1	Title:
2	Nascent evolution of recombination rate differences as a consequence of
3	chromosomal rearrangements
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## 16 Abstract

17 Reshuffling of genetic variation occurs both by independent assortment of chromosomes and by 18 homologous recombination. Such reshuffling can generate novel allele combinations and break linkage 19 between advantageous and deleterious variants which increases both the potential and the efficacy of natural 20 selection. Here we used high-density linkage maps to characterize global and regional recombination rate 21 variation in two populations of the wood white butterfly (Leptidea sinapis) with distinct karyotypes. The 22 recombination data were compared to estimates of genetic diversity and measures of selection to assess the 23 relationship between chromosomal rearrangements, crossing over, maintenance of genetic diversity and 24 adaptation. Our data show that the recombination rate is influenced by both chromosome size and number, 25 but that the difference in recombination rate between karyotypes is reduced as a consequence of a higher 26 frequency of double crossovers in larger chromosomes. As expected from effects of selection on linked 27 sites, we observed an overall positive association between recombination rate and genetic diversity in both 28 populations. Our results also revealed a significant effect of chromosomal rearrangements on the rate of 29 intergenic diversity change between populations, but limited effects on polymorphisms in coding sequence. 30 We conclude that chromosomal rearrangements can have considerable effects on the recombination 31 landscape and consequently influence both maintenance of genetic diversity and efficiency of selection in 32 natural populations.

### 33 Author summary

34 Reshuffling genetic variation is fundamental for maintaining genetic diversity and creating novel allelic 35 combinations. The two main processes involved are the independent assortment of chromosomes and 36 homologous recombination. The number and size of chromosomes can influence the amount of pairwise 37 reshuffling and local recombination patterns. However, studying this in natural populations is challenging. 38 In this study, we used the wood white butterfly, which exhibits an extreme within-species karyotype 39 difference. Extensive fusions and fissions have resulted in almost twice as many chromosomes in the 40 southern populations compared to the northeast populations. This unique system allowed us to assess the 41 relationship between karyotype differences, pairwise reshuffling, recombination rate variation and 42 subsequent effects on diversity and linked selection. We found that a higher number of chromosomes result 43 in a higher recombination rate, although the difference was less than expected due to multiple 44 recombination events occuring on longer chromosomes. Both populations showed an association between 45 recombination rate and genome-wide patterns of genetic diversity and efficacy of selection. We provide 46 evidence that chromosomal rearrangements have considerable effects on the recombination landscape and 47 thereby influence the maintenance of genetic diversity in populations.

# 48 Introduction

49 Genetic variation is a prerequisite for evolutionary change and mutation is the ultimate source of novel 50 genetic variants. However, mutation only contributes to variation at individual genomic sites and 51 establishment of new combinations of alleles across loci is dependent on reshuffling via independent 52 segregation and recombination. Physical linkage can lead to a reduction in genetic diversity as a 53 consequence of fixation or loss of haplotype blocks, either by random drift, or more rapidly by selection 54 via hitch-hiking and/or background selection [1,2]. Recombination events resolved as crossovers (from here 55 on recombination) breaks physical linkage and generally leads to maintenance of genetic variation by generating a higher number of segregating haplotypes in the population [3–5]. Physical linkage between 56 57 variants influenced by natural selection can also result in less efficient selection than if such variants would 58 have been segregating independently. This process is generally referred to as Hill-Robertson interference, 59 and can lead to an increased accumulation rate of deleterious mutations in regions with reduced 60 recombination rate [6,7]. However, reshuffling can also break up co-adapted or synergistically interacting 61 loci and therefore hinder beneficial epistasis.

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63 Reshuffling of genetic variation occurs by two different mechanisms in diploid organisms. First, the 64 independent assortment of homologous chromosomes to the gametes during meiosis (Mendel's second law) 65 results in reshuffling of loci located on different chromosomes. Hence, the higher the number of 66 chromosomes in an organism, the higher the potential for pairwise reshuffling. However, the effect renders 67 a diminishing return as the probability of pairwise reshuffling approaches the maximum of 0.5 [8]. Second, 68 the exchange of genetic material between chromosome pairs during homologous recombination can lead to 69 novel allele combinations within chromosomes. Consequently, characterizing where and how often 70 recombination events occur along chromosomes is key to understanding maintenance of genetic diversity 71 and the efficiency of selection in different parts of the genome. Empirical data have unveiled that the 72 recombination rate differs over several orders of magnitude, both among species, between chromosomes

73 and across different genomic regions [9–11]. Interspecific variation in genome wide recombination 74 frequency could be related to differences in haploid chromosome number [10] since at least one 75 recombination event per chromosome pair is necessary for correct segregation during meiosis in many 76 organisms [9–11]. However, there are exceptions to this generalisation. In Lepidoptera females and some 77 Diptera males, for example, where meiotic divisions are achiasmatic [12-14]. The location and frequency 78 of crossover events along chromosomes has been investigated in several different organisms. The results 79 so far generally show that the recombination landscape can be highly heterogeneous and that location and 80 frequency of recombination events can be affected by, for example, interference between chiasmata, 81 presence of centromeres and telomeres, nucleotide composition and chromatin state [9,10,15–17]. 82 However, despite the potential importance of chromosomal rearrangements on the recombination landscape 83 and recombination-dependent evolutionary processes, detailed analyses of links between karvotype 84 changes and recombination are limited, in particular in natural populations where fine-scale recombination 85 rate data have been difficult to establish.

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87 In order to explore how karvotype differences affect the recombination rate in a natural system, we used 88 the wood white butterfly (Leptidea sinapis), a species within the Eurasian genus Leptidea which have 89 considerable interspecific karyotype variability compared to the majority of other lepidopterans which usually have a remarkably stable karyotype of  $2n \sim 62$  [18]. Within L. sinapis, there is also extreme 90 91 intraspecific variation in karyotype; chromosome numbers range from 2n = 56 - 62 in the northern 92 (Scandinavia) and eastern (central Asia) parts to 2n = 108 - 110 in the south-western (Iberian peninsula) 93 part of the distribution range [19,20]. We know from genomic data that the intraspecific karyotype 94 rearrangements in L. sinapis predominantly have been driven by recurrent fissions and fusions and that 95 fission/fusion polymorphisms currently segregate in different populations [21]. Previous analyses in other 96 butterfly species have unveiled an inverse relationship between chromosome size and recombination rate 97 [22], and a positive association between chromosome count and neutral diversity across Lepidoptera 98 [23,24]. In addition, a detailed analysis in *Heliconius ssp.* has shown that fused chromosomes have a

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99 reduced recombination rate and genetic diversity as compared to chromosomes not involved in 100 rearrangements [25,26]. Here, we use a study system that allows us to investigate the effects of extensive 101 chromosomal rearrangements, both fissions and fusions, on the recombination landscape and 102 recombination-dependent evolutionary processes.

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104 The aims of the study were: i) to characterize the recombination landscape in Swedish (2n = 56, 57) and 105 Catalan (2n = 106 - 110) populations of *L. sinapis*, two populations with highly different karyotypes, ii) to 106 compare the genome-wide probability of pairwise reshuffling between the two populations, and, iii) to 107 quantify the effect of chromosomal rearrangements on maintenance/loss of genetic diversity and the 108 efficacy of selection. We hypothesized that the Catalan population, with a more fragmented karyotype, 109 would have a higher recombination rate and a higher total pairwise reshuffling rate, resulting in reduced 110 linkage disequilibrium, higher neutral genetic diversity and increased efficacy in removal of slightly 111 deleterious mutations.

# 112 Results

#### 113 Recombination rates

114 We used pedigree data from a previous study to estimate the recombination rate from linkage maps for the 115 two populations. The total recombination distance was considerably longer for the Catalan (2,300 cM) than 116 for the Swedish (1,711 cM) population (Suppl. Fig. 1, Suppl. Table 1). Despite a shorter recombination 117 distance per chromosome in the Catalan (average = 43 cM; range = 10 - 90 cM) compared to the Swedish 118 population (average = 59 cM; range 33 - 84 cM), the average recombination rate in the Catalan population 119 was significantly higher (mean and standard deviation;  $4.25 \pm 211$  cM / Mb) than in the Swedish population  $(3.03 \pm 641 \text{ cM/Mb})$  (Wilcoxon's test; W = 1,726,620, p-value = 4.33\*10<sup>-02</sup>; Fig. 1). In the Catalan 120 121 population, the recombination rate was lower on the Z-chromosome than on the autosomes, but this 122 difference was not significant (Z-chromosomes =  $2.86 \pm 139$ ; Autosomes =  $4.46 \pm 217$  cM / Mb; Wilcoxon's test; W = 168,928; p-value =  $3.99*10^{-01}$ ). This effect can be a consequence of the relative 123 124 difference in size between the Z-chromosomes and the autosomes in the two different populations. The Z-125 chromosomes are virtually conserved between the two populations and hence constitute the largest 126 chromosomes in the Catalan population, except for one large autosome. In the Swedish population on the 127 other hand, the sizes of the Z-chromosomes are comparable to the majority of autosomes and the 128 recombination rates of the two chromosome classes were also very similar ( $Z = 3.03 \pm 36.3$ ; A = 3.03 ± 129 675 cM / Mb).

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131 We observed a significant negative association between the recombination rate and chromosome size in 132 both the Swedish (Spearman's rank correlation, rho = -0.51, p-value =  $5.1*10^{-3}$ ) and the Catalan population 133 (rho = -0.44, p-value =  $1.2*10^{-3}$ ; Fig. 1). The larger chromosomes in the Catalan population had similar 134 recombination rates as chromosomes of comparable size in the Swedish population, suggesting that the 135 overall difference in total linkage map length and average recombination rate between the populations is 136 predominantly an effect of the difference in karyotype structure. We also found that the recombination rate 137 on smaller chromosomes was close to the expected rate (50 cM / chromosome) with a single crossover per 138 meiosis (Fig. 1). However, the recombination rate on the larger chromosomes was higher, showing that 139 more than one crossover per meiosis can occur if a chromosome is large enough ( $> \sim 18$  Mb). The 'excess 140 map length' (remaining after removing the assumed obligate crossover (50 cM) from chromosome specific 141 estimates) was significantly positively associated with chromosome size and explained 75% of the variation (linear regression model,  $R^2 = 0.75$ , p-value =  $2.2*10^{-16}$ ; Fig. 1). 142

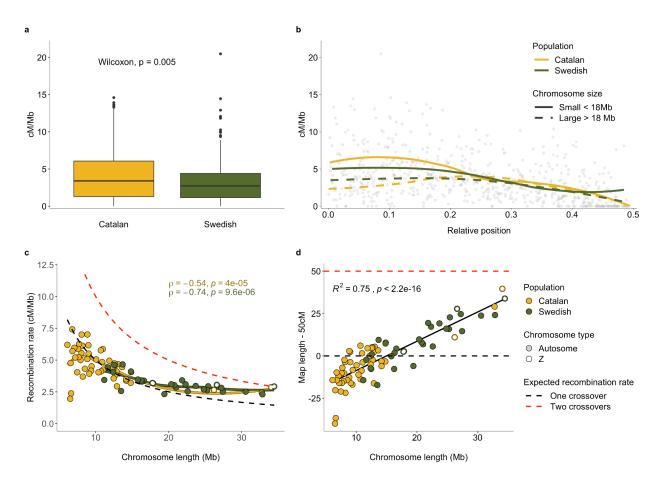
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The regional distribution of recombination events showed a bimodal pattern for the larger chromosomes (>  $\sim 18$  Mb) with a pronounced drop in the center and at the ends (Fig. 1, Suppl. Fig. 2). For smaller chromosomes (<  $\sim 18$  Mb) on the other hand, the recombination rate was highest in the center (Fig. 1, Suppl. Fig. 2). The decrease in recombination in the chromosome ends was less pronounced in the small

148 chromosomes in the Swedish population (Fig. 1). This could be a consequence of that several of the smaller

149 chromosomes are involved in 'fusion polymorphisms' currently segregating in the Swedish population.





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152 Fig. 1. a) The distribution of recombination rate estimates (cM, y-axis) in the Catalan and Swedish populations, 153 respectively. Box hinges represent the 25th and 75th percentiles, whiskers extend to values within 1.5 times the 154 distance between the 25th and 75th percentiles and solid dots represent outliers. b) Regional distribution of the 155 recombination rate (cM/Mb; y-axis) for relative positions from the center (0) to the end (0.5) of chromosomes in the 156 Swedish (green) and the Catalan (yellow) populations, respectively. Lines represent local regression (LOESS) of large 157 (> 18 Mb; dashed lines) and small chromosomes (< 18 Mb; solid lines), respectively. c) Association between the 158 weighted mean recombination rate (cM / Mb; y-axis) and chromosome size (Mb; x-axis) in the Swedish (green) and 159 Catalan (yellow) populations. The dashed lines represent the expected recombination rate with one (black) and two 160 (red) crossovers per meiosis. The Z-chromosomes are represented by open and the autosomes by filled circles. d)

161 Excess map length (map length - 50 cM; y-axis) as a function of chromosome size. Colors and symbols as in c) and
162 the regression line and statistics correspond to a linear regression model.

### 163 Pairwise reshuffling

164 We proceeded by estimating the relative contribution of independent segregation and homologous 165 recombination to the total reshuffling rate in the different populations. The major mechanism for genome-166 wide reshuffling was the number of chromosomes in both populations (Table 1). The male 167 interchromosomal contribution was 106 times higher than the intrachromosomal contribution in the Catalan 168 and 61 times higher in the Swedish population. Despite the lower recombination rate on larger 169 chromosomes, the contribution of intrachromosomal pair-wise reshuffling increased linearly with 170 chromosome size and size explained 62% of the variation between chromosomes (Fig. 2). This is likely a 171 consequence of the occasional occurrence of double crossovers on larger chromosomes which should 172 increase the pairwise reshuffling rate.

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Table 1. Estimates of the male intrachromosomal (Intra male) and interchromosomal (Inter male) contribution to
genome-wide reshuffling. The total contribution of males (Total male) and females (Inter female) and sex-average
reshuffling (Sex average) are also given for comparison.

Population	Intra male	Inter male	Total male	Intra female	Inter female	Sex average
Catalan	0.0045	0.488	0.493	0	0.482	0.487
Swedish	0.0079	0.481	0.489	0	0.476	0.483

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The analysis revealed that the proportional difference in total reshuffling is less than 1% higher in theCatalan than in the Swedish population, despite the fact that the difference in chromosome numbers is

almost two-fold and that females contribute more to the total reshuffling rate in the Catalan population
(Table 1). The difference in reshuffling between populations was further reduced by the higher probability
of intrachromosomal reshuffling in the Swedish males - the genome-wide intrachromosomal reshuffling
contribution was 75.6% higher in the Swedish population (Table 1).

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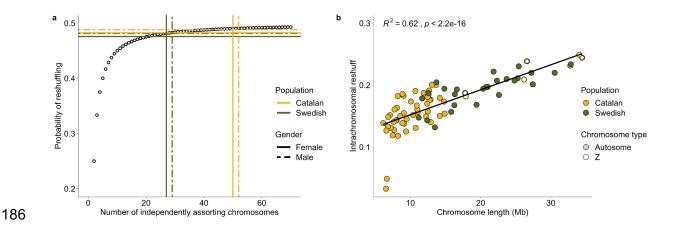


Fig. 2. a) Genome-wide probability of pairwise reshuffling per population and sex as a function of number of independently segregating chromosomes (interchromosomal effect). The open circles represent the expected trajectory of reshuffling in genomes with equal-sized chromosomes as the chromosome number increases (x-axis). b) The probability of pairwise reshuffling within each chromosome (y-axis) as a function of chromosome size (x-axis) in the two populations (intrachromosomal reshuffling). The line represents a linear regression model.

### 192 Associations between recombination and genetic diversity

Given the observed variation between populations in both overall map length, average recombination rate and relative intrachromosomal reshuffling rate, we proceeded by investigating the relationship between recombination rate and genetic diversity. We found that the Catalan population had significantly higher  $\pi$ in all site categories (Wilcoxon's test; p-values =  $2.73*10^{-04}$ -  $7.05*10^{-07}$ ), but we did not detect any difference between the populations in the ratio of zero- ( $\pi_0$ ) to four-fold ( $\pi_4$ ) degenerate sites (p-value =  $1.52*10^{-01}$ ; Table 2). Consistent with the ongoing and dynamic karyotype changes in these populations, there was also no significant association between  $\pi$  per chromosome and chromosome length after

200	excluding the Z-chromosomes (Suppl. Fig. 3). However, the regional distribution of $\pi$ estimates followed
201	the expectations from the nearly neutral theory in both populations, with a decrease in $\pi$ and an increase in
202	$\pi_0/\pi_4$ at the terminal ends of the chromosomes where the recombination rate is significantly reduced (Fig.
203	3). We also observed a lower neutral genetic diversity for the Z-chromosomes compared to the autosomes.
204	In addition, while Z1 and Z3 had similar $\pi_0$ / $\pi_4$ -ratios as the autosomes, Z2 had a significantly higher $\pi_0$ /
205	$\pi_4$ -ratio compared to the other chromosomes in both populations, driven by an elevated $\pi_0$ (Suppl. Fig. 3).
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Table 2. Genome wide estimates of pairwise nucleotide diversity ( $\pi$ ) for different nucleotide site categories and the ratio of  $\pi$  at zero- and four-fold degenerate sites ( $\pi_0 / \pi_4$ ) for each population. The ranges for chromosome specific estimates are given in parentheses. The statistics (W) and the p-values correspond to Wilcoxon rank sum tests with continuity correction.

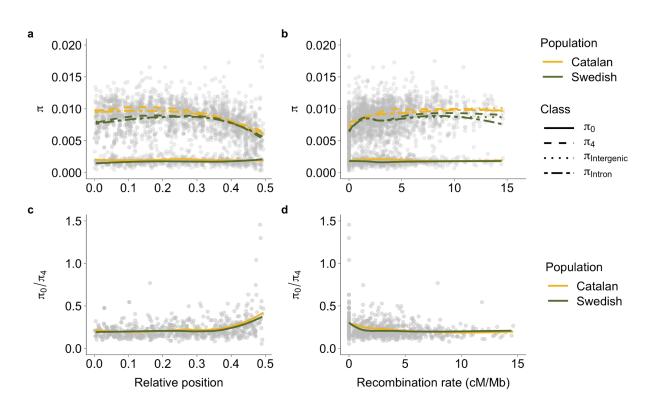
Sites	<b>Catalan</b> (*10 <sup>-2</sup> )	<b>Swedish</b> (*10 <sup>-2</sup> )	W	p-value
$\pi_0$	0.19 (0.12 – 0.28)	0.16 (0.09 - 0.19)	1,183	2.43*10 <sup>-05</sup>
$\pi_4$	0.92 (0.51 – 1.26)	0.82 (0.43 – 1.02)	1,124	2.73*10 <sup>-04</sup>
$\pi_{ ext{Intergenic}}$	0.90 (0.59 – 1.19)	0.80 (0.50 - 0.97)	1,258	7.05*10 <sup>-07</sup>
$\pi_{ ext{Intron}}$	0.87 (0.57 – 1.10)	0.79 (0.48 - 0.96)	1,175	3.44*10 <sup>-05</sup>
$\pi_0/\pi_4$	20.37 (13.97 – 34.85)	19.54 (16.56 – 31.40)	900	1.52*10 <sup>-01</sup>

211

We applied linear models to investigate the association between different diversity estimates and the variation in the regional recombination rate in each population in more detail. These analyses revealed that window-based estimates of diversity at all site categories, except  $\pi_0$  (R<sup>2</sup> < 0.01, p-value > 2\*10<sup>-01</sup>), were significantly associated with the recombination rate in both populations (R<sup>2</sup> = 0.05 - 0.13, permuted pvalues < 1\*10<sup>-03</sup>; Fig. 3, Suppl. Fig. 4). Consequently, there was a negative relationship between the recombination rate and  $\pi_0/\pi_4$  in both the Catalan (R<sup>2</sup> = 0.04, p-values < 1\*10<sup>-03</sup>) and the Swedish population (R<sup>2</sup> = 0.05, p-values < 1\*10<sup>-03</sup>), suggesting that the overall recombination landscape has been stable enough to have a similar effect on diversity and efficacy of selection in both populations since they diverged (Fig. 3, Suppl. Fig. 4). The relationship between recombination rate was non-linear with a stronger association in low recombination regions, indicating a limited effect on diversity and efficacy of selection when the recombination rate increases above a certain level (~ 2 cM / Mb).

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Fig. 3. a) Regional distribution of nucleotide diversity ( $\pi$ ) in zero-fold ( $\pi_0$ ), four-fold degenerate ( $\pi_4$ ), intergenic and intronic sites. b) Nucleotide diversity ( $\pi$ ) as a function of the recombination rate in each population estimated in 2 Mb windows. c) Zero-fold/four-fold diversity ( $\pi_0/\pi_4$ ) along the chromosome (relative position from chromosome center (0) to chromosome end (0.5)) for the Swedish (green) and Catalan (yellow) *L. sinapis* populations. d) Zero-fold/fourfold diversity ( $\pi_0/\pi_4$ ) as a function of the recombination rate in each population estimated in 2 Mb windows. Lines represent local regression lines (LOESS).

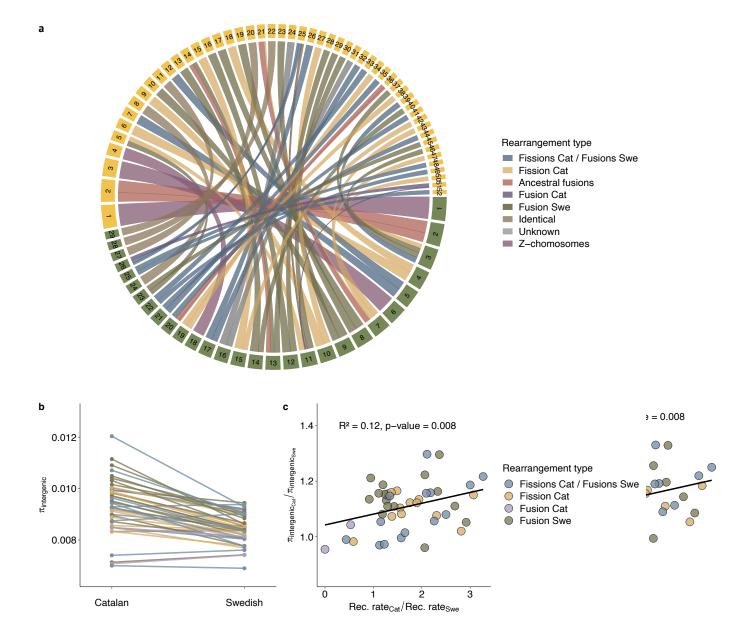
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233 Global and regional estimates of recombination and diversity could be affected by other covarying 234 variables, such as different genomic features, nucleotide composition and demographic processes. In an 235 attempt to account for these variables and investigate the relative effect of karyotype rearrangements, we 236 quantified how observed changes in recombination rate between populations were associated with changes 237 in diversity between the populations. This analysis was performed using homologous segments in ancestral 238 chromosome blocks (Fig. 4). We focused on ancestral chromosomal units where the fission / fusion has 239 been inferred to occur after the split from the closest outgroup species (L. reali), and cases where incomplete 240 lineage sorting or reuse of chromosomal break-points have resulted in differences in karyotype between the 241 two populations. This analysis unveiled a significant positive association between the change in recombination rate and intergenic  $\pi$  (Linear regression, R<sup>2</sup> = 0.12, permuted p-value = 7.99\*10<sup>-03</sup>; Fig. 4). 242 243 There was also a positive relationship between recombination and intronic  $\pi$ , but this trend was not 244 significant after correction for multiple testing. We found no associations between recombination rate 245 change with changes in  $\pi$  for other site classes or with  $\pi_0/\pi_{4_0}$  possibly an effect of stronger selective 246 constraints for those sites (Suppl. Fig. 5).

247

To summarize, the analysis shows that chromosomal rearrangements have affected the recombinationlandscape and changes in the level of standing genetic variation in intergenic sequences in *L. sinapis*.

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Fig. 4. a) Chromosomal rearrangements between Swedish (green squares) and Catalan (yellow squares) population of *L. sinapis*, the bands representing homology are colored by fission/fusion history. Chromosomes are ordered by size in each respective population (data from [21]) b) Diversity estimates ( $\pi$ ), specifically for intergenic sites across ancestral chromosomal units in each population. c) The ratio between Catalan and Swedish  $\pi$ , as a function of the ratio between Catalan and Swedish recombination rate for intergenic sites in each ancestral chromosomal unit with known fusion/fission history. The line represents the slope from a model II linear regression ( $\mathbb{R}^2$  and permutated pvalue on top).

## 259 Discussion

# 260 General

261 Here we characterized the effects of extensive within-species chromosomal rearrangement on 262 recombination and total pairwise reshuffling rate variation and combined this with analyzing the associated 263 influences on levels of genetic diversity and the efficacy of natural selection. In summary, we found i) that 264 karyotype rearrangements affect both the genome-wide and the regional recombination rate, ii) that the 265 number of chromosomes and their relative size are major determinants of the recombination rate, but that 266 karyotype changes have very limited effects on the total pairwise reshuffling rate, and iii) that 267 recombination rate changes associated with chromosome fissions and fusions have detectable effects on the 268 neutral diversity at intergenic sites, but not on the efficacy of selection.

269

#### 270 Recombination rate analysis

271 Our linkage map data revealed that the recombination rate was higher in the Catalan than in the Swedish 272 population. This is expected given that chromosome size previously has been shown to be strongly 273 associated with recombination rate in Lepidoptera [22,24]. We found that both Leptidea populations had 274 global recombination rates in parity with genome-wide estimates in other Lepidoptera species, although 275 direct comparison here is difficult since different methods have been applied [22,26,27]. However, the 276 effective recombination rate per gene is likely higher in L. sinapis, since it has a large genome size (686 277 Mb) compared to many other butterfly species [28]. The difference in recombination rate between 278 populations was lower than predicted from karyotype differences if the average chromosome map length 279 would have been 50 cM. One potential explanation for this deviation is the occurrence of multiple 280 crossovers in large chromosomes, an explanation which is supported by our observation that excess

281 recombination (> 50 cM / chromosome) increases linearly with chromosome size. The presence of multiple 282 crossovers per chromosome indicates that butterflies are not limited to one crossover per meiosis, as C. 283 elegans [29], but rather that the number of crossovers is dependent on chromosome size like in other 284 holocentric species [30]. Notably, we also found that smaller chromosomes sometimes showed a lower 285 average crossover rate than expected if crossovers are necessary for correct segregation during meiosis in 286 males (i.e. < 50 cM / chromosome). This could potentially be a result of very closely located double 287 crossovers or single crossovers occurring close to the chromosome ends. Such events are likely largely 288 undetected with our marker density. Another explanation, perhaps less likely, could be that correct 289 segregation in male meiosis might not require chiasma formation at all, as has been observed in the 290 achiasmatic Lepidoptera females. Unpaired univalents have for example been observed during meiosis in 291 crosses between chromosome races of L. sinapis [31]. Another factor that likely can influence the difference 292 in recombination rate between larger and smaller chromosomes is the difference in telomeric and 293 subtelomeric proportions where the recombination rate was observed to be significantly reduced.

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295 We also found that the recombination landscape along many of the larger chromosomes was bimodal, with 296 a tendency towards lower recombination rate in the center. Similar patterns have been observed in other 297 recombination analyses in Lepidoptera [22,24,32], but typically not as pronounced as in other organisms 298 [15,29,33]. There are two potential explanations for this bimodality in recombination frequency along larger 299 chromosomes. First, it is likely that interference will occur when > 1 chiasmata are formed on a single 300 chromosome [34]. This would likely result in chiasma formation towards chromosome ends, but not all the 301 way towards the telomeres where recombination seems to be inhibited [35]. An alternative, albeit not 302 exclusive explanation, is that double-strand break initiation is directed away from the center and closer 303 towards the telomere regions, as a consequence of physical proximity when the chromosome ends aggregate 304 close to the nuclear membrane (the 'meiotic bouquet') during early meiotic stages [9].

305

### 306 Reshuffling rate estimates

307 Changes in chromosome numbers as a consequence of fissions and fusions may have an effect on the 308 reshuffling rate of genetic variants, since there are more opportunities for independent segregation with an 309 increasing number of chromosomes. We therefore investigated the relative contribution of both independent 310 segregation and recombination to the total reshuffling rate in the two L. sinapis populations. The probability 311 of interchromosomal pairwise reshuffling was close to the maximum (50%) in both populations, which 312 means that the difference in number of chromosome pairs between the Catalan and the Swedish L. sinapis 313 (29 and 52, respectively) has a marginal effect on difference in total reshuffling rate (diminishing return 314 when chromosome numbers exceed n = 25 - 30; [8]. Notably, most eukaryotes have a diploid chromosome 315 number << 50, with a mean 2n = 17.05 [10] and reshuffling has been proposed to be beneficial for short 316 term distribution of diversity in the offspring [36]. However, the relatively limited range of chromosome 317 numbers in natural populations indicates that there are only minor selective advantages of increasing the 318 chromosome number above a certain threshold. Possible explanations can be that beneficial or co-adapted 319 allele combinations can be inherited together when there are fewer chromosomes and there could possibly 320 be increased risks for segregation errors with higher chromosome numbers. Here we show that the 321 reshuffling between chromosomes contributes much more (orders of magnitude) than intrachromosomal 322 processes to the total reshuffling rate in both populations, confirming the paramount importance of 323 independent assortment of chromosomes for distributing variation in the gametes. Homologous 324 recombination can therefore be seen as a long-term mechanism for disruption of linkage (and Hill-325 Robertson-interference) between closely positioned loci within chromosomes. It has for example been 326 shown that increasing the number of recombination events is more important than increasing the number 327 of chromosomes for the efficiency of selection [37]. Although we found that the intrachromosomal 328 reshuffling contributed marginally to the total rate, we also found that the pairwise reshuffling was almost 329 twice as high in the Swedish population compared to the Catalan population. This is obviously an effect of 330 chromosome size, analogous to the positive correlation between genetic map length and intra chromosomal

331 reshuffling rate in some plants [15]. The underlying process must be that > 1 crossover can occur on larger 332 chromosomes, and that these crossovers are located distantly enough to increase intrachromosomal 333 reshuffling significantly (double crossovers increase the intrachromosomal pairwise reshuffling if they are 334 far apart - two crossovers located at <sup>1</sup>/<sub>4</sub> of the chromosome length from the terminal results in as high 335 pairwise reshuffling as one crossover in the center). Our data suggest that recombination events are limited 336 by interference mechanism that both influence the distance between, and the total numbers of, chiasmata 337 occurring on a single chromosome. Interference seems to be ubiquitous among sexually reproducing 338 organisms, but the precise mechanism is not described for many species [34,35]. We also found that 339 chromosomes < 20 Mb roughly have a recombination distance of 50 cM, similar to the situation in H. 340 *melpomene* where all chromosomes are < 20 Mb [26,38]. These results spur interest in further investigating 341 the mechanistic basis of recombination interference in butterflies in general. In summary, we observed a 342 higher recombination rate in the smaller chromosomes, but this was compensated for by a higher 343 intrachromsomal reshuffling in larger chromosomes. Hence, we proceeded by assessing if these differences 344 and similarities in recombination and reshuffling can affect levels of genetic diversity.

345

### 346 Associations between recombination and genetic variation

347 To get more information about how chromosome rearrangements and recombination rate differences 348 between populations and genomic regions are associated with genetic diversity, we estimated a set of 349 population genetic summary statistics at different site categories. Our results show that there was a 350 significantly higher overall genetic diversity in the Catalan population than in the Swedish population. Such 351 a difference could obviously be a consequence of differences in demographic history or other evolutionary 352 processes that affect the populations differently. First, we know that L. sinapis populations inhabiting 353 warmer climatic regions (e.g. Catalonia) are multivoltine with 3 - 4 generations per year, while the 354 populations at higher latitudes (e.g. Sweden) predominantly are univoltine [39]. A shorter generation time

355 leads to a higher input of novel mutations per time unit, but this should only affect the segregating neutral 356 diversity in the populations differently if they are not at mutation-drift-equilibrium [40]. Second, the 357 demographic histories are likely different between the two populations. While Catalonia likely represents 358 a refugium from the last glaciation period, Sweden must have been colonized well after the ice sheet 359 retracted. This founder event in the north likely occurred with influx of individuals from another refugial 360 population, potentially as far east as central Asia [32,41]. Hence, both the shorter generation time and the 361 absence of obvious founder events could have led to a higher genome-wide level of genetic diversity in the 362 Catalan population.

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364 To further investigate the effects of recombination on patterns of genetic diversity we therefore focused on 365 intra-genomic variation in each population separately. We found that the levels of genetic diversity were 366 heterogeneous across chromosomes and there was no association between genetic diversity and 367 chromosome size after excluding the Z-chromosomes from the analysis. The latter result could be a 368 consequence of the dynamic ongoing karyotype rearrangements in this species, and fits well with linkage-369 disequilibrium-based recombination rate estimates in the Swedish population [32]. The recurrent fissions 370 and fusions that must have occurred over the divergence time of the populations should mean that both the 371 size of chromosomes and (consequently) the recombination rate has been under constant change, which 372 would reduce the association between current chromosome states, recombination rates and levels of genetic 373 diversity. In addition, a time lag between changes in recombination rate and subsequent changes in genetic 374 diversity is expected, which should dilute the signals further [42]. However, we did actually detect a 375 significant genome-wide association between genetic diversity and recombination rate in both populations. 376 This suggests that the split between population occurred a sufficiently long time ago for recombination rate 377 differences to affect genetic diversity. Here, the association was strong at the lower end of the recombination 378 rate spectrum and reached a threshold at moderate recombination rates, with similar levels to those 379 previously detected in *Drosophila* [43]. This is also in line with theoretical predictions of the association 380 between recombination and genetic variation [44].

381

382 The regional distribution of genetic diversity along the chromosomes were in line with the expectations [3], 383 following the trajectory of the recombination landscape in both populations. It should be noted that the 384 chromosomal rearrangements in *L. sinapis* mainly have involved fusions and/or fissions of comparatively 385 large chromosome parts [21]. The distribution of the recombination landscape on chromosomes could hence 386 be partly maintained even after fusion of two smaller chromosomes or a fission of a larger chromosome -387 i.e. unimodality in small chromosomes with recombination predominantly occurring at the center and 388 bimodality in larger chromosomes with recombination events towards, but not at, chromosome ends. 389 However, this relative stability obviously depends on the history of the merged chromosome units. Recent 390 fusions, for example, would be expected to have comparatively high genetic diversity as a result of the 391 higher recombination rate on the previously smaller chromosomes, while more ancient fusions would have 392 a lower level of genetic diversity as a consequence of a more long-term recombination rate reduction [25]. 393 It should be noted that fission / fusion polymorphisms are known to be segregating in the populations [21] 394 and that the linkage maps used here are composite maps of different families which may add some 395 uncertainty to the estimates of recombination rates. To further investigate the extent of potential individual 396 differences in recombination rate as a consequence of karyotype variation between families within a 397 specific population, we would need to produce individual recombination maps for males homozygous for 398 each karyotype.

399

Both cytogenetics [20], linkage mapping and genome assemblies [21] have revealed the existence of an unusual sex-chromosome system in the *Leptidea* genus where e.g. *L. sinapis* has a karyotype including three Z-chromosomes and three W-chromosomes. Of the three Z-chromosomes, one is homologous to the inferred ancestral Z-chromosome (Z1) in Lepidoptera while the other two (Z2, Z3) seem to have been recruited as sex-chromosomes in the *Leptidea* genus specifically [20]. To understand how this relatively new evolution of sex-chromosomes have affected the recombination and diversity landscapes, we compared the different Z-chromosomes to the set of autosomes. The results from this analysis showed that the Z-

407 chromosomes had a lower neutral genetic diversity than autosomes. This is expected given that the  $N_e$  of a 408 Z-chromosome should be approximately  $\frac{3}{4}$  of any autosome, under the assumption of equal sex ratios. A 409 reduced level of genetic diversity on the Z-chromosome has also been observed in the Heliconius 410 *melpomene* and the Monarch butterfly, but not in the moth *Manduca sexta* [45,46], suggesting that relative 411  $N_e$  of Z-chromosomes and autosomes might vary between species, or that other factors than mutation-drift 412 balance drive relative levels of genetic diversity between chromosome classes. An interesting result was 413 that while Z1 and Z3 had similar  $\pi_0/\pi_4$ -ratios as the autosomes, Z2 had a dramatically higher  $\pi_0/\pi_4$ -ratio 414 compared to the other chromosomes in both populations. The higher ratio was a consequence of an elevated 415  $\pi_0$ , indicating reduced selective constraints on Z2 (or possibly a group of genes under strong positive 416 selection). This result is somewhat puzzling since Z2 is the most structurally conserved of all chromosomes 417 within Leptidea [21,47] and the observation, although outside of the scope of this article, definitely merits 418 further investigations into the evolutionary forces underlying sex-chromosome formation and maintenance 419 in this genus.

420

421 The efficacy of selection translates to both removal of deleterious mutations and fixation rate of adaptive 422 mutations. Hence, under the assumption that most novel mutations are neutral or slightly deleterious, i.e. 423 the nearly neutral theory [48], we expect an increase in the  $\pi_0/\pi_4$ -ratio with reduced efficiency of selection. 424 Since the efficiency of selection is dependent on  $N_{e_1}$  the frequency of (slightly) deleterious mutations is 425 expected to segregate at higher rates in regions of low recombination [49]. Our data showed a negative 426 association between the recombination rate and the  $\pi_0 / \pi_4$ -ratio in both populations, an observation 427 supporting the nearly neutral theory. However, we did not detect any significant difference between the two 428 populations, which would be expected given the difference in overall recombination rate (i.e. a lower  $N_e$  in 429 the Swedish population). In addition, there was no detectable effect of the variance in recombination rate 430 in rearranged chromosomes on the ratio of  $\pi_0/\pi_4$ . This indicates that the average recombination rate is high 431 enough to efficiently remove slightly deleterious mutations in both populations, consistent with the 432 observations that selection efficiency can be maintained at a stable level even at relatively low

recombination frequencies [50]. In the genome-wide analysis we observed that the  $\pi_0 / \pi_4$ -ratio approached the asymptote when the recombination rate was > 2 cM / Mb. Hence, an increase in the recombination rate above that level does not seem to affect the efficiency of selection in this system. It is also possible that the relatively short divergence time between these populations [51], in combination with the extensive chromosome changes, affects our power to detect signals of selection.

438

439 We found a positive association between the variation in intergenic diversity change and the variation in 440 recombination rate change across ancestry blocks, with recombination rate explaining > 12 % of the 441 variation in intergenic diversity in rearranged chromosomes. A positive association between recombination 442 rate and genetic diversity has been observed in many different taxa [52] and our data lends further support 443 for that recombination is a more potent mechanism than pairwise chromosome reshuffling for breaking 444 linkage disequilibrium and, hence, maintenance of genetic diversity [37]. It is noteworthy that we did not 445 see any significant association between changes in recombination rate in rearranged regions and genetic 446 diversity at other site categories (introns,  $\pi_0$ ,  $\pi_4$ ). This is likely a consequence of temporal differences in 447 the effects of reduced linkage disequilibrium on genetic diversity at sites under direct and indirect selection 448 pressure.

#### 449 Conclusion

We investigated the difference in recombination rate between two populations of *L. sinapis* with extreme difference in karyotype structure and chromosome count. We show that karyotype evolution directly affects the global recombination rate, but that recombination has a limited effect on total pairwise reshuffling, partly as a consequence of multiple crossovers in larger chromosomes. A key observation from our data was a significant difference in neutral genetic diversity (but not on the efficacy of selection) in regions where chromosome rearrangements have affected the recombination rate. Finally, we validate the

456 importance of recombination rate variation driving differences in maintenance of nucleotide diversity457 between populations at an early stage of divergence.

## 458 Methods

#### 459 Linkage map

460 We used Swedish (n = 6 families; 186 offspring) and Catalan (n = 6 families; 186 offspring) full-sib families 461 of L. sinapis to develop RAD-seq based linkage maps for two populations that represent the most extreme 462 karyotype variants in the species. Details about family structure, RADseq data generation and preprocessing 463 are available in [21]. To get recombination rate information, the linkage map markers were anchored on 464 recently established chromosome-level assemblies for each respective population [21]. The filtered reads 465 were mapped to the genome assembly from each population using bwa *mem* [53] with default options. The 466 mapped reads were sorted with samtools *sort* and filtered based on quality (samtools *view -q 10*), and reads 467 with multiple mapping locations were removed with a custom script [54]. Mapping coverage was assessed 468 with Qualimap [55] and only individuals with > 100,000 mapping reads were included in the study, 469 resulting in 184 and 178 offspring in the Swedish and Catalan pedigrees, respectively. Samtools *mpileup* 470 [56] with options minimum mapping quality (-q) 10 and minimum base quality (-Q) 10 was used to identify 471 variants, which were converted to genotype likelihoods using *Pileup2Likelihoods* in LepMap3 with default 472 settings [57]; minimum coverage = 3 per individual (*minCoverage* = 3) and < 30% of the individuals 473 allowed to have lower coverage than minimum coverage (*numLowerCoverage* = 0.3). Only markets 474 mapping to chromosome-sized scaffolds in each respective population were retained for downstream 475 analysis. LepMap3 [57] was used to construct linkage maps for the two populations separately applying the 476 following steps. Informative markers were identified with *ParentCall2* using default settings, except for ZLimit which was set to 2 to identify markers segregating as sex chromosomes. Non-informative markers 477 478 were removed with *removeNonInformative* = 1. Markers showing segregation distortion, minimum allele

479 frequency below 0.05 or absence in more than 50% of the individuals were excluded with Filtering2 480 (options dataTolerance = 0.00001, MAFLimit = 0.05, missingLimit = 0.5). In addition, only markers 481 represented in at least four families in each population, were retained (*familyInformativeLimit* = 4). As a 482 first mapping step, markers were assigned to linkage groups with SeparateChromosomes2 using female 483 informative markers only (*informativeMask* = 2). The optimal LOD-score threshold was empirically 484 estimated to 11 for the Swedish and 7 for the Catalan population. Markers that were informative in either 485 males or both sexes were subsequently added with JoinSingles2All with the same LOD-score thresholds. 486 Linkage groups covering several scaffolds were split based on information from the physical assembly 487 before ordering the markers along the chromosomes with the module Ordermarkers2. Only male 488 informative markers (*informativeMask* = 1) were used for the ordering since females are achiasmatic 489 (recombination 2 = 0). We set a penalty for diverting from the order in the genome assembly with likelihood 490 chain (usePhysical = 1 0.1) and *improveOrder* = 0. The ordered linkage maps were visually inspected in R 491 and uninformative markers (extending the map ends) were removed. After manual removal of 492 uninformative markers, OrderMarkers2 was run again with the same settings (see above) and the final 493 genetic distances were calculated using Kosambi's map function to account for multiple recombination 494 events along the chromosome.

#### 495 Recombination estimation

Regional recombination rates were estimated by dividing the genetic distance with the physical interval between each marker pair. Weighted means for 2 Mb windows, per chromosome and per population were obtained by weighting the recombination rate at each interval by the proportion of the physical distance. Interpopulation comparisons of the weighted mean recombination rates were performed with Wilcoxon Signed rank test and potential associations between recombination rate and chromosome length were assessed with Spearman's rank correlation coefficient, as implemented in cor.test in R [58]. To compare the observed recombination rate with the expected recombination rate for exactly one or two crossovers per

503 chromosome per meiosis, we calculated the expected rates as 50 cM / chromosome length and 100 cM /
504 chromosome length, respectively.

### 505 Pairwise reshuffling

506 The probability of total pairwise reshuffling is the probability that two random loci are originating from 507 different parental alleles during gamete formation. The total pairwise reshuffling has two components; the 508 interchromosomal component describing the effects of independent assortment and the intrachromosomal 509 component describing the effect of recombination. The variation in recombination rate along each 510 chromosome is also corrected for. The probability of interchromosomal pairwise reshuffling was estimated 511 by the probability of two loci being located on different chromosomes with independent assortment of the 512 chromosomes during meiosis  $(1 - sum((chromosome length / assembly length)^2)) * 0.5 [8]. The$ 513 intrachromosomal probability of pairwise reshuffling per chromosome was inferred using the genetic and 514 physical distances from the linkage maps. 1,000 pseudomarkers were placed along each chromosome and 515 the number of pairwise differences in origin was calculated based on the probability of recombination 516 between each pair of pseudomarkers [8]. The sum of pairwise differences was divided by the total number 517 of comparisons for each chromosome. The intrachromosomal contribution to the total reshuffling rate was 518 estimated by summing up the contribution of each chromosome, weighted by the probability that two loci 519 are located on the same chromosome (chromosome length / assembly length)<sup>2</sup>. The total pairwise 520 reshuffling was calculated as the sum of the interchromosomal and intrachromsomal contribution [8].

521 Population genetic summary statistics

522 Previously available resequencing data from 10 Swedish and 10 Catalan individuals were used for 523 population genetic analysis (Talla et al., 2019). Positions with Phred-score < 33, sequencing adapters and 524 seven bases from the 3'-ends of all reads were trimmed using TrimGalore 0.6.1 525 (https://github.com/FelixKrueger/TrimGalore), a wrapper for cutadapt 3.1 [59]. Trimmed reads were

526 mapped to the genome assemblies for each respective population [21] using bwa *mem* [53]. Variant calling 527 was performed using GATK 4.2.0.0 [60]. Base quality score recalibration was performed using an initial 528 variant calling round, keeping a set of variants with good support as recommended by GATK [61]. 529 Subsequently, a second variant calling round was performed on recalibrated mapped reads. A final filtering 530 step of all sites (variant and invariant sites) was applied using BCFtools *filter* 1.16-1 [62] to mask genotypes 531 overlapping annotated repeats and genotypes with a coverage < 5 or > 25. The applied options for these 532 filtering steps were --mask-file \$REPEAT GFF, --soft-filter "REPEAT", and -i FMT/DP > 5 & FMT/DP < 533 25' --set-GTs.

534

535 Nucleotide diversity ( $\pi$ ) for intronic, intergenic, 0-fold and 4-fold sites were estimated in 50 kb windows 536 with the software pixy 1.2.5 beta [63]. Coordinates for 0-fold and 4-fold sites were obtained with a custom 537 script (modified from https://github.com/simonhmartin/genomics general) and genomic features (intron, 538 intergenic) were extracted using BEDTools 2.29.2 *complement* [64]. Potential associations between  $\pi$  and 539 chromosome length were assessed with Spearman's rank correlation, as implemented in cortest in R [58]. 540 We used the ratio of  $\pi_0 / \pi_4$  as a proxy for selection, reflecting the efficacy in removal of deleterious 541 mutations, since most protein changing mutations are either deleterious or neutral according to the nearly 542 neutral theory [48].

543

544 Potential associations between the recombination rate and  $\pi$  were assessed by binning data into 2 Mb 545 genomic windows for each population separately. Population genetic summary statistics were also 546 estimated in ancestry blocks (ancestral chromosomal units), i.e. previously identified homologous regions 547 of chromosomes in which no rearrangements have occurred between the populations [21]. We included 548 ancestral chromosomal units involved in fissions/fusions after the split from the closest sister species 549 (Leptidea reali), or where incomplete lineage sorting or reuse of breakpoints have resulted in a fission in 550 one population or a fusion in the other. To investigate if observed changes in recombination rate between 551 populations has resulted in a corresponding change in  $\pi$ , we compared the ratio of the Catalan and the

552 Swedish  $\pi$  with the observed recombination rate ratio between the populations within each ancestral 553 chromosomal unit. Both the recombination rate and the  $\pi$  estimates are random variables, so we applied a 554 model II linear regression using ordinary least squares (OLS) and a permutation test with 1,000 555 permutations to determine the significance of the slopes, as implemented in the R-package lmodel2 [65].

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## 563 Data availability statement

The data used in this article are from public repositories. The genome and linkage map data for *L. sinapis* are available at the European Nucleotide Archive (ENA) under accession number: PRJEB58697 (genome assemblies) and PRJEB58905 (pedigree RADseq data). The population data are available at ENA under accession number: PRJEB21838. All in-house developed scrips are available on GitHub (https://github.com/EBC-butterfly-genomics-team).

# 569 Supplementary information

570 Link to supplementary information document (Supplementary Information provided as a separate file).

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