Title: Identification of novel buffering mechanisms in aortic arch artery development and

congenital heart disease

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Short Title: Robustness in aortic arch artery development
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Rationale: The resiliency of embryonic development to genetic and environmental perturbations

has been long appreciated; however, little is known about the mechanisms underlying the

robustness of developmental processes. Aberrations resulting in neonatal lethality are

exemplified by congenital heart disease (CHD) arising from defective morphogenesis of

pharyngeal arch arteries (PAA) and their derivatives.

Objective: To uncover mechanisms underlying the robustness of PAA morphogenesis.

Methods and Results: The second heart field (SHF) gives rise to the PAA endothelium. Here,

we show that the number of SHF-derived ECs is regulated by *VEGFR2* and *Tbx1*. Remarkably,

when SHF-derived EC number is decreased, PAA development can be rescued by the

compensatory endothelium. Blocking such compensatory response leads to embryonic demise.

To determine the source of compensating ECs and mechanisms regulating their recruitment, we

investigated three-dimensional EC connectivity, EC fate, and gene expression. Our studies

demonstrate that the expression of VEGFR2 by the SHF is required for the differentiation of

SHF-derived cells into PAA ECs. The deletion of one VEGFR2 allele (VEGFR2$^{SHF\text{-}HET}$) reduces

SHF contribution to the PAA endothelium, while the deletion of both alleles (VEGFR2$^{SHF\text{-}KO}$)

abolishes it. The decrease in SHF-derived ECs in VEGFR2$^{SHF\text{-}HET}$ and VEGFR2$^{SHF\text{-}KO}$ embryos

is complemented by the recruitment of ECs from the nearby veins. Compensatory ECs

contribute to PAA derivatives, giving rise to the endothelium of the aortic arch and the ductus in

VEGFR2$^{SHF\text{-}KO}$ mutants. Blocking the compensatory response in VEGFR2$^{SHF\text{-}KO}$ mutants results

in embryonic lethality shortly after mid-gestation. The compensatory ECs are absent in *Tbx1*$^{+/-}$

embryos, a model for 22q11 deletion syndrome, leading to unpredictable arch artery

morphogenesis and CHD. *Tbx1* regulates the recruitment of the compensatory endothelium in

an SHF-non-cell-autonomous manner.

Conclusions: Our studies uncover a novel buffering mechanism underlying the resiliency of

PAA development and remodeling.

Key Words: second heart field, endothelial progenitors, pharyngeal arch arteries, aortic arch

arteries, ductus arteriosus, veins, 22q11 deletion syndrome

Nonstandard Abbreviations and Acronyms in Alphabetical Order: CHD – congenital heart

disease; ECs – endothelial cells; IAA-B – interrupted aortic arch type B; PAA – pharyngeal arch

arteries; RERSA – retro-esophageal right subclavian artery; SHF – second heart field; VEGFR2

– Vascular endothelial growth factor receptor 2.

Introduction

How complex developmental processes almost invariably lead to predictable phenotypes has remained a conundrum for many years. In 1942, C.H. Waddington proposed the concept of canalization of development, which refers to the tendency of developmental processes to follow a specific trajectory despite genetic and environmental perturbations [1]. Noting that genetically "wild type" organisms have much less developmental variability than organisms with genetic mutations, Waddington argued for a buffering mechanism(s) that allow constancy in developmental outcomes despite minor genetic or environmental perturbations. One example of canalized development is the organogenesis of the cardiovascular system. The aortic arch is a major vessel that routes oxygenated blood into the systemic circulation. In the vast majority of mice and humans, this vessel forms a leftward arch to connect the heart and the left descending aorta. However, mutations affecting pharyngeal mesoderm or cardiac neural crest development can result in unpredictable defects in arch artery development and remodeling; for example, a rightward instead of a leftward arch can form, two arches can form: one rightward and one leftward, giving rise to a ring, or the aortic arch can be absent completely [2,3]. Although rare, congenital defects offer an opportunity to understand buffering mechanisms underlying the constancy of development and how alterations in these mechanisms result in birth defects.

Congenital heart disease (CHD) is the most prevalent congenital anomaly, affecting approximately 8 individuals per 1000 live births in the United States [4,5]. Defects in the development of pharyngeal arch artery (PAA) derivatives, the aortic arch and the ductus arteriosus give rise to lethal forms of CHD. During development, each PAA forms within one pharyngeal arch, a complex tissue in the thoracic region of the embryo containing cells derived from all three germ layers [6]. PAAs form by vasculogenesis, where mesoderm-derived vascular progenitors populate the pharyngeal arch mesenchyme and differentiate into endothelial cells (ECs). These ECs form a primary network of small vessels which then remodel into one large artery per arch [7-11]. We and others have shown that PAA endothelium, particularly the 4th and 6th PAAs, is mainly derived from a subpopulation of splanchnic mesoderm known as the Second Heart Field (SHF) [7,8,10].

Following their formation, PAAs undergo asymmetric remodeling to give rise to the aortic arch artery, its branches, and the ductus arteriosus [2,3,12]. Defective formation and remodeling of the left 4th PAA leads to the lethal CHD phenotype interrupted aortic arch type B (IAA-B), resulting in the loss of the lumenal continuity between the ascending and descending aorta and

interrupting the circulation of oxygenated blood throughout the body [13]. Defective formation and
remodeling of the right 4th PAA results in a retro-esophageal right subclavian artery (RERSA),
where the right subclavian artery branches from the descending aorta. Although non-lethal,
RERSA can lead to *dysphagia lusoria*, a difficulty in swallowing [14]. The left 6th PAA gives rise to
the ductus arteriosus, and aberrant formation or precocious regression of this vessel leads to
pulmonary atresia, which is lethal [15,16].

Chromosome 22q11 deletion syndrome (22q11 DS) is one of the most prevalent chromosomal
microdeletion syndromes, with an incidence of 1 in 3,000-6,000 live births [17,18]. Characterized
by multiple anomalies, 80% of 22q11DS cases present with CHD [18,19]. Approximately 50-60% of
patients with interrupted aortic arch have 22q11DS, with IAA-B being the most prevalent
subtype [20-23]. *Tbx1* is among the genes deleted in 22q11DS, and knockout studies in mice
demonstrated that heterozygosity of *Tbx1* results in aberrant 4th PAA development,
phenocopying aortic arch defects in 22q11DS patients [24-26].

When investigating the impact of global *Tbx1* heterozygosity on the development of the fourth
PAAs, we observed that aberrant 4th PAA development in *Tbx1*$^{+/-}$ embryos was accompanied by
a decrease in the number of SHF-derived ECs in the 4th pharyngeal arches. A similar reduction
in SHF-derived EC numbers was noted when a single copy of the VEGFR2 gene was deleted in
the SHF. However, unlike *Tbx1*$^{+/-}$ embryos, embryos with a heterozygous deletion of VEGFR2 in
the SHF contained compensatory endothelium that mitigated the decreased numbers of SHF-
derived ECs and rescued arch artery development. In this manuscript, we describe the
discovery of this compensatory endothelium, identify its source, its role in arch artery
remodeling, and the importance of VEGFR2 and Tbx1 in its regulation. Collectively, this work
provides valuable insights into the resilience of developmental mechanisms, shedding light on
the robustness and plasticity involved in the development of PAA-derived vasculature.

Results

The expression of VEGFR2 in the SHF is required for the specification of pharyngeal arch
artery endothelium.

To determine whether the SHF is a principal source of the PAA endothelium, we ablated
VEGFR2 from the SHF using the *Isl1*^{Cre} knock-in strain by crossing *VEGFR2*$^{+/-}$;*Isl1*$^{Cre/+}$ males
with *VEGFR2*$^{f/f}$; *Rosa*$^{mTmG/mTmG}$ females, generating *VEGFR2*$^{flox/-}$; *Rosa*$^{mTmG/+}$; *Isl1*$^{Cre/+}$

(*VEGFR2$^{Isl1-KO}$*), *VEGFR2$^{flox/+}$; Rosa$^{mTmG/+}$; Isl1$^{Cre/+}$* (*VEGFR2$^{Isl1-Het}$*), and *VEGFR2$^{flox/+}$;*

Rosa$^{mTmG/+}$; Isl1$^{+/+}$ (*VEGFR2$^{Isl1-WT}$*) embryos. Embryos were examined at embryonic day (E) 10.5

when PAA formation was nearly complete (34 – 36s) [7,8,27]. Vasculature was visualized using

whole-mount immunofluorescent staining (WM-IF) and antibodies against VEGFR2, followed by

confocal imaging of the entire pharyngeal region and three-dimensional (3D) reconstruction of

optical sections. We hypothesized that deleting VEGFR2 from the SHF would abolish the PAA

endothelium. Our analyses showed that PAA formation in *VEGFR2$^{Isl1-Het}$* and *VEGFR2$^{Isl1-KO}$*

embryos was defective, compared with *VEGFR2$^{Isl1-WT}$* (**Fig. S1A-F)**; the 6th PAA of *VEGFR2^{Isl1-}*

^{Het} embryos was hypoplastic (compare **Fig. S1A-B** with **Fig. S1C-D**), whereas the 4th and 6th

PAAs of *VEGFR2$^{Isl1-KO}$* embryos were either hypoplastic or aplastic (**Fig. S1E-F, Table S1**).

Surprisingly, despite the deletion of VEGFR2 in the SHF (**Fig. S1G-I, outlined**), VEGFR2+

endothelium was present in all three pharyngeal arches of *VEGFR2$^{Isl1-KO}$* embryos (**Fig. S1E-F**).

These results suggested that either VEGFR2 expression was not essential for the differentiation

of SHF-derived vascular progenitors into PAA ECs or that mutant embryos contained ECs from

a source other than the SHF.

To test these hypotheses and determine the fate of SHF-derived mesoderm in mutant embryos,

we used the *Mef2C-AHF-Cre* transgenic strain and *Rosa$^{tdTom/tdTom}$* reporter. For these

experiments, *VEGFR2$^{+/-}$; Mef2C-AHF-Cre* males were crossed with *VEGFR2$^{flox/flox}$;*

Rosa$^{tdTom/tdTom}$ females to generate *VEGFR2$^{flox/-}$; Mef2C-AHF-Cre+; Rosa$^{tdTom/+}$* (*VEGFR2^{SHF-KO}*)

embryos and *VEGFR2$^{flox/+}$; Mef2C-AHF-Cre+; Rosa$^{tdTom/+}$* (*VEGFR2$^{SHF-Het}$*) embryos. *VEGFR2$^{+/-}$;*

Mef2C-AHF-Cre males were also crossed with *Rosa$^{tdTom/tdTom}$* females to generate *VEGFR2$^{+/+}$;*

Mef2C-AHF-Cre+; Rosa$^{tdTom/+}$ (*VEGFR2^{SHF-WT}*) embryos. To verify the ablation of VEGFR2 in the

Mef2C-AHF-lineage, we performed WM-IF and used antibodies against VEGFR2 and tdTomato,

encoded by the Cre-Reporter transgene. In *VEGFR2^{SHF-WT}* embryos, Cre-reporter+ cells in the

anterior and posterior poles of the dorsal pericardial wall and pharyngeal arches expressed

VEGFR2 (**Fig. 1A-I**). In *VEGFR2^{SHF-KO}* embryos, VEGFR2 expression was absent in the dorsal

pericardial wall (**Fig. 1J-N, outlined**), and 84-88% of Cre-reporter+ cells in the pharyngeal

arches did not express VEGFR2 (**Figs. 1O-R arrows, Table S2**). These experiments

demonstrated the successful ablation of VEGFR2 protein from the *Mef2c-AHF*-lineage in

VEGFR2^{SHF-KO} embryos.

To determine whether the expression of VEGFR2 in the *Mef2c-AHF*-lineage was required for

PAA development, we examined PAA formation in *VEGFR2^{SHF-WT}*, *VEGFR2$^{SHF-Het}$*, and

VEGFR2^{SHF-KO} embryos at E10.5. Embryos were stained by WM-IF using antibodies against

VEGFR2, the ETS transcription factor ERG, and the Cre-reporter tdTomato. As with the $Isl1^{Cre/+}$

strain, we observed defective PAA formation in $VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos (**Fig.**

2A-F, Table S1). $VEGFR2^{SHF-Het}$ embryos had hypoplastic 6th PAAs (**Fig. 2C-D**). PAA defects in

$VEGFR2^{SHF-KO}$ mutants were more severe than in the $VEGFR2^{SHF-Het}$: most 4th and 6th PAAs

were aplastic, that is, the pharyngeal endothelium remained in the plexus form without forming a

central artery (**Fig. 2E-F**).

To quantify the total number of SHF-derived cells, total EC number, and the number of SHF-

derived EC in the pharyngeal arches, we used the Imaris software to segment the pharyngeal

arches as well as the endothelium within the arches, as described [28]. Although the total

numbers of SHF-derived cells (**Table S2**) and ECs (**Table S3**) were similar across all the

genotypes (**Fig. 2G-H**), the proportion of pharyngeal arch ECs derived from the SHF was

decreased in $VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos (compare **Fig. 2E** and **2C** with **2A**,

wherein only Cre-reporter+ ECs are shown, quantified in **Fig. 2I**). Compared with controls, the

proportion of SHF-derived ECs was reduced about 2-fold in $VEGFR2^{SHF-Het}$ (blue bars in **Fig.**

2I). These data indicated that the expression of one copy of VEGFR2 was not sufficient to

generate wild-type levels of SHF-derived ECs.

In $VEGFR2^{SHF-KO}$ embryos, the proportions of SHF-derived ECs were decreased by 3-, 10-, and

20-fold compared with controls in the 3rd, 4th, and 6th pharyngeal arches, respectively **(**cyan bars

in **Fig. 2I, Table S3**). The striking paucity of SHF-derived endothelium in the arches of the

mutants is seen in **Fig. 2**; Compare the abundance of cyan ECs in control (**Fig. 2A-A1)** with that

in $VEGFR2^{SHF-KO}$ **(Fig. 2E-E1)**. Pharyngeal arch histology is shown in coronal optical sections

through the arches (**Fig. 2B-B2, D-D2, F-F2**). SHF-derived cells are mainly found in the

endothelium in control and $VEGFR2^{SHF-Het}$ embryos (**Fig. 2B-B2, D-D2**); whereas, in

$VEGFR2^{SHF-KO}$ embryos, most SHF-derived cells lacked VEGFR2 and were not incorporated

into the endothelium (**Fig. 2F-F2**, arrows).

Among pharyngeal arch ECs in $VEGFR2^{SHF-KO}$ embryos, a small minority (6.3%, or ~37 cells)

were SHF-derived (**Fig. 2I**, **Table S4**). However, most of these SHF-derived ECs in $VEGFR2^{SHF-}$

^{KO} embryos still expressed VEGFR2 (e.g., white arrow in **Fig. 1P-R** and **Table S4**); we termed

these cells escapees. In these cells, Cre-mediated recombination occurred between the loxP

sites in the ROSA locus but not the VEGFR2 locus, leading to the expression of both the

fluorescent reporter and VEGFR2. Alternatively, Cre-mediated recombination at the VEGFR2

locus in these cells had not yet resulted in decreased VEGFR2 protein levels by the time of their

differentiation into ECs. The remaining 12% of SHF-derived ECs in the 4th pharyngeal arch of

VEGFR2^{SHF-KO} embryos (~4 cells) lacked VEGFR2 (**Table S4**). To summarize, about 4 out of a

total of 585 ECs in the 4th arch ECs of *VEGFR2^{SHF-KO}* embryos lacked VEGR2, indicating the

essential role and a stringent requirement for VEGFR2 expression in the differentiation of SHF-

derived progenitors into arterial endothelium and contribution to the pharyngeal arch arteries.

Finally, similar phenotypes resulted from VEGFR2 deletion using either the *Isl1^{Cre}* or *Mef2C-*

AHF-Cre strains (**Fig. S1** and **Tables S5-S6**), indicating that the expression of *VEGFR2* in the

SHF, the common Cre expression domain between these two strains, regulates the

differentiation of SHF-derived vascular progenitors into pharyngeal arch ECs.

Compensatory endothelium contributes to PAA-derived vasculature.

Defective PAA formation leads to abnormal morphogenesis of their derivatives, the ductus

arteriosus and the aortic arch and its branches [29]. Since the total number of pharyngeal arch

ECs was similar among all genotypes (**Fig. 2H**), our experiments show that an alternative

vascular source compensates for the reduction in SHF-derived ECs in *VEGFR2$^{SHF-Het}$* and

VEGFR2^{SHF-KO} embryos to bring up the numbers of ECs in pharyngeal arches to control levels.

In the 4th pharyngeal arch, the total EC number in *VEGFR2^{SHF-KO}* embryos was slightly higher

than in controls (**Fig. 2H**, **Table S3**), likely due to the increased proliferation of the

compensatory, non-SHF ECs (discussed below).

To determine if the altered balance in the numbers of SHF- and non-SHF-derived progenitors of

the pharyngeal arch endothelium in *VEGFR2$^{SHF-Het}$* and *VEGFR2^{SHF-KO}* embryos influenced the

remodeling of PAAs into their adult-like configuration, we examined embryos heterozygous or

null for VEGFR2 in either the *Mef2C-AHF-Cre* or *Isl1^{Cre}* lineages at or after E14.5, when PAA

remodeling is complete. A Mendelian distribution of each genotype was observed between

E14.5 and P0, indicating that the loss of SHF-derived vascular progenitors does not cause

prenatal lethality (**Table S7**). To examine the morphogenesis of the aortic arch, branches, and

ductus, embryos were analyzed at E14.5 or E18.5 by micro-computed tomography or

conventional histology and H&E staining. On average ~83% of embryos null for VEGFR2 in the

SHF from either the *Isl1^{Cre}* or *Mef2C-AHF-Cre* lines developed variable defects in PAA-derived

vessels (**Fig. 3A-G1; Table S5**). The left 4th PAA gives rise to the aortic arch artery segment

between the left carotid artery and the left subclavian artery, and defective development of this

artery leads to interrupted aortic arch type B (IAA-B) [2,3]. Defects in morphogenesis of the

vessels derived from the left or the right 4th PAA were observed in 17 of 23 conditional

VEGFR2-null embryos (**Table S5**). This indicates that compared with the vessels arising from

the 3rd and 6th PAAs, morphogenesis of the vessels derived from the 4th PAAs is more sensitive

to the decreased contribution of SHF-derived ECs.

Despite a significantly decreased number of SHF-derived ECs in the PAAs, only 1 of 21 (4.8%)

embryos heterozygous for VEGFR2 in the SHF had aberrant patterning of PAA-derived

vasculature (**Table S6**). In this embryo, the right subclavian artery, which normally arises from

the right 4th PAA, was absent, and instead, the subclavian artery formed at the dorsal side of the

descending aorta, resulting in the retroesophageal right subclavian artery, RERSA (**Fig. S2A-**

A2, Table S6, embryo 21). These data suggest that the presence of a sizable proportion of

SHF-derived ECs in the 4th PAAs, ~40% on average in *VEGFR2$^{SHF-Het}$* embryos (**Fig. 2I**),

ensures the constancy of PAA morphogenesis into the expected mature arrangement of the

aortic arch and its branches, and the ductus arteriosus.

Intriguingly, the majority of *VEGFR2$^{SHF-Het}$* and *VEGFR2^{SHF-KO}* embryos were viable despite the

decreased contribution of SHF-derived cells to the PAA endothelium of *VEGFR2$^{SHF-Het}$* embryos

and the near complete absence of SHF-derived ECs from the 4th pharyngeal arches in

VEGFR2^{SHF-KO} embryos (**Table S5**). To visualize the cellular composition of PAA-derived ECs in

3D and determine whether compensatory ECs contributed to the PAA-derived vasculature, we

dissected embryos at E14.5 when PAA remodeling was complete and generated 900-micron-

thick vibratome sections which were then stained to detect SHF-derived cells and ECs (**Fig. 3H-**

J1). In addition, we obtained thin histological sections to visualize EC composition at a higher

resolution (**Fig. S2B-E3**). In controls, the majority of cells in the aortic arch, the carotids, and the

ductus arteriosus were composed of SHF-derived ECs (**Fig. 3H-H1**), as we previously reported

[7]. PAA-derived vessels in *VEGFR2$^{SHF-Het}$* embryos contained patches of non-SHF-derived

endothelium, particularly noticeable in the aortic arch and the carotids (**Fig. 3I-I1**, arrowheads).

Two *VEGFR2^{SHF-KO}* embryos analyzed using this method had IAA-B (**Fig. 3J-J1**); However, the

ductus in these mutants was entirely formed from the compensatory endothelium (**Fig.3J-J1**,

dashed double-headed arrow), and the carotids contained large patches of non-SHF-derived

ECs (**Fig. 3J-J1**, arrowhead).

To examine the cellular composition of PAA-derived vessels at a higher resolution, we stained

tissue sections from *VEGFR2^{SHF-WT}, VEGFR2$^{SHF-Het}$,* and *VEGFR2^{SHF-KO}* embryos. These

experiments confirmed that PAA-derived vasculature in controls is largely composed of SHF-

derived ECs (**Fig. S2B-B3**). In contrast, patches of compensatory ECs were present in PAA-

derived blood vessels of *VEGFR2$^{SHF-Het}$* embryos (**Fig. S2C-C3**), while the aortic arch and

ductus of *VEGFR2^{SHF-KO}* embryos were mainly derived from compensatory ECs (**Fig. S2D-D3,**

S2E-E3). Together, these experiments show that compensatory ECs can give rise to large

segments of PAA-derived vasculature when the SHF-derived ECs are depleted.

Downregulation of VEGFR2 in the SHF leads to ectopic venous sprouting as well as

venous gene expression and increased proliferation of compensatory ECs.

To gain insights into the cellular origin of the compensatory endothelium, we dissected embryos

at earlier developmental time points. Whole mount staining, confocal imaging, and 3D

reconstructions of the entire pharyngeal region showed a general paucity of endothelia in the

pharyngeal arches of 20-somite *VEGFR2^{SHF-KO}* embryos compared with controls (**Fig. 4A-C,**

blue arrows). We also noticed that the cardinal and pharyngeal veins (CV and PV) in

VEGFR2$^{SHF-Het}$ and *VEGFR2^{SHF-KO}* embryos, unlike *VEGFR2^{SHF-WT}* controls, had prominent

sprouts extending toward and into the pharyngeal arches (**Fig. 4B-B1, 4C-C1,** red and yellow

arrows, yellow arrowheads). To better visualize vascular connections between the arterial and

venous vessels, we used Imaris to segment vessels with lumenal connections to the dorsal

aorta in pink and vessels with lumenal connections to CV and PV in cyan (**Fig. 4A1-C1**). These

analyses were complemented by serial optical sections (**Fig. 4A2-A3, B2-B3,** and **C2-C3**). In

controls, the endothelium of the pharyngeal arches had luminal continuity with the dorsal aorta

(**Fig. 4A1**), and venous sprouts present at this time did not have lumenal connections with the

pharyngeal vasculature (**Fig. 4A1**, and optical sections in **Fig. 4A2-A3**). In contrast, prominent

venous sprouts extended from the CV and plexiform PV into the pharyngeal arches of

VEGFR2$^{SHF-Het}$ and *VEGFR2^{SHF-KO}* embryos and were lumenally contiguous with pharyngeal

arch endothelium (**Fig. 4B-B3, 4C-C3**). At 27-29 somites, a large fraction of 4th pharyngeal arch

endothelium in *VEGFR2^{SHF-KO}* embryos expressed venous markers *Apj* (**Fig. 4D-E1, 4F**) and

COUPTF2 (**Fig. 4G-H1, 4I**).

Total EC numbers in pharyngeal arches of *VEGFR2^{SHF-KO}* embryos become comparable with

EC numbers in controls by E10.5 (**Fig. 2H**), implying robust proliferation of compensatory ECs

after their arrival. To test this hypothesis, we analyzed EdU incorporation into the 4th pharyngeal

arch endothelium of $VEGFR2^{SHF-WT}$ controls and $VEGFR2^{SHF-KO}$ mutants. The majority (94 $\pm$ 3

%) of ECs in the 4th pharyngeal arches of $VEGFR2^{SHF-KO}$ mutants are of <u>non</u>-SHF origin (**Fig. 2I,**

Table S3). EdU incorporation into the 4th pharyngeal arch ECs of $VEGFR2^{SHF-KO}$ mutants was

~two-fold higher than in $VEGFR2^{SHF-WT}$ controls, where 72 $\pm$ 9 % of 4th arch ECs are SHF-

derived (**Fig. S2F-H**). These data show that compensatory ECs proliferate more robustly than

4th pharyngeal arch ECs in $VEGFR2^{SHF-WT}$ controls, most of which are SHF-derived. This finding

is consistent with the observation that compensatory ECs give rise to large segments of PAA-

derived vasculature at E14.5 in $VEGFR2^{SHF-KO}$ mutants (**Fig. 3J-J1, Fig. S2D-D3, S2E-E3**).

We found that eventually, the venous marker COUPTF2 was downregulated in 4th pharyngeal

arch ECs that have formed a PAA lumen in $VEGFR2^{SHF-KO}$ embryos (**Fig. 4J-J2**) and at E14.5

compensatory endothelium in $VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos expressed arterial

marker Sox17, as in controls (**Fig. S2B3-E3**). These experiments suggested that the

compensatory endothelium in the pharyngeal arches arose via angiogenesis from the nearby

veins and that compensatory ECs proliferate, reorganize into a larger lumenized vessel,

downregulate venous markers, and become arterialized.

***Tbx1* regulates the abundance of SHF-derived ECs and the recruitment of the**

compensatory endothelium in a cell-type-specific manner.

Lethal defects arising from aberrant development of the left 4th PAA, such as IAA-B, are

observed in about 19% of patients with 22q11DS [19,30]. These patients have one functional copy

of the *Tbx1* gene. To investigate the role of *Tbx1* in PAA development and the contribution of

SHF-derived progenitors to the PAA endothelium, we crossed *Tbx1^{+/-}; Mef2C-AHF-Cre* males

with *Rosa^{tdTom/tdTom}* females. *Tbx1^{+/-}* (*Tbx1^{+/-}; Mef2C-AHF-Cre)* and control (*Tbx1^{+/+}; Mef2C-AHF-*

Cre) embryos were collected at E10.5, and the total EC number and the number of SHF-derived

ECs were quantified in the 4th pharyngeal arches. Consistent with prior reports [31], we found that

the 4th PAAs in *Tbx1^{+/-}* embryos were either hypoplastic or aplastic (**Fig. 5A-A5, Table S1**).

Quantification of EC populations in the 4th pharyngeal arches showed that unlike in $VEGFR2^{SHF-}$

^{Het} embryos, the total EC number in the 4th pharyngeal arches of *Tbx1^{+/-}* mice was reduced by

~33% compared with controls (**Fig. 5D,** compare the first two bars).

The deletion of a single *Tbx1* allele in the SHF alone (Tbx1$^{f/+}$; Mef2C-AHF-Cre embryos, here

referred to as Tbx1$^{SHF-Het}$) did not impact PAA formation, the total number of 4th arch ECs, or the

number of SHF-derived ECs in the 4th pharyngeal arch (**Fig. 5B-B5, 5D-E**). This is consistent

with earlier studies indicating that the expression of just a single *Tbx1* allele in the mesoderm is

sufficient for the development and remodeling of the 4th PAAs [32]. These data indicate that 1) the

heterozygosity of *Tbx1* in the SHF-derived ECs does not impair PAA formation *per se*, and 2)

the expression of *Tbx1* in tissues other than the SHF regulates SHF-derived EC number when

only one *Tbx1* allele is deleted in the SHF. The latter is consistent with previous studies

demonstrating the importance of *Tbx1* expression in the pharyngeal epithelia, the endoderm

and the surface ectoderm, in regulating the formation of the 4th PAAs [32].

Neither the total EC number nor the number of SHF-derived cells was affected in the 6th

pharyngeal arches of *Tbx1$^{+/-}$* embryos (**Fig. S3A-C**), consistent with prior studies demonstrating

that the 6th PAAs and the ductus arteriosus, the derivative of the left 6th PAA form in *Tbx1$^{+/-}$*

embryos [24-26]. Together, our data show that *Tbx1* regulates EC number in the 4th pharyngeal

arches and that this regulation is non-SHF-cell-autonomous.

Interestingly, the number of SHF-derived ECs in the 4th pharyngeal arch of *Tbx1$^{+/-}$* and

VEGFR2$^{SHF-Het}$ embryos were comparable, and both were diminished to a similar extent

compared with their littermate controls (**Fig. 5E, Fig. 2I**). But, unlike in *Tbx1$^{+/-}$* embryos, the

decrease in the SHF-derived ECs in *VEGFR2$^{SHF-Het}$* embryos was compensated by increased

numbers of non-SHF-derived ECs (**Fig. 5F,** 5th bar). In contrast, no such increase in non-SHF-

derived ECs was observed in *Tbx1$^{+/-}$* embryos (**Fig. 5F,** 2nd bar). And while only 1 of 11 (9%)

VEGFR2$^{SHF-Het}$ embryos developed a defective 4th PAA at E10.5 and 1 of 21 (4.8%) *VEGFR2^{SHF-}*

^{Het} embryos developed a 4th PAA-related defect (**Table S6**), 75-100% of *Tbx1$^{+/-}$* embryos have

aberrantly formed 4th PAAs at E10.5 – E11.5 [31,33,34], and ~46% of *Tbx1$^{+/-}$* embryos have

defective 4th PAA-derived vessels at ≥E13.5, the time when PAA remodeling is complete [31,33,34].

Milder PAA-related phenotypes in *VEGFR2$^{SHF-Het}$* embryos compared with the *Tbx1$^{+/-}$* correlate

with the presence of compensatory ECs in the *VEGFR2$^{SHF-Het}$* model, suggesting that the

compensatory endothelium can rescue PAA formation and remodeling. To determine the cell

type(s) in which *Tbx1* was required to elicit the compensatory endothelium, we generated

Tbx1$^{f/f}$; Mef2C-AHF-Cre embryos (*Tbx1$^{SHF-Null}$*). The numbers of SHF-derived cells in *Tbx1$^{+/-}$*

and Tbx1$^{SHF-Null}$ embryos were comparable, and both were significantly decreased (by ~40%)

compared to *Tbx1$^{+/+}$* controls (**Figs. 5E**); However, unlike in *Tbx1$^{+/-}$* embryos, the total number of

4th pharyngeal arch ECs in $Tbx1^{SHF-Null}$ embryos was complemented by the recruitment of the

compensatory endothelium (**Fig. 5D-F**).

To get insights into the cellular origin of the compensatory endothelium in $Tbx1^{SHF-Null}$ embryos,

we examined the 3D connectivity of vessels surrounding the pharyngeal arches (**Fig. 6**). Unlike

$VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos, $Tbx1^{+/-}$ embryos lacked compensatory ECs, and

while prominent sprouts can be seen in $VEGFR2^{SHF-KO}$ embryos (arrows in **Fig 6B1-B4**), sprouts

extending from veins into the pharyngeal arches were absent in $Tbx1^{+/-}$ embryos: compare

serial optical sections in **Fig. 6A-A5** with **Fig. 6C-C4**, noting smooth CV surface marked with red

asterisks. In contrast, conspicuous venous sprouts extending from the cardinal and pharyngeal

veins into the 4th pharyngeal arches were observed in $Tbx1^{SHF-Null}$ embryos that, like

$VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos, also contained compensatory ECs (**Fig. 6E-E4**,

arrows in **6E2-E3**). Our experiments collectively demonstrate that $Tbx1$ expression in both the

SHF and non-SHF lineages governs the abundance of SHF-derived ECs. Notably, $Tbx1$ also

influences the recruitment of compensatory endothelium, doing so in a non-SHF-cell-

autonomous manner. Our studies also suggest that the compensatory ECs arise via angiogenic

sprouting from veins and that wild-type levels of $Tbx1$ in tissues other than the SHF-derived

mesoderm regulate this compensatory response.

Angiogenic sprouting from veins gives rise to the compensatory endothelium.

The presence of ectopic sprouts extending from the CV and PV into the pharyngeal arches of

$VEGFR2^{SHF-Het}$ and $VEGFR2^{SHF-KO}$ embryos suggested that compensatory endothelium is

derived from veins. To test this hypothesis, we used lineage tracing to label venous endothelium

in $VEGFR2^{SHF-WT}$, $VEGFR2^{SHF-Het}$, and $VEGFR2^{SHF-KO}$ embryos. To check the specificity of

venous labeling, we first employed the $Apj-CreERT2$ transgenic strain [35] and tamoxifen injection

at E9.5 to induce Cre-mediated recombination in veins. Embryos were dissected at E10.5,

stained, and imaged to detect the entire vasculature in and around the pharyngeal region (**Fig.**

S3D-E). We observed extensive labeling of venous vasculature, including the PV, CV, and

venous intersomitic branches in wild-type embryos (**Fig. S3D**). In contrast, arterial structures,

including the dorsal aorta, arterial intersomitic branches, and PAAs, were not labeled except for

one or two cells (**Fig. S3E**). These data indicated high specificity for venous lineage labeling

using the *Apj-CreERT2* transgenic strain when tamoxifen was injected at E9.5. Thus, Apj-

directed gene expression is highly specific to veins at E9.5.

To label venous vasculature in embryos with the conditional, Mef2C-AHF-Cre-mediated

inactivation of *VEGFR2* in the SHF, we generated the *Apj$^{DreERT2/+}$* knock-in mice and crossed

them to obtain *VEGFR2^{SHF-WT}; Apj$^{DreERT2/+}$*, *VEGFR2$^{SHF-Het}$; Apj$^{DreERT2/+}$*, and *VEGFR2^{SHF-KO};

Apj$^{DreERT2/+}$* embryos carrying a fluorescent Dre-reporter. This approach allows conditional

labeling of venous cells independently of modifying VEGFR2 expression in the SHF using the

Mef2C-AHF-Cre transgene. Following tamoxifen injection at E9.5, we dissected embryos at

E10.5 and analyzed the contribution of Apj-lineage-derived cells to the vasculature in controls

and mutants (**Fig. 7**). We found that lineage labeling efficiency using a single injection of

tamoxifen in the *Apj$^{DreERT2/+}$* knock-in strain was ~15% across all genotypic classes (**Fig. S3F-G**).

The specificity of venous blood vessel labeling was high since we did not see lineage-labeled

cells in the dorsal aorta or its branches (**Fig. 7A-B**).

Consistent with the observation of ectopic venous sprouts and the presence of venous marker-

expressing cells in the pharyngeal arches of *VEGFR2$^{SHF-Het}$* and *VEGFR2^{SHF-KO}* mutants, we

observed increased numbers of Apj-lineage labeled cells in the pharyngeal arch endothelium in

VEGFR2$^{SHF-Het}$; Apj$^{DreERT2/+}$ and *VEGFR2^{SHF-KO}; Apj$^{DreERT2/+}$* embryos compared with controls

(compare **Fig. 7D-D1** and **7F-F1** with **7B-B1**). Quantification of all Apj-lineage labeling of ECs in

the 4th pharyngeal arches revealed a trend and a significant increase in *Apj*-Dre-labeled ECs in

VEGFR2$^{SHF-Het}$ and *VEGFR2^{SHF-KO}* embryos, respectively, compared with *VEGFR2^{SHF-WT}*

embryos (**Fig. 7G**). In *VEGFR2$^{SHF-Het}$* embryos, Apj-lineage cells were observed in the mature

lumen of the 4th PAA (**Fig. 7D-D1**, arrowheads). In *VEGFR2^{SHF-KO}* embryos, Apj-lineage cells

were seen both in the plexus endothelium (**Fig. 7F1**, white arrowheads) as well as in the wall of

the thin but lumenized portion of the forming 4th PAA (**Fig. 7F1**, yellow arrowhead). Sprouts

emanating from the cardinal vein also contained Apj-lineage labeled cells (arrowheads in **Fig.

7H-H1**). Together, these data show that cells of venous lineage give rise to the compensatory

endothelium in *VEGFR2$^{SHF-Het}$* and *VEGFR2^{SHF-KO}* embryos.

VEGF-A is upregulated in the pharyngeal arches of *VEGFR2^{SHF-KO}* embryos but not

***Tbx1$^{+/-}$* embryos.**

The experiments described above show that compensatory ECs in the 4th pharyngeal arches of

VEGFR2$^{SHF-Het}$ and *VEGFR2^{SHF-KO}* mutants arise from veins. We hypothesized that the

reduction/loss of SHF-derived ECs in the pharyngeal arches of these mutants could induce the

expression of proangiogenic factors, such as VEGF-A, eliciting angiogenic response in the

nearby veins. To test this idea, we performed single-molecule (sm) RNA-FISH to examine

VEGF-A mRNA levels at early E9.5 (23-24s) before compensatory ECs extensively colonized

pharyngeal arches. Quantification showed that *VEGF-A* mRNA expression was significantly

increased in the SHF and trended upwards in the endoderm of *VEGFR2^{SHF-KO}* embryos

compared to *VEGFR2^{SHF-WT}* (**Fig. S4A-A1, B-B1,** quantified in **S4E-F**). In contrast, *VEGF-A*

mRNA was not upregulated in *Tbx1$^{+/-}$* embryos (**Fig. S4C-C1, 4D-D1,** quantified in **S4G-H**).

These data suggest that *VEGF-A* contributes to eliciting the angiogenic response from the

nearby veins and that *Tbx1* potentially regulates its expression.

VEGFR2 expression in veins is required for the compensatory response.

VEGF-A regulates angiogenesis by activating VEGFR2 signaling [36]. We hypothesized that the

inactivation of VEGFR2 in veins would block angiogenic response and that the deletion of

VEGFR2 both in the SHF and the veins would block pharyngeal arch vascularization. To test

these hypotheses, we employed the Apj-CreERT2 transgenic line. Although tamoxifen injection

at E9.5 in this strain results in specific labeling of veins (**Fig. 7A**, **Fig. S3D**), injection at E8.5

labels both the vein and SHF (**Fig. S5**). This is consistent with the expression pattern of *Apj*

mRNA [37,38]. Indeed, when tamoxifen was injected at E8.5, both the cardinal vein and SHF

expressed *Rosa^{tdTomato}* Cre-reporter at E10.5 (**Fig. S5**).

To determine whether expression of VEGFR2 in veins was required for the presence of

compensatory ECs in the 4th pharyngeal arches, we crossed *VEGFR2$^{+/-}$; Apj-CreERT2*

transgenic males with *VEGFR2$^{flox/flox}$; Rosa$^{tdTom/tdTom}$* females to generate *VEGFR2$^{flox/-}$; Apj-*

CreERT2+; Rosa$^{tdTom/+}$ (*VEGFR2^{Apj-KO}*) embryos. *VEGFR2$^{+/-}$; Apj-CreERT2* males were also

crossed with *Rosa$^{tdTom/tdTom}$* females to generate *VEGFR2$^{+/+}$; Apj-CreERT2 +; Rosa$^{tdTom/+}$*

(*VEGFR2^{Apj-WT}*) controls. *VEGFR2^{Apj-KO}* embryos degenerated between E10.5 and E10.75.

Therefore, we dissected embryos earlier, in the morning of E10.5, at the 28-29 somite stage, to

analyze the vasculature in live embryos. The 28-29 somite stage is suitable for investigating

compensatory EC response because compensatory ECs are readily observed in $VEGFR2^{SHF\text{-}KO}$

mutants at this stage (**Fig. 4E-E1, H-H1**).

To check the ablation of VEGFR2 in the Apj lineage following the injection of tamoxifen at E8.5,

we assayed the expression of VEGFR2 in veins and the SHF in $VEGFR2^{Apj\text{-}WT}$ and $VEGFR2^{Apj\text{-}}$

^{KO} embryos (**Fig. S5**). In $VEGFR2^{Apj\text{-}WT}$ embryos, Cre-reporter+ cells in the anterior cardinal vein

and SHF expressed VEGFR2 as expected (**Fig. S5A-A3, S5C-C2**). In $VEGFR2^{Apj\text{-}KO}$ mutants,

VEGFR2 was downregulated in the anterior cardinal vein and SHF (**Fig. S5B-B3, S5D-D2,**

arrowheads), although some escapee Cre-reporter+ venous ECs expressing VEGFR2 were

also present (**Fig. S5B1-B3**, arrows).

Most of the 4th pharyngeal arch endothelium arose from the Apj-lineage in $VEGFR2^{Apj\text{-}WT}$

controls (**Fig. 8A-A3**, quantified in **8C**). Remarkably, it was mainly the escapee cells still

expressing VEGFR2 in the Apj lineage that were able to contribute to the pharyngeal arch

endothelium in $VEGFR2^{Apj\text{-}KO}$ mutants (**Fig. 8B-B3**, yellow arrows; quantified in **8C**). This

observation is consistent with earlier studies demonstrating that the absence of VEGFR2

precluded the contribution of progenitors to embryonic endothelium [39,40], and with studies in this

manuscript demonstrating that the expression of VEGFR2 in the SHF was stringently required

for the contribution of SHF-derived cells to the pharyngeal arch artery endothelium (**Fig. 2**).

Overall, there was ~ a two-fold decrease both in the total number of ECs (**Fig. 8D**) and in the

number of Apj-derived ECs (**Fig. 8E**) in $VEGFR2^{Apj\text{-}KO}$ mutants compared with $VEGFR2^{Apj\text{-}WT}$

controls. Although some compensatory ECs were present in $VEGFR2^{Apj\text{-}KO}$ mutants (**Fig. 8B1-**

B3, white arrow), they numbered in dozens rather than hundreds, as in $VEGFR2^{SHF\text{-}Het}$ embryos

(compare **Fig. 8F** and **Fig. 5F**, last bar). This compensatory response was not sufficient to

reconstitute the number of pharyngeal arch ECs in $VEGFR2^{Apj\text{-}KO}$ mutants to the levels seen in

$VEGFR2^{Apj\text{-}WT}$ controls. Thus, the deletion of VEGFR2 in veins effectively inhibited the

compensatory endothelial response, resulting in EC deficiency in the pharyngeal arches

followed by embryonic demise.

Discussion

Proper development and remodeling of the PAAs, particularly the left 4th PAA, is crucial for

establishing correct blood circulation after birth. For reasons that are not well understood, the 4th

PAA pair is particularly sensitive to genetic mutations and environmental insults. In this

manuscript, we describe the discovery of an embryonic resiliency mechanism facilitating the

recovery of the 4th PAAs and their derivatives when EC progenitors of the PAAs are depleted.

The constancy of development, i.e., the development of stereotypical organs and body plans

despite their incredible complexity, has captivated and confounded researchers. In 1942,

Waddington proposed the concept of buffering to explain this remarkable characteristic of

multicellular organisms. He hypothesized that there exists a buffering capacity based on an

optimum and a threshold stimuli, beyond which the optimal response can no longer be achieved

[1]. However, despite a few notable studies [41-43], our understanding of buffering processes and

their underlying mechanisms is far from complete.

By carefully quantifying pharyngeal arch EC numbers, we observed that the average number of

total ECs in the 4th pharyngeal arches was similar across multiple different studies utilizing

control mice and genotypes examined in our lab by independent investigators over the past

decade (~476 $\pm$ 81 ECs per 4th arch) [8]. We also noticed that a decrease in this number by 25-

33% in three different mutant models was associated with defective formation and remodeling of

the 4th PAAs. The models we examined were *Tbx1[+/-]* mice (this manuscript) and mice in which

either fibronectin (Fn1) or integrin α5 were downregulated in the Isl1 lineage [8,44]. It is unlikely

that the 4th PAA defects in these models arose due to the roles of these proteins in PAA

formation *per se*. This is because the expression of integrin α5 in PAA in progenitors or in the

endothelium is not required for PAA formation [45,46]. Similarly, the deletion of one *Tbx1* allele in

PAA progenitors or the endothelium does not interfere with PAA formation and remodeling [32].

Since neither the expression of integrin α5 nor the presence of two copies of the *Tbx1* gene is

required for the endothelium to form the 4th PAAs, our data suggest that the presence of the

proper number of ECs in the pharyngeal arches is important. Thus, we propose that there exists

an optimum total number of ECs in the 4th pharyngeal arches, and a 25-33% decrease in this

number leads to unpredictable developmental trajectories of PAAs and their derivatives,

resulting in lethal CHD such as IAA-B.

Prior studies showed that the expression of VEGFR2 is required for progenitors to adopt EC

fate [39,40,47,48]. Therefore, we deleted VEGFR2 in the SHF as a means to ablate SHF-derived

ECs. This strategy worked well; we achieved an efficient deletion of VEGFR2 in the SHF in

VEGFR2[SHF-KO] embryos, and the contribution of SHF-derived cells to pharyngeal arch ECs was

severely impaired. These experiments allowed us to discover the existence of a compensatory

mechanism that can rescue PAA formation and remodeling.

Despite similar total numbers of ECs in the pharyngeal arches in $VEGFR2^{SHF-Het}$ and

$VEGFR2^{SHF-KO}$ embryos, $VEGFR2^{SHF-KO}$ embryos displayed more severe defects in PAA

formation and remodeling. A notable difference between these genotypic classes is a near-

complete absence of SHF-derived ECs in the 4th pharyngeal arches of $VEGFR2^{SHF-KO}$ embryos.

These findings highlight the essential role of the SHF as the main source of 4th PAA ECs and

show that in addition to the compensatory endothelium, a critical number of SHF-derived ECs is

necessary for the proper formation and remodeling of the fourth PAAs. This could be due to a

delayed accrual of pharyngeal arch ECs in $VEGFR2^{SHF-KO}$ embryos compared with $VEGFR2^{SHF-}$

^{WT} and $VEGFR2^{SHF-Het}$ and delayed reprogramming of venous cells into arterial. Together, our

data suggest the importance of maintaining a specific threshold of SHF-derived ECs for the

constancy of PAA development and remodeling.

Our studies suggest that crosstalk between SHF-derived ECs and the surrounding pharyngeal

tissues regulates the addition of compensating endothelium to the PAAs. A crosstalk between

the endothelium and the developing tissues has been implicated in organ development before

[49-51]. Thus, we hypothesize that the presence of an optimal number of SHF-derived ECs

suppresses ectopic angiogenesis from veins, resulting in the formation of SHF-derived PAAs.

This is because even in control embryos, $VEGF-A$ mRNA is highly expressed in the endoderm

and the SHF, yet no ectopic venous sprouts extending into the pharyngeal arches are observed

when the proper number of SHF-derived ECs is present. However, when the number of SHF-

derived ECs is lowered, the pharyngeal tissue microenvironment is modified in some way,

resulting in increased synthesis of $VEGF-A$ and likely additional other factors, and maybe in the

downregulation of angiogenesis inhibitors, altogether inducing an ectopic angiogenic response

from the nearby veins.

We don't know whether SHF-derived and vein-derived ECs are equivalent in their function

within adult PAA-derived vessels. However, there are multiple examples in the literature where

veins give rise to arteries in mice and fish during the physiological process of development. In

mice, coronary arteries on the dorsal side of the heart are derived from reprogrammed venous

cells arising via angiogenesis from the sinus venosus [52,53]. Additional physiological examples of

veins giving rise to arteries include retinal angiogenesis and early mouse development [54-57]. In

zebrafish, live imaging and lineage tracing showed that angiogenesis from veins gives rise to

basilar, central, and nasal ciliary arteries in the brain [58,59]. Angiogenic sprouting from veins also

contributes to the growing arteries in pectoral and caudal fins during zebrafish development and

regeneration [55,60-62]. Thus, the ablation of SHF-derived endothelium in the pharyngeal arches

may have evoked an inherent capacity of veins to sprout and provide the endothelium to rescue

arch artery development.

We hypothesize that there may be an evolutionary advantage for the formation of the PAAs

from SHF-derived progenitors rather than veins. Possibly, this could allow for an efficient

coordination of cardiac and vascular development and proper routing of the blood. In addition to

the PAA endothelium, SHF gives rise to the endocardium and myocardium of the right ventricle

and the cardiac outflow tract [47,63-67]. The PAA-derived vessels are contiguous with the cardiac

outflow tract-derived vasculature, the ascending aorta, and the pulmonary trunk. Thus, the

proper PAA connectivity with the cardiac structures may necessitate common regulatory

mechanisms (e.g., *Tbx1*, Mef2C-AHF enhancer) and progenitor sources (e.g., the SHF). Finally,

PAAs have to form and connect the heart with the dorsal aorta in a timely manner to ensure that

PAA-derived vessels, the aortic arch, and the ductus are correctly connected with the heart.

SHF-derived EC progenitors in the lateral plate mesoderm are incorporated into the pharyngeal

arches, providing PAA EC source as early as E9.0, while the recruitment of ECs via

angiogenesis from veins requires the time for these cells to migrate into the pharyngeal arches,

reprogram to the arterial cell fate, and to form lumenized arterial vessels that connect to the

arterial outflow vasculature and the dorsal aortae. This connectivity is essential for routing blood

into the systemic circulation and a delay in PAA formation may undermine the timely developing

and remodeling of this complex vascular circuit.

Most patients with 4th PAA-derived CHD, including IAA-B, are diagnosed with 22q11DS [20,22].

Studies using *Tbx1*[+/-] mice as a model system demonstrated that defects in the formation of the

4th PAAs are attributed to haploinsufficiency in the *Tbx1* gene [24-26,32,68]; however, mechanisms

by which *Tbx1* regulates PAA formation have remained unclear. Experiments in this manuscript

show that *Tbx1* is a critical regulator of SHF-derived EC numbers and the compensatory

response from veins.

Consistent with prior reports [31], we found that the 4th PAAs in *Tbx1*[+/-] embryos were either

hypoplastic or aplastic. Despite the high penetrance of 4th PAA formation defects in *Tbx1*[+/-]

embryos (75-100%), about half of *Tbx1*[+/-] embryos are viable and fertile [31,33,34]. The partial

recovery of 4th PAA defects is dependent on whether the 4th PAAs are aplastic (meaning only

plexus ECs are present at E10.5/E11.5 in the 4th arches) or hypoplastic (a thin PAA connecting

with the ventral and the dorsal aortae is present) in *Tbx1*$^{+/-}$ embryos [31]. The proportion of *Tbx1*$^{+/-}$

embryos surviving to adulthood without 4th PAA-related defects is equal to the percentage of

embryos with hypoplastic 4th PAAs [31]. In other words, thin 4th PAAs in *Tbx1*$^{+/-}$ embryos can

recover and give rise to their derivative adult vessels. But if the 4th arch endothelium remained

in the form of plexus by E11.5 (e.g., a collection of tiny capillaries without the presence of a

central lumenized vessel in the 4th arch), this resulted in 4th PAA-related defects such as IAA-B

or RERSA.

Although the reason for the variability of whether plexus ECs stay as a collection of capillaries

rather than morphing into a central albeit thin arch artery in *Tbx1*$^{+/-}$ embryos is unknown, it could

be related to a potential variability in the numbers of SHF-derived ECs or variability in the

compensatory response among different *Tbx1*$^{+/-}$ embryos. The PAA recovery mechanisms in

Tbx1$^{+/-}$ embryos and the regulation of compensatory endothelium by *Tbx1* need to be more

thoroughly investigated to answer these questions.

Taken together, our studies revealed a novel mechanism of embryonic resiliency and vascular

plasticity and highlighted the importance of vein-derived compensatory endothelium for PAA

development and neonatal survival.

MATERIALS AND METHODS

All Materials and Methods are detailed in the Supplemental Methods file.

Author contributions: AJR and SA conceived and designed the experiments; AJR, CAV, and

HZ performed the experiments; KE performed statistical analyses of proportions, KD processed

embryos for micro-CT imaging and helped with the analyses and interpretation of congenital

heart defects. AJR and SA analyzed the data and wrote the paper.

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Figure Legends

Main Figures

Figure 1. Ablation of VEGFR2 in the second heart field (SHF)-derived cells using *Mef2c-
***AHF-Cre* transgenic strain.** Embryos were stained using whole mount immunofluorescence to
detect *Mef2c-AHF-Cre* lineage cells (cyan), VEGFR2 (magenta), and ERG (orange). Optical
0.45 µm-thick confocal sections are shown. **A-E,** E10.0 *VEGFR2^{SHF-WT}* embryos express
VEGFR2 in the anterior, **B-C** and posterior dorsal pericardial wall **D-E**, outlined. Magnifications
in **B-E** are the same. **F-I,** 4th pharyngeal arch ECs in E10.5 *VEGFR2^{SHF-WT}* embryos are derived
from the SHF. The box in **F** is placed in the 4th arch and is expanded in **(G-I)**. Arrowheads point
to VEGFR2+ (magenta), ERG+ (orange), and a Cre-reporter+ (cyan) ECs in the 4th pharyngeal
arch. **J-N,** VEGFR2 is downregulated in E10 *VEGFR2^{SHF-KO}* embryos in the anterior, **K-L,** and
posterior dorsal pericardial wall, **M-N**, outlined. Magnifications in **K-N** are the same. **O-R,** Most
VEGFR2^{SHF-KO} embryos lack a central PAA in the 4th arch and 4th pharyngeal arch ECs in
E10.5 *VEGFR2^{SHF-KO}* embryos are derived from a non-SHF source.
The box in **O** is placed in the 4th pharyngeal arch and is expanded in **P-R**. Arrowheads point to
Cre-reporter negative, ERG+ ECs. Yellow arrows point to Cre-reporter+ VEGFR2-negative
SHF-derived cells; White arrow points to an escapee Cre-reporter+VEGFR2+ EC. Endoderm

(endo) is outlined by dashed lines in **G-I** and **P-R** to demonstrate the equivalent anatomical
positions of the 4th arch of *VEGFR2*^{SHF-WT} and *VEGFR2*^{SHF-KO} embryos.
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Figure 2. Expression of VEGFR2 is required for SHF-derived cells to give rise to
pharyngeal arch artery ECs. Whole mount immunofluorescence and confocal imaging of
E10.5 embryos to detect VEGFR2 (magenta), Cre-reporter (cyan) and nuclei (blue). **A-A2, C-**
C2, E-E2, Imaris was used to segment vasculature and generate 3D maximum intensity
projections. Pharyngeal vasculature and the dorsal aorta were segmented, and only Cre-
reporter+ cells within the segmented vessels are shown. **A-A2,** *VEGFR2*^{SHF-WT}, **C-C2,**
VEGFR2^{SHF-Het}, and **E-E2,** *VEGFR2*^{SHF-KO} embryos. Yellow brackets highlight aberrant PAAs in
C, E. Dashed lines mark the levels of coronal optical sections through the pharyngeal arches
shown in **B, D,** and **F**. Dotted circles mark aberrant PAAs in *VEGFR2*^{SHF-Het} and *VEGFR2*^{SHF-KO}
embryos. Arrowheads in **D-D2** and **F-F2** point to non-SHF-derived cells. Arrows in **F-F2** point to
VEGFR2-negative SHF-derived cells. **G,** Quantification of SHF-derived cells in each pharyngeal
arch. *VEGFR2*^{SHF-WT} n = 10 arches, *VEGFR2*^{SHF-Het} n = 4 arches, *VEGFR2*^{SHF-KO} n = 6 arches.
H, Quantification of total EC numbers in each pharyngeal arch. *VEGFR2*^{SHF-WT} n = 10 arches,
VEGFR2^{SHF-Het} n = 12 arches, *VEGFR2*^{SHF-KO} n = 12 arches. Each dot marks one pharyngeal
arch. Bars show standard deviation. p values were calculated using 2-tailed, unpaired, non-
parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only p<0.05 are
displayed. **I,** The proportion of Cre-reporter+ ECs and Cre-reporter-negative ECs in each
pharyngeal arch. Means ± SD are shown. *VEGFR2*^{SHF-WT} n = 8 arches, *VEGFR2*^{SHF-Het} n = 11
arches, *VEGFR2*^{SHF-KO} n = 11 arches. Statistical differences in proportions of SHF- and non-
SHF-derived cells were determined, as described in Methods.
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Figure 3. SHF-derived endothelial cells ensure consistent arch artery morphogenesis.
A, Percent of *VEGFR2;Isl1*^{Cre} (*VEGFR2*^{Isl1-WT}, *VEGFR2*^{Isl1-Het}, and *VEGFR2*^{Isl1-KO}) and
VEGFR2;Mef2c-AHF-Cre (*VEGFR2*^{SHF-WT}, *VEGFR2*^{SHF-Het}, and *VEGFR2*^{SHF-KO}) embryos without
AAA defects (white), with non-lethal AAA defects (gray), or lethal AAA defects (black). n = the
number of embryos with each phenotype. **B-D2,** Transverse serial sections of E18.5 embryos
stained with hematoxylin and eosin. **B-B2,** *VEGFR2*^{SHF-WT} embryos. **C-C2,** IAA-B and **(D-D2)**
RERSA in *VEGFR2*^{Isl1-KO} and *VEGFR2*^{SHF-KO} embryos, respectively. **E-G1,** Micro-computed
tomography of E18.5 *VEGFR2*^{Isl1-WT}, **E** and *VEGFR2*^{Isl1-KO}, **F-G1** embryos. Arrows point to
examples of IAA-B, **F** and aberrant RScA, **G-G1** in yellow.
H-J1, 900-micron-thick vibratome sections were stained to detect ECs (purple) and SHF-derived
cells. 3D reconstructions and maximum intensity projections are shown. Angled views from the
dorsal sides are shown. **H-H1,** *VEGFR2*^{SHF-WT} embryos. Most ECs of the carotids, the aortic
arch, ductus, pulmonary trunk and ascending aorta are SHF-derived (cyan). **I-I1,** *VEGFR2*^{SHF-Het}
embryos. Arrowheads point to patches of non-SHF-derived ECs. Note depletion of SHF-derived
cells in the aortic arch compared with *VEGFR2*^{SHF-WT} embryos. **J-J1,** Large patches of non-SHF-
derived cells (arrowheads) are seen in *VEGFR2*^{SHF-KO} embryos. The entire DA, marked by the

double-headed dashed arrow is devoid of SHF-derived cells. The intensity of cyan channel in **J1**
was increased to show background fluorescence making it easier to visualize the DA.
Abbreviations: AA - aortic arch, Ao - Ascending aorta, AVC - anterior vena cava, BrA –
brachiocephalic artery; dAo - descending aorta, DA – ductus arteriosus; IAA-B – interrupted
aortic arch type B; LCA and RCA – left and right carotid artery; LScA and RScA – left and right
subclavian artery; PT – pulmonary trunk; Pulm Art - pulmonary arteries; RERSA –
retroesophageal right subclavian artery; TR – trachea.
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Figure 4. Downregulation of VEGFR2 in the SHF results in ectopic sprouts from veins
and venous gene expression in the pharyngeal region. Whole mount immunofluorescence
for VEGFR2 at E9.5. Stars in all panels mark the dorsal aorta, CV-cardinal vein. **A-C,** 3D
reconstruction of the entire VEGFR2+ vasculature on the right side. 2nd and 3rd pharyngeal
arches are marked. In all panels, blue arrows point to the vascular plexus in the future
pharyngeal arches 4 and 6. Arrowheads point to the pharyngeal vein (PV) plexus. Images in **A-**
C were modified using Imaris in **A1-C1** to mark vessels having lumenal continuity with the
cardinal vein (CV) in cyan, and vessels with lumenal continuity with the dorsal aorta (marked by
a star) in magenta. **A2-A3, B2-B3, C2-C3,** 10 μm serial optical sections to show venous
sprouts. Arrows of the same color follow the same vessels in each row. Note sprouts from the
CV and PV (arrowheads) in *VEGFR2$^{SHF-Het}$* and *VEGFR2^{SHF-KO}* embryos. Scale bars in **A-C3** are
100 μm. **D-J2**, Confocal, 0.45 μm optical slices from E10.0 embryos stained by
immunofluorescence (IF) to detect VEGFR2 protein, by *in situ* hybridization to detect *Apj mRNA*
in **D-E1**, or IF for VEGFR2 and COUPTF2 proteins in **G-H1, J-J2**. Boxes around the 4th arches
are expanded to the right. Arrowheads point to *Apj*+ 4th arch ECs in *VEGFR2^{SHF-KO}* mutant in
E1 and to COUPTF2+ 4th arch ECs in **H1**. **F,** Total number of *Apj*+ ECs in the 4th arches. **I,**
Total number of COUPTF2+ ECs in the 4th arches. Each dot marks one arch, p values were
calculated using 2-tailed, unpaired, non-parametric Mann-Whitney tests. **J-J2**. COUPTF2 is
downregulated in the 4th pharyngeal arch ECs at E10.5 in *VEGFR2^{SHF-KO}* embryos. Nascent 4th
PAA is outlined in **J1-J2**, arrows point to ECs. Endoderm (endo) is marked by dotted lines.
Scale bars in **D1, E1, G1, H1, J1, J2** are 25 m.
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Figure 5. *Tbx1* regulates the number of SHF-derived ECs in 4th pharyngeal arches and
EC compensation. Whole mount immunofluorescence staining detecting VEGFR2 (magenta)
and Cre-reporter (cyan) in E10.5 embryos. Imaris was used to segment the dorsal aorta and
pharyngeal arch ECs and 3D reconstructions: **A-A2**, *Tbx1$^{+/-}$* **B-B2**, *Tbx1$^{SHF-Het}$* and **C-C2**,
Tbx1$^{SHF-Null}$ embryos; The only Cre-reporter+ cells shown are those that lie within the segmented
endothelium. Pharyngeal arches are numbered. Yellow brackets mark endothelium in the 4th
and 6th arches in **C-C2**. Vertical dashed lines mark the planes of coronal optical sections in **A3-**
A5, B3-B5, C3-B5, where all cells are shown. Dashed circles in **A3-A5** and **C3-C5** mark
pharyngeal arches. Yellow arrows in **C3-C5** point to VEGFR2+ lineage-negative compensatory
ECs. Quantification of total EC numbers, **D**, SHF-derived ECs, **E,** and non-SHF-derived ECs, **F.**

Each dot marks one pharyngeal arch, error bars show standard deviations; p values were calculated using 2-tailed, unpaired, non-parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only $p<0.05$ are displayed.

Figure 6. Ectopic sprouts from veins extend into pharyngeal arches of *VEGFR2^{SHF-KO}* and *Tbx1$^{SHF-Null}$* but not *Tbx1$^{+/-}$* embryos. A-C, E10.0 and **D-E,** E10.5 whole embryos stained to detect VEGFR2 (white) and nuclei (blue); 20μm-thick serial sagittal optical sections are shown in the lateral-to-medial order. Yellow brackets in **A-E** mark pharyngeal endothelial plexus. Arches are numbered. Red asterisks mark smooth surfaces of cardinal veins (CV). Arrows of the same color follow the same sprout in different serial sections. dAo - dorsal aorta, PV - pharyngeal vein.

Figure 7. Angiogenic sprouting from veins gives rise to the compensatory endothelium. Dre-rox recombination was used to fate map cells of *Apj*-lineage in *VEGFR2^{SHF-WT}*, *VEGFR2$^{SHF-Het}$*, and *VEGFR2^{SHF-KO}* embryos. Tamoxifen was injected at E9.5, and embryos were dissected at E10.5. **A-F,** Whole mount immunofluorescence staining detecting Dre-reporter (cyan) and VEGFR2 (magenta). 50μm-thick sagittal optical sections show *Apj^{DreERT2}* lineage labeling in the cardinal vein (CV) and intersomitic veins (yellow arrows) in **A, C,** and **E** but not the in the dorsal aorta (dAo) or intersomitic arteries (white arrows) in **B, D,** and **F** of *VEGFR2^{SHF-WT}*, *VEGFR2$^{SHF-Het}$*, and *VEGFR2^{SHF-KO}* embryos. Boxes in **B, D** and **F** are expanded to the right to show *Apj^{DreERT2}* lineage-labeled ECs in thin, 0.45 μm optical sections. Note Apj-lineage cells lining the lumen of the mature 4th PAA in *VEGFR2$^{SHF-Het}$* embryo, arrowheads in **D1**, and the lumen of the forming 4th PAA in *VEGFR2^{SHF-KO}* embryo, yellow arrowhead in **F1.** White arrowheads point to Apj-lineage cells in the 4th pharyngeal arch plexus, **F1**. **G.** Total number of Apj-lineage cells per 4th arch. Each dot marks one arch. p values were calculated using 2-tailed, unpaired, non-parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only $p<0.05$ are displayed. **H.** 50μm-thick sagittal optical section showing CV sprout extending into the pharyngeal arch region. Boxed region in **H** is expanded in **H1** as a 2 μm thick optical section. Arrowheads point to Apj-lineage cells (cyan). Endoderm (endo) layers are marked by dotted lines.

Figure 8. The deletion of VEGFR2 in the veins significantly attenuates compensatory response. VEGFR2 was ablated in the SHF and veins in VEGFR2$^{+/+}$; Apj-CreERT2^{+}; Rosa$^{tdTom/+}$ (VEGFR2^{Apj-WT}) and VEGFR2$^{flox/-}$; Apj-CreERT2^{+}; Rosa$^{tdTom/+}$ (VEGFR2^{Apj-KO}) embryos by injecting tamoxifen at E8.5. For the demonstration of efficient deletion of VEGFR2 the SHF and veins, see **Fig. S5**. Whole embryos were stained by immunofluorescence and imaged by confocal microscopy. **A-A3,** 0.45 μm sagittal optical sections from VEGFR2^{Apj-WT} and **B-B3,** VEGFR2^{Apj-KO} embryos. Boxed areas in **A** and **B** are expanded in **A1-A3** and **B1-B3**. Yellow arrows point to VEGFR2+ Apj-lineage cells (escapees); arrowheads point to the rare

VEGFR2-negative Apj-lineage cells, and white arrow points to a non-Apj-lineage VEGFR2+
cell). Endoderm (endo) is bordering the 4th arch is outlined. **C-F**, Quantification of ECs in the
4th pharyngeal arches. **C,** The majority of 4th pharyngeal arch ECs are escapees expressing
VEGFR2 in VEGFR2^{Apj-KO} mutants. **D,** Significant decrease in the total number of ECs in
VEGFR2^{Apj-KO} embryos. **E,** Significant decrease in the Apj-lineage ECs in the 4th arch of
VEGFR2^{Apj-KO} mutants. **F,** A meager compensatory response in the 4th arches of VEGFR2^{Apj-KO}
mutants does not bring the total number of ECs to control levels. Each dot marks one
pharyngeal arch, all data points are shown, as well as means and standard deviations; p values
were calculated using 2-tailed, unpaired, non-parametric Mann-Whitney tests.
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1015

Figure 1

Figure 1. Ablation of VEGFR2 in the second heart field (SHF)-derived cells using *Mef2c-AHF-Cre* transgenic strain. Embryos were stained using whole mount immunofluorescence to detect *Mef2c-AHF-Cre* lineage cells (cyan), VEGFR2 (magenta), and ERG (orange). Optical 0.45 μm-thick confocal sections are shown. **A-E,** E10.0 *VEGFR2^{SHF-WT}* embryos express VEGFR2 in the anterior, **B-C** and posterior dorsal pericardial wall **D-E**, outlined. Magnifications in **B-E** are the same. **F-I,** 4th pharyngeal arch ECs in E10.5 *VEGFR2^{SHF-WT}* embryos are derived from the SHF. The box in **F** is placed in the 4th arch and is expanded in **(G-I)**. Arrowheads point to VEGFR2+ (magenta), ERG+ (orange), and a Cre-reporter+ (cyan) ECs in the 4th pharyngeal arch. **J-N,** VEGFR2 is downregulated in E10 *VEGFR2^{SHF-KO}* embryos in the anterior, **K-L,** and posterior dorsal pericardial wall, **M-N**, outlined. Magnifications in **K-N** are the same. **O-R,** Most *VEGFR2^{SHF-KO}* embryos lack a central PAA in the 4th arch and 4th pharyngeal arch ECs in E10.5 *VEGFR2^{SHF-KO}* embryos are derived from a non-SHF source.

The box in **O** is placed in the 4th pharyngeal arch and is expanded in **P-R**. Arrowheads point to Cre-reporter negative, ERG+ ECs. Yellow arrows point to Cre-reporter+ VEGFR2-negative SHF-derived cells; White arrow points to an escapee Cre-reporter+VEGFR2+ EC. Endoderm (endo) is outlined by dashed lines in **G-I** and **P-R** to demonstrate the equivalent anatomical positions of the 4th arch of *VEGFR2^{SHF-WT}* and *VEGFR2^{SHF-KO}* embryos.

Figure 2

VEGFR2 Cre-Reporter ECs

VEGFR2 Cre-Reporter cells

$VEGFR2^{SHF-WT}$

$VEGFR2^{SHF-Het}$

$VEGFR2^{SHF-KO}$

G Loss of VEGFR2 does not affect the total number of Cre-reporter+ cells in the pharyngeal arches

H Loss of VEGFR2 in the SHF does not affect the total number of ECs in the pharyngeal arches

I Loss of VEGFR2 in the SHF results in EC compensation from an alternative source

SHF-derived ECs
non-SHF-derived ECs

Figure 2. Expression of VEGFR2 is required for SHF-derived cells to give rise to pharyngeal arch artery ECs. Whole mount immunofluorescence and confocal imaging of E10.5 embryos to detect VEGFR2 (magenta), Cre-reporter (cyan) and nuclei (blue). **A-A2, C-C2, E-E2,** Imaris was used to segment vasculature and generate 3D maximum intensity projections. Pharyngeal vasculature and the dorsal aorta were segmented, and only Cre-reporter+ cells within the segmented vessels are shown. **A-A2,** *VEGFR2^SHF-WT^*, **C-C2,** *VEGFR2^SHF-Het^*, and **E-E2,** *VEGFR2^SHF-KO^* embryos. Yellow brackets highlight aberrant PAAs in **C, E**. Dashed lines mark the levels of coronal optical sections through the pharyngeal arches shown in **B, D,** and **F**. Dotted circles mark aberrant PAAs in *VEGFR2^SHF-Het^* and *VEGFR2^SHF-KO^* embryos. Arrowheads in **D-D2** and **F-F2** point to non-SHF-derived cells. Arrows in **F-F2** point to VEGFR2-negative SHF-derived cells. **G,** Quantification of SHF-derived cells in each pharyngeal arch. *VEGFR2^SHF-WT^* n = 10 arches, *VEGFR2^SHF-Het^* n = 4 arches, *VEGFR2^SHF-KO^* n = 6 arches. **H,** Quantification of total EC numbers in each pharyngeal arch. *VEGFR2^SHF-WT^* n = 10 arches, *VEGFR2^SHF-Het^* n = 12 arches, *VEGFR2^SHF-KO^* n = 12 arches. Each dot marks one pharyngeal arch. Bars show standard deviation. p values were calculated using 2-tailed, unpaired, non-parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only p<0.05 are displayed. **I,** The proportion of Cre-reporter+ ECs and Cre-reporter-negative ECs in each pharyngeal arch. Means ± SD are shown. *VEGFR2^SHF-WT^* n = 8 arches, *VEGFR2^SHF-Het^* n = 11 arches, *VEGFR2^SHF-KO^* n = 11 arches. Statistical differences in proportions of SHF- and non-SHF-derived cells were determined, as described in Methods.

Figure 3

A Percent of embryos with AAA defects

VEGFR2;Isl1[Cre]

VEGFR2;Mef2c-AHF-Cre

No AAA Defects
Non-lethal AAA Defects
Lethal AAA Defects

Figure 3. SHF-derived endothelial cells ensure consistent arch artery morphogenesis.
A, Percent of *VEGFR2;Isl1^{Cre}* (*VEGFR2^{Isl1-WT}, VEGFR2^{Isl1-Het},* and *VEGFR2^{Isl1-KO}*) and *VEGFR2;Mef2c-AHF-Cre* (*VEGFR2^{SHF-WT}, VEGFR2^{SHF-Het},* and *VEGFR2^{SHF-KO}*) embryos without AAA defects (white), with non-lethal AAA defects (gray), or lethal AAA defects (black). n = the number of embryos with each phenotype. **B-D2,** Transverse serial sections of E18.5 embryos stained with hematoxylin and eosin. **B-B2,** *VEGFR2^{SHF-WT}* embryos. **C-C2,** IAA-B and **(D-D2)** RERSA in *VEGFR2^{Isl1-KO}* and *VEGFR2^{SHF-KO}* embryos, respectively. **E-G1,** Micro-computed tomography of E18.5 *VEGFR2^{Isl1-WT},* **E** and *VEGFR2^{Isl1-KO},* **F-G1** embryos. Arrows point to examples of IAA-B, **F** and aberrant RScA, **G-G1** in yellow.
H-J1, 900-micron-thick vibratome sections were stained to detect ECs (purple) and SHF-derived cells. 3D reconstructions and maximum intensity projections are shown. Angled views from the dorsal sides are shown. **H-H1,** *VEGFR2^{SHF-WT}* embryos. Most ECs of the carotids, the aortic arch, ductus, pulmonary trunk and ascending aorta are SHF-derived (cyan). **I-I1,** *VEGFR2^{SHF-Het}* embryos. Arrowheads point to patches of non-SHF-derived ECs. Note depletion of SHF-derived cells in the aortic arch compared with *VEGFR2^{SHF-WT}* embryos. **J-J1,** Large patches of non-SHF-derived cells (arrowheads) are seen in *VEGFR2^{SHF-KO}* embryos. The entire DA, marked by the double-headed dashed arrow is devoid of SHF-derived cells. The intensity of cyan channel in **J1** was increased to show background fluorescence making it easier to visualize the DA.
Abbreviations: AA - aortic arch, Ao - Ascending aorta, AVC - anterior vena cava, BrA – brachiocephalic artery; dAo - descending aorta, DA – ductus arteriosus; IAA-B – interrupted aortic arch type B; LCA and RCA – left and right carotid artery; LScA and RScA – left and right subclavian artery; PT – pulmonary trunk; Pulm Art - pulmonary arteries; RERSA – retroesophageal right subclavian artery; TR – trachea.

Figure 4

Lateral → Medial

A $VEGFR2^{SHF-WT}$ — all VEGFR2 · arterial VEGFR2 · venous VEGFR2 · DAPI — 20s — 100μm — 2nd, 3rd, CV

B $VEGFR2^{SHF-Het}$ — 22s — 100μm

C $VEGFR2^{SHF-KO}$ — 20s — 100μm

D–E $VEGFR2^{SHF-WT}$ / $VEGFR2^{SHF-KO}$ — *Apj* VEGFR2 DAPI — 3rd, dAo, 4th, Endo — 31s — 100μm

Loss of VEGFR2 in the SHF leads to increased venous ECs in the 4th PA

F Number of *Apj+* ECs in 4th PA — p = 0.0140 — SHF-WT, SHF-KO

G–H COUPTF2 VEGFR2 DAPI — 3rd, dAo, 4th, Endo — 29s — 100μm

Downregulation of COUPTF2 in $VEGFR2^{SHF-KO}$ at a later E10.5 stage

J — 4th, dAo, Endo — 33s — 100μm

I Number of COUPTF2+ ECs in 4th PA — p = 0.0086 — SHF-WT, SHF-KO

Figure 4. Downregulation of VEGFR2 in the SHF results in ectopic sprouts from veins and venous gene expression in the pharyngeal region. Whole mount immunofluorescence for VEGFR2 at E9.5. Stars in all panels mark the dorsal aorta, CV-cardinal vein. **A-C,** 3D reconstruction of the entire VEGFR2+ vasculature on the right side. 2nd and 3rd pharyngeal arches are marked. In all panels, blue arrows point to the vascular plexus in the future pharyngeal arches 4 and 6. Arrowheads point to the pharyngeal vein (PV) plexus. Images in **A-C** were modified using Imaris in **A1-C1** to mark vessels having lumenal continuity with the cardinal vein (CV) in cyan, and vessels with lumenal continuity with the dorsal aorta (marked by a star) in magenta. **A2-A3, B2-B3, C2-C3,** 10 μm serial optical sections to show venous sprouts. Arrows of the same color follow the same vessels in each row. Note sprouts from the CV and PV (arrowheads) in *VEGFR2^{SHF-Het}* and *VEGFR2^{SHF-KO}* embryos. Scale bars in **A-C3** are 100 μm. **D-J2**, Confocal, 0.45 μm optical slices from E10.0 embryos stained by immunofluorescence (IF) to detect VEGFR2 protein, by *in situ* hybridization to detect *Apj mRNA* in **D-E1**, or IF for VEGFR2 and COUPTF2 proteins in **G-H1, J-J2**. Boxes around the 4th arches are expanded to the right. Arrowheads point to *Apj*+ 4th arch ECs in *VEGFR2^{SHF-KO}* mutant in **E1** and to COUPTF2+ 4th arch ECs in **H1**. **F,** Total number of *Apj*+ ECs in the 4th arches. **I,** Total number of COUPTF2+ ECs in the 4th arches. Each dot marks one arch, p values were calculated using 2-tailed, unpaired, non-parametric Mann-Whitney tests. **J-J2**. COUPTF2 is downregulated in the 4th pharyngeal arch ECs at E10.5 in *VEGFR2^{SHF-KO}* embryos. Nascent 4th PAA is outlined in **J1-J2**, arrows point to ECs. Endoderm (endo) is marked by dotted lines. Scale bars in **D1, E1, G1, H1, J1, J2** are 25 μm.

Figure 5

VEGFR2 Cre-Reporter **DAPI**

Panel rows (left): *Tbx1^{+/-}* (34s), *Tbx1^{SHF-Het}* (35s), *Tbx1^{SHF-Null}* (37s)

Labels in panels: 3rd, 4th, 6th; scale bars 100μm and 50μm

D — Total Number of ECs in the 4th PA

p = 0.0156
p < 0.0001
p = 0.0103
p = 0.0094   p = 0.0060

x-axis: Tbx1^{+/+}, Tbx1^{+/-}, Tbx1^{SHF-Het}, Tbx1^{SHF-Null}, VEGFR2^{SHF-Het}

E — Number of SHF-derived ECs in the 4th PA

p = 0.0052
p = 0.0177
p = 0.0148   p = 0.0086

x-axis: Tbx1^{+/+}, Tbx1^{+/-}, Tbx1^{SHF-Het}, Tbx1^{SHF-Null}, VEGFR2^{SHF-Het}

F — Number of non-SHF-derived ECs in the 4th PA

p = 0.0036
p = 0.0025

x-axis: Tbx1^{+/+}, Tbx1^{+/-}, Tbx1^{SHF-Het}, Tbx1^{SHF-Null}, VEGFR2^{SHF-Het}

Figure 5. *Tbx1* regulates the number of SHF-derived ECs in 4th pharyngeal arches and EC compensation. Whole mount immunofluorescence staining detecting VEGFR2 (magenta) and Cre-reporter (cyan) in E10.5 embryos. Imaris was used to segment the dorsal aorta and pharyngeal arch ECs and 3D reconstructions: **A-A2**, *Tbx1$^{+/-}$* **B-B2**, *Tbx1$^{SHF-Het}$* and **C-C2**, *Tbx1$^{SHF-Null}$* embryos; The only Cre-reporter+ cells shown are those that lie within the segmented endothelium. Pharyngeal arches are numbered. Yellow brackets mark endothelium in the 4th and 6th arches in **C-C2**. Vertical dashed lines mark the planes of coronal optical sections in **A3-A5, B3-B5, C3-B5**, where all cells are shown. Dashed circles in **A3-A5** and **C3-C5** mark pharyngeal arches. Yellow arrows in **C3-C5** point to VEGFR2+ lineage-negative compensatory ECs. Quantification of total EC numbers, **D**, SHF-derived ECs, **E,** and non-SHF-derived ECs**, F.** Each dot marks one pharyngeal arch, error bars show standard deviations; p values were calculated using 2-tailed, unpaired, non-parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only $p < 0.05$ are displayed.

Figure 6

Lateral →→→ Medial

A–A4 $VEGFR2^{SHF-WT}$, 29s, 100μm
B–B4 $VEGFR2^{SHF-KO}$, 29s, 100μm
C–C4 $Tbx1^{+/-}$, 30s, 100μm
D–D4 $Tbx1^{SHF-Het}$, 35s, 100μm
E–E4 $Tbx1^{SHF-Null}$, 37s, 100μm

Figure 6. Ectopic sprouts from veins extend into pharyngeal arches of *VEGFR2^SHF-KO* and *Tbx1^SHF-Null* but not *Tbx1^+/-* embryos. A-C, E10.0 and **D-E,** E10.5 whole embryos stained to detect VEGFR2 (white) and nuclei (blue); 20µm-thick serial sagittal optical sections are shown in the lateral-to-medial order. Yellow brackets in **A-E** mark pharyngeal endothelial plexus. Arches are numbered. Red asterisks mark smooth surfaces of cardinal veins (CV). Arrows of the same color follow the same sprout in different serial sections. dAo - dorsal aorta, PV - pharyngeal vein.

Figure 7

VEGFR2 **Dre-Reporter** **DAPI**

A *VEGFR2^SHF-WT^;Apj^DreERT2^* — CV, 36s, 100μm

B — 3rd, 6th, dAo, 100μm

B1 — Endo, 4th, Endo, 25μm

C *VEGFR2^SHF-Het^;Apj^DreERT2^* — CV, 32s, 100μm

D — 3rd, dAo, 100μm

D1 — Endo, 4th, Endo, 25μm

E *VEGFR2^SHF-KO^;Apj^DreERT2^* — CV, 32s, 100μm

F — 3rd, dAo, 100μm

F1 — Endo, 4th, Endo, 25μm

G Loss of VEGFR2 in the SHF leads to an increase in Dre-Reporter+ ECs in the 4th Pharyngeal Arch

Normalized APJ-lineage contribution to 4th PA (%)

p = 0.0020

SHF-WT;Dre+   SHF-Het;Dre+   SHF-KO;Dre+

*VEGFR2^SHF-KO^;Apj^DreERT2^*

H — dAo, CV, 32s, 100μm

H1 — Endo, 25μm

Figure 7. Angiogenic sprouting from veins gives rise to the compensatory endothelium. Dre-rox recombination was used to fate map cells of *Apj*-lineage in *VEGFR2^SHF-WT^*, *VEGFR2^SHF-Het^,* and *VEGFR2^SHF-KO^* embryos. Tamoxifen was injected at E9.5, and embryos were dissected at E10.5. **A-F,** Whole mount immunofluorescence staining detecting Dre-reporter (cyan) and VEGFR2 (magenta). 50µm-thick sagittal optical sections show *Apj^DreERT2^* lineage labeling in the cardinal vein (CV) and inter-somitic veins (yellow arrows) in **A, C,** and **E** but not the in the dorsal aorta (dAo) or intersomitic arteries (white arrows) in **B, D,** and **F** of *VEGFR2^SHF-WT^*, *VEGFR2^SHF-Het^,* and *VEGFR2^SHF-KO^* embryos. Boxes in **B, D** and **F** are expanded to the right to show *Apj^DreERT2^* lineage-labeled ECs in thin, 0.45 µm optical sections. Note Apj-lineage cells lining the lumen of the mature 4th PAA in *VEGFR2^SHF-Het^* embryo, arrowheads in **D1**, and the lumen of the forming 4th PAA in *VEGFR2^SHF-KO^* embryo, yellow arrowhead in **F1**. White arrowheads point to Apj-lineage cells in the 4th pharyngeal arch plexus, **F1**. **G.** Total number of Apj-lineage cells per 4th arch. Each dot marks one arch. p values were calculated using 2-tailed, unpaired, non-parametric Kruskal-Wallis tests with Dunn's correction for multiple testing; only p<0.05 are displayed. **H.** 50µm-thick sagittal optical section showing CV sprout extending into the pharyngeal arch region. Boxed region in **H** is expanded in **H1** as a 2 µm thick optical section. Arrowheads point to Apj-lineage cells (cyan). Endoderm (endo) layers are marked by dotted lines.

Figure 8

Figure 8. The deletion of VEGFR2 in the veins significantly attenuates compensatory response. VEGFR2 was ablated in the SHF and veins in VEGFR2$^{+/+}$; Apj-CreERT2^{+}; Rosa$^{tdTom/+}$ (VEGFR2^{Apj-WT}) and VEGFR2$^{flox/-}$; Apj-CreERT2^{+}; Rosa$^{tdTom/+}$ (VEGFR2^{Apj-KO}) embryos by injecting tamoxifen at E8.5. For the demonstration of efficient deletion of VEGFR2 the SHF and veins, see **Fig. S5**. Whole embryos were stained by immunofluorescence and imaged by confocal microscopy. **A-A3,** 0.45 μm sagittal optical sections from VEGFR2^{Apj-WT} and **B-B3,** VEGFR2^{Apj-KO} embryos. Boxed areas in **A** and **B** are expanded in **A1-A3** and **B1-B3**. Yellow arrows point to VEGFR2+ Apj-lineage cells (escapees); arrowheads point to the rare VEGFR2-negative Apj-lineage cells, and white arrow points to a non-Apj-lineage VEGFR2+ cell). Endoderm (endo) is bordering the 4th arch is outlined. **C-F**, Quantification of ECs in the 4th pharyngeal arches. **C,** The majority of 4th pharyngeal arch ECs are escapees expressing VEGFR2 in VEGFR2^{Apj-KO} mutants. **D,** Significant decrease in the total number of ECs in VEGFR2^{Apj-KO} embryos. **E,** Significant decrease in the Apj-lineage ECs in the 4th arch of VEGFR2^{Apj-KO} mutants. **F,** A meager compensatory response in the 4th arches of VEGFR2^{Apj-KO} mutants does not bring the total number of ECs to control levels. Each dot marks one pharyngeal arch, all data points are shown, as well as means and standard deviations; p values were calculated using 2-tailed, unpaired, non-parametric Mann-Whitney tests.