1	Early retinal deprivation crossmodally alters nascent subplate circuits
2	and activity in the auditory cortex during the precritical period
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24 Running title: Altered subplate circuits and auditory cortical activity after complete retinal

- 25 deprivation in newborn mice.
- 26 **Keywords:** auditory cortex, crossmodal plasticity, precritical period, retinal deprivation, subplate
- 27 neurons.

#### 28 Contributions

- 29 POK and DM designed research. POK supervised research. DM performed surgery and in vivo
- 30 imaging. DM and CC analyzed in vivo imaging data. BX and MC performed in vitro experiments.
- BX analyzed in vitro data. DM wrote the manuscript. DM, POK and JPYK edited the manuscript.
- 32 JPYK contributed reagents.

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#### 35 Competing interests

36 The authors report no competing interests.

## 38 Abstract

Sensory perturbation in one modality results in adaptive reorganization of neural pathways within the spared modalities, a phenomenon known as "crossmodal plasticity", which has been examined during or after the classic 'critical period'. Because peripheral perturbations can alter auditory cortex (ACX) activity and functional connectivity of the ACX subplate neurons (SPNs) even before the classic critical period, called the precritical period, we investigated if retinal deprivation at birth crossmodally alters ACX activity and SPN circuits during the precritical period.

45 We deprived newborn mice of visual inputs after birth by performing bilateral enucleation. We performed in vivo imaging in the ACX of awake pups during the first two postnatal weeks to 46 investigate cortical activity. We found that enucleation alters spontaneous and sound-evoked 47 activity in the ACX in an age-dependent manner. Next, we performed whole-cell patch clamp 48 49 recording combined with laser scanning photostimulation in ACX slices to investigate circuit changes in SPNs. We found that enucleation alters the intracortical inhibitory circuits impinging 50 on SPNs shifting the excitation-inhibition balance towards excitation and this shift persists after 51 52 ear opening. Together, our results indicate that crossmodal functional changes exist in the 53 developing sensory cortices at early ages before the onset of the classic critical period.

## 54 Introduction:

55 Neural plasticity allows the brain to adapt to different contexts through rewiring and reorganization. The unpredictable nature of sensory experience prompts different forms of 56 57 plasticity that enable adaptation to rapidly changing environments (Butz et al., 2009; Hensch & Stryker, 2004; Hubener & Bonhoeffer, 2014; Lorenz, 1935). Although plastic changes in the brain 58 59 are observed throughout life (Ball & Sekuler, 1982; Sato & Stryker, 2008; Sawtell et al., 2003), they are particularly important during early developmental phases when robust sensory 60 experience from the external environment substantially influences the structural and functional 61 maturation of developing neural structures {for review see (Kolb & Gibb, 2011; Skaliora, 2002)}, 62 thereby making the developing brain extremely vulnerable to loss of environmental stimuli (i.e., 63 sensory deprivation). 64

Early sensory deprivation in any modality such as visual (Argandona & Lafuente, 1996), 65 auditory (Kral & Eggermont, 2007), or somatosensory (Briner et al., 2010) results in extensive 66 67 plastic changes within the respective sensory cortices (Argandona & Lafuente, 1996; Briner et al., 2010; Kral & Eggermont, 2007; Kral et al., 2005; Majewska & Sur, 2003; Raggio & Schreiner, 68 1999), a phenomenon known as "intramodal cortical plasticity". However, developmental cortical 69 70 plasticity is not limited to "intramodal" changes. Although the time course for experience-driven sensory development is specific for each modality, sensory perturbations in one modality also 71 72 result in adaptive reorganization of neural pathways within the spared modalities, including the spared sensory cortices. These adaptive rearrangements within the spared sensory cortices are 73 known as "crossmodal compensatory cortical plasticity" (Bell et al., 2019; Larsen et al., 2009; 74 75 Mezzera & Lopez-Bendito, 2016; Ramamurthy & Krubitzer, 2018; Striem-Amit et al., 2012; Teichert & Bolz, 2018). 76

77 Crossmodal compensatory plasticity within the undeprived sensory cortices, as observed 78 in adults, is most striking when the sensory deprivation is performed very early in development and sustained through the classic "critical period" or is performed during the classic "critical 79 80 period" [for review see (Bell et al., 2019; Mezzera & Lopez-Bendito, 2016; Teichert & Bolz, 2018)] 81 a brief developmental time window that commences after thalamic innervation of layer (L) 4 82 neurons (Barkat et al., 2011; Erzurumlu & Gaspar, 2012) during which the nervous system is robustly shaped by environmental influence (Barkat et al., 2011; Erzurumlu & Gaspar, 2012; 83 84 Hubel & Wiesel, 1970; Kreile et al., 2011). In altricial experimental animals like rats, cats, mice, 85 ferrets, hamsters etc., eyelids and ear canals open postnatally (Chang & Kanold, 2021; Mezzera & Lopez-Bendito, 2016), and marks the onset of the "classic critical period" (Barkat et al., 2011; 86 Espinosa & Stryker, 2012; Reh et al., 2020). The developmental time before the onset of the 87 classic critical period, frequently termed the "precritical period" has been thought to be governed 88 89 by genetic factors (Diao et al., 2018; Webber & Raz, 2006) and spontaneous activity (Blankenship & Feller, 2010; Blumberg et al., 2013; Martini et al., 2021; Wang & Bergles, 2015), but devoid of 90 the effects of sensory experience. 91

Recent evidence, however, demonstrates that sensory deprivation within the same 92 93 modality (intramodal) can alter cortical circuits and function before the onset of thalamic activation of L4 and the classic critical period (Meng et al., 2021; Mukherjee et al., 2021; Tan et al., 2021). 94 For example, peripheral insult during the precritical period intramodally alters circuit connectivity 95 of the earlier born subplate neurons (SPNs) (Meng et al., 2021; Mukherjee et al., 2021). SPNs 96 97 are the first targets of thalamocortical inputs before they innervate L4 neurons at the onset of the critical period (Barkat et al., 2011; Friauf et al., 1990; Friauf & Shatz, 1991; Herrmann et al., 1994; 98 Higashi et al., 2002; Molnar et al., 2003; Mukherjee & Kanold, 2022; Zhao et al., 2009). They are 99 100 essential for the development of thalamocortical and corticocortical connections as well as 101 patterning of the ocular dominance columns and barrels (Ghosh et al., 1990; Ghosh & Shatz,

102 1992, 1993; Kanold et al., 2003; Kanold & Luhmann, 2010; Kanold & Shatz, 2006; Molnar et al., 103 2020; Tolner et al., 2012). Peripheral perturbations can alter SPN connections and result in neurodevelopmental disorders (Nagode et al., 2017; Nicolini & Fahnestock, 2018; Sheikh et al., 104 105 2019). SPNs in the ACX not only respond to peripheral sound stimulation before L4 neurons are 106 responsive (Wess et al., 2017), but peripheral sound deprivation from birth alters intracortical SPN 107 connectivity in the ACX during the precritical period (Meng et al., 2021; Mukherjee et al., 2021). Moreover, these intramodal changes in SPN circuitry are reflected in altered spontaneous and 108 109 sound-evoked activity in the infant ACX during this early developmental period. (Meng et al., 2021; 110 Mukherjee et al., 2021).

The developing ACX does not exclusively receive intramodal inputs. Instead, it receives 111 crossmodal inputs from sensory cortices and subcortical structures of other modalities, including 112 113 structures in the visual pathway (Hanganu-Opatz et al., 2015; Henschke et al., 2018; Kayser & 114 Logothetis, 2007). For example, crossmodal projections from visual thalamus and primary and secondary visual cortices to the ACX are present in neonatal gerbils (Henschke et al., 2018). This 115 raises the questions of whether the developing ACX is vulnerable to a broad range of sensory 116 manipulation, including manipulations of visual function, and if such crossmodal manipulation 117 118 alters ACX SPN circuits and ACX function before the critical period.

119 We thus deprived animals of all visual experience and examined the effect on ACX function and ACX SPN circuits. To ensure complete retinal deprivation (spontaneous and light-120 121 evoked), we performed bilateral enucleation in newborn mouse pups on postnatal day (P) 1 or 2. To examine ACX function we performed in vivo widefield imaging to record spontaneous and 122 123 sound-evoked activity in the ACX. To examine ACX SPN circuits we performed whole-cell patch clamp recording from SPNs in thalamocortical slices combined with in vitro laser scanning 124 photostimulation (LSPS). We performed both sets of experiments at P8-9 (before ear opening, 125 precritical period) and P12-15 (around ear opening, onset of critical period). These two ages 126

allowed us to compare the early developmental trajectory of crossmodal changes within the ACX,without retinal input from birth.

We found that complete retinal deprivation at birth results in an increase in spontaneous 129 ACX activity within the first and second postnatal week. Specifically, spontaneous events that are 130 131 likely of central origin were larger and more frequent after birth enucleation. Similarly, sound-132 evoked central events were enhanced at both ages, whereas peripheral events remained unchanged. Concurrently, LSPS showed a transient reduction in inhibitory intracortical input to 133 134 the SPNs at the end of the first postnatal week, which resulted in an imbalance between the excitatory and inhibitory inputs. To our knowledge, this is the first demonstration of functional 135 136 crossmodal compensatory changes in mammalian sensory cortices before the onset of the classic 137 critical period-

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## 139 Materials & Methods:

### 140 Animals

All procedures were approved by the Institutional Animal Care and Use Committee (IACUC) at Johns Hopkins University, Baltimore, Maryland, USA. Experiments were performed on C57BI/6J (JAX strain no. 000664) and Thy1-GCamP6s (JAX strain no. 024275) mouse pups of both sexes aged between P8-P16. Pups were raised with their mothers in standard laboratory cages in a 12h light/12-h dark condition in the institutional animal colony where lights were turned on at 11:00 am. Food and water were provided *ad libitum*.

### 147 Newborn Bilateral Enucleation

148 Bilateral enucleation surgeries were performed on C57BI/6J and Thy1-GCamp6s mouse pups on 149 P1-2 using previously published methods (Deng et al., 2021; Dye et al., 2012). In short, pups were briefly anesthetized with 1-2% inhaled isoflurane (Fluriso, VetOne, Boise, ID). The evelids 150 were opened with a surgical scalpel blade. The eyeballs were lifted away from the orbit with fine 151 152 forceps and freed from the optic nerve and surrounding musculature. Following eye removal, 153 evelids were closed and sealed with surgical glue (Vetbond, 3M, Maplewood, MN). Pups were placed in a plastic box in a warm water bath maintained at 37°C for ~1 h for recovery before 154 155 returning to their mothers. Sham surgery was performed as control in a cohort of pups at the same 156 age where pups were subjected to anesthesia and revival procedures as described above. All pups were housed with their mothers until they were used for experiments at P8-9 or P12-15 and 157 were weighed routinely to ensure normal thriving. 158

### 159 In vivo wide field imaging

In vivo wide field imaging was performed following previously published methods (Meng et al.,
2021; Mukherjee et al., 2021) on unanesthetized Thy1-GCaMP6s pups at P8-9 (enucleation: *n*=9,
sham: *n*=8) and P12-15 (enucleation: *n*=9; sham: *n*=8) after bilateral enucleation or sham surgery
on P1-2 (Deng et al., 2021).

#### 164 <u>Surgery</u>

All surgeries were acute, and pups were imaged on the same day. The pup was separated from the litter and was initially anesthetized with 4% inhaled isoflurane (Fluriso, VetOne, Boise, ID). For maintenance, isoflurane concentration was reduced to 2-3%. Throughout surgery, the pup was placed on a heating pad and the body temperature was kept at ~37°C. Depth of anesthesia was monitored every few minutes by observing respiratory pattern and tail-pinch reflex.

170 The scalp hair was trimmed and the skull overlying the left auditory cortex (ACX) was 171 exposed. Connective tissues were gently removed with the help of a cotton-tipped applicator. Next, a 3D-printed stainless steel headplate (Shapeways, NY) was attached with cyanoacrylate glue on the exposed skull. The headplate was secured to the skull by applying dental cement (C & B Metabond) on the outer perimeter of the headplate. The intact skull was cleaned by topical application of 10% collagenase solution followed by 80% glycerol (Zhao et al., 2018). The pup was lightly wrapped in gauze and placed in a plastic box in a warm water bath maintained at 37°C for ~30 min for recovery.

#### 178 Wide field imaging

179 After recovery the pup was placed on a far-infrared heating pad (Kent Scientific) over a flat 180 platform, head-fixed and transferred to a sound-proof recording chamber for imaging. In vivo 181 imaging was performed through the intact and cleared skull (Zhao et al., 2018) according to our previously published methods (Francis et al., 2018; Meng et al., 2021; Mukherjee et al., 2021). In 182 183 brief, blue LED light (470 nm CWL, Thorlabs) was used to excite GCaMP6s fluorescence and emitted light was collected using a tandem lens combination setup. Images were captured with 184 Thorcam software (Thorlabs), which controls a Thorlabs DCC3240M CMOS camera. First, an 185 image of the surface vasculature was captured. Next, the focal plane was advanced to a depth of 186  $\sim$ 200-400 µm below the surface, where the rest of wide-field imaging was performed across all 187 188 layers at a rate of 4 frames/sec, with a frame size of 640×512 pixels and a 100-ms exposure time.

Spontaneous activity of the cortex was first recorded for 10 minutes during which no sound was played. Thereafter, we acquired sound-evoked cortical activity. Pure tones of frequencies 4, 8, 16 and 32 kHz were generated using custom software in MATLAB and played from a free field speaker at an intensity of 80 dB sound pressure level (SPL). Each frequency was randomly repeated 12-13 times with an inter-trial interval of 30 s. Each trial consisted of 3-s prestimulus silence, 1-s tone presentation, and 5-s post-stimulus silence. 195 If the pup exhibited any signs of distress, the experiment was immediately terminated. The196 pup was euthanized at the end of the experiment.

#### 197 *Data analysis*

Imaging data was analyzed using custom-written scripts in MATLAB (MathWorks) and as described previously (Meng et al., 2021; Mukherjee et al., 2021). Dimensionality reduction technique was used to perform automatic image segmentation so that pixels with strong temporal correlations across the image were grouped together into single components. We used an autoencoder neural network to perform the dimensionality reduction (Ji Liu et al., 2019). For each region of interest (ROI) we calculated the amplitude and frequency of spontaneous events and the amplitude of events after sound presentation.

205 For each trial, the response amplitude ( $\Delta F/F$ ) as a function of time for each ROI was defined as  $\Delta F/F = (F-F_0)/F_0$ , where  $F_0$  corresponds to the baseline fluorescence (defined 206 auantitatively below) and F is the time-varying fluorescence intensity in the ROI. For spontaneous 207 208 trials  $F_0$  was calculated by finding the first percentile of fluorescence intensity in sliding windows, the centers of which were equally spaced across the whole trial (window size = 300 frames) and 209 210 using linear interpolation methods (MATLAB built-in function regress) across all windows. For 211 sound-evoked responses, F<sub>0</sub> was the first percentile of fluorescence within a 3-s window before 212 tone onset.

For each ROI the averaged  $\Delta$ F/F was calculated within a 3-s window before and a 3-s window after tone onset for each trial and evaluated with paired-sample t-test comparison between the two averaged  $\Delta$ F/F across all repeats for each frequency. ROIs that showed a significant increase (p<0.05) in fluorescence after sound presentation at least for one frequency were designated as "responding ROIs". Responding ROIs are plotted in pseudo-color for ease of visualization. 219 From the fluorescence traces of each ROI we identified high (H) and low (L)synchronization events that are distinguished by size in the visual cortex (Siegel et al., 2012) and 220 represent central and peripheral sources respectively (Meng et al., 2021; Siegel et al., 2012). 221 Using the built-in peak detection function (findpeaks) in MATLAB with minimum peak prominence 222 223 of 0.1 and minimum peak distance of 1 frame we first identified peaks in the fluorescence 224 responses. Next, we used a threshold of 50%  $\Delta$ F/F to separate L- and H-events. Varying the threshold by ±10% did not affect our results. The response amplitudes of L-/H-events across all 225 the repeats over spontaneous trials or in a period of 3 s after tone onset for each ROI were 226 compared between populations with rank sum test based on Lilliefors test for normality. 227

#### 228 In vitro electrophysiology

In vitro recordings from brain slices were performed as previously described (Cruikshank et al., 2002; Meng et al., 2014; Zhao et al., 2009) on C57Bl/6J mouse pups at P8-9 (enucleation: n=4pups and 19 cells, sham: n=5 pups and 16 cells) and P12-15 (enucleation: n=5 pups and 15 cells, sham: n=4 pups and 19 cells) after bilateral enucleation or sham surgery was performed on P1-2.

#### 234 <u>Slice preparation</u>

Pups were deeply anesthetized with isofluorane (Fluriso, VetOne, Boise, ID). A block of brain containing primary ACX (A1) and the medial geniculate nucleus (MGN) was isolated and 500 μm thick thalamocortical slices were cut using a vibrating microtome (Leica, Deer Parl, IL) in ice-cold artificial cerebrospinal fluid (ACSF) containing (in mM): 130 NaCl, 3 KCl, 1.25 KH<sub>2</sub>PO<sub>4</sub>, 20 NaHCO<sub>3</sub>, 10 glucose, 1.3 MgSO<sub>4</sub>, 2.5 CaCl<sub>2</sub> (pH 7.35-7.4, in 95%O<sub>2</sub>-5%CO<sub>2</sub>). For A1 slices the cutting angle was ~15 degrees from the horizontal plane (lateral raised) as described elsewhere (Cruikshank et al., 2002; Zhao et al., 2009). Thereafter, slices were incubated for ~1 h in ACSF at 30 °C and then were kept at room temperature before they were transferred to the recordingchamber.

#### 244 Whole-cell recording:

For recording, slices were placed in a chamber on a fixed-stage microscope (Olympus BX51) and superfused at a rate of 2-4 ml/min with high-Mg ACSF (recording solution) at room temperature. High-Mg ACSF reduces spontaneous activity in the slice. The recording solution contained (in mM) 124 NaCl, 5 KCl, 1.23 NaH<sub>2</sub>PO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 10 glucose, 4 MgCl<sub>2</sub>, 4 CaCl<sub>2</sub>. The location of the recording site in A1 was identified using established landmarks (Cruikshank et al., 2002; Zhao et al., 2009).

Whole-cell recordings were performed with a patch clamp amplifier (Multiclamp 700B, 251 Axon Instruments, San Jose, CA) using pipettes with input resistance of 4-9 MΩ. Data acquisition 252 253 was performed using National Instruments AD boards and custom software (Ephus) (Suter et al., 254 2010) written in MATLAB (Mathworks). Voltages were corrected for an estimated junction potential of 10 mV. Electrodes were filled with (in mM) 115 cesium methanesulfonate 255 (CsCH<sub>3</sub>SO<sub>3</sub>), 5 NaF, 10 EGTA, 10 HEPES, 15 CsCl, 3.5 MgATP, 3 QX-314 (pH 7.25, 300 mOsm). 256 257 Biocytin or Neurobiotin (0.5%) was added to the electrode solution as required. Series resistances were typically 20-25 M $\Omega$ . 258

#### 259 Laser scanning Photostimulation (LSPS)

LSPS was performed using previously published methods (Meng et al., 2015, 2017; Meng et al., 2021; Viswanathan et al., 2017). Briefly, 0.5-1 mM caged glutamate (*N*-(6-nitro-7coumarylmethyl)-L-glutamate; Ncm-Glu) (Kao, 2006; Muralidharan et al., 2016) was added to the ACSF solution. Without UV light, this compound does not have any effect on neuronal activity (Kao, 2006). UV laser light (500 mW, 355 nm, 1 ms pulses, 100 kHz repetition rate, DPSS lasers) was split by a 33% beam splitter (CVI Melles Griot), attenuated by a Pockels cell (Conoptics), gated with a laser shutter (NM Laser), and coupled into a microscope via scan mirrors (Cambridge Technology) and a dichroic mirror. The laser beam entered the slice axially through the objective (Olympus 10x, 0.3NA/water) and had a diameter of <20  $\mu$ m. Laser power at the sample was < 25 mW. We typically stimulated up to 30×35 sites spaced 40  $\mu$ m apart that enabled us to probe areas of 1 mm<sup>2</sup>. Such dense sampling reduced the influence of spontaneous events. Stimuli were applied at 0.5-1 Hz.

#### 272 <u>Data analysis</u>

LSPS was analyzed as described previously (Meng et al., 2015, 2017; Meng et al., 2021; Mukherjee et al., 2021) with custom software written in MATLAB. To detect monosynaptically evoked postsynaltic currents (PSCs), we identified PSCs with onsets in an approximately 50-ms window after the stimulation. This window was chosen as previously observed spiking latency under our recording conditions (Meng et al., 2015, 2017; Meng et al., 2021; Mukherjee et al., 2021).

Recordings were performed at room temperature and in high-Mg<sup>2+</sup> solution to reduce the 279 280 probability of multisynaptic inputs. We measured both peak amplitude and transferred charge 281 (integrating the PSC). While the transferred charge may include contributions from multiple 282 events, our prior studies showed a strong correlation between these measures (Meng et al., 2014; Viswanathan et al., 2017). Traces containing a short-latency (< 8 ms) 'direct' response were 283 284 discarded from the analysis as were traces that contained longer latency inward currents of long 285 duration (>50 ms). These currents could sometimes be seen in locations surrounding (<100 µm) 286 areas that gave a 'direct' response. Occasionally, some of the 'direct' responses contained synaptically evoked responses that we did not separate out, leading to an underestimation of local 287 short-range connections. Cells that did not show any large (>100 pA) direct responses were 288 289 excluded from the analysis as these could reflect astrocytes or migrating neurons. It is likely that 290 the observed PSCs at each stimulus location represented the activity of multiple presynaptic cells.

291 Stimulus locations that showed PSCs were deemed connected and we derived binary 292 connection maps. We aligned connection maps for SPNs in the population and averaged connection maps to derive a spatial connection probability map. In these maps the value at each 293 294 stimulus location indicates the fraction of SPNs that received input from these stimulus locations. 295 Layer boundaries were determined from the infrared images. Next, we derived laminar measures 296 including the input area, integration distance, percentage of excitatory and inhibitory input from each layer, mean and total charge, mean peak and total amplitudes of EPSCs and IPSCs. We 297 calculated the input area for each layer as a measure reflecting the number of presynaptic 298 299 neurons in each layer projecting to the cell under study. Input area is calculated as the area within each layer that gave rise to PSCs. We also calculated the percentage of input from each layer. 300 Intralaminar integration distance indicates the extent in the rostro-caudal direction that 301 302 encompasses connected stimulus locations in each layer. Mean charge denotes the average 303 charge of PSCs from each stimulus location in each layer. Peak amplitude measures the mean peak amplitude of EPSCs and IPSCs from each layer. Total charge and amplitude were 304 305 calculated by multiplying total input area with mean charge and mean peak amplitude, respectively. Since the tonotopic map is largely in the rostro-caudal axis, the intralaminar 306 307 integration distance reflects integration across the tonotopic axis. While the input area and intralaminar integration are related, the input area shows changes along the columnar (pia-308 309 ventricle) axis if more or fewer cells within a tonotopic place are recruited, e.g., only L5 cells vs. 310 L5 and L6 cells. We calculated E/I balance index in each layer for measures of mean charge, 311 mean peak amplitude, total charge and total amplitude as (E-I)/(E+I), thus (Area<sub>E</sub>- $Area_1$ /( $Area_E + Area_1$ ), resulting in a number that varied between -1 and 1, with 1 indicating 312 dominant excitation and -1 indicating dominant inhibition. Since a measurement of E is not 313 314 possible close to the soma due to direct responses, we excluded the direct area from both the E 315 and I maps. Thus, this E/I measure does not account for the contribution for cells from close-by

316 locations but does allow analysis of the E/I balance of inputs arising from different layers. We

317 quantified circuit similarity by calculating correlation between connection maps.

318 <u>Statistics</u>

Results are plotted as mean  $\pm$  SEM unless otherwise stated. Populations from enucleated and sham groups were compared with a rank sum or Mann-Whitney U-test and considered significant if p < 0.05.

322 Data availability

All data needed to evaluate the conclusions in the paper are presented in the paper and/or the supplemental materials. Additional data related to this paper may be requested from the authors.

## 326 **Results:**

We aimed to investigate crossmodal changes in ACX. The predominant activity in the developing 327 visual system are the spontaneous retinal waves arising from synchronous firing of the retinal 328 ganglion cells (Blankenship & Feller, 2010; Maccione et al., 2014). Importantly, light stimulation 329 through the closed eyelids can alter retinal waves in neonatal mice (Tan et al., 2021; Tiriac et al., 330 2018). While transgenic mouse lines can alter spontaneous retinal waves at different ages 331 (Bansal et al., 2000), and dark-exposure or lid suture prevents patterned visual inputs from the 332 333 periphery without affecting retinal spontaneous activity (Chen et al., 2014; Morales et al., 2002), 334 bilateral enucleation irreversibly eliminates both spontaneous and sensory (light-driven) activity at once by instantly and completely removing the eye and retina (Aerts et al., 2014). Although 335 spontaneous thalamic bursts can persist for a certain period after removal of retinal waves (Weliky 336 & Katz, 1999), complete retinal deprivation abolishes early peripheral activity in the visual 337

pathway. Therefore, we chose bilateral enucleation at birth (~P1) to completely remove retinal
 activity from the earliest ages on.

To examine ACX function after neonatal enucleation, we performed in vivo widefield 340 imaging to record spontaneous and sound-evoked activity in the ACX. Activity in the ACX reflects 341 342 two sources, peripherally and centrally generated activity. Spontaneous activity originating in the 343 cochlea (Wang & Bergles, 2015) is transmitted via the brainstem and ascending auditory pathways to the developing mouse ACX and is present P7 or earlier (Babola et al., 2018). 344 345 Centrally generated spontaneous events are also observed in the neonatal ACX (Meng et al., 2021; Mukherjee et al., 2021). Sound-evoked activity originating in the cochlea can be recorded 346 in the ACX by P8 before the onset of the critical period (precritical period, **Fig. 1A**). 347

348

# Altered spontaneous cortical activity at the end of the first and second postnatal weeks after complete retinal deprivation at birth

351 We performed in vivo widefield imaging in pups expressing calcium indicator GCaMP6 in cortical 352 excitatory neurons under Thy1 promoter (JAX strain no. 024275). We performed imaging before and after the ear canals are open (~P11) to evaluate the impact of low threshold auditory 353 experience (P8-9 enucleation: n=9, sham: n=8 and P12-15 enucleation: n=9; sham: n=8, Fig. 1B, 354 C). The latter time point coincides with the onset of the critical period (Barkat et al., 2011). In vivo 355 356 imaging was performed through the intact and cleared skull (Zhao et al., 2018) ~200-400 µm below the brain surface (Fig. 1D). We measured both spontaneous and sound evoked activity. 357 Spontaneous activity was imaged for 10 min during which no external sound was played. We 358 359 then, as in our prior studies, found pixels with strong temporal correlation across the image and 360 grouped them together into single components (ROIs, Fig. 1D) (J. Liu et al., 2019; Meng et al., 2021; Mukherjee et al., 2021). As expected, (Meng et al., 2021; Mukherjee et al., 2021), 361

spontaneous activity consisted of high (H) and low (L) synchronization events distinguished by size (**Fig. 1E**). H- and L-events were first demonstrated in the visual cortex and represent central and peripheral sources, respectively (Siegel et al., 2012). Removal of the cochlea reduces the amplitude of ACX L-events consistent with their peripheral origin (Meng et al., 2021; Mukherjee et al., 2021).

367 Next, we compared the amplitude of spontaneous events in each ROI between the enucleated (enu) and sham control (sham) pups across ages. When compared with the sham 368 369 controls, the amplitude of the spontaneous H-events was higher in the enucleated pups at P8-9 370 (medians; sham: 82.3% enu: 92.7%, P<0.001), and at P12-15 (medians; sham: 54.9% enu: 57.1%, P<0.001) (Fig. 1F, left), although the effect size was comparatively smaller at the latter 371 age. In contrast, the spontaneous L-events of peripheral origin were mostly unaffected after 372 373 enucleation. While the amplitude of the spontaneous L-events was marginally higher in 374 enucleated pups at P8-9, the effect size was small (medians; sham: 32.7% enu: 32.9%, P<0.002). The amplitude of spontaneous L-events was similar between groups at P12-15 (medians; sham: 375 27.1% enu: 27%, P>0.05) (Fig. 1F, right). These results suggest that enucleation strengthened 376 spontaneous events of central origin but did not affect spontaneous events originating from the 377 378 cochlea.

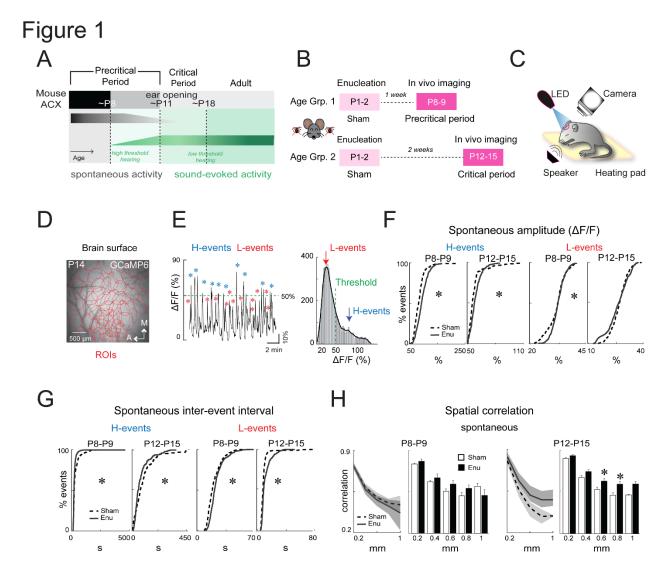
379 We next compared the frequency of spontaneous H- and L- events (represented as interevent intervals). When compared with sham controls, the frequency of H-events was higher in 380 381 enucleated pups at both ages (interevent interval medians; P8-9 sham: 19.1 s enu: 16.8 s, 382 P<0.001, P12-15 sham: 64 s enu: 47.3 s, P<0.003) (Fig. 1G, left) suggesting an immediate 383 increase in the number of central events after enucleation that persists after the second postnatal week. In contrast, the frequency of L-events was slightly lower in enucleated pups at both ages 384 385 (interevent interval medians; P8-9 sham: 17.1 s enu: 20.7 s, P<0.001, P12-15 sham: 8 s enu: 9 s P<0.001) (Fig. 1G, right), possibly due to a homeostatic compensation. Together these results 386

indicate that early enucleation causes an increase in the amplitude and frequency of centrallygenerated spontaneous H-events.

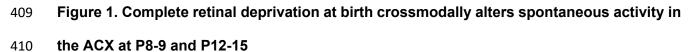
Age-related changes in the spontaneous H-and L-event amplitude and inter-event interval have been demonstrated previously. The amplitude of spontaneous H- and L-events are higher at P8-9 than P12-15. The inter-event interval of spontaneous H-events is lower and that of Levents is higher at P8-9 than P12-15 (Meng et al., 2021; Mukherjee et al., 2021). These agerelated changes in amplitude and inter-event interval and thus frequency of spontaneous H- and L-events were unaffected after enucleation (**Fig. S1**).

395 Spontaneous events can correlate distant cortical locations and manipulations of auditory 396 peripheral activity can change these correlations with auditory deprivations resulting in higher correlations of distant ROIs (Meng et al., 2021; Mukherjee et al., 2021). We thus investigated if 397 398 enucleation altered the spatial correlation of spontaneous activity across ROIs spanning the cortical surface. After enucleation spatial correlations of spontaneous activity was similar between 399 groups at P8-9 (Ps>0.05) (Fig. 1H, left), but correlation was higher in enucleated pups at P12-400 15, specifically at 600 µm (medians: sham-0.49, enu-0.57, P<0.05) and 800 µm (medians: 0.43 401 402 sham-0.54, enu-, P<0.05) distances (Fig. 1H, right), suggesting distal cortical regions show more correlated activity with age after enucleation. 403

Together, these results suggest that early retinal deprivation crossmodally alters centrally generated spontaneous activity in the developing ACX during the precritical period. These spontaneous events are more frequent with higher amplitude and involve wider cortical areas with age. bioRxiv preprint doi: https://doi.org/10.1101/2023.02.21.529453; this version posted February 21, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.







**A.** Timeline of ACX development in mice. **B.** Timeline of enucleation surgery and experiments. **C.** Experimental setup for in vivo widefield imaging in awake mouse pups. **D.** Surface of the brain through intact and cleared skull in a representative pup. Open red circles indicate ROIs identified using dimensionality reduction technique. **E.** Raw trace (left) and histogram (right) showing identification of H- and L-events in a representative pup. **F.** Cumulative distribution functions (CDFs) showing peak amplitude of spontaneous H- and L-events in sham (dashed line) and enucleated (solid line) pups at both ages. Amplitude of H-events (left) was higher at both ages 418 (P8-9: p<0.001, Cohen's d: -0.6, P12-15: p<0.001, Cohen's d: -0.2) and that of L-events (right) 419 was higher only at P8-9 (p<0.002, Cohen's d: -0.2) in enucleated pups. G. CDFs showing interevent interval of H-events (left) was lower (P8-9; p<0.001, Cohen's d: 0.3, P12-15; p<0.003, 420 421 Cohen's d: 0.3) and that of L-events (right) was higher (P8-9; p<0.001, Cohen's d: -0.3, P12-15: 422 p<0.001, Cohen's d: -0.4) at both ages in enucleated pups. H. Spatial correlation of spontaneous events was higher at 600 µm (p<0.05, Cohen's d: -0.7) and 800 µm (p<0.05, Cohen's d: -0.8) 423 distances in enucleated pups at P12-15. ACX: auditory cortex; P: postnatal day; ROI: region of 424 425 interest; M: medial; A: anterior; H-events: high synchronization events; L-events: low-426 synchronization events;  $\Delta F/F$ : change in fluorescence; sham: sham control pups; enu: enucleated pups. 427

428

# Transient increase in sound-driven cortical activity at the end of the first postnatal week after complete retinal deprivation at birth

We next examined whether sound-evoked activity in ACX was impacted by enucleation. Soundevoked responses were recorded after multiple repeats of pure tones of different frequencies were played at 80 dB sound pressure level (Meng et al., 2021; Mukherjee et al., 2021).

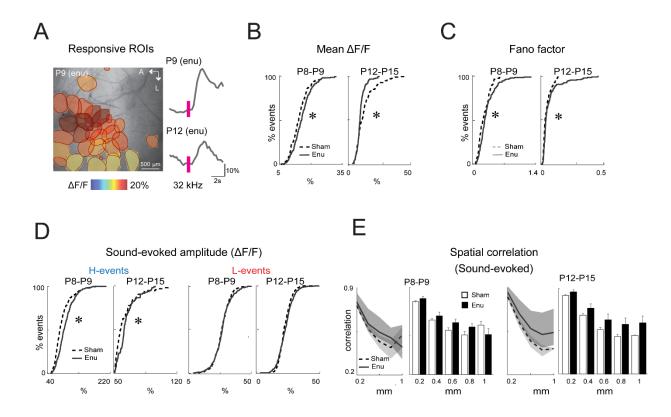
Sound-evoked activity was analyzed using previously published methods (Meng et al., 434 2021; Mukherjee et al., 2021). Sound-responsive ROIs were identified as ROIs that showed 435 436 significant increase in fluorescence ( $\Delta F/F$ ) after tone onset for at least one frequency (Fig 2A) (Meng et al., 2021; Mukherjee et al., 2021). Sound-responsive ROIs were present in both 437 enucleated and sham control pups at both ages. The numbers, total area, and average area of 438 439 responsive ROIs were similar between groups at both ages (Fig. S2A), suggesting cortical area 440 responding to sound stimulation does not differ after enucleation at these ages. There was, however, a significant variability among pups. 441

442 Next, we calculated the mean fluorescence amplitude within a 3-s window after sound presentation and compared between groups. While the amplitude of the overall sound-evoked 443 responses was marginally higher in the enucleated pups at P8-9 (medians sham: 14.2%; enu: 444 15.2%, P<0.02) it was marginally lower at P12-15 (medians sham: 11.5%; enu: 10%, P<0.0003) 445 446 compared their sham controls (Fig. 2B). Sound-responsive ROIs can exhibit high variability between trials (Meng et al., 2021; Mukherjee et al., 2021) likely due to weak or immature synapses 447 along the auditory pathway. To identify if enucleation altered this variability, we calculated Fano 448 factor of response amplitude as a measure of variance of sound-evoked activity. Fano factor of 449 response amplitude was slightly higher in enucleated pups at P8-9 (sham: 0.23 enu: 0.25, 450 P<0.002) and at P12-15 (sham: 0.036 enu: 0.043, P<0.002) suggesting enucleation induces some 451 variability in sound responses (Fig. 2C), which could be due to an increase in cortically generated 452 spontaneous activity (Fig. 1). 453

454 Sound-evoked activity comprises both H- and L-events (Meng et al., 2021; Mukherjee et al., 2021). We next compared the amplitude of sound-evoked H- and L-events between groups 455 across ages. The amplitude of sound-evoked H-events was higher in enucleated pups at P8-9 456 (median: sham: 76.2%; enu: 87.6%, P<0.0001) and at P12-15 (median: sham: 56.8%; enu: 61%, 457 458 P<0.004) compared to the sham controls. In contrast, the amplitude of the sound-evoked L-events was similar between groups at P8-9 (medians sham: 28.7% enu: 29.1%, P>0.05), and P12-15 459 (medians sham: 21.7% enu: 22.8%, P>0.05; Fig. 2D). These results indicate that early 460 enucleation leads to amplified sound-evoked H-events at both ages without affecting the 461 462 periphery-driven L-events. Like spontaneous events, the age-related changes in evoked H- and L-event amplitude were unaltered after enucleation (Fig. S2B). 463

We next calculated and compared spatial correlation of sound-evoked activity between groups. The correlation trended higher in enucleated pups at P12-15 but not at P8-9 (**Fig. 2E**). Together these results suggest that enucleation at birth results in a slight increase in cortical sound-responsiveness involving larger amplitudes. These changes are due to the increase in amplitude of sound-evoked H-events, not affecting periphery originated L-events.





469

470 Figure 2. Complete retinal deprivation at birth alters sound-evoked activity in the ACX at
471 P8-9 and P12-15

**A.** Left: filled areas denote responding ROIs that showed significant increase in fluorescence ( $\Delta$ F/F) within a 3-s window after tone onset. Pseudo-colors indicate mean  $\Delta$ F/F of responding ROIs. Right: Fluorescence time-course of two representative responding ROIs at P8 (top) and P12 (bottom) after a 32-kHz tone was presented, showing sound-responsiveness in the ACX at these ages. **B.** CDFs showing the mean amplitude of sound-evoked responses was higher (p<0.02, Cohen's d: -0.1) at P8-9 and lower (p<0.0003, Cohen's d: 0.6) at P12-15 in enucleated pups. C. CDFs showing the fano factor of evoked amplitude was higher (P8-9; p<0.002, Cohen's</li>
d: -0.2, P12-15; p<0.002, Cohen's d: -0.1) at both ages in enucleated pups suggesting increased</li>
variability in the sound-evoked responses, which could be due to an increase in spontaneous
activity. D. CDFs showing the peak amplitude of sound-evoked H-events (left) was higher at both
ages (P8-9; p<0.0001, Cohen's d: -0.3, P12-15; p<0.004, Cohen's d: -0.2), whereas that of L-</li>
events (right) was not different between groups across ages. E. Spatial correlation of soundevoked events were similar between enucleated and sham control pups across ages.

485

# Inhibitory connections to SPNs are altered at the end of the first postnatal week after enucleation at birth

The observed changes in ACX activity after enucleation might be mirrored by crossmodal 488 changes in functional connectivity in the ACX. Since early born SPNs are the first neurons in ACX 489 490 to respond to sound they are also vulnerable to a wide range of intramodal sensory and 491 environmental perturbation (Meng et al., 2021; Mukherjee et al., 2021; Sheikh et al., 2019). We thus evaluated the functional connectivity to SPNs after retinal deprivation at birth. To identify 492 circuits to SPNs we used laser-scanning photostimulation (LSPS) combined with whole-cell patch 493 494 clamp recordings (Meng et al., 2021; Mukherjee et al., 2021; Viswanathan et al., 2017) from SPNs 495 in thalamocortical slices containing ACX (Cruikshank et al., 2002) at P8-9 (enu: n= 4 pups and 19 cells, sham: n=5 pups and 16 cells and at P12-15 (enu: n=5 pups and 15 cells, sham: n=4 pups 496 497 and 19 cells, Fig. 3A, left).

498 LSPS measures the functional spatial connection pattern on neurons with ~100  $\mu$ m 499 resolution over 1 mm<sup>2</sup> area, which encompasses the whole cortical extent and about 30% of the 500 mouse ACX. LSPS induces action potential in targeted neurons when the laser beam is close to 501 the soma or proximal dendrites. If the activated neuron is connected to the recording neuron, an evoked postsynaptic current (PSC) is observed (Fig. 3A, right). For each recorded SPN (Fig. 3B),
we stimulated 900-1000 locations within the slice and measured the amplitude of evoked
excitatory (E) and inhibitory (I) PSCs from each stimulus location by holding the recorded SPN at
-70 mV (E<sub>GABA</sub>) or 0 mV (E<sub>Glut</sub>), respectively (Fig 3C).

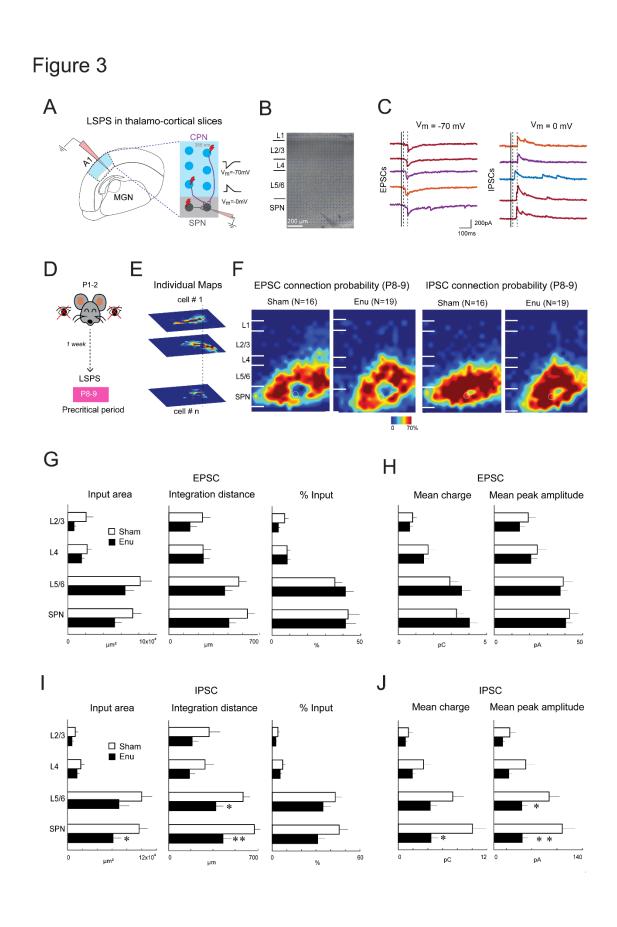
506 First, we compared spatial connection pattern of excitatory and inhibitory inputs in 507 enucleated pups with their sham counterparts at the end of the first postnatal week (Fig 3D). We derived spatial connection maps by plotting the EPSC or IPSC charges at each location. To 508 509 qualitatively compare spatial connectivity in intra- and interlaminar connections, we aligned the 510 individual connection maps to the position of the patched SPN soma and calculated the percentage of cells receiving inputs from a particular location (Fig. 3E). This yields a map of the 511 spatial connection probability of excitatory and inhibitory connections of the patched SPNs. We 512 513 did not observe any obvious differences in connection probability from these qualitative maps 514 (Fig. 3F).

515 Next, we quantified changes in laminar circuits impinging on the recorded SPNs. For each 516 SPN we calculated the input area, the spatial range of inputs along the tonotopic (rostro-caudal) 517 axis (integration distance) and percentage input received from other cortical layers. The excitatory 518 input, integration distance and percentage input from each layer to the SPNs were similar 519 between both groups (**Fig. 3G**, **S3A**, P>0.05). Mean charges and peak amplitudes of EPSCs were 520 also similar between groups (P>0.05, **Fig. 3H**, **S3B**).

We next measured the impact of enucleation in inhibitory inputs. Although the percentage of inhibitory inputs from different layers was similar between groups (P>0.05), the input area from within the SPNs was lower (P<0.05) and the integration distance from L5/6 and within the SPNs were also lower (L5/6: P<0.02, SPNs: P<0.01) in enucleated pups (**Fig. 3I, S3C**), indicating a narrower range of intralaminar inputs after enucleation. Mean IPSC charge (P<0.05) from within bioRxiv preprint doi: https://doi.org/10.1101/2023.02.21.529453; this version posted February 21, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

- 526 SPNs and peak IPSC amplitude from L5/6 and within SPNs were lower in enucleated pups (L5/6:
- 527 P<0.05, SPNs: P<0.006) (**Fig. 3J, S3D**).

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## 529 Figure 3. Complete retinal deprivation at birth crossmodally alters inhibitory inputs to 530 SPNs at P8-9

A. Left: Cartoon showing whole-cell patch clamp recording from subplate neurons (SPNs) in 531 thalamo-cortical slices. Right: schematic showing laser scanning photostimulation (LSPS). 532 533 Neurons are activated by laser photolysis (355 nm) of caged glutamate. If stimulated cells are 534 connected to the recorded SPN, evoked excitatory of inhibitory postsynaptic currents (EPSCs and IPSCs) are seen. B. Infrared image of an example brain slice with patch pipette on a SPN. C. 535 Whole-cell voltage clamp recordings at -70 mV (left) and 0 mV (right) to identify excitatory and 536 537 inhibitory connections, respectively. Example traces of EPSCs (left) and IPSCs (right) are shown. D. Experimental timeline for in vitro experiments during the precritical period after enucleation. E. 538 Schematic demonstration of the assembly of connection probability maps by aligning individual 539 540 maps to the SPN soma (white dashed circle). F. Spatial connection probability maps of excitatory 541 and inhibitory connections to the SPN at P8-9. Solid white lines show marginal boundaries. G. Bar graphs comparing the layer-specific source area, integration distance and percentage input 542 of excitatory connections between sham (white) and enucleated (black) pups. H. Bar graphs 543 showing comparison of layer-specific mean charge and peak amplitude of excitatory connections 544 545 between sham and enucleated pups. I. Bar graphs comparing the layer-specific source area, integration distance and percentage input of inhibitory connections between sham and enucleated 546 pups. Input area from within SPN was lower (p<0.05, Cohen's d: 0.7) and integration distance 547 from L5/6 and SPN was lower (L5/6; p<0.02, Cohen's d: 0.8, SPN; p<0.01, Cohen's d: 1) in 548 549 enucleated pups. J. Bar graphs comparing layer-specific mean charge and peak amplitude of inhibitory connections between sham and enucleated pups. Mean charge from SPNs was lower 550 (p<0.05, Cohen's d: 0.8) and mean peak amplitude from L5/6 and SP was lower (L5/6; p<0.05, 551 552 Cohen's d: 0.8, SPN; p<0.006, Cohen's d: 1) in enucleated pups. A1: primary auditory cortex;

MGN: medial geniculate nucleus; CPN: cortical plate neuron; SPN: subplate neuron; L: layer; V<sub>m</sub>:
 holding voltage.

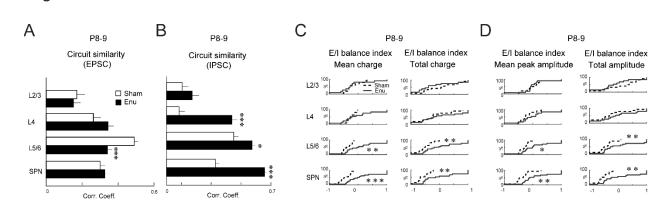
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SPNs in ACX show diverse circuit patterns and the diversity of patterns can be sculpted 556 557 by auditory experience (Meng et al., 2021; Mukherjee et al., 2021). We thus next investigated if 558 enucleation altered SPN diversity by calculating the correlations between connection maps. We 559 found that enucleated pups exhibited lower circuit similarity of excitatory inputs from L5/6 560 (P<0.0004 Fig. 4A, S4). Thus, excitatory inputs from L5/6 to SPNs were more diverse after enucleation. The circuit similarity of inhibitory inputs from L4, L5/6 and SPNs increased after 561 562 enucleation (L4: P<0.0001, L5/6: P<0.02, SPNs: P<0.0001; Fig. 4B, S4). These suggests that early enucleation caused a hypoconnectivity of intralaminar inhibitory cortical connections and 563 564 increased circuit similarity within the ACX SPNs of at P8-9.

565 So far, we investigated the average changes in excitatory and inhibitory connections separately, which could obscure changes in the level of single neurons. We thus quantified 566 excitatory and inhibitory changes at the single cellular level. We calculated E/I balance index, [(E-567 1)/(E+I)], of mean and peak amplitudes as well as total charges and total amplitudes for each cell 568 569 to guantify combined excitatory and inhibitory circuit changes. The E/I balance indices based on 570 relative mean and total input charge from L5/6 and SPNs were higher (mean charge: L5/6 P<0.009, SPNs: P<0.001; total charge: L5/6: P<0.003, SPNs: P<0.006) in enucleated pups (Fig. 571 572 **4C**). Similarly, E/I balance indices based on peak and total amplitude from L5/6 and SPNs were higher (peak amplitude: L5/6 P<0.03, SPNs: P<0.003; total amplitude: L5/6: P<0.003, SPNs: 573 574 P<0.006; Fig. 4D) in enucleated pups. This indicates a relative change in balance towards 575 excitation after enucleation. Together, these results suggest hypoconnectivity and reduced 576 strengths of inhibitory inputs to ACX SPNs at P8-9 after birth enucleation. These changes are

577 consistent with the overall increase in intracortical activity of excitatory neurons at the end of the





579

Figure 4

# Figure 4. Complete retinal deprivation at birth crossmodally alters inhibitory connection strength to SPNs at P8-9

582 **A.** Bar graphs showing layer-specific comparison of correlation connection maps of excitatory inputs. Correlation from L5/6 was lower (p<0.0004, Cohen's d: 0.6) in enucleated pups. B. Bar 583 584 graphs comparing layer-specific correlation connection maps of inhibitory inputs. Correlation from L4, L5/6 and SPNs were higher (L4; p<0.0001, Cohen's d: -0.8, L5/6; p<0.02, Cohen's d: -0.5, 585 SPN; p<0.0001, Cohen's d: -1.4) in enucleated pups. C. CDFs showing layer-specific comparison 586 587 of E/I balance index, [(E-I)/(E+I)], of mean charge and total charge. E/I balance indices of mean and total charge in L5/6 and SPNs were higher (mean charge L5/6; p<0.009, Cohen's d: -1, mean 588 charge SPN; p<0.001, Cohen's d: -1, total charge L5/6; p<0.003, Cohen's d: -1, total charge SPN; 589 590 p<0.006, Cohen's d: -1) in enucleated pups. D. CDFs show layer-specific comparison of E/I 591 balance index of peak amplitude and total amplitude of postsynaptic currents. E/I balance indices of peak and total amplitude in L5/6 and SPNs were higher (peak amplitude L5/6; p<0.03, Cohen's 592 593 d -0.9, peak amplitude SPN: p<0.003, Cohen's d: -1; total amplitude L5/6: p<0.003, Cohen's d: -1, total amplitude SPN: p<0.006, Cohen's d: -1) in enucleated pups. 594

## 595 Excitatory and inhibitory connections to SPNs are altered at the end of the second 596 postnatal week after enucleation at birth

597 Our functional imaging showed that spontaneous and sound evoked activity in enucleated animals remained altered at P12-P15. We thus next investigated whether SPN circuit changes 598 599 persisted after ear-opening (P12-15, Fig. 5A). The connection probability maps of excitatory 600 inputs at P12-15 showed relatively fewer connections from within the SPNs and more connections 601 from L4 and L2/3 in enucleated pups (Fig. 5B). In contrast, the connection probability maps of inhibitory inputs were almost similar in both groups (Fig. 5C). We next quantified the laminar 602 603 circuits impinging on the SPNs. The overall and percentage input area and integration distance of excitatory inputs did not show any difference between groups (P>0.05, Fig. 5D, S5A), but the 604 mean charge of excitatory connections from  $L^{2/3}$  was higher (P<0.02) in enucleated pups (Fig. 605 606 5E, S5B). The laminar measures of inhibitory inputs also did not differ between groups at these 607 ages, except the integration distance from within SPNs were lower (P<0.003) in enucleated pups (Fig. 5F, S5C). The mean charge and peak amplitude remained were unaffected (P>0.05, Fig. 608 609 5G, S5D).

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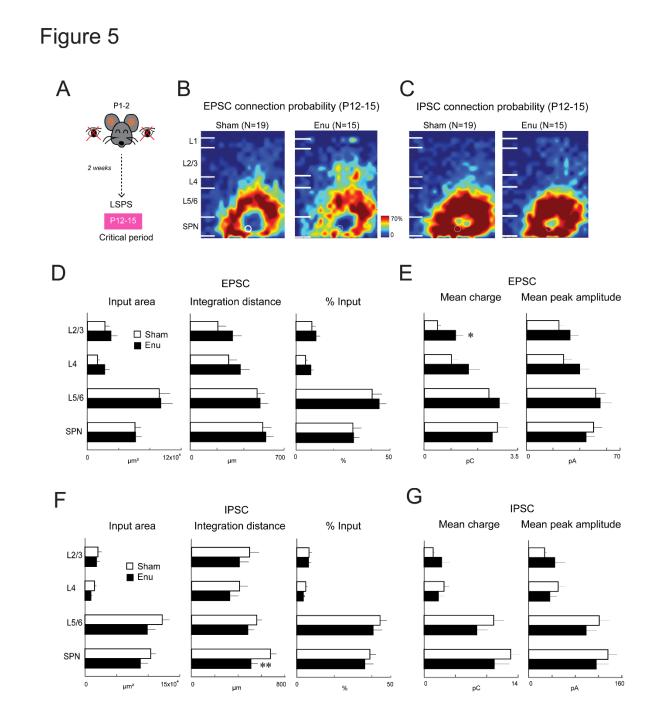


Figure 5. Complete retinal deprivation at birth crossmodally alters excitatory and inhibitory
 inputs to SPNs at P12-15

610

A. Experimental timeline for in vitro experiments during the critical period after enucleation. **B.** Spatial connection probability map of excitatory connections to the SPN at P12-15. **C.** Spatial connection probability map of inhibitory connections to the SPN at P12-15. **D.** Bar graphs

comparing the layer-specific source area, integration distance and percentage input of excitatory 616 617 connections between sham (white) and enucleated (black) pups. E. Bar graphs comparing layerspecific mean charge and peak amplitude of excitatory connections between sham and 618 619 enucleated pups. Mean charge from L2/3 was higher (p<0.02, Cohen's d: -0.6) in enucleated 620 pups. F. Bar graphs comparing the layer-specific source area, integration distance and 621 percentage input of inhibitory connections between sham and enucleated pups. Integration distance from within SPNs was lower (p<0.003, Cohen's d: 0.7) in enucleated pups. G. Bar graphs 622 623 comparing layer-specific mean charge and peak amplitude of inhibitory connections between 624 sham and enucleated pups.

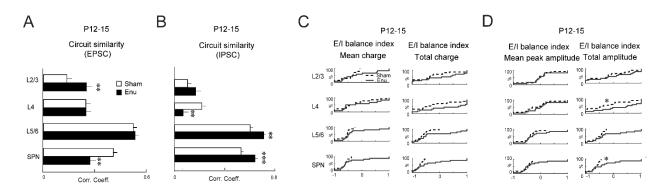
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We next investigated if enucleation altered SPN diversity at P12-15 by calculating the correlation between connection maps. The circuit similarity of excitatory inputs from L2/3 was higher (P<0.008) and that from within SPNs was lower (P<0.004) in enucleated pups (**Fig. 6A, S6**). This could explain the observed qualitative changes in the maps in **Fig. 5B**. Nonetheless, the circuit similarity of inhibitory inputs from L4 decreased (P<0.008) and that from L5/6 and within SPNs increased (L5/6: P<0.002, SPNs: P<0.0009) after enucleation (**Fig. 6B, S6**).

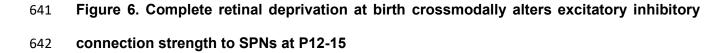
After ear opening the E/I balance index based on mean and total charges was similar between groups (P>0.05, **Fig. 6C**), whereas that based on total PSC amplitude from L4 and within SPNs was higher (L4: P<0.05, SPNs: P<0.04) in enucleated pups (**Fig. 6D**) suggesting relative increase towards excitation in individual cells.

Together, these results suggest that bilateral enucleation at birth alters excitatory and inhibitory connections to the ACX SPNs at the end of the second postnatal week. Therefore, functional connectivity to ACX SPNs is crossmodally altered within the first two weeks after complete retinal deprivation at birth. bioRxiv preprint doi: https://doi.org/10.1101/2023.02.21.529453; this version posted February 21, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.





640



643 **A.** Bar graphs showing layer-specific comparison of correlation connection maps of excitatory inputs. Correlation was higher in L2/3 (p<0.008, Cohen's d: -0.4) and lower in SPN (p<0.004, 644 Cohen's d: 0.5) in enucleated pups. B. Bar graphs showing layer-specific comparison of 645 646 correlation connection maps of inhibitory inputs. Correlation was lower in L4 (p<0.008, Cohen's d: 0.5) and higher in L5/6 and SPN (L5/6; p<0.002, Cohen's d: -0.5, SPN; p<0.0009, Cohen's d: 647 -0.5) in enucleated pups. C. CDFs show layer-specific comparison of E/I balance index, [(E-648 1)/(E+I)], of mean charge and total charge. D. CDFs show layer-specific comparison of E/I balance 649 index of peak amplitude and total amplitude of postsynaptic currents. E/I balance indices of total 650 amplitude in L4 and SPNs were higher (L4: p<0.05, Cohen's d: -0.7, SPN; p<0.04, Cohen's d: -651 0.7) in enucleated pups. 652

## 653 **Discussion:**

Our results show that complete retinal deprivation from birth crossmodally alters spontaneous and sound-evoked activity as well as functional intracortical connectivity to the SPNs in the developing ACX during the precritical period before the ear canals are open. 657 Bilateral enucleation at birth crossmodally alters activity and functional connectivity of the 658 ACX in a variety of species (Bell et al., 2019; Dooley & Krubitzer, 2019; Karlen et al., 2006; 659 Mezzera & Lopez-Bendito, 2016; Teichert & Bolz, 2018). However, these crossmodal changes 660 are generally investigated in adults or in developing animals during the "classic critical period", 661 the brief time window in sensory development imposing heightened sensory influence (Barkat et al., 2011; Erzurumlu & Gaspar, 2012; Hubel & Wiesel, 1970; Kreile et al., 2011). Here we show 662 that early retinal deprivation crossmodally alters activity and functional connectivity in the 663 developing mouse ACX within the first postnatal week, even before the onset of the classic critical 664 period (~P11 in rats, ~P12 in mice) (Barkat et al., 2011; de Villers-Sidani et al., 2007). Such 665 immediate and short-term crossmodal cortical changes have been reported in the developing 666 rodent somatosensory system using gene expression and anatomical techniques. For example, 667 668 enucleation at birth in mice results in positional shift in developmentally regulated gene (e.g., 669 ephrin A5) expression and inter-neocortical projections at the somatosensory-visual border in only 10 days (Dye et al., 2012). In rats enucleated at birth, a crossmodal expansion of the barrel fields 670 is also observed within the somatosensory cortex at the end of the first postnatal week (Fetter-671 Pruneda et al., 2013) — days before the onset of active whisking (Grant et al., 2012). To our 672 673 knowledge, our results are the first demonstration of experience-dependent early crossmodal functional changes within the auditory system, days before the ear canals are open. These 674 changes may serve as a substrate for homeostatic sensory compensation in early development. 675

Our results show that enucleated animals show reduced inhibitory connections in the first postnatal weak causing an imbalance between excitation and inhibition towards excitation, in particular for inputs from deep layers and that this imbalance is still present after ear opening. The observed imbalance towards excitation is consistent with our observation of increased spontaneous activity and increase spatial spread of activity correlations. 681 We observe a transient interlaminar circuit changes to SPNs. The overall inhibitory inputs 682 from L5/6 and within the SPN were significantly compromised in enucleated pups at P8-9, which led to an imbalance between the excitatory and inhibitory inputs and a resultant increase in 683 excitation. Such crossmodal changes in intralaminar cortical circuits has also been demonstrated 684 685 in older mice after dark exposure (Meng et al., 2015, 2017). Visual deprivation has been shown to weaken intramodal synaptic inhibition within the visual cortex (Gabbott & Stewart, 1987; 686 Morales et al., 2002). We here show that crossmodal manipulations also have the ability to change 687 688 inhibitory circuits in the developing ACX.

We find that circuits from deep L5/6 neurons and from within SP are most impacted by retinal deprivation. Thus, we speculate that retinal input is relayed to ACX via these circuits. These crossmodal inputs to ACX could be intracortical and/or carried by thalamic afferents including afferents from visual thalamus, which innervates the developing ACX (Henschke et al., 2018).

Early crossmodal changes in the developing ACX included a persistent increase in 693 amplitude and frequency of spontaneous events likely of cortical origin during the first and second 694 postnatal weeks. Such instantaneous increase in spontaneous activity is also observed in 695 696 thalamo-recipient L4 neurons in A1 after a brief visual deprivation (dark exposure for 7 days) in adult mice (Petrus et al., 2014). Additionally, dark exposure for a short period of time significantly 697 698 enhances spontaneous raw local field potential (LFP) oscillations as well as β oscillations in A1 L4 neurons in juvenile (P28) rats, suggesting crossmodal increase in excitability of A1 neurons 699 700 and higher synchronization of activity within a widespread cortical area (Pan et al., 2018). 701 Immediate changes in spontaneous activity is also observed within days of bilateral enucleation 702 in the primary somatosensory cortex of newborn rats (Martinez-Mendez et al., 2019), which may mechanistically underlie the crossmodal barrel expansion as reported elsewhere (Fetter-Pruneda 703 704 et al., 2013). Therefore, the observed increase in frequency and amplitude of spontaneous H-705 events could possibly underlie the crossmodal expansion of the ACX as observed after bilateral

enucleation (Kahn & Krubitzer, 2002). Additionally, increased spontaneous activity could enhance
the excitability of the cortical neurons to underlie the enhanced crossmodal sound activation and
perception later in life, as observed in adult animals after visual deprivation (Korte & Rauschecker,
1993; Petrus et al., 2014; Rauschecker & Kniepert, 1994) and in congenitally blind individuals [for
review see (Bell et al., 2019)].

In addition to intracortical changes, alteration in thalamo-cortical activity could also 711 contribute to the observed changes. Crossmodal thalamo-cortical changes are observed after 712 visual deprivation in the auditory pathway at older ages. For example, an increase in LFP 713 714 oscillation power in the auditory thalamus (medial geniculate nucleus) is observed in juvenile (P28) rats after bilateral enucleation (Pan et al., 2018). Dark exposure results in strengthening of 715 716 thalamocortical synapses to A1 L4 neurons in adult mice (Petrus et al., 2014). However, we 717 observe only small changes in L-events suggesting that changes in additory thalamocortical 718 circuits after enucleation are likely to be very small. In addition to changes in ascending auditory pathway, the small transient changes in ACX L-events could also result from changes within the 719 720 visual thalamus (lateral geniculate nucleus, LGN) that sends afferent inputs to the developing ACX (Henschke et al., 2018). LGN is activated after visual deprivation (Bhandari et al., 2022; 721 722 Giasafaki et al., 2022), and experiences rewiring of cortico-thalamic projections after enucleation 723 (Frangeul et al., 2016; Giasafaki et al., 2022; Grant et al., 2016). Together, we reason that the functional changes we observe are mostly due to changes in intracortical circuits. 724

Our results demonstrate that in addition to intramodal rewiring of SPN circuits after sensory deprivation (Meng et al., 2021; Mukherjee et al., 2021), compensatory crossmodal rearrangement of intra- and inter-laminar circuits are observed in the cortical SPNs before the onset of the classic critical period. This suggests that the earlier-born SPNs are the earliest cortical substrate for a wide range of early experience-dependent plasticity. 730 Identifying the developmental emergence of crossmodal changes and their underlying 731 mechanisms is critically important to implement effective therapeutic measures to recover or 732 restore early loss of sensory function in children. For example, in children with congenital or other 733 forms of deafness, the earlier the cochlear implant is implemented, the better the chances of 734 restoring hearing before it is taken over by other modalities (Hoff et al., 2019; Peixoto et al., 2013). 735 Given the key role of SPNs in shaping the development of cortical layer 4 and beyond (Kanold et al., 2003; Kanold & Shatz, 2006), early transient crossmodal influences onto SPNs might have 736 the potential to affect later development of other cortical areas even beyond the initial crossmodal 737 effect. Thus, limiting or exploiting such early crossmodal interactions might be beneficial for 738 739 therapeutic interventions.

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