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| 1 | Integration of Apocarotenoid Profile and Expression Pattern of Carotenoid | | | | | |
|-----------------------------------|--|--|--|--|--|--|
| 2 | Cleavage Dioxygenases during Mycorrhization in Rice | | | | | |
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27 Highlight

Our study presents the profiles of *CCD* gene expression and apocarotenoids across different stages
of AM symbiosis and Pi supply conditions and reveals novel AM markers at both local and
systemic levels.

31 Abstract

32 Carotenoids are susceptible to degrading processes initiated by oxidative cleavage reactions 33 mediated by Carotenoid Cleavage Dioxygenases that break their backbone, leading to products 34 called apocarotenoids. These carotenoid-derived metabolites include the phytohormones abscisic 35 acid and strigolactones, and different signaling molecules and growth regulators, which are utilized 36 by plants to coordinate many aspects of their life. Several apocarotenoids have been recruited for 37 the communication between plants and arbuscular mycorrhizal (AM) fungi and as regulators of the 38 establishment of AM symbiosis. However, our knowledge on their biosynthetic pathways and the 39 regulation of their pattern during AM symbiosis is still limited. In this study, we generated a 40 qualitative and quantitative profile of apocarotenoids in roots and shoots of rice plants exposed to 41 high/low phosphate concentrations, and upon AM symbiosis in a time course experiment covering 42 different stages of growth and AM development. To get deeper insights in the biology of 43 apocarotenoids during this plant-fungal symbiosis, we complemented the metabolic profiles by 44 determining the expression pattern of CCD genes, taking advantage of chemometric tools. This 45 analysis revealed the specific profiles of CCD genes and apocarotenoids across different stages of 46 AM symbiosis and phosphate supply conditions, identifying novel markers at both local and 47 systemic levels.

48 Keywords

49 Apocarotenoids, arbuscular mycorrhizal symbiosis, *Carotenoid Cleavage Dioxygenases*,
50 chemometric tools, rice, strigolactone, zaxinone.

51

52 **Introduction**

53 Carotenoids represent are a widespread class of tetraterpene (C_{40}) lipophilic pigments, synthesized 54 by all photosynthetic organisms, including bacteria, algae, and plants, and by numerous non-55 photosynthetic microorganisms (Moise *et al.*, 2014; Nisar *et al.*, 2015). In plants, carotenoids are 56 essential constituents of the photosynthetic apparatus where they act as photo-protective pigments 57 and take part in the light-harvesting process. Further, these pigments have ecological functions, 58 providing flowers and fruits with specific colors and flavors that attract insects and other animals or 59 act as a repellent for pathogens and pests (Cazzonelli, 2011).

60 The carotenoid structure, rich in electrons and conjugated double bonds, makes them susceptible to 61 oxidation, which causes the breakage of their backbone and leads to a wide range of metabolites 62 called apocarotenoids (Moreno *et al.*, 2021). These compounds can be generated by non-enzymatic 63 processes that are triggered by reactive oxygen species (ROS) (Harrison and Bugg, 2014; Ahrazem 64 *et al.*, 2016) or by the action of a ubiquitous family of non-heme iron enzymes, Carotenoid 65 Cleavage Dioxygenases (CCDs) (Jia *et al.*, 2018).

66 The genome of the model plant Arabidopsis thaliana encodes nine members of the CCD family, 67 including five NINE-CIS-EPOXY CAROTENOID CLEAVAGE DIOXYGENASES (NCED2, 68 NCED3, NCED5, NCED6, and NCED9) and four CCDs (CCD1, CCD4, CCD7, and CCD8) (Tan et 69 al., 2003; Sui *et al.*, 2013). In short, NCEDs catalyze the first step in abscisic acid (ABA, C_{15}) 70 biosynthesis, i.e. the cleavage of 9-cis-violaxanthin or 9'-cis-neoxanthin into the ABA precursor 71 xanthoxin (Nambara and Marion-Poll, 2005; Ahrazem et al., 2016). CCD1 cleaves several 72 carotenoids and apocarotenoids at different positions along their hydrocarbon backbone (Schwartz 73 et al., 2001; Vogel et al., 2008; Ilg et al., 2009, 2014; Jia et al., 2018) generating volatiles, such as 74 β -ionone and geranylacetone, and a diverse set of dialdehydes in fruits and flowers of many plant 75 species (Moreno et al., 2021). CCD4 enzymes are known to produce apocarotenoid-derived 76 pigments, flavors, and aromas in planta, but their cleavage specificities differ considerably from 77 those of CCD1 enzymes (Schwartz et al., 2001; Auldridge et al., 2006; Ilg et al., 2009; McQuinn et 78 al., 2015; Hou et al., 2016). In plants, two different forms of CCD4 are present (Huang et al., 2009; 79 Mi and Al-Babili, 2019): the first one is common in *Citrus* and is involved in forming the pigment 80 citraurin (3-hydroxy- β -apo-8'-carotenal, C₃₀) formation by catalyzing a single cleavage reaction at 81 the 7',8' double bond of zeaxanthin and β -cryptoxanthin (Ma et al., 2013; Rodrigo et al., 2013), 82 while the other type cleaves bicyclic all-trans-carotenoids at the C9, C10 or C9', C10' double bond leading to apo-10'-carotenoids (C_{27}) and the corresponding C_{13} cyclohexenones, e.g. β -ionone 83 84 (Bruno et al., 2015, 2016). Recently, a Gardinia CCD4 enzyme (GjCCD4a) was shown to catalyze

85 sequential cleavage of β -carotene and zeaxanthin at the C7, C8 and C8', C9' leading to crocetin 86 dialdehyde, the precursor of the saffron pigment crocins, and to cyclocitral and 3-hydroxy-87 cyclocitral, respectively (Zheng et al., 2022).

- The two CCD-subfamilies CCD7 and CCD8 are involved in the biosynthesis of the plant hormone strigolactones (SLs) (Wang *et al.*, 2021). CCD7 cleaves 9-*cis*-β-carotene (C₄₀), yielding β-ionone and 9-*cis*-β-apo-10'-carotenal (C₂₇); while CCD8 converts 9-*cis*-apo-10'-carotenal (C₂₇) *via* a combination of different reactions into the SL precursor carlactone (C₁₉) and ω -OH-(4-CH3)
- 92 heptanal (C₈) (Alder *et al.*, 2012; Chen *et al.*, 2022). In addition, CCD7 may also catalyze the initial
- 93 9,10 cleavage required for mycorradicin synthesis (Floss *et al.*, 2008).

94 A recent survey on plant genomes identified the Zaxinone Synthase (ZAS) as a representative for a 95 further CCD subfamily, which is conserved in most land plants but missing in non-mycorrhizal 96 species, i.e., A. thaliana (Fiorilli et al., 2019; Wang et al., 2019). In vitro, this enzyme cleaves the 97 apocarotenoid 3-OH- β -apo-10'-carotenal (C₂₇) at the C13-C14 double bond, generating zaxinone, a 98 C_{18} -ketone (3-OH- β -apo-13-carotenone) that acts as a growth regulator, and an unstable C_{9} -99 dialdehyde (Wang et al., 2019). Loss-of-function zas mutant showed a decreased zaxinone content 100 in roots, reduced shoot, and root growth, and a higher SL level compared to wild-type rice plants 101 (Wang et al., 2019). Phylogenetic analyses revealed that the rice genome encodes three OsZAS 102 homologs, named OsZAS1b, OsZAS1c, and OsZAS2 (Ablazov et al., 2023). Intriguingly, although 103 OsZAS2 is placed in a clade different from that of ZAS, it catalyzes the same reaction, and both 104 enzymes contribute to zaxinone production in rice (Ablazov et al., 2023).

105 Apocarotenoids play several roles in plants, from regulating root and shoot developmental 106 processes to coordinating plant responses to abiotic and biotic stress (Moreno *et al.*, 2021). They are 107 also emerging signaling molecules implicated in plant-microbe interactions, including the 108 arbuscular mycorrhizal (AM) symbiosis (Fiorilli et al., 2019). The AM symbiosis is one of the most 109 ancient and widespread associations, formed by approximately 70% of land plants (Wang and Qiu, 110 2006; Brundrett, 2009), including major crops, with soil fungi belonging to the Glomeromycotina 111 group (Spatafora *et al.*, 2016). In this symbiosis, the fungus facilitates the plant uptake of minerals, 112 predominantly phosphorus (P) and nitrogen (N) (Smith et al., 2011), and the tolerance to biotic and 113 abiotic stress (Pozo et al., 2010; Chen et al., 2018). Meanwhile, the plant provides the fungus with 114 fixed organic carbon. The establishment of the AM symbiosis includes several steps, starting with 115 partner recognition *via* diffusible molecules that activate the common symbiosis signaling pathway 116 (MacLean et al., 2017) and trigger the development of fungus adhesion structures, called 117 hyphopodia, on the root epidermis. These structures permit the fungus to enter the host root tissues 118 and proliferate within cells or intracellularly (Bonfante and Requena, 2011; Nadal and Paszkowski,

119 2013). Finally, fungal hyphae invade the inner cortical layers, penetrate single cells and form highly 120 branched tree-shaped hyphal structures, the arbuscules, where nutrient exchanges occur (Harrison, 121 2012; Gutjahr and Parniske, 2013). During these stages, the plant controls fungal expansion and 122 symbiotic functions, by activating a series of cellular, metabolic, and physiological changes 123 (Gutjahr, 2014; Carbonnel and Gutjahr, 2014). Among the environmental factors that regulate AM 124 colonization, phosphate (Pi) availability is certainly one of the most crucial ones (Smith et al., 125 2011; Richardson *et al.*, 2011). It has been recently shown that a complex gene network centered on 126 the plant Pi starvation response actively supervises AM fungal development in roots, acting at the 127 local and systemic level (Shi et al., 2021; Das et al., 2022). Pi starvation also induces SL 128 biosynthesis and release (Yoneyama et al., 2007; Wang et al., 2017, 2022), while high Pi levels 129 repress the expression of genes involved in the biosynthesis of carotenoids and SLs in root 130 (Carbonnel and Gutjahr, 2014; Haider et al., 2023). SLs are the best-known plant molecules active 131 in the pre-symbiotic interaction with AM fungi. In Pi-starved plants, SLs are produced by roots and 132 exported to the rhizosphere, which directly stimulates AM fungal metabolism, gene expression, and 133 hyphal branching, supporting the development of this symbiosis (Waters et al., 2017; Müller and 134 Harrison, 2019). Notably, (Volpe et al.) recently showed that SL biosynthesis is stimulated by 135 chito-oligosaccharides released by AM fungi.

136 Studies of the last decade highlighted that other apocarotenoid compounds are involved in the AM 137 symbiosis (Fiorilli et al., 2019 and reference therein), including the plant hormone ABA that is 138 known for coordinating plant's response to biotic and abiotic stress factors (Felemban et al., 2019; 139 Moreno et al., 2021). ABA has been reported to be involved in mycorrhizal colonization in 140 different host plants, probably through synergistic and antagonistic interactions with other 141 hormones (Herrera-Medina et al., 2007; Martín-Rodríguez et al., 2011; Charpentier et al., 2014). 142 Specifically, a positive correlation between ABA levels and SL biosynthesis was observed, 143 suggesting that ABA and SLs collaborate to influence the outcome of the symbiosis (López-Ráez et 144 al., 2010). In contrast, ABA controls the normal development of arbuscules by inhibiting ethylene 145 production (Martín-Rodríguez et al., 2011) and acts as an antagonist of gibberellins (GA) by down-146 regulating their biosynthesis and promoting their catabolism (Floss et al., 2013; Martín-Rodríguez 147 et al., 2016).

Blumenols (C₁₃) and mycorradicin (C₁₄) are further apocarotenoids associated with AM symbiotic
establishment and maintenance (Walter *et al.*, 2007; Floß *et al.*, 2008; Floss *et al.*, 2008; Fiorilli *et al.*, 2019) and described as a signature for AM symbiosis because of their being specifically
accumulated in mycorrhizal plants (Walter *et al.*, 2007; Hill *et al.*, 2018; Moreno *et al.*, 2021).
Mycorradicins cause typical yellow/orange pigmentation of roots, which enabled their identification

153 (Scannerini and Bonfante-Fasolo, 1977; Klingner et al., 1995; Floss et al., 2008). Blumenols are 154 accumulated in roots and shoots of host plants in direct correlation with the fungal colonization rate 155 (Klingner et al., 1995; Maier et al., 1997; Walter et al., 2000; Fester et al., 2002; Strack and Fester, 156 2006). Even if their biological role has not yet been clarified, blumenols have been proposed as 157 foliar markers that allow rapid detection of AM symbiosis and screening of functional AM 158 associations (Walter et al., 2010; Wang et al., 2018). Finally, zaxinone, a recently discovered 159 apocarotenoid growth regulator (Wang et al., 2019; Ablazov et al., 2020) is also involved in AM 160 symbiosis and acts as a component of a regulatory network that includes SLs, as demonstrated by 161 that the impact of the rice gene encoding Zaxinone Synthase (OsZAS) on the extent of AM 162 colonization SLs (Votta et al., 2022).

163 In the current study, we further explored the involvement of apocarotenoids in the AM symbiosis. 164 For this purpose, we generated a qualitative and quantitative profile of apocarotenoids in roots and 165 shoots of rice plants exposed to high/low Pi concentrations (+Pi and -Pi) and upon AM symbiosis in 166 a time course experiment covering different stages of growth and AM development. We 167 complemented the metabolic profiles by characterizing the expression pattern of *CCD* genes and 168 took advantage of chemometric tools to get deeper insights in the biology of apocarotenoids during 169 this plant-fungal symbiosis.

170 Materials and methods

171 Plant and fungal materials. Rice seeds of wild-type (WT) (cv. Nipponbare) were germinated in 172 pots containing sand and incubated for 10 days in a growth chamber under 14 h light (23 °C)/10 h 173 dark (21 °C). A set of plants (MYC) was inoculated with Funneliformis mosseae (BEG 12, 174 MycAgroLab, France). The fungal inoculum (15%) was mixed with sterile quartz sand and used for 175 colonization. A group of non-mycorrhizal plants (no-myc -Pi) was also set up. These two groups of 176 plants (MYC and no-myc -Pi) were watered with a modified Long-Ashton (LA) solution containing 177 3.2 µM Na₂HPO₄·12H₂O (low Pi) and grown in a growth chamber under 14 h light (24 °C)/10 h 178 dark (20 °C) regime. Another group of no-myc WT plants was watered with a LA containing 500 179 µM Na₂HPO₄·12 H₂O (+Pi) and grown in the same condition described above; these plants were 180 considered the no-myc + Pi samples. Plants for the three different conditions (MYC, no-myc -Pi, 181 no-myc +Pi) were collected at three time points: 7 days post-inoculation (dpi), 21 dpi, and 35 dpi. 182 For the molecular and metabolites analyses, roots and shoots samples were harvested and 183 immediately frozen in liquid nitrogen and stored at -80° C.

184 Qualitative and quantitative profiling of plant apocarotenoids (APOs). Following the method 185 used by Mi et al. (2018), about 20 mg lyophilized root and shoot tissue powder was spiked with 186 Internal Standards (IS) mixture (2 ng each standard) and extracted with 2 mL of methanol 187 containing 0.1% butylated hydroxytoluene (BHT) in an ultrasound bath (Branson 3510 ultrasonic 188 bath) for 15 min, followed by the centrifugation. The supernatant was collected, and the pellet was 189 re-extracted with 2 mL of the same solvent. The two supernatants were then combined and dried 190 under vacuum. The residue was re-dissolved in 150 μ L of acetonitrile and filtered through a 0.22 191 mm filter for LC-MS analysis.

192 Analysis of apocarotenoids was performed on a Dionex Ultimate 3000 UHPLC system coupled 193 with a Q-Orbitrap-MS (Q-Exactive plus MS, Thermo Scientific) with a heated electrospray 194 ionization source. Chromatographic separation was carried out on an ACQUITY UPLC BEH C_{18} 195 column (100 x 2.1mm, 1.7 µm) with a UPLC BEH C18 guard column (5 x 2.1mm, 1.7 mm) 196 maintained at 35° C. UHPLC conditions including mobile phases and gradients were optimized 197 based on the separation of APOs and the time needed for sample analysis. APO isomers were 198 identified by MS/MS fragmentation.

The quantification of APOs was calculated as follows: Amount [target APO] = Area [target
APO]/Area [spiked IS] x Amount [spiked IS]/ mg materials. The experiment was repeated twice
with equivalent results.

202 Gene expression analysis. Total RNA was extracted from WT rice roots using the Qiagen Plant 203 RNeasy Kit according to the manufacturer's instructions (Qiagen, Hilden; Germany). Following the 204 producer's directives, samples were treated with TURBOTM DNase (Thermofischer). The RNA 205 samples were routinely checked for DNA contamination through PCR analysis. Single-strand 206 cDNA was synthesized from 1 µg of total RNA using Super-Script II (Invitrogen) according to the 207 instructions in the user manual. Quantitative RT-PCR (qRT-PCR) was performed using a Rotor-208 Gene Q 5plex HRM Platform (Qiagen). All reactions were performed on at least three biological 209 and three technical replicates. Baseline range and take-off values were automatically calculated 210 using Rotor-Gene Q 5plex software. The transcript level of genes listed in Supplemental Table 1 211 was normalized using OsRubQ1 housekeeping gene (Güimil et al., 2005). Only take-off values 212 leading to a Ct mean with a standard deviation below 0.5 were considered. The experiment was 213 repeated twice with equivalent results.

Statistics and reproducibility. Both experiments (plant apocarotenoid quantification and CCD
gene expression analysis) were performed with at least three biological replicates each. Statistical
tests were carried out through One-way analysis of variance (One-way ANOVA) and Tukey's *post*

hoc test, using a probability level of P<0.05. All statistical elaborations were performed using
PAST statistical package version 4 (Hammer *et al.* 2001).

219 Data quality assessment and preprocessing. Gene and apocarotenoid datasets were inspected to 220 spot potential extreme samples or outliers. Different preprocessing approaches were tested, 221 including autoscaling (i.e., column scaling to unit variance, followed by mean centering) and 222 normalization to a unit area (i.e., the normalization factor of each sample was computed from its 223 "area under the curve") followed by mean centering. Based on the ease of interpretation, we 224 selected the following preprocessing: mean centering alone for the apocarotenoids dataset, and 225 autoscale for the gene dataset. All modeling results were therefore obtained from the two datasets 226 preprocessed as such. With respect to the analysis with the low-level data fusion approach (i.e., 227 combining the two datasets into an individual one), a different sequence tailored to the issue of 228 obtaining an equal representation of the two datasets was used, as described in the dedicated 229 paragraph further in the section Data fusion approach.

230 **Exploratory analysis.** All chemometric models reported in this work are "exploratory", meaning 231 that they describe the phenomena and natural groupings captured in the data, in an unsupervised 232 manner (Li Vigni et al. 2013). To this aim, Principal Component Analysis (PCA) (Bro & K. Smilde 233 2014) was employed. This technique is employed to capture, in sequence, the largest sources of 234 variability by defining new variables (the so-called "Principal Components", PCs), which are 235 summarizes of the different pieces of information contained in the data. This "summarized" version 236 of the information can be inspected with the scores and loadings plots, which are scatter plots 237 obtained by plotting pairs (and sometimes triplets) of PCs. The scores plot allows inspecting the 238 relationships among the samples and thus spotting possible groupings and tendencies or patterns of 239 interest, while the loadings plot allows inspecting the relationships among the variables of the data, 240 providing at the same time an interpretation of the scores plot. In our study, individual 241 apocarotenoids and genes were identified by their systematic names and inspected in PCA as the 242 samples. At the same time, the variables of the datasets were the combinations of three-time points 243 (7, 21, and 35 dpi) and three conditions (MYC, no-myc -Pi, no-myc +Pi) for a total of nine 244 combinations.

245 Data fusion approach. For this study we also tested a low-level data fusion approach (Borràs *et al.*246 2015) to combine and jointly explore the information of the apocarotenoids and genes datasets. In
247 practice, the apocarotenoids dataset was joined with the gene dataset in the sample direction so that

the nine variables (combinations of time points and conditions) were coherent between the twodatasets, i.e., the information described by each column had to be the same in both datasets.

250 We performed the following data preprocessing and fusion sequence: (i) standard deviation scaling

251 for each APO/gene quantification, (ii) fusion of the two data tables, (iii) group scale to give the two

data tables the same importance (i.e., each dataset accounts for 50 % of the total variance of the

253 resulting fused dataset), (iv) mean center. The new fused data table was then modelled with PCA,

254 with the apocarotenoids and the genes as the samples (rows) and the combinations of time points

and treatments as the variables (columns) (Supplementary Fig.S1).

256 **Results**

257 CCD gene expression pattern during AM symbiosis

258 We determined the transcript level of a set of CCD gene, including CCD1, CCD4a, CCD4b, CCD7, 259 CCD8, ZAS1, ZAS1b, ZAS1c, and ZAS2, in mycorrhizal plants grown at low Pi (3.2 µM) and in non-260 mycorrhizal plants grown at low $(3.2 \ \mu\text{M})$ or high Pi (500 $\mu\text{M})$. We measured the transcript levels 261 at early (7 dpi), middle (21 dpi), and late (35 dpi) stage of AM symbiosis development 262 (Supplementary Fig. S2). To assess the statistically significant differences, all samples were 263 referred to the -Pi condition within each time point. As shown in the heatmap (Fig. 1A) referred to 264 roots, CCD1, CCD4a, and CCD4b transcript level increased at 21 dpi in the +Pi condition 265 compared to -Pi and MYC ones. Concerning the SL biosynthetic genes, we observed an induction 266 of CCD7 in MYC roots at the middle and late stage (21, 35 dpi), while CCD8 was induced at 7 dpi 267 under the MYC condition, and, as expected, down-regulated under +Pi condition in the later time 268 points (at 21 and 35 dpi) (López-Ráez et al., 2008; Yoneyama et al., 2013). At the middle stage 269 (21dpi), ZAS1 showed an up-regulation in MYC samples and a down-regulation under +Pi. The 270 ZAS1 homolog, ZAS1b was upregulated at 21 dpi in MYC and +Pi roots, while we detected a down-271 regulation in MYC roots during the later stage. By contrast, ZAS1c was up-regulated at 35 dpi in 272 MYC roots. Finally, ZAS2 expression level increased at 21 dpi in +Pi. Supplementary Fig. S3A.

273 *CCDs* gene expression pattern in shoots (**Fig. 1B, Supplementary Fig. S3B**) displayed several differences compared to roots. We detected induction of *CCD1* at 21 dpi in the +Pi condition, while its expression decreased in the MYC condition at 21 and 35 dpi. *CCD4a* showed an expression profile similar to *CCD1*. By contrast, *CCD4b* displayed an opposite trend compared to *CCD4a* with an up-regulation at 35 dpi in the MYC condition. Moreover, *CCD4b* displayed an up-regulation at 7 dpi in the +Pi condition. We did not observe significant changes in the *CCD7* expression level across all conditions or time points, while *CCD8* transcript level was up-regulated at 7 dpi (MYC).

and +Pi conditions) and down-regulated in the later stages (21 and 35 dpi) in shoots of plants grown

- in +Pi and at 35 dpi in shoots of MYC plants. ZASI displayed a down-regulation trend in all time
- 282 points and conditions considered, with a statistically significant difference in mycorrhizal samples
- at 7 dpi. ZAS1b, and ZAS1c, showed an up-regulation in leaves of the MYC plant at the first time
- point (7 dpi) and 21 dpi upon +Pi. Lastly, ZAS2 was barely detected in the shoot of plant growth at
- 285 low Pi, while it showed an up-regulation at 21 dpi in MYC and +Pi conditions and a down-
- regulation in +Pi at 35 dpi.
- We used PCA to assess the samples' natural grouping and clustering tendencies under the different
 growth conditions (MYC, -Pi, and +P) at the three-time points analyzed. The loading plot of Fig.
 28 2A describes the influence of the measured variables on the samples' distribution shown in the
 scores plot of Fig. 2B that provides insights into this distribution.
- 291 In our study, the PCA model was obtained from the expression level of the set of genes analyzed in 292 all samples, in which PC1 explained 43.88% (related to the gene expression level) and PC2 293 explained 22.23% (related to the plant developmental stage) of the total variance (Supplementary 294 Fig. S4). PC1 and PC2 models highlight that in all growth conditions the middle developmental 295 stage (21 dpi) presented a different *CCD* expression pattern compared to early and late stages. We 296 selected the model with 5 PCs, since PC3 (9.90%) and PC5 (5.53%) provided notable information 297 related to the growth conditions, as shown in the loading plot (Fig. 2A), while the scores plot (Fig. 298 2B) displayed a clear separation between the plant organs: roots and shoots. More in detail, Fig. 2B 299 showed that CCD1 and CCD7 expression in roots was mainly influenced by Pi level, while that of 300 CCD8 was affected by both Pi level and MYC condition. CCD4a, ZAS1c, and ZAS1 expression was 301 more affected by the time point than by the Pi level. By contrast, the CCD4b and ZAS2 expression 302 levels were mainly influenced by the Pi level.
- 303 Concerning the shoot, *CCD1*, *CCD4a*, *CCD4b*, and *ZAS2* were located in the plot area influenced 304 by the Pi level during the middle and late stages (21 and 35 dpi), while *CCD7* and *ZAS1c* fell in the 305 plot area related to the MYC condition.

306 Apocarotenoid profile

To profile non-hydroxylated and hydroxylated apocarotenoids (**Table 1**) in roots and shoots of rice plants grown in high/low Pi concentration (+Pi and -Pi) and upon MYC condition, we used the ultra-HPLC (UHPLC)-mass spectrometry (MS)-based approach to get insight into the apocarotenoid compositions (Mi *et al.*, 2018). To simplify this analysis, the statistically significant differences were referred to as the -Pi condition within each time point. The results showed a 312 substantial difference between roots and shoots in the apocarotenoid quantification and distribution,

in analogy to what has been observed in *CCDs* gene expression data.

314 With respect to roots (Fig. 3A, Supplementary Fig. S5A), we observed an increment of the content 315 of Apo9 (β -ionone), Apo10, and their hydroxylated forms (OH-Apo9 and OH-Apo10) in MYC 316 condition at 21 dpi and 35 dpi. In addition, Apo10 showed a higher accumulation in MYC roots and 317 upon +Pi at 7 dpi. Likewise, at the same time point, the Apol1 level increased in the MYC 318 condition, while it decreased during the middle stage (21 dpi), contrary to its hydroxylated forms 319 (OH-Apo 11 and OH-Apo 11-iso) that showed a strong accumulation. Moreover, at 35 dpi, all the 320 β -apo-11-carotenoids (C₁₅) showed a statistically significant decrease upon +Pi. Apo12 and Apo14 321 displayed the same pattern at 35 dpi: both showed a higher accumulation in MYC and +Pi 322 compared to -Pi. By contrast, we observed an increase of Apo13 in MYC and +Pi conditions during 323 the early (7 dpi) and middle stages (21 dpi); its hydroxylated forms (OH-Apo13 and OH-Apo13-324 iso) also displayed a higher content at 7 dpi in the +Pi condition and 21 dpi in the MYC root. 325 Moreover, at the later stage (35 dpi), OH-Apo13-iso showed a statistically significant higher and 326 lower content in MYC and +Pi roots respectively. Finally, Apo15 and Apo15-iso displayed a higher 327 level at 21 dpi in MYC and +Pi roots.

328 Concerning the shoot, the heatmap (Fig. 3B) showed that the non-hydroxylated apocarotenoids 329 (from Apo 8 to Apo 15) displayed an overall similar profile: a general decrease at 7 and 35 dpi in 330 +Pi condition compared to plants grown at -Pi, and an increase upon MYC and +Pi during the 331 middle stage (21 dpi). Notably, Apo9, Apo10, Apo11, Apo12, Apo13, Apo14 and its isomer 332 (Apo14-iso), and Apo15 levels decreased at 7 dpi in the +Pi condition. By contrast, at 21 dpi, Apo9, 333 Apo10, Apo11, Apo12, Apo13, and Apo15 content increased in MYC and +Pi conditions, while 334 Apo14 and its isomer showed an increment only for the MYC condition. At the later time point (35 335 dpi), we detected a decrease of Apo9, Apo12, and Apo14 e its isomer content in MYC plants.

336 The hydroxylated forms showed a profile similar to non-hydroxylated APO with an increased 337 content at 21 dpi in the +Pi condition, and a decreasing trend in the MYC condition at 35 dpi. In 338 detail, at 7 dpi, the OH-Apo11 isomer showed a statistically different increase in MYC condition. 339 Further, at 21 dpi, OH-Apo8, OH-Apo10, OH-Apo11 and its isomer, OH-Apo12, OH-Apo13, OH-340 Apo15, and OH-Apo15 isomer strongly increase in +Pi. At the same time point, also OH-Apo11 341 isomer and OH-Apo13 levels increased in the MYC condition, while the OH-Apo10 content 342 decreased. At the later stage, OH-Apo10 and OH-Apo11 displayed an increased content at +Pi, 343 while OH-Apo13-iso accumulation decreased in the shoot of plants grown in MYC and +Pi 344 conditions (Supplementary Fig. S5B).

To highlight correlations in apocarotenoids distribution across different stages of plant 346 development, AM symbiosis and Pi levels, a PCA was employed. In the apocarotenoid database, no 347 outliers (i.e., samples with clearly inconsistent values and/or unexpected behaviors attributable to 348 errors of measurement or to data acquisition problems) were identified, even if three apocarotenoids 349 (OH-Apo10, Apo10, and Apo12) showed very high values across all time points and treatments 350 (Supplementary Fig. S6). To better model the information of the rest of the samples, these three 351 extreme samples were removed from the apocarotenoid dataset and projected at a later stage to 352 inspect their position in the final PCA model.

- 353 In the PCA model referred to apocarotenoids, PC1 explained 43.88% (related to apocarotenoid 354 quantification) and PC2 explained 22.23% (related to growth conditions) of the total variance 355 (Supplementary Fig. S7). PC1 and PC2 models highlighted the apocarotenoids strictly related to 356 MYC condition (OH-Apo9; Apo11; OH-Apo11; OH-Apo13iso). Further, we adopted the PCA 357 model PC2 combined with PC3, where PC3 explained 2.55% of the total variation, upon the 358 propensity of samples to regroup following the temporal trend described in the loading plot (Fig. 359 **4A**).
- 360 In the plot chart, at 7 dpi all the growth conditions were clustered in the same area. Here, the 361 majority of the analyzed shoot apocarotenoids (Apo9, Apo11, OH-Apo11 isomer, OH-Apo13, OH-
- 362 Apo13 isomer, and Apo15) were located, suggesting that their content was mainly influenced by the
- 363 growth time than by the growth condition.
- 364 The scores plot (Fig. 4B) displayed a clear separation between the apocarotenoids quantified in 365 roots or shoots. In-depth, in root OH-Apo9, OH-Apo11, Apo11, and OH-Apo13 isomer were 366 mainly influenced by mycorrhization in different time points (21 dpi and 35 dpi). Instead, APO14 367 seems to be dependent on the Pi level and MYC condition. However, in shoot OH-Apo9, OH-368 Apo12, and OH-Apo15 were linked to the +Pi condition. OH-Apo8, the OH-Apo12 isomer, and the 369 OH-Apo15 isomer depended on the Pi level at the middle stage (21 dpi).

370 Data fusion

345

- 371 Finally, to combine and investigate the potential correlation between apocarotenoids and CCD 372 genes, we used a low-level data fusion approach (Borràs et al., 2015) to combine the two datasets 373 into an individual fused one, also modelled with PCA.
- 374 In the resulting PCA model, considering genes expression and apocarotenoids profiles joined, PC1
- 375 explained 29.65% and PC2 explained 26.81% of the total variance (Fig. 5A, Fig. 5B). From the
- 376 loading plot (Fig. 5A) we observed the grouping of the samples interpretable by the temporal trend
- 377 (7, 21, and 35 dpi). As reported for the previous scores plots referred to individual categories (genes

378 and apocarotenoids), in **Figure 5B** we observed a clear separation between plant organs. In more 379 detail, genes and apocarotenoids in the left upper part of the scores plot (Fig. 5B) were more related 380 to the early stage (7 dpi). Here, we found mainly shoot apocarotenoids, CCD8 expressed in both 381 root and shoot, and ZASIc in the root. By contrast, the lower left part of the plot, clustered 382 exclusively apocarotenoids and genes (CCD7 and ZAS1) modulated in the root, depended on the 383 MYC condition during the middle and later stages. In particular, Apo9 (β -ionone), Apo10, and their 384 hydroxylated forms (OH-Apo9 and OH-Apo10) were grouped in this plot area, suggesting their 385 possible involvement during the AM colonization process. Furthermore, in the same area, we 386 highlighted the association between CCD7 and one of its cleavage products, Apo9 (β -ionone). In 387 addition, this group highlighted the correlation between ZAS1, responsible for the OH-Apo13 388 (zaxinone) synthesis, and its precursors (OH-Apo10 and OH-Apo12).

In the shoot, most of the *CCD* genes (*CCD1*, *CCD4a*, *CCD7*, *ZAS1b*, *ZAS1c*, and *ZAS2*) were mainly influenced by the Pi level and by the growing time (21 dpi and 35 dpi) similarly to some

391 genes (*CCD1*, *CCD4a*, *CCD4b*, and *ZAS2*) in the root. Moreover, the right area of the plot clustered

- the majority of shoot apocarotenoids. On the whole, apocarotenoids profiles in the shoot seem to be
- 393 more influenced by the time points (7, 21, 35 dpi) and Pi availability, while, in the root, most
- apocarotenoids and genes were mainly influenced by mycorrhization.

395 Discussion

396 In recent years, plant apocarotenoids are emerging not only as carotenoid breakdown products but 397 as metabolites with active roles in regulating physiological and developmental processes and plant-398 (a)biotic interactions (Zheng *et al.*, 2021). In particular, some apocarotenoids were associated with 399 the establishment and maintenance of AM symbiosis (Fiorilli et al., 2019). Investigations over the 400 last decade indicate that beyond SLs, ABA, mycorradicins, and blumenols (Walter et al., 2007; 401 Floss et al., 2008; Hill et al., 2018; Wang et al., 2018; Fiorilli et al., 2019), other apocarotenoids 402 may play a role in this mutualistic association. For example, zaxinone, generated by the activity of 403 the CCD subfamily Zaxinone Synthases, was shown to control the extent of AM root colonization 404 with a complex interplay with SLs (Votta et al., 2022; Ablazov et al., 2023). To further explore the 405 involvement of other apocarotenoids in the AM symbiosis in this work we developed a combined 406 approach: we profiled apocarotenoids in rice roots and shoots across a time course (7, 21, and 35 407 dpi) experiment of AM colonization by LC-MS (Mi et al., 2018) and, in parallel, we monitored the 408 expression pattern of a set of CCD genes. To highlight genes and apocarotenoids more specifically 409 related to the AM association, we analyzed plants grown in low and high Pi conditions. Our results 410 show that the AM colonization, although confined to the root system, can trigger a systemic

411 response which is evident from the modulation of *CCDs* gene expression and apocarotenoid content 412 in rice shoots. The effect on epigeous organs exerted by AM root colonization has been already 413 described in other species (Fiorilli *et al.*, 2009, 2018; Zouari *et al.*, 2014). In addition, our analysis 414 indicates that both mycorrhization and Pi availability triggered an organ-specific response with

415 differential modulation of genes and apocarotenoids in roots *versus* shoots (Fig. 3, Fig. 4).

416 In particular, in roots Apo9, OH-Apo9, Apo10 and OH-Apo10 are much more abundant in the 417 MYC samples across almost all the time points analyzed and do not accumulate under +Pi, which 418 suggests that they may be important for the AM colonization process. Apo9 is β -ionone, a cleavage 419 product of several CCD enzymes (CCD1, CCD4, and CCD7) which was shown to have a role in 420 plant-fungal interactions (Wilson et al., 1981; Sharma et al., 2012). However, its specific 421 involvement in the AM symbiosis has not been characterized yet. As in our dataset, CCD7 displays 422 an AM-responsive expression profile and it is involved in SLs biosynthesis, we envisage that β -423 ionone accumulation in AM roots is mainly due to CCD7 activity. It is worth noting that CCD7 424 could also be involved in the synthesis of blumenol-type metabolites and mycorradicin that 425 accumulate in roots in the late stage of AM colonization (Wang et al., 2018; Fiorilli et al., 2019); 426 we can also hypothesize that β -ionone is a precursor of blumenols. However, due to lack of 427 authentic standards, we were not able to monitor blumenol derivatives.

Interestingly, we observed the accumulation at the middle and late stages of mycorrhization of zaxinone and OH-Apo10, which is the precursor of zaxinone, indicating that mycorrhization stimulates multiple steps of this branch of the apocarotenoid biochemical pathway. Notably, the expression of *ZAS1* (and partially *ZAS2*) was also highly influenced by the MYC condition in roots, confirming its correlation with zaxinone (**Fig. 1, Fig. 5**). The association between *CCD8* and *ZAS1* at 7 dpi is in line with previous data showing their interplay at the early stage of the AM symbiosis (Votta *et al.*, 2022).

435 Apol1 level increased at 7 dpi and decreased at 21 dpi, while OH-Apol1 and OH-Apol1 isomers 436 could be associated with the 21 dpi MYC condition. Importantly, the apocarotenoids Apol1 and 437 OH-Apol1 were recently described as being part of an alternative zeaxanthin epoxidase-438 independent pathway to produce ABA (Jia et al., 2022). Moreover, these compounds act like ABA 439 in maintaining seed dormancy and inducing the expression of ABA-responsive genes (Zheng et al., 440 2021; Jia et al., 2022). In light of these findings, we could hypothesize that Apol1 and OH-Apol1, 441 could be involved in the AM symbiosis, and deserve more investigations along the whole 442 colonization process and in relation to what has been already described for ABA in mycorrhizal 443 roots (López-Ráez et al., 2010; Pozo et al., 2015).

444 The composition of shoot apocarotenoids seems to be most influenced by the time point considered. 445 At 7 dpi, we observe a trend to a decrease of most apocarotenoids, especially in the +Pi compared 446 to -Pi condition. At 21 dpi, the MYC and +Pi conditions showed a similar pattern with a general 447 increase in the level of several apocarotenoids. At 35 dpi, MYC and +Pi conditions again displayed 448 a similar profile, but with a general decrease of several apocarotenoids content. From these 449 observations, it can be speculated that the similarity between the MYC and the +Pi conditions could 450 mirror the Pi nutritional status since the AM symbiosis guarantees an improved Pi mineral nutrition 451 mimicking the high Pi condition (Zouari et al., 2014). Moreover, our data indicate that the OH-452 Apol1 isomer deserves more investigation as it could be considered a shoot marker of the early 453 stage of AM colonization.

454 We also attempted to associate the expression of specific genes with the accumulation of specific 455 apocarotenoids with a data fusion approach as the genes involved in the production of many 456 apocarotenoids are largely unknown. The reliability of the approach was confirmed by the 457 association between ZAS1 and zaxinone and its precursor in roots and by the correlation between 458 *CCD7* and β -ionone (Fig. 5). In this context, we can speculate that *CCD1* and *CCD4a* are linked to 459 the production of OH-Apo15 and its isomer, since they are correlated in the shoot and both organs, 460 respectively (Fig. 5). Interestingly, a fungal CCD, NosACO, mediates Apo15 (retinal) production 461 (Scherzinger et al., 2006), indicating that CCD1/4, or still unidentified CCDs, are involved in 462 Apo15 formation during the AM symbiosis. In addition, the expression of ZAS1 in shoots is also 463 related to the accumulation of zaxinone, suggesting a direct involvement of this enzyme in 464 endogenous zaxinone level in shoots.

In conclusion, our data show the specific profiles of *CCD* genes and apocarotenoids across different stages of the AM symbiosis and Pi conditions, possibly highlighting novel markers at both local and systemic levels. Moreover, this combined approach is a promising tool to further dissect this complex metabolic pathway, suggesting putative links between enzymatic activities and apocarotenoid production. bioRxiv preprint doi: https://doi.org/10.1101/2023.02.24.529886; this version posted February 26, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

470 Supplementary data

- 471 Table S1. List of primers used in this study.
- 472 Fig. S1. Data-fusion setup.
- 473 Fig. S2. Mycorrhization level in rice mycorrhizal plants across a time course (7, 21, and 35 dpi).
- 474 Fig. S3. qRT-PCR analysis of transcript levels of CCDs genes in rice root and shoot.
- 475 Fig. S4. Principal component analysis (PC1/PC2) of root and shoot CCDs genes across the three 476 growth stages and conditions used in this study.
- 477 Fig. S5. Apocarotenoids quantification across the three time points and the three analyzed 478 conditions.
- 479 Fig. S6. Plot of the raw apocarotenoids dataset, including the extreme values.
- 480 Fig. S7. Principal component analysis (PC1/PC2) of root and shoot apocarotenoids across the three
- 481 growth stages and conditions used in this study.

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486

487 **Author contributions**

488 VF, SA-B, and LL designed and coordinated the investigation. CV and JYW performed the gene

- 489 expression profiles and carried out the quantification of apocarotenoids with the help from KIL. NC
- 490 and FS performed the PCA analysis. All authors contributed to the results and discussion. CV, VF,
- 491 SA-B and LL wrote the article and all the authors contributed to manuscript review & editing.
- 492 **Conflict of interest**
- 493 No conflict of interest declared.

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497 Science and Technology. bioRxiv preprint doi: https://doi.org/10.1101/2023.02.24.529886; this version posted February 26, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

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499 Data availability

- 500 The data supporting the findings of this study are available within the paper and within its
- 501 supplementary data published online.

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740 Tables

741 Table 1. A summary with non-hydroxylated and hydroxylated apocarotenoids analyzed in this

study, the formula, corresponding name, and structural formula are indicated for each abbreviation.

| Abbreviation | Name | Formula | Structural formula |
|--------------|--|--|---------------------------------------|
| Аро9 | β-apo-9'- carotenal (β-ionone) | $C_{13}H_{20}O$ | |
| Apo10 | β-apo-10'- carotenal | C ₂₇ H ₃₆ O | X |
| Apo11 | β-apo-11- carotenal | C ₁₅ H ₂₂ O | V. Solo o |
| Apo12 | β-apo-12'- carotenal | C ₂₅ H ₃₄ O | X |
| Apo13 | β-apo-13- carotenone | $\mathrm{C}_{18}\mathrm{H}_{26}\mathrm{O}$ | Vo |
| Apo14 | β-apo-14'- carotenal | C ₂₂ H ₃₀ O | X |
| Apo15 | β-apo-15- carotenal | $C_{20}H_{28}O$ | X X X X X X X X X X X X X X X X X X X |
| ОН-Аро8 | 3-OH-β-apo-8'- carotenal | $C_{30}H_{40}O_2$ | HOM |
| ОН-Аро9 | 3-OH-β-apo-8'- carotenal (OH-β-ionone) | $C_{13}H_{20}O_2$ | HO |

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| OH-Apo10 | 3-OH-β-apo- 10'-carotenal | $C_{27}H_{36}O_2$ | HOM |
|----------|--|-------------------|-----|
| OH-Apo11 | 3-OH-β-apo- 11-carotenal | $C_{15}H_{22}O_2$ | HO |
| OH-Apo12 | 3-OH-β-apo- 12'-carotenal | $C_{25}H_{34}O_2$ | HOM |
| OH-Apo13 | 3-OH-β-apo- 13-carotenone (zaxinone) | $C_{18}H_{26}O_2$ | HO |
| OH-Apo14 | 3-OH-β-apo- 14'-carotenal | $C_{22}H_{30}O_2$ | HOM |
| OH-Apo15 | 3-OH-β-apo- 15-carotenal | $C_{20}H_{28}O_2$ | HO |

743

744 Figure legends

745 Fig. 1. Heatmap of root (A) and shoot (B) gene expression of the three-time points (7, 21, 35 746 dpi: days post inoculation) and the three analyzed conditions (-Pi, MYC, +Pi). Data are means 747 \pm SE (n<=4). For each gene and time point, the value of the corresponding -Pi sample was set to 1. 748 Asterisks indicate statistically significant differences referred to the -Pi condition, separately for 749 each time point, by one way-Anova (*P < 0.05; **P<0.01; ***P<0.001). The circles represented the 750 different stages of mycorrhization: at the early stage (7 dpi), the fungus structures, hyphopodia, 751 adhered to the root epidermis, during the middle stage (21 dpi), the arbuscules started their 752 development that will be completed at the later stage (35 dpi). Heatmaps were generated with the 753 MultiExperiment Viewer (MeV) software.

-Pi: 3.2 μM Pi; myc: mycorrhizal plants grown at 3.2 μM Pi; +Pi: 500 μM Pi.

Fig. 2. Principal component analysis of root and shoot CCDs genes expression across the
three-time points and the three growth conditions (-P, MYC, +P). Loading plot (A) and scores
plot (B) with the third and fifth principal components. In the scores plot (B) for both groups the
lines connecting each sample lead to the cluster center.

759 Fig. 3. Heatmap of root (A) and shoot (B) apocarotenoids quantification across the three time 760 points and the three analyzed conditions (-P, MYC, +P). For each APOs and time point, the 761 value of the corresponding -Pi was set to 1. Data are means \pm SE (n<=4). Asterisks indicate 762 statistically significant differences as compared to –P condition, separately for each time point, by 763 one way-Anova (*P < 0.05; **P<0.01; ***P<0.001). The circles represented the different stages of 764 mycorrhization: at the early stage (7 dpi), the fungus structures, called hyphopodia, adhered to the 765 root epidermis, during the middle stage (21 dpi), the arbuscules started their development that will 766 be completed at the later stage (35 dpi). The apocarotenoids indicated with asterisks represented the 767 isoform of the corresponding apocarotenoid. Heatmaps were generated with the MultiExperiment 768 Viewer (MeV) software.

Fig. 4. Principal component analysis of root and shoot APOs across the three growth stages
and conditions (-P, MYC, +P). Loading plot (A) and scores plot (B) with the second and third
principal components. In the scores plot (B) for both groups the lines connecting each sample lead
to the cluster center.

Figure 5. Principal component analysis of root and shoot genes and apocarotenoids datasets
fused and analyzed across the three time points and growth conditions (-P, MYC, +P).
Loading plot (A) and scores plot (B) with the first and second principal components.

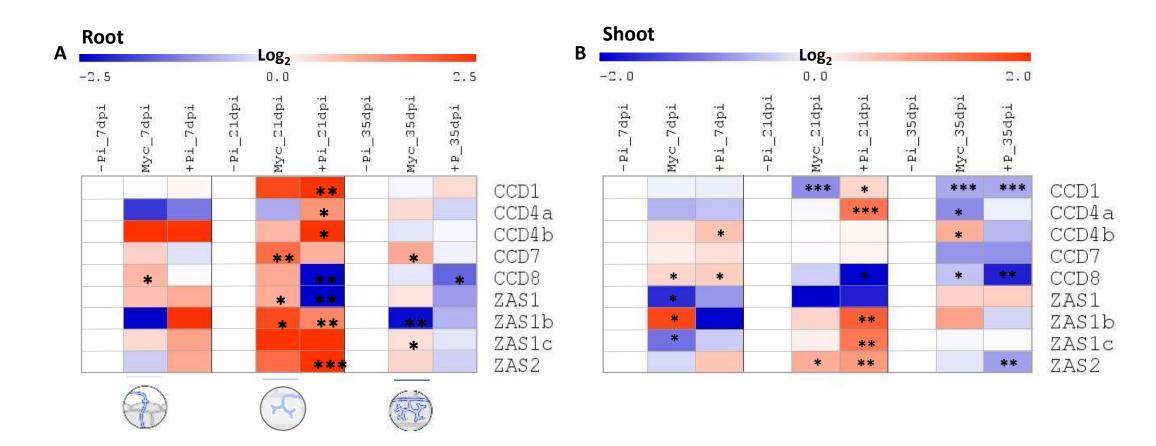


Figure 1. Heatmap of root (A) and shoot (B) gene expression of the three-time points (7, 21, 35 dpi: days post inoculation) and the three analyzed conditions (-Pi, MYC, +Pi). Data are means \pm SE (n<=4). For each gene and time point, the value of the corresponding -Pi sample was set to 1. Asterisks indicate statistically significant differences referred to the –Pi condition, separately for each time point, by one way-Anova (*P < 0.05; **P<0.001). The circles represented the different stages of mycorrhization: at the early stage (7 dpi), the fungus structures, hyphopodia, adhered to the root epidermis, during the middle stage (21 dpi), the arbuscules started their development that will be completed at the later stage (35 dpi). Heatmaps were generated with the MultiExperiment Viewer (MeV) software.

-Pi: 3.2 μM Pi; myc: mycorrhizal plants grown at 3.2 μM Pi; +Pi:500 μM Pi.

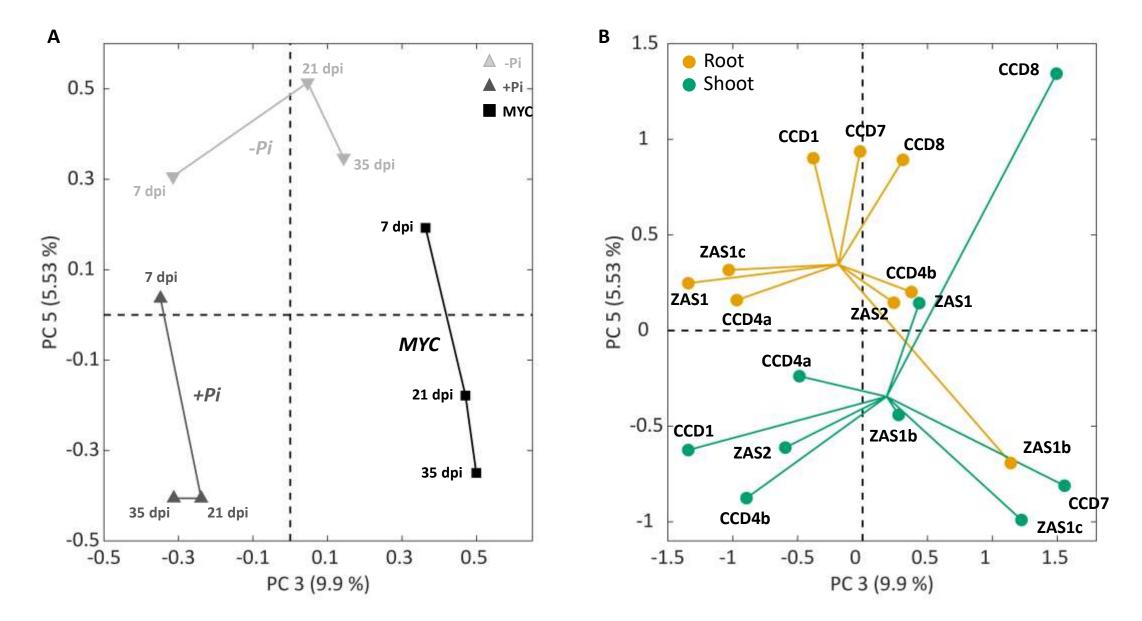


Figure 2. Principal component analysis of root and shoot CCDs genes expression across the three-time points and the three growth conditions (-Pi, MYC, +Pi). Loadings plot (A) and scores plot (B) show the third and fifth principal components. In the scores plot (B) for both groups the lines connecting each sample lead to the cluster center.

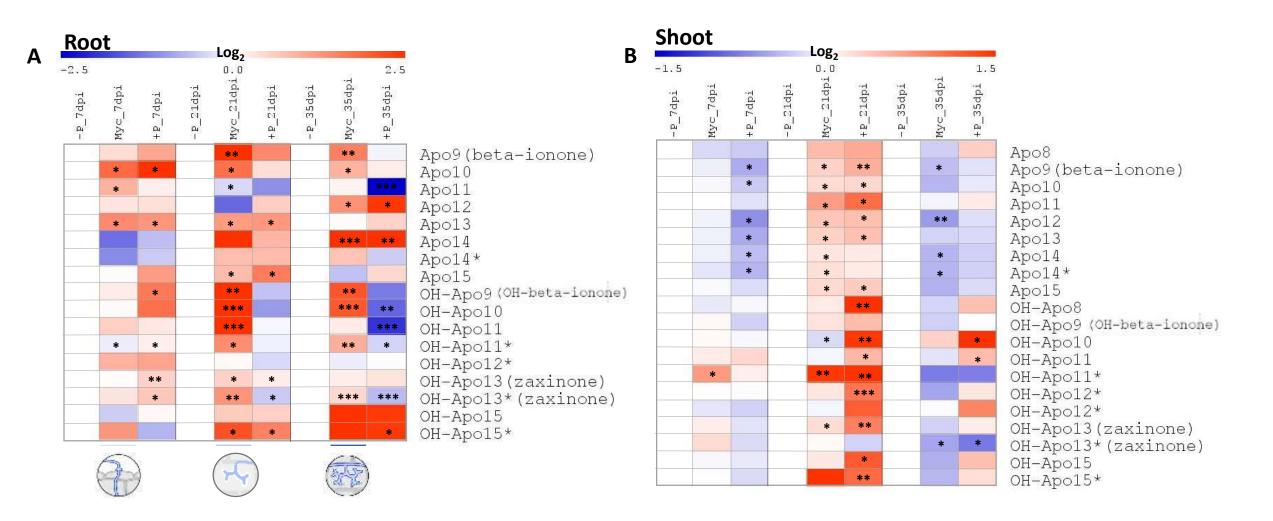


Figure 3. Heatmap of root (A) and shoot (B) apocarotenoids quantification across the three time points and the three analyzed conditions (-P, MYC, +P). For each APOs and time point, the value of the corresponding -Pi was set to 1. Data are means \pm SE (n<=4). Asterisks indicate statistically significant differences as compared to –P condition, separately for each time point, by one way-Anova (*P < 0.05; **P<0.01; ***P<0.001). The circles represented the different stages of mycorrhization: at the early stage (7 dpi), the fungus structures, called hyphopodia, adhered to the root epidermis, during the middle stage (21 dpi), the arbuscules started their development that will be completed at the later stage (35 dpi). The apocarotenoids indicated with asterisks represented the isoform of the corresponding apocarotenoid. Heatmaps were generated with the MultiExperiment Viewer (MeV) software.

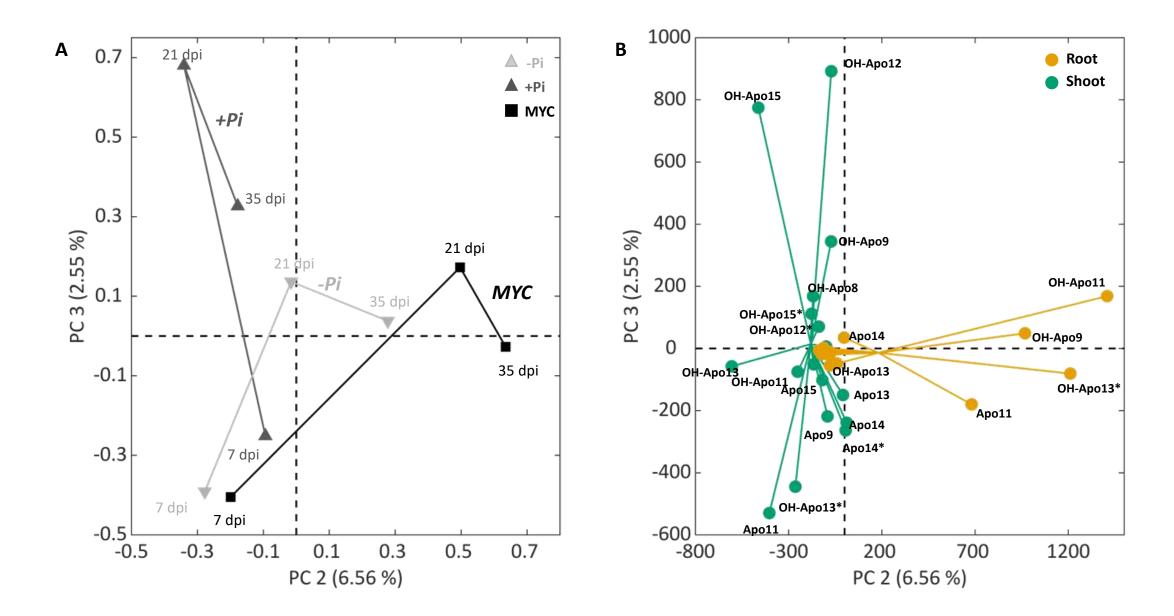


Figure 4. Principal component analysis of root and shoot APOs across the three-time points and the three growth conditions (-Pi, MYC, +Pi). Loadings plot (A) and scores plot (B) show the second and third principal components. In the scores plot (B) for both groups the lines connecting each sample lead to the cluster center.

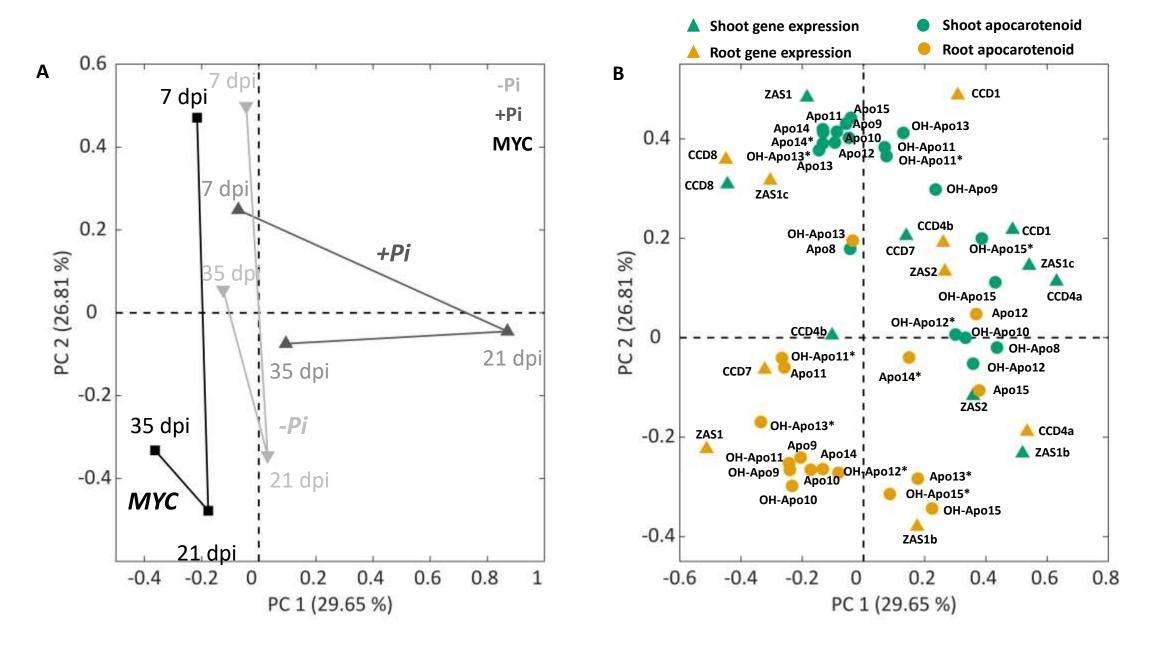


Figure 5. Principal component analysis of root and shoot genes and apocarotenoids datasets fused and analysed across the three-time points and the three growth conditions (-Pi, MYC, +Pi). Loadings plot (A) and scores plot (B) show the first and second principal components. The apocarotenoids indicated with asterisks represent the isoform of the corresponding apocarotenoid.