1

Ketogenic diet therapy for pediatric epilepsy is associated with alterations in the human gut microbiome that confer seizure resistance in mice

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SUMMARY

The gut microbiome modulates seizure susceptibility and the anti-seizure effects of the ketogenic

diet (KD) in animal models, but whether these relationships translate to KD therapies for human

drug-resistant epilepsy is unclear. Herein, we find that the clinical KD shifts the function of the gut

microbiome in children with refractory epilepsy. Colonizing mice with KD-associated human gut

microbes confers increased resistance to 6-Hz psychomotor seizures, as compared to

colonization with gut microbes from matched pre-treatment controls. Parallel analysis of human

donor and mouse recipient metagenomic and metabolomic profiles identifies subsets of shared

functional features that are seen in response to KD treatment in humans and preserved upon

transfer to mice fed a standard diet. These include enriched representation of microbial genes

and metabolites related to anaplerosis, fatty acid beta-oxidation, and amino acid metabolism.

Mice colonized with KD-associated human gut microbes further exhibit altered hippocampal and

frontal cortical transcriptomic profiles relative to colonized pre-treatment controls, including

differential expression of genes related to ATP synthesis, glutathione metabolism, oxidative

phosphorylation, and translation. Integrative co-occurrence network analysis of the metagenomic,

metabolomic, and brain transcriptomic datasets identifies features that are shared between

human and mouse networks, and select microbial functional pathways and metabolites that are

candidate primary drivers of hippocampal expression signatures related to epilepsy. Together,

these findings reveal key microbial functions and biological pathways that are altered by clinical

KD therapies for pediatric refractory epilepsy and further linked to microbiome-induced alterations

in brain gene expression and seizure protection in mice.

INTRODUCTION

The low-carbohydrate, high-fat ketogenic diet (KD), is a mainstay treatment for refractory epilepsy, particularly in children who do not respond to existing anti-epileptic drugs. The efficacy of the KD is supported by multiple retrospective and prospective studies, which estimate that ~30% of pediatric patients become seizure-free and ~60% experience substantial benefit with >50% reduction in seizures (Coppola et al., 2002; Freeman et al., 1998; Hoon et al., 2005; Neal et al., 2008). However, use of the KD for treating pharmacoresistant epilepsy remains low due to difficulties with implementation, dietary compliance, and adverse side effects (Kossoff et al., 2018). Even with successful seizure reduction, retention of epileptic children on the KD is a reported 13% by the third year of dietary therapy (Hemingway et al., 2001). The primary reasons cited for discontinuation include "diet restrictiveness" and "diet side effects," in addition to low diet responsiveness. While many pioneering studies have proposed important roles for immunosuppression, ketone bodies, anaplerosis, and gamma-aminobutyric acid (GABA) modulation in mediating the neuroprotective effects of the KD, they do not fully account for the clinical heterogeneity in patient responsiveness. Exactly how the KD confers protection against epilepsy in individuals with varied seizure semiologies remains unclear, and the biological determinants of patient responsiveness to the KD are poorly understood.

The gut microbiome plays an integral role in mediating effects of diet on multiple aspects of host physiology, including metabolism, neural activity, and behavior (Singh et al., 2017; Sonnenburg & Bäckhed, 2016). To date, a few clinical studies have reported associations between the KD regimens and alterations in the composition and/or functional potential of the gut microbiota in epileptic individuals (Lindefeldt et al., 2019; G. Xie et al., 2017; Y. Zhang et al., 2018). While promising, thus far there is little consistency across these different reports in the specific microbial

taxa or gene pathways that correlate with the KD. Moreover, functional consequences of the KD-

associated human epilepsy microbiome on host seizure susceptibility remain unknown. We

previously reported that KD-induced alterations in the gut microbiome were necessary and

sufficient for mediating the seizure protective effects of KD chow in two mouse models for

refractory epilepsy – the 6-Hz psychomotor seizure model and the *Kcna1* deficiency model for

sudden unexpected death in epilepsy (SUDEP) (Olson et al., 2018). Similarly, in a rat injury model

of infantile spasms, transfer of the KD-induced gut microbiota into naïve animals fed a control diet

reduced spasms (Mu et al., 2022). In addition, taxonomic differences in the gut microbiome were

correlated with seizure severity and seizure protection in response to KD chow in the *Scn1a*

deficiency model for Dravet syndrome (Miljanovic & Potschka, 2021). Together, these findings

across various seizure models provide proof-of-principle that the KD alters the gut microbiome in

ways that can promote seizure protection. However, whether these results from rodent studies

apply to human epilepsy, the human gut microbiome, and clinical KD regimens used to treat

pediatric epilepsy is still unknown, and the core microbial functions that impact seizure

susceptibility are unclear.

Herein, we perform a prospective study of KD interventions in children with refractory epilepsy

and test causal effects of the human gut microbiome before and after initiating clinical KD

regimens on seizure susceptibility in mice. We evaluate functional changes in the human gut

microbiome that are associated with KD treatment in pediatric epilepsy patients. We further

identify select features of the clinical KD-associated gut microbiome that are shared across both

human donors and inoculated mouse recipients that correlate with microbiome-dependent seizure

protection in mice. Finally, we identify key network interactions between the gut microbiome,

metabolites, and brain transcriptome that may contribute to the ability of the clinical KD-associated

human gut microbiome from pediatric epilepsy patients to promote seizure protection in mice.

RESULTS

Clinical KD regimens elicit shared functional features of the gut microbiome in a cohort

of children with refractory epilepsy

The KD is commonly prescribed for pediatric refractory epilepsy, wherein children consume

commercial ketogenic infant formula and/or fat-rich, carbohydrate-restricted meals with dietary

guidance from clinicians and registered dieticians (Kossoff et al., 2018). Notably, treatment

regimens for the KD vary from patient to patient. KD composition depends on patient tolerability,

which dictates the ratio of fat intake relative to carbohydrate and protein. Additionally, variable

food sources determine the specific macro- and micro-nutrients that comprise ketogenic meals.

Moreover, the KD is prescribed broadly for various forms of refractory epilepsy, the treatment

population varies in genetic risk, seizure semiology, and past anti-epileptic drug exposures,

among other factors. In order to assess effects of clinically-relevant KD treatments for refractory

epilepsy on the gut microbiome, we therefore conducted a prospective study of 10 children with

pediatric refractory epilepsy who were newly enrolled to the Ketogenic Diet Program at UCLA

Mattel Children's Hospital (**Table S1**). From each patient, we collected a stool sample within 1

day before initiating a KD regimen (pre-KD sample) and after approximately 1 month of adherence

to a clinically-guided KD (post-KD sample). 1 month was chosen as a time point at which we

expected to observe stabilized microbial responses to the dietary regimen (David et al., 2014).

Data from 16S rRNA gene amplicon sequencing of fecal samples indicated no significant

difference in bacterial α-diversity in the post-KD fecal microbiota from pediatric epilepsy patients

relative to their matched pre-KD internal controls (**Figure S1A, Table S2**). Principal coordinates

analysis of unweighted and weighted Unifrac distances revealed substantial variation across

individuals in baseline composition of the pre-KD microbiota (**Figure S1B**). Additionally, the

clinical KD elicited differential shifts in bacterial β-diversity and varied responses across post-KD

samples relative to their matching pre-KD controls, which were not significantly associated with

demographic or clinical measures, such as age, sex, and prior anti-epileptic drug exposure

(**Figure S1B**, Table S1, Yassour et al., 2016). Consistent with the inter-individual variation in

microbial taxonomic profiles, ANCOM and ANOVA analyses (paired or unpaired) identified no

significant differences in relative abundances in particular bacterial taxa when considering all

post-KD sample relative to their matched pre-KD controls (**Figures S1C**). These results indicate

that, within this particular study cohort, there are no shared effects of the clinical KD on the

microbial composition of the gut microbiota of children with refractory epilepsy.

Functional redundancy is common across different microbial species of the human gut microbiota

(Tian et al., 2020). In light of the varied bacterial taxonomic profiles at baseline and in response

to dietary treatment, we next asked whether the clinical KD is associated with shared alterations

in the functional potential of the gut microbiota from children with pediatric epilepsy. Shotgun

metagenomic profiling and pathway analysis indicated that compared to pre-KD samples, post-

KD samples shared a significant decrease in relative abundance of genes belonging to the top 26

most abundant functional pathways, which together comprised >94% of the pathway diversity

detected (**Figure S1D and S1E, Table S3**). This corresponded with a significant increase in the

number of total observed pathways in post-KD samples compared to their respective pre-KD

controls (**Figure S1F**). These observations suggest that the clinical KD restricts the membership

of various types of microbial taxa that harbor genes related to prevalent functions and/or enriches

for microbial taxa that harbor genes related to previously rare or underrepresented functions. In

particular, post-KD samples exhibited significant enrichment of genes related to formaldehyde

assimilation, guanosine nucleotide degradation, and L-proline biosynthesis, and decreased

representation of genes related to aerobactin biosynthesis, as compared to pre-KD controls

(**Figure S1G, Discussion**). There were also modest increases in genes related to GDP-mannose

biosynthesis, 2-methylcitrate cycle, and glycol metabolism and degradation, and decreases in

genes related to polyamine biosynthesis and biotin biosynthesis, subsets of which will be

discussed in greater detail in the following sections (**Figure S1G)**. Taken together, these data

suggest that treatment with KD regimens that differ in KD ratio and specific nutritional composition

elicit broad shifts in the functional potential of the gut microbiome that are shared across children

with varied subtypes of refractory epilepsy.

Transferring the fecal microbiota from KD-treated pediatric epilepsy patients to mice

confers seizure resistance

Causal influences of the human microbiome can be effectively studied in gnotobiotic mice,

wherein transferring microbes in a clinical sample into microbiota-deficient mice is used to

recapitulate the taxonomic and functional diversity of the donor human microbiota. To evaluate

whether gut microbes associated with the clinical KD impact seizure susceptibility, we inoculated

individual cohorts of germ-free (GF) mice with matched pre-KD and post-KD stool samples

collected from children with refractory epilepsy and maintained the colonized mice on standard

(non-ketogenic) mouse chow (control diet, CD). Each human donor sample (pre-KD and post-KD

from 10 individuals, as biological replicates) was inoculated into 14-16 GF mice (as technical

replicates) to enable cohort-level testing of susceptibility to 6-Hz psychomotor seizures (**Figure**

1A). The 6-Hz seizure model involves low-frequency corneal stimulation to induce acute complex

partial seizures reminiscent of human limbic epilepsy (Barton et al., 2001). Consistent with

refractory epilepsy, the 6-Hz model is resistant to several anti-epileptic drugs, but treatment with

KD chow effectively protects against 6-Hz seizures in rodents (Hartman et al., 2008), raising the

intensity of current required to elicit a seizure in 50% of the subjects tested (CC50, seizure

threshold). The 4-day time point was chosen as the maximum duration of time that a KD-induced

microbiota could be maintained in mice fed CD (Olson et al., 2018).

Despite the variation in bacterial diversity across patient gut microbiota (**Figure S1**), we observed

that GF mice colonized with microbes from the post-KD microbiota required greater intensity of

current to induce 6-Hz seizures (**Figure 1B, Table S4**) as compared to controls colonized with

microbes from the pre-KD microbiota. This effect was seen when comparing post-KD vs. pre-KD

microbiota transfer for individual technical replicates per patient (**Figure 1B)**, as well as when

data were averaged across all patients (**Figures 1C and 1D**). In addition, compared to pre-KD

controls, mice colonized with microbes from the post-KD microbiota required increased intensity

of current to elicit one or more recurred seizures observed after the initial stimulus-induced seizure

(**Figure 1E**), indicating that transfer of the post-KD human microbiota promotes resistance to both

primary induced seizures and remission seizures in mice. On average, the post-KD samples

raised seizure thresholds by 21.0% $\pm$ 6.7% relative to matched pre-KD controls (**Figures 1C and**

1D). This aligns with both our previously published data on the average effect size of KD chow on

wildtype mice tested in the 6-Hz seizure assay (21.9%, Olson et al., 2018), and the observed

24.0% increase in seizure threshold seen in GF mice colonized with a conventional adult mouse

microbiota (GF-conv) and fed a 6:1 KD chow, as compared to conventionalized controls fed a

standard vitamin- and mineral-matched control diet (**Figure 1B**). Discrepancies in effect size

across patient samples were largely driven by differences between responses for pre-KD controls

(**Figure 1B**), suggesting that the comparatively low microbial diversity resulting from cross-host

species transfer increases seizure susceptibility. Consistent with this, we previously observed that

decreasing microbial diversity via antibiotic treatment reduced seizure threshold in the 6-Hz assay

(Olson et al., 2018). Overall, these results indicate that inoculating mice with the clinical KD-

associated human gut microbiota increases 6-Hz seizure threshold to levels similar to the effect

sizes seen with direct consumption of the experimental 6:1 KD.

Human microbiota transplantation to mice involves oral inoculation with a human stool

suspension, which is comprised of microbial biomass, as well as undigested food matter and

secreted molecules from the host and microbiota. As such, effects seen in response to the transfer

procedure could be due to the KD-associated gut microbiota or microbiota-independent dietary

or host factors. To gain insight into whether bacteria from the gut microbiota are required for

mediating the increases in seizure protection seen with inoculation of the human post-KD

microbiota into mice, mice inoculated with a randomly selected post-KD donor sample were

treated with broad-spectrum antibiotics (**Abx**) to deplete the microbiota, or with vehicle (**Veh**) as

negative control (**Figures S2A and S2B**). Mice that were inoculated with the post-KD sample and

treated with Veh displayed seizure thresholds that were comparable to that seen previously in

recipient mice without the added Veh treatment (**Figures S2C, S2D, and 1B and Table S4**). This

suggests that the post-KD sample induced increases in seizure resistance that were maintained

for at least 12 days in mice fed CD. In contrast, depletion of gut bacteria in mice that were

colonized with the post-KD microbiota decreased seizure thresholds to levels that were lower than

previously seen in pre-KD colonized controls (**Figures S2C, S2D, and 1B**). These results indicate

that bacterial members of the post-KD microbiota are necessary for mediating the increases in

seizure threshold seen in response to transfer of the clinical-KD associated microbiota from a

pediatric epilepsy patient into mice.

Administration of microbial metabolites or other microbiome-dependent molecules, in lieu of viable

microbiota, has been reported to ameliorate symptoms of recurrent *Clostridiodes difficile* infection,

inflammatory bowel disease, and multiple sclerosis, among other conditions (Cekanaviciute et al.,

2017; Levy et al., 2015; Ott et al., 2017). To gain insight into whether administration of clinical

KD-associated intestinal small molecules is sufficient to confer seizure protection in mice, a post-

KD donor sample selected at random was sterile filtered and then administered to a cohort of GF

recipient mice (**Figure S3A**), alongside controls that were administered the unfiltered post-KD

suspension, as was done previously for human microbiota inoculation (**Figures S3A and 1B**). At

4 days post inoculation, mice that were treated with the post-KD filtrate exhibited lower seizure

threshold compared to controls that were treated with the corresponding unfiltered post-KD

suspension (**Figures S3B and S3C and Table S4**). These data indicate that clinical KD-

associated small molecules in the post-KD fecal sample from a pediatric epilepsy patient are not

sufficient to confer persistent seizure protection in mice.

Orally administered microbial metabolites can be rapidly absorbed and cleared from systemic

circulation within a few hours of administration (Abrams & Bishop, 1967; Williams et al., 2020).

To further assess whether clinical KD-associated intestinal small molecules, including microbial

metabolites, acutely modulate seizure susceptibility, mice were orally gavaged with a sterile-

filtered post-KD sample and assessed 2 hours later for 6-Hz seizure threshold, rather than 4 days

later as in the previous experiments (**Figure S4A**). Mice treated with post-KD filtrate exhibited

significantly increased seizure protection compared to controls treated with pre-KD filtrate

(**Figures S4B and S4C and Table S4**), with seizure thresholds that approached those seen after

inoculation of the post-KD suspension (**Figures S4B and 1B**). These data indicate that

administration of clinical KD-associated intestinal small molecules can acutely confer seizure

protection in mice over short timescales (i.e., 2 hours, **Figure S4**), which diminishes by 4 days

post treatment (**Figure S3**). Taken together, the results presented in these series of experiments

suggest that the clinical KD for pediatric refractory epilepsy is associated with alterations in

metabolic activities of the gut microbiota that promote seizure resistance in mice.

While the "humanization" of mice with microbiota from clinical stool samples is a powerful tool for

translational microbiome research (Turnbaugh et al., 2009), the approach has technical and

biological limitations that warrant careful consideration (Walter et al., 2020). Namely, while much

of the taxonomic and functional diversity of the donor inoculum can be recapitulated in recipient

mice (Bokoliya et al., 2021), developmental influences and host-specific selection (Rawls et al.,

2006), among other factors, preclude full "engraftment" of the human gut microbiota in GF mice

(Walter et al., 2020). To evaluate the fidelity of fecal microbiota "transplantation" from pediatric

epilepsy patients to GF mice, we subjected both the donor pre-KD and post-KD stool samples

and corresponding recipient mouse fecal pellets collected at 4 days post-inoculation (the day of

seizure testing) to 16S rRNA gene amplicon sequencing (**Figure 1A, Tables S2 and S5**).

Principal coordinates analysis of bacterial taxonomic data revealed overt clustering of donor

samples with matched recipient samples only for select patients, while the remaining exhibited

substantial variation and no noticeable clustering (**Figure S5A**). There was no significant

difference in α-diversity between pre-KD and post-KD fecal microbiota for either donor or recipient

samples (**Figures S5B**). However, we observed a significant reduction in α-diversity, with an

average decrease of 38% for all mouse recipient microbiota relative to all human donor microbiota

(**Figure S5C**), indicating incomplete transfer or engraftment of the human microbiota in mice.

These results align with several previous reports of reduced bacterial α-diversity in mice

inoculated with human microbiota, with estimated decreases of 35%, 38%, and 50% (Blanton et

al., 2016; Sharon et al., 2019; Staley et al., 2017), suggesting that we achieved levels of transfer

fidelity that are consistent with those in the field. However, the inability to fully recapitulate the

taxonomic diversity of the human gut microbiota from pediatric epilepsy patients in mice draws

into question whether the increases in seizure resistance seen in mice inoculated with post-KD

microbiota are relevant to the actual clinical condition. We therefore focused subsequent

experiments on identifying and evaluating the subset of functional features of the KD-associated

human gut microbiome that are recapitulated in recipient mice, and the microbiome-dependent

alterations in host physiology that correspond with seizure protection in mice.

Select functional features of the clinical KD-associated human microbiome are

recapitulated in colonized recipient mice and correlate with seizure protection

Given the widespread use of the clinical KD for treating epilepsy, and an increasing number of other neurodevelopmental and neurodegenerative disorders, elucidating how the activity of the gut microbiome is altered by the clinical KD could reveal important insights into its physiological effects. To identify microbiome associations with the clinical KD and further determine which of the associations, if any, may modify seizure risk, we functionally characterized the gut microbiome from pediatric epilepsy patients before and after treatment with the clinical KD, as well as from gnotobiotic mice that were inoculated with the patient samples, and tested for causal outcomes on seizure susceptibility. Metagenomic sequencing and analysis revealed microbial gene pathways that were differentially abundant in post-KD samples relative pre-KD controls, and shared between both human donor samples and mouse recipient samples (**Figure 2A, Tables S3 and S6**). In particular, microbial genes relevant to fatty acid β-oxidation, glycol metabolism and degradation, methylcitrate cycle I, methylcitrate cycle II, and proline biosynthesis were similarly elevated in post-KD human samples and post-KD-inoculated mice compared to their respective pre-KD controls (**Figures 2A and 2B**). These findings align with reported influences of the KD on fatty acid oxidation (A. R. Kennedy et al., 2007), of carbohydrate restriction on promoting the glyoxylate cycle (Puckett et al., 2017), and of fatty acid β-oxidation on the initiation of the methylcitrate cycle (Clark & Cronan, 2005). Proline metabolism involves reactions with glutamine, glutamate, ornithine, and arginine, which might relate to reported effects of KD on amino acid metabolism, particularly of glutamine and glutamate (Yudkoff et al., 2007). In addition, both post-KD human donor and mouse recipient samples exhibited reductions in microbial genes relevant to polyamine biosynthesis and aerobactin biosynthesis (**Figures 2A and 2B**). The main role of polyamine biosynthesis is generation of putrescine, mainly using the glucogenic amino acid L-arginine which is consumer in reduced amounts while on the KD. Aerobactin, a sidophore, biosynthesis uses the ketogenic amino acid L-lysine, which is also essential for acetyl-CoA synthesis and energy production during ketosis. These data suggest that the consumption of a

clinical KD by children with refractory epilepsy enriches for gut microbes that have the functional

capacity to metabolize dietary fats and to perform anaplerotic reactions when dietary

carbohydrates are restricted. The findings further indicate that these general features of the KD-

associated human gut microbiome are phenocopied in recipient mice that exhibit microbiome-

dependent protection against 6-Hz seizures.

The observed metagenomic signatures reveal clinical KD-associated changes in the functional

potential of the gut microbiome that are preserved upon transfer to GF mice. To identify clinical

KD-induced alterations in the functional activity of the gut microbiome, we performed untargeted

metabolomic profiling of aliquots of the same donor fecal samples from pediatric epilepsy patients

collected before and after initiating the KD regimen, and of both fecal and serum samples from

recipient mice that were inoculated with the pre-KD or post-KD human fecal microbiota and fed

CD (**Tables S7, S8, and S9**). Results from clinical laboratory testing of human blood samples

confirmed that the month-long clinical KD regimen elevated serum β-hydroxybutyrate (**BHBA**)

levels and reduced serum glucose levels, relative to pre-KD concentrations, in pediatric refractory

epilepsy patients (**Figure 2C**). Decreases in glucose, but not BHBA, were similarly seen in human

post-KD stool samples relative to matched pre-KD controls (**Figure 2C**), which is consistent with

dietary carbohydrate restriction and KD-induced BHBA synthesis by the liver to elevate systemic,

but not fecal, BHBA levels (Westman et al., 2007). Transfer of the post-KD human microbiota into

mice yielded no significant differences in serum BHBA or glucose relative to pre-KD recipient

controls (**Figure 2C**), indicating that the clinical KD-associated microbiota does not sufficiently

promote key systemic features of ketosis in mice fed the standard CD. Notably, however, mice

that were inoculated with post-KD human microbiota and fed CD exhibited statistically significant

increases in fecal BHBA levels relative to matched pre-KD recipient controls (**Figure 2C**). This

could reflect alterations in intestinal synthesis of BHBA (Mierziak et al., 2021) and/or in microbial

utilization of host-derived BHBA (Ang et al., 2020). Since this effect was not seen in the donor

human fecal samples, we reasoned that this phenotype is likely an artifact of the "transplantation"

approach and/or experimental design, and therefore not relevant to the clinical condition. These

results suggest that transfer of the clinical KD-associated human gut microbiota into mice

promotes resistance to 6-Hz seizures (**Figure 1**) through mechanisms that act independently of

ketosis.

We further assessed results from untargeted metabolomic profiling to identify metabolites that

were differentially abundant in post-KD samples relative to pre-KD controls and patterns that were

shared across human donor and mouse recipient samples (**Figure 2D, Figure S6, Tables S7**

and S8). Despite heterogeneity in the patient population and specific clinical KD regimens, 79

metabolites were significantly differentially abundant in fecal samples from post-KD human fecal

samples relative to their matched pre-KD controls (**Figure S6A, Table S7**). 336 metabolites were

identified in both the human fecal samples and mice fed the 6:1 KD chow vs. vitamin- and mineral-

matched control chow for 2 weeks samples, previously published by our group (**Table S10,** Olson

et al., 2018). 35 metabolites were differentially regulated in human fecal samples and 169

metabolites were differentially regulated in mouse fecal samples (**Figure S6B**). Of these

significantly altered metabolites, 20 were found to be changed in the same direction across human

and mouse samples (**Figure S6B and S6C**). These included KD-induced increases in levels of

metabolites related to fatty acid beta-oxidation, such as palmitoleoylcarnitine (C16:1) and

oleoylcarnitine (C18:1), and a decrease in kynurenine which have previously been associated

with seizure susceptibility (Żarnowska et al., 2019). This statistically significant overlap suggests

that there are biochemical changes that are shared across clinical KD treatments for pediatric

epilepsy and mouse models of KD, and that some of the fecal metabolomic alterations observed

in KD-treated epilepsy patients are a direct consequence (rather than correlate) of dietary

intervention. Of the 26 significantly differentially abundant metabolites shared in human and

mouse, 21 (~81%) were further significantly altered by antibiotic treatment to deplete gut bacteria

in KD-fed mice (**Figure S6B,** Olson et al., 2018). Taken together, these data indicate that clinical

KD regimens alter fecal metabolites in children with refractory epilepsy, a subset of which have

the potential to be microbiome-dependent.

Although there was substantial variability in taxonomic composition of microbiota within mouse

recipients of the same experimental condition (**Figure S1-S2**), fecal samples from mouse

recipient cohorts exhibited statistically significant alterations in 45 metabolites that were shared

when considering all post-KD mouse recipient fecal samples relative to their pre-KD controls

(**Table S8, Figure S6A**). Notably, however, none of these 45 differentially abundant metabolites

in mouse feces were identical to the 79 differentially abundant metabolites seen in human donor

fecal samples (**Figure S6A**), which could reflect host specific metabolite utilization and the fact

that recipient mice were fed standard chow (CD), while human donors were consuming a clinical

KD at the time of sample collection. To gain insight into whether the differentially abundant

metabolites relate to similar biological functions, we performed metabolite set enrichment analysis

(MSEA) of the significantly altered metabolites in human vs. mouse (Pang et al., 2021). MSEA of

the significantly altered metabolites identified select chemical classes (**Figure 2D**) and metabolic

pathways (**Figure 2E**) that were similarly enriched in both human donor and mouse recipient post-

KD samples relative to pre-KD controls. In particular, there was shared enrichment of amino acid,

hydroxy fatty acid, sugar acid, phenylpropanoic acid, and monosaccharide-related metabolites

across post-KD conditions for both human donors and mouse recipients (**Figure 2D**). Differentially

abundant metabolites from human post-KD fecal samples also exhibited enrichment of bile acids

and other fatty acid derivatives (**Figure 2D, left**), which might reflect KD- and/or microbiome-

driven alterations in lipid metabolism (Joyce et al., 2014).

For metabolic pathways, post-KD samples for both human donor and mouse recipient conditions

exhibited differential abundance of metabolites related to methionine metabolism, glycine and

serine metabolism, and betaine metabolism (**Figure 2E**). While the biological relevance to KD is

unclear, one possibility is that these pathways reflect known influences of the KD on one-carbon

(1C) metabolism, a series of interlinking metabolic pathways that control levels of methionine,

serine, and glycine, and that integrate nutrient availability with cellular nutritional status (Ducker

& Rabinowitz, 2017). In addition, differentially abundant fecal metabolites from mouse post-KD

recipients mapped to pathways related to alpha linolenic acid and linoleic acid metabolism, fatty

acid biosynthesis, and beta-oxidation of very long chain fatty acids (**Figure 2E, right**), which

aligns with the observed metagenomic enrichment in microbial genes related to fatty acid

metabolism in response to the clinical KD (**Figure 2A-B**). Some of the differential metabolite

chemical subclasses and metabolic pathways in mouse fecal samples were similarly seen in

matched mouse serum samples (**Table S9**) – in particular, metabolites representing amino acid,

hydroxy fatty acid, and unsaturated fatty acid subclasses, and related to alpha linolenic acid and

linoleic acid metabolism, betaine metabolism, and beta-oxidation of fatty acids were altered in

both feces and serum of mice receiving post-KD samples relative to pre-KD controls (**Figure**

S6D). Taken together, these results suggest that the clinical KD induces alterations in the function

of the gut microbiome of pediatric epilepsy patients, and that a subset of these functional

characteristics may be phenocopied upon microbial transfer to mice, which develop microbiome-

dependent resistance to 6-Hz seizures.

Transferring the fecal microbiota from KD-treated pediatric epilepsy patients to mice

induces alterations in brain gene expression

Seizures result from atypical neural function related to discharge of electrical signals or failure to

constrain the spread of these signals. To gain insight into how colonization with microbes derived

from the fecal microbiota of KD-treated individuals may alter brain function to modify seizure

susceptibility, we performed transcriptomic profiling of brain tissues from cohorts of mice

colonized with microbes from the post-KD human microbiota or pre-KD controls. We focused on

the hippocampus and frontal cortex based on their relevance to human epilepsy, their involvement

in initiating psychomotor seizures in the 6-Hz seizure assay, and evidence that the microbiome

can alter gene expression and metabolites in these brain regions (Chauhan et al., 2022; Suarez

et al., 2018). RNA sequencing of hippocampal tissues revealed many differentially expressed

genes that were seen in post-KD samples relative to pre-KD controls (**Table S11**), including those

related to core cell biological processes relating to RNA processing, translation, cellular stress

response, TORC1 signaling, regulation of long-term synaptic potentiation, neuronal development,

and response to nutrient levels (**Figure 3A**). The most drastic alterations included upregulation of

Dusp12, Bmpr1b, and *Cmya5* and downregulation of *Abcc9*, *Ufsp1*, and *Tbx2* transcripts (**Figure**

3B). *Dusp12* is a dual specificity phosphatase that can dephosphorylate phosphothreonine and

phosphoserine (Muda et al., 1999)*, Bmpr1b,* a member of the bone morphogenic receptor family,

is a serine/threonine kinase influencing neuronal cell fate (Venugopal et al., 2012), and *Cmya5*

encodes for myospyrn which is essential for structural integrity during neuritogenesis (Hsiung et

al., 2019). *Abcc9* is an ATP-binding cassette transporter encoding the sulfonylurea receptor 2

subunit for potassium channels (Nelson et al., 2015), *Ufsp1* is a Ufm1 specific protease that

regulates ubiquitin-like conjugation and has been linked to seizures (Millrine et al., 2022), and

Tbx2 is a transcription factor linked to neuronal cell cycle control and neuroinflammation

(Reinhardt et al., 2019). STRING network analysis additionally revealed top protein interaction

clusters enriched for essential biological processes including RNA processing, oxidative

phosphorylation, and cell cycle regulation, consistent with results from GO enrichment analysis

(**Figure 3A, 3C, 3D**), as well as endocytosis and glutathione metabolism (**Figure 3D**).

Some differentially expressed genes were also identified in frontal cortical tissues of post-KD

recipients relative to pre-KD controls (**Table S12**), which similarly to hippocampus, included those

related to core cell biological processes for RNA surveillance and catabolism, cellular stress

responses, TORC1 signaling, and further included genes related to potassium ion transport, and

core carbohydrate metabolism (**Figure S7A**). The most drastic alterations included upregulation

of *Serpinb1a, Nqo1,* and *Slc6a12* transcripts, and downregulation of *Aldh3b1, Setmar*, and *Tfb1m*

transcripts (**Figure S7B**). *Serpinb1a* is a serine/cysteine protease inhibitor (Huasong et al., 2015)*,*

Nqo1 encodes an antioxidant enzyme that primarily catalyzes the reduction of quinones (Ross &

Siegel, 2021)*,* and *Slc6a12* encodes for a betaine-GABA transporter (Zhou et al., 2012)*. Aldh3b1*

is an aldehyde dehydrogenase linked to oxidative stress reduction (Marchitti et al., 2007)*, Setmar*

encodes a histone-lysine N-methyltransferase (Cordaux et al., 2006), and *Tfb1m* has been shown

to function as methyltransferase (Metodiev et al., 2009). STRING network clustering analysis

additionally revealed top protein interaction clusters enriched for transcription regulation,

translation, and oxidative phosphorylation, also seen in frontal cortex GO enrichment analysis

and in the hippocampal STRING network, as well as clusters enriched for calcium signaling,

transcriptional regulation, and translation (**Figure S7C, S7D**). Differentially expressed gene sets

from both hippocampus and frontal cortex were enriched for TORC1 signaling, cellular response

to stress, and oxidate phosphorylation through GO enrichment and STRING clustering, which

have all been shown to affect seizure susceptibility (Chan, 2001; Nguyen & Bordey, 2021). The

similarities between transcriptomic results from hippocampus and frontal cortex suggest that

colonization with post-KD microbes elicits key alterations in host metabolism that impact core

biological processes that are generally consistent across different brain regions. Overall, these

results indicate that mice that acquire seizure resistance in response to colonization with microbes

from the post-KD human gut microbiota exhibit alterations in hippocampal and frontal cortical

gene expression, relative to pre-KD recipient controls.

Multi'omics analysis reveals network connections linking microbial genomic pathways

and metabolites to hippocampal transcripts related to epilepsy

To further identify key gut microbial functions that may drive particular brain gene expression

signatures, we utilized microbe-metabolite vectors (MMVEC) (Morton et al., 2019) to build an

integrated network of fecal metagenomic, fecal metabolomic, serum metabolomic, hippocampal

transcriptomic, and frontal cortical transcriptomic datasets from mice inoculated with the human

pre-KD or post-KD microbiota (**Table S13**). We generated a parallel network comprised of fecal

metagenomic and fecal metabolomic datasets from human pre-KD and post-KD donor stool

samples to identify features similarly underscored in both human and mouse networks,

suggesting their clinical relevance. The human donor and mouse recipient networks were linked

by 3 common nodes - metagenomic pathways describing branched chain amino acid (BCAA)

biosynthesis (BRANCHED-CHAIN-AA-SYN-PWY), L-alanine fermentation (PROPFERM-PWY),

and co-enzyme A biosynthesis (COA-PWY), as well as pathways for arginine synthesis

(ARGSYN-PWY In the human network and ARGSYNSUB-PWY in the mouse network) (**Figure**

4A, center gray and green nodes). The shared BCAA biosynthesis, co-enzyme A biosynthesis,

and arginine synthesis pathways were also identified by weighted key driver analysis as highly

interconnected across the 'omics datasets and essential regulator nodes of the network (Ding et

al., 2021) (**Figure 4A**, diamonds). The human donor network also contained an additional key

driver metagenomic node for isoleucine biosynthesis (ILEUSYN-PWY), which aligns with the

metagenomic node for BCAA biosynthesis. Consistent with the shared metagenomic key drivers

between mouse and human networks, the human fecal metabolomic module was enriched for

nodes related to valine, leucine, and isoleucine (BCAA) and CoA biosynthesis (**Figure 4A**, gray

diamond node). In the mouse network, metabolomic modules included nodes related to

glycerophospholipid metabolism for fecal metabolites and pentose and glucuronate

interconversions for serum metabolites (**Figure 4A,** orange and sea green nodes). Nodes for fecal

1-(1-enyl-palmitoyl)-2-linoleoyl-GPC (P-16:0/18:2)*, 1-(1-enyl-palmitoyl)-2-palmitoyl-GPC (P-

16:0/16:0)*, 1-(1-enyl-palmitoyl)-2-arachidonoyl-GPC (P-16:0/20:4)*, 3-hydroxybutyrate (BHBA),

and myo-inositol (**Figure 4A**, orange metabolite nodes in red font) were similarly identified as

differentially abundant in individual metabolomic analyses for recipient post-KD fecal samples

relative to pre-KD controls (**Table S7**). These mouse metagenomic and metabolomic modules

were linked to 5 transcriptomic modules for hippocampal genes and 3 for frontal cortical genes

(**Figure 4A,** bottom section). The hippocampal transcript modules were enriched for nodes related

to regulation of telomerase RNA localization to Cajal body, glycosylphosphatidylinositol (GPI)

anchor biosynthetic processes, Wnt signaling, and neuron generation and migration (**Figure 4A**).

The frontal cortical transcript modules were enriched for nodes related to regulation of catabolic

processes, lipase activity, and BCAA transmembrane transporter activity (**Figure 4A**). This

suggests that these particular biological processes are most closely associated with the microbial

functional features identified in the network. The transcript nodes included 41 hippocampal genes

and 4 frontal cortical genes that were similarly identified in individual transcriptomic analyses as

differentially expressed in post-KD recipient mice relative to pre-KD controls (**Figure 4A**, transcript

nodes in red font). The higher number of connections between metabolomic modules and

hippocampal transcripts suggests that the gut microbiome may exhibit a greater regulatory role

for the hippocampus than for the frontal cortex in post-KD recipient mice compared to pre-KD

controls. Of particular interest are the links between fecal metabolites related to

glycerophospholipid metabolism, which are regulated by the microbiome (Zheng et al., 2021), and

hippocampal transcript modules enriched for Wnt signaling and GPI anchor biosynthetic

processes, pathways implicated in seizure susceptibility (Hodges & Lugo, 2018; Wu et al., 2020).

To gain insight into whether the hippocampal and frontal cortical transcripts that co-occur with

microbial metagenomic and metabolomic features have been implicated in human epilepsy, single

nucleotide polymorphisms (SNPs) identified from epilepsy genome-wide association studies

(GWAS) were mapped to genes using hippocampus and frontal cortex splicing quantitative trait

loci (sQTLs) and expression quantitative trait loci (eQTLs) to represent epilepsy-associated genes

informed by GWAS. The mouse orthologs of these human GWAS disease genes were then

compared with hippocampal and frontal cortical transcriptomic results to identify DEGs in post-

KD vs pre-KD recipients that have been implicated in genetic risk for human epilepsy. There was

a statistically significant enrichment of the hippocampal DEGs in the epilepsy GWAS (p=0.003),

but no significant enrichment of the frontal cortical DEGs in the epilepsy GWAS (p=0.26) (**Figure**

4B). These results suggest that microbial alterations in hippocampal gene expression may

contribute to the microbiome-dependent increases in seizure resistance seen in post-KD recipient

mice compared to pre-KD controls. From the co-occurrence network, 5 hippocampal DEGs were

linked to epilepsy GWAS results: *Atp5c*, which encodes mitochondrial ATP synthase; *Rprd2,*

which encodes a transcriptional repressor that modulates RNA polymerase II activity; *Gnaz*, which

encodes G protein alpha subunit that regulates ion equilibrium and chaperone-mediated folding;

Cfdp1, which encodes a subunit of the chromatin remodeling complex and is important for cell

division, and *Mro*, which encodes a nucleolus protein proposed to be testis-determining in the

reproductive tract, but expressed in the nervous system with as yet unknown function. Overall,

the multi'omics analysis of human donor and mouse recipient datasets together with epilepsy

GWAS mapping to hippocampal and frontal cortical DEGs identified key microbial genomic

pathways and microbially modulated metabolites that may contribute to alterations in the

expression of particular hippocampal genes in mice that exhibit microbiome-induced protection

against 6-Hz seizures.

DISCUSSION

Results from this research provide evidence from a treatment study of children with refractory

epilepsy, coupled with functional testing in gnotobiotic mice, that clinical KD regimens alter the

function of the gut microbiome in ways that could contribute to seizure protection. We assessed

microbiome composition and function in 10 children with refractory epilepsy shortly before

initiating and approximately one month after adherence to classical KD regimens. Following

clinical practice, the patient cohort was heterogeneous in type and underlying cause of refractory

epilepsy, as well as the ratio of fat to carbohydrate and protein and specific nutritional composition

of the KD they consumed (**Table S1**). This highlights the diversity of epilepsies that resist current

antiepileptic drugs and the broad range of KD interventions that are administered to treat pediatric

refractory epilepsy. Consistent with this heterogeneity, we observed that participants varied

substantially in the composition of the fecal microbiota at baseline and in response to KD

treatment. There was no clear KD-induced taxonomic signature of the gut microbiota that was

shared across the study population, which contrasts prior studies of KD treatments for epilepsy

that each reported alterations in the gut microbiota in response to a KD. Our results, however,

support the finding that little to no consistency in specific microbial taxa affected exists across

studies (Özcan et al., 2022).

Despite variation in microbiota composition, we observed evidence of shared functional features

of the gut microbiome that were seen with KD treatment across participants in the study. This

aligns with the notion of functional redundancy of gut microbes, wherein phylogenetically

unrelated species can exhibit the same genetically-encoded biological activities (Tian et al.,

2020). Results from metagenomic sequencing indicated that microbial genes related to fatty acid

β-oxidation, 2-methylcitrate cycle, glycol metabolism, and proline biosynthesis were more highly

represented in the gut microbiota of epileptic children after treatment with the KD compared to

their internal pre-treatment controls. β-oxidation by select microbes in anaerobic environments

enables them to utilize fatty acids from the diet as energy sources, wherein saturated and

unsaturated fatty acids are oxidized into acetyl-CoA (Yao & Rock, 2017). β-oxidation of dietary

odd-chain fatty acids additionally produces propionyl-CoA, which can be toxic to cells, so the

methylcitrate cycle enables microbes to further catabolize propionyl-CoA into pyruvate and

succinate (Dolan et al., 2018). Glycol, including glycolate and glyoxylate, metabolism allows

microbes to use products from fatty acid oxidation to fuel gluconeogenesis (Ahn et al., 2016).

Proline synthesis from the central metabolite glutamate, via intermediates amino acids arginine
and ornithine, is widely upregulated in bacteria to counteract growth in osmotically unfavorable
conditions (Stecker et al., 2022). The elevated representation of genes related to these pathways
in the post-KD samples suggests that the clinical KD shapes the gut microbiome to enrich
microbial taxa that digest fat and synthesize carbohydrates under fat-rich, carbohydrate-limited
conditions. These metagenomic features of the human microbiome from pediatric epilepsy
patients consuming a clinical KD were preserved upon transfer to GF mice that were fed a
standard diet, suggesting that the source microbes are maintained under non-ketogenic dietary
conditions.

Aligning with results from metagenomic sequencing, metabolomic profiling of fecal samples from
KD-treated epileptic children revealed statistically significant alterations in several metabolites,
including subsets of amino acids, sugar acids, hydroxy fatty acids, bile acids, and other fatty acid
derivatives, which reflect KD-, and potentially microbiome-, induced alterations in lipid and amino
acid metabolism. In particular, glutamate and ornithine, both precursors of proline, were
significantly decreased in post-KD human samples, relative to pre-KD controls, which may align
with the observed metagenomic alterations in proline biosynthesis pathways. These metabolite
alterations were induced by KD consumption in mice, and modified by microbiota depletion in
mice, suggesting a causal response to the clinical KD in the human cohort that is dependent on
the gut microbiome. Microbially modulated increases in palmitoleoylcarnitine (C16:1) were also
seen in KD-fed mice and in post-KD human samples, alongside several other lipid species,
aligning with the high fat content of the KD and roles for the gut microbiome in lipid metabolism
(Joyce et al., 2014).

The individual metabolite changes seen in human donors, including those induced by KD in a
microbiome-dependent manner, were not specifically recapitulated by microbiome transfer to GF

mice that were fed standard chow. This is perhaps not surprising given the important role of

dietary composition in driving microbial activity (David et al., 2014). While no specific metabolite

shifts were shared, a few pathway-level metabolomic changes were consistent between post-KD

fecal samples from human donor (consuming the clinical KD) and mouse recipients (fed standard

chow), relative to their respective pre-KD controls. Namely, differentially abundant metabolites

related to metabolism of methionine, glycine, serine, and betaine were shared across post-KD

conditions for human donor and microbiota-recipient mice. Methionine metabolism involves the

production of homocysteine, adenosine, cysteine, and alpha-ketobutyrate, which can then be

routed to glucogenic pathways by conversion to propionyl- and succinyl-CoA. Serine, synthesized

via glycerate, is used to create glycine (and cysteine) via the homocysteine cycle, which can

undergo microbial conversion into pyruvate or glyoxylate. Betaine (trimethylglycine), derived from

diet or synthesized from choline, is metabolized by the gut microbiome (Koistinen et al., 2019)

and functions as a methyl donor in transmethylation reactions, including those involved in

methionine metabolism. While the relevance to KD and seizure protection is unclear, alterations

in peripheral and central amino acid metabolism have been widely implicated in mediating the

anti-seizure effects of the KD (Yudkoff et al., 2001). In addition, post-KD samples from both human

donors and mouse recipients exhibited alterations in chemicals related to lipid metabolism, such

as hydroxy fatty acids. The shared metabolite pathway- and chemical subclass-level features may

reflect changes that are induced by KD in humans and generally phenocopied by gut microbes

upon transfer to mice reared under non-ketogenic conditions. This suggests that transfer of

clinical KD-induced gut microbes to mice maintained under non-ketogenic conditions could result

in molecular outputs that are distinct, but functionally similar, to those seen in the donor human

sample.

We observed that inoculating mice with human fecal samples collected after clinical KD treatment

conferred resistance to 6-Hz seizures compared to controls that received the baseline pre-

treatment (pre-KD) microbiota. There was no correlation with patient responsiveness to diet, as

indicated in clinician notes taken at 1 month after adherence to the clinical KD. This may be due

to the unreliability of the metric, which was based on parental reporting, as well as the cross-

sectional nature of the assessment, given inter-individual variation in latency to respond to KD

treatments and the patient's peak KD ratio. These concerns aside, the results highlight the

importance of host determinants of KD responsiveness, some of which may mask or block any

beneficial influences of the KD-associated microbiota. Many patients included in this study

exhibited genetic bases for refractory epilepsy, some of which could be epistatic to functional

genomic changes in the KD-associated gut microbiome. Large human studies that subclassify

different types of epilepsies and seizure semiologies are warranted to study potential roles for the

gut microbiome in modifying or predicting responsiveness to the KD.

The microbiota-dependent increases in seizure protection were associated with brain

transcriptomic alterations. In particular, both hippocampus and frontal cortex from post-KD

recipient mice exhibited enrichment of differentially expressed genes related to i) RNA processing,

transcriptional regulation, and translation ii) TORC1 signaling and cell cycle, and iii) oxidative

phosphorylation and cellular stress response (i.e., nitric oxide, reactive oxygen species), when

compared to controls colonized with pre-KD microbiota from both GO enrichment and STRING

network analyses. Neuronal excitability requires protein synthesis in response to altered neuronal

stimulation, and risk factors for various epilepsies include dysregulation of RNA processing, RNA

stability, transcription, and translation (Malone & Kaczmarek, 2022). TORC1 is a major nutrient-

and energy-sensing serine/threonine kinase complex that controls cell growth and differentiation

by coordinating core processes of transcription, translation, and autophagy. Abnormal regulation

of TORC1 signaling has been implicated in a wide variety of epilepsies, and as such, is a

therapeutic target of interest for treating refractory epilepsies (Nguyen & Bordey, 2021). Previous

studies have reported inhibitory effects of the KD and select fatty acids on TORC1 activity

(McDaniel et al., 2011; Warren et al., 2020), suggesting that it may contribute to the anti-seizure

effects of the KD. Oxidative phosphorylation is a central process for cellular energy metabolism

from nutrients, that generates as a byproduct reactive oxygen species (ROS) (Rowley & Patel,

2013) and is regulated by the retrograde glutamatergic neurotransmitter nitric oxide (NO). In

animal epilepsy models, both ROS and NO are elevated during seizure activity due to oxidative

stress-associated neuronal death (Zhu et al., 2017), which can further contribute to

epileptogenesis (Chan, 2001). The KD has been previously reported to reduce oxidative stress

by promoting antioxidant enzymatic activity and scavenging ROS (Greco et al., 2016). Overall,

these results suggest that the KD-associated human gut microbiota alters brain transcriptional

pathways that may contribute to protection against 6-Hz seizures in mice.

Integration of multi'omics datasets across human donor and mouse recipients revealed network

associations between select gut microbial metagenomic pathways, fecal metabolites, serum

metabolites, and hippocampal transcripts, suggesting that they may contribute to the microbiome-

dependent increases in seizure protection seen in mice inoculated with human post-KD

microbiota, compared to pre-KD controls. The human donor and mouse recipient co-occurrence

networks were linked by shared metagenomic pathway nodes related to BCAA biosynthesis, CoA

biosynthesis, L-alanine fermentation, and L-arginine biosynthesis. Key drivers for BCAA, CoA,

and L-arginine biosynthesis were linked to hippocampal transcript modules enriched for genes

related to neurogenesis and Wnt signaling. BCAAs modulate brain import of precursors required

for synthesis of monoamine transmitters (Larsson & Markus, 2017; Salcedo et al., 2021; Song et

al., 2017). BCAAs also serve as nitrogen donors for synthesis of glutamate vs. GABA, and as

such regulates synaptic balance between excitation and inhibition, a key determinant of seizure

susceptibility (McKenna et al., 2019). Wnt signaling regulates calcium pathways that are important

for hippocampal neurogenesis and dendrite formation, and is increasingly linked to early

epileptogenesis (Hodges and Lugo, 2018). Additionally, mapping GWAS-based risk genes to the

co-occurrence network identified five hippocampal nodes as linked to epilepsy. Of particular

interest was *Gnaz*, which encodes G protein alpha-Z, a protein that mediates neuronal signal

transduction within the hippocampus (Jang et al., 2018) and is proposed to modulate seizure

susceptibility (Hultman et al., 2019). BCAA derivatives have been reported to promote

phosphorylation of G-proteins, and abnormalities in GPCR mediated neuronal signaling can

contribute to increased susceptibility to seizure (Shellhammer et al., 2017; Yu et al., 2019).

Altogether, results from this study reveal that the clinical KD regimens used to treat pediatric

refractory epilepsy are associated with alterations in the function of the child microbiome, which

causally modify brain function and seizure susceptibility upon transfer to mice. Further research

is warranted to define the mechanisms by which the human KD-associated microbiome signals

across the gut-brain axis to modify seizure risk, and to further assess the potential for identifying

microbiome-based interventions that could increase the efficacy of KD treatment, alleviate dietary

side effects, and/or ease clinical implementation.

LIMITATIONS OF STUDY

A key limitation of this study design is the prioritization of experimental reproducibility, which

included cohorts of 14-16 mice per patient sample, over patient sample size, which included 10

children with refractory epilepsy, each sampled before and at approximately 1 month after

adherence to a clinical KD. We reasoned that by internally controlling for baseline microbiota for

each patient, we could effectively evaluate microbial alterations in response to the clinical KD

within a relatively small patient group. We further posited that this study design would enable us

to sample from a heterogenous patient population reflective of the etiopathological variation

typically seen in refractory epilepsy. It would also us to be inclusive of the wide range of individuals

with pediatric epilepsy who typically seek clinical KD treatment. This level of diversity in a small

patient population may have contributed to our finding that there was no shared taxonomic

response of the gut microbiome to the clinical KD, despite some shared functional genomic

features when considering all post-KD microbiota relative to all pre-KD controls.

Additional constraints of the study, as discussed in the main text, are the inherent technical and

biological shortcomings in "transplanting" microbiota across different mammalian hosts. In this

study, we achieved levels of human-to-mouse microbiota "transplant" fidelity analogous to those

reported in existing literature even when we maintained mice on a conventional rather than

ketogenic diet (Bokoliya et al., 2021; Kennedy et al., 2018; Walter et al., 2020). However, the

discrepancies between recipient and donor microbiota draw into question the relevance of

findings in gnotobiotic mice to the human condition. To help mitigate this, we focused entirely on

features of the gut microbiome that were differential between post-KD and pre-KD conditions and

shared between human donors and mouse recipients. However, we acknowledge that artifacts of

the microbiota transfer approach, which are not relevant to the clinical condition, may contribute

to the microbiome-dependent functional differences observed in the gnotobiotic mouse

experiments in this study. Nevertheless, the observed results provide important proof-of-principle

that differences in the function of the gut microbiota regulate seizure susceptibility.

In assessing causal relationships between the KD-associated microbiome and host physiologies

linked to seizure susceptibility, we made the major assumption that there exists a singular

microbiome-dependent mechanism to increase seizure threshold that was common across all

post-KD mouse recipient cohorts relative to all pre-KD cohort controls. Our analysis does not take

into account the possibility that there are multiple microbiome-dependent mechanisms that are

distinct and that each result in resistance to 6-Hz seizures. Expanded studies that involve

subclassification of the human participants and/or mouse recipients would aid in addressing this

prospect.

Moreover, we chose to study the 6-Hz psychomotor seizure model based on its widespread use

as a model of refractory epilepsy (Kehne et al., 2017), its utility for screening novel antiepileptic

drugs (Barton et al., 2001), and its responsiveness to the KD (Hartman et al., 2008). We also

reasoned that its measure of acutely induced seizures would preclude confounding effects of

chronic genetic mutation or kindling-based models on modifying the gut microbiome (Löscher,

2017). Further research is needed to assess roles for the KD-induced gut microbiome in modifying

seizures across additional epilepsy models to determine whether particular seizure semiologies

or types of epilepsy are more amenable to modulation by the gut microbiome.

In light of the aforementioned heterogeneity in patient population, small sample size, variation in

clinically-guided KD regimens, discrepancies introduced by the microbiota "transplantation"

approach, cross-species and diet comparisons (i.e., human on KD, mouse on standard diet), and

assumptions adopted for data analysis, our statistical analyses for shotgun metagenomic,

untargeted metabolomic, and bulk transcriptomic data were performed with lenient thresholds for

differential abundance ($p<0.05$), with a focus on pathway-level signatures that were dependent

upon the clinical KD and conferred by the KD-associated microbiota. Notably, for all animal

experiments, technical replicates per donor sample were averaged, and only the biological (i.e.,

donor) N was used for statistical analysis. Despite the expected variability, we detected consistent

KD-dependent alterations in microbial genes and metabolites in epileptic children undergoing

dietary treatment, and further observed KD- and microbiome-dependent alterations in

metagenomic pathways and metabolomic pathways that were shared across human donor and

microbiome-recipient mice when using these parameters. Brain transcriptomic signatures were

seen when comparing all mouse recipient cohorts receiving the post-KD microbiome (all of which

exhibited resistance to 6-Hz seizures) relative to those receiving the pre-KD controls. Finally,

results for all seizure testing experiments, which revealed shared phenotypic outcomes for post-

KD groups compared to pre-KD groups, were well-powered and analyzed according to

conventional statistical methods (Festing & Altman, 2002). All caveats considered, the results

from this study extend existing pre-clinical research to provide initial evidence that clinical KD

treatments shape the function of the gut microbiome of children with refractory epilepsy in ways

that have the potential to causally modify seizure susceptibility. Continued research is warranted

to elucidate the particular microbial functional activities that act together to modify signaling across

the gut-brain axis to promote seizure protection and to further assess the potential to apply

microbiome-based interventions to treat refractory epilepsy.

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influenced or provided input on data analysis or interpretation.

AUTHOR CONTRIBUTIONS

G.R.L., S.M.H, C.A.O., M.B., and J.P. performed the experiments and analyzed the data, B.R.,

and J.H.M. led the clinical study. G.R.L., C.A.O., J.H.M., X.Y., and E.Y.H. designed the study,

G.R.L. and E.Y.H. wrote the manuscript. All authors discussed the results and commented on the

manuscript.

DECLARATION OF INTERESTS

769 Findings reported in the manuscript are the subject of provisional patent application US

770 63/285,267, owned by UCLA. E.Y.H. has financial interests in Bloom Science. All other authors

771 declare that they have no competing interests.

DIVERSITY AND INCLUSION

774 We worked to ensure sex balance in the selection of human subjects. One or more of the

775 authors of this paper self-identifies as an underrepresented ethnic minority in science. While

776 citing references scientifically relevant for this work, we also actively worked to promote gender

777 balance in our reference list.

FIGURES AND FIGURE LEGENDS

STAR★METHODS

Detailed methods are provided in the online version of the paper and include the following:

● KEY RESOURCES TABLE

● RESOURCE AVAILABILITY

● Lead Contact

● Materials availability

● Data and code availability

● EXPERIMENTAL MODELS AND SUBJECT DETAILS

● Human Fecal Samples

● Mice

● METHOD DETAILS

● 16S rRNA Gene Sequencing

●   Fecal Shotgun Metagenomics

●   Human Donor Fecal Microbiota Transfer

●   6-Hz Psychomotor Seizure Assay

●   Antibiotic Treatment

●   Fecal and Serum Metabolomics

●   Transcriptomics

●   Multi'omics Integration

●   Marker set enrichment analysis (MSEA) to connect hippocampus and frontal cortex DEGs

with epilepsy GWAS

●   QUANTIFICATION AND STATISTICAL ANALYSIS

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Biological samples		
Stool samples from human subjects	This study	N/A
Chemicals, Peptides, and Recombinant Proteins		
Vancomycin hydrochloride	Chem-Impex International	00315
Neomycin trisulfate salt hydrate	Sigma-Aldrich	N1876
Metronidazole	Sigma-Aldrich	M1547
Ampicillin sodium salt	Sigma-Aldrich	A9518
TURBO DNase	Invitrogen	AM2238
Ultrapure water	ThermoFisher	10977015
1x PBS	ThermoFisher	10010023
Tetracaine Hydrochloride Opthalmic Solution, USP 0.5%	Oceanside Pharmaceuticals	68682-920-64

Critical Commercial Assays		
DNeasy PowerSoil Kit	Qiagen	12888-50
Qiaquick PCR purification kit	Qiagen	28104
PureLink RNA Mini Kit	Invitrogen	12183018A
QuantSeq FWD' mRNA-Seq Library Prep Kit	Lexogen	N/A
Deposited Data		
16S rRNA gene sequencing	https://qiita.ucsd.edu	14928
Metagenomic sequencing	https://qiita.ucsd.edu	14928
Untargeted metabolomics	https://data.mendeley.com/	DOI:10.17632/djzyzdbz3z.1
Hippocampal transcriptomics	Gene Expression Omnibus	GSE225682
Frontal cortex transcriptomics	Gene Expression Omnibus	GSE225682
WGCNA modules	Github	https://github.com/smha118/keto_diet_pediatric_epilepsy
Experimental Models: Organisms/Strains		
Swiss Webster	Taconic Farms	TAC-SW
Oligonucleotides		
Forward primer for digital PCR: UN00F2, 5′-CAGCMGCCGCGGTAA-3	Integrated DNA Technologies	N/A
Reverse primer for digital PCR: UN00R0, 5′-GGACTACHVGGGTWTCTAAT-3′ [1, 3])	Integrated DNA Technologies	N/A
Software and Algorithms		

Deblur	https://github.com/biocore/deblur	(Amir et al., 2017)
QIIME2-2022.2	https://qiime2.org/	(Bolyen et al., 2019)
FastQC v. 0.11.9	https://github.com/s-andrews/FastQC/releases/tag/v0.11.9	(Andrews, 2010)
ANCOM	https://github.com/FrederickHuangLin/ANCOM-Code-Archive	(Mandal et al., 2015)
Trimmomatic	https://github.com/timflutre/trimmomatic	(Bolger et al., 2014)
HISAT2	http://daehwankimlab.github.io/hisat2/	(Kim et al., 2019)
HTSeq-count	https://github.com/htseq/htseq	(Anders et al., 2015)
DESeq2	https://bioconductor.org/packages/release/bioc/html/DESeq2.html	(Love et al., 2014)
RStudio 2022.07.2	https://www.r-project.org/	(RStudio Team, 2021)
bioBakery	https://github.com/biobakery/biobakery	(McIver et al., 2018)
HUMAnN 3.0	https://github.com/biobakery/humann	(Beghini et al., 2021)
MetaPhlAn 3.0	https://github.com/biobakery/MetaPhlAn	(Beghini et al., 2021)

MaAsLin 2.0	https://github.com/biobakery/biobakery/wiki/maaslin2	(Mallick et al., 2021)
file2meco	https://github.com/ChiLiubio/file2meco	(Liu et al., 2022)
MetaboAnalyst 5.0	https://www.metaboanalyst.ca/home.xhtml	(Pang et al., 2021)
Cytoscape	https://cytoscape.org/	(Shannon et al., 2003)
EnrichR	https://maayanlab.cloud/Enrichr/	(Chen et al., 2013; Kuleshov et al., 2016; Z. Xie et al., 2021)
STRING	https://string-db.org/	(Szklarczyk et al., 2019)
WCGNA	https://horvath.genetics.ucla.edu/html/CoexpressionNetwork/Rpackages/WGCNA/	(Langfelder & Horvath, 2008)
MMVEC	https://github.com/biocore/mmvec	(Morton et al., 2019)
wKDA	http://mergeomics.re	(Ding et al.,

	search.idre.ucla.edu/	2021)
BlueBee	Lexogen	1864011
Prism software	GraphPad	v 8.2.1
Other		
"Breeder" chow	Lab Diets	5K52
Control diet	Harlan Teklad	TD.150300
4200 Tapestation System	Agilent	G2991AA
QX200 Droplet Generator	Bio-Rad Laboratories	1864002
ECT Unit	Ugo Basile	57800

RESOURCE AVAILABILITY

Lead Contact

Further information and requests for resources and reagents should be directed to and will be

fulfilled by the Lead Contact, Elaine Hsiao (ehsiao@g.ucla.edu)

Materials Availability

This study did not generate new unique reagents.

Data and Code Availability

Data from 16S rRNA gene sequencing, metagenomic profiling, and associated metadata are

presented in Tables S2, S3, S5, and S6 are available online through the QIITA repository

(https://qiita.ucsd.edu/) with the study accession #14928. Metabolomic data are presented in

Tables S7, S8, S9, and S10 and are available online through Mendeley data with

DOI:10.17632/djzyzdbz3z.1. Transcriptomic data are presented in Tables S11 and S12 and

available online through Gene Expression Omnibus repository with the identification number

#GSE225682.

EXPERIMENTAL MODELS AND SUBJECT DETAILS

Human Subjects

This study was approved by UCLA's Institutional Review Board (IRB protocol #15-000453).

Pediatric refractory epilepsy patients were screened and enrolled in collaboration with the

Ketogenic Diet Program at UCLA Mattel Children's Hospital. Prospective participants who met

study criteria were provided information detailing this study by phone and email 1-2 weeks before

their pre-diet initiation visit. Prior to enrollment, informed signed consent was provided by all

participants and their guardians to the program clinical coordinator during the pre-diet initiation

appointment. Subjects were enrolled across diverse seizure semiology and prior medical

histories. Inclusion criteria: enrolled in UCLA's program for classical 4:1 KD, children aged 1-10

with refractory epilepsy, any gender, any ethnicity, any previous exposure to AEDs, any seizure

semiology. Exclusion criteria: use of antibiotics or probiotics within 7 days prior to enrollment,

existing diagnosis of gastrointestinal, immunological, or metabolic disorder. Human donor stool

samples were collected from 10 participants, each providing 2 stool samples. The first sample

was collected within 1 day before starting KD treatment (pre-KD) and the second sample was

collected after maintaining on the clinical KD for 1 month (post-KD). Clinical metadata from the

medical record were coded and stripped of identifiers before being shared, and included

participant demographic data, medical history, AED exposure history, additional medications take

during this study, laboratory blood glucose and bloody ketone body levels, seizure severity,

seizure frequency, seizure semiology, and dietary regimen (Table S1).

Human stool sample collection

For in-patient fecal sample collection, once a study participant was admitted to the hospital during

the pre-diet initiation visit, they were given a coded stool collection kit and sterile specimen

container. Stool samples were freshly collected within 1 day prior to starting the clinical KD

treatment (pre-KD). Fresh stool samples were immediately placed on dry ice for short term

storage and transportation and were freshly frozen at -80°C for long-term storage. Post-KD stool

samples were collected in the same manner as stated above when the study participant returned

for the 1-month follow-up visit. For out-patient collection of the post-KD stool sample, which was

necessitated because of hospital pandemic policies, a deidentified stool sample collection kit and

sterile specimen cup was provided to the patient and guardian along with a pre-labeled return

shipping box. After 1 month of the clinical KD treatment, stool samples were collected in a sterile

specimen cup, immediately placed in an at home freezer, and the next day either (1) shipped

back overnight to UCLA on dry ice or (2) brought with the patient to their 1 month follow-up

appointment. Fresh frozen fecal samples were homogenized under liquid nitrogen and 3 ~500 mg

aliquots were made per sample by sterile storage in anaerobic Balch tubes to be used for

transplantation, metagenomic, and metabolomic studies.

Mice

6-8 week old wild-type germ-free Swiss Webster mice (Taconic Farms), were bred in UCLA's

Center for Health Sciences Barrier Facility. Breeding animals were fed ''breeder'' chow (Lab Diets

5K52). Experimental animals were fed vitamin- and mineral-matched control diet (Harlan Teklad

TD.150300). Juvenile mice were used to mimic the age range of the human donor population

(<10 years old). All animal experiments were approved by the UCLA Animal Care and Use

Committee.

METHOD DETAILS

16S rRNA Gene Sequencing and Analysis

Bacterial genomic DNA was extracted from human or mouse fecal samples using the Qiagen

PowerSoil Kit. For human samples, the n reflects one donor sample. For mouse samples, the n

reflects independent cages containing 3 mice per cage to preclude effects of co-housing on

microbiota composition. The sequencing library was generated in line with (Caporaso et al.,

2011). PCR amplification, run in triplicate, of the V4 region of the 16S rRNA gene was completed

using individually barcoded universal primers and 30 ng of the extracted genomic DNA. The PCR

product triplicates were pooled and purified using the Qiaquick PCR purification kit (Qiagen).

Samples were sequenced using the Illumina MiSeq platform and 2 x 250bp reagent kit for paired-

end sequencing at Laragen, Inc. Amplicon sequence variants (ASVs) were chosen by closed

reference clustering based on 99% sequence similarity to the SILVA138 database. Taxonomy

assignment, rarefaction, and differential abundance testing were performed using QIIME2 2022.2

(Bolyen et al., 2019; Mandal et al., 2015).

Fecal Shotgun Metagenomics

Bacterial genomic DNA was extracted from human or mouse fecal samples using the Qiagen

PowerSoil Kit. 1 ng of DNA was used to prepare DNA libraries using the Nextera XT DNA Library

Preparation Kit (Illumina) and genomic DNA was fragmented with Illumina Nextera XT

fragmentation enzyme. IDT Unique Dual Indexes were added to each sample before 12 cycles of

PCR amplification. AMpure magnetic Beads (Beckman Coulter) were used to purify DNA libraries

which were eluted in QIAGEN EB buffer. Qubit 4 fluorometer and Qubit dsDNA HS Assay Kit

were used for DNA library quantification. Libraries were then sequenced on Illumina HiSeq 4000

platform 2x150bp at a 6M read depth using by CosmosID. Metagenomic data was analyzed using

HUMAnN 3.0 (Beghini et al., 2021) and MetaCyc database to profile gene families and pathway

abundance. File2meco R package was used for MetaCyc pathway hierarchical classification (Liu

et al., 2022). MaAsLin 2.0 (Mallick et al., 2021) was used to assess significant pathway

associations between pre-KD and post-KD with a p-value cutoff of 0.1, where $p < 0.05$ pathways

are indicated in the figure by asterisk. Heatmaps were generated using the pheatmap v1.0.12

package for R.

Human Donor Fecal Microbiota Transfer

To prepare collected human stool samples for transplantation studies, the frozen stool sample

was pulverized into a powder under liquid nitrogen stream in a sterile heavy-duty foil covered

mortar and pestle, aliquoted at 500 mg per tube into 2mL screw cap tubes, and frozen at -80C.

A single 500 mg aliquot of human stool sample was entered into a Coy anaerobic chamber and

resuspended in pre-reduced 1x PBS + 0.05% L-cysteine. The sample was homogenized using

sterile borosilicate glass beads and passed through a 100um filter. GF Swiss Webster mice were

colonized by oral gavage of 200 ul fecal suspension. Excess fecal suspension was resuspended

and stored at -80C in pre-reduced 1x PBS + 0.05% L-cysteine + 15% glycerol. For administration

of fecal filtrates, the fecal suspension was passed through a sterile 0.2 um filter before colonization

via oral gavage using 200 ul fecal filtrate.

6-Hz Psychomotor Seizure Assay

6-Hz psychomotor seizure assay testing was conducted following Samala et al., 2008. One drop

(~50 ul) of 0.5% tetracaine hydrochloride ophthalmic solution was applied to the corneas of each

mouse 15 min before stimulation. A thin layer of electrode gel (Parker Signagel) was applied

directly to the corneal electrodes and was reapplied before each trial. A constant-current current

device (ECT Unit 57800, Ugo Basile) was used to deliver current through the corneal electrodes

at 3s duration, 0.2 ms pulse-width and 6 pulses/s frequency. CC50 (the milliamp intensity of

current required to elicit seizures in 50% of the mouse cohort) was measured as a metric for

seizure susceptibility. Pilot experiments were conducted to identify 28 mA as the CC50 for SPF

wild-type Swiss Webster mice, aged 6-8 weeks. Each mouse was seizure-tested only once, and

thus at least n > 14 mice were used to adequately power each cohort. To determine CC50s for

each tested cohort, 28 mA of current was administered to the first mouse per cohort, followed by

stepwise fixed increases or decreases by 2 mA intervals. Mice were restrained manually during

stimulation and then released into a new cage for behavioral observation. Quantitative measures

for falling, tail dorsiflexion (Straub tail), forelimb clonus, eye/vibrissae twitching and behavioral

remission were scored manually. For each behavioral parameter, we observed no correlation

between percentage incidence during 28+ mA seizures between pre-KD or post-KD microbiota

status, suggesting a primary effect of the microbiota on seizure incidence rather than presentation

or form. Latency to exploration (time elapsed from when an experimental mouse is released into

the observation cage (after corneal stimulation) to its first lateral movement) was scored manually

with an electronic timer. Mice were blindly scored as protected from seizures if they did not show

seizure behavior and resumed normal exploratory behavior within 10 s. Seizure threshold (CC50)

was determined as previously described (Kimball et al., 1957), using the average log interval of

current steps per experimental group, where sample n is defined as the subset of animals

displaying the less frequent seizure behavior. Data used to calculate CC50 are also displayed as

latency to explore for each current intensity, where n represents the total number of biological

replicates per group regardless of seizure outcome.

Antibiotic Treatment

Transplanted mice were gavaged with a solution of vancomycin (50 mg/kg), neomycin (100

mg/kg) and metronidazole (100 mg/kg) every 12 hours daily for 5 days, as adapted from (Reikvam

et al., 2011). Ampicillin (1 mg/ml) was provided *ad libitum* in drinking water. For mock treatment,

mice were gavaged with a similar volume of 1x PBS (vehicle) water every 12 hours daily for 7

days. Antibiotic-treated mice were maintained in sterile caging with sterile food and water and

handled aseptically for the remainder of the experiments.

Fecal and Serum Metabolomics

Previously collected human donor fecal samples were aliquoted as described in section "Human

Donor Fecal Microbiota Transfer". Mouse fecal samples were collected from mice housed across

independent cages, with four cages housing 3 mice and one cage housing 2 mice. Mouse serum

samples were collected by cardiac puncture and separated using SST vacutainer tubes, then

frozen at -80C. Samples were prepared using the automated MicroLab STAR system (Hamilton

Company) and analyzed on GC/MS, LC/MS and LC/MS/MS platforms by Metabolon, Inc. Protein

fractions were removed by serial extractions with organic aqueous solvents, concentrated using

a TurboVap system (Zymark) and vacuum dried. For LC/MS and LC-MS/MS, samples were

reconstituted in acidic or basic LC-compatible solvents containing > 11 injection standards and

run on a Waters ACQUITY UPLC and Thermo-Finnigan LTQ mass spectrometer, with a linear

ion-trap frontend and a Fourier transform ion cyclotron resonance mass spectrometer back-end.

For GC/MS, samples were derivatized under dried nitrogen using bistrimethyl-silyl-

trifluoroacetamide and analyzed on a Thermo-Finnigan Trace DSQ fast-scanning single-

quadrupole mass spectrometer using electron impact ionization. Chemical entities were identified

by comparison to metabolomic library entries of purified standards. Following log transformation

and imputation with minimum observed values for each compound, post-KD vs. pre-KD

comparisons for human fecal, and mouse serum and fecal data were analyzed by paired t-test.

Metabolomic data from SPF or antibiotic-treated mice fed KD vs. CD chow were acquired from

(Olson et al., 2018), as log transformed and imputed with minimum observed values for each

compound. Data were analyzed using two-way ANOVA to test for group effects. P and q-values

were calculated based on two-way ANOVA contrasts. Principal components analysis was used

to visualize variance distributions. Supervised Random Forest analysis was conducted to identify

metabolomics prediction accuracies. Metabolite set enrichment analysis (MSEA) using the

Metaboanalyst 5.0 platform (Pang et al., 2021) was performed on human fecal, mouse fecal, and

mouse serum metabolites statistically significantly altered in post-KD compared to pre-KD (p-

val<0.05). Metabolite sets were analyzed for chemical sub-class enrichment and metabolite

pathway enrichment, using The Small Molecule Pathway Database (SMPDB).

Transcriptomics

Recipient mice were sacrificed on day 4 post-colonization. Hippocampal, frontal cortex, were

dissected from pre-KD and post-KD recipient mice (n=6 per cohort) and immediately placed in

Trizol. RNA was extracted using the PureLink RNA Mini kit with Turbo DNAse treatment. RNA

was prepared using the TruSeq RNA Library Prep kit and 2 Å~ 69-bp paired-end sequencing was

performed using the Illumina HiSeq 4000 platform by the UCLA Neuroscience Genomics Core.

FastQC v0.11.5, bbduk v35.92, and RSeQC v2.6.4 were used for quality filtering, trimming, and

mapping. Reads were aligned to UCSC Genome Browser assembly ID: mm10 using STAR

v2.5.2a, indexed using samtools v1.3, and aligned using HTSeq-count v0.6.0. Differential

expression analysis was conducted using DESeq2 v1.24.041. Heatmaps were generated using

the pheatmap v1.0.12 package for R. GO term enrichment analysis of differentially expressed

genes with $p < 0.05$ was conducted using enrichR v3.1. Protein interaction networks were

generated using STRING v10.5. Functional enrichments of network nodes were categorized by

GO: biological process, molecular function, and cellular component.

Multi-omics Integration

To assess the relationships across omics layers, we first carried out dimension reduction for each

data set using weighted gene co-expression network analysis (WGCNA v1.72.1) (Langfelder &

Horvath, 2008). Metabolomics for human donors and mouse recipients and RNA-seq for mouse

recipients (hippocampus and frontal cortex) were used to build WGCNA modules within each

dataset, where modules represent clusters of highly co-regulated/expressed molecules which are

typically involved in similar biological functions. For metabolomics data, *goodSamplesGenes*

function was first used with default parameters to filter out sparse metabolites across samples

before constructing networks; this step was not used for RNAseq data. Standard WGCNA steps

were then carried out for the filtered metabolomics and RNAseq data. Module eigengenes (MEs),

or the first axis of principal component were calculated from each module. MEs were then targeted

for correlation analysis with the metadata (pre-KD vs. post-KD and responder vs. non-responder).

Modules that had significant correlation (p-val <0.05) with the metadata were chosen for

subsequent integrative analysis.

1007

A systematic network that combined all omics data was inferred based on the probability of co-

occurrence (POC) between molecules from different omics data. To calculate POC, we leveraged

a neural-net based tool called MMVEC v1.0.6 with default parameters (Morton et al., 2019). The

subset of raw data that contains module components that were selected from WGCNA analysis

were log normalized and combined based on sample ID. This combined data matrix was then

used as input for MMVEC. For example, on donor side, modules from fecal metagenome and

metabolomics were added together and, on the recipient side, the combined matrix contained the

raw data from metagenome, metabolomics, and RNAseq. Due to high density of the overall

network generated from MMVEC, the top 10% of POC connections were retrieved to minimize

overall complexity of the network for both donors and recipients using in-house python script

(https://github.com/smha118/keto_diet_pediatric_epilepsy).

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The networks of modules from individual omics layers from donor (metagenome, metabolomics)

and recipient (metagenome, metabolomics, RNAseq) as well as differentially

expressed/abundance molecules were then seeded into Mergeomics v3.16 pipeline along with

the integrated network generated with MMVEC for weighted key driver analysis (wKDA) to identify

key drivers of the networks (Ding et al., 2021). wKDA uses a $\chi2$ -like statistic to identify molecules

that are connected to significant larger module components than what would be expected by

random chance. The analysis was done on the human and mouse networks separately. To further

look into the network that are relevant to ketogenic diet and epilepsy, we selected key drivers

(KDs) based on i) the number of modules that a key driver was invoked related to, ii) their relation

to the Ketogenic diet or epilepsy. A subset of nodes in each module that were connected to the

KDs were collected. These nodes were retrieved with following priority i) they are part of

differentially regulated molecules ii) POC value with KDs. Finally, the network was visualized

using Cytoscape (Shannon et al., 2003). To minimize overall density of the network, we chose to

show the key drivers Mergeomics with the highest occurrence in their respective MEs and with >

5 degrees of connectivity.

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Marker set enrichment analysis (MSEA) to connect hippocampus and frontal cortex

DEGs with epilepsy GWAS

To assess the potential role of the DEGs from the hippocampus and frontal cortex in epilepsy, we

collected the summary statistics of the latest epilepsy GWAS (Abou-Khalil et al., 2018). Single

nucleotide polymorphisms (SNPs) that had a linkage disequilibrium of $r^2 > 0.5$ were filtered to

remove redundancies. To map the epilepsy GWAS SNPs to genes, we used GTEx version 8

eQTL and sQTL data for brain hippocampus and brain frontal cortex (Aguet et al., 2020), which

help us derive genes likely to be regulated by the SNPs. We next used the MSEA function of the

Mergeomics package (Ding et al., 2021) to compare epilepsy disease association p-values of the

SNPs representing the DEGs (hippocampus or frontal cortex) with those of the SNPs mapped to

random genes to assess whether the DEGs contain SNPs that show stronger epilepsy association

than random genes using a χ^2-like statistic.

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QUANTIFICATION AND STATISTICAL ANALYSIS

Statistical analyses were conducted using Prism8 software v8.2.1 (GraphPad). Before statistical

analysis, data was assessed for distribution to determine appropriate statistical tests to use. Data

were plotted in figures as mean ± SEM. For figures: 1B, S2C, S3B, S3B, S4C, n = the number of

technical replicates. For all other figures, n = the number of biological replicates. No samples or

animals were excluded from data analysis. Differences between two sample conditions from

parametric data sets were analyzed using two-tailed, paired Student's t-test. Differences between

two sample conditions from nonparametric data sets were analyzed using two-tailed, Wilcoxon

matched-pairs signed rank test. For differences among >2 groups when analyzing one variable,

a one-way ANOVA with Tukey's post hoc test was used. For differences among ≥2 groups with

two variables, a two-way ANOVA with Sidak's post hoc test was used. For technical replicates

from within-patient analysis (Figures: 1B, S2C, S3B, S3B, S4C), differences from the above tests

are denoted by: [#]p<0.05; [##]p<0.01; [###]p<0.001; [####]p<0.0001. For biological replicates (all other

figures), differences from the above tests are denoted by: *p<0.05; **p<0.01; ***p<0.001;

****p<0.0001. Non-significant differences are denoted in the figures using "n.s".

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1065 **SUPPLEMENTAL INFORMATION**

1066 Supplemental Information includes seven figures and fourteen tables that contain source data

1067 and can be found with this article.

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1070 **REFERENCES**

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Figure S1: Clinical KD is associated with alterations in the functional potential, but not composition, of the gut microbiota in a cohort of children with refractory epilepsy, Related to Figure 1. (A) Alpha diversity as measured by rarefaction curve (left) and observed OTUs (right) of matched donor pre-KD (n=10) and post-KD (n=10) fecal microbiota samples showing no difference in alpha-diversity. (two-way ANOVA with Sidak (left); two-tailed, Wilcoxon matched-pairs signed rank test (right)). **(B)** Principal coordinate analysis of unweighted (left) and weighted (right) UniFrac distances from 16S rRNA gene sequencing of donor pre-KD (n=10) and post-KD (n=10) fecal microbiota samples shifting composition when introduced to the clinical KD. **(C)** ANCOM taxonomic differential abundance testing displaying no differentially abundant taxa by the W score (effect size) metric when comparing donor pre-KD (n=10) and post-KD (n=10). **(D)** Total composition per human donor sample of the top 26 MetaCyc superclass metagenome functional pathways accounting for >94% of relative abundance, for each donor pre-KD (n=10) and post-KD (n=10) fecal microbiota samples. **(E)** Difference in total abundance of the 26 most abundant pathways between matched donor pre-KD (n=10) and post-KD(n=10) fecal microbiota samples (two-tailed, Wilcoxon matched-pairs signed rank test). **(F)** Total number of observed MetaCyc functional pathways in matched donor pre-KD (n=10) and post-KD (n=10) fecal microbiota samples (two-tailed, Wilcoxon matched-pairs signed rank test). **(G)** Heatmap displaying differentially abundant MetaCyc functional pathways associated with donor post-KD (n=10) relative to pre-KD (n=10) by MaAsLin2 analysis with a p-value < 0.1. (pathways with a p-value <0.05 are denoted with *). Data is displayed as mean ± SEM, unless otherwise noted. *p < 0.05, **p < 0.01; KD, ketogenic diet; n.s, no statistical significance.

Figure 1: Transfer of the clinical KD-associated gut microbiota from pediatric epilepsy patients to mice confers resistance to 6-Hz seizures. (A) Experimental schematic for transplantation of human donor fecal microbiota samples into germ-free (GF) Swiss Webster mice for 6-Hz psychomotor seizure testing. **(B)** 6-Hz seizure thresholds for replicate mice transplanted with human microbiota from paired donor pre-KD and post-KD samples (One-way ANOVA with Tukey's, n = 13-16 mice per patient sample, with # denoting statistical differences when considering within-patient recipient mice as technical replicates). **(C)** Average seizure thresholds of recipient mouse cohorts per patient donor sample. (Two-tailed, unpaired Welch's t-test. n=10 patient samples per group). **(D)** Latency to exploration for all individual pre-KD (n=140) and post-KD (n=141) recipient mice. **(E)** Average current (mA) at which remission seizures were observed per patient donor sample (Two-tailed, unpaired Welch's t-test. n = 10 patient samples per group). Data is displayed as mean ± SEM, unless otherwise noted. #### p <0.0001 (within-patient mouse recipients), ***p < 0.001, ****p < 0.0001

Figure S2: Antibiotic treatment abrogates the seizure protective effects of inoculation with the clinical KD-associated human gut microbiome, Related to Figure 1. (A) Experimental schematic for transfer of human donor fecal microbiota samples to germ-free (GF) mice, followed by 5 days of oral antibiotic (Abx) or vehicle (Veh) treatment, and then 6-Hz psychomotor seizure testing. **(B)** Bacterial loads as measured by quantitative PCR of the 16S rRNA gene from fecal pellets collected once daily before and during Abx or Veh treatment (two-way ANOVA with Sidak, n=3 cages of 3 mice each). **(C)** 6-Hz seizure thresholds for mice inoculated with patient 1 post-KD human microbiota treated with Abx (n=12) or Veh (n=14). Reference lines denote seizure thresholds for mice inoculated with patient 1 post-KD and pre-KD relative control fecal microbiota from Figure 1B (One-way ANOVA with Tukey's, with # denoting statistical differences when considering within-patient recipient mice as technical replicates). **(D)** Latency to exploration for each Abx (n=12) and Veh (n=14) mouse that underwent 6-Hz psychomotor seizure testing. Data is displayed as mean ± SEM, unless otherwise noted. ****$p < 0.0001$. ####$p < 0.0001$ (for within-patient mouse recipients).

Figure S3: Sterile filtration prevents the seizure protective effects of transfer of the clinical KD-associated human gut microbiome, Related to Figure 1. **(A)** Experimental design for administration of human donor fecal filtrate samples to germ-free (GF) mice, followed by 6-Hz seizure testing 4 days later. **(B)** Seizure thresholds for mice treated with sterile filtered pre-KD (n=14) and sterile filtered post-KD (n=13) fecal samples. Reference lines denote seizure thresholds for mice transplanted with unfiltered patient 2 post-KD and pre-KD relative control fecal microbiota from Figure 1B (One-way ANOVA with Tukey's, with # denoting statistical differences when considering within-patient recipient mice as technical replicates). **(C)** Latency to exploration for mice treated with sterile filtered pre-KD (n=14) and sterile filtered post-KD (n=13) that underwent 6-Hz psychomotor seizure testing. Data is displayed as mean ± SEM, unless otherwise noted. #### p <0.0001 (for within-patient mouse recipients).

Figure S4: Small molecules from the clinical KD-associated human gut microbiome confer acute seizure protection, Related to Figure 1. **(A)** Experimental design for administration of human donor fecal filtrate samples to germ-free (GF) mice, followed by 6-Hz seizure testing 2 hours later. **(B)** Seizure thresholds for mice treated with sterile filtered pre-KD filtrate (n=13) and sterile filtered post-KD filtrate (n=14) fecal samples. Reference lines denote seizure thresholds for mice transplanted with unfiltered patient 6 post-KD and pre-KD relative control fecal microbiota from Figure 1B (One-way ANOVA with Tukey's, with # denoting statistical differences when considering within-patient recipient mice as technical replicates). **(C)** Latency to exploration for mice treated with sterile filtered pre-KD (n=13) and sterile filtered post-KD (n=14) that underwent 6-Hz psychomotor seizure testing. Data is displayed as mean ± SEM, unless otherwise noted. #### $p < 0.0001$ (for within-patient mouse recipients).

Figure S5: Taxonomic fidelity of human microbiota transfer to mice, Related to Figure 1.
(A) Principal coordinates analysis of weighted UniFrac distances from 16S rRNA gene sequencing of fecal samples from matched human donors and mouse recipients (for each graph: n = 1 donor patient (10 patients total), 4-5 recipient cages of recipient mice per pre-KD vs. post-KD condition, ! = 1 overlapping data point not visible). **(B)** Shannon index alpha-diversity of fecal microbiota from human donor pre-KD and post-KD samples (left) and matched mouse recipient pre-KD and post-KD samples (right) (two-tailed, Wilcoxon matched-pairs signed rank test, donors: n=10 patients, recipients: n=10 per patient condition, where each n is an average from 4-5 cages per patient). **(C)** Shannon index alpha-diversity of fecal microbiota from all human donor samples (n=20 patients) and all matched mouse recipient samples (two-tailed, Wilcoxon matched-pairs signed rank test; n=20 patient conditions, where each n is an average from 4-5 cages per patient). Data is displayed as mean ± SEM, unless otherwise noted. ***p < 0.001, n.s.=not statistically significant.

Figure 2: The clinical KD-associated human microbiome exhibits functional alterations that are phenocopied in seizure-protected recipient mice. (A) Microbial functional pathways differentially abundant as determined by MaAsLin2 analysis comparing post-KD (samples relative to pre-KD controls for either donor fecal samples or recipient fecal samples (donors: n=10 per diet condition; recipients: n=10 per donor diet condition [50 total, where each n reflects average of 5 technical replicate recipient mice per donor patient sample]). Red font denotes pathways that are commonly differentially abundant in the same direction in both donors fed KD and recipient mice fed CD (all pathways listed had minimum p<0.1; *denotes pathways with p<0.05). **(B)** Microbial functional pathways that are commonly differentially abundant by MaAsLin2 analysis in the same direction in post-KD donor and recipient controls (donors: n=10 per diet condition; recipients: n=10 per donor diet condition [50 total, where each n reflects average of 5 technical replicate recipient mice per donor patient sample]). **(C)** Beta-hydroxybutyrate (BHBA) and glucose levels in human donor (left) and mouse recipient (right) pre-KD and post-KD feces and serum (two-tailed Wilcoxon matched-pairs signed rank test; donors: n=10 per diet condition; recipients: n=10 per donor diet condition [50 total, where each n reflects average of 5 technical replicate recipient mice per donor patient sample]). **(D)** Metabolite set enrichment analysis showing the top 25 enriched chemical subclasses ordered by p-value for the set of differentially abundant metabolites in human donor (left) post-KD vs pre-KD fecal samples (p<0.05, two-tailed, matched pairs Student's t-test, n=10 per diet condition). Metabolite set enrichment analysis showing enriched chemical subclasses ordered by p-value for the set of differentially abundant metabolites in recipient mouse (right) post-KD vs pre-KD fecal samples (p<0.05, matched pairs Student's t-

test, n=10 per patient diet condition, where each sample is pooled from 5 recipient mice per donor patient sample). Red font denotes chemical subclasses altered in post-KD vs pre-KD human donor feces that are shared with those differentially regulated in post-KD vs pre-KD mouse recipient feces. Orange asterisks (*) denote additional chemical subclasses that are relevant to KD based on existing literature. **(E)** Metabolite set enrichment analysis showing the top 25 enriched SMPBD pathways by p-value for the set of differentially abundant metabolites in human donor (left) post-KD vs pre-KD fecal samples (p<0.05, matched pairs Student's t-test, n=10 per patient diet condition). Metabolite set enrichment analysis showing enriched SMPBD pathways by p-value for the set of differentially abundant metabolites in recipient mouse (right) post-KD vs pre-KD fecal samples (p<0.05, matched pairs Student's t-test; n=10 per patient diet condition, where each sample is pooled from 5 recipient mice per donor patient sample). Red font denotes metabolic pathways altered in post-KD vs pre-KD human donor feces that are shared with those differentially regulated in post-KD vs pre-KD mouse recipient feces. Orange asterisks (*) denote additional chemical subclasses that are relevant to KD based on existing literature. Data is displayed as mean ± SEM, unless otherwise noted. *p < 0.05. n.s.=not statistically significant. KD, ketogenic diet; BHBA, beta-hydroxybutyrate; CD, control diet; SMPDB, The Small Molecule Pathway Database; PC=phosphatidylcholine

Figure S6: The clinical KD alters metabolomic profiles in human fecal samples and in fecal and serum samples of mice inoculated with human microbiota, Related to Figure 2. (A) Differentially abundant metabolites (p<0.05) in post-KD compared to pre-KD samples of human donor feces, mouse recipient feces, and mouse recipient blood (Two-tailed matched pairs Student's t-test, n=10 per condition, where each recipient sample is pooled from 5 recipient mice per donor patient sample) **(B)** Differentially abundant metabolites (p<0.05) in post-KD compared to pre-KD samples of human donor feces, which were also significantly altered in conventional mice (SPF) fed KD chow or vitamin- and mineral- matched control diet (CD) for 14 days. Red font denotes the subset of metabolites that were further altered by pre-treating KD chow-fed mice with antibiotics (Abx) to deplete gut bacteria. (human: Two-tailed matched pairs Student's t-test, n=10 per condition; mouse: ANOVA contrasts, n=8 per condition). **(C)** Differentially abundant metabolites (p<0.05) in human feces (post-KD compared to pre-KD) and feces of mice fed KD vs. CD chow for 14 days (Human fecal: Two-tailed matched pairs Student's t-test, n=10 per condition, where each recipient sample is pooled from 5 recipient mice per donor patient sample; Mouse fecal: two-way ANOVA with contrasts, n=8 per condition; Fisher's Exact Test). (**D**) Metabolite set enrichment analysis of chemical subclass for the 26 differentially abundant metabolites (p<0.05, matched pairs Student's t-test) found in both human post-KD vs pre-KD fecal samples and SFP mouse KD vs CD fecal samples (left) (human: n=10 per condition, where each sample is pooled from 5 recipient mice per donor patient sample; mouse: n=8 per condition). Metabolite set enrichment analysis of SMPDB pathways for the 26 differentially abundant metabolites (p<0.05, matched pairs Student's t-test) found in both human post-KD vs pre-KD fecal samples and SFP mouse KD vs CD fecal samples (right) (human: n=10 per condition, where each sample is pooled from 5 recipient mice per donor patient sample; mouse: n=8 per condition). **(E)** Metabolite set

enrichment analysis of chemical subclass for differentially abundant metabolites (p<0.05, matched pairs Student's t-test) in recipient mouse post-KD vs pre-KD serum samples (left) (n=10 per condition, where each sample is pooled from 5 recipient mice per donor patient sample). Metabolite set enrichment analysis of SMPDB pathways for differentially abundant metabolites (p<0.05, matched pairs Student's t-test) in recipient mouse post-KD vs pre-KD serum samples (right) (n=10 per condition, where each sample is pooled from 5 recipient mice per donor patient sample). Red font denotes metabolic pathways altered in post-KD vs pre-KD mouse serum that are shared with those differentially regulated in post-KD vs pre-KD mouse feces and/or human feces. Orange asterisks (*) denote additional chemical subclasses that are relevant to KD based on existing literature. KD, ketogenic diet; SPF, specific pathogen free conventionalized mice; CD, control diet; Abx, antibiotic SMPDB, The Small Molecule Pathway Database

Figure 3: Seizure resistance in mice inoculated with the post-KD microbiota is associated with alterations in the brain transcriptome. **(A)** GO: Biological Process gene ontology of differentially expressed genes (p<0.05) in recipient mouse post-KD (n=10, where each sample is pooled from 6 recipient mice per donor patient sample) compared to pre-KD hippocampal samples, top 20 ranked by p-value (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(B)** Heatmap of euclidian row and column clustered top 25 differentially expressed genes in recipient mouse post-KD compared to pre-KD hippocampus ranked by p-value, smallest to largest, and with log2 fold-change >2 (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(C)** Protein interaction network with MCL clustering based upon mouse recipient post-KD and pre-KD hippocampal transcriptomics which appeared in both GO and STRING network enrichment analyses, STRING network enrichment score >0.7 (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(D)** Functional enrichment of top MCL sub-network clusters from hippocampal transcriptomics STRING network analysis, proteins are colored based on their overall log2FC. If log2FC >3 or <-3, the value is listed next to the node name (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). KD, ketogenic diet; GO, gene ontology; MCL, Markov Cluster Algorithm.

Figure S7: Mice inoculated with the post-KD microbiota exhibit alterations in the frontal cortical transcriptome, Related to Figure 3. **(A)** GO: Biological Process gene ontology of differentially expressed genes (p<0.05) in recipient mouse post-KD compared to pre-KD frontal cortex samples, top 20 ranked by p-value (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(B)** Heatmap of top 25 differentially expressed genes in recipient mouse post-KD compared to pre-KD frontal cortex ranked by p-value, smallest to largest, with log2-fold change >2 (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(C)** Protein interaction network with MCL clustering based upon mouse recipient post-KD and pre-KD frontal cortex transcriptomics which appeared in both GO and STRING network enrichment analyses, STRING network enrichment score >0.7 (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). **(D)** Functional enrichment of top MCL sub-network clusters from frontal cortex transcriptomics STRING network analysis, proteins are colored based on their overall log2FC. If log2FC >3 or <-3, the value is listed next to the node name (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). KD, ketogenic diet; GO, gene ontology; MCL, Markov Cluster Algorithm.

B

Brain Region	p-value	GWAS - Top Associated Genes
Brain Region Hippocampus	0.003	RSAD1;JAM2;GAS5;TPPP;CYB561;METTL18;AP000350.5,GSTT2B,DDT,AP000351.4, MIF-AS1,AP000350.6,GSTT2;LRRC56,RNH1;ATXN2L,SULT1A1,SULT1A2,NPIPB7, NUPR1,EIF3C,SH2B1,TUFM;ATP23
Frontal cortex	0.26	RMI1;DLD;FLOT1,VARS2,CCHCR1;DPM2;EI24;SMIM19;S100A10;RANBP10,CARMIL2; RERE;NCKIPSD,WDR6

Figure 4: Multi'omic network analysis identifies key microbial genomic pathways and microbially modulated metabolites associated with differential expression of hippocampal transcripts. (A) MMVEC based co-occurrence network constructed from (top) human donor pre-KD and post-KD fecal metagenomic and fecal metabolomic datasets and (bottom) mouse recipient pre-KD and post-KD fecal metagenomic, fecal metabolomic, serum metabolomic,

hippocampal transcriptomic, and frontal cortical transcriptomic datasets. wKDA analyses was performed on the network. Red text denotes pathways, metabolites, or genes that were differentially regulated (p<0.05) between pre-KD and post-KD in prior individual dataset analyses (donor: n=10 patients per diet condition; recipient: n=10 per patient diet condition, where each sample is pooled from 5-6 recipient mice per donor patient sample). **(B)** Table of top associated genes from epilepsy GWAS mapping onto mouse recipient hippocampal and frontal cortical DEGs (n=10 per patient diet condition, where each sample is pooled from 6 recipient mice per donor patient sample). MMVEC, microbe-metabolite vectors; KD, ketogenic diet; wKDA, weighted key driver analysis; GWAS genome-wide association study; DEGs, differentially expressed genes