

1 **Article: Discoveries**

2 **Title: Chiropterans are a hotspot for horizontal transfer of DNA transposons in Mammalia**

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33 **Abstract**

34 Horizontal transfer of transposable elements is an important mechanism contributing to genetic diversity
35 and innovation. Bats (order Chiroptera) have repeatedly been shown to experience horizontal transfer of
36 transposable elements at what appears to be a high rate compared to other mammals. We investigated the
37 occurrence of horizontally transferred DNA transposons involving bats. We found over 200 putative
38 horizontally transferred elements within bats; sixteen transposons were shared across distantly related
39 mammalian clades and two other elements were shared with a fish and two lizard species. Our results
40 indicate that bats are a hotspot for horizontal transfer of DNA transposons. These events broadly coincide
41 with the diversification of several bat clades, supporting the hypothesis that DNA transposon invasions
42 have contributed to genetic diversification of bats.

43

44 **Introduction**

45 Transposable elements (TEs), DNA fragments that can mobilize within and across genomes, comprise
46 most horizontally transferred (HT) genetic material in eukaryotes (Wallau, et al. 2012; El Baidouri, et al.
47 2014). Although viruses are prime candidates as TE vectors (Gilbert, et al. 2010; Thomas, et al. 2010;
48 Gilbert, et al. 2014; Gilbert, et al. 2016), the exact mechanisms of how TEs are transferred and invade the
49 germline of eukaryotes are unclear. Nevertheless, horizontal transfer of transposable elements (HTT) into
50 naïve genomes can allow TEs to successfully invade and propagate before the host can effectively silence
51 the invaders with anti-TE defenses (Schaack, et al. 2010; Kofler, et al. 2018). Class II elements (DNA
52 transposons and rolling-circle (RC) elements), particularly Tc-Mariner transposons, are overrepresented
53 in eukaryote HT events compared to Class I elements (retrotransposons) (Peccoud, et al. 2017; Zhang, et
54 al. 2020), likely due to differences in mobilization mechanisms allowing easier transmission (Lampe, et
55 al. 1996; Silva, et al. 2004; Gilbert, et al. 2016; Gilbert and Feschotte 2018; Palazzo, et al. 2019).

56 The activity and repetitive nature of TEs have shaped genome structure and phenotypes in diverse
57 lineages, by increasing TE copy number, introducing genetic diversity, altering regulatory networks, and
58 promoting shuffling of exons and by introducing TE domains that can be coopted by the host genome
59 (Feschotte and Pritham 2007; Feschotte 2008; Cordaux and Batzer 2009; Schaack, et al. 2010;
60 Casacuberta and González 2013; Thomas, et al. 2014; Grabundzija, et al. 2016; Zhang, et al. 2019;
61 Cosby, et al. 2021). Yet the magnitude of influence on genome evolution in mammals is unclear, as
62 previous studies were limited by relatively few mammal genome assemblies and TE datasets. High
63 sequence similarity among observed DNA transposons and relatively recent divergence of many mammal
64 lineages make it difficult to parse HTT versus vertical inheritance (Gilbert, et al. 2010; Novick, et al.

65 2010; Zhang, et al. 2020). Recent publication of many genome assemblies from diverse species has
66 resolved at least one of these problems (Genereux, et al. 2020; Jebb, et al. 2020; Rhie, et al. 2021;
67 Threlfall and Blaxter 2021), creating an opportunity to determine the extent of HTT.

68 Mammalian genomes are of considerable interest due to their propensity for relatively low TE diversity
69 compared to most other vertebrates (Furano, et al. 2004; Chalopin, et al. 2015; Sotero-Caio, et al. 2017),
70 making HTT events more easily identifiable. While typically 20-50% of mammalian genomes are TE-
71 derived, much of this is from retrotransposons (Chalopin, et al. 2015; Sotero-Caio, et al. 2017); most
72 mammals have experienced little to no DNA transposon accumulation in the last 40 My (Pace and
73 Feschotte 2007; Sotero-Caio, et al. 2017). A major exception to this observation is the order Chiroptera,
74 especially members of the family Vespertilionidae, which are well-known for having unusually diverse
75 TE repertoires, and experiencing several recent, independent DNA transposon invasions (Pritham and
76 Feschotte 2007; Ray, et al. 2007; Ray, et al. 2008; Thomas, et al. 2011; Pagán, et al. 2012; Mitra, et al.
77 2013; Ray, et al. 2015; Platt, et al. 2016). While the impacts of these DNA transposon invasions are not
78 fully understood, they offer a large pool of genetic variation that may contribute to rapid genome
79 evolution in bats. Several studies have shown TE-driven exon shuffling and transposase cooption have
80 impacted bat evolution (Pritham and Feschotte 2007; Thomas, et al. 2014; Grabundzija, et al. 2016;
81 Cosby, et al. 2021). Indeed, a fair number of DNA-transposon derived genes are found in mammal and
82 vertebrate lineages with a variety of functions including, but not limited to transcription, chromosome
83 structure, and immunity (reviewed in Feschotte and Pritham 2007).

84 Bats are the second largest order of mammals ($n \sim 1426$), exhibiting some of the most unique mammalian
85 phenotypes (e.g., flight, laryngeal echolocation, extended longevity, tolerant immunity) and inhabiting
86 multiple ecological niches (24). This phenotypic diversity along with their unusual diversity of younger
87 TEs led us to investigate HT of DNA transposons involving bats. In addition to the broad array of
88 mammalian genomes from the Zoonomia Project (Genereux, et al. 2020), several bat genome assemblies
89 have been produced by the Bat1K Project (Teeling, et al. 2018; Jebb, et al. 2020). Combined, this
90 genomic data includes thirty-seven bat species from 11 families and 28 genera spanning the two major
91 chiropteran clades, Yinpterochiroptera and Yangochiroptera (Teeling, et al. 2005; Amador, et al. 2018).
92 We analyzed TE accumulation patterns across Chiroptera and leveraged TE curation data from 251
93 mammal assemblies to perform a large-scale analysis of recent HT of DNA transposons involving bats.
94 Our findings highlight TE-based diversity within bats and suggest that, in a radical departure from other
95 eutherian mammals, Chiroptera is a hotspot for HTT.

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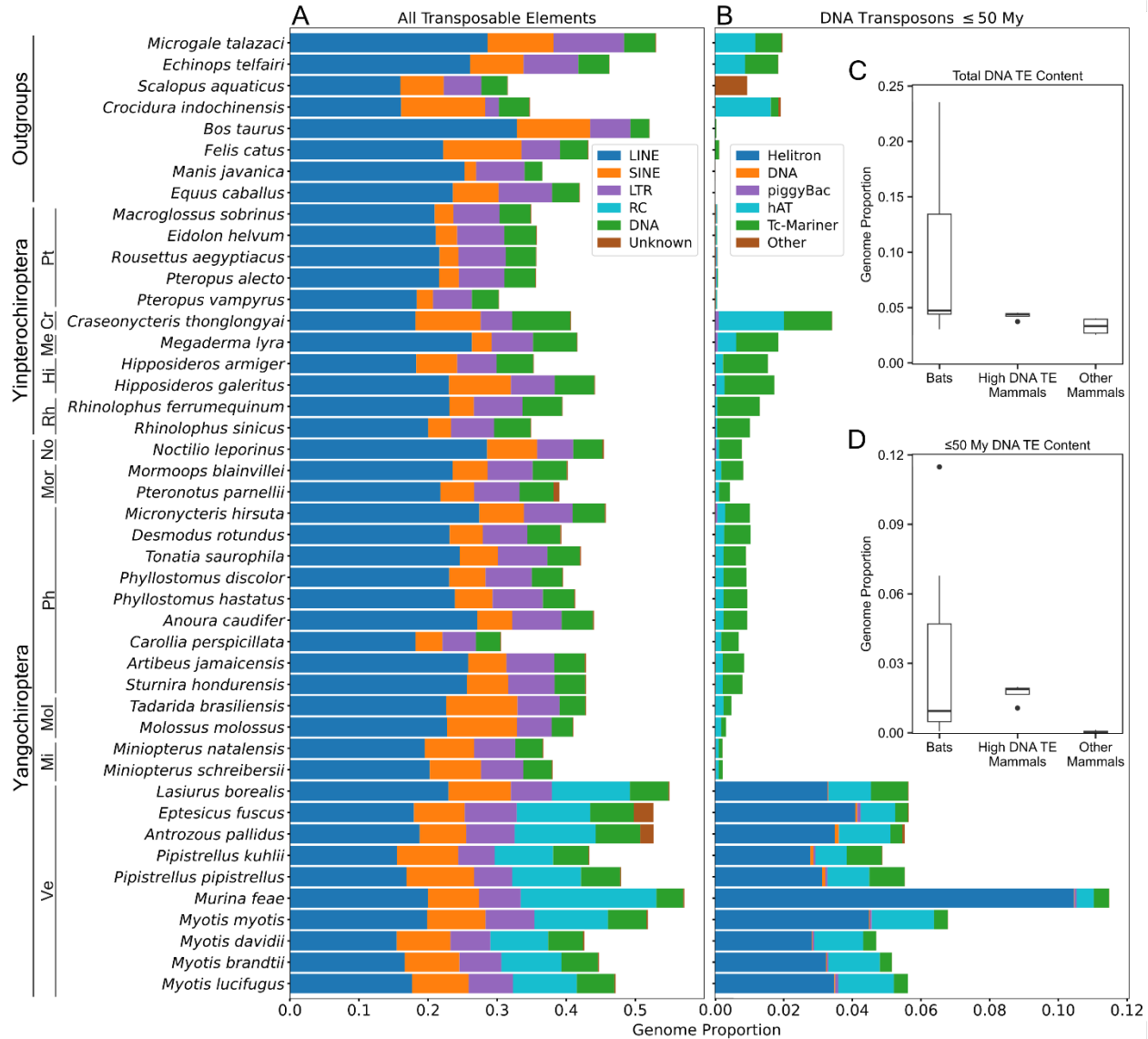
97 Results

98 *More Recent, Substantial DNA Transposon Accumulation in Bats*

99 We used a curated *de novo* TE library to annotate TE insertions in 250 eutherian mammalian species,
100 including 37 bat species (Table S1) (Osmanski, et al. 2022; Christmas, et al. forthcoming). A general
101 comparison of TE content among mammal assemblies is available elsewhere (Osmanski, et al. 2022).
102 Rather than recapitulate that work in illustrating general distinctions between bats and non-bats, we chose
103 eight representative eutherians as our outgroup taxa. Of the eight, four species were selected due to
104 having the greatest accumulation of young (≤ 50 My) DNA transposons outside of bats: two tenrecs
105 (*Echinops telfairi* and *Microgale talazaci*, Afrosoricida), and the Eastern mole and the Indochinese shrew
106 (*Scalopus aquaticus* and *Crocidura indochinensis*, Eulipotyphla). The other four species along with the
107 eulipotyphlans represent one of the five mammalian orders closely related to Chiroptera within
108 Laurasiatheria (Foley, et al. 2022): horse (*Equus caballus*, Perissodactyla), cow (*Bos taurus*,
109 Artiodactyla), pangolin (*Manis javanica*, Philodota), and domestic cat (*Felis catus*, Carnivora).

110 With regard to total TE content, bats generally resemble other mammals, with TEs composing 30-60% of
111 the genome, with 15-30% from LINE elements, and the rest split among SINE, LTR, and DNA elements
112 (Fig. 1a). The eight outgroup mammals are similar in proportions of different types of TEs, though the
113 eulipotyphlans have slightly lower TE content overall, and *Bos taurus* harbors a relatively high proportion
114 of LINEs (Osmanski, et al. 2022). The latter has been discussed previously and is due to an independent
115 HT of RTE-like retrotransposons, Bov-B LINEs (Kordis and Gubensek 1998). Such variation in
116 retrotransposon content is not unexpected among mammals (Sotero-Caio, et al. 2017; Platt, et al. 2018).

117 However, there are several major differences between bats and non-bats. Most notable is the presence of
118 generally higher total and more recent DNA transposon accumulation (Fig. 1b-d), mostly hAT and Tc-
119 Mariner transposons, in many of the bat subclades and the obvious presence of substantial accumulation
120 of RC elements in vespertilionid bats in the last 50 My (Fig. 1b). Substantial RC accumulation is not
121 observed in yinpterochiropteran bats or outgroup species. Within the DNA transposon categories,
122 vespertilionid bats also have higher hAT element accumulation than yinpterochiropteran lineages, except
123 for the bumblebee bat (*Craseonycteris thonglongyai*) and the lesser false vampire bat (*Megaderma lyra*)
124 (Fig. 1b). In comparison to non-bats, vespertilionid bats and *Craseonycteris thonglongyai* have higher
125 young DNA transposon accumulation than all outgroup mammals, but the four high DNA TE mammals
126 have greater amounts of young DNA transposons than most if not all other bats. However, the other four
127 mammals, have less young DNA transposon accumulation than all bats except pteropodids, and this low
128 recent accumulation is more representative of eutherian mammals in general (Table S2) (Osmanski, et al.
129 2022).



130 **Fig. 1: (A)** Total transposable element accumulation, **(B)** DNA transposon accumulation within the last
 131 50 My, and **(C and D)** box plots depicting ranges of total DNA transposon genome content in 37
 132 chiropterans and 8 outgroup mammals. High DNA TE Mammals are defined as described in the main
 133 text as *Echinops telfairi*, *Microgale talazaci*, *Scalopus aquaticus* and *Crocidura indochinensis*. Bat
 134 families are indicated by abbreviations left of species names and are as follows: Pt = Pteropodidae, Me =
 135 Megadermatidae, Cr = Craseonycteridae, Rh = Rhinolophidae, Hi = Hipposideridae, Ve =
 136 Vespertilionidae, Mi = Miniopteridae, Mol = Molossidae, No = Noctilionidae, Mor = Mormoopidae, Ph =
 137 Phyllostomidae.

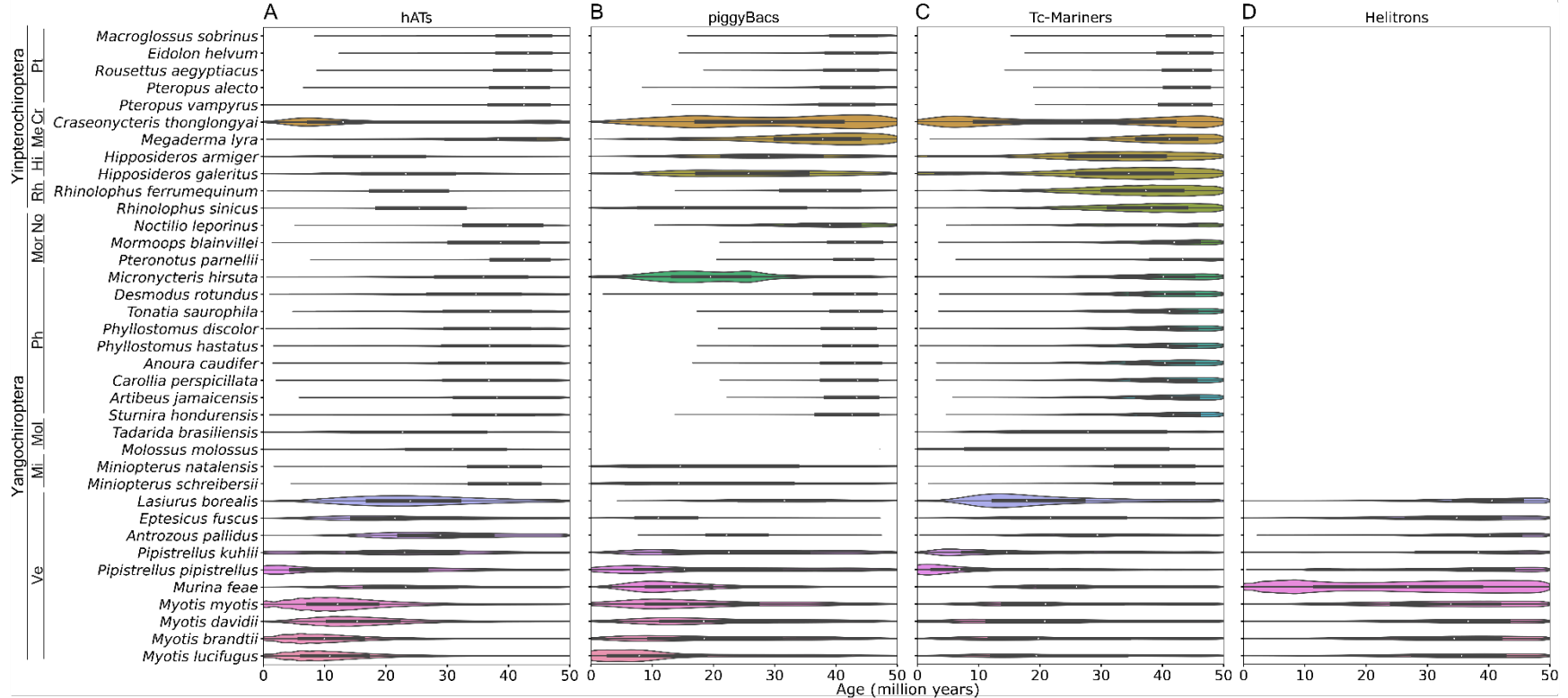
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139 ***Temporal Class II Transposon Accumulation in Bats***

140 To examine the temporal context of TE accumulation, we calculated each TE copy's divergence from the
141 TE consensus sequence and applied species-specific neutral mutation rates (Table S3) to assign insertion
142 times to each insertion. To explore temporal variation in Class II accumulation among lineages, we
143 visualized DNA/RC accumulation within the past ~50 My in Fig. 2. This figure illustrates broad patterns
144 of DNA transposon superfamily accumulation as it varies by bat family and patterns that are clearly
145 lineage specific. Each superfamily comprises multiple, potentially lineage-specific subfamilies.

146 For example, vespertilionid bats (Yangochiroptera) show substantial hAT accumulation within the last 40
147 My, with *Myotis* species showing the highest hAT accumulation between 10-20 Mya, coinciding with
148 species diverging between 10.9-18.2 Mya (Kumar, et al. 2022), while *Lasiurus borealis* appears to have
149 experienced a slightly older peak of accumulation 20-35 Mya (Fig. 2a). The two available *Pipistrellus*
150 species have experienced increased hAT accumulation within the last 5 My, well after the divergence of
151 the two species ~9.6-17.6 Mya (Kumar, et al. 2022). All vespertilionid bats show Helitron accumulation
152 across the last 50 My, including ancestral accumulation, but *Murina fcae* displays a surprisingly large
153 amount, with accumulation peaks ~10 and 40 Mya (Fig. 2d). Across other yangochiropterans,
154 *Micronycteris hirsuta* stands out as experiencing a burst of piggyBac accumulation not apparent in other
155 phyllostomids (Fig. 2b), otherwise phyllostomids show consistent patterns of ancestral Tc-Mariner
156 accumulation 40-50 Mya and little else (Fig. 2). *Noctilio leporinus* shows high Tc-Mariner accumulation
157 over the span of 25-50 Mya, with little accumulation more recently (Fig. 2c).

158 Yinpterochiropterans display similarly variable Class II accumulation (Fig. 2). Pteropodid bats display a
159 uniform lack of substantial DNA transposon accumulation within the last 50 My, with little to no
160 accumulation within the last 10 My (Fig. 2). This is consistent with previous observations of no
161 substantial retrotransposon accumulation over approximately the same period (Cantrell, et al. 2008;
162 Nikaido, et al. 2020). Other yinpterochiropterans show peaks of Tc-Mariner accumulation 35-40 Mya,
163 and low-level accumulation of other DNA transposons. *Craseonycteris thonglongyai* and its closest
164 relative in this study, *Megaderma lyra*, both have considerably higher piggyBac accumulation, and to a
165 much lesser extent hAT accumulation than other yinpterochiropterans. However, *C. thonglongyai* also
166 exhibits a striking increase of species-specific DNA transposon accumulation in the last 5-6 My, with a
167 second peak of hAT, piggyBac, and Tc-Mariner accumulation (Fig. 2a-c).



168 **Fig. 2. Violin plots of DNA transposon distributions by family in bats.** Distributions of (A) hAT, (B) piggyBac, (C) Tc-Mariner, and (D)
 169 Helitron elements within the last 50 million years in 37 bat species. Species are arranged phylogenetically; bat families are indicated by
 170 abbreviations left of species names and are as follows: Pt = Pteropodidae, Me = Megadermatidae, Cr = Craseonycteridae, Rh = Rhinolophidae, Hi
 171 = Hipposideridae, Ve = Vespertilionidae, Mi = Miniopteridae, Mol = Molossidae, No = Noctilionidae, Mor = Mormoopidae, Ph = Phyllostomidae.

172 ***Many More HT Events in Bats Compared to Other Mammals***

173 Lineage-specific TE subfamilies constitute much of the DNA and RC accumulation across bat lineages in
174 the last 50 My, an observation consistent with previous studies (Pritham and Feschotte 2007; Ray, et al.
175 2007; Ray, et al. 2008; Thomas, et al. 2011; Pagán, et al. 2012; Mitra, et al. 2013; Zhuo, et al. 2013; Platt,
176 et al. 2016). Unlike LINE retrotransposons, which tend to accumulate over long periods and exist as
177 multiple lineages in genomes, diversifying into sometimes numerous subfamilies (Konkel, et al. 2010;
178 Boissinot and Sookdeo 2016), DNA transposons are prone to inactivating internal deletions and tend to
179 have shorter lifespans (Lohe, et al. 1995; Smit 1996; Feschotte and Pritham 2007; Muñoz-López and
180 García-Pérez 2010; Gilbert and Feschotte 2018). As a result, recent accumulation of a wide variety of
181 DNA transposons is intriguing and suggests possible external origins.

182 Historically, the criterion used to identify a potential HTT is the presence of a unique TE in a given
183 genome and the corresponding absence from close relatives. While not always possible, confirming the
184 presence of a highly similar element in the genome of a distant relative serves as strong confirmation of
185 the HTT. An example is the presence of a piggyBac transposon, *piggyBac2_ML*, in the *Myotis lucifugus*
186 genome, and a highly similar element, *piggyBac2_Mm*, in the genome of *Microcebus murinus*, a lemur
187 (Pagan, et al. 2010). The concurrent absence of any similar elements in the genomes of other mammals
188 strongly suggests horizontal movement from one lineage to the other via some, usually unknown, vector,
189 such as a virus (Gilbert, et al. 2010; Thomas, et al. 2010; Gilbert, et al. 2014; Gilbert, et al. 2016; Gilbert
190 and Feschotte 2018).

191 We investigated possible HT of bat DNA transposons across mammals and other eukaryotes using a
192 broad-scale approach (Materials and Methods). We identified 221 putative HT DNA/RC transposons
193 representing 229 HT events involving bats (Table S4, S5, S6). Tc-Mariner elements are well-known as
194 frequent participants in HT (Peccoud, et al. 2017; Reiss, et al. 2019; Zhang, et al. 2020), and as expected,
195 comprise over a third of putative HT events ($n = 84$, 36.7%). Elements from the hAT, piggyBac, and
196 Helitron families make up the remaining 145 HT events ($n = 64$, 29, 52, respectively). BLAST searches
197 indicated no copies of these putative HTTs in any available eukaryote assembly (other than the
198 chiropteran assemblies from which it was originally detected) in all but 19 cases (see below). Previous
199 studies (Wallau, et al. 2012; Melo and Wallau 2020) have also used searches of orthologous insertion
200 sites in addition to BLAST to confirm patchy TE distributions of putative HTTs. However, the large
201 number of mammal assemblies and putative HTTs precluded such a large number of additional searches.
202 We therefore queried two outgroup species with high quality genome assemblies, *Bos taurus* and *Equus*
203 *caballus*, in detail for orthologous TE copies of the 221 putative HTTs. These searches yielded zero full-

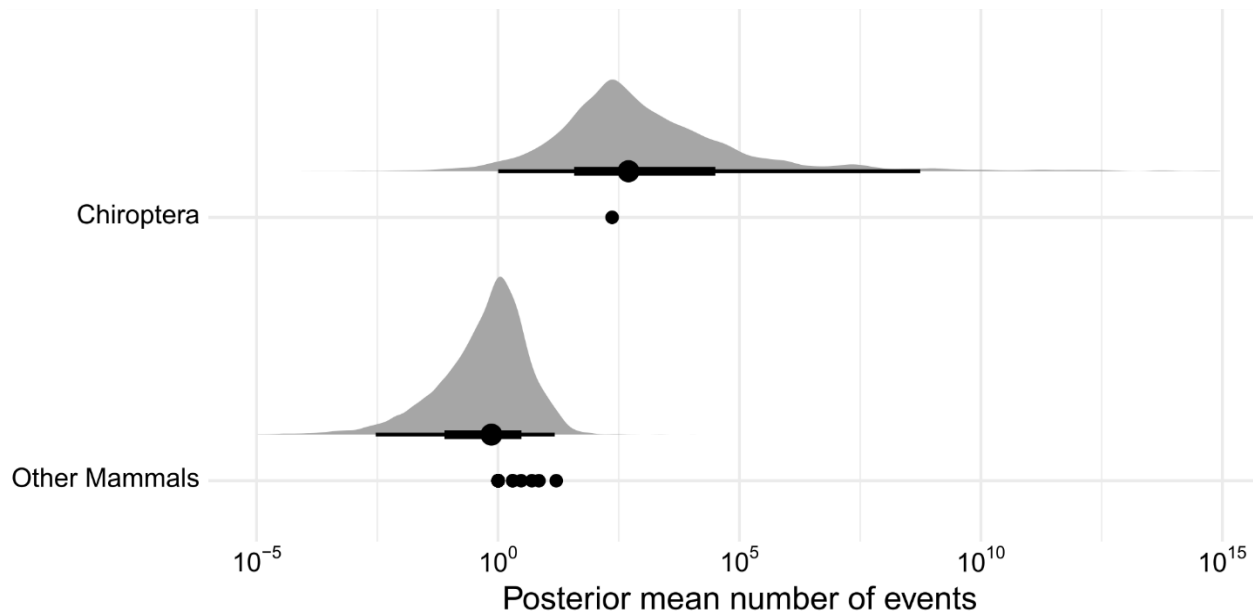
204 length or partial matches. These results along with the lack of BLAST hits are consistent with horizontal
205 transfer rather than prolonged vertical transmission.

206 Of the nineteen HTTs where a non-chiropteran match was identified by BLAST, sixteen elements
207 involved other eutherian clades including Lemuriformes (12 TEs), Afrosoricida (6 TEs), Scandentia (1
208 TE), and Eulipotyphla (1 TE) (Table 1, Table S5, S7). These HTTs included ten hAT elements, five Tc-
209 Mariner elements and two piggyBac elements. Two HTTs, *Mariner_Tbel* and *npiggy1_Mm*, were
210 previously identified as horizontal transfers involving mammals. *Mariner_Tbel* was previously found in
211 the tree shrew *Tupaia belangeri* (Oliveira, et al. 2012), consistent with our findings (Table S5, S7), as
212 well as the European hedgehog *Erinaceus europaeus*. *npiggy1_Mm*, a non-autonomous piggyBac element
213 previously identified as part of an HT event with its autonomous partner *piggyBac1_Mm* in the lemur
214 *Microcebus murinus* (Pagan, et al. 2010). Zero orthologous HTT insertions were found between these
215 mammals and bats indicating independent insertion events consistent with HT. A single autonomous hAT
216 element, *OposCharlie2*, was found in a marsupial, *Monodelphis domestica*, consistent with previous HT
217 studies (Gilbert, et al. 2010; Novick, et al. 2010). Only two elements were detected in non-mammals. An
218 autonomous Tc-Mariner, *Mariner2_pKuh*, was found in an African reedfish, *Erpetoichthys calabaricus*,
219 and the bat *Pipistrellus kuhlii* (52 and 327 copies, respectively (Table S7)), but not in the closely related
220 *Pipistrellus pipistrellus*. This is consistent with the estimated age of the element, ~2.2 My, which is
221 younger than the divergence of the two pipistrelle species, ~10 to 18 Mya (Kumar, et al. 2022). The
222 element has high sequence conservation as well, with 99.74% identity between the two species'
223 consensus sequences (Fig. S1). The second element, a non-autonomous Tc-Mariner, *nMariner1_Lbo*, was
224 identified in two lizard species, *Zootoca vivipara* and *Lacerta agilis*, as well as three vespertilionid bats
225 (Table S7), with sequence conservation of >83% among all species, >90% excluding the single insertion
226 in *Antrozous pallidus* (Fig. S2). Only five of the nineteen putative HTTs are autonomous. Our methods
227 assumed that many possible autonomous HTTs have <90 annotated copies in bat genomes, possibly due
228 to loss or degradation, but that the corresponding HT events are represented by these non-autonomous
229 counterparts.

230 **Table 1. Summary of putative horizontally transferred DNA transposons present in multiple eukaryote clades.**

TE Subfamily	TE Family	Consensus Length (bp)	Number of Species Involved							
			Polypteriformes	Squamata	Didelphimorphia	Afrosoricida	Scandentia	Lemuriformes	Eulipotyphla	Chiroptera
<i>CraTho-1.191</i>	hAT	191	0	0	0	0	0	4	0	5
<i>CraTho-2.327</i>	hAT	213	0	0	0	1	0	0	0	3
<i>EchTel-1.100</i>	hAT	334	0	0	0	2	0	0	0	5
<i>hAT-2N1_MM</i>	hAT	198	0	0	0	1	0	4	1	7
<i>MirCoq-4.2925</i>	hAT	223	0	0	0	0	0	3	0	2
<i>MurFea-1.231</i>	hAT	230	0	0	0	1	0	3	0	2
<i>MyoBra-4.2938</i>	hAT	229	0	0	0	0	0	2	0	4
<i>MyoBra-5.81</i>	hAT	192	0	0	0	0	0	4	0	5
<i>OposCharlie2</i>	hAT	2996	0	0	1	0	0	0	0	2
<i>SPIN_NA_9_Ml</i>	hAT	311	0	0	0	2	0	0	0	5
<i>SPIN_Og</i>	hAT	2836	0	0	0	2	0	2	0	13
<i>npiggy1_Mm</i>	piggyBac	240	0	0	0	0	0	2	0	1
<i>npiggyBac-2_EF</i>	piggyBac	172	0	0	0	0	0	2	0	1
<i>DNA2_pKuh</i>	Tc-Mariner	152	0	0	0	0	0	1	0	4
<i>Mariner2_pKuh</i>	Tc-Mariner	2292	1	0	0	0	0	0	0	1
<i>Mariner3_pKuh</i>	Tc-Mariner	2282	0	0	0	0	0	1	0	2
<i>Mariner_Tbel</i>	Tc-Mariner	1283	0	0	0	0	2	0	0	3
<i>nMar1_Rf</i>	Tc-Mariner	236	0	0	0	0	0	2	0	6
<i>nMariner1_Lbo</i>	Tc-Mariner	184	0	2	0	0	0	0	0	3
Total # Unique Species			1	2	1	2	2	5	1	18

232 In contrast to the 229 HT events in bats, few possible HT events were identified in other mammals
233 (detailed above and in Christmas et al. (forthcoming)). Of the six other orders with HT events, only
234 Primates and Afrosoricida had more than five events (15 and 6, respectively). To compare HT events
235 between the 37 bats and 213 other eutherian mammal species, we modeled the number of events by
236 mammalian order (Table S8) using a negative binomial distribution and estimated HT means for both bats
237 and non-bats. Although bats represent only one mammalian order, this point observation can be compared
238 to the posterior distribution of the mean of HT events across eighteen other orders (equivalent to a one
239 sample t-test for normal data). As there is only a single order to estimate the mean for bats, posterior
240 distribution of these estimates overlap (Fig. 3). However, considering there is only a single point estimate
241 of HT for bats, it does not overlap with the posterior mean of HT for all other mammalian orders. This
242 demonstrates that there were many more HT events in bats than in other mammalian orders.



243 **Fig. 3. Posterior distributions of group category (bats vs. non-bat eutherian mammals) on**
244 **horizontal TE transfer counts.** A constant of 1 was added to HTT counts for plotting to show the wide
245 range of posterior estimates, which spans many orders of magnitude. For each coefficient: black dots
246 show median, thin lines show the 95% posterior probability, thick lines show the 66% posterior
247 probability, and gray shows the posterior density of the estimates. Black dots show the observations on
248 which the models were based.

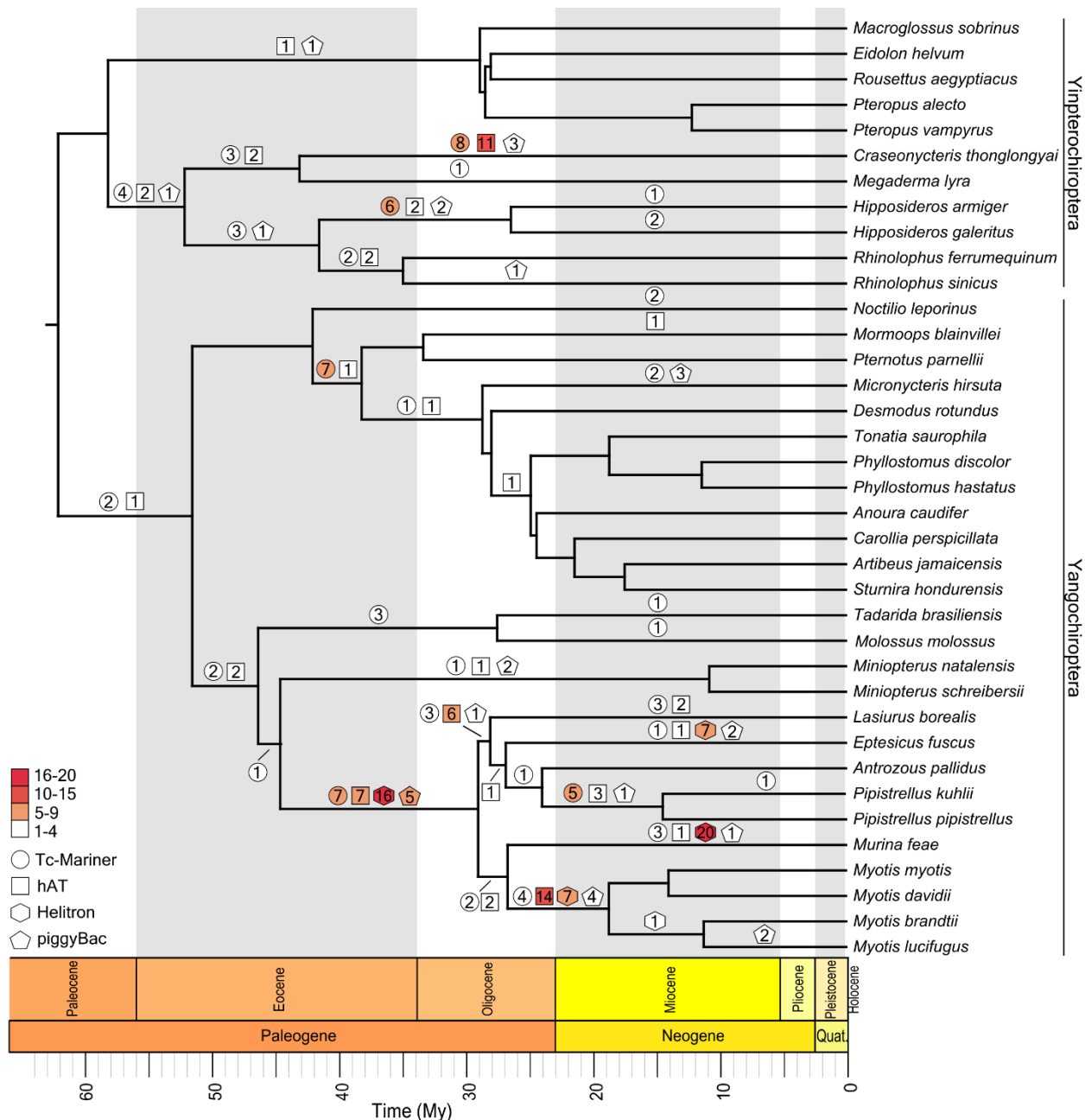
249 *Varying HT Patterns and Rates in Chiroptera*

251 We explored large scale patterns of HT within bats by mapping the 229 putative HT events onto a bat
252 phylogeny based on the presence/absence patterns of each element and its estimated average age (Fig. 4,
253 Table S6). As expected, there were far more putative HT events in yangochiropterans than in

254 yinpterochiropteran lineages (170 and 59, respectively) but the distribution is exceptionally uneven within
255 each clade. More than a third of all HT in Yinpterochiroptera are unique to *Craseonycteris thonglongyai*,
256 with only two relatively ancient examples occurring in Pteropodidae. Similarly, within Yangochiroptera a
257 large majority ($n = 134$, 78.8%) of HT events involve only vespertilionid bats. Interestingly, 8 different
258 elements appear to have independently invaded both Vespertilionidae and either *C. thonglongyai* or the
259 Rhinolophoidea ancestral branch (Table S5, S6), though it is unclear if these represent initial HT into one
260 bat clade followed by HT between bats, a pair of independent HT from outside Chiroptera into different
261 bat clades, or some combination thereof. Searches for orthologous insertions of the eight HTTs among
262 representative species (*Hipposideros galeritus*, *C. thonglongyai*, *Myotis myotis*, and *Pipistrellus*
263 *pipistrellus*), yielded zero matching orthologous insertions.

264 We then calculated HT event rates for bat lineages. Yangochiropterans had almost double the average HT
265 rate of yinpterochiropterans, with a rate of 0.277 versus 0.146 putative HT/My, respectively (Table S9).
266 However, we found a broad range of HT rates within both groups. Within Yinpterochiroptera, rates
267 ranged from 0.023 for *Megaderma lyra* to 0.512 for *C. thonglongyai*. The ancestral branch for
268 Hipposideridae and Rhinolophidae had the second-highest rate at 0.244. Within Yangochiroptera, rates
269 varied between 0.022 at the ancestral branch for Miniopteridae and Vespertilionidae and 1.593 at the
270 ancestral branch for the four *Myotis* species, which was also the highest HT rate within examined
271 lineages. The second-highest rate within Yangochiroptera was in the ancestral Vespertilionidae branch, at
272 1.215 (Table S9).

273 Within bats, Hipposideridae, Rhinolophidae, and Vespertilionidae are among the most species rich clades
274 while also exhibiting some of the highest TE diversity. This raises the question of a relationship between
275 species richness and HT events. The relationship between species diversity and HT events was indeed
276 stronger than for mammals more generally (Fig. 1). However, the relationship between species richness
277 and HT events, despite considerable variation across TE types, proved to be statistically unsupported (Fig.
278 S4, Table S11) but intriguing. This was also the case for only young TE counts (Fig. S5, Table S12). By
279 increasing statistical power, additional data has the potential to influence future understanding of this
280 relationship.



281 **Fig. 4. Horizontal transfer of DNA transposons within Chiroptera.** Inferred horizontal transfer (HT)
 282 events of 221 unique transposable elements from Tc-Mariner (circle), hAT (square), Helitron (hexagon),
 283 or piggyBac (pentagon) families are labelled on corresponding branches. Shape color indicates numerical
 284 range of putative HT events on a given branch: white = 1-4 elements, pink = 5-9, red = 10-15, dark red =
 285 16-20; number of events included within each marker. Phylogeny is scaled by estimated divergence times
 286 in millions of years (My). HT event branch assignment inferred from presence/absence patterns and the
 287 element's average age. Phylogenetic relationships are based on Foley et al. (2022) and Amador et al.
 288 (2018); estimated lineage divergence times (Table S10) taken from TimeTree (Kumar, et al. 2022).

289

290 **Discussion**

291 Our results, in combination with those of Christmas et al. (forthcoming), indicate that bats are a hotspot
292 for horizontal transfer of DNA transposons within mammals. This was a broad-scale, computational
293 approach to identify HTT and we used several conservative search thresholds that excluded candidate HT
294 DNA transposons with low copy number (<90 annotated insertions) in bats, such as *Helibat1* and
295 *SPIN_M1*, both previously identified as HTT with limited distributions (Pace, et al. 2008; Thomas, et al.
296 2011). We also excluded many highly similar elements to avoid inflation from vertically diversifying
297 elements, including highly similar deletion products. This could have yielded false negatives in both our
298 mammalian targets and other eukaryotes. Further research into potential vectors such as eukaryotic
299 parasites and viruses will require less conservative methods to detect low copy or fragmented elements.
300 Despite these limitations, we found several hundred HT events, which likely are an underrepresentation of
301 the number of HT events that have occurred within Chiroptera, particularly as HT is more likely than
302 vertical persistence of DNA transposons (reviewed in Feschotte and Pritham 2007; Wells and Feschotte
303 2020). In comparison to other mammals, bats have far more HT events, and substantially higher recent
304 DNA transposon accumulation, even when compared to mammals known to have experienced HTT, such
305 as *Otolemur garnettii*, *Microcebus murinus*, or *Echinops telfairi* (Fig. 1, Fig. 3, Table S2, Table S8).
306 While our searches did identify four species with higher than the mammalian average recent DNA
307 transposon accumulation, these instances are clearly exceptions among non-bat eutherians and not the
308 rule.

309 To better clarify the distributions and impacts of these HT events, more even sampling across bat lineages
310 is required, particularly within large species complexes. For example, the genus *Rhinolophus* consists of
311 ~100 species divided among 15 species groups (Csorba, et al. 2003; Stoffberg, et al. 2010; Demos, et al.
312 2019), but was represented by only two genome assemblies. Since most genera are only represented by a
313 single species, it should be noted that HT events mapped to terminal branches may represent HTs into a
314 common ancestor of multiple species rather than our representative terminal species. That said,
315 underrepresentation within genera would not explain the numerous lineage specific HTs of *C.*
316 *thonglongyai* (26), which is a monotypic genus.

317 Consistent with the TE-Thrust hypothesis, most inferred HT events in Fig. 4 map to families or genera
318 that have undergone rapid diversification. Owing to their potential for genomic innovation, TE
319 expansions in a genome represent an opportunity for those genomes to gain variation that could lead to
320 adaptive opportunities (Oliver and Greene 2011, 2012), giving rise to the TE-Thrust hypothesis. HT
321 events are concentrated at the base of *Hipposideros* and *Rhinolophus* (Foley, et al. 2015), which have 90

322 and 106 recognized species, respectively, and Vespertilionidae (Lack and Van Den Bussche 2010), which
323 currently consists of 512 species, and basal lineages within it, such as genus *Myotis*, which comprises 131
324 species (Simmons and Cirranello 2020, accessed 4 September 2021). Thus, intermittent HT and
325 subsequent bursts of TE amplification correspond to diversification of several large clades across
326 Chiroptera. The TE-thrust hypothesis also proposes a ‘Goldilocks Zone’ of TEs and evolutionary
327 potential: too little TE activity results in evolutionary stasis, too much would cause detrimental genomic
328 instability, but moderate amounts of TE activity and accumulation can allow genomic dynamism and
329 potentially rapid lineage evolution and diversification (Oliver and Greene 2011, 2012). The data we
330 present is consistent with these predictions. Some bat lineages, having experienced an influx of highly
331 successful DNA transposons, may have exploited the increased genomic diversity to aid their expansion
332 into multiple niches. Alternatively, higher species richness could lead to more HT events due to increased
333 ecological interactions with potential HT sources and/or vectors, which could synergize with initial HT-
334 driven diversification. Or environmental heterogeneity may promote speciation and HT, without HT
335 directly impacting species diversification. This seems less likely given documented Helitron capture of
336 host promoters and exons in *Myotis* (Thomas, et al. 2014). Helitron-driven tissue-specific nuclear gene
337 transcription was shown in *Myotis brandtii* (Grabundzija, et al. 2016), and Cosby et al. (2021) identified
338 numerous DNA transposase-gene fusions with broad gene regulatory functions that vary across bat
339 clades, including two fusion genes specific to vespertilionids. However, we did not find statistical support
340 for associations between horizontally transferred elements and descendent species richness, or young
341 (≤ 50 My) TE accumulation and species richness, likely due to the few bat species sampled and the high
342 variance of species richness represented by each of our focal taxa. We plan to address this in the future as
343 additional high-quality genome assemblies are released and statistical power is increased.

344 While we do not know why bats are hotspots for HT, HT-associated TE diversity and accumulation, our
345 results may indicate a higher tolerance for TE activity in bats. Possible factors influencing this presumed
346 tolerance could include adaptations in DNA repair pathways and expression (Seim, et al. 2013; Zhang, et
347 al. 2013; Foley, et al. 2018; Huang, et al. 2019) allowing higher TE loads. Tolerance may also have been
348 influenced by the potential adaptations in bat immune responses that allow them to experience low viral
349 loads but many circulating viruses with little apparent negative effects and rapid viral spreading in hosts
350 (Subudhi, et al. 2019; Brook, et al. 2020; Jebb, et al. 2020; Irving, et al. 2021; Moreno Santillán, et al.
351 2021). As viruses are likely candidates for transferring TEs (Gilbert, et al. 2010; Thomas, et al. 2010;
352 Gilbert, et al. 2014; Gilbert, et al. 2016; Gilbert and Feschotte 2018), variability within and across bat
353 lineages in these immune-related gene expansions and losses (Moreno Santillán, et al. 2021), diversity of
354 viruses present (Jebb, et al. 2020), as well as impacts of variable geographic proximity (Peccoud, et al.

355 2017) may help explain the higher frequency of HTT in chiropterans and variability of HT success across
356 bat lineages.

357 Differential bat ecology may also represent part of the answer. Previous studies have implicated blood
358 feeding arthropods such as *Rhodnius prolixus*, an insect vector of Chagas disease, as a vector for HT
359 (Gilbert, et al. 2010; Matthews, et al. 2011). Herbivorous bats have significantly less recent DNA
360 transposon accumulation than carnivorous species (Osmanski, et al. 2022). These observations suggest
361 insectivorous species may be more susceptible to HT than species with other dietary habits. And indeed,
362 the clade of bats exhibiting the highest rate of putative HT in our study is the family Vespertilionidae,
363 which is almost exclusively insectivorous (Nowak 1999; Fenton and Bogdanowicz 2002; Morales, et al.
364 2019). *C. thonglongyai*, rhinolophids, and hipposiderids are also insectivorous (Arbour, et al. 2019; Pavey
365 2021) and stand out as exceptional genomic habitats for HT of DNA transposons. Yet despite their
366 openness to HT, only a handful of types have been successful and with the emphatic exception of
367 Helitrons in vespertilionids, bats do not seem to have much more diversity in DNA transposons compared
368 to other eutherians. Why this is the case is still unclear.

369 The potential impacts of these HTT on bat genome evolution cannot be understated. TEs generally are a
370 potent source of genomic variation that can impact genes and genome structure in numerous ways
371 (Schaack, et al. 2010; Oliver and Greene 2012; Casacuberta and González 2013; Gilbert and Feschotte
372 2018). Studies in other mammals have shown low conservation of regulatory sites, and TEs play critical
373 roles in restructuring regulatory networks by contributing lineage-specific transcription factor binding
374 sites and regulatory elements (Wang, et al. 2007; Kunarso, et al. 2010; Schmidt, et al. 2012; Chuong, et
375 al. 2013; Jacques, et al. 2013; Sundaram, et al. 2014; Notwell, et al. 2015; Trizzino, et al. 2017; Judd, et
376 al. 2021). DNA transposons are no exception. Previous work has shown Helitron-mediated exon and
377 promoter shuffling and substantial genome inflation within bats (Thomas, et al. 2014), as well as
378 transposon cooption events resulting in gene fusion and changes in gene network regulation (Cosby, et al.
379 2021). DNA transposons are well suited to exaptation into transcription factors, as their encoded
380 transposase proteins, a DNA binding domain and a catalytic nuclease domain, can be domesticated or
381 repurposed for host cellular functions (Feschotte and Pritham 2007). Known host-transposase fusion
382 genes include *GTF2IRD2* in placental mammals (Tipney, et al. 2004), *SETMAR* and *CSB-PGBD3* in
383 primates (Cordaux, et al. 2006; Newman, et al. 2008), and *KRABINER* in Vespertilionid bats (Cosby, et
384 al. 2021).

385 We note that a weakness of our study is the identification of only a few potential donor/recipient
386 relationships to the species level. This, however, is to be expected given the paucity of animal genome
387 assemblies available to search. Only several thousand animal genomes are available of the ~7.8 million

388 animal species currently estimated to exist (Mora, et al. 2011). Thus, while determining the likely HT
389 partner in any given HT event would be ideal, doing so in all cases is difficult. We point out that, given
390 our current understanding of evolutionary processes, the sudden appearance of multiple intact sequences
391 with the hallmarks of DNA transposons in a lineage is likely the result of HT.

392 The observations presented here suggest that HTT events involving Class II transposable elements
393 contribute to bat genomic diversity to a degree not found in other mammals. The cause of this propensity
394 toward DNA transposon invasion is currently a mystery but future investigations may reveal the genomic
395 characteristics that make one species more or less likely to be a safe harbor for horizontally transferred
396 TEs. Regardless of the reasons and mechanisms behind the multiple invasions, the correspondence
397 between high rates of HTT events and species radiations in several large bat clades suggests that HTT
398 activity facilitates genomic innovation and taxonomic diversity. Our results shed new light on the extent
399 of HTT in bats, but not the impacts of each example or lineage. More research is needed to clarify the
400 specific roles that these TE expansions have played in bat diversification and genome evolution.

401

402 **Materials and Methods**

403 ***1.1 Taxon Selection***

404 We examined 37 bat genome assemblies and 214 other eutherian mammal assemblies for this work
405 (Table S1). These included assemblies from the Zoonomia sequencing effort (Genereux, et al. 2020),
406 publically available assemblies, and from other sources such as the Bat1k consortium (Jebb, et al. 2019;
407 Wang, et al. 2020; Moreno Santillán, et al. 2021). In cases where species were represented by individuals
408 in the Zoonomia project, but the assemblies generated by other efforts were of higher quality, we replaced
409 the Zoonomia assemblies with the alternates (Table S13). We used a combination of PacBio, Bionano,
410 HiC, and Illumina sequencing to generate high quality assemblies for *Eptesicus fuscus* and *Antrozous*
411 *pallidus* (see Supplemental Methods).

412 ***1.2 Annotation of Mammalian Transposable Element Insertions***

413 We used the curated *de novo* transposable element (TE) consensus sequence library described in
414 Osmanski et al. (2022) to annotate TE insertions in all selected species using RepeatMasker v4.1.2-p1
415 (Smit, et al. 2013-2015) with the RMBlast search engine. Output was processed using RM2Bed.py, a
416 utility in the RepeatMasker package, with TE insertion overlap resolution by lower divergence values (-o
417 lower_div). TE insertion accumulation and temporal distributions were visualized using matplotlib
418 (Hunter 2007) in Python v3.7.6. We estimated individual TE insertion ages by calculating species-specific
419 neutral mutation rates for all lineages within the last ~50 My using pairwise branch lengths from Foley et

420 al. (2022) and median divergence times for each species versus an outgroup mammal taxon from
421 TimeTree (Kumar, et al. 2022). We then evaluated the TE content of the 213 non-bat eutherian mammals
422 and selected the four species with the highest recent DNA transposon accumulation to compare to bats, as
423 well as four other species representing eutherian orders closely related to Chiroptera. Annotations for
424 rolling-circle elements (Helitrons) in bat species outside of Vespertilionidae were excluded from these
425 visualizations, as these are known to be false positives, as discussed in Osmanski et al. (2022).

426 ***2.1 Identification of Putative Horizontally Transferred Class II TEs Involving Chiroptera and Other*** 427 ***Mammals***

428 We selected DNA/RC elements with ≥ 90 annotated copies in at least one bat species as our initial set of
429 HT candidates. We then used the library consensus sequences (107) of this initial TE set as queries in
430 BLAST searches utilizing what we refer to as the 90-90-90 rule (described below), a more conservative
431 version of the 80-80-80 rule developed by Wicker et al. (Wicker, et al. 2007). We searched for TE copies
432 meeting our conservative criteria of present in the genome assemblies of one or more bat species. To
433 identify any additional eukaryote involvement, we performed BLAST searches of these elements across
434 all available eukaryote genome assemblies in the NCBI databases.

435 Putative horizontally transferred transposable elements (HTT) were defined as TE insertions annotated in
436 an assembly with < 90 insertions called in closely related species. We narrowed our search for HTT to
437 DNA transposon and rolling-circle transposons with ≥ 90 copies annotated by RepeatMasker in one or
438 more bat species. We then used the same TE consensus sequences as queries for blastn searches
439 (BLAST+ v2.11.0 (Camacho, et al. 2009)) in said bat genomes and implemented the 90-90-90 rule to
440 identify potential HTTs. The criteria of the 90-90-90 rule are 1) the element must be ≥ 90 bp in length, 2)
441 share $\geq 90\%$ sequence identity with one another, and 3) have a total ungapped length matching $\geq 90\%$ of
442 the consensus sequence. To further exclude potentially erroneous hits from similar elements harboring
443 short insertions, the element copies must have been $\leq 10\%$ longer than the query consensus sequence
444 length. We also excluded potential duplicate elements or vertically diversifying elements with $\leq 5\%$
445 sequence divergence using the cross_match utility of Phrap v0.990319 (Gordon 2003). Similarly, to
446 account for and exclude DNA transposon deletion products, we used the same query consensus sequences
447 as before to perform a modified CD-HIT (Storer, Hubley, Rosen and Smit 2021) search for candidate
448 HTT sequences that cluster together. This search performs two successive cd-hit searches. The first
449 clusters elements $\geq 90\%$ identical, the second search adds elements $> 80\%$ similar to existing clusters or
450 generates new ones. Elements that clustered together and had overlapping presence/absence patterns
451 across bat species were collapsed into a single presumed HT event.

452 We then performed a final manual curation by comparing alignments of candidate HTT consensus
453 sequences to all other elements in the TE consensus library from section 1.2 to identify any deletion
454 products that were not identified in the previous clustering step. To estimate the age of each TE insertion
455 within a species, we calculated modified Kimura two-parameter (K2P) distances for each TE copy
456 compared to the library consensus sequence using RepeatMasker's alignAndCallConsensus and Linup
457 utilities (Smit, et al. 2013-2015). We then mapped the HT events onto a phylogenetic tree of our 37 bat
458 species based on the presence/absence pattern of the putative HT elements from our filtered blastn results
459 and their average ages. TE ages were calculated per species using the average K2P distance and the
460 species-specific neutral mutation rates. The phylogenetic tree was built based on Foley et al. (2022) and
461 Amador et al. (2018), and used a combination of non-conflicting average or median divergence estimates
462 from TimeTree (Table S10) (Kumar, et al. 2022), accessed 3 September 2021.

463 **2.2 Orthologous TE Insertion Searches within Mammalia**

464 To identify possible orthologous copies of putative HTTs, we performed pairwise orthologous site
465 searches between twenty-eight bats species and two mammal outgroups, *Bos taurus* and *Equus caballus*,
466 using Zoonomia's 241 mammal genome alignment (Genereux, et al. 2020). With the exception of
467 *Noctilio leporinus*, the other eight bat species not present in the genome alignment were represented by
468 other members in the same family, if not the same genus. For each of the twenty-eight bat species, we
469 generated a BED file of the coordinates of each copy of a putative HTT in the final dataset from 2.1 with
470 50 bp flanking sequence on either end. We then identified the orthologous sections of the outgroup
471 genomes with the utility hallLiftover, and merged all close (≤ 2 bp) coordinate hits for the same TE copy
472 into a single hit using BEDTools sort and mergeBed (Quinlan and Hall 2010). We then performed a series
473 of TE annotations for all orthologous sites in the target outgroup species, first using RepeatMasker (Smit,
474 et al. 2013-2015) with a combined mammalian TE consensus library of ancestral mammal repeats from
475 the Dfam database v.3.6 (Storer, Hubley, Rosen, Wheeler, et al. 2021) and our original library from
476 section 1.2. Any annotations matching one of the 221 putative HTTs were then subjected to an additional
477 annotation and alignment with the cross_match utility (Gordon 2003). Any cross_match annotations
478 matching one of the 221 putative HTTs were then manually checked for 1) TE identity match to the copy
479 at the bat site, 2) alignment size and score, and 3) site alignment to bat species (e.g. were there large
480 (>1000 bp) gaps). The same process of pairwise orthologous site searches was performed with
481 representative species for mammal groups harboring any of putative HTTs, which included *Microgale*
482 *talazaci* (Afrosoricida), *Tupaia chinensis* (Scandentia), *Nycticebus coucang* (Lemuriformes), *Crociodura*
483 *indochinensis* (Eulipotyphla). These mammals were paired with representative bat species: *Hipposideros*
484 *galeritus*, *Myotis myotis*, *Murina fuae*, and/or *Pipistrellus pipistrellus*. We also performed orthologous

485 site searches between representatives of the two bat suborders: Yinpterochiroptera (*Craseonycteris*
486 *thonglongyai*, *Hipposideros galeritus*) and Yangochiroptera (*Myotis myotis*, *Pipistrellus pipistrellus*).

487 **2.3 Identification of Putative Horizontally Transferred TEs Outside of Mammalia**

488 After identifying HT events, we applied the above methodology to identify possible HT events between
489 Chiroptera and non-mammal eukaryotes. We performed blastn searches of the eukaryotic reference
490 genome database (accessed 6 April 2021 (Camacho, et al. 2009)), excluding mammals, using the
491 consensus sequences from the putative chiropteran HTTs as our query input. To reduce false negatives in
492 distantly related taxa, we used the criterion of ≥ 90 full-length or near full-length copies for non-
493 autonomous elements, and a lower threshold of ≥ 50 copies for autonomous elements. As non-autonomous
494 copies tend to make up the majority of DNA transposon insertions (Lohe, et al. 1995; Feschotte and
495 Pritham 2007; Muñoz-López and García-Pérez 2010), this threshold is more likely to detect true
496 evolutionarily recent HTT in more distantly related organisms. To identify autonomous elements, we
497 searched for open reading frames (ORFs) via the getorf utility of EMBOSS v6.6.0 (Rice, et al. 2000) in
498 species-specific consensus sequences of the putative HTT generated from a custom script,
499 `extend_align.sh`, which is available on Github (https://github.com/davidaray/bioinfo_tools). We identified
500 transposase ORFs by performing blastx searches.

501 **2.3 Testing for Associations with Species Richness**

502 Two sets of analyses were conducted. First, we tested the association between horizontally transferred
503 TEs and fraction species richness modelling both these variables with errors. Then, we modelled fraction
504 species richness as a function of cumulative young (≤ 50 My) TEs (see Supplemental Methods for details).

505

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782 github.com/daray/bat_ht.