1

Dissociable contributions of basolateral amygdala and ventrolateral orbitofrontal cortex to flexible learning under uncertainty

Running Title: stimulus and action-based reversal learning

C.G. Aguirre[1]#, J.H. Woo[2]#, J.L. Romero-Sosa[1], Z.M. Rivera[1], A.N. Tejada[1], J. J. Munier[3], J. Perez[1], M. Goldfarb[1], K. Das[1], M. Gomez[1], T. Ye[1], J. Pannu[3], K. Evans[1], P.R. O'Neill[4], I. Spigelman[3], A. Soltani[2], and A. Izquierdo[1]

[1]Department of Psychology, University of California, Los Angeles, Los Angeles, CA 90095

[2]Department of Psychological and Brain Sciences, Dartmouth College, Hanover, NH 03755

[3]Section of Biosystems and Function, School of Dentistry, University of California, Los Angeles, Los Angeles, CA 90095

[4]Shirley and Stefan Hatos Center for Neuropharmacology, Department of Psychiatry and Biobehavioral Sciences, University of California Los Angeles, Los Angeles, CA 90095

#**co-first authors**

Author contributions: CGA and AI designed the research; CGA, JLRS, ZMR, ANT, JM, JP, MG, KD, MG, TY, KE, and JP performed the research; CGA, JHW, JM, JLRS, AS and AI analyzed the data; CGA, JLRS, JHW, PRO, IS, AS, and AI interpreted the data; AI, AS, PRO, and IS acquired funding for the project; CGA and AI wrote the paper; all authors edited the final version.

Correspondence: *Claudia Aguirre, Ph.D. email: cgaguirre@ucla.edu or Alicia Izquierdo, Ph.D. email: aizquie@psych.ucla.edu Ph: +1 310 825 3459

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Abstract

Reversal learning measures the ability to form flexible associations between choice outcomes with stimuli and actions that precede them. This type of learning is thought to rely on several cortical and subcortical areas, including highly interconnected orbitofrontal cortex (OFC) and basolateral amygdala (BLA), and is often impaired in various neuropsychiatric and substance use disorders. However, unique contributions of these regions to stimulus- and action-based reversal learning have not been systematically compared using a chemogenetic approach and particularly before and after the first reversal that introduces new uncertainty. Here, we examined the roles of ventrolateral OFC (vlOFC) and BLA during reversal learning. Male and female rats were prepared with inhibitory DREADDs targeting projection neurons in these regions and tested on a series of deterministic and probabilistic reversals during which they learned about stimulus identity or side (left or right) associated with different reward probabilities. Using a counterbalanced within-subject design, we inhibited these regions prior to reversal sessions. We assessed initial and pre-post reversal changes in performance to measure learning and adjustments to reversals, respectively. We found that inhibition of vlOFC, but not BLA, eliminated adjustments to stimulus-based reversals. Inhibition of BLA, but not vlOFC, selectively impaired action-based probabilistic reversal learning, leaving deterministic reversal learning intact. vlOFC exhibited a sex-dependent role in early adjustment to action-based reversals, but not in overall learning. These results reveal dissociable roles for BLA and vlOFC in flexible learning and highlight a more crucial role for BLA in learning meaningful changes in the reward environment.

Keywords: **stimulus learning, action learning, deterministic, probabilistic, reward learning, DREADDs**

Significance Statement

Inflexible learning is a feature of several neuropsychiatric disorders. We investigated how the
ventrolateral orbitofrontal cortex (vlOFC) and basolateral amygdala (BLA) are involved in
learning of stimuli or actions under reinforcement uncertainty. Following chemogenetic inhibition
of these regions in both male and females, we measured learning and adjustments to deterministic
and probabilistic reversals. For action learning, BLA, but not vlOFC, is needed for probabilistic
reversal learning. However, BLA is not necessary for initial probabilistic learning or retention,
indicating a critical role for learning of unexpected changes. For stimulus learning, vlOFC, but not
BLA, is required for adjustments to reversals, particularly in females. These findings provide
insight into the complementary cortico-amygdalar substrates of learning under different forms of
uncertainty.

Introduction

Reversal learning, impacted in various neuropsychiatric conditions, measures subjects' ability to form flexible associations between stimuli and/or actions with outcomes (Schoenbaum et al., 2003; Izquierdo et al., 2013; Dalton et al., 2016). Reversal learning tasks can also be used to probe learning following expected and unexpected uncertainty in the reward environment (Behrens et al., 2007; Jang et al., 2015; Winstanley and Floresco, 2016; Soltani and Izquierdo, 2019). For example, after the experience of the first reversal, all other reversals are expected to some extent (Jang et al., 2015). Additionally, unexpected uncertainty can be introduced by changes in reward probabilities, after taking the baseline, expected uncertainty into account.

The basolateral amygdala (BLA) is an area of interest in reversal learning due its involvement in value updating (Tye and Janak, 2007; Janak and Tye, 2015; Wassum and Izquierdo, 2015; Groman et al., 2019) and the encoding of both stimulus-outcome and action-outcome associations typically probed in Pavlovian-to-Instrumental tasks (Corbit and Balleine, 2005; Lichtenberg et al., 2017; Malvaez et al., 2019; Sias et al., 2021). Manipulations of amygdala and specifically BLA have resulted in reversal learning impairments (Schoenbaum et al., 2003; Churchwell et al., 2009; Groman et al., 2019), impaired learning from positive feedback (Costa et al., 2016; Groman et al., 2019), enhanced learning from negative feedback (Rudebeck and Murray, 2008; Izquierdo et al., 2013; Taswell et al., 2021), and even improvements of deficits produced by OFC lesions (Stalnaker et al., 2007). Yet BLA has not been extensively studied in the context of flexible reversal learning of stimuli vs. actions with the exception of a recent lesion study in rhesus macaques (Taswell et al., 2021). BLA has also not been systematically evaluated for its contributions to deterministic vs. probabilistic schedules, with the exception of another lesion study in monkeys (Costa et al., 2016). The idea that BLA encodes changes in the environment in terms of salience and

associability (Roesch et al., 2010) suggests this region may facilitate rapid updating to incorporate

new information. The contribution of BLA to reversal learning and its dependence on the nature

of the association (i.e., stimulus- vs. action-based), sensory modality (i.e., visual), and type of

uncertainty introduced by the task design (i.e., deterministic vs. probabilistic, but also first reversal

versus all subsequent reversals) has also not been extensively studied using a chemogenetic

approach.

In parallel, studies with manipulations in rat OFC in reversal learning have included targeting

of the entire ventral surface (Izquierdo et al., 2013; Izquierdo, 2017), or more recent systematic

comparisons of medial vs. lateral OFC (Hervig et al., 2020; Verharen et al., 2020). Here we

examined the role of vlOFC, a subregion not as often probed in reward learning as medial and

more (dorso)lateral OFC (cf. Zimmermann et al. (2018)) but also densely-interconnected with

BLA (Barreiros et al., 2021a; Barreiros et al., 2021b). Additionally, unlike almost all previous

studies on reversal learning, we included both male and female subjects.

Using a within-subject counterbalanced design, we inactivated these regions prior to reversal

sessions and measured both learning and adjustments to reversals. We found that vlOFC, but not

BLA, inhibition impaired adjustments to deterministic and probabilistic reversals. Conversely,

BLA, but not vlOFC, inhibition resulted in significantly slower action-based probabilistic, but not

deterministic, reversal learning. Fitting choice data with reinforcement learning models indicated

that action-based reversal learning deficits were mediated by a larger memory decay for the

unchosen option following vlOFC inhibition, and diminished exploration after reversal following

BLA inhibition. These results suggest dissociable roles for BLA in flexible learning under

uncertainty, and vlOFC in adjustments to reversals, more generally.

Materials and Methods

Subjects

Animals for behavioral experiments were adult (N=70, 33 females; 66 used for behavioral study and 4 males for *ex vivo* imaging) Long-Evans rats (Charles River Laboratories) average age post-natal-day (PND) 65 at the start of experiments, with a 280g body weight minimum for males and 240g body weight minimum for females at the time of surgery and the start of the experiment. Rats were approximately PND 100 (emerging adulthood; Ghasemi et al. (2021)) when behavioral testing commenced. Before any treatment, all rats underwent a 3-day acclimation period during which they were pair-housed and given food and water *ad libitum*. During that time, they remained in their home cage with no experimenter interference. Following this 3-day acclimation period, animals were handled for 10 min per animal for 5 consecutive days. During the handling period, the animals were also provided food and water *ad libitum*. After the handling period, animals were individually-housed under standard housing conditions (room temperature 22–24° C) with a standard 12 h light/dark cycle (lights on at 6am). Animals were then surgerized and tested on discrimination and reversal learning 1-week post-surgery. At the point of reversal, they were beyond the 3-week expression time for Designer Receptors Exclusively Activated by Designer Drugs (DREADDs).

A separate group of Long-Evans rats (N=4, all males) were used for validation of effectiveness of DREADDs in slides of BLA and vlOFC, using *ex vivo* calcium imaging procedures. All procedures were conducted in accordance to the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health and with the approval of the Chancellor's Animal Research Committee at the University of California, Los Angeles.

Surgery

Viral Constructs

  Rats were singly-housed and remained in home cages for 4 weeks prior to testing while the inhibitory hM4Di DREADDs expressed in BLA (n=31, 16 females), vlOFC (n=19, 10 females), or eGFP control virus (n=16, 7 females) in these regions. In rats tested on behavior, an adeno-associated virus AAV8 driving the hM4Di-mCherry sequence under the CaMKIIa promoter was used to express DREADDs bilaterally in BLA neurons (0.1 μl, AP = -2.5; ML= ±5; DV = −7.8 and 0.2 μl, AP= -2.5; ML= ±5; DV= −8.1, from bregma at a rate of 0.1 μl/min; AAV8-CaMKIIa-hM4D(Gi)-mCherry, Addgene, viral prep #50477-AAV8). In other animals, this same virus (AAV8-CaMKIIa-hM4Di-mCherry, Addgene) was bilaterally infused into two sites in vlOFC (0.2 μl, AP = +3.7; ML= ±2.5; DV = −4.6 and 0.15 μl, AP= 4; ML= ±2.5; DV= −4.4, from bregma at a rate of 0.1 μl/min). A virus lacking the hM4Di DREADD gene and only containing the green fluorescent tag eGFP (AAV8-CaMKIIa-eGFP, Addgene) was also infused bilaterally into either BLA (n=7), vlOFC (n=5), or anterior cingulate cortex [(n=5); 0.3 μl, AP = +3.7; ML= ±2.5; DV = −4.6, rate of 0.1 μl/min] as null virus controls. Our vlOFC targeting is most similar to infusion sites reported previously by Dalton et al. (2016) constituting lateral as well as ventral OFC, and 0.7 mm more medial than others (Costa et al., 2023). In rats used for *ex vivo* calcium imaging, the same target regions were infused with either GCaMP6f (AAV9-CaMKIIa-GCaMP6f, Addgene), a 1:1 combination of GCaMP6f+mCherry (AAV8-CamKIIa-mCherry, Vector BioLabs, #VB1947), or a 1:1 combination of GCaMP6f+hM4Di-mCherry (same as used for behavior, AAV8-CaMKIIa-hM4Di-mCherry, Addgene).

Surgical Procedure

Infusions of DREADD or eGFP control virus were performed using aseptic stereotaxic

techniques under isoflurane gas (1-5% in O_2) anesthesia prior to any behavioral testing experience.

Before surgeries were completed, all animals were administered 5 mg/kg s.c. carprofen (NADA

#141–199, Pfizer, Inc., Drug Labeler Code: 000069) and 1cc saline. After being placed in the

stereotaxic apparatus (David Kopf; model 306041), the scalp was incised and retracted. The skull

was leveled with a +/- 0.3 mm tolerance on the A-P to ensure that bregma and lambda were in the

same horizontal plane. Small burr holes were drilled in the skull above the infusion target. Virus

was bilaterally infused at a rate of 0.01 µl per minute in target regions (coordinates above). After

each infusion, 5 min elapsed before exiting the brain.

Histology

At the end of the experiment, rats were euthanized with an overdose of Euthasol (Euthasol,

0.8 mL, 390 mg/mL pentobarbital, 50 mg/mL phenytoin; Virbac, Fort Worth, TX), were

transcardially perfused, and their brains removed for histological processing. Brains were fixed in

10% buffered formalin acetate for 24 h followed by 30% sucrose for 5 days. To visualize hM4Di-

mCherry and eGFP expression in BLA or vlOFC cell bodies, free-floating 40-µm coronal sections

were mounted onto slides and cover-slipped with mounting medium for DAPI. Slices were

visualized using a BZ-X710 microscope (Keyence, Itasca, IL), and analyzed with BZ-X Viewer

and analysis software.

Reconstructions of viral expressions of hM4Di (magenta) and green fluorescent protein,

eGFP (green) across the AP plane (**Fig. 1BE**) were conducted using Photoshop and Illustrator

(Adobe, Inc., San Jose, CA). Two independent raters blind to condition then used ImageJ (U. S.

National Institutes of Health, Bethesda, Maryland, USA) to trace and quantify pixels at AP +3.7

(vlOFC) and AP -2.8 (BLA) for each animal. Three measures were obtained per hemisphere and

rater measurements were significantly correlated (Pearson correlation: $r = 0.54$, $p = 4.51e^{-04}$).

There were no differences in expression level between males and females for pixel count

reconstructions [$F_{(1,36)} = 2.59$, $p = 0.12$]. Only subjects with bilateral expression were included in

behavioral analyses (4 vlOFC and 8 BLA hM4Di rats were excluded due to unilateral expression).

Food Restriction

Five days prior to any behavioral testing, rats were placed on food restriction with females

on average maintained on 10-12 grams/ day and males given 12-14 grams/ day of chow. Food

restriction level remained unchanged throughout behavioral testing, provided animals completed

testing sessions. Water remained freely available in the home cage. Animals were weighed every

other day and monitored closely to not fall below 85% of their maximum, free-feeding weight.

Drug administration

Inhibition of vlOFC or BLA was achieved by systemic administration of clozapine-N-

oxide, CNO (i.p., 3mg/kg in 95% saline, 5% DMSO) in animals with DREADDs. Rats with eGFP

in these regions underwent identical drug treatment. Rats were randomly assigned to drug

treatment groups, irrespective of performance in pretraining. CNO was administered before

learning, 30 min prior to behavioral testing. We followed previous work on timing and dose of

systemic CNO (Stolyarova, Rakhshan et al., 2019; Hart et al., 2020) and considering the long

duration of test sessions. To control for nonspecific effects of injections and handling stress, we

also injected animals with saline vehicle (VEH). For reversal learning, to increase power and

decrease the number of animals used in experiments, we used a within-subject design for assessing

the effects of CNO, with all rats receiving CNO and VEH injections in a counterbalanced order.

Thus, for drug administration if a rat received CNO on the first reversal (R1), it was administered

VEH on the second reversal (R2), CNO on the third reversal (R3), and VEH on the fourth reversal

(R4), or vice versa: VEH on R1, CNO on R2, VEH on R3, and CNO on R4.

Behavioral Testing

Pretraining. Behavioral testing was conducted in operant conditioning chambers outfitted

with an LCD touchscreen opposing the sugar pellet dispenser. All chamber equipment was

controlled by customized ABET II TOUCH software (Lafayette Instrument Co., Lafayette, IN).

The pretraining protocol, adapted from established procedures (Stolyarova and Izquierdo,

2017), consisted of a series of phases: Habituation, Initiation Touch to Center Training (ITCT),

Immediate Reward Training (IMT), designed to train rats to nose poke, initiate a trial, and select a

stimulus to obtain a reward (i.e., sucrose pellet). Pretraining stages have been reported in detail

elsewhere (Stolyarova, Rakhshan et al., 2019). For habituation pretraining, the criterion for

advancement was collection of all 5 sucrose pellets. For ITCT, the criterion to the next stage was

set to 60 rewards consumed in 45 min. The criterion for IMT was set to 60 rewards consumed in

45 min across two consecutive days. After completion of all pretraining schedules, rats were

advanced to the discrimination (initial) phase of either the action- or stimulus-based reversal

learning task, with the task order counterbalanced (**Fig. 2AB** or **Fig. 6AB**). A subset of animals

was tested first on the action-based task (13 vlOFC hM4Di, 10 BLA hM4Di), while others were

tested on the stimulus-based task first (9 vlOFC hM4Di, 7 BLA hM4Di, 16 eGFP). Three rats in

the vlOFC group completed only the stimulus-based task.

Action-based deterministic discrimination learning. After completion of either all

pretraining schedules or all four reversals of the stimulus-based task, rats were advanced to the

discrimination (initial) phase of the action-based task (**Fig. 2A**). Rats were required to initiate a

trial by touching the white graphic stimulus in the center screen (displayed for 40 s), and after

initiation rats would be presented with two stimuli (i.e., fan or marble) on the left and right side of

the screen (displayed for 60 s). Rats could nosepoke either the spatial side rewarded with one

sucrose pellet (the better option, $p_R(B)=1.0;$) or the spatial side that went unrewarded (the worse

option, $p_R(W)=0.0$). Thus, rats were required to ignore the properties of the stimuli and determine

the better rewarded side. If a side was not selected, it was scored as a choice omission, and a 10 s

inter-trial interval (ITI) ensued. If a trial was not rewarded, a 5 s time-out would occur, followed

by a 10 s ITI. If a trial was rewarded, a 10 s ITI would occur after the reward was collected. The

criterion was set to 60 or more rewards consumed and selection of the correct option in 75% of the

trials or higher during a 60 min session across two consecutive days. After reaching the criterion

for the discrimination phase, the rats were advanced to the reversal phase beginning on the next

session. Animals were not administered either CNO or VEH injections during discrimination

learning.

Action-based reversal learning. After the discrimination phase, the rats advanced to the

reversal phase. Before a reversal learning session, rats were injected intraperitoneally with either

3 mg/kg of CNO or VEH 30 min prior to each reversal testing session. The side previously

associated with the $p_R(B)=1.0$ probability was now associated with a $p_R(W)=0.0$ probability of

being rewarded, and vice versa. The criterion was the same as the deterministic discrimination

phase. After reaching the criterion for the first deterministic reversal phase (i.e., R1), the rats

advanced to the second deterministic reversal phase (i.e., R2) beginning on the next session. Rats

that had previously received VEH during the first reversal would now receive CNO injections, and

vice versa.

After completing both deterministic reversal learning phases, rats advanced to the first

probabilistic reversal learning phase (i.e., reversal 3, R3). Rats underwent the same injection

procedure as the prior reversals. However, the spatial side (i.e., left or right) previously associated

with $p_R(B)=1.0$, was now associated with a $p_R(W)=0.1$ probability of being rewarded, whereas the

spatial side previously associated with $p_R(W)=0.0$ probability, was now associated with $p_R(B)=0.9$.

The criterion was the same as the previous deterministic reversal learning phases. After reaching

the criterion for the first probabilistic reversal learning phase (i.e., reversal 3, R3), rats were

advanced to the second probabilistic reversal phase (i.e., reversal 4, R4) beginning on the next

testing day, where the probabilities would be reversed once again. Rats that had previously

received VEH during the first probabilistic reversal now received CNO injections, and vice versa.

Stimulus-based deterministic discrimination learning. After completion of all pretraining

schedules (or all reversals of the action-based task), rats were advanced to the discrimination

(initial) phase of learning in which they would initiate a trial by touching a white graphic stimulus

in the center screen (displayed for 40 s), and choose between two different visual stimuli

pseudorandomly presented on the left and right side of the screen, **(Fig. 6A)**. Stimuli were

displayed for 60 s each, randomly assigned as the better or worse stimulus: $p_R(B)=1.0$ or

$p_R(W)=0.0$. If a trial was not initiated within 40 s, it was scored as an initiation omission. If a

stimulus was not selected, it was scored as a choice omission, and a 10 s ITI ensued. If a trial was

not rewarded, a 5 s time-out would occur, followed by a 10 s ITI. Finally, if a trial was rewarded,

a 10 s ITI would follow after the reward was collected. A criterion was set to 60 or more rewards

consumed and selection of the correct option in 75% of the trials or higher during a 60 min session

across two consecutive days. After reaching the criterion for the discrimination phase or if rats

were unable to achieve criterion after 10 days, rats were advanced to the reversal phase beginning

on the next session. Animals were not administered either CNO or VEH injections during

discrimination learning.

282   *Stimulus-based reversal learning.* After the discrimination phase, rats advanced to the first

deterministic reversal learning phase (i.e., reversal 1, R1) where they were required to remap

stimulus-reward contingencies. As above, before a reversal learning session, rats were injected

intraperitoneally with either 3 mg/kg of CNO or VEH 30 min prior to each reversal testing session.

The criterion was the same as discrimination learning. After reaching the criterion for the first

reversal phase or if they were unable to achieve criterion after 10 days, the rats were advanced to

the second deterministic reversal phase (i.e., reversal 2, R2) beginning on the next testing day,

where the reward contingencies were reversed once again. Rats that had previously received VEH

during the first reversal now received CNO injections, and vice versa.

291   After completing both deterministic reversal learning phases, rats advanced to the first

probabilistic reversal learning phase (i.e., reversal 3, R3). The injection procedure remained the

same as prior reversals. However, the visual stimulus previously associated with $p_R(B)=1.0$, would

now be associated with $p_R(W)=0.1$, whereas the stimulus previously associated with $p_R(W)=0.0$,

would now be associated with $p_R(B)=0.9$. The criterion remained the same as prior reversals. After

reaching the criterion for the first probabilistic reversal learning phase or if rats were unable to

achieve criterion after 10 days, the rats were advanced to the second probabilistic reversal phase

(i.e., reversal 4, R4) beginning on the next testing day, where the probabilities would be reversed

once again. As above for action-based reversal learning, rats that had previously received VEH

during the first probabilistic reversal now received CNO injections, and vice versa.

Action-based probabilistic learning and retention. We assessed initial probabilistic

learning, using the same criterion as above, in a separate group of experimentally-naïve animals

expressing hM4Di in BLA (N=14, 7 females; 2 animals were excluded due to unilateral

expression). Before a learning session, rats were injected intraperitoneally with either 3 mg/kg of

CNO (n=10) or VEH (n=2) 30 min prior to testing. One side of the touchscreen was associated

with a better probability of reward ($p_R(B)=0.9$) and the other side, with a worse probability of

reward ($p_R(W)=0.1$). Animals were then assessed for their retention of this association on the next

session.

Ex vivo calcium imaging

In N=4 animals (all males), following >3 weeks following stereotaxic viral injections, rats

(n=1 rat/brain region/virus combination; n=2-5 slices/rat) were deeply anesthetized with isoflurane

(Patterson Veterinary, MA, USA), decapitated and brains submerged in ice cold oxygenated

(95/5% O_2/CO_2) slicing artificial cerebrospinal fluid (ACSF) containing (in mM): 62 NaCl, 3.5

KCl, 1.25 NaH_2PO_4, 62 choline chloride, 0.5 $CaCl_2$, 3.5 $MgCl_2$, 26 $NaHCO_3$, 5 N-acetyl L-

cysteine, and 5 glucose, pH adjusted to 7.3 with KOH. Acutely microdissected vlOFC or BLA

slices (300 μM thick) were obtained (VT1200s, Leica, Buffalo Grove, IL) and transferred to room

temperature normal ACSF containing (in mM): 125 NaCl, 2.5 KCl, 1.25 NaH_2PO_4, 2 $CaCl_2$, 2

$MgCl_2$, 26 $NaHCO_3$, 10 glucose, pH adjusted to 7.3 with KOH, and allowed equilibrate for >1 hr

prior to transfer into a perfusion chamber for imaging.

Imaging was performed on a Scientifica SliceScope, with imaging components built on an

Olympus BX51 upright fluorescence microscope equipped with an sCMOS camera (Hamamatsu

Orca Flash 4.0v3). Anatomical regions in brain sections for Ca^{2+} imaging were first identified by

brightfield imaging with 780nm LED (Scientifica) illumination. Ca^{2+} imaging was performed

using a 40x, 0.80NA water immersion objective (Olympus), continuous 470nm LED illumination

(ThorLabs), and a filter cube suitable for GCaMP6f imaging: Excitation: Brightline 466/40,

Dichroic: Semrock FF495-Di03, Emission: Brightline 525/50. Slices were housed on poly-D

lysine cover slips attached to RC-26G chamber (Warner Instruments, Holliston, MA), which was

modified with platinum wires to apply electric field stimulation. Images were acquired continually

with 20 ms exposure time. Electric field stimulation was applied at 110 mV (twin pulse every 5s).

Temperature of ACSF during the recorded sessions was held at 28° C to minimize bubble

formation.

Calcium data extraction

Prior to imaging sessions, 40x images of red and green fluorescence were captured and

subsequently overlaid for post-hoc genotyping of individual cells (GCaMP6f[+],

GCaMP6f[+]/hM4Di-mCherry[+], or GCaMP6f/mCherry[+]). Blinded scorers semi-manually curated

regions of interest (ROIs) using Python-based Suite2P software (Pachitariu et al., 2017). ROI

fluorescence was subtracted from the annular surround fluorescence, low-pass filtered, and

transformed to dF/F_0 as previously described (Asrican and Song, 2021) where F_0 is calculated with

a boxcar filter with a 200-frame lookback window. dF/F_0 values were clipped between 0 and 9000

to eliminate negative changes. Area under the curve and event frequency of each cell was

calculated for each drug treatment. A threshold of 0.15 dF/F was used to determine significant

events, which is lower than the dF/F of a single *ex vivo* action potential, but significantly above

signal to noise in our recorded traces (Tada et al., 2014), **Fig. 1CF**.

Data Analyses

MATLAB (MathWorks, Natick, Massachusetts; Version R2021a) was used for all

statistical analyses and figure preparation. Data were analyzed with a series of mixed-effects

General Linear Models (GLM, *fitglme*) for the discrimination learning phase to establish there

were no baseline differences in learning measures between the hM4Di and eGFP animals (i.e.,

virus group) prior to any drug treatment for each task separately. Mixed-effects GLMs were also

conducted on reversal phases, with all fixed factors included in the model [i.e., reversal number

(1-4), virus group (hM4Di, eGFP), drug (CNO, VEH), sex (female, male), drug order (CNO1,

VEH1)] and individual rat as a random factor. These GLMs were run for each task type (stimulus-

and action-based tasks) separately. Since learning reached asymptote at 5-days for stimulus-based

reversal learning, only the first 5 days were included in the GLM. Similarly, since rats typically

reached a plateau (and criterion) at 150 trials for action-based reversal learning, we included only

the first 150 trials in the GLM. Significant interactions were further analyzed with a narrower set

of fixed factors and Bonferroni-corrected post-hoc comparisons. In the instance where sex was

found a significant predictor (moderator), sex was entered as a covariate factor in subsequent

reversals. Accuracy (probability correct) before and after a reversal (-3 and +3 sessions or -100

trials and +100 trials surrounding a reversal) was analyzed using ANOVA with virus group

(hM4Di, eGFP) and drug order (CNO1, VEH1) as fixed factors on the average change pre-post

reversal. Virus expression level was analyzed with ANOVA by sex (male, female) on pixel counts

obtained via imageJ. Pearson correlation coefficients (*corrcoef*) were analyzed for inter-rater

reliability of viral expression quantification. Wilcoxon rank sum tests were used to compare

reinforcement learning parameters between groups.

Dependent measures for learning included probability of choosing the correct or better

option, probability of win-stay, and probability of lose-switch. Probability of win-stay and lose-

switch adaptive strategies were calculated for the stimulus-based task such that each trial was

classified as a *win* if an animal received a sucrose pellet, and as a *loss* if no reward was delivered.

Statistical significance was noted when p-values were less than 0.05. All Bonferroni post-hoc tests

were corrected for number of comparisons.

To analyze *ex vivo* calcium imaging data, 2-way ANOVAs with drug and virus as factors

were conducted to compare calcium event changes in GCaMP6f and GCaMP6f+mCherry in each

brain region for control experiments, and for GCaMP6f and GCaMP6f+hM4Di-mCherry in each

brain region for the experimental group. Tests corrected for number of comparisons were

conducted for interactions.

Reinforcement Learning Models

To capture differences between groups in learning and choice behavior during the action-

based task, we utilized two conventional reinforcement learning (RL) models. Specifically, the

subjective estimate of reward (V) for each choice option was updated on a trial-by-trial basis using

reward prediction error (RPE), the discrepancy between actual and expected reward value. In the

first model, which we refer to as *RL*, the value estimate of the chosen option (V_C) for a trial t was

updated using the following equations:

$$V_C(t + 1) = V_C(t) + \alpha\big(R(t) - V_C(t)\big), \qquad (1)$$

where $R(t)$ indicates the presence (1) or absence (0) of a reward for the given trial, and α is the

learning rate dictating the amount of update in the value estimate by RPE. In this model, the value

of unchosen option was not updated.

The second model, referred to as RL_{decay}, used the same learning rule as Equation (1) for

updating the value of the chosen option, and additionally updated the value of the unchosen option

(V_U) as follows:

$$V_U(t+1) = V_U(t) - \gamma_d(V_U(t)), \qquad (2)$$

396   where γ_d is a decay rate controlling the amount of passive decay in value of the unchosen option.

397   In both models above, the probability of choosing a particular option was computed using the

398   following decision rule:

$$P_i(t) = (1 + e^{-\beta(V_i(t) - V_j(t))})^{-1}, \qquad (3)$$

where i and j corresponds to two alternative options (i.e., left and right for action-based task), and

β is the inverse temperature or sensitivity governing the extent to which higher-valued options are

consistently selected.

We used the standard maximum likelihood estimation method to fit choice data and

estimate the parameters for each session of the experiment. The values of the learning rate α and

decay rate γ_d were bounded between 0 and 1, and β was bounded between 1 and 100. Initial

parameter values were selected from this range, and fitting was performed using the MATLAB

function *fmincon*. For each set of parameters fitted to each session, we repeated 100 different initial

conditions selected from evenly-spaced search space to avoid local minima. The best fit was

selected from the iteration with the minimum negative log-likelihood (*LL*). For the first model

(*RL*), we treated the uninitiated or uncommitted trials with no choice data as if they had not

occurred. In contrast, for the second model (RL_{decay}), both choice options were considered

unchosen for those trials and both of the value estimates decayed passively according to Equation

(2).

To quantify goodness of fit, we computed both Akaike Information Criterion (AIC) and

Bayesian Information Criterion (BIC) for each session as follows:

$$AIC = -2 * LL + 2 * k, \qquad (4)$$

$$BIC = -2 * LL + \ln(n) * k, \qquad (5)$$

where k is the number of parameters in the model (two for RL and three for RL_{decay}), and n is the

number of choice trials in the session.

Results

Ex vivo calcium imaging in slices

We performed ex *vivo* Ca^{2+} imaging to confirm the selective action on $CaMKII^+$ neuronal

excitability in vlOFC and BLA in rats expressing hM4Di DREADD vs. controls expressing

mCherry. In BLA, there was no significant effect of CNO (10μM) on Ca^{2+} events for neurons

expressing GCaMP6f or GCaMP6f+mCherry (**Fig. 1C**). A 2-way ANOVA resulted in a significant

drug × virus interaction [$F_{(2,324)} = 3.367$, $p = 0.036$], with a selective reduction in the frequency of

elicited Ca^{2+} events during CNO only in neurons expressing GCaMP6f+hM4Di (multiple

comparison test, $p=0.049$).

In vlOFC, there was also no significant effect of CNO (10μM) on Ca^{2+} events for neurons

expressing GCaMP6f or GCaMP6f+mCherry (**Fig. 1F**). However, in $CaMKII^+$ vlOFC neurons

expressing GCaMP6f+hM4Di there was a decrease in the frequency of Ca^{2+} events during CNO

application. A 2-way ANOVA revealed a significant drug x virus interaction [$F_{(2,400)} = 8.349$, $p<$

0.001], with multiple comparisons test resulting in decreased Ca^{2+} events in GCaMP6f+hM4Di

following CNO ($p = 0.02$), and increased activity in GCaMP6f expressing neurons after CNO (p

$= 0.02$).

Discrimination learning: eGFP controls

Mixed-effects GLMs for the discrimination learning phase were conducted for each task separately to establish if there were baseline differences in learning measures between animals infused with eGFP virus in different brain regions. There were no differences between the eGFP groups by target region on learning (i.e., the probability of choosing the correct side) across trials in the action-based task (β_{region} = -0.13, p = 0.47), as well as no differences in learning (i.e., probability of choosing the correct visual stimulus) across sessions in the stimulus-based task (β_{region} = 0.10, p = 0.09). Thus, animals' data were collapsed into a single eGFP virus group for subsequent analyses.

Discrimination learning: hM4Di vs. eGFP

For the action-based task, there were no significant effects of virus or virus interactions for vlOFC vs. eGFP on *probability correct* (β_{virus} = -0.002, p = 0.96), with similar findings for the comparison of BLA vs. eGFP (β_{virus} = -0.02, p= 0.85; **Fig. 2C**). All animals met criterion very quickly (~2 days), thus, we compared trials to reach 75% criterion (i.e., probability of choosing the correct side). Both hM4Di virus groups performed comparably [M±SEM: vlOFC hM4Di (69.6±15.4, BLA hM4Di (80.9±21.5)], whereas the eGFP group met criterion within fewer trials (59.5±18.6), but the difference was not statistically significant [vlOFC hM4Di vs. eGFP: β_{virus} = 33.2, p = 0.34; BLA hM4Di vs. eGFP: β_{virus} = 51.3, p = 0.21].

For the stimulus-based task, there were also no significant effects of virus or virus interactions for either vlOFC vs. eGFP on *probability correct* (β_{virus} = -0.09, p = 0.10), or for BLA vs. eGFP (β_{virus} = -0.06, p = 0.20; **Fig. 6C**). The animals on average took approximately ~6 days

to meet criterion regardless of virus group [M±SEM: vlOFC hM4Di (6.1±0.7), BLA hM4Di

(6.5±1.2), eGFP (6.9±0.7)].

Given the poorer learning in the stimulus-based task, we evaluated whether this was due to

the order of task administered [i.e., Stimulus → Action or Action → Stimulus]. To test whether

learning was influenced by task order, we analyzed *probability correct* during initial

discrimination learning for the stimulus-based task, which resulted in no effect of task order (β_{order}

= 0.03, *p* = 0.33), but a significant task order x session interaction ($\beta_{order\ x\ session}$ =-0.03, *p* = 0.002).

Thus, subsequent analyses were conducted with task order analyzed separately by session, which

revealed that animals administered the Action → Stimulus task order exhibited poorer learning

across sessions ($\beta_{session}$ = 0.01, *p* = 0.05), compared to those administered the Stimulus→ Action

task order ($\beta_{session}$ = 0.04, *p* < 0.0001). To further address the possibility that any effects on reversal

learning could be due to task order instead of DREADDs inhibition, we conducted a separate

assessment of learning in animals administered the Action-based task first (see below).

Accuracy across trials and sessions: Reversal learning

Action-based reversal learning. Mixed-effects GLMs were used to analyze *probability*

correct, our primary measure of accuracy, with drug order, virus, and sex as between-subject

factors, trial number, reversal number, and drug as within-subject factors, and individual rat as

random factor. GLMs were conducted separately by target region (BLA vs. eGFP and vlOFC vs.

eGFP), using the following formula for the full model: *γ ~ [1 + trial number + reversal number **

virus *drug * drug order * sex + (1 + trial number + reversal number * drug| rat)].

Starting with the full model above for the comparison of BLA with eGFP, we found that

trial number was a significant predictor of *probability correct* ($\beta_{trial\ number}$ =0.003, *p* = 3.26e^{-81}). We

also observed a sex x drug order ($\beta_{\text{sex x drug order}}$ =0.63, p = 0.01) and sex x virus x drug order ($\beta_{\text{sex x}}$

$_{\text{virus x drug order}}$ =-0.72, p = 0.046) interaction. To further probe "first reversals" we included only R1

and R3 in the above model. Accordingly, drug order did not vary across R1 and R3. In the analysis

of first experiences with deterministic R1 and probabilistic R3, trial number was also a significant

predictor of accuracy ($\beta_{\text{trial number}}$ =0.003, p = 2.59e^{-49}), along with a virus x drug x reversal number

interaction ($\beta_{\text{virus x drug x reversal number}}$ =-0.19, p = 0.04), which justified further analysis of R1 and R3,

separately. Follow-up GLMs were conducted for R1 and R3 *probability correct*, with the following

formula: *γ ~ [1 + virus *drug + (1 | rat)]*. A significant virus x drug interaction was obtained only

for R3: ($\beta_{\text{virus x drug}}$ =-0.22, p = 0.03), **Fig. 2D**. Bonferroni-corrected post-hoc comparisons revealed

an effect of CNO in hM4Di (p = 2.33e^{-04}), not in eGFP (p = 1.0), with both males and females

exhibiting impaired learning of probabilistic R3 following BLA inhibition (**Fig. 3B**). Importantly,

stimulus-naïve animals also demonstrated impaired probabilistic reversal learning (β_{drug} =-0.23, p

= 0.02) (**Fig. 4A**), indicating this slower learning was not due to previous training history.

For the comparison of vlOFC with eGFP, trial number was a significant predictor of

probability correct ($\beta_{\text{trial number}}$ =0.003, p = 6.39e^{-75}). We also observed a sex x drug order ($\beta_{\text{sex x drug}}$

$_{\text{order}}$ =0.60, p = 0.03) interaction (**Fig. 2D**). Follow-up GLMs were conducted for accuracy in

females and males separately, with the following formula: *γ ~ [1 + drug order + (1| rat)]*. A

significant drug order effect (CNO first > VEH first) was obtained for females: ($\beta_{\text{drug order}}$ =-0.07,

p = 0.01), but not males (**Fig. 3**). Due to finding no interactions of reversal number with any other

predictor, we were not justified to look further at individual reversals. Altogether, there was no

effect of vlOFC inhibition on action-based reversal learning: all animals eventually reached

asymptote and criterion over a comparable number of trials.

Since OFC has been implicated in early reversal learning both classically and recently

(Jones & Mishkin, 1972; Schoenbaum et al. 2007; Amodeo et al. 2017), we conducted additional

analyses using the same full-model formula $\gamma \sim$ [*1 + trial number + reversal number * virus *drug*

* drug order * sex + (1 + trial number + reversal number * drug| rat)], but this time restricted to

early trials (first 10) of each reversal. Within this early phase, there was a significant sex x virus x

drug order ($\beta_{\text{sex x virus x drug order}}$ =-0.94, $p = 0.03$) and sex x virus x drug x drug order interaction (β_{sex}

$_{\text{x virus x drug x drug order}}$ =1.05, $p = 0.04$). When sex was entered as a covariate, there was a marginally

significant interaction of virus x drug order for all reversals ($\beta_{\text{virus x drug order}}$ =-0.22, $p = 0.056$), with

a sex difference observed only in the hM4Di group ($p = 0.03$), not in eGFP ($p = 0.44$). Thus, early

reversal adjustment in female rats was more adversely affected by previous OFC inhibition (i.e.,

if they received CNO first), than in males (**Fig. 3**).

Action-based probabilistic learning and retention. To further probe if the effect of BLA

inhibition on probabilistic reversal learning was specific to reversal, and not initial learning, we

administered CNO or VEH before initial 90/10 learning in a separate group of experimentally

naïve animals transfected with hM4Di in BLA. To analyze the effect of drug on *probability correct*

we used the formula: $\gamma \sim$ [*1 + trial number + drug + (1 + trial number| rat)*]. Only an effect of

trial number was found ($\beta_{\text{trial number}}$ =0.001, $p = 1.7e^{-04}$). There was no significant effect of drug on

learning in either session 1 (initial learning) or session 2 (retention), with or without sex entered

in the model as a covariate. Thus, all rats demonstrated learning and full retention on the next day

(**Fig. 4B**).

Fitting choice behavior in R1 and R3 with Reinforcement learning models. To gain more

insight into the effect of vlOFC and BLA inhibition on deterministic reversal learning (R1) and

probabilistic reversal learning (R3) and their potential underlying mechanisms, we next compared

the estimated model parameters from reinforcement learning models (RL, RL_{decay}). Comparing the

goodness of fit between the two models, we found that the second model with the decay parameter

(RL_{decay}) better accounted for the animals' choice behavior as indicated by significantly lower AIC

(paired sample t-test; $t(1334) = 4.613$, $p = 4.34e^{-06}$). In contrast, the overall mean BIC value was

significantly lower for the first model ($t(1334) = -2.623$, $p = 8.00\ e^{-03}$). We focused on the estimated

parameters from the $RL1_{decay}$ model only (**Fig. 5**), as AIC better distinguished the better-fitting

model and allowed estimation of the additional γ_d parameter.

Comparison of the estimated parameters across groups revealed that female and male rats

differed mainly in the decay parameter γ_d, which governs the amount of passive decay or forgetting

in the value estimate of the unchosen option. During the first deterministic reversal (R1, 100/0),

eGFP females showed overall significantly lower values of γ_d than eGFP males (mean difference

in $\gamma_d = -0.0982$; Wilcoxon rank sum test, $p = 3.40e^{-06}$), suggesting a different mechanism of

adjustment to the reversal between females and males. While there was no clear evidence for such

sex difference in γ_d for the BLA hM4Di groups (mean difference in $\gamma_d = -0.0915$; Wilcoxon rank

sum test, $p = 0.24$), vlOFC hM4Di groups instead revealed a sex-specific effect between CNO and

VEH groups: vlOFC hM4Di females who were administered CNO to inhibit vlOFC had

significantly higher values of γ_d compared to those receiving VEH (mean difference in $\gamma_d = 0.170$;

$p = 0.04$). This effect was even more pronounced during the first probabilistic reversal R3 (mean

difference in $\gamma_d = 0.125$; $p = 9.42e^{-04}$). In contrast, when comparing CNO and VEH in vlOFC

hM4Di males there was no difference in γ_d in either R1 (mean difference in $\gamma_d = 0.030$; $p = 0.50$)

or R3 (mean difference in $\gamma_d = -0.036$; $p = 0.57$).

In BLA hM4Di groups, we found a sex-specific effect between CNO and VEH during R3

in the inverse temperature parameter: BLA hM4Di females administered CNO had significantly

higher values of β compared to those receiving VEH (mean difference in β = 12.5; p = 0.02),

indicating higher choice consistency or diminished exploration when the better option had

reversed, a maladaptive adjustment to reversal. Yet, BLA hM4Di males did not exhibit this

difference (mean difference in β = 7.02; p = 0.76). These results based on RL model fitting suggest

that the attenuated probabilistic learning in females is mediated by larger β after BLA inhibition

and larger γ_d (decreased memory for the unchosen options) after vlOFC inhibition. Importantly,

for vlOFC group, this significant difference emerged due to hM4Di VEH females exhibiting

enhanced memory in R3.

Stimulus-based reversal learning. In contrast to the acquisition curves that demonstrated

learning of the initial visual discrimination (**Fig. 6C**), all animals exhibited difficulty with

stimulus-based reversal learning, rarely achieving above 60% after 10 sessions (**Fig. 7**), similar to

recent reports (Harris, Aguirre et al., 2021; Ye et al., 2023). Here, due to several non-learners, we

adhered to the criterion of rats reaching greater than a 50% running window average for the last

100 trials in discrimination, for inclusion in subsequent reversal learning analyses. The following

numbers did not meet this criterion and were excluded from these groups: 0 of 10 vlOFC hM4Di,

3 of 10 BLA hM4Di, and 5 of 16 eGFP. GLMs were conducted separately in only these 'stimulus

learners' for accuracy (*probability correct*) by target region comparison, but with session instead

of trial number as a within-subject factor. Thus, the GLM formula for the full model was as

follows: *γ ~ [1 + session *reversal number * virus * drug * drug order * sex + (1 + session **

reversal number * drug| rat)].

Using the above formula, for vlOFC hM4Di comparison with eGFP, we observed several

interactions with virus including: session x drug x virus ($\beta_{session\ x\ drug\ x\ virus}$ = -0.116, p = 1.64e^{-03}),

session x drug x drug order x virus ($\beta_{session\ x\ drug\ x\ drug\ order\ x\ virus}$ = 0.242, p = 2.47e^{-04}) and drug x virus

x reversal number ($\beta_{\text{drug x virus x reversal number}}$ = -0.133, p = 4.58e^{-03}). With a significant interaction of

session x drug x drug order x reversal number x virus ($\beta_{\text{session x drug order x drug x virus x reversal number}}$ = -

0.08, p = 4.26e^{-04}), we were justified to analyze reversals separately. We found a significant session

x virus interaction only in R3 ($\beta_{\text{session x virus}}$ = -0.023, p = 0.03). To further probe changes over

session we employed the following formula for each virus separately: $\gamma \sim$ [*1 + session + (1 +*

session| rat)]. Bonferroni-corrected post-hoc comparisons revealed an effect of session in eGFP

(p < 0.01), but not in hM4Di (p = 0.10), indicating that only the eGFP group improved across

session in R3 (**Fig. 7**).

For BLA hM4Di compared to eGFP, fewer interactions were observed, but none which

included specific reversal number. We observed an interaction of session x drug x virus ($\beta_{\text{session x}}$

$_{\text{drug x virus}}$ = -0.098, p = 0.03), thus to further probe changes over session, we employed the following

formula for each virus-drug combination separately: $\gamma \sim$ [*1 + session + (1 + session| rat)*].

Bonferroni-corrected post-hoc comparisons resulted in eGFP-VEH, eGFP-CNO, and hM4Di-VEH

exhibiting some improvement across sessions (session, all p < 0.01), but not the hM4Di-CNO

group (**Fig. 7**). Thus, BLA inhibition further slowed already incremental learning across all

reversals.

Due to the slow stimulus-based reversal learning, we next assessed performance measured

as *probability correct* around reversals (three sessions before and after reversals for stimulus-based

learning, and one session before and after reversals for action-based learning) to test for

adjustments to reversals.

Accuracy around reversals: Probability correct adjustments

Stimulus-based reversal learning. We analyzed accuracy (*probability correct*) around

reversals to assess adjustments to reversals considering that the overall stimulus-based learning

was modest. ANOVAs with virus and drug order as between subject factors were conducted on

the mean change in accuracy between one reversal and the next. vlOFC hM4Di was significantly

different from eGFP for R1-to-R2 [$F_{(1,18)}$= 7.69, p = 0.01] and R2-to-R3 [$F_{(1,18)}$= 7.57, p = 0.01],

but not R3-to-R4 [$F_{(1,18)}$= 1.16, p = 0.30], **Fig. 6D**. In contrast, BLA hM4Di was not significantly

different from eGFP on changes in accuracy around any of the reversals.

Action-based reversal learning. For comparison, we also assessed accuracy (*probability*

correct) around reversals for action-based reversal learning. As above, ANOVAs with virus and

drug order as between subject factors were conducted on the mean accuracy change between one

reversal and the next. Other than confirming the probabilistic reversal learning (R3) impairment

for BLA hM4Di (**Fig. 2**), there were no significant effects of virus groups on accuracy changes on

any other reversal transition in action-based reversal learning (**Fig. 8).**

Strategies around reversals: Win-Stay, Lose-Switch

Stimulus-based reversal learning. We also analyzed adaptive response strategies (*Win-Stay*

and *Lose-Switch*) around reversals. ANOVAs with virus and drug order as between subject factors

were conducted on mean Win-Stay or Lose-Switch between one reversal and the next. vlOFC

hM4Di was significantly different from eGFP for *Win-Stay* R1-to-R2 [$F_{(1,18)}$ =8.97, p < 0.01] and

R2-to-R3 [$F_{(1,18)}$= 7.81, p = 0.01], but not R3-to-R4 [$F_{(1,18)}$= 0.40, p = 0.54]. However, though

there was a trend for reduced Lose-Switch in vlOFC hM4Di for R1-to-R2 [$F_{(1,18)}$= 3.64, p = 0.07]

and R2-to-R3 [$F_{(1,18)}$= 3.69, p = 0.07], this group did not statistically differ from eGFP on this

measure, **Fig. 9**.

In contrast, BLA hM4Di was significantly different from eGFP on changes in *Lose-Switch*

strategies around only R2-to-R3 [$F_{(1,15)}$= 5.82, p = 0.03]. The results for these adaptive strategies

reflect a similar pattern to that observed for *probability correct* for both vlOFC and BLA hM4Di,

above.

In summary, our results indicate that BLA, but not vlOFC, is required for learning

probabilistic reversals. Conversely, vlOFC, but not BLA, is necessary for rapid adjustments to

reversals, generally.

628
629   **Discussion**
630
We used a chemogenetic approach to transiently inactivate neurons in either vlOFC or

BLA to assess how these regions are involved in different aspects of reversal learning. Although

the role of OFC in reversal learning has been instantiated in different paradigms using visual

stimuli and cues (Izquierdo et al., 2013; Piantadosi et al., 2018; Hervig et al., 2020; Alsio et al.,

2021) as well as olfactory ones (Schoenbaum et al., 2003; Kim and Ragozzino, 2005), several

groups also report a strong role for OFC in action (spatial)-based reversal learning (Dalton et al.,

2016; Groman et al., 2019; Verharen et al., 2020). Almost all of these reversal learning

investigations have involved irreversible lesions or baclofen/muscimol inactivations of OFC.

Testing both types with a chemogenetic approach targeting projection neurons, here we found that

rapid adjustments to reversals generally rely on vlOFC, across both stimuli and actions.

In parallel, the specific role of BLA in stimulus- vs. action-based reversal learning is poorly

understood given mixed results (Schoenbaum et al., 2003; Izquierdo and Murray, 2004;

Churchwell et al., 2009; Hervig et al., 2020). Recent studies suggest amygdala may be involved in both types of learning (Taswell et al., 2021; Keefer and Petrovich, 2022) as BLA activity is modulated by violations in reward expectations generally, which are not association-specific (Roesch et al., 2012). To probe this, we tested animals on both stimulus- and action-based tasks and found that BLA is required for both stimulus- and action-based reversal learning, with a more pronounced role in probabilistic reversal learning (i.e., detecting meaningful change against a background of uncertainty). This adds to some empirical evidence (Stolyarova & Izquierdo, 2017) and supports its theorized role in learning under unexpected uncertainty (Soltani & Izquierdo, 2019).

As additional motivations for the present study, several reports suggest that neural recruitment in reversal learning may depend on certainty of rewards (Boulougouris et al., 2007; Boulougouris and Robbins, 2009; Ward et al., 2015; Costa et al., 2016; Dalton et al., 2016; Piantadosi et al., 2018; Verharen et al., 2020). To further understand this, we tested animals on both deterministic (100/0) and probabilistic reversals (90/10). We found vlOFC to be involved in the rapid adjustment to stimulus-based reversals and in the initial learning of both deterministic and probabilistic learning of actions, whereas BLA was more selectively involved in probabilistic reversal learning, not adjustments to reversals.

Finally, due to the sparsity of research probing sex differences in flexible learning and decision making (Orsini and Setlow, 2017; Grissom and Reyes, 2019; Orsini et al., 2022; Cox et al., 2023), where an overwhelming number of reversal learning studies include only males (Schoenbaum et al., 2003; Izquierdo et al., 2013; Dalton et al., 2016; Groman et al., 2019; Hervig et al., 2020; Verharen et al., 2020), we included both male and female rats here. We found sex-

665 dependent contributions of vlOFC in early action-based reversal learning. We elaborate on these

666 findings within the context of the existing literature below.

Preferential recruitment of BLA over vlOFC during probabilistic reversal learning

All animals learned to flexibly adjust their responses following deterministic and

probabilistic action-based reversals, indicating successful remapping of reward contingencies as

accuracy increased across trials. Importantly, we found no effect of CNO in eGFP animals,

suggesting that it was activation of hM4Di receptors in BLA that were crucial to any impairments

observed. vlOFC was especially involved in early learning of first deterministic (R1) and

probabilistic reversal (R3). This is consistent with findings following pharmacological

inactivations or lesions of OFC (Boulougouris et al., 2007; Boulougouris and Robbins, 2009;

Dalton et al., 2016; Piantadosi et al., 2018; Verharen et al., 2020).

BLA inhibition was not expected to impair deterministic reversal learning as it is thought

to be mostly recruited when there is some level of uncertainty, e.g., probabilistic outcomes (Roesch

et al., 2012), and we found evidence of that here. Amygdala-lesioned monkeys are also impaired

on action(spatial)-based probabilistic reversal learning, exhibiting decreased probability of

choosing the better option, and increased switching behavior following negative outcomes

(Taswell et al., 2021). BLA may indeed be critical in generating prediction error signals following

changes in reward associations (Esber et al., 2012; Roesch et al., 2012; Iordanova et al., 2021),

with particular involvement in detecting unexpected upshifts or downshifts in value (Roesch et al.,

2010; Stolyarova and Izquierdo, 2017). Our finding of attenuated learning of probabilistic reversal

R3 suggests it is the reversal superimposed on the misleading feedback that most engages BLA.

Here we also probed if BLA was necessary during the initial learning of probabilistic outcomes,

without reversal experience (**Fig. 4**). Inhibition during initial probabilistic learning did not produce

an impairment, indicating BLA is required during the convergence of both probabilistic feedback

and shift in contingency (i.e., unexpected uncertainty).

vlOFC, but not BLA, is necessary for adjustments to reversals

As described above, unlike the ease of action-based reversal learning, rats exhibited

difficulty learning reversals of stimulus-reward contingencies, as previously reported (Harris,

Aguirre et al., 2021). Thus, instead of examining acquisition curves which reached asymptote

slightly above chance, we elected to study adjustment to reversals by comparing accuracy and

strategy prior to and after a reversal occurred. Furthermore, this enabled assessment about whether

prior inhibition affected future adjustments to reversals and whether this varied by transition type

[i.e., between deterministic reversals (R1→R2), deterministic and probabilistic reversal (R2→R3),

or probabilistic reversals (R3→R4)]. We found that vlOFC, but not BLA, inhibition produced a

failure in detecting first deterministic and first probabilistic reversal. This pattern was not observed

in animals that received VEH during the first deterministic reversal, suggesting vlOFC needs to be

"online" when experiencing a reversal for the very first time as this determines how flexibly

animals respond to future reversals. Employment of adaptive strategies matched this effect, such

that vlOFC-inhibited animals did not employ effective win-stay strategies after the first reversal.

That vlOFC inhibition did not impair the ability to adjust to probabilistic reversals (R3→R4)

supports the idea that other brain regions may be recruited when the probabilistic reward

contingencies have already been established. The role of OFC in establishing an "expected

uncertainty" (Soltani and Izquierdo, 2019) has been instantiated experimentally in several recent

studies using different methodologies (Stolyarova & Izquierdo, 2017; Namboodiri et al., 2019;

Namboodiri et al., 2021; Jenni et al., 2022), and we add the establishment of expected uncertainty

in adjustments to stimulus-based reversals to this evidence.

In contrast, BLA inhibition did not result in any impairment in the ability to adjust to

reversals, with the exception of the transition to a probabilistic schedule (i.e., in lose-switch

strategies), which lends additional support to the idea that BLA is particularly engaged in adjusting

behavior to meaningful changes in the reward environment. Our results based on estimated RL

model parameters suggests differential mechanisms for adjustment to reversals between males and

females and following vlOFC vs. BLA inhibition. Specifically, we found evidence for differential

effects on γ_d, where the decay rate for unchosen action values was greater for females than males

following vlOFC inhibition. This is consistent with a previous study which also reported similar

disruption in retention of action values after ablating OFC neurons projecting to BLA (Groman et

al., 2019). Notably, Groman et al. (2019) included only male rats and involved a stronger

manipulation than our chemogenetic approach (i.e., one that caused pathway-specific neuronal

apoptosis). Together with our findings, we can conclude that neurons in OFC, but not BLA, store

a memory of action values that are used to adjust to reversals. These results stand in contrast to the

maladaptive, increased choice consistency following BLA inhibition that instead reflects poor

updating.

Stimulus-based vs. action-based learning

Interestingly, we discovered task order to be significant in rats' ability to learn to

discriminate stimuli: stimulus→action was learned much more readily than action→stimulus. This

can be explained by noting that rats are heavily biased to acquire spatial associations (Wright et

al., 2019), and reinforcing this already-strong learning likely inhibits the ability to learn

associations where spatial information should be ignored. In contrast, nonhuman primates are able

to quickly transition between "what" vs. "where" blocks of trials (Rothenhoefer et al., 2017;

Taswell et al., 2021). Nonetheless, learning both types of associations are crucial for flexibility

required in naturalistic environments and thus, it is important to examine how stimulus-based and

action-based learning systems interact with each other (Soltani & Koechlin, 2022). Moreover,

although the role of OFC in stimulus- or cue-based reversal learning has been probed using

olfactory and visual stimuli, more viral-mediated approaches employing targeted chemogenetic

and optogenetic manipulations across sensory modalities in both males and females are warranted.

Conclusion

The present results indicate dissociable roles for vlOFC and BLA in flexible learning under

uncertainty. BLA is crucial in probabilistic reversal learning, or learning of unexpected changes

against a background uncertainty, whereas vlOFC is important in the establishment of expected

uncertainty that enables adjustments to reversals. Interestingly, females exhibited a larger memory

decay for the unchosen option following vlOFC inhibition than males, indicating a sex-dependent

influence on learning under uncertainty. Future investigations could combine targeted causal

manipulations with neural correlate approaches to assess the timescales and dynamics of cortico-

amygdalar involvement in this learning.

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762
763 **Code availability:** Code and data will be made available upon publication
764 https://github.com/izquierdolab and https://gin.g-node.org/aizquie
765
766 **The authors declare no competing financial interests.**
767

    Figure Captions

Figure 1. Bilateral targeting of basolateral amygdala (BLA) and ventrolateral orbitofrontal cortex

(vlOFC) and confirmation of effective DREADDs inhibition using ex vivo Ca^{2+} imaging in slices. (A)

Photomicrograph of hM4Di-mCherry DREADDs expression in BLA. Numerals indicate mm anterior to

Bregma. **(B)** Reconstructions of viral expression of hM4Di (magenta) and enhanced green fluorescent

protein, eGFP (green) in BLA. The more intense colors represent regions where expression overlapped the

most across animals. **(C)** In BLA neurons expressing GCaMP6f and GCaMP6f+mCherry, application of

CNO (10µM) in the presence of picrotoxin (50 µM) had no effect on the frequency of elicited Ca^{2+} events

(top left and right: example of single cell traces, bottom left: Ca^{2+} event changes, each line is a cell). In BLA

neurons that expressed hM4Di, there was a reduction in the frequency of elicited Ca^{2+} events during CNO

application (bottom right). **(D)** Photomicrograph of hM4Di-mCherry DREADDs expression in vlOFC.

Numerals indicate mm anterior to Bregma. **(E)** Reconstruction of viral expression of hM4Di (magenta) and

enhanced green fluorescent protein, eGFP (green). The more intense colors represent regions where

expression overlapped the most across animals. **(F)** In vlOFC neurons expressing GCaMP6f and

GCaMP6f+mCherry, application of CNO (10µM) had no effect on the frequency of elicited Ca^{2+} events

(top left and right: example of single cell traces, bottom left: Ca^{2+} event changes, each line is a cell). In

vlOFC neurons that expressed hM4Di, there was a reduction in the frequency of Ca^{2+} events during CNO

application (bottom right). n=2-5 slices/rat, 2-way ANOVA and multiple comparison tests ***$p<0.001$,

*$p<0.05$.

Figure 2. BLA inhibition impairs action-based probabilistic reversal learning, whereas vlOFC

inhibition only slows early adjustment to reversals. (A-B) Trial structure (A) and timeline (B) of the

action-based task. Rats were first surgerized with either hM4Di DREADDs on a CaMKII promoter or eGFP

null virus, also on the same promoter. Rats were allowed to recover for 1 week before testing on a stimulus-

or action-based reversal learning task. **(C)** Initial learning of a rewarded side. **(D)** Learning during

subsequent deterministic (100/0) and probabilistic (90/10) reversal**.** Plots show cumulative P(Correct) for

first 150 trials with a sliding window of 10 trials. Drug order was counterbalanced such that on R2 and R4

animals received VEH if they were administered CNO first on R1 and R3, and vice versa. There was no

effect of inhibition on learning in R2 and R4 (not shown). There was no effect of CNO on learning in the

eGFP group. *$p<0.05$ significant sex by drug order interaction (see **Fig. 3** for learning curves plotted by

sex). Bonferroni-corrected post-hocs following mixed-effects GLM with sex as a covariate fixed factor

wherein a drug x virus interaction was found resulted in ***$p<0.001$ effect of drug only in BLA hM4Di,

not in eGFP. The impairment in probabilistic reversal learning following BLA inhibition was also evident

in animals with no history of stimulus-based learning and was specific to reversal, not initial probabilistic

learning (**Fig. 4**).

Figure 3. Female adjustment to reversals in the early phase was more affected by vlOFC inhibition

than in males, whereas BLA role in probabilistic reversal learning was not sex-dependent. Plotted is

the accuracy for first 150 trials with a sliding window of 10 trials. Learning of deterministic (100/0) **(A)**

and probabilistic (90/10) **(B)** reversals as measured by probability correct (P(Correct))**.** Drug order was

counterbalanced such that on R2 and R4 (not shown) animals received VEH if they were administered CNO

first on R1 and R3, and vice versa. Chemogenetic inhibition of vlOFC lowered P(Correct) in early first

deterministic R1 and first probabilistic reversal R3 in females but not males, whereas BLA inhibition

attenuated probabilistic reversal learning in both males and females. Bonferroni-corrected post-hocs upon

GLM result of sex x drug order interaction resulted in an effect of drug order only in vlOFC females, not

in eGFP. The impairing effect of BLA inhibition on learning was not sex-dependent. There were no

significant sex differences and no effect of CNO on learning in the eGFP group. **p=0.01, *p<0.05.

Reinforcement learning model fit to choice behavior also indicated that chemogenetic inhibition of vlOFC

increased the decay rate (decreased memory) of the unchosen option (γ_d) in female rats (**Fig. 5**).

Figure 4. BLA inhibition impairs action-based probabilistic reversal learning in stimulus-naïve

animals, but does not affect initial action-based probabilistic discrimination or retention. (A) Animals

without any prior experience with stimulus-based learning exhibit impaired probabilistic reversal learning.

(B) There was no significant effect of BLA inhibition on initial probabilistic discrimination or next day

retention of the better rewarded side/action. Bilateral expression of hM4Di DREADDs in BLA

reconstructed from histology (right) in these animals.

Figure 5. Reinforcement learning model fit to choice behavior indicates differential effects on inverse

temperature and decay parameters between male and female rats during deterministic and

probabilistic action-based reversals. Plotted are estimated model parameters for RL1decay fitted to each

session during deterministic (100/0) **(A)** and probabilistic (90/10) **(B)** reversals. α = learning rate, β =

inverse temperature, γd= decay rate for unchosen option. Drug order was counterbalanced such that on R2

and R4 (not shown) animals received VEH if they were administered CNO first on R1 and R3, and vice

versa. Female eGFP group exhibited overall lower γd than male eGFP rats during the first deterministic

reversal R1. Chemogenetic inhibition of vlOFC increased γd on both reversals (R1 &R3) for female rats,

whereas inhibition of BLA increased β on the first probabilistic reversal R3 for female rats. Only

comparisons with *p<0.05.

Figure 6. vlOFC, but not BLA, inhibition impairs adjustment to stimulus-based reversals as
measured by probability correct. (**A-B**) Trial structure (A) and timeline (B) of the stimulus-based task.
Rats were first surgerized with either hM4Di DREADDs or eGFP null virus on a CaMKII promoter. Rats
were allowed to recover for 1 week before testing on a stimulus-or action-based reversal learning task. (**C**)
Initial learning of a rewarded stimulus, presented pseudorandomly on the left or right side of the touchscreen
for eGFP (top), vlOFC hM4Di (middle), and BLA hM4Di (bottom). (**D**) Plots of accuracy around reversals
were restricted to include only animals that reached greater than 50% running window average for the last
100 trials in initial discrimination. Rats were always tested on a deterministic schedule before a probabilistic
one. Shown are subsequent deterministic (100/0) and probabilistic (90/10) reversal "transitions," 3 sessions
before and after each reversal. Drug order was counterbalanced such that on R2 and R4 animals received
VEH if they were administered CNO first on R1 and R3, and vice versa. Chemogenetic inhibition of vlOFC
abolishes changes in probability correct over the last 3 (pre) and first 3 (post)-reversal sessions, indicating
impaired adjustments to reversals. In contrast, BLA inhibition had no clear impact on reversal learning.
There was also no effect of CNO in eGFP group learning. **p<0.01 different than eGFP following ANOVA
of pre-post difference. vlOFC inhibition abolished learning after the first stimulus-based reversal (**Fig. 7**),
but did not significantly impair adjustment around action-based probabilistic reversal as following BLA
inhibition (**Fig. 8**).

Figure 7. BLA inhibition further slows incremental stimulus-based reversal learning whereas vlOFC
inhibition abolishes learning after first reversal. Accuracy in stimulus learners measured by mean
probability correct for first 5 sessions of each deterministic (100/0) and probabilistic (90/10) reversal. Drug
order was counterbalanced such that on R2 and R4 animals received VEH if they were administered CNO
first on R1 and R3, and vice versa. Despite animals reaching criterion on initial learning (**Fig. 2C**), animals
exhibited poor stimulus-based reversal learning. Reversal learning was particularly flat for R3 (first
probabilistic reversal) following vlOFC inhibition compared to eGFP. Reversal learning across all reversals
was especially slow for animals following BLA inhibition. Bonferroni-corrected post-hocs following a
mixed-effects GLM wherein a session x virus interaction or a session x drug x virus was found resulted in
*p<0.05 effect of session in eGFP, but not in hM4Di or hM4Di-CNO.

Figure 8. BLA, but not vlOFC, inhibition significantly slowed action-based probabilistic reversal
adjustment reflected in accuracy around reversals. Plotted is the probability of choosing the better
option (P(correct)) around reversal. Rats were always tested on a deterministic schedule before a
probabilistic one. Shown are deterministic (100/0) and probabilistic (90/10) reversal transitions, 100 trials
before and after each reversal. Drug order was counterbalanced such that on R2 and R4 animals received

VEH if they were administered CNO first on R1 and R3, and vice versa. Inhibition of BLA impaired the

first probabilistic reversal learning as indicated by selectively poor performance on R3 reversal. OFC

inhibition resulted in no impairment around these reversals. There was also no effect of CNO in the eGFP

group.

Figure 9. vlOFC, but not BLA, inhibition impairs adjustments to deterministic stimulus-based

reversals as measured by changes in Win-Stay strategies. Plots of Win-Stay and Lose-Switch around

reversals were restricted to include only animals that reached greater than 50% running window average

for the last 100 trials in initial discrimination. **(A)** Inhibition of vlOFC abolishes changes in Win-Stay over

the last 3 (pre) and first 3 (post)-reversal sessions, indicating impaired R1-R2 and R2-R3 transitions. In

contrast, BLA inhibition had no impact on performance during these transitions. **(B)** Inhibition of BLA

attenuates changes in Lose-Switch over the last 3 (pre) and first 3 (post)-reversal sessions in R2-R3, or the

transition from deterministic to probabilistic schedule. There was also no effect of CNO in eGFP group

learning. **p<0.01 different than eGFP following ANOVA of pre-post difference.

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1052

A **Action**
Better side is either left or right; stimulus is irrelevant

initiation (40 s)

initiation latency

initiation omission

stimulus presentation (60 s)

choice latency

choice omission

Better option: $p_R(R) = 1.0\ or\ .90$

Worse option: $p_L(W) = 1.0\ or\ .10$

reward latency

$p_R(B) = 1.0\ or\ .90$ $p_R(W) = 0\ or\ .10$ $p_L(W) = 1.0\ or\ .90$ $p_L(B) = 0\ or\ .10$

reward latency

time out (5 s)

Intertrial interval (10 s)

B
Surgery:
hM4Di or
eGFP

2 weeks

Recovery
1 week

Stimulus- or Action-based
Deterministic and Probabilistic
Reversal Learning
(counterbalanced order)

9-14 weeks

C Initial learning (no CNO)

eGFP
n=16

vlOFC hM4Di
n=19

BLA hM4Di
n=17

D Reversal learning (CNO)

R1 (100/0)

R3 (90/10)

CNO (n=6)
VEH (n=10)

CNO (n=6)
VEH (n=10)

CNO (n=10)
VEH (n=9)

* $F_{(\text{sex} \times \text{drug order})} = 0.800$, p=0.03

CNO (n=10)
VEH (n=9)

CNO (n=9)
VEH (n=8)

***

* $F_{(\text{drug} \times \text{virus})} = -0.210$, p=0.03
***hM4Di p<0.001
eGFP ns

CNO (n=9)
VEH (n=8)

Action

A Female R1 (100/0) Male R1 (100/0) **B** Female R3 (90/10) Male R3 (90/10)

eGFP n=16

vlOFC hM4Di n=19 ** $\beta_{\text{drug order}}$=-0.07, p=0.01

*hM4Di p<0.05 eGFP ns

BLA hM4Di n=17

*hM4Di p<0.05 eGFP ns

Action

Action

Stimulus

Action

Reversal adjustments (counterbalanced CNO)

(top row, eGFP n=16; middle row, vlOFC hM4Di n=19; bottom row, BLA hM4Di n=17)

Column headers: R1(100/0), R2(100/0), R2(100/0), R3(90/10), R3(90/10), R4(90/10)

Y-axis: P(Correct)

X-axis: Pre- and Post-Reversal Trials

Legend: CNO1, VEH1

Stimulus

A *Win-Stay*

R1(100/0) R2(100/0) R2(100/0) R3(90/10)

eGFP
n=12

vlOFC
hM4Di
n=10

**p<0.01 from eGFP

BLA
hM4Di
n=7

CNO1 (n=4)
VEH1 (n=8)

CNO1 (n=4)
VEH1 (n=6)

CNO1 (n=2)
VEH1 (n=5)

Pre- and Post-
Reversal Session

B *Lose-Switch*

R1(100/0) R2(100/0) R2(100/0) R3(90/10)

**p<0.01 from eGFP

CNO1 (n=4)
VEH1 (n=8)

CNO1 (n=4)
VEH1 (n=6)

CNO1 (n=2)
VEH1 (n=5)

Pre- and Post-
Reversal Session