Female reed warblers in social pairs with low MHC dissimilarity achieve higher MHC

compatibility through random extra-pair matings

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32

Abstract

Major Histocompatibility Complex (MHC) polymorphism is maintained by balancing

selection through host-pathogen interactions and mate choice. The likelihood of mate choice

based on MHC-dissimilarity in songbirds has been questioned because of their rather poorly

developed olfactory sense, a trait considered crucial in pre-copulatory mate choice to

determine both own MHC and MHC of putative partners. However, our results suggest a

mechanism where extra-pair matings can maintain high MHC polymorphism also without the

need for olfactory cues. In our study population of reed warblers, *Acrocephalus scirpaceus,*

females with extra-pair young in their broods have a higher MHC similarity with their social

mate than females without extra-pair young in their broods. We suggest that when MHC

similarity is high between social mates, females can improve MHC diversity in their

offspring through extra-pair mating. A post-copulatory mate choice mechanism that relies on

multiple copulations does not demand that females identify and actively choose MHC-

dissimilar mating partners. In line with this reasoning the MHC-dissimilarity of successfully

siring extra-pair males were neither different from that of social pairs without extra-pair

young nor from putative extra-pair males that were breeding close to the female's social nest.

Introduction

The potential role of the Major Histocompatibility Complex (MHC) in mate choice has intrigued evolutionary biologists and behavioural ecologists for decades [1–4]. MHC molecules are central for antigen presentation and T-cell mediated adaptive immunity [5]. MHC genes are highly polymorphic and maintained by selection from pathogens and / or by mate choice [4,6,7]. An optimally high MHC diversity (number of different MHC alleles per individual), or MHC divergence (functional distance between MHC alleles within an individual), is advantageous since a wider range of pathogens can be detected and eliminated, and studies have shown that MHC diversity / divergence correlate with, disease resistance, increased survival and fitness in wild populations [8–11]. In order to maximize offspring MHC diversity / divergence mate choice for MHC-dissimilar partners is predicted [9–11].

A large number of studies have investigated if social mate choice is MHC-based (MHC-dissimilarity, MHC-diversity and specific MHC genotypes), using a plethora of study systems, techniques and approaches [1,3,12–16]. In general, MHC-based social mate choice appears to be fairly infrequent among vertebrates [2,17,18]. However, social mate choice is strongly influenced by direct benefits, such as territory quality, social rank, and ability to provision for offspring, which may override or obscure the signal of MHC-based mate choice, an indirect benefit [19–22]. Extra-pair mate choice in contrast, *i.e.,* mating outside the social pair-bond, is largely free of such constraints and therefore provides an excellent model for investigating MHC-based mate choice [2,23]. Indeed, extra-pair mate choice for MHC-dissimilar partners could partly compensate for high MHC-similarity within the social pair.

Songbirds are well suited to studies of extra-pair mate choice as although most species are

socially monogamous, extra-pair mating is common [24–26]. However, many songbird

species have highly duplicated MHC genes, which has previously made obtaining accurate

MHC genotypes challenging [27, 28]. Since the advent of next-generation sequencing, the

use of high-throughput amplicon sequencing (HTS) has enabled the detailed characterization

of MHC genes even in species with extreme MHC gene copy numbers [29, 30]. A handful of

studies have used HTS approaches to study the role of MHC in mate choice in songbirds with

mixed results [14,27,28]. Therefore, many open questions surrounding the role of MHC

genes in mate choice in songbirds remain, such as whether extra-pair mating compensate for

suboptimal social mate choice in relation to MHC-dissimilarity.

Here we test whether MHC dissimilarity plays a role in social and extra-pair mate choice in a

wild study population of reed warblers, *Acrocephalus scirpaceus,* in Stawy Milickie nature

reserve in South-West Poland. Reed warblers are socially monogamous but have a high

frequency of successful extra-pair mating (up to 33% of breeding females have at least one

extra-pair chick in their brood) [25]. We combined field observations of breeding pairs over

six years (128 females and 127 males) with data on their MHC genotypes to explore the

relationship between MHC dissimilarity within social pairs, the occurrence of extra-pair

paternity and the choice of extra-pair male. This extensive dataset allowed us to go beyond

previous studies by demonstrating how the choice of extra-pair male relates to the locally

available males in terms of MHC-dissimilarity. In doing so we present compelling evidence

for how extra pair mating can compensate for poor within-pair MHC dissimilarity even in the

absence of active extra-pair mate choice.

Methods

Study population

Reed warblers are socially monogamous migratory passerine birds [29]. Most social pairs

remain together throughout a breeding season (May – August) laying up to four clutches, but

disband between seasons [25,30]. Both males and females are heavily engaged in both

incubation and feeding young [30]. Each female generally has only a few unmated males to

choose from when seeking a social mate. However, there are often many male neighbours in

the vicinity of any given female's nest, providing opportunities for extra-pair mating. During

May to August of 2006 to 2011, we studied a population of reed warblers breeding in the

nature reserve "Stawy Milickie", SW Poland (51°32 N, 17°20 E). We monitored the study

plot daily from the time the first individuals arrived and mist-netted and individually marked

birds throughout the breeding season. Each year we monitored between 29 and 51 breeding

pairs, as well as putative extra-pair males around each nest.

DNA extraction

Each adult was sexed and a blood sample (10–40µl) was taken (288 individuals). Nestlings

were blood sampled when 6-8 days old (501 chicks). Blood samples were preserved in 96%

ethanol and kept in a freezer until DNA extraction using DNeasy Blood and Tissue Kit

(QIAGEN, Hilden, Germany) or GeneMATRIX Tissue DNA Purication Kit (EURx, Gdańsk,

Poland). The DNA extracts were kept frozen at -20°C until used (QIAGEN, Hilden,

Germany).

MHC genotyping

We prepared forward and reverse 6bp-tagged amplicon libraries for high-throughput 454

sequencing of exon 3, the most variable fragment of the gene encoding the antigen binding

site of the MHC molecule, from 293 adult individuals (of which 24 individuals were

duplicated to aid with filtering the data) using the forward primer HN36 and reverse primer

HN46. These primers were previously designed to amplify MHC-I alleles in a closely related

species, the great reed warbler *Acrocephalus arundinaceus* [31] and we ran PCRs as

described in [9]. The 454-sequencing data (312 amplicons, 46471 reads) was filtered to

remove low-quality amplicons and sequences, *i.e.*, sequences representing artefactual MHC

alleles and potentially non-functional alleles as described in [9]. The minimum total sequence

abundance/individual (filter 1) was set to 75 reads and the minimum abundance of one

sequence in all individuals (filter 2) was set to 4 reads. The 24 technical duplicates were used

to determine the threshold for minimum relative abundance of a sequence/individual (filter

3). This filter was set to 6% (based on best between-duplicate identity: 95% total similarity).

Any alleles not found in at least two independent PCR reactions were removed. Sequences

were inspected manually and any non-functional sequences (four) or chimeras (two) were

removed. After filtering, the final data set contained 281 sequences found in 255 individuals

(128 females and 127 males) among which there were 141 breeding pairs (15 to 31 pairs per

year). The average number of reads per amplicon was 109, each individual had 1-8 MHC-I

exon 3 alleles and in total 281 nucleotide sequences were uploaded to GenBank.

Parentage analysis

Parentage was analysed for 501 chicks. We used six fluorescently labelled microsatellites

(Ase18, Ase25, Ase37, Ase48, Ase 58, Ppi2) in parents and offspring of 141 pairs, following

methods in [26]. The PCR products were fragment analysed on a DNA sequencer ABI

PRISM® 3100-AvantTM Genetic Analyser (Applied Biosystems) and the resulting

chromatograms were analysed using ABI Genescan software. Exclusion probabilities were

calculated with Cervus 3.0, and paternity analysis was conducted using the GeneMapper

software (version 4.0). Extra-pair young were found in 14.6-32.7% of the nests across years.

In total 35 pairs had extra-pair young in their nest: 21 extra-pair males were identified (across

18 social pairs) and for 17 social pairs the extra-pair male could not be identified. There were

106 pairs with no extra-pair young in their nest.

Calculating functional MHC-I distances as a measure of dissimilarity in pairs

In order to assess MHC-dissimilarity (MHC-I functional distance) of all known reed warbler

pairs we estimated MHC-I functional distances according to [15]. We computed the MHC-I

functional distances as follows: The verified MHC-I sequences (N = 281) were translated

into a set of 243 unique amino acid sequences and from these we extracted the MHC-I exon 3

peptide-binding regions (PBR, inferred from [32]) that made up a set of 160 unique PBR

amino acid sequences. The PBR sequences were represented by five physicochemical

descriptors per amino acid [33], that were subsequently used to construct a maximum

likelihood tree with contml in the PHYLIP package, v 3.69. We used this tree (Fig. S1), as a

reference from which the overall MHC-I functional distances between pairs (considering the

full MHC-I repertoire of each male and female) were extracted with the UniFrac software

[34]. MHC-I functional distances were compiled for:; (1) *social pairs with extra-pair young*:

SP-EPY (N = 35 pairs), (2) *Extra genetic pairs*: **EGP**, the corresponding extra-pair dyads,

when known, to the SP-EPY social pairs (N=21 dyads corresponding to 18 of the SP-EPY as

a result of some males being the EGP for more than one SP-EPY), (3) potentially available

extra-pair males with a territory within a 60 m radius of SP-EPY nests where the EGP was

known, as all EGP males had a territory within 60 m of the SP-EPY nest (N=212 putative

males, range 6–14 per SP-EPY) and (4) *social pairs with only within-pair young:* **SP-WPY,**

i.e., pairs without EPY in the nest (N = 106 pairs). In total, MHC-I genotypes were available

for 128 females and 127 males in the population enabling MHC-I functional distances to be

calculated between 16256 theoretically possible pairs.

Statistical analyses

To test whether there was evidence of non-random pairing regarding MHC dissimilarity for

the different pair types (SP-WPY, SP-EPY of EGP) the position of the mean MHC

dissimilarity for each of the observed groups was compared against the distributions of mean

MHC dissimilarity from 10000 groups of random pairs (matched for the group size of the

observed means i.e.,106 for SP-WPY, 35 for SP-EPY and 21 for EGP). Random pairs were

sampled from all possible combinations of 128 females and 127 males genotyped in the

population (i.e., 16256 theoretically possible pairs) with replacement between (not within)

each of the 10000 iterations for each group size. If the observed mean MHC dissimilarity fell

outside the two-tailed 95% confidence intervals of the random distribution, then the observed

MHC dissimilarity was significantly different to that expected from random pairing given the

group size at the level of $P < 0.05$. To test whether females in SP-EPY choose extra-pair

males in a non-random fashion with regard to MHC dissimilarity, we generated 10000

random pairings between each female and all the surrounding males. Surrounding males were

males with a territory within 60 m of the nest. We then tested whether the MHC dissimilarity

of the observed EGP fell outside the two-tailed 95% confidence intervals of the distribution

of the random pairings. The randomisation analyses were conducted in R using base code.

Differences in the MHC-I dissimilarity scores between pair types (SP-WPY, SP-EPY of

EGP) were analysed with general linear mixed models (GLMM) using the R package lme4

version 1.1-29 [35]. The response variable was MHC-I dissimilarity scores, with pair type as

a three-level fixed effect. Female identity and year were included as random effects in the

models to account for the non-independence of pairings within the same year and the same

female with different male partners. The significance of the effect of pair type on MHC

dissimilarity was tested using a likelihood ratio test to compare the full and reduced model

(*i.e.*, a model without pair type) using the Chi square distribution. To test whether MHC

dissimilarity differed between SP-EPY and the EGP, the same model was run on a subset of

the data that only included the paired results from SP-EPY where the EGP was known (18

SP-EPY and 21 EGP).

To examine the MHC dissimilarity of the SP-EPY and the EGP in the context of the

putatively available extra-pair males, we used the MHC genotypes of the surrounding males.

MHC dissimilarity scores were calculated between each focal female and all her putatively

available extra-pair males (all males with a territory within a 60 m radius of the nest). This

data was then used to calculate the percentile of the MHC dissimilarity of the SP-EPY and

EGP within the distribution of the MHC dissimilarity offered by the surrounding males. For

example, if the percentile of the SP-EPY was 0.2, then the MHC dissimilarity of the SP-EPY

was lower than 80% of the potential pairings between the focal female and the other

surrounding males. The difference between the percentile of the observed pairs MHC

dissimilarity scores and that of the median (*i.e.*, 0.5) was tested using GLMMs. The response

variable was MHC dissimilarity with the observed percentile (either SP-EPY or EGP) or

median treated as a two-level fixed factor and pair included as a random effect.

All analyses were conducted in R version 4.1.1 [36]

Data availability

Genbank accession numbers are pending.

Results

MHC-I dissimilarity (functional distance) was calculated for three different pair types: social

pairs with extra-pair young (**SP-EPY**, N = 35 pairs); extra genetic pairs (**EGP**, N=21

occurrences) and social pairs with only within-pair young (**SP-WPY**, i.e. pairs without EPY

in the nest, N = 106 pairs). The mean MHC dissimilarity within each of the three pair types

was tested against what would be expected from random mating and the only group with

MHC dissimilarity scores outside what would be expected from random mating were the SP-

EPY, which had a significantly lower mean MHC dissimilarity score than would be expected

by chance from 35 pairs (Fig. 1).

The randomisation test suggests that SP-EPY are more similar than expected under random

mating. However, there was no overall significant difference in the MHC dissimilarity of the

three different pair types (SP-EPY, SP-EPY and EGP, Fig. 2, $\chi^2_{2, 158}$ = 3.75, P = 0.15).

Though, there was a trend for SP-EPY to have lower MHC dissimilarity than the

corresponding EGP in the cases where the EGP could be identified (Fig. 2, $\chi^2_{1, 39}$ = 3.09, P =

0.08). Moreover, after removing an outlying data point with extremely high MHC

dissimilarity from SP-EPY and its corresponding EGP, this difference became statistically

significant ($\chi^2_{1, 36}$ = 6.04, P = 0.01).

Both the randomisation tests and the analyses comparing the pairwise MHC dissimilarity of

different pair types suggest that SP-EPY have lower MHC dissimilarity than other pair types.

To analyse this finding further, we compared the MHC dissimilarity of SP-EPY and the EGP

with all available extra-pair males within a 60 m radius of SP-EPY nests where the EGP was

known. The MHC dissimilarity of SP-EPY was significantly lower than the median MHC

dissimilarity of the female if paired with any of the other surrounding males (Fig. 3A, $\chi^2_{1, 37}$

= 5.06, P = 0.02), whereas no such difference was evident between EGP and the female if

paired with any of the other surrounding males (Fig. 3B, $\chi^2_{1,37}$ = 2.03, P = 0.15).

Furthermore, there was no evidence that females in SP-EPY selected the males in their EGP

in a non-random fashion, given the potential MHC dissimilarity represented by the

surrounding males (Fig. S2).

Discussion

Overall, there is evidence that female reed warblers in social pairs with low MHC

dissimilarity achieve higher MHC diversity in their chicks by engaging in extra-pair matings,

but that these extra-pair matings seem to occur at random with respect to MHC diversity.

We found that reed warblers pairs with extra-pair young in their clutches (social-pairs with

extra-pair young, SP-EPY) had significantly lower MHC-dissimilarity than expected from

random pairing. Furthermore, the MHC dissimilarity tended to be higher between females

and their extra-pair males (extra-genetic pairs, EGP) than between females and their SP-EPY

male. Therefore, high MHC similarity within social pairs is associated with paternity loss in

this population. Females in pairs with low MHC dissimilarity achieve improved MHC

compatibility through extra-pair mating, i.e., they obtained higher MHC dissimilarity, and

our data suggest that this could be achieved through random extra-pair mating with

surrounding territorial males.

The high frequency of nests with EPY in reed warblers (up to 33%) suggests that extra-pair

mating is very common in this population [25]. If most females engage in extra-pair matings,

then these copulations appear to result in extra-pair offspring more frequently when the MHC

dissimilarity of the social pair is low. In such a scenario, post-copulatory mechanisms (*e.g.,*

cryptic female choice or sperm competition) could select for successful fertilization by the

extra-pair male when the social male has a low degree of MHC dissimilarity with the female

[37]. There is evidence for post-copulatory MHC-based mate choice in birds: an

experimental study in domestic chickens showed that MHC-I dissimilarity predicted

fertilisation success [38]. Moreover, MHC-dissimilarity has been shown to influence

fertilisation success in Chinook salmon *Oncorhynchus tshawytscha* [1], mice [12,39] and

even humans [40,41]. The observation in our reed warbler study that the EGP and the social-

pairs with only within-pair young (SP-WPY) have random MHC dissimilarity whereas social

pairs with extra-pair young (SY (SP-EPY) have lower MHC dissimilarity, supports the

concept that MHC dissimilarity may play a role in fertilization success.

The pattern of higher MHC dissimilarity between EGP than SP-EPY raises the question of

whether there is active choice for MHC dissimilar males for extra-pair copulations. However,

comparisons between the MHC dissimilarity of EGP and the focal female with the

surrounding putatively available males suggests this is not the case in our study of reed

warblers. There was no significant evidence that the MHC dissimilarity of the EGP was in

the upper range of the MHC dissimilarity that could potentially have been achieved by the

female if she copulated with a different locally available male. Furthermore, there was no

evidence of non-random pairing with the EGP with respect to the MHC dissimilarity of

surrounding males. A lack of active choice for MHC dissimilar males when engaging in

extra-pair copulations is consistent with our observation that extra-pair mating is extremely

time-constrained in reed warblers, since the social male mate-guards the female (Halupka et

al, unpubl.). Extra-pair mating may therefore be somewhat opportunistic and lack discerning

choice. Indeed, given that the MHC dissimilarity of the SP-EPY was significantly below the

median MHC dissimilarity of the focal female with other surrounding territorial males, it is

likely that most locally available males represent an improved match in terms of MHC

dissimilarity compared to the social male. Therefore, even extra-pair mating at random is

likely to result in an improved match in terms of MHC dissimilarity, which could potentially

improve the MHC repertoire of the female's offspring.

Given that extra-pair matings in reed warblers are common, our results raise the intriguing

possibility that reed warbler females who are in a social pair with poor MHC compatibility

improve the MHC repertoire of her offspring through random extra-pair mating. Whilst

previous work has suggested that extra-pair mating may be a mechanism by which the MHC

repertoire of offspring is improved in passerine birds [14,27,28], ours is the first study, to our

knowledge, to demonstrate that this could effectively be achieved through extra-pair mating

occurring at random. When MHC compatibility with the social mate is poor, it may be the

case that any other male represents a better genetic prospect to the female.

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437

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Author contributions

LH designed the project, performed the fieldwork and MHC lab analyses, prepared the data

for the statistical analyses, and contributed to the interpretation of the data. EO performed the

statistical analyses and contributed to the interpretation of the data. MS performed the

functional analyses of the MHC data and contributed to the interpretation of the data. HS

performed fieldwork and microsatellite analyses. EK performed the fieldwork. DH

contributed to the interpretation of the data. HW helped to plan the project, supervised the

project, contributed to the statistical analyses and to the interpretation of the data, all co-

authors contributed to writing of the article.

Competing financial interests

The authors declare that they have no financial competing interests.

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Figures

a)

b)

c)

Figure 1: Distribution of 10000 mean MHC dissimilarity scores calculated from randomly
selected groups of pairs using all MHC genotyped individuals in the population (128 females
and 127 males). Group sizes were matched for the sample size of the comparison dataset: (**a**)
106 pairs for the social pairs with only within-pair young SP-WPY, (**b**) 35 pairs for the social
pairs with extra-pair young SP-EPY and (**c**) 21 pairs for extra genetic pairs EGP. Dark grey
dashed lines depict the lower 2.5% and upper 97.5% of the data in both figures (95%
confidence intervals). The mean MHC dissimilarity for the SP-WPY, SP-EPY and EGP are
depicted by the blue, orange and red dashed lines, respectively.

a)

b)

Figure 2: MHC dissimilarity scores between different pair types. **a)** Violin plot of the MHC
dissimilarity between social pairs with extra-pair young (SP-EPY, n = 35), females with
extra-pair young and their known extra-pair male (extra genetic pair, EGP, n = 21) and social
pairs with only within-pair young in their nest (SP-WPY, n = 106). Boxplots within the
violins (central line = median, whiskers = 1.5 times the interquartile range of the data, black
dots = outliers). **b)** Comparison of the MHC dissimilarity between SP-EPY where the extra-
pair male is known (n = 18) and known EGPs (n = 21). Lines link the female with the
corresponding extra-pair males.

a)

b)

Figure 3: The percentile of the MHC dissimilarity of (**a**) social pairs with extra-pair young

SP-EPY and (**b**) extra genetic pairs EGP within the distribution of MHC dissimilarity

between the focal female and all potentially available extra-pair males within a 60m radius of

her nest. Dark grey dashed line denotes the median.

509