of the hippocampus was observed in all three mouse models, we asked

whether the connectivity of the hippocampal trisynaptic circuit associated with the DG, CA3,

and CA1 regions (Amaral, 1993) was normal in these models. To answer this question, the

components of the trisynaptic circuit were characterized in the ventral hippocampus of

COUP-TFII mutants, the dorsal hippocampus of *COUP-TFI* mutants, and the septal

hippocampus of double mutants. Calretinin is a marker of mossy cells, Calbindin is a marker

of mossy fibers, and SMI312 is a marker of Schafer collaterals (Flore et al., 2017). Compared

with those of controls (Figure 4Aa, b, e, f, i, and j), the numbers of Calretinin-positive mossy

cells were reduced, Calbindin-positive mossy fibers were longer but thinner, and SMI312-

positive Schafer collaterals were thinner and discontinued in the ventral hippocampus of

COUP-TFII mutants at 1M (Figure 4Ac, d, g, h, k, and l). In addition, similar to the previous

report (Flore et al., 2017), the numbers of Calretinin-positive mossy cells were decreased,

Calbindin-positive mossy fibers were shorter and thinner, and SMI312-positive Schafer

collaterals were barely detected in the dorsal hippocampus of *COUP-TFI* mutants (Figure

4Ac, d, g, h, k, and l), compared with those of controls at 1M (Figure 4Ba, b, e, f, i, and j).

Moreover, compared with those of control mice (Figure 4Ca, b, e, f, i, and j), the numbers of

Calretinin-positive mossy cells were reduced; both Calbindin-positive mossy fibers and

SMI312-positive Schafer collaterals were barely detected in the prospective septal

hippocampus of the double mutants at 3W (Figure 4Cc, d, g, h, k, and l). Clearly, without

both *COUP-TF* genes, the connectivity of the hippocampal trisynaptic circuit was abolished

more severely. The observations above revealed that the formation of the trisynaptic circuit,

which is one of the fundamental characteristics of hippocampal neurophysiology (Basu &

Siegelbaum, 2015), was abnormal in all three mouse models, indicating that both the

morphology and functions of the hippocampus are most likely compromised in the loss of the

COUP-TFI and/or *COUP-TFII* gene.

The expression of several essential regulatory genes associated with early hippocampal

development was abnormal in double mutants

Given that the hippocampus was almost completely diminished in double mutants, we asked

how *COUP-TF* genes participated in the regulation of the early morphogenesis of the

hippocampus. To answer this question, total RNA isolated from the whole telencephalons of

control (n=5) and double-mutant-(n=3) embryos at E11.5 was used to generate cDNA, and

then real-time quantitative PCR (RT-qPCR) assays were performed. As expected, compared

with that of control mice, the expression of *COUP-TFI* and *COUP-TFII* was reduced

significantly in the double mutant mice (Figure 5A). Then, we mainly focused on the intrinsic

regulatory networks by analyzing the expression profiles of two groups of transcription factor

genes. The *Foxg1*, *Gli3*, *Lhx2*, *Otx1, Otx2*, and *Pax6* genes, which are highly related to the

early patterning of the dorsal telencephalon (Hébert & Fishell, 2008), were in the first group;

Axin2, *Emx1*, *Emx2*, *Lef1*, *Lhx5*, and *Tcf4* genes, which are associated with early

hippocampal development (Galceran et al., 2000; Moore & Iulianella, 2021; Tole et al., 2000;

Yoshida et al., 1997; Zhao et al., 1999), were in the other group. The expression of the *Foxg1*,

Gli3, *Lhx2*, *Otx1, Otx2*, and *Pax6* genes was comparable between the controls and double

mutants (Figure 5A), indicating that the early patterning of the dorsal telencephalon is largely

unaltered. Compared with that of control mice, the expression of the *Axin2*, *Emx2*, *Lef1*, and

Tcf4 genes was normal in the double mutants; interestingly, the expression of the *Emx1* and

Lhx5 transcripts was decreased significantly in the double mutants at E11.5 compared to that

in control mice (Figure 5A). Consistent with the downregulated expression of *Lhx5*

transcripts in the double mutant, the expression of the Lhx5 protein was reduced in the CH in

the double mutants at E11.5; moreover, the number of Lhx5-positive Cajal-Retzius cells

decreased in the double mutant embryos at E11.5, E13.5 and E14.5 (Figure 5Ba-d, a'-d', a''-

d'', i-l, i'-l', q-t, q'-t'). The expression of *Lhx2* was expanded ventrally into the choroid

plexus in the *Lhx5* null mutant mice (Zhao et al., 1999), indicating that *Lhx5* could inhibit

Lhx2 expression locally. Consistent with RT-qPCR data, the expression of Lhx2 was

comparable between the control and double-mutant mice at E11.5 (Figure 5Be-h, e'-h').

Interestingly, the expression of the Lhx2 protein was increased in the hippocampal

primordium in the *COUP-TF* double-mutant mice at E13.5 and E14.5 (Figure 5Bm-p, m'-p',

u-x, u'-x'). The upregulation of Lhx2 expression is most likely associated with the reduced

expression of the *Lhx5* gene.

Next, we asked whether neural precursor cells (NPCs), intermediate progenitor cells (IPCs),

or newborn neurons were affected in the early development of the hippocampus in double-

mutant mice. Sox2 is a marker for NPCs, Tbr2 is a marker for IPCs, and NeuroD1 is a marker

for newborn neurons (Yu, Marchetto, & Gage, 2014). The expression of Sox2 in the

hippocampal regions was comparable between the control and double-mutant mice at E14.5

(Figure 5Ca-d, a'-d'), indicating that the generation of NPCs was normal. Nevertheless,

compared with the control embryos, the numbers of Tbr2-positive IPCs and NeuroD1-

positive newborn neurons were reduced in the double-mutant embryos (Figure 5Ce-l, e'-l'),

and the reduction was significant (Figure 5D). Our observations were consistent with

previous findings in *Lhx5* null mutant mice that the specification of the hippocampal NPCs

was normal, but the later differentiation event was abolished (Zhao et al., 1999). All the data

above suggest that *COUP-TF* genes may cooperate to ensure the early morphogenesis of the

hippocampus by regulating the appropriate expression levels of *Lhx5* and *Lhx2* genes.

Nevertheless, we could not exclude other possibilities that *COUP-TF* genes could also

participate in the modulation of hippocampal development through *Emx1* or other genes.

Discussion

In our present study, we observed dorsal-high COUP-TFI and ventral-high COUP-TFII

expression profiles in the postnatal hippocampus. The deletion of the *COUP-TFII* gene led to

duplicated CA1 and CA3 domains of the ventral hippocampus. The loss of *COUP-TFI*

resulted in the failed specification and differentiation of the dorsal CA1 pyramidal neuron

lineage with a diminished dorsal hippocampus. Furthermore, the deficiency of both *COUP-*

TF genes caused atrophy of almost the entire hippocampus, accompanied by compromised

generation of the CA1, CA3, and DG identities. In addition, the dorsal trisynaptic

components, ventral trisynaptic components, or entire trisynaptic components were abolished

in the corresponding *COUP-TFI* gene mutant, *COUP-TFII* gene mutant, or *COUP-TFI/-TFII*

double-gene mutant mice. Moreover, *COUP-TF* genes may cooperate to ensure the

appropriate morphogenesis and function of the hippocampus by regulating the *Lhx5-Lhx2*

axis.

1. *COUP-TFII* governs the distinct characteristics of the ventral hippocampus

Sixty years ago, the pioneering work of Milner and her colleagues discovered the essential

role of the hippocampus in declarative memory (Penfield & Milner, 1958; Scoville & Milner,

1957). Recently, accumulating evidence has supported the Moser theory that the

hippocampus is a heterogeneous structure with distinct characteristics of gene expression,

connectivity, and function along its dorsoventral axis (Bast, 2007; Fanselow & Dong, 2010;

Moser & Moser, 1998; Strange et al., 2014). The dorsal hippocampus marked in blue, in

which gene expression is similar to the neocortex, serves the "cold" cognitive function

associated with declarative memory and spatial navigation, and the ventral hippocampus

marked in red, in which gene expression is close to the hypothalamus and amygdala,

corresponds to the "hot" affective states related to emotion and anxiety (Figure 5—figure

supplement 1). The ventral hippocampus generates direct connectivity with the amygdala,

hypothalamus, medial prefrontal cortex (mPFC), and olfactory bulb (Cenquizca & Swanson,

2007; Hoover & Vertes, 2007; Kishi et al., 2000; Pitkanen et al., 2000; Roberts et al., 2007).

Nonetheless, thus far, the molecular and cellular mechanism of how the morphogenesis,

connectivity, and function of the ventral hippocampus is achieved has been largely unclear.

COUP-TFII, a nuclear receptor gene associated with heart disease (High et al., 2016), was

highly and exclusively expressed in the ventral hippocampus in 1-month-old mice and was

expressed ventrally in the CH of the hippocampal primordium in mouse embryos (Figure 1,

Figure 1—figure supplement 1, Figure 5Ea), indicating that the *COUP-TFII* gene may

participate in the regulation of the development and function of the ventral hippocampus.

First, deficiency of the *COUP-TFII* gene led to the duplication of the CA1 and CA3 domains

of the ventral hippocampus but not the dorsal hippocampus, which was confirmed both

morphologically and molecularly (Figure 1). Second, the formation of the trisynaptic circuit

was specifically abolished in the ventral hippocampus of *COUP-TFII* mutants (Figure 4),

indicating that the intrahippocampal circuit, information transfer, and function of the ventral

hippocampus could be compromised. Third, the ventral hippocampus generates neural

circuits with the mPFC, amygdala, nucleus accumbens, and hypothalamus, which are

associated with anxiety/behavioral inhibition, fear processing, pleasure/reward seeking, and

the neuroendocrine system, respectively (Anacker & Hen, 2017; Baik, 2020; Bryant &

Barker, 2020; Cenquizca & Swanson, 2007; Herman et al., 2016; Kishi et al., 2000; O'Leary

& Cryan, 2014; Pitkanen et al., 2000). These ventral hippocampal projections may be

important for processing information related to emotion and anxiety. Intriguingly, our

previous studies revealed that *COUP-TFII* is required for the development of the

hypothalamus, amygdala, and olfactory bulb (S. Feng et al., 2017; Tang et al., 2012; X. Zhou

et al., 2015), all of which generate functional neural circuits with the ventral hippocampus

(Fanselow & Dong, 2010). Particularly, both the hypothalamus and amygdala are also

diminished in *RXCre/+*; *COUP-TFII^{F/F}* mutant mice (S. Feng et al., 2017; Tang et al., 2012),

indicating that their interconnectivities with the ventral hippocampus are abnormal. Thus, all

the findings above suggest that *COUP-TFII* is a novel and essential intrinsic regulator that

controls the morphogenesis, connectivity, and function of the ventral hippocampus.

Given that mutations of *COUP-TFII* are highly associated with CHDs and are required for

the distinct characteristics of the ventral hippocampus, we wondered whether CHD patients

carrying mutations of *COUP-TFII* also display symptoms of psychiatric disorders, such as

depression, anxiety, or schizophrenia, related to the ventral hippocampus. In our future study,

we would like to generate hippocampus-specific or hippocampal subdomain-specific

conditional knockout models to dissect distinct roles of the *COUP-TFII* gene in the

hippocampus, particularly in the ventral hippocampus, in detail.

2. The *COUP-TFI* gene is required for the specification and differentiation of dorsal

CA1 pyramidal neurons

The expression of *COUP-TFI*, another orphan nuclear receptor gene associated with

neurodevelopmental disorders (Bertacchi et al., 2020; Bosch et al., 2014; Contesse et al.,

2019), is high in the dorsal MP of the hippocampal primordium and is higher in the dorsal

hippocampus than in the ventral hippocampus (Figure 1, Figure 1—figure supplement 1,

Figure 5Ea) (Flore et al., 2017). Consistent with previous observations in *Emx1Cre/+*;

COUP-TFI^{F/F} mutant mice (Flore et al., 2017), the dorsal hippocampus but not the ventral

hippocampus was specifically shrunken in *RXCre/+; COUP-TFI^{F/F}* mice (Figure 2, Figure

5—figure supplement 1). *COUP-TFI* is expressed at the highest level in dorsal CA1

pyramidal neurons (Figure 1), indicating that the *COUP-TFI* gene may play a role in the

specification and differentiation of dorsal CA1 pyramidal neurons. As expected, the

expression of Ctip2 and Wfs1, two markers for dorsal CA1 pyramidal neurons, could not be

detected in the prospective dorsal CA1 domain in *RXCre/+*; *COUP-TFI^{F/F}* mutant mice

(Figure 2); furthermore, *Emx1Cre/+*; *COUP-TFI^{F/F}* mutant mice partially phenocopied the

compromised development of the dorsal CA1 lineage (Figure 2—figure supplement 1). It

seems that the spatiotemporal activity of RXCre recombinase is better or broader than that of

the Emx1Cre recombinase during the critical period of the specification of the dorsal CA1

pyramidal neuron identity. In addition, the Golgi staining assay revealed that the

development of the dendrites of the dorsal CA1 pyramidal neurons was abnormal (Figure 2—

figure supplement 1). All the observations above indicate that the *COUP-TFI* gene is not only

459 necessary for the morphogenesis of the dorsal hippocampus but is also required for the

460 specification and differentiation of the dorsal CA1 pyramidal neurons, among which there are

461 place cells. The identification of place cells fifty years ago was one of the most important

462 breakthroughs in understanding the role of the hippocampus in memory (O'Keefe &

463 Dostrovsky, 1971). Except for spatial information, place cells in the dorsal CA1 may also

464 encode nonspatial representations, such as time (Eichenbaum, 2017; Lisman et al., 2017).

465 Notably, 95% of patients carrying *COUP-TFI* mutations are associated with ID. Here, our

466 observations support the notion that the *COUP-TFI* gene is a novel intrinsic regulator that

467 specifies the dorsal CA1 pyramidal cell identity, which will benefit the understanding of both

468 neurophysiological functions of the hippocampus and the etiology of NDD including ID and

469 ASD.

471 **3. *COUP-TFI* and *-TFII* cooperate to ensure the appropriate morphogenesis of the**

472 **hippocampus by regulating the *Lhx5-Lhx2* axis in mice**

473 In wild-type mice, *COUP-TFI* and *-TFII* genes generated complementary expression profiles

474 in the embryonic hippocampal primordium with *COUP-TFI* in the dorsal MP, marked in

475 green; *COUP-TFII* in the ventral CH, marked in red (Figure 5Ea, Figure 1—figure

476 supplement 1); and in the postnatal hippocampus with high-COUP-TFI expression in the

477 dorsal, marked in green; and high-COUP-TFII expression in the ventral, marked in red

478 (Figure 5Ea, Figure 1). These findings indicated that *COUP-TF* genes may coordinate to

479 regulate hippocampal development. Indeed, as discussed above, the loss of either *COUP-TFI*

480 or *-TFII* only leads to dysplasia of the dorsal hippocampus (Flore et al., 2017) (Figure 2,

481 Figure 2—figure supplement 1) or ventral hippocampus (Figure 1), respectively; intriguingly,

482 while both genes are efficiently excised by *RXCre* in the hippocampal primordium (Figure

483 1—figure supplement 1), more severely shrunken hippocampi developed in the 3-week-old

double knockout mice (Figure 3). The dosage-dependent severity of hippocampal

abnormalities suggested that two nuclear receptor genes, *COUP-TFI* and *-TFII* could

cooperate with each other to execute an essential and intrinsic function in the development of

the hippocampus.

It is known that both extrinsic signals and intrinsic factors participate in the regulation of the

early development of the hippocampus. Notably, mutations of *Wnt3a* and *Lef1* eliminate the

entire hippocampus (Galceran et al., 2000; S. M. Lee et al., 2000). Given that the expression

of *Axin2*, *Lef1* and *Tcf4*, three Wnt-responsive transcription factor genes, was not altered in

the *COUP-TF* double mutant (Figure 5A), it is unlikely that abnormal Wnt signaling is the

cause of the compromised hippocampus. *Lhx5* is specifically expressed in the hippocampal

primordium and is required for the morphogenesis of the hippocampus (Zhao et al., 1999).

Lhx2 is necessary for hippocampal development by repressing cortical hem fate (Mangale et

al., 2008; Monuki et al., 2001). Agenesis of the hippocampus is observed in either *Lhx5* or

Lhx2 null mutant mice, and these genes particularly repress each other (Hébert & Fishell,

2008; Mangale et al., 2008; Roy et al., 2014; Zhao et al., 1999), indicating that the proper

expression levels of *Lhx5* and *Lhx2* genes are critical to maintain the appropriate

development of the hippocampus (Figure 5Eb). The transcriptional and protein expression

levels of *Lhx5* but not *Lhx2* were first reduced in the hippocampal primordium of *COUP-TF*

double-mutant mice at E11.5; later, enhanced expression of the Lhx2 protein was detected in

the hippocampal primordium of double-mutant mice at E13.5 and E14.5 (Figure 5A-B).

Moreover, the number of Lhx5-positive Cajal-Retzius cells was clearly reduced in the double

mutant embryos at E11.5, E13.5 and E14.5; consistent with the observations in the *Lhx5* null

mutant (Li et al., 2021; Miquelajáuregui et al., 2010), the generation of Sox2-positive

hippocampal NPCs was not affected, but the development of Tbr2-positive IPCs and

NeuroD1-positive newborn neurons was abnormal in *COUP-TF* double-mutant mice (Figure

5). Thus, our findings reveal a novel intrinsic regulatory mechanism that *COUP-TFI* and

COUP-TFII, two disease-associated nuclear receptor genes, may cooperate with each other to

ensure proper hippocampal morphogenesis by regulating the *Lhx5-Lhx2* axis. Intriguingly,

compared with the adult *Emx1Cre/+*; *COUP-TFI$^{F/F}$* mutant mice, the hippocampus was much

smaller in the adult *Emx1Cre/+*; *COUP-TFI$^{F/F}$*; *COUP-TFII$^{F/F}$* double-gene mutant mice;

nevertheless, both the dorsal and ventral hippocampus were readily detected in double-gene

mutants with *Emx1Cre* (our unpublished observations). In addition, the discrepancy between

the shrunken dorsal hippocampus associated with the loss of *COUP-TFI* and the duplicated

CA domains of the ventral hippocampus associated with the deficiency of *COUP-TFII*

suggested that the regulatory network related to *COUP-TF* genes during the early

morphogenesis of the hippocampus could be much more complicated than suspected and

should be investigated in our future study.

4. *COUP-TF* genes are imperative for the formation of the trisynaptic circuit

The hippocampus and entorhinal cortex (EC) are interconnected through various neural

circuits to mediate the flow of the information associated with declarative memory (Basu &

Siegelbaum, 2015). Both direct and indirect glutamatergic circuits are involved in the relay of

information from the EC to the hippocampal CA1, and the trisynaptic pathway is the most

well-characterized indirect circuit. The EC sends sensory signals from association cortices

via the perforant path to the DG, then the DG granule cells send excitatory mossy fiber

projections to CA3 pyramidal neurons, and CA3 pyramidal neurons project to CA1 via the

Schaffer collaterals (H. Lee, GoodSmith, & Knierim, 2020). Consistent with the high

expression of COUP-TFI in the dorsal hippocampus and COUP-TFII in the ventral

hippocampus (Figure 1), the dorsal trisynaptic circuit is specifically damaged in *COUP-TFI*

mutants, as is the ventral trisynaptic circuit in *COUP-TFII* mutant mice. Moreover, the

hippocampal trisynaptic circuit was almost completely absent in the double mutants (Figure 4,

and our unpublished observations). The information transfer associated with the trisynaptic

circuits should be abolished particularly in the dorsal and/or the ventral hippocampus in the

above corresponding genetic mouse models. Interestingly, *COUP-TFI* is also required to

specify the medial EC cell fate (J. Feng et al., 2021). Therefore, the impaired formation and

function of trisynaptic circuits could be caused by the abnormal development of CA1, CA3,

DG or EC lineages. Nonetheless, given that newborn granule neurons are continuously

generated in the adult DG to integrate into the existing neural circuits essential for declarative

memory (Toda, Parylak, Linker, & Gage, 2019; Tuncdemir, Lacefield, & Hen, 2019) and that

hippocampal adult neurogenesis was severely compromised in the *COUP-TF* double mutant

(Figure 3—figure supplement 1), we could not exclude the possibility that impaired adult

neurogenesis may also contribute to the malformation and impaired function of the

trisynaptic pathway in double mutants.

The hippocampus is heterogeneous along its dorsoventral axis, and either the dorsal or

ventral hippocampus generates unique and distinguishable characteristics of gene expression

and connectivity, which enable the hippocampus to execute an integrative function from the

encoding and retrieval of certain declarative memory to adaptive behaviors. Lesions of the

dorsal hippocampus, which are essential for the cognitive process of learning and memory,

lead to amnesia and ID; while damage to the ventral hippocampus, which is central for

emotion and affection, is highly associated with psychiatric disorders including depression,

anxiety, and schizophrenia. Our findings in this study reveal novel intrinsic mechanisms by

which two nuclear receptor genes, *COUP-TFI* and *-TFII*, which are associated with NDD or

CHD, converge to govern the differentiation and integration of the hippocampus along the

dorsoventral axis morphologically and functionally. Furthermore, our present study provides

novel genetic model systems to investigate the crosstalk among the hippocampal complex in

gene expression, morphogenesis, cell fate specification and differentiation, connectivity,

functions of learning/memory and emotion/anxiety, adaptive behaviors, and the etiology of

neurological diseases. Nevertheless, many enigmas, such as whether and how the

abnormalities of either the dorsal or ventral hippocampus affect the characteristics of the

other, remain unsolved. In addition to the excitatory lineages and circuits, interneurons and

inhibitory circuits play vital roles in maintaining the plasticity and functions of the

hippocampus. We also wonder whether and how defects in interneurons and inhibitory

circuits could contribute to the compromised morphogenesis, connectivity, and functions of

the hippocampus and the etiology of psychiatric and neurological conditions including ID,

ASD, depression, anxiety, and schizophrenia.

570
Materials and Methods

Animals

COUP-TFI-floxed mice, *COUP-TFII-floxed* mice, *RXCre* mice and *Emx1Cre* mice used in

the study were of the C57B6/129 mixed background. The noon of vaginal plug day was set as

the embryonic day 0.5 (E0.5). All animal protocols were approved by the Animal Ethics

Committee of the Shanghai Institute of Biochemistry and Cell Biology. All methods were

performed in accordance with the relevant guidelines and regulations. Only the littermates

were used for the comparison.

Nissl staining

We used xylene to dewax paraffin sections, followed by rinsing with 100%, 95%, and 70%

ethanol. The slides were stained in 0.1% Cresyl Violet solution for 25 mins. Then the

sections were washed quickly in the water and differentiated in 95% ethanol. We used 100%

ethanol to dehydrate the slides, followed by rinsing with the xylene solution. Finally, the

neutral resin medium was used to mount the slides.

Immunohistochemical (IHC) staining

The paraffin sections were dewaxed and rehydrated as described above for Nissl staining.

The slides were boiled in 1×antigen retrieval solution (DAKO) under microwave conditions

for 15 min. After cooled to room temperature (RT), the slides were incubated with 3% H2O2

for 30 min. Then, the slides were treated with blocking buffer for 60 min at RT and then

incubated with the primary antibody in the hybridization buffer (10×diluted blocking buffer)

overnight (O/N) at 4 °C. The next day, the tyramide signal amplification kit (TSA)

(Invitrogen) was used according to the manufacturer's protocol. After being incubated with

1%TSA blocking buffer, the sections were treated with a biotinylated secondary antibody for

60 min at RT. After being washed with 1×PBS three times, the slides were incubated with

1×HRP-conjugated streptavidin for 1 h. Next, the tyramide working solution was prepared,

including the 0.15‰ H_2O_2 in distilled water, the 100×diluted tyramide substrate solution

(tyramide-488 or tyramide-594), and the amplification buffer. The sections were incubated

with the working solution for 10 min. Then the slides were counterstained with DAPI and

mounted with the antifade mounting medium (Southern Biotech) (S. Feng et al., 2017; Zhang

et al., 2020). Finally, the sections were observed and images were captured with a digital

fluorescence microscope (Zeiss).

The following primary antibodies were used in the study: mouse anti-COUP-TFI (1:1000,

R&D), mouse anti-COUP-TFII (1:2000, R&D), rabbit anti-HuB (1:500, Abcam), rat anti-

Ctip2 (1:500, Abcam), rabbit anti-Wfs1 (1:500, ProteinTech), goat anti-Prox1 (1:500, R&D),

rabbit anti-Calretinin (1:500, Sigma), rabbit anti-Calbindin (1:500, Swant), mouse anti-

SMI312(1:200, Covance), rabbit anti-Sox2 (1:500, Affinity BioReagents), rat anti-Tbr2

(1:500,Thermo Fisher), goat anti-NeuroD1 (1:200, Santa Cruz), goat anti-Lhx2 (1:200, Santa

Cruz), goat anti-Lhx5 (1:200, R&D), goat anti-β-galactosidase (LacZ) (1:400, Biogenesis),

mouse anti-GFAP(1:500, Sigma), rabbit anti-Nestin (1:200, Santa Cruz), goat anti-Dcx

(1:500, Santa Cruz). The following secondary antibodies were used in the study: donkey anti-

mouse (Abboud, Bar, Rosenfeld, Ring, & Glass, 1996) IgG biotin-conjugated (1:400,

JacksonImmuno), donkey anti-rabbit IgG biotin-conjugated (1:400, JacksonImmuno), donkey

anti-goat IgG biotin-conjugated (1:400, JacksonImmuno), donkey anti-rat IgG biotin-

conjugated (1:400, JacksonImmuno).

Western blotting

We homogenized the isolated dorsal and ventral hippocampus tissues from 1-month-old mice

respectively in the RIPA buffer (Applygen) with protease inhibitor cocktail (Sigma) and

phosphatase inhibitors (Invitrogen) and then centrifuged at the speed of 12,000 rpm for 30

mins. We collected the supernatants and analyzed the total concentrations by the BCA kit

(Applygen). Gradient SDS-PAGE gels were used to separate the same amounts of protein

sample (40 μg/lane), and then the proteins were transferred to the PVDF membranes

(Millipore). After being blocked by the 3% BSA (Sigma) for 2h, the membranes that

contained proteins were incubated by primary antibodies at 4 °C O/N. The membranes were

rinsed with 1×PBST three times for 10 mins and then treated with biotinylated secondary

antibodies for 2h at RT. After washing with 1×PBST, membranes were treated with the HRP-

conjugated streptavidin for 1h at RT. Finally, we used the chemiluminescence detection

system (Tanon) to detect the bands. The density of the protein band was analyzed by the

software Image J.

The primary antibodies were used in the experiment as below: mouse anti-COUP-TFI

(1:2000, R&D), rabbit anti-COUP-TFII (1:3000, R&D). The following secondary antibodies

were applied in the study, including goat anti-mouse IgG biotin-conjugated (1:1000, KPL),

goat anti-rabbit IgG biotin-conjugated (1:1000, KPL).

Golgi staining

Deep anesthesia was performed before sacrificing the control and mutant mice, and the brains

were immediately isolated. The FD rapid GolgiStain kit (FD NeuroTech) was used to process

the brain tissue samples, which were immersed in an equal volume of immersion solution

mixed with solutions A and B and stored in the dark for two weeks at RT. At least 5mL of

immersion solution was used for each cubic meter of the tissue. To achieve the best results,

the container of tissue was gently swirled from side to side twice a week during the

incubating period. Afterwards, the brain tissue was transferred to solution C in the dark at RT

for at least 72 hours (up to 1 week). Finally, the tissues were cut into 100 μm thick slices with

a cryostat at -20°C to -22°C and transferred to gelatin-coated microscope slides containing

solution C using a sample retriever. The slices were dried naturally at RT. The concrete

staining procedure of the kit was followed using the manufactory's protocol. Then, the

sections were rinsed twice with double-distilled water for 4 minutes each time. The slices

were placed in a mixture of one volume of solution D, one volume of solution E, and two

volumes of double distilled water for 10 minutes and were rinsed twice with distilled water

for 4 minutes each time. The sections were later dehydrated in 50%, 75%, and 95% ethanol

for 4 minutes respectively. Next, the slices were dehydrated in 100% ethanol 4 times for 4

minutes each time. Finally, the sections were cleared in xylene and mounted with a neutral

resin medium.

Morris water maze

By recording the time spent by the mice swimming in the water tank and finding the escape

platform hidden underwater, and the swimming trajectory, the Morris water maze test can

objectively reflect the spatial learning and memory ability of the mice. We poured tap water

into the water maze tank and added an appropriate amount of well-mixed, milky white food

dye. The height of the liquid level was about 1 cm higher than the escape platform, and the

water temperature was kept at about $25\pm1℃$. At the same time, four markers of different

shapes were pasted on the four directions of the inner wall above the water tank to distinguish

different directions. The Morris water maze test was divided into the training phase and the

probe trial phase. The training phase lasted for 6 days, 4 times a day, and the interval between

each training was about 30 minutes. During training, the mice were placed into the tank from

the entry points of four different quadrants facing the inner wall, and their latency was

recorded from the time they entered the water to the time they found a hidden underwater

platform and stood on it. After the mouse found the platform, we let it stay on the platform

for 10 seconds before removing it. If the mouse failed to discover the platform 60 seconds

after entering the water, it was guided to find the platform and left to stay for 10 seconds.

Each mouse was placed into the water tank from four water entry points and recorded as one

training session. The probe trial was carried out on the seventh day, and the underwater

platform was removed. Each experimental mouse was put into the water tank at the same

water entry point and allowed to move for 60 seconds. The time that each mouse spent in the

quadrant, where the platform was originally placed, was recorded.

RNA isolation and quantitative real-time PCR

Total RNAs were prepared from the whole telencephalon of the control (n=5) and double

mutant (n=3) mice at E11.5 respectively, with the TRIzol Reagent (Invitrogen) by following

the manufactory's protocol. Reverse-transcription PCR and real-time quantitative PCR assays

were performed as described previously (Tang et al., 2012). A student's t-test was used to

compare the means of the relative mRNA levels between the control group and mutant group.

Primer sequences are as follows:

Axin2-f, 5'-*ctgctggtcaggcaggag*-3', *Axin2-r*, 5'-*tgccagtttctttggctctt*-3'; *Coup-tfI-f*, 5'-

caaagccatcgtgctattca-3', *Coup-tfI-r*, 5'-*cctgcaggctttcgatgt*-3'; *Coup-tfII-f*, 5'-*cctcaaagtgggcatgagac*-

3', *Coup-tfII-r*, 5'-*tgggtaggctgggtaggag*-3'; *Emx1-f*, 5'-*ctctccgagacgcaggtg*-3', *Emx1-r,* 5'-

ctcagactccggcccttc-3'; *Emx2-f*, 5'-*cacgcttttgagaagaacca*-3', *Emx2-r,* 5'-*gttctccggttctgaaacca*-3';

Foxg1-f, 5'-*gaaggcctccacagaacg*-3', *Foxg1-r*, 5'-*ggcaaggcatgtagcaaaag*-3'; *Gli3-f*, 5'-

tgatccatctcctattcctcca-3', *Gli3-r*, 5'-*tctggatacgtcgggctact*-3'; *Lef1-f*, 5'-*tcctgaaatccccaccttct*-3', *Lef1-*

r, 5'-*tgggataaacaggctgacct*-3'; *Lhx2-f*, 5'-*cagcttgcgcaaaagacc*-3', *Lhx2-r*, 5'-*taaaaggttgcgcctgaact*-

3'; *Lhx5-f*, 5'-*tgtgcaataagcagctatcca*-3'; *Lhx5-r,* 5'-*caaactgcggtccgtaca*-3'; *Otx1-f*, 5'-

ccagagtccagagtccaggt-3', *Otx1-r*, 5'-*ccgggttttcgttccatt*-3'; *Otx2-f*, 5'-*ggtatggacttgctgcatcc*-3', *Otx2-r*,

5'-*cgagctgtgccctagtaaatg*-3'; *Pax6-f*, 5'-*gttccctgtcctgtggactc*-3', *Pax6-r*, 5'-*accgcccttggttaaagtct*-3';

Tcf4-f, 5'-*aaatggccactgcttgatgt*-3', *Tcf4-r*, 5'-*gcaccaccggtactttgttc*-3'.

Quantification and statistical analysis

The number of specified immunofluorescent marker-positive cells was assessed by Image J

Cell Counter in full image fields. Three brain sections per mouse were counted for each index.

GraphPad Prism 7.0 (GraphPad) was used to perform statistical analysis. The data analysis

used one-way analysis of variance (ANOVA), Dunnett's or Tukey's post hoc tests, and

student's unpaired t-test. The data were expressed as the mean ± SEM. The data obtained

from at least three independent replicates were used for statistical analysis. $P < 0.05$ was

considered the significant statistical difference.

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Author contributions

717 X.Y., W.R. designed and conducted the experiments. X.Y. and K.T. organized and wrote the

718 manuscript. Z.W.L., S.F. and J.X.Y. assisted in conducting the experiments and analyzed the

719 data. N.J. and K.T. conceived the project and approved the manuscript.

Competing interests

722 The authors declare no competing interests.

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Figure legends

Figure 1. Duplicated CA1 and CA3 domains are generated in the ventral hippocampus

of *RXCre/+*; *CII$^{F/F}$* mutant mice.

A, The expression of COUP-TFI (**a, d, g, j, m**) and COUP-TFII (**b, e, h, k, n**) in coronal

sections (**a-f**) and sagittal sections (**g-o**) of the hippocampus at postnatal month 1 (1M);

representative Western blots and quantitative densitometry data for the expression of COUP-

TFI and COUP-TFII in the dorsal and ventral hippocampus at 1M (**p-q**). **B,** In coronal

sections along the rostrocaudal axis (**a-f**) and sagittal sections along the lateral-medial axis

(**g-l**) of the hippocampus in mutant mice, compared with that in control mice (**a-c**, **g-i**), the

ectopic CA-like structure, indicated by the star, was observed in the ventral region in *COUP-*

TFII gene mutant (*RXCre/+*; *CII$^{F/F}$)* mice at 1M (**d-f**, **j-l**). **C,** The expression of HuB and

Ctip2 in the corresponding inserted area in **a-f** under a high magnification objective lens at

1M (**g-r**); compared with those of control mice (**a-c**, **g-i**, **m-o**), the duplicated HuB-positive

CA3 domain, indicated by the star, and Ctip2-positive domains, indicated by the arrowhead,

were specifically observed in the ventral hippocampus (**d-f, p-r**) but not in the dorsal

hippocampus (**d-f**, **j-l**) of *COUP-TFII* mutant mice at 1M; Ctip2 positive AHi and MePD

amygdaloid nuclei were barely observed in the *COUP-TFII* mutant mice, indicated by the

arrows, instead of the ectopic CA domains at the prospective amygdaloid regions (**e-f, q-r**).

Data are expressed as the mean ± SEM. *Student's t test*, $^*P<0.05$, $^{**}P<0.01$. AHi,

amygdalohippocampal area; AMY, amygdala nuclei; CTX, cortex; dCA1, dorsal CA1; dCA3,

dorsal CA3; dDG, dorsal dentate gyrus; dHPC, dorsal hippocampus; MePD, posterodorsal

part of the medial amygdaloid nucleus; PMCo, posteromedial cortical amygdaloid nucleus;

vCA1, ventral CA1; vCA3, ventral CA3; vDG, ventral dentate gyrus; vHPC, ventral

hippocampus. Scale bars, **Aa-c**, **Ad-f**, **Aj-o**, **Cg-r**, 100 μm; **Ag-i**, **Ba-l, Ca-f**, 200μm.

Figure 1—figure supplement 1. The expression of *COUP-TF* genes in the early

developing hippocampus and different conditional knock mouse models.

A, The expression of *COUP-TFI* and *COUP-TFII* genes in the forebrain at E10.5 (**a-b**) and

E11.5 (**c-d**). **B,** The expression of *COUP-TFI* and *COUP-TFII* genes in the developing

hippocampus at E12.5 (**a-c**), E14.5 (**d-f**), and P0 (**g-l**). **C,** Compared with that of control mice

(**a-c**), *COUP-TFII* is efficiently deleted by RXCre recombinase in the hippocampus of

COUP-TFII mutant mice at 1M (**d-f**); *COUP-TFI* is clearly deleted by RXCre recombinase

in the hippocampus of *COUP-TFI* mutant mice at 1M (**g-i**). Compared with that of control

mice (**j-l**), COUP-TFI and -TFII were efficiently deleted by RXCre recombinase at the

hippocampal primordium, including the MP and CH, in *COUP-TFI/-TFII* double-mutant

mice at E14.5 (**m-o**). **D,** Compared with that of the control mice (**a-c, g-h**), the expression of

COUP-TFII was significantly decreased in the hippocampal primordium of the homozygous

mutant mice at E11.5; meanwhile, the LacZ signals obviously increased in the *COUP-TFII*

homozygous mutant mice at E11.5 (**d-f, j-k**). Compared with that of the control mice (**i**), the

expression of COUP-TFI is activated in the caudal hippocampal primordium of the

homozygous mutant mice at E11.5 (**l**). CH, cortical hem; dHPC, dorsal hippocampus; HP,

hippocampal primordium; HPC, hippocampus; MP, medial pallium; vHPC, ventral

hippocampus. Scale bars, **Aa-d**, **Ba-f**, **Cj-o**, 200 µm; **Bg-l**, **Ca-i**, **Dg-l**, 100 µm; **Da-f**, 250 µm.

Figure 2. The specification and differentiation of the dorsal CA1 lineage failed with the

dysplastic dorsal hippocampus in *RXCre/+*; *CI^{F/F}* mutant mice.

A, In coronal sections along the rostrocaudal axis (**a-f**) and sagittal sections along the lateral-

medial axis (**g-l**) of the hippocampus, compared with that of control mice (**a-c, g-i**), the dorsal

hippocampus was shrunken, indicated by the star, in *COUP-TFI* gene mutant (*RXCre/+;CI^{F/F}*)

mice at 1M (**d-f**, **j-l**). **B,** The expression of HuB and Ctip2 in the corresponding inserted area

in **a-f** under a high magnification objective lens at 1M (**g-r**); compared with that of control

mice (**a-c**, **g-i**, **m-o**), the HuB-positive CA3 domain was reduced in the dorsal hippocampus,

especially the Ctip2-positive dorsal CA1, which was barely detected in *COUP-TFI* mutant

mice at 1M (**d-f**, **j-l**), while their expression in the ventral hippocampus was comparable

between the controls and mutants (**d-f**, **p-r**). **C,** The expression of HuB and Wfs1 in the

corresponding inserted area in **a-f** under a high magnification objective lens at 1M (**g-r**); the

expression of HuB and the dCA1 marker Wfs1 in the control (**a-c**, **g-i**, **m-o**) and *COUP-TFII*

mutant mice (**d-f**, **j-l**, **p-r**) at 1M. Wfs1-positive dorsal CA1 could not be detected in *COUP-*

TFI mutant mice at 1M, as indicated by the arrowhead. dHPC, dorsal hippocampus; HPC,

hippocampus; vHPC, ventral hippocampus. Scale bars, **Aa-l**, **Ba-f**, **Ca-f,** 200 µm; **Bg-r**, **Cg-r,**

100 µm.

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Figure 2—figure supplement 1. Defects in *Emx1Cre/+*; *COUP-TFI$^{F/F}$* mutant mice.

A, Immunofluorescence staining data showed that compared with those of control mice (**a, c,**

e, g), the proportions of either the Wfs1- or Ctip2-positive dorsal CA1 domain were reduced

in *Emx1Cre/+*; *COUP-TFI$^{F/F}$* mutant mice at 3M (**b, d, f, h**). **B,** Golgi staining showed that

compared with those of controls, the numbers of branch points and secondary dendrites of

both apical and basal dendrites were significantly reduced in the dorsal hippocampal CA1

pyramidal neurons of *Emx1Cre/+*; *COUP-TFI$^{F/F}$* mutant mice (**a-c**). **C,** The Morris water

maze behavior test showed that compared with that of controls, spatial learning and memory

were significantly damaged in *Emx1Cre/+*; *COUP-TFI$^{F/F}$* mutant mice. Data are expressed as

the mean ± SEM. *Student's t test*, $^{*}P<0.05$, $^{**}P<0.01$, $^{***}P<0.001$. Scale bars, **Aa-h,** 100 µm;

Ba-b, 50 µm.

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Figure 3. Defects in the hippocampus in *RXCre/+*; *CI$^{F/F}$*; *CII$^{F/F}$* double-gene mutant mice.

A, In coronal sections along the rostrocaudal axis, compared with control mice (**a-d**), the hippocampus was atrophic in *RXCre/+*; *CI$^{F/F}$*; *CII$^{F/F}$* double mutant mice, indicated by the star, and an ectopic unknown nucleus was observed in the caudal plates, indicated by the arrowhead (**e-h**). **B,** Compared with that of control mice (**a-c**, **g-i**), the expression of HuB, Ctip2, and Prox1 was decreased in the hippocampus of *COUP-TFI/-TFII* double-gene mutant mice at 3 weeks postnatal (3W) (**d-f**, **j-l**). **C,** Compared with that of control mice (**a-c**, **g-i**), the expression of HuB could not be detected in the presumptive CA3 domain, and the expression of Ctip2 or Prox1 could not be detected in the presumptive DG domain of the prospective ventral hippocampus of *RXCre/+*; *CI$^{F/F}$*; *CII$^{F/F}$* double mutant mice. Scale bars, **Aa-h**, 200 μm; **Ba-l**, **Ca-l**, 100 μm.

Figure 3—figure supplement 1. Adult neurogenesis was abnormal in the hippocampi of *COUP-TFI/-TFII* double-gene mutant mice.

A, The expression of GFAP and Nestin, markers of NSCs, in the SGZ of the vDG in control and *COUP-TFII* mutant mice at 1M (**a-h**), in the SGZ of the dDG in control and *COUP-TFI* mutant mice at 1M (**i-p**) and in the SGZ of the DG in control and *COUP-TFI/-TFII* double-gene mutant mice at 3W (**q-x**). **B,** The expression of Dcx, a marker of newborn neurons, in the SGZ of the vDG in control and *COUP-TFII* mutant mice at 1M (**a-d**), in the SGZ of the dDG in control and *COUP-TFI* mutant mice at 1M (**e-h**) and in the SGZ of the DG in control and *COUP-TFI/-TFII* double-gene mutant mice at 3W (**i-l**). **C,** Quantitative analysis of GFAP/Nestin-positive cells (**a**) and Dcx-positive cells (**b**) in the SGZ of the DG in control and *COUP-TFI/-TFII* double-gene mutant mice at 3W. The numbers of GFAP and Nestin double-positive NSCs and Dcx-positive newborn neurons were significantly reduced in

1048 double-mutant mice. Data are expressed as the mean ± SEM. *Student's t test*, [**]*P*<0.01,

1049 [***]*P*<0.001. dDG, dorsal DG; NSC, neural stem cell; SGZ, subgranular zone; vDG, ventral

1050 DG. Scale bars, **Aa-x**, 50 μm; **Ba-l**, 100 μm.

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Figure 4. The impairment of hippocampal trisynaptic connectivity in *COUP-TFII*

single-gene, *COUP-TFI* single-gene, and *COUP-TFI/-TFII* double-gene mutant mice.

A, The expression of Calretinin, Calbindin, and SMI312 in the ventral hippocampus of the

control (**a-b**, **e-f**, **i-j**) and *COUP-TFII* single-gene mutant mice (**c-d**, **g-h**, **k-l**). **B,** The

expression of Calretinin, Calbindin, and SMI312 in the dorsal hippocampus of the control (**a-**

b, **e-f**, **i-j**) and *COUP-TFI* single-gene mutant mice (**c-d**, **g-h**, **k-l**). **C,** The expression of

Calretinin, Calbindin, and SMI312 in the hippocampus of the control (**a-b**, **e-f**, **i-j**) and

COUP-TFI/-TFII double-gene mutant mice (**c-d**, **g-h**, **k-l**). dHPC, dorsal hippocampus; HPC,

hippocampus; vHPC, ventral hippocampus. Scale bars, **Aa-l**, **Ba-l**, **Ca-l**, 100 μm.

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Figure 5. *COUP-TF* genes regulate the expression of key genes associated with early

hippocampal development.

A, The expression profiles of genes involved in hippocampal development in control and the

double mutant mice at E11.5. **B,** Compared with that of control mice (**a-b, a'-b', a''-b'', i-j,**

i'-j', q-r, q'-r'), the expression of Lhx5 was reduced in double-mutant mice at E11.5 (**c-d,**

c'-d', c''-d''), E13.5 (**k-l, k'-l'**) and E14.5 (**s-t, s'-t'**); the expression of Lhx2 was

comparable between the control and double-mutant mice at E11.5 (**e-h, e'-h'**); and compared

with that of control mice (**m-n**, **m'-n'**, **u-v**, **u'-v'**), the expression of Lhx2 was increased in

double-mutant mice at E13.5 (**o-p, o'-p'**) and E14.5 (**w-x, w'-x'**). **C,** Compared with that of

control mice (**a-b, a'-b'**), the expression of Sox2 was normal in double-mutant mice at E14.5

(**c-d, c'-d'**); compared with that of control mice (**e-f, e'-f'**), the expression of Tbr2 was

decreased in *COUP-TF* mutant mice at E14.5 (**g-h**, **g'-h'**); compared with that of control

mice (**i-j**, **i'-j'**), the expression of NeuroD1 was reduced in double-mutant mice at E14.5 (**k-l**,

k'-l'). **D,** Quantitative analysis of Tbr2-positive cells and NeuroD1-positive cells in **Ce'-h'**

and **Ci'-l'**. **E,** In the hippocampal primordium of the early embryo, *COUP-TFI* is expressed

dorsally in the MP, and *COUP-TFII* is expressed ventrally in the CH. In the mature

hippocampus, the expression of *COUP-TFI* is higher in the dorsal hippocampus, which is

related to spatial learning and memory, and the expression of *COUP-TFII* is mainly in the

ventral hippocampus, which is associated with emotion and anxiety (**a**). Our findings support

a novel molecular mechanism by which *COUP-TFI* and *COUP-TFII* may cooperate to ensure

the appropriate morphogenesis and functions of the hippocampus by modulating the *Lhx5-*

Lhx2 axis (**b**). Data are expressed as the mean ± SEM. *Student's t test*, $^{**}P<0.01$. CH, cortical

hem; ChP, choroid plexus; DP, dorsal pallium; HP, hippocampal primordium; MP, medial

pallium. Scale bars, **Ba-x, Ca-l**, 200 μm.

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Figure 5—figure supplement 1. *COUP-TFI* and *-TFII* genes coordinate to control

distinct characteristics of the hippocampus.

Roles of *COUP-TFI* and *COUP-TFII* genes in the development and function of the

hippocampus and the association with neurological diseases. *COUP-TFI* is required for the

morphogenesis of the dorsal hippocampus and the specification of dorsal CA1 pyramidal

neuron lineage, which are associated with neurodevelopmental disorders, including ID and

ASD. *COUP-TFII* is required to prevent the duplication of the CA1 and CA3 lineages of the

ventral hippocampus, which may be related to psychiatric diseases such as depression,

anxiety, or schizophrenia. The *COUP-TFI* and *COUP-TFII* genes are novel intrinsic

regulatory genes, which cooperate with each other to ensure the early morphogenesis of the

hippocampus.

Figure 1—figure supplement 1, Yang et al.

Figure 2, Yang et al.

Figure 3, Yang et al.

Figure 3—figure supplement 1, Yang et al.

Figure 4, Yang et al.

Figure 5—figure supplement 1, Yang et al.