Comparative population genomics provide new insight into the evolutionary history and adaptive potential of World Ocean krill

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Abstract: Genetic variation is instrumental for adaptation to new or changing environments but it is poorly understood how it is structured and contributes to adaptation in pelagic species without clear barriers to gene flow. Here we use extensive transcriptome datasets from 20 krill species collected across the Atlantic, Indian, Pacific and Southern Oceans and compare genetic variation both within and between species across thousands of genes. We resolve phylogenetic interrelationships and uncover genomic evidence in support of elevating the cryptic *Euphausia similis* var. *armata* into species. We estimate levels of genetic variation and rates of adaptive protein evolution among species and find that these are comparably low in large Southern Ocean species endemic to cold environments, including the Antarctic krill *Euphausia superba*, suggesting their adaptive potential to rapid climate change may also be low. We uncover hundreds of candidate loci with signatures of adaptive divergence between krill native to cold and warm waters and identify candidates for cold-adaptation that have also been detected in Antarctic fish, including genes that govern thermal

reception such as *TrpA1*. Our results suggest shared genetic responses to similar selection pressures across Antarctic taxa and provide new insights into the adaptive potential of important zooplankton that are already strongly affected by climate change.

Keywords: krill; climate change; population genomics; comparative genomics; genetic adaptation; evolution

Introduction

The world's oceans have absorbed over 90% of anthropogenic greenhouse gas energy released over the last century and warmed by about 1°C (Intergovernmental Panel on Climate Change 2014). This has strongly impacted pelagic species, causing rapid poleward shifts and accelerated phenologies in fish and zooplankton, such as jellyfish, salps, copepods and krill (Richardson 2008; Poloczanska et al. 2013; Poloczanska et al. 2016; Ratnarajah et al. 2023), and threatening to destabilize important food webs and ecosystem services (Doney et al. 2012; Baxter and Laffoley 2016). Genetic adaptation could be key to sustain marine populations, promoting resilience by targeting genes encoding traits such as growth, reproductive timing and thermal tolerance (Hoffmann and Sgrò 2011; Dam 2013). However, despite their pivotal roles in marine ecosystems, insight into what genes and variation that contribute to fitness and adaptation is still missing in zooplankton (Dam 2013; Bucklin et al. 2018).

Krill (Euphausiacea; "euphausiids"; 86 spp.) are crustacean macrozooplankton and grazers of phytoplankton primary production or smaller zooplankton (Russell et al. 1969). As important food for fish, mammals and birds, they play critical roles in transferring nutrients to higher trophic levels in marine ecosystems (Tarling 2010; McBride et al. 2014; Siegel 2016; Johnston et al. 2022) and superabundant species like the Antarctic krill *Euphausia superba* contribute to global biogeochemical systems through the biological carbon pump (Cavan et al. 2019). Different species occur throughout tropical, temperate and arctic ecosystems and their biogeography depend on physiological thermal tolerance, oceanographic conditions and nutrient availability (Russell et al. 1969; Cimino et al. 2020). Across these environments, euphausiids have evolved divergent life cycle strategies: low-latitude species associated with nutrient-rich upwellings or near-shore habitats tend to develop and mature quickly, be short-lived (<1 year or 1–2 years), breed multiple times or continuously throughout the

year and have high productivity rates (Siegel 2000). High-latitude species instead deploy strategies to

cope with extended periods of dark, cold and nutritionally adverse conditions, and are characterized by

slow rates of development, extended longevity (e.g. 2 years or more), larger body sizes that build

long-lasting lipid stores, and have short annual reproductive seasons and low productivity (Falk-

Petersen et al. 2000; Siegel 2000; Siegel 2016). Moreover, many krill are stenothermal and occupy

habitats with narrow latitudinal and thermal ranges. The Antarctic *E. superba* and *E. crystallorophias*

likely split from other Southern Ocean species about 25–27 MYA, during the formation of the

Antarctic Circumpolar Current (Zane and Patarnello 2000) and have since adapted to the extreme

Antarctic environments. Today, *E. superba* inhabits cold waters ranging between −2.0°C to +4.0°C

(Siegel 2016), while the neritic Ice krill *E. crystallorophias* occurs within an even more narrow

thermal envelope (−1.8°C to 0°C) (Cuzin-Roudy et al. 2014). Short-term stress tests (e.g. CT50

assays) show they are sensitive to high temperatures (Cascella et al. 2015; Huenerlage et al. 2016),

which is common among Antarctic ectotherms (Peck et al. 2014). In contrast, North Atlantic krill such

as *Meganyctiphanes norvegica* ("the Northern krill") and several *Thysanoessa* have thermal ranges

spanning 2–15°C or more and occur from the colder Arctic Ocean to the warmer Gulf of Maine

(Russell et al. 1969; Tarling 2010; Ollier et al. 2018), indicating greater thermal tolerance in these

widely distributed euphausiids.

Similar to many other Antarctic species, *E. superba* and *E. crystallorophias* appear to have

reduced capacity to upregulate inducible and protective heat shock proteins (Hsps) in response to

increased temperature (Cascella et al. 2015; Huenerlage et al. 2016; Peck 2016; Chen et al. 2018;

Toullec et al. 2020). Adaptation to cold environments could commonly involve fundamental genetic

and physiological alterations and trade-offs that are maladaptive under rapidly warming temperatures

(Pörtner et al. 2007), it is therefore concerning that both Antarctic and Arctic krill are declining or

shifting to higher latitudes due to climate change (Atkinson et al. 2019; Edwards et al. 2021). Tropical

krill may also live close to their thermal limits and be sensitive to change, as suggested in other marine

invertebrates (Peck et al. 2014), although these species are not regularly monitored or studied. The

long-term ability of krill, or other planktonic organisms, to resist temperature variation is difficult to

estimate experimentally and it is not clear if any krill has enough physiological tolerance to track

continued ocean warming.

Evolutionary adaptation through natural selection on adaptive variation could be crucial for

coping with climate change. Knowledge about the genetic mechanisms that contribute to adaptation

can be gained through genome-scale comparisons between populations or species adapted to different

local conditions (Savolainen et al. 2013; Meek et al. 2023). In marine taxa, scans for adaptive

divergence between populations have uncovered loci involved in reproductive timing in Atlantic

herring (Han et al. 2020) and bleaching in *Acropora* corals (Fuller et al. 2020), while comparative

analyses among *Mytilus* mussel species have detected candidate genes evolving under divergent

temperature-dependent selection (Popovic and Riginos 2020). Population genetic theory suggests that

zooplankton could have high adaptive potential (Peijnenburg and Goetze 2013): i) they typically have

large populations with many reproductive individuals (i.e. a high effective population size, or "N_e")

that can maintain or generate much variation to select from; ii) due to large N_e, they are expected to be

comparably unaffected by genetic drift, i.e. stochastic changes in allele frequencies between

generations that may interfere with selection; iii) many species have short generation times and high

reproductive rates, amenable for adapting to rapid changes. However, empirical support for these

predictions is still missing as various challenges have hindered molecular research on marine

zooplankton.

One of these challenges resides in the ambiguity of taxonomy across zooplankton taxa

(Bucklin et al. 2007; Bailey et al. 2016; Choquet et al. 2018). Thus, the widespread occurrence of

similar-looking or cryptic species has led to under-estimation of zooplankton diversity, although large-

scale barcoding and metabarcoding surveys have started to address this issue (Bucklin et al. 2016;

Bucklin et al. 2021). In krill, cryptic variation was reported within *Stylocheiron affine* (Wiebe et al.

2016) and the specific status of *Euphausia similis* and its variety *armata* (Hansen 1911) remain

unclear. Furthermore, accessing zooplankton species potentially adapted to polar environments can be

a logistical challenge when they live in remote locations, like the Antarctic krill, and may therefore

discourage attempts at conducting advanced studies of local adaptation (Bucklin et al. 2018). Besides,

such studies require genome-wide information and yet only a handful of genomes have been

sequenced so far across zooplankton, mostly due to very large genome sizes common in many marine

zooplankton, which, combined with a small body size, prohibit whole genome sequencing (Bucklin et

al. 2018). Krill have huge and repetitive genomes that, among six known species, range between 11–

48 Gb (Jeffery 2012), making genome-wide analyses very challenging. To date, only the 48 Gb

genome of the Antarctic krill has been assembled and population-scale scans recovered few potentially

adaptive variants (Shao et al. 2023), possibly due to the highly panmictic life history of this species

(Bortolotto et al. 2011; Deagle et al. 2015; Shao et al. 2023). Overcoming these obstacles is critical for

predicting and managing zooplankton responses to climate change. While the constant advances of

sequencing technologies and protocols promise to ease whole genome sequencing of challenging

organisms in the future, transcriptomics offers many possibilities to advance insight into zooplankton

ecology (Lenz et al. 2021).

Here we produce, for the first time, a comprehensive comparative dataset at the genome-scale

for krill, spanning 20 species from the Atlantic, Indian and Southern Oceans (fig. 1). Euphausiids are

an attractive model for zooplankton because of their importance for ocean ecosystems and distribution

across all latitudes. Moreover, they belong to a comparatively small and ecologically well-

characterized order of zooplankton and are not extremely divergent, facilitating association between

genes and phenotypes through comparative analyses. We sidestep the issue of prohibitively large

genomes of these non-model organisms by using RNA-seq data to analyze genetic variation in

expressed protein-coding genes (De Wit et al. 2015). We aim to elucidate the evolutionary history of

krill by comparing variation between species, uncovering candidate genes for adaptation to cold or

warm environments and testing if krill have high adaptive potential, as well as identify factors that

may determine it. In addition, we provide further evidence for how thermal tolerance varies between

species and associate with the environment.

Fig. 1.

The geographic distribution of 20 surveyed krill species. (*A*) Circles indicate locations of one or more collected

specimens (colors represent the thermal conditions associated with the range of each species). The basin-specific

latitudinal range of each species is indicated with bars. Ranges are from Russel & Yonge (Russell et al. 1969).

Global Sea Surface Temperature readouts are a daily snapshot from OISST V2 (2022-06-01) from ERDDAP:

https://www.ncei.noaa.gov/erddap/griddap/. Photos of Indian Ocean krill collected in 2019. (*B*) Approximate

mean SST of the ranges of 11 used for analyses of thermal tolerance or intraspecific variation. (*C*) Linear

regression correlation between habitat latitudinal midpoint and mean SST (n=9 species).

Results

Thermal tolerance in krill is associated with habitat temperature

We used CT50 mobility assays to characterize and compare thermal tolerance in six krill species

sampled from the Southern, Atlantic and Indian Oceans. The two Antarctic species—the Ice krill (*E.*

crystallorophias) and the Antarctic krill (*E. superba*)—have significantly lower CT50 thresholds

compared to the two subantarctic *E. vallentini* and *E. triacantha* (fig. 2*A*). The average CT50 for *E.*

vallentini is 17.9±0.42°C. This may be an underestimate because specimens were fragile and appeared

impacted by fishing (reflected in the rapidly subsiding high sigmoid plateau and the flatter slope).

CT50 for *E. triacantha* was 18.37±0.39, which appeared to be at better health. The widespread

Northern krill *Meganyctiphanes norvegica* showed a record CT50 with a value of nearly 23°C for

animals that were fished in 4°C waters of the high Arctic Spitsbergen (same locality as *T. inermis*),

clearly illustrating extreme tolerance to temperature in a species ranging from the warm Mediterranean

Sea to cold Arctic waters (Tarling 2010). CT50s are strongly correlated with ambient temperatures

(fig. 2*B*; supplementary fig. S1), underscoring that thermal tolerances of krill are linked to the

respective temperatures of their native environments.

Fig. 2.

Thermal tolerance in Southern Ocean and Atlantic Ocean krill. (*A*) The figure shows all the results acquired over several

years during campaigns in Antarctica, Arctic and Indian Ocean. Three species (dashed curves) have been published before

(Cascella et al. 2015; Huenerlage et al. 2016), while *E. vallentini* (orange), *E. triacantha* (green) and *M. norvegica* (red) are

new to this study. The white legend indicates species/experiment-specific calculated CT50 values, fishing coordinates, years

and sample sizes. (*B*) Linear regression correlation between CT50 and the measured ambient sea temperature at the

experimental locations. The mean CT50 of all experiments was used for *E. triacantha* (n=4) and *E. vallentini* (n=2).

Genome-scale datasets to study variation and adaptation

We compiled RNA-seq data spanning 124 transcriptomes from 20 species (102 transcriptomes from

17 species are new for this study). Morphological and molecular species identifications agreed for all

samples with barcodes in the MetaZooGene database (Table S1). After removing redundant and non-

coding isoforms, the reference transcriptomes contained 20–53 K coding transcripts per species (Table

S2). BUSCO analyses indicated high completeness (median=92.5%) and low duplication levels

(median=3.3%), amenable for tracing evolutionary patterns using base-level substitutions and

polymorphisms. We measured intraspecific genetic variation in nine species, using up to 20 specimens

per species and detected between 260–2,200 K high-quality SNPs across 12–22 K genes (Table S3).

Genetic divergence between Indian Ocean *Euphausia similis* and *Euphausia similis* var. *armata* suggests they are different evolutionary lineages

The Indian Ocean sampling region spanned multiple waterfronts and we interrogated samples from

this region for population structure. Two out of three temperate–subtropical species showed structure.

We sequenced 19 specimens of *E. similis* from the Indian Ocean (fig. 3*A*).

Nine specimens collected mostly to the east morphologically matched the *Euphausia similis* var.

armata variety ("*armata*"), having an accessory abdominal spine of variable size (fig. 3*B*;

supplementary fig. S2*A*) (Hansen 1911; Baker et al. 1990). *E. similis* without the spines were mostly

found to the west (figs. 3*A*–*B*; supplementary fig. S2*B*; "*similis*"). We initially detected strong

structuring between *similis* and *armata* using ancestry analysis and PCA (fig. 3*B*–*C*), which was also

supported by high F_{ST} (0.68) (fig. 3*D*). We next assembled 500–800 bp mtCOI fragments and assessed

divergence. Sequences segregated consistently with the nuclear data and were ~6.2% divergent,

clustering with different MetaZooGene *similis* barcodes derived from southern Atlantic Ocean

samples (fig. 3*E*; supplementary fig. S3). Because of the consistent molecular and phenotypic

differences between *similis* and *armata*, we treated them as separate evolutionary lineages in

subsequent analyses. We detected comparably weak north–south structuring in our limited *N.*

megalops sample (F_{ST}=0.06), but did not observe any structure in the subtropical *E. spinifera*, nor in

the subantarctic *E. vallentini* and *E. triacantha* collected from the Antarctic Polar Front

(supplementary fig. S4).

Fig. 3.

Genetic structure between *Euphausia similis* ("esim") and *Euphausia similis* var. *armata* ("earm") from the Indian Ocean.

(*A*) Map of sampling stations (n=6). (*B*) Top: representative tails of *E. similis* (without a dorsal spine) and *E. similis* var.

armata (with a spine on the third abdominal segment). Arrows indicate location of the spine (if present). Bottom: genetic

ancestry and admixture of samples (K=2 ancestral groups). (*C*) The genetic structure among 19 samples using PCA

(n=84,436 unlinked nuclear SNPs). (*D*) Weighted F_{ST} estimated from 1,000 random resamples drawing 1 SNP per gene

(n=6,074 genes) (Choquet et al. 2019). (*E*) A mitochondrial COI neighbor-joining gene tree inferred from uncorrected

pairwise genetic distances. Distances are shown for the major internal branches (% differences per bp). Sequences from

MetaZooGene are: AF177186 (north-east of Japan; Kenji Taki; personal communication); MW210878 and MW210879 (the

southern Atlantic Ocean).

Two-fold difference in genetic diversity among nine species of krill

We took transcriptome-wide levels of genetic variation as indicators of genetic diversity and studied

how it varied among gene regions and species (supplementary figs. S5–S6). Overall, we detected

about 1.6× more variation in untranslated regions (UTRs) compared to coding regions (average

θ_w=1.4% vs. 0.9%), and 3.1–5.6× more variation at synonymous sites compared to non-synonymous

sites (fig. 4; supplementary fig. S5). These patterns likely reflect both direct and linked purifying

selection, reducing variation around functionally important sites (Cvijović et al. 2018). Restricting

measurements to only genes with established orthology (see below), we observe lower but strongly

correlated levels of variation (~0.78×; r^2=0.99; supplementary fig. S7), indicating the full gene sets are

less conserved than orthologs overall but representative of variation among species. We let

synonymous variants represent neutral variation and be indicative of effective population size (N_e) and

demographic history. All species have variation surpassing 1% per-base, the most diverse being *E.*

vallentini (π_S=2.5%; θ_w=3.83%), while *E. triacantha* is the least diverse (π_S=1.1%) (fig. 4). Assuming

similar mutation rates among species, estimates of N_e indicate *E. vallentini* (3.6 M) and *E. similis* var.

armata (3.2 M) have the largest effective population sizes (Table 1). Our *armata* sample has 1.7× as

much variation as *similis sensu stricto*, further suggesting these lineages follow different evolutionary

trajectories. Tajima's D (D_T) is negative across all nine species, ranging from -1.2 to -1.9 (Table 1),

indicating excess low-frequency variants compared to expectations under neutrality, which could

result from processes such as selective sweeps or recent population expansions. Neither intrinsic life

history traits (e.g. body or larval size) or environmental parameters (e.g. temperature, latitude or

range) are strong predictors of π_S or D_T (i.e. no correlation p<0.1; see Table S9 for parameters).

Fig. 4.

Genetic variation at synonymous sites in nine krill species. (*A*) Nucleotide diversity (π_S) and Watterson's theta (θ_{wS}) estimated

from 74 k to 709 k synonymous SNPs per species, after correction for numbers of accessible sites. (*B*) Neighbour-joining

trees showing standardized levels of variation and structure across species. Branch lengths are scaled as the average genetic

distance per base between samples (π_S), estimated at synonymous loci and corrected for accessible sites.

Comparative analyses establish comprehensive gene orthologies and a robust species tree

To enable direct comparative analyses of molecular evolution, we inferred gene orthology among the

20 krill species and seven outgroups (supplementary materials) and reconstructed a species tree. We

detected 103,292 orthogroups (OGs) with genes from two or more krill species, but only 880 OGs

contained single-copy orthologs spanning all 20 species. We produced two subsets of OGs, balancing

species missingness against the number of OGs. The first dataset spanned 2,280 OGs, >1 million

amino acid positions and 18+ krill species (Table S4), and was used to reconstruct a fully supported

phylogeny (fig. 5*A*). The topology is mostly consistent with inferences of krill interrelationships by

Vereshchaka and co-workers based on morphology and four molecular markers: *Meganyctiphanes* +

Nematoscelis + *Thysanoessa* form the monophyletic clade *Nematoscelinae*, which is the sister taxon of

Euphausiinae (Vereshchaka et al. 2019). Groupings within *Euphausia* confirm a monophyletic

Southern Ocean "*Euphausia superba* group" including *frigida*, *vallentini*, *crystallorophias* and

superba, while our clade with *spinifera*, *longirostris*, *similis*, *similis* var. *armata* and *triacantha* also

include *recurva* and *mucronata*, mixing other species groups.

Resolving phylogenetic interrelationships is necessary for understanding the evolution of

euphausiid genes, traits and ecologies and numerous attempts have been made using morphology or

sparse molecular datasets such as mitochondrial markers (see Bucklin et al. 2007; Vereshchaka et al.

2019; Schram and Koenemann 2022 for reviews). Our reconstruction is the first phylogenomic species

tree for krill and shows that *E. triacantha* is only distantly related to other *Euphausia* of the Southern

Ocean. *E. triacantha* and *E. longirostris* have likely expanded into cold environments independently

from each other, and from other *Euphausia*. Our tree implies that krill have frequently diversified into

different climates, offering the opportunity for studying parallel events to uncover genetic adaptations.

Fig. 5.

Phylogenomic inference of interrelationships and molecular evolution in krill. (*A*) A species tree inferred from 2,280 orthologous protein alignments and constructed from 1,000 ultrafast bootstrap replicates (JTTDCMut+F+I+G4 model; lnL=-11,173,074) (Hoang et al. 2018). All nodes in the majority-rule consensus tree but one have 100% bootstrap support (*= 99% support). Foreground branches used in branch-site tests to detect positively selected genes are highlighted (blue=five cold-adapted species; red=three warm-adapted species). (*B*) Statistically enriched gene ontologies (GO) among candidate genes for positive selection (False Discovery Rate <0.05) in the "cold-adapted contrast" (n=629 candidates; LRT<0.01 with ≥1 BEB95% sites) and "warm-adapted" ontologies (n=383 candidates; LRT<0.05 with ≥1 BEB95% sites). Numbers beside bars indicate genes in the target set (i.e. selection candidates) vs. genes in the background test set. Redundant GO terms were removed with *Revigo* (Supek et al. 2011).

Scans for positive selection reveal candidate genes for environmental adaptation

We aimed to detect genes that may underlie episodic adaptation to cold or warm climates in krill. To this end, we applied branch-site tests to the OG dataset spanning >13,000 OGs (>5 million codon positions; Table 2; Table S4) to detect genes with locally elevated ω between species associated with different environments (Yang and Nielsen 2002). In the first contrast, we compared five Southern Ocean cold-adapted *Euphausia* against the rest of the phylogeny (*E. superba*, *E. crystallorophias*, *E. frigida*, *E. vallentini* and *E. triacantha*; fig. 5*A*; Table S4). We identified 832 gene candidates (LRT

p<0.01; n=629 genes with *Drosophila* homologs; Table S5), containing 1,800 selected codons. A gene

ontology (GO) enrichment test found enrichment for genes involved in detecting temperature among

candidates (fig. 5*B*; Table S6), including homologs of *Transient receptor potential cation channel A1*

(*TrpA1*), *painless*, *subdued* and *straightjacket*. Both *TrpA1* and *painless* encode TRPA ion channels

(Hwang et al. 2012; Himmel and Cox 2020), which are activated by temperature and various ligands.

TrpA1 is linked to sensation of either harmfully cold or warm temperatures across the animal tree of

life (Zhang et al. 2022), while *painless* is associated with heat sensation (Dhaka et al. 2006; Himmel

and Cox 2020). Crustacean TRP evolution is characterized by widespread gene duplication (Kozma et

al. 2020), and phylogenetic analysis reveals multiple paralogs also in krill, and suggest the krill *TrpA1*

OG is orthologous to decapod *TrpA1-like*, while the *painless* OG could be a "*Pain1*" ortholog

(supplementary fig. S8). We detect one intracellular and one extracellular site with significant

evidence of positive selection in cold-adapted species in the otherwise conserved *TrpA1* OG

(ω=0.171; fig. 6). The *painless* OG contains six significant sites but this gene is much more rapidly

evolving overall (ω=0.392), which could indicate erroneous clustering of divergent paralogs in the

orthology inference. *subdued* encodes an anoctamin ion channel of the Transmembrane protein 16

family (Yuan et al. 2022), which conducts chloride in response to heat and is associated with thermal

nociception in *Drosophila* (Jang et al. 2015). It is conserved overall (ω=0.12) and we detect three sites

with signatures of positive selection (supplementary fig. S9*A*). In addition, the candidate set contains

six heat shock protein or chaperone genes, including homologs of *Hsp110/Hsc70Cb*, *Hsp67Bc*,

Hsp70Bbb and three *Chaperonin containing TCP1* chaperonins (*CCT3*, *CCT7* and *CCT8*), that are

involved in folding proteins and protecting them from noxious temperatures and other environmental

stressors (Mayer and Bukau 2005; Chen et al. 2018).

Fig. 6.

Signatures of positive selection in a *Transient receptor potential cation channel A1* (*TrpA1*) homolog in cold-adapted krill.

Top: the intracellular, extracellular and six expected transmembrane domains of the TRPA1 membrane protein encoded by

the *E. superba* ortholog (predicted using *TOPCONS v2.0* (Tsirigos et al. 2015)). Gray transmembrane regions=outbound;

white=inbound. Ankyrin-repeats were detected using BLAST Conserved Domain Search (Lu et al. 2020). Middle: the

trimmed protein multiple-sequence alignment (MSA) of the krill TRPA1 orthogroup. Bottom: magnified view of the MSA

highlighting the sites and species. Two stars indicate putatively selected sites (BEB>95%).

In the second contrast, we compared three tropical–subtropical Pacific Ocean species against

the rest ((*E. distinguenda*, *E. lamelligera* and *E. mucronata*; fig. 5*A*; Table S4). In this smaller

foreground, we detected fewer candidates and less strong GO enrichments (383 genes with

LRT<0.05). We found signatures of positive selection in genes involved in axon guidance and

neurodevelopment (fig. 5*B*; Tables S5–S6), including *Neurotrophin 1* (*NT1*) and *Semaphorin 1a*

(*Sema1a*) (Cafferty et al. 2006; Shen et al. 2017). In addition, we detect selection signals in two heat

shock protein-related genes: *Hsc/Hsp70-interacting protein* (*HIP*) and *Heat shock protein 70 cognate*

5 (*Hsc70-5*), the latter of which is linked to heat response in crustaceans (Yuan et al. 2017). This

contrast uncovers several candidates for photoreception, including *ninaB*, which synthesizes the retinal

chromophore pigments of rhodopsin (Voolstra et al. 2010) and *Photoreceptor dehydrogenase* (*Pdh*),

which also processes retinal pigment. We identify an opsin (OG98713; supplementary fig. S9*B*),

automatically annotated as Rh5. However, queries against KrillDB[2] (Urso et al. 2022) and NCBI

Genbank suggest it is a peropsin, a light-sensitive yet non-visual receptor with poorly understood

function in crustaceans but with expression patterns that oscillate daily in Antarctic krill (Henze and

Oakley 2015; Biscontin et al. 2016; Palecanda et al. 2022).

In both contrasts, we detect selection signals across multiple genes encoding cuticular proteins

(n_{cold}=10; n_{warm}=5) and chitinases (n_{cold}=1; n_{warm}=1). Cuticular protein genes are among the largest gene

families in arthropod genomes (Magkrioti et al. 2004), and help build the exoskeleton. In krill,

cuticular development and gene expression are closely linked to physiological and seasonal cycles of

ecdysis (molting), growth and reproduction (Buchholz and Buchholz 2010; Urso et al. 2022). In krill

native to warm waters, we detect potentially selective substitutions in *Ecdysone-induced protein 75B*

(*Eip75B*), a gene encoding a nuclear receptor that regulates the timing of molting, reproduction and

circadian oscillation in insects and crustaceans (Kumar et al. 2014; Gouveia et al. 2018), that could

contribute to adaptation.

Slow rates of adaptive protein evolution in some Southern Ocean species

Theory suggests high efficacy of natural selection and adaptive potential in zooplankton (Peijnenburg

and Goetze 2013). This hypothesis predicts that much variation between related zooplankton should

have evolved through adaptation. To test this, we estimated how much of divergence in protein-coding

genes between species may have been driven by positive selection as opposed to non-adaptive

processes (like genetic drift) in krill. We inferred *dN* and *dS* between species (Tables S7–S8) and

ancestral nodes and estimated intraspecific polymorphism (*pN/pS*) using unfolded site frequency

spectra (supplementary fig. S10). We next estimated the rates of adaptive and non-adaptive amino acid

substitutions (ω_A and ω_{NA}), respectively, and the overall proportions of adaptive amino acid

substitutions (α) using non-parametric and model-based approaches (Table S7). We used the Gamma-

Zero model to compare all species, which fits a gamma distribution for neutral and deleterious

mutations to the data. It produced estimates similar to the Displaced-Gamma model, both of which

behaved consistently across all datasets, producing estimates correlated with but higher than basic

non-parametric methods and were considered accurate in recent tests (Al-Saffar and Hahn 2022). We

detected the highest rates of adaptive protein evolution in the subtropical *E. similis* var. *armata* lineage

16   16

(α=0.59; ω_A=0.086) (fig. 7*A–C*; Table S8), whereas adaptive evolution appears more limited in the

Antarctic krill *E. superba* (α=0.20; ω_A=0.035) and subantarctic *E. triacantha* (α=0.30; ω_A= 0.059). We

then analyzed how effective population size (N_e), here approximated by π_S, life history traits and

habitat conditions correlate with adaptive rates to identify factors that may influence adaptive

potential. Since inference of α depends on π_S, we used estimates of π_S from genes not used to compute

α. We find that π_S predicts α (r^2=0.53; p=0.040), but is more strongly associated with ω_{NA} (r^2=0.54;

p=0.039) than with ω_A (r^2=0.37; p=0.108) (fig. 7*D*). Our results suggest that genetic variation between

krill species may be more strongly driven by increased fixation of non-adaptive variants in small

populations than by accelerated positive selection in large populations, a pattern previously observed

across animal phyla (Galtier 2016). We find that small body and propagule sizes (i.e. larval sizes) and

warmer habitats are strongly associated with high α (fig. 7*E–F*; Table S9), suggesting that life history

and habitat characteristics may influence the amount and rates of adaptation in krill.

Fig. 7.

Estimates of adaptive protein evolution in nine krill species (GammaZero model). (*A–C*) The proportions and rates of

adaptive and non-adaptive amino acid substitutions (α, ω_A and ω_{NA}), respectively. Whiskers indicate maximum likelihood

confidence intervals. (*D–F*) Log_{10} linear regression correlations between genetic variation (π_S), maximum body size and

habitat sea-surface temperature and α, ω_A and ω_{NA}, respectively. R^2 Pearson correlation coefficients and significance-values

shown below the graph.

Discussion

357　We here use comparative population genomics to characterize the patterns of genetic variation and

358　evolutionary history of krill. To the best of our knowledge, assessments of phylogenetic

interrelationships and genetic adaptation among krill have previously only been based on a few

markers. Our analyses of molecular evolution in over 13,000 putative gene orthologs among krill

native to cold and warm environments offer new insights into genetic variation, taxonomic diversity

and adaptation.

Elusive determinants of genetic variation in krill

Mitochondrial markers have uncovered considerable variability in intraspecific variation among krill

species but rarely exceeding 2%/bp (Bucklin et al. 2007; Bortolotto et al. 2011). We here estimate

levels of neutral genetic variation across thousands of nuclear genes and find that it varies more than

two-fold among nine species (π_S=1.1–2.5%). Large and superabundant species like the Antarctic krill

E. superba and Northern krill *M. norvegica*, that each have enormous biomass estimated to 100s of

Mts (Atkinson et al. 2009; Tarling 2010), only have intermediate levels of variation. The most diverse

species in our set is *E. vallentini* (π_S=2.5%), a small (13–28mm) subantarctic, omnivorous and

possibly panmictic species with a 2-year lifespan and circumpolar distribution mostly distributed north

of the Polar Front (Russell et al. 1969; Ridoux 1988; Mayzaud et al. 2003; Harkins et al. 2013; Cuzin-

Roudy et al. 2014). It can be the dominant species in shelf areas, including the Patagonian coast and

the Kerguelen archipelago (where we collected it) (Palma and Silva 2004; Koubbi et al. 2011;

González et al. 2016). In contrast, we find that *E. triacantha* is the least diverse species (π_S=1.1%).

This large (24–41mm) and carnivorous krill has a 3-year lifespan and 2-year generation time and a

circumpolar distribution that spans the Polar Front, across which it can dominate the mesopelagic

euphausiid biomass (Russell et al. 1969; Siegel 1987; Phleger et al. 2002; Cuzin-Roudy et al. 2014).

These two extremes could represent "r-strategist" vs. "K-strategist" species, whose characteristic life

history traits (e.g. short vs. long lifespan, small vs. large bodies or propagules) have previously been

linked to high vs. low genetic diversity across metazoans (Romiguier et al. 2014; Ellegren and Galtier

2016). However, associated traits like fecundity are not known in either species, and across our dataset

we otherwise find no statistically significant correlations between such life history traits and levels of

variation. We are therefore unable to identify general determinants of diversity in krill, which may

need sampling of more species and ecological information.

Compared to the neutral levels of genetic diversity of other arthropods, we find that krill has

intermediary levels of variation (mean±SD): 1.5±0.5% in krill vs. 1.4±0.9% in 43 species (Leffler et

al. 2012) or 1.4%±1.2% in 26 species (Romiguier et al. 2014). Thus, krill are in this sense neither

hypo- or hypervariable. Across the tree of life, genetic diversity in natural populations varies much

less than census population sizes (N_c), a largely unexplained phenomenon termed "Lewontin's

paradox" (Lewontin 1974; Charlesworth and Jensen 2022), and many marine species appear to have

much lower N_e than expected from abundance (Hedgecock 1994). We infer long-term N_e for $E.$

$superba$ and $M.$ $norvegica$ to be only 2 M and 1.8 M, respectively, far below expected N_c of hundreds

of trillions of individuals. Low estimates of N_e inferred from limited observable variation could be due

to selection for low mutation rates afforded in species with large populations (Sung et al. 2012). For

example, mutation accumulation lines in the ubiquitous unicellular phytoplankton $Emiliania$ $huxleyi$

recovered a low mutation rate ($\mu=5.6\times10^{-10}$), although it was not considered low enough to explain its

modest levels of variation ($\pi_S=0.6\%$) (Krasovec et al. 2020). Likewise, Shao and co-workers recently

estimated low nucleotide diversity in the Antarctic krill ($\pi_{genome}\approx0.22\%$; $\pi_{CDS}\approx0.17\%$) using genome-

scale data, and also inferred a low mutation rate ($\mu=6.2\times10^{-10}$) as a means to explain this (Shao et al.

2023). These estimates are extremely low and difficult to reconcile with ours. We measure 5.3× as

much variation at synonymous sites than a recent genome-wide estimate in $E.$ $superba$ (1.3% vs.

0.25%), or in coding regions overall (0.88% vs. 0.17%). Insight into genomic variation in euphausiids

is still limited, as is baseline data derived from other sources than next-generation sequencing.

However, Papot and co-workers studied variation in PCR-amplified paralogs of the nuclear $hsp70$ in

$E.$ $superba$ and $E.$ $crystallorophias$ (Papot et al. 2016), and re-analysis of their $E.$ $superba$ data gives

π_S ranging between 1.4–5.0% ($\pi_{S(A)}=1.4\%$; $\pi_{S(B)}=5.0\%$; $\pi_{S(C)}=1.8\%$), close to our average estimate

across 10,777 genes. Moreover, Shao and co-workers derived low μ assuming a molecular clock based

on their estimate of 19.5% divergence from the crab $Eriocheir$ $sinensis$, whereas we estimate distances

at synonymous sites (d_s) between $E.$ $superba$ and 13 other congeneric $Euphausia$ species to be 27±7%

(Table S7). This greatly exceeds the distance to the crab, suggesting their estimate may be downward-

constrained by unusually conserved alignments. While we do not exclude the possibility of low or

variable mutation rates among genomic regions and species, we find that comparable statistics for

19   19

neutral evolution (e.g. π_S and d_s) are not available, and call for additional studies of mutation rate in

krill.

Other factors may also underlie low N_e / N_c ratios, such as highly skewed reproductive success

among individuals, population size changes, linked selection that reduces variation around selected

sites or constantly shifting adaptive peaks (Hedgecock and Pudovkin 2011; Charlesworth and Jensen

2022; Árnason et al. 2023), all of which suggest that natural populations may be far away from Hardy-

Weinberg equilibrium. A recent study in the Atlantic cod found compelling genomic evidence of

skewed reproductive success driven by pervasive and recurring selection (Árnason et al. 2023),

evidenced for instance by negative Tajima's D (D_T) throughout the genome. We find strongly negative

D_T in all krill and that genetic variation is much depleted at UTRs and non-synonymous sites,

consistent with pervasive effects of purifying and linked selection across genomes. *Euphausia*

triacantha, the least diverse species in terms of π_S, has the largest excess of low frequency variants and

the lowest D_T (-1.9), indicating recent population expansion in this species. Likewise, genome-wide

patterns of variation in *E. superba* suggest it too has expanded from a recent bottleneck (Shao et al.

2023). Demographic history and natural selection together shape and limit variation in euphausiids.

Genome scale assays provide new insights into euphausiid biodiversity
Our current understanding of marine zooplankton biodiversity is plagued by widespread cryptic

variation, which has called for more systematic use of molecular tools in diversity assessments

(Choquet et al. 2018; Bucklin et al. 2021; Bucklin et al. 2021). For instance, genome-wide data

recently revealed the existence of three genetically distinct lineages in the pelagic pteropod *Limacina*

bulimoides, originally considered a single circumglobal species (Choo et al. 2023). Because of their

thin shells susceptible to dissolution, pteropods are used as indicators of ocean acidification. Thus, as

different species may respond differently to acidification, an accurate definition of species boundaries

is critical to assess its impacts.

In the krill, there are currently 86 described and recognized species, and no new species has

been described since 1987 (Baker et al. 1990). Cryptic variation was reported within *Stylocheiron*

affine, with a divergence level of 14% (mtCOI) differentiating Red Sea individuals from their Atlantic

congeners (Wiebe et al. 2016; Bucklin et al. 2021). This mtCOI barcoding gap was on-par with

interspecific levels of divergence in the genus (Bucklin et al. 2007). Here, we detect high

mitochondrial divergence between *E. similis* and *E. similis* var. *armata*, that co-segregate with the

main diagnostic trait. The *armata* variety was first described by Hansen (1911) as differing from *E.*

similis by: "[...] *a protruding, acute process on the third abdominal segment*". Later, John (1936)

added that "[...] *the process may be variable in size and shape; it varies from being low, rounded and*

inconspicuous to being a large compressed spine pointing backwards over the fourth segment",

described slight differences in the structure of antennules and male copulatory organs, and proposed

that *armata* reaches sexual maturity at a smaller length than *E. similis*. Russell et al. (1969)

distinguished *armata* as living nearer the surface compared to *E. similis*. Our morphological analysis

focused exclusively on the process on the third abdominal segment and we report a distinctive process

in *armata* with a variable degree of development, in line with John's findings (1936).

We estimate 6.2% of mitochondrial divergence (mtCOI) between *E. similis* and *armata*,

above the within-species level of variation reported for the genus (average at 2.5%) (Bucklin et al.

2007), but below the between-species level (average 16.5%), making it inconclusive. However, the

power of a single mitochondrial gene is limited. Here, we improve on this by also assessing variation

across the genome. We found 36,930 fixed SNPs (with a $F_{ST}=1$) across 4,011 genes enriched for terms

relating to roles in oocyte development and chromosome segregation, which could indicate barriers to

gene flow (Hamaguchi and Sakaizumi 1992; Montecinos et al. 2017; Boynton et al. 2018). Pairwise

dS between *E. similis* and *E. similis* var. *armata* is 5.24%, slightly higher than between the two

recognised species *E. spinifera* and *E. longirostris* (4%) (Table S7) although below the average

between other sister species in the genus *Euphausia* (17%), which may suggest recent speciation.

Furthermore, the widely different levels of genetic variation and rates of adaptive evolution that we

detect between *E. similis* and *armata* may be expected to create incompatibilities between the two

species, and our results show that fixed differences are enriched in processes related to reproduction

and possibly reproductive isolation. Considering all of the above, we propose to give the *armata*

variety of *E. similis* the status of distinct species. Failure to recognize *E. similis* and *armata* as distinct

evolutionary units or species would undercount krill biodiversity, inflate intraspecific structure and

skew our understanding of species biology. In addition, it is essential to accurately describe taxonomy

for our objectives of comprehending mechanisms underlying ecological adaptation in krill and assess

adaptive potential to climate change.

The genetic basis of ecological adaptation in krill

Polar regions and oceans are heating faster than the global average, impacting habitats and krill stocks

in Arctic and Antarctic waters (Flores et al. 2012; Atkinson et al. 2019; Clem et al. 2020; Edwards et

al. 2021; Rantanen et al. 2022). These rapid changes necessitate better insight into how thermal

tolerance varies among species and what genetic mechanisms may contribute to adaptation to a

warmer world. By analyzing CT50 data in six species, including new observations from subantarctic

and temperate species, we find that CT50 thresholds appear to be correlated with the ambient

temperatures of both sampling sites and habitats of species, likely reflecting adaptation to different

thermal environments. However, when accounting for the different ambient starting temperatures, *E.*

crystallorophias, *E. superba*, *E. vallentini*, *E. triacantha* and *T. inermis* show similar absolute CT50s,

indicating comparable thermal tolerances beyond the temperatures experienced in their natural

habitats. Only *M. norvegica* stands out with a much higher absolute CT50, which can be expected

from its ubiquitous distribution. All the experiments carried out in this work were performed on

animals collected at the colder end of their natural range, which may influence these patterns. By

sampling each species also from warmer habitats, it would be possible to gain more comprehensive

insight into their respective plasticities in thermal tolerance. Our observations suggest that these krill

species may not be, at least at the adult stage, as thermally sensitive and stenothermic as other polar

invertebrates (Peck et al. 2014). This is consistent with the findings of experiments conducted on *E.*

superba (Toullec et al., 2020), but it may not apply during other stages of their life cycle, such as

larval development, where growth rates and survival were shown to start deteriorating already around

+3.0°C (Atkinson et al. 2006; Perry et al. 2020).

Daily and seasonal variation in ecological conditions such as light and temperature play

critical roles in shaping the physiology and timing of behavior, growth, development and reproduction

of zooplankton (Richardson 2008; Häfker et al. 2023), which in turn affect the function of marine

ecosystems. The molecular mechanisms that govern important ecophysiological and phenological

traits have only been intensely studied in some krill, focusing on species adapted to extreme

photoperiodic seasonality at high latitudes (e.g. *E. superba*, *Thysanoessa* spp.) or that occur across

wide geographical ranges (e.g. *M. norvegica*). This includes efforts to uncover and functionally

characterize the photoreceptor and circadian clock gene repertoires (Biscontin et al. 2016; Christie et

al. 2017; Palecanda et al. 2022; Urso et al. 2022) or genes involved in regulating the molting cycle

(Seear et al. 2010), seasonal dynamics (Seear et al. 2012; Höring et al. 2021) and heat shock response

(Huenerlage et al. 2016; Papot et al. 2016). However, analyses of how specific genes may contribute

to environmental adaptation through natural selection have only been performed in heat shock proteins

hsp70 genes of Antarctic species before. Both *E. superba* and *E. crystallorophias* appear to have

experienced diversifying selection between *hsp70* paralogs, but *E. superba hsps* are characterized by

greater functional diversity and balancing selection associated with a more heterogeneous

environment, while *E. crystallorophias hsps* may have evolved under stronger directional selection in

a more constantly cold environment (Cascella et al. 2015; Papot et al. 2016). In the Antarctic krill,

genes involved in controlling seasonal metabolic, molting, growth and reproductive cycles have been

shown to be differentially expressed between summer and winter seasons (Urso et al. 2022). These

cycles are thought to be driven by photoperiodic environmental cues such as day light, temperature

and food availability and involve genetic pathways for photoreception and circadian clocks (Teschke

et al. 2008; Biscontin et al. 2017; Piccolin et al. 2018; Höring et al. 2021). Environmental conditions

vary widely among the habitats occupied by the species in our study and we find signatures of positive

selection in hundreds of genes associated with multiple ecophysiological functions. We find selection

signals across genes involved in photoreception (e.g. *ninaB*, *pdh* and *peropsin*), phenological

physiology (e.g. *Eip75B*) and development (multiple cuticular proteins and chitinases), also in species

evolving in warm environments.

While our candidate sets relate to diverse physiological functions, evolutionary change in

thermosensory genes in particular could be key for adaptation to extreme or changing temperatures. In

our analyses of Southern Ocean krill, we identify multiple candidate genes involved in detecting and

responding to temperature, including a *TrpA1* homolog. TRP genes encode Transient receptor

potential (TRP) Ca^{2+} ion channels, which are the main thermosensors in sensory neurons of animals

(Dhaka et al. 2006; Bandell et al. 2007) and considered a "molecular toolkit for thermosensory

adaptations" in animals (Hoffstaetter et al. 2018). TrpA1 is associated with harmful heat signaling and

heat avoidance behaviors in both invertebrate and vertebrate ectotherms (Akashi 2021; Xiao and Xu

2021), as well as cold sensation in a wide range of animals (Akashi 2021; Zhang et al. 2022). In

Southern Ocean notothenioid fish, *TrpA1* has been hypothesized to evolve through duplication and

positive selection and be one of the main thermosensors underlying adaptation to cold Antarctic

waters (York and Zakon 2022). In addition, we detect potentially adaptive substitutions across several

genes encoding heat shock proteins or chaperonin-containing TCP1 (CCTs), which either assist with

general or cytoskeletal protein folding. CCTs are eukaryotic "cold-shock" proteins and overexpressed

during cold stress (Somer et al. 2002). In notothenioid fish, they appear to have undergone adaptive

evolution to accomplish protein folding in cold and energy-depleted environments but are also

upregulated from heat stress (Pucciarelli et al. 2006; Cuellar et al. 2014).

Our results suggest that homologous thermosensory receptors and protective proteins have

been targeted by natural selection in both krill and fish, and that this repertoire of genes could underlie

thermal adaptation also in other Antarctic or Arctic organisms. However, the specific functional

properties of these candidate genes, and impact of their substitutions, are currently unknown in krill.

For example, we detect signals of selection in a homolog of *subdued*. In *Drosophila*, the

thermosensory Subdued ion channel collaborates with TRPs in heat nociception but activates at high

temperatures that are unlikely to be experienced by any krill (+40°C) (Jang et al. 2015). On the other

hand, *Drosophila* and krill Subdued homologs are only 43–45% identical and TMEM16 proteins

overall are functionally diverse (Picollo et al. 2015). It is possible that krill Subdued requires

considerably less activation energy, as seen in other cold-adapted proteins (D'Amico et al. 2002). The

genes detected here are promising candidates but further functional validation is necessary to

understand their role in adaptation.

On adaptive potential in Antarctic krill

The potential for genetic adaptation ultimately depends on access to genetic variation, favorable

demographics and life history traits. Therefore, the extent to which selection has shaped genetic

variation among species in the past, and the potential they have to adapt to ongoing environmental

change, may vary significantly among taxa. Empirical studies of protein evolution have indicated that

few substitutions among apes have been fixed through positive selection (α=0–0.3) (Hvilsom et al.

2012; Galtier 2016), while high α in organisms like *Drosophila* (α≈0.5) and sea squirts (α≈0.8)

indicate strong influence of positive selection (Smith and Eyre-Walker 2002; Tsagkogeorga et al.

2012). These analyses typically uncover higher α in invertebrates compared to vertebrates (Galtier

2016), find that adaptive evolution is limited by the supply of variation in low-N_e species and

negatively correlated with "K-selected" life history strategies such as long lifespans or large offspring

(i.e. the propagule size) (Galtier 2016; Rousselle et al. 2020), although how these potential

determinants influence adaptation is still not well understood.

The hypothesis of high evolutionary potential in zooplankton (Peijnenburg and Goetze 2013)

predicts that most variation between species should be shaped by adaptive evolution. This may not

hold for krill, at least not judging by how natural selection has driven divergence in protein-coding

genes in the past. Among the eight species of krill examined here, we find that the proportion of

adaptive protein evolution (α) is only 0.40±0.11 (mean±SD), suggesting the majority of amino acid

substitutions between species may have been fixed through processes other than adaptation. Compared

to other arthropods, α seems low. For example, Galtier previously estimated α to 0.62±0.13 among 11

species (Galtier 2016). Our results indicate that the overall α in krill scales with N_e, but it is more

strongly influenced by non-adaptive change than by adaptive change. As seen in other species, the rate

of fixation of slightly deleterious variants (for example due to drift in small populations) scales more

clearly with N_e than the fixation rate of adaptive variants (Galtier 2016; Moutinho et al. 2020). Non-

adaptive processes appear to contribute more to protein evolution in the Southern Ocean species *E.*

triacantha (α=0.30) and *E. superba* (α=0.20). In the other krill, the ratios between *dN/dS* (ω) and

pN/pS range from 1.29× to 1.83×, but are in these two species only 1.10× and 1.01×, respectively. For

comparison, across the subset of 566 putatively cold-selected genes that we identify with branch-site

tests and where these species have substitutions at selective sites, we find that α is 1.44× higher (0.43)

25   25

in *E. triacantha* and 1.40× higher in *E. superba* (0.29) than the transcriptome-wide estimates,

suggesting these genes have undergone more adaptive evolution overall than the genomic background.

While the two species have undoubtedly adapted genetically to their respective environments, the

question remains why so many substitutions throughout most genes have not evolved through

adaptation. Our results suggest that K-strategists may be associated with low rates of adaptation in

krill, as the two species have large bodies and larvae. However, demography and environment may

also contribute. *E. triacantha* has the biggest excess of low frequency variants and lowest D_T (-1.9) in

our analyses, consistent with recent expansion from a bottleneck of small N_e causing fixation of non-

adaptive variants through genetic drift. Genome-wide analyses of *E. superba* suggest it too has

recently undergone major population expansion (Shao et al. 2023), although our D_T is less extreme in

this species (-1.4). An alternative explanation could be that slow rates of adaptation in the Antarctic

krill may follow from comparably flat environmental gradients or slow rates of environmental change

in Antarctic habitats. Since its formation, the Antarctic Circumpolar Current has acted as a barrier to

warmer waters and helped maintain cold and stable Antarctic conditions (Clarke et al. 1992).

Simulations indicate that the rate of recurring environmental change affects adaptive rates more

strongly than N_e (Lourenço et al. 2013). Our sample is limited and only by expanding these analyses to

small Antarctic species such as *E. crystallorophias* and *E. frigida* would it be possible to disentangle

life history from habitat conditions as the main drivers of adaptive rate in Southern Ocean krill.

Krill are genetically adapted to their respective environments, but most divergence among

species appears to have evolved through non-adaptive processes, suggesting adaptive traits have

emerged over long timescales. What does this mean for their adaptive potential under rapid climate

change? The answer lies in species-specific access to functional variation and efficacies of natural

selection. We compared non-synonymous variation (π_N) among our candidate genes for warm-

adaptation against background π_N to gauge how much standing and assumably functional variation is

available in populations to select from. Interestingly, we observe more standing variation for warm-

adaptive genes among most species native to warm environments than to cold environments

(supplementary fig. S12; Table S10). This could mean that more adaptive alleles are present in

populations normally challenged by high or variable temperatures, possibly maintained by balancing

selection or incomplete selective sweeps over environmental gradients or time. The Antarctic krill has

no excess of functional (π_N) variation among genes implied in warm-adaptation compared to

background genes, and warm-adaptive genes have been evolving under even weaker influence of

positive selection than the background ($\alpha=0.17$ vs. $\alpha=0.20$). A combination of long generation time

(2–3 years) (Siegel 2000), extensive panmixia impairing local adaptation (Lenormand 2002; Shao et

al. 2023) and possibly limited functional variation suggest the Antarctic krill has comparably low

adaptive potential to rapidly changing environments.

Conclusions

This study is the first to use comparative genomics at the genome scale to investigate evolutionary

history and adaptation in marine zooplankton. We demonstrate the usefulness of transcriptome data as

a means to identify patterns of genetic variation and adaptation among non-model species from

different environments. Thorough understanding of taxonomy is essential to accurately interpret

molecular signatures of adaptation in species and evaluate their adaptive potential. We identify

significant genome-wide divergence between the two variants of *Euphausia similis* and *Euphausia*

similis var. *armata* from the Indian Ocean. We show that the two forms are likely genetically isolated

and evolving independently, with different levels of genetic diversity and rates of adaptive evolution.

We argue that *Euphausia similis* var. *armata* should be given species status with the name "*Euphausia*

armata", settling a 100 year old issue in krill systematics.

Conservation genetics has traditionally used neutral variation to survey population status and

risk, but the link between neutral diversity and population viability is disputed and it can be argued

that conservation should consider variation that directly promotes viability (Teixeira and Huber 2021).

Comparison of new gene candidates for thermal adaptation, like *TrpA1*, *subdued*, *Hsc/Hsp70-*

interacting protein (*HIP*), between tropical and Antarctic krill species may provide valuable

information on functional variation that promotes fitness under warmer conditions. Surveys of genetic

variation for these candidates can help uncover to what extent adaptive alleles occur in natural

populations and provide insight into how much genetic change is required to adapt to future climates,

i.e. the genetic offset (Fitzpatrick and Keller 2015).

We find that rates of adaptation are not uniformly high in krill, but vary widely among

species. In particular, some Southern Ocean species like the Antarctic krill may have low adaptive

potential. Just as other research indicates that there may be more structure and reproductive isolation

among zooplankton populations than traditionally believed, our results suggest they do not behave like

infinitely large effective populations unaffected by genetic drift or with unlimited adaptive potential.

Instead, it seems determined by interactions between demography, life histories and environmental

conditions. Expanding these analyses to more taxa could uncover common indicators of high or low

adaptive potential in zooplankton, and allow more accurate forecasts of species distributions under

continued change (Razgour et al. 2019; Capblancq et al. 2020).

Materials and Methods

Species identification and life histories

We used dissection microscopes and keys for morphological species identification, including Baker *et*

al. (Baker et al. 1990), and *Cytochrome c oxidase I* (*COI*) barcodes for molecular species validation.

Sample information is provided in Table S1. We georeferenced species ranges from (Brinton et al.

2000) on a OISST v2 Sea Surface Temperature (SST) map with *QGIS* http://www.qgis.org and

computed the average SST for each range from color histograms in *GIMP* https://www.gimp.org/. To

associate adaptive rates with life history traits, we compiled information from (John 1936; Russell et

al. 1969; Baker et al. 1990; Brinton et al. 2000).

Atlantic Ocean samples

We collected *M. norvegica* (Northern krill) specimens (n=7) from the Swedish Gullmarsfjord in 2018

using a small Isaacs-Kidd midwater trawl (IKMT) and preserved them in RNA*later*™ (Invitrogen™).

We used material from three North Atlantic–Arctic *Thysanoessa* species: *T. longicaudata* and *T.*

raschii specimens were collected in August 2012 in the Kongsfjorden (Spitzberg) and frozen in liquid

nitrogen. We re-used a published RNA-seq library (ENA: SRR2174568) for *T. inermis* from

(Huenerlage et al. 2016).

Indian Ocean samples

637   Specimens of *Nematoscelis megalops* and six *Euphausia* species (*E. recurva*, *E. spinifera*, *E. similis*,

638   *E. longirostris*, *E. triacantha*, *E. vallentini*) were collected in the Indian Ocean in 2019. We used a

639 large IKMT aboard the R/V Marion Dufresne and kept specimens alive for 1–48 hours before

640 preserving them in RNA*later*™ or performing experiments.

Pacific Ocean samples

642 Four Pacific species of *Euphausia* were provided by Dr N. Tremblay: *E. distinguenda* and *E.*

643 *lamelligera* (West coast of Mexico, February 2012), *E. pacifica* (West coast of Oregon, September

644 2011), *E. mucronata* (West coast of Chile, August 2011).

Southern Ocean samples

646 During a 2017 REPCCOAI cruise, we collected a specimen of *T. macrura* North-East of Kerguelen

647 Archipelago and a *E. frigida* specimen near the Indian Ocean Polar Front and froze them in liquid

648 nitrogen. We downloaded a RNA-seq library for the Ice krill *E. crystallorophias* (ENA: ERR264582)

649 from (Toullec et al. 2013) and 20 libraries for the Antarctic krill *E. superba* from (Höring et al. 2021)

650 (NCBI: PRJEB30084).

CT50 experiments

Experiments were performed using live *E. triacantha* and *E. vallentini* samples from the Indian Ocean

and *M. norvegica* from the Arctic Ocean. The experimental protocol was identical to that used for

Euphausia crystallorophias and *Euphausia superba* (Cascella et al. 2015) or the Arcto-boreal

Thysanoessa inermis (Huenerlage et al. 2016). After acclimation of 24 h at ambient sea water

temperatures, actively swimming animals were transferred to an experimental tank. Temperature was

increased by 1°C per 10 min. The animals were maintained in the tank until they no longer responded

to tactile stimuli of a probing rod. At this point, it was considered that the critical temperature had

been reached. The CT50 was determined through the non-linear curve fitting option in Prism9

(GraphPad Software, LLC). The mobility/survival curve used was: Survival = c/(1 + (T/CT50), where

c is the plateau value before the sharp decrease and CT50 is the threshold temperature at which only

50% of animals are mobile. The program explores the different parameter values and calculates a 95%

confidence interval (standard error provided).

Extraction and sequencing of RNA

For *M. norvegica* and samples collected from the Indian Ocean in 2019, we extracted total RNA from

abdominal muscle tissue using the Qiagen RNeasy® Plant Mini Kit following the manufacturer's

protocol. Thermo Scientific NanoDrop™ and Agilent Technologies 2200 TapeStation instruments

were used to measure yield, purity and RIN-values. Illumina RNA-seq was performed at the Science

for Life Laboratory, Sweden. Staff prepared 94 extractions using Illumina TruSeq Stranded mRNA

Library Prep kit with polyA selection. Paired-end libraries (2×150 bp) were sequenced on a Illumina

NovaSeq 6000 S4 lane and demultiplexed by the platform. Other samples were prepared as in

(Huenerlage et al. 2016).

Molecular species validation

674 For each library, we queried 1 million forward-oriented reads against all krill *COI* reference barcodes

675 in the MetaZooGene database (MZGDB v3; n=3,003 sequences from 64 fully identified species)

676 (Bucklin et al. 2021) using *BLASTN* (Tan et al. 2006; Camacho et al. 2009) and recorded best hits. For

677 barcodes with 20+ hits, we computed the average identity scores. We took the barcode with the

678 highest average score as an indication of species.

RNA trimming, assembly and annotation

We used *Trim Galore!* v0.6.1 https://github.com/FelixKrueger/TrimGalore/ and *Cutadapt* v2.3

(Martin 2011) to trim low quality positions from reads (phred<20), only keeping trimmed reads of at

least 50 bp. *Trinity* v2.11.0 (Grabherr et al. 2011) was then used with default settings to assemble 19

transcriptomes, making one "reference" transcriptome per species. When possible, we pooled reads

from up to five specimens to maximize gene completeness. Preliminary tests indicated that pooling

data produced on average 1.1–1.3× as many complete BUSCO genes compared to a large (44–133 M

read pairs) or single small library (~22 M read pairs). Due to unexpected population structure between

E. similis and *E. similis* var. *armata*, a separate reference transcriptome was assembled for *armata*.

The *T. raschii* transcriptome was assembled similarly to *T. inermis* in (Huenerlage et al. 2016). We

used the Trinity script "*get_longest_isoform_seq_per_trinity_gene.pl*" to reduce redundancy by

keeping only the longest splice isoform per gene.

We used *TransDecoder* v5.5.0 https://github.com/TransDecoder/TransDecoder to identify

protein-coding transcripts and *TransDecoder.LongOrfs* to detect open reading frames (ORFs) >300

bp. ORFs were queried for domain homology against Swissprot with BLASTP v2.9.0+ (Camacho et

al. 2009) (e-value cut-off $1e^{-5}$) and Pfam (release 34.0) with HMMER3 *hmmscan* v3.3

http://hmmer.org/. We used *TransDecoder.Predict* to identify and carry forward only protein-coding

transcripts. Coordinates for functional regions (UTRs or coding sequence) were kept in GFF files. We

used *KaKs_Calculator* (Zhang et al. 2006) to enumerate synonymous and non-synonymous sites,

while transcriptome completeness was assessed using *BUSCO* v3.0.2b (Simão et al. 2015) and the

arthropod odb9 lineage set from OrthoDB. We annotated the transcripts using queries against the

Drosophila FlyBase database (dmel_r6.38) (Larkin et al. 2021) with *DIAMOND* v9.9.0 (Buchfink et

al. 2015).

SNP calling

We measured genetic variation within nine species/lineages: *M. norvegica*, *E. longirostris*, *E. similis*

and its variant form *armata*, *E. spinifera*, *E. triacantha*, *E. vallentini, N. megalops* and *E. superba*

using Single Nucleotide Polymorphisms (SNPs) detected in the RNA-seq data.

We mapped the trimmed RNA libraries from 113 specimens (3–20 specimens per species) to

their respective reference transcriptomes using BWA-MEM v0.7.17-r1188 (Li 2013). Alignments

were cleaned with *samtools* v1.14 (Danecek et al. 2021) to only retain reads mapping uniquely,

concordantly and in pairs. Duplicates were removed using *Picard* v2.23.4

(http://broadinstitute.github.io/picard/). For each species, we called variants with *GATK*

HaplotypeCaller v4.2.0.0. Variants were called per-individual and combined into a multi-sample

gVCF with CombineGVCFs. Individuals were then jointly genotyped with GenotypeGVCFs. We used

vcftools v0.1.16 (Danecek et al. 2011) to filter variants, retaining only bi-allelic SNPs genotyped by ≥5

reads at sites with a mean read depth of ≥5× across all individuals.

Population structure

We here produced high-quality SNP subsets with vcftools, keeping SNPs with a minimum Phred

quality score of 30, a minor allele count of three and less than 20% genotype missingness. We pruned

SNPs based on linkage-disequilibrium using *Plink* v.1.90 www.cog-genomics.org/plink/1.9/ (Chang et

al. 2015), proceeding in windows of 50 SNPs, sliding by 10 markers at a time and with a r^2 threshold

set at 0.8. We used the unlinked SNPs to investigate population structure in the Indian Ocean species

by performing Principal Component Analyses (PCA) with Plink and ancestry analyses with

ADMIXTURE v.1.3.0 (Alexander et al. 2009), using 2–N ancestral clusters (*K*) (N=number of

geographic sampling sites).

Divergence between *E. similis* ("*similis*") and *E. similis* var. *armata* ("*armata*")

Material from *similis* and *armata* was initially mapped to the same *E. similis* reference. In a joint SNP

call, we uncovered extreme structure and used *vcftools* v0.1.16 to estimate the F_{ST} fixation index

between them. We also observed that *similis* and *armata* samples matched different MetaZooGene

COI barcodes. To further characterize this discrepancy, we assembled the *COI* mitochondrial gene

(mtCOI) from the RNA-seq data. Reads with best hits against *E. similis* accessions (AF177186,

MW210878 or MW210879) were extracted and assembled *de novo* into *COI* fragments using *SPAdes*

v3.15.5 (Prjibelski et al. 2020). The fragments and the barcodes were aligned using *MAFFT* v7.453

(Katoh and Standley 2013). A pairwise distance matrix and neighbor-joining tree was inferred using

SplitsTree v4.18.2 (Huson and Bryant 2006).

Levels of intraspecific genetic variation

The full biallelic SNP datasets (above) were annotated with *SNPeff* v4.3T (Cingolani et al. 2012), i.e.

to be synonymous, non-synonymous or UTR variants. We estimated variation using the population

mutation rate Watterson's theta (θ_w) (Watterson 1975) separately at 5'-UTR, 3'-UTR, synonymous

and non-synonymous sites, or jointly across full genes while accounting for accessible sites. We then

used SplitsTree to calculate transcriptome–wide pairwise genetic distance matrices among individuals

and deduce π (nucleotide diversity) and BioPerl to calculate Tajima's D (D_T) as an indicator of

demographic history (Stajich et al. 2002). The effective population size (N_e) was inferred from $N_e =$

$\theta_w/4\mu$, where μ is the mutation rate per base and generation. Because mutation rates are unknown in

krill, we used μ from snapping shrimp (Silliman et al. 2021), the closest species with a known rate.

Orthology and phylogenetic analyses

We analyzed the 20 krill transcriptomes and seven outgroup datasets for gene orthology with

ProteinOrtho v6.0.14 (Lechner et al. 2011), using *DIAMOND* to detect similarities. For each set of

orthologous genes (an "orthogroup" or "OG") with 10 or more krill species, we produced protein-level

alignments. MAFFT was run using the G-INSI-I algorithm, using the "--allowshift --unalignlevel 0.8

--leavegappyregion" variable scoring matrix settings to reduce the risk of over-aligning non-

homologous regions (Katoh and Standley 2016).

Single-copy OGs with at least 18 krill species and two outgroups were used to make a species

tree. First, we trimmed unreliably aligned positions using *Gblocks* (Castresana 2000) (settings: "-t=p -

b1=N -b2=N -b4=5 -b5=h -b6=y, where N was 50% + 1 of the number of sequences). We then

performed phylogenetic inference of concatenated OGs using the maximum likelihood (ML) method

in *IQ-TREE* v2.1.0 (Minh et al. 2020) and the JTTDCMut+F+I+G4 model (chosen using BIC)

(Kalyaanamoorthy et al. 2017). We additionally produced individual gene trees using IQ-TREE

(JTT+I+G4 model).

Detecting positive selection and candidate genes for adaptation

We prepared OGs with 10+ krill species for analysis of adaptive evolution. The OGs were aligned

without outgroups. For OGs with duplicate sequences (e.g. species-specific paralogs), we first

produced gene trees with *Fasttree* (Price et al. 2010) and then used *OrthoSNAP* (Steenwyk et al. 2022)

to split alignments into single-copy ortholog subsets, only keeping subsets with 10+ species.

Nucleotide sequences were fitted to the protein alignments using *PAL2NAL* (Suyama et al. 2006).

Spuriously aligned sequences may produce false-positive selection signals. We used *Gblocks* to trim

unreliably aligned codons (settings: "-t=c -b5=h -b6=y"). In addition, we masked sequences around

internal indels, replacing 4 codons with gaps around each indel and removing positions where >2

species had missing data. We also masked alignment fragments <15bp. For each OG, we pruned the

species tree using *Phyutility* (Smith and Dunn 2008) to make unrooted species-matched subtrees.

We sought to uncover genes evolving under positive selection in krill adapted to either cold or

warm climates and designed two tests. In the "cold" contrast, we took the terminal branches leading to

five Antarctic–subantarctic *Euphausia* species as foreground branches, while assigning all other

branches to the background. In the "warm" contrast, we took three Pacific tropical–subtropical

equatorial/near-equatorial species as foreground branches. The ratio between non-synonymous

substitutions per non-synonymous site (dN—a proxy for selection) over synonymous substitutions per

synonymous site (dS—a proxy for neutral evolution), termed dN/dS or ω, compares the rate of amino

acid substitutions between species against expectations under neutrality. Elevated ω ($\omega>1$) can be used

as an indication of positive selection, while $\omega=1$ and $\omega<1$ indicate neutral evolution or purifying

33   33

selection (Yang 2006), respectively. However, pairwise estimates for whole genes are only summary

statistics that represent the long-term average mode of selection but do not localize selection to

specific codons or lineages. Branch-site models leverage multiple sequence alignments and

phylogenetic species trees and allow variable *dN/dS* ratios that can detect episodic positive selection

involving only some branches (or species) and nucleotide sites (Yang and Nielsen 2002). We therefore

performed branch-site tests with *codeml* in *PAML* v4.9j (Yang 2007) via the *ETE Toolkit* v3 (Huerta-

Cepas et al. 2016), providing the codon-level alignment and subtree. We scanned for episodic positive

selection by testing whether models allowing positive selection at one or more sites and branches fit

the observed data and tree significantly better than null models that only allow neutral or purifying

evolution (Yang and Nielsen 2002). For each OG, we ran *codeml/ETE* three times: i) using the bsA1

null model; ii) using the alternative bsA model that incorporates positive selection (Zhang et al. 2005);

iii) performing a likelihood ratio test (LRT) to test if the null model could be rejected, taking the log-

likelihood difference between models ($-2\Delta\ln L$) against a χ^2 distribution (one df). For genes to be

considered candidates, we required both a significant LRT in favor of the selective model and the

detection of at least one positively selected site among foreground species, using Bayes Empirical

Bayes posterior probability >95% (Yang et al. 2005).

To test for shared biological properties among the candidate genes, we performed Gene

Ontology (GO) enrichment tests of *Drosophila* gene homologs using *ShinyGO* (Ge et al. 2020). We

took the candidate genes as the target set and all analyzed genes as the background set.

Estimating rates of adaptive evolution

McDonald & Kreitman introduced the idea to compare *dN/dS* (ω) between species against non-

synonymous and synonymous polymorphisms segregating within species (*pN/pS*) to study selection at

individual genes (McDonald and Kreitman 1991). Under the assumption that non-synonymous

variants are either neutral, or deleterious and removed from populations via purifying selection, *pN/pS*

should equal ω for genes evolving neutrally. Adaptive non-synonymous variants would be expected to

be quickly fixed by positive selection and contribute to divergence (*dN*) but not polymorphism (*pN*),

such that $\omega \gg pN/pS$ can be taken as evidence for positive selection. By comparing ω to *pN/pS* across

multiple genes, it is possible to estimate the overall proportion of adaptive amino acid substitutions

(α), in which $\alpha = 1 - (pN/pS / \omega)$, and infer how much of the observed variation between species may

have been shaped by positive selection as opposed to neutral evolution (Smith and Eyre-Walker 2002).

However, estimates of adaptive rates can be distorted by slightly deleterious non-synonymous

variation that are not efficiently removed by purifying selection but segregate at low frequencies due

to genetic drift, leading to overestimation of pN/pS and underestimation of α (Charlesworth and Eyre-

Walker 2008; Moutinho et al. 2020), particularly when populations undergo bottlenecks. Current

methods therefore interrogate whole site frequency spectra (SFS) of neutral and selective variants

while aiming to control for confounding processes such as population structure, bottlenecks and

interference between linked sites (Eyre-Walker and Keightley 2009; Messer and Petrov 2013; Galtier

2016; Al-Saffar and Hahn 2022). Therefore the site frequency spectrum (SFS) of synonymous variants

is used to model demographic history, in order to more accurately model the distribution of fitness

effects (DFE) from the non-synonymous SFS and derive more realistic estimates of α (reviewed in

Galtier 2016; Moutinho et al. 2020; Al-Saffar and Hahn 2022), as well as the respective rates of

adaptive or non-adaptive protein evolution (ω_A or ω_{NA}).

For eight species with SNP data, we aimed to estimate the overall α, ω_A or ω_{NA} across

thousands of genes. To estimate ω, we made 4-way datasets and pruned species trees that included the

focal species and three additional species at different distances. We re-estimated branch lengths using

the YN98+F1X4 model in *Bio++ bppML* v2.3.1 (Nielsen and Yang 1998; Guéguen et al. 2013),

selected an ancestral node at appropriate distance and used *Bio++ bppancestor* v2.3.1 to infer the gene

sequence at the ancestral node. The node was selected from overall pairwise genetic distances between

species, avoiding very short distances as shared ancestral polymorphism may distort substitution-

estimates (Mugal et al. 2020).

We then estimated synonymous/non-synonymous substitutions and sites between the focal

and ancestral sequences using KaKs_Calculator and the "YN" method to account for unequal base

frequencies, transition/transversion rate biases and multiple substitutions (Yang and Nielsen 2000).

We compiled transcriptome-wide unfolded non-synonymous and synonymous site frequency spectra

(SFS) from SNPs. We here used the ancestral sequence to polarize the SNP alleles: the allele shared

with this sequence was considered ancestral, while the other was considered derived. This was

performed with *basefinder* https://github.com/fellen31/basefinder (a novel tool implemented here;

commit adb820c) that cross-references SNP and alignment positions on either plus or minus strands.

SFSs were produced from derived allele frequencies using genes with at least one polarized SNP. To

have similar resolution of the SFSs between species and facilitate unbiased comparison, we

downsampled the original population datasets to six or eight individuals. For each gene, individuals

were selected to maximize the overall coverage and genotyped SNPs.

We used the model-based program *grapes* (Galtier 2016) to estimate α, ω_A and ω_{NA} in each

species. The program infers fitness-effects and fits either non-parametric methods or models from

DoFE (Eyre-Walker et al. 2006; Eyre-Walker and Keightley 2009) to the SFS + divergence data under

maximum likelihood criteria. The "Basic" method derives the statistics by comparing *dN/dS* to *pN/pS*

without correction, while "FWW" removes non-synonymous polymorphisms segregating at low

frequencies (<15%) (Fay et al. 2001). In addition, *grapes* implements six model-based methods that

estimate population demographics and fitness effects to correct for selectively neutral or fitness-

altering non-synonymous polymorphisms (see Galtier 2016 and Al-Saffar and Hahn 2022 for details).

For each species and method, we ran *grapes* 10 times with random initial values ("-nb_rand_start

10").

TABLE 1

Synonymous variation and demographics in nine krill species.

Ocean	Species	N	Genes[1]	SNPs	Total length[2]	Tajima's D (D_T)	N_e[3]
Atlantic	*M. norvegica*	7	15,445	236,943	3,883,522	-1,153	1,816,807
Indian	*E. longirostris*	3	9,535	74,381	2,278,357	-1,402	1,353,964
	E. similis	10	12,731	216,303	3,072,702	-1,289	1,878,999
	E. similis var. *armata*	9	16,165	442,254	3,849,450	-1,335	3,163,058
	E. spinifera	17	11,804	254,630	2,678,672	-1,741	2,201,560
	E. triacantha	20	13,707	301,721	3,294,790	-1,911	2,038,746
	E. vallentini	18	19,509	709,573	4,470,717	-1,362	3,624,473
	N. megalops	9	12,156	170,844	2,697,988	-1,367	1,743,387
Southern	*E. superba*	20	10,777	205,319	2,203,203	-1,488	2,074,722

852 [1]Expressed genes with at least one synonymous SNP.
853 [2]Counting only accessible sites covered by at least 5× depth of coverage.
854 [3]N_e= effective population size.

855 **TABLE 2**

856 Signatures of positive selection on protein-coding genes.

Nr	Contrast	Analyzed OGs (n)[1]	LRT level	LRT significant OGs (n)	LRT significant + BEB95% OGs (n)	Significant OGs with *Drosophila* orthologs
1	Five cold-adapted species vs. rest	13,198	p<0.05[2]	1,794	1,349	1,020 of 8,570 (12%)
			p<0.01[3]	997	832	629 of 8,570 (7%)
2	Three warm-adapted species vs. rest	13,004	p<0.05[2]	1,039	630	383 of 8,455 (5%)
			p<0.01[3]	482	338	191 of 8,455 (2%)

857 [1]OGs that include at least one focal species
858 [2]χ2 critical value=3.841
859 [3]χ2 critical value=6.635

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