**Dietary phenolics and their microbial metabolites are poor inhibitors of trimethylamine**

**oxidation to trimethylamine N-oxide by hepatic flavin monooxygenase 3**

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**Running title:** chlorogenic acid does not inhibit FMO3 *in vitro*

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**Keywords:** Atherosclerosis; Cardiovascular disease; FMO3; Trimathylamine; Trimathylamine

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**ABSTRACT**

High circulating levels of trimethylamine N-oxide (TMAO) are a risk factor for development of cardiovascular disease. TMAO is formed through a microbiome-host pathway utilizing primarily dietary choline as a substrate. Specific gut microbiota transform choline into trimethylamine (TMA), and, when absorbed, host hepatic flavin-containing monooxygenase 3 (FMO3) oxidizes TMA into TMAO. Chlorogenic acid and its metabolites reduce microbial TMA production *in vitro*. However, little is known regarding the potential for chlorogenic acid and its bioavailable metabolites to inhibit the last step: hepatic conversion of TMA to TMAO. We developed a screening methodology to study FMO3-catalyzed production of TMAO from TMA. HepG2 cells were unable to oxidize TMA into TMAO due to their lack of FMO3 expression. Although Hepa-1 cells did express FMO3 when pre-treated with TMA and NADPH, they lacked enzymatic activity to produce TMAO. Rat hepatic microsomes contained active FMO3. Optimal reaction conditions were: 50 μM TMA, 0.2 mM NADPH and 33 μL microsomes/mL reaction. Methimazole (a known FMO3 inhibitor) at 200 μM effectively reduced FMO3-catalyzed conversion of TMA to TMAO. However, bioavailable chlorogenic acid metabolites did not generally inhibit FMO3 at physiological (1 μM) nor supra-physiological (50 μM) doses. Thus, the effects of chlorogenic acid in regulating TMAO levels *in vivo* are unlikely to occur through direct FMO3 enzyme inhibition. Potential effects on FMO3 expression remain unknown. Intestinal inhibition of TMA production and/or absorption are thus likely their primary mechanisms of action.

**Keywords:** Atherosclerosis; Cardiovascular disease; FMO3; Trimathylamine; Trimathylamine N-oxid

## 1. Introduction:

Cardiovascular disease (CVD) is one of the major causes of death worldwide [1]. A key factor in the development of CVD, cardiovascular risk and mortality is atherosclerosis [2]. In recent years, trimethylamine *N*-oxide (TMAO) has emerged as a novel, relevant biomarker and risk factor for the development of atherosclerosis, CVD and cardiovascular mortality [2–4]. Thus, controlling circulating levels of TMAO could have a significant benefit for public health.

TMAO is produced through a gut microbiota-host metabolic axis. First, choline is converted into trimethylamine (TMA) by specific gut bacteria with the choline utilization gene cluster *cutC/D*, encoding for TMA-lyases [5,6]. Other quaternary amines, such as L-carnitine and betaine can be converted into TMA by different enzymes as well [2,5,7]. Once TMA is formed in the gut, it can be absorbed by the host and reach the liver where flavin-containing monooxygenases (FMOs), particularly FMO3, oxidize it into TMAO [8–10]. Once in circulation, TMAO exerts several biological effects that ultimately contribute to atherosclerosis development and a higher risk of cardiovascular mortality [2,7,11].

Plant phytochemicals, and phenolic compounds in particular, have broadly been studied for their cardioprotective effects [12–14]. However, the mechanisms of this protection remain incompletely understood. In recent years, the ability of phenolics to modulate production of TMA and/or TMAO has emerged as another potential cardioprotective mechanism of action [7]. To explore this possibility, we recently screened and identified several phenolic compounds able to inhibit TMA production in an *ex vivo-in vitro* anaerobic colonic microbiota model [15,16]. One of the most potent inhibitors of TMA production in our model is chlorogenic acid. Further research performed by us and other authors also suggests the potential of chlorogenic acid for the modulation of circulating TMAO levels [15,17,18].

Native phenolics from the diet are generally poorly bioavailable, and a large percentage of the ingested dose reaches the colon where it is subjected to gut microbiota fermentation [19,20]. This makes the gut and gut microbiota an appealing target to study the role of phenolics as inhibitors of TMAO production via inhibiting choline metabolism to TMA by the microbiota[7]. However, a percentage of native phenolics and their gut-derived phenolic metabolites can also be absorbed by the host, and reach both the liver and systemic circulation [19,20]. Thus, inhibiting conversion of TMA to TMAO by hepatic FMO3 may also be a complementary mechanism for reducing TMAO producton *in vivo*. In some cases, the bioavailability of gut microbiota-metabolites is much higher

than the native compounds [21]. Of note, gut microbiota-derived phenolic metabolites have shown
relevant bioactivities in various peripheral tissue models [21–23]. Furthermore, different
phytochemicals have been shown to regulate circulating TMAO levels by reducing the gene
expression and/or activity of FMO3 [24–29]. Thus, the aim of this study was to evaluate if
bioavailable phenolic metabolites are able to inhibit the production of TMAO in the liver via direct
inhibition of FMO3. To achieve this, three different *in vitro* screening models were developed and
evaluated: human hepatic cells (HepG2), mouse hepatic cells (Hepa-1), and rat hepatic
microsomes.

## 2.  Materials and methods:

### 2.1. Chemicals and reagents:

Ammonium formate, ammonia, ethyl bromoacetate, TMA, TMA-$^{13}C_3$-$^{15}N$, TMAO, TMAO-$d_9$, 3-
(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT reagent), DMSO, NADPH,
hepatic microsomes from female Sprague-Dawley rats, quinic acid, chlorogenic acid, caffeic acid,
ferulic acid, isoferulic acid, dihydrocaffeic acid, dihydroferulic acid, dihydroisoferulic acid, *p*-
coumaric acid, *m*-coumaric acid and methzimazole (MTM) were purchased from Sigma-
Aldrich/Millipore (St. Louis, MO, USA). Acetonitrile and water (HPLC grade) were purchased from
VWR International (Suwanee, GA, USA). HepG2 and Hepa-1c1c7 (Hepa-1) cells were purchased
from ATCC (Manassas, VA, USA). Dulbecco's Modified Essential Media (DMEM), nucleoside-
free α-minimal essential medium (MEM-α), non-essential amino acids (NEAA), penicillin,
streptomycin and gentamicin were purchased from Lonza (Morristown, NJ, USA). Heat-
inactivated fetal bovine serum (FBS) was purchased from R&D systems (Minneapolis, MN, USA).
HEPES was purchased from Fisher Scientific (Waltham, MA, USA)

### 2.2. General cell culture:

HepG2 (human hepatocyte) cells were cultured in growth media consisting of DMEM, 10 % FBS,
0.5 % penicillin/streptomycin, 0.1 % gentamicin, and 1 % NEAA. Cells were maintained at
incubator conditions of 37 °C in a 5 % $CO_2$/air with 90 % humidity. Growth medium was changed
every 2 days, and cells were sub-cultivated 1 – 2 times a week. Subcultivation and treatments
were only performed to cells that reached > 80 % confluence. Hepa-1 cell culture followed the
same procedure, but grown in MEM-α with 10 % FBS, 1% HEPES, 0.5 % penicillin/streptomycin,
0.1 % gentamicin, and 1 % NEAA.

### 2.3. Screening method in human hepatic cells:

### 2.3.1. TMA toxicity in HepG2:

A first set of experiments was performed in HepG2 cells to evaluate potential TMA toxicity. 48 h prior to treatments, cells were seeded at $3.5 \times 10^5$ cells/mL in 24-well plates with 1 mL of growth media. The day of the experiment, growth media was removed and cells were washed once with pre-warmed PBS 1X. Cells were then treated with 950 µL DMEM and 50 µL TMA for final TMA concentrations of 10 – 200 µM. A control without TMA was achieved by treating cells with 950 µL DMEM and 50 µL PBS. Cells were exposed to experimental conditions for 10 h, and toxicity (loss of viability) was assessed via the MTT assay.

### 2.3.2. TMAO production in HepG2:

A second set of experiments was performed in HepG2 cells to evaluate their capacity to produce TMAO. 48 h prior to the treatments, cells were seeded as described above in 24-well plates. 24 h prior to treatment, cells were pre-treated with 100 µM TMA in growth media. The day of the experiment, media was removed and cells were washed once with pre-warmed PBS. Cells were then treated with ± 100 µM  TMA and ± NADPH (0 – 40 mM) in DMEM. Toxicity controls included TMA and NADPH-free conditions, and NADPH-free conditions. To assess spontaneous chemical (non-cellular) production of TMAO, cell-free controls with 100 µM TMA and 0 or 2 mM NADPH were included. At selected time points (0, 1.5, 3, 4.5, 6, 7.5, 9, 10.5 and 24 h), aliquots (75 µL) of treatment media were taken, immediately mixed with equal volume of acetonitrile and frozen until TMA and TMAO analyses. At the end of the kinetic study (24 h), all treatments (except cell-free controls) were subjected to cytotoxic evaluation by MTT assay.

### 2.4. Screening method in Hepa-1 cells:

Due to the lack of observed TMAO production in HepG2, we next investigated the potential of Hepa-1 (rat hepatocyte) cells as a alternative *in vitro* cell model for TMAO production due to their previously reported FMO activity [30]. 48 h prior to the treatments, cells were seeded as described above in 24-well plates. 24 h prior to treatment, cells were pre-treated with 100 µM TMA in growth media. The day of the experiment, media was removed and cells were washed once with pre-warmed PBS. Cells were then treated TMA (0 – 100 µM) and NADPH ( 0.0 – 2 mM) in MEM-α or complete growth media. A batch of experiments with the same TMA and NADPH treatments concentrations were performed in Hepa-1 cells, but without TMA pretreatment. None of these non-pretreatment conditions reported TMAO production (data not shown). At time 0 h and 24 h aliquots (100 µL) of treatment media were taken, immediately mixed with an equal volume of acetonitrile and frozen until TMA and TMAO analyses.

### *2.5. Toxicity assessment in cell lines:*

Potential cytotoxic effects of treatments on cells were evaluated through the MTT assay [15]. At the end of the kinetic studies, remaining treatment media was removed and cells washed with 1 mL PBS. Cells were then treated with 200 µL DMEM (HepG2) or MEM-α (Hepa-1) supplemented with 0.5 mg/mL MTT reagent. After incubation at 37 °C for 20 min, media was aspirated and 1 mL DMSO was added and shaken until reduced MTT crystals were solubilized. An aliquot of 100 µL was read at 560 nm in a SpecraMax iD3 plate reader (Molecular Devices, San Jose, CA, USA). Results were relativized to control conditions, and expressed as a percentage of change against control (untreated cells) ± SEM (*n*=3).

### 2.6. Screening method in microsomes:

A rat microsome-based screening method was developed to evaluate the potential of bioavailable phenolics and their microbial metabolites to inhibit FMO3-catalyzed TMAO formation. Microsome assays were conducted in phosphate buffer (pH 7.4) in a water bath at 37 °C. Different concentrations of microsomes (0 – 200 µL/mL reaction), TMA (0 – 50 µM) and NADPH (0 – 4 mM), and reaction times (0-45 min) were studied to optimize the assay. Further, MTM (a known FMO3 enzyme inhibitor) was evaluated 0 – 1 mM. Quinic acid, chlorogenic acid, caffeic acid, ferulic acid, isoferulic acid, dihydrocaffeic acid, dihydroferulic acid, dihydroisoferulic acid, *p*-coumaric acid and *m*-coumaric acid were used as representative bioavailable metabolites of chlorogenic acid [14] and evaluated as TMAO production inhibitors at a physiologically relevant dose (1 µM), as well as a pharmacological dose (50 µM). At selected timepoints (0 – 45 min), reaction aliquots (25 – 50 µL) were collected and mixed with ice-cold acetonitrile. Then, samples were filtered through a AcroprepAdv 0.2 µm WWPTFE 96-well filtering plates (Pall Corporation, Port Washington, NY, USA) by centrifugation (10 min, 3,400 x g), collected in a fresh 96-well collection plate and frozen at –80 °C until extraction/derivatization with an OT-2 liquid handler robot (Opentrons, NY, USA).

### 2.7. Extraction and quantification of TMA and TMAO.

Extraction and quantification of TMA and TMAO from cell culture and microsome reaction supernatants were carried out as described by Iglesias-Carres *et al*. [15]. To extract TMAO, 25 µL of sample:acetonitrile mixture (50:50; v:v) were mixed with 10 µL of $ZnSO_4$ (5 % w/v in water), 100 µL acetonitrile and 20 µL TMAO-$d_9$ [internal standard (IS); 5 µM] in 96-well plates. After sonication for 5 min in a water bath, samples were filtered through AcroprepAdv 0.2 µm WWPTFE

96-well filtering plates (Pall Corporation, Port Washington, NY, USA) by centrifugation (10 min,

3,400 x g), collected in a fresh 96-well collection plate and frozen at –80 °C until UHPLC-MS/MS

analysis. TMA requires derivatization to ethyl betaine to facilitate LC-MS/MS ionization. Briefly,

25 µL of cell supernatant:acetonitrile (50:50; v:v)  were mixed with 20 µL of TMA-$^{13}C_3$-$^{15}N$ IS

solution (5 µM), 8 µL concentrated ammonia and 120 µL ethyl bromoacetate (20 mg/mL), and let

sit for 30 min. Then, 120 µL 50 % acetonitrile/0.025 % formic acid in distilled water were added.

Samples were then filtered and stored as described above. Sample and reagent plating were

performed using an OT-2 liquid handler (Opentrons, NY, USA).

After extraction, analytes were analyzed via UPLC-ES-MS/MS. TMAO and TMA were analyzed

separately, but with the same UHPLC-ESI-MS/MS method employing distinct MS/MS transitions.

Briefly, separation was achieved on a Waters Acquity UPLC (Milford, MA, USA) with an ACQUITY

BHE HILIC column (1.7 µm, 2.1x100 mm) coupled to an ACQUITY BHE HILIC pre-column (1.7

µm, 2.1x5 mm). Mobile phases were 5 mM ammonium formate in water (pH 3.5) (A) and

acetonitrile (B). The gradient was isocratic (80 % B) for 2 min, with a flow rate of 0.65 mL/min.

Colum temperature was 30 °C, and autosampler at 10 °C. Quantification was achieved by

coupling UPLC with a Waters Acquity triple quadrupole mass spectrometer. Source and capillary

temperatures were 150 and 400 °C, respectively. Capillary voltage was 0.60 kV, and desolvation

and cone gas flows (both $N_2$) were 800 and 20 L/h, respectively. Electrospray ionization (ESI)

was operated in positive mode, and data were acquired by multiple reaction monitoring (MRM) in

MS/MS mode. Multi-reaction monitoring (MRM) fragmentation conditions of analytes and IS

compounds can be found in **Table S1**.

For quantification, HPLC water was spiked with 0 – 200 µM TMA and TMAO standards to obtain

external calibration curves. Samples were quantified by interpolating analyte/IS peak abundance

ratios in the standard curves. Data acquisition was carried out using Masslynx software (V4.1

version, Waters). Method sensitivity was determined by limit of detection (LOD) and limit of

quantification (LOQ), respectively defined as the concentration of analyte corresponding to 3 and

10 times the signal/noise ratio. LOD and LOQ show the lowest concentration required to be

injected (5 µL) for analyte detection and quantification. Method detection limit (MDL) and method

quantification limits (MQL), respectively defined as the concentration of analyte corresponding to

3 and 10 times the signal/noise ratio, represent the minimum concentration of analytes in cell

supernatant samples to be detected and quantified. Working linearity range concentrations also

refer to concentrations of standards on the volumes added to the extraction/derivatization
procedure. These method quality parameters can be found in **Table S2**.

**2.8. FMO3 quantification:**

FMO3 protein content/presence was evaluated in HepG2, Hepa-1 and rat microsomes. HepG2
were cultured as described above in T25 flasks with or without TMA (50 μM) and NADPH (0.2
mM) for 24 h in triplicates. Hepa-1 followed the same treatments, but with complete media instead
of DMEM. Cells were washed twice with PBS, scraped off in 3mL of PBS and collected in 15mL
centrifuge tubes. Cells were then centrifuged (5 min, 800 rpm) andreconstituted in 1 mL fresh
PBS. Cells were washed twice with 5 mL PBS by centrifgugation and resuspension, and
reconstituted in 1 mL fresh PBS. Cell suspensions and microsomes were lysed with1:1 (v:v) RIPA
with 5 min sonication in a water bath, centrifuged (10 min, 3,400 x g, 4 °C) and supernantants
collected and frozen at – 80 °C until analyses. FMO3 protein content was evaluated by species-
specific ELISAs (MyBioSource, San Diego, CA, USA)  per kit instructions: mouse-specific FMO3
(kit MBS9327471) for Hepa-1 cells, rat-specific FMO3 (kit MBS9901107) for rat microsomes, and
human-specific FMO3 (MBS9901102) for HepG2 cells. Pierce BCA protein assay kit (Thermo
Scientific, Rockford, IL, USA) was used for total protein quantification of homogenates used for
ELISAs. Results were expressed as μg FMO3/mg protein ± SEM ($n$=3).

**2.9. Statistics.**

Prism 8.0 (GraphPad, La Jolla, CA, USA) was used for statistical analyses and graphing. In all
cases, statistical significance was defined *a prioi* as $p$<0.05. Specific statistical tests employed
varied based on experimental design, and are stated in the caption for each graph.

**3.  Results:**

**3.1. TMA and NADPH toxicity on HepG2 cells.**

We first evaluated if TMA concentrations between 10 – 200 μM were toxic to HepG2 cells in order
to determine the maximum concentration that could be used in the assay while maintaining viable
cells. No significant changes in cell respiration (MTT) were reported between concentrations after
10 h TMA exposure (Fig. 1A), suggesting TMA concentrations up to 200 μM are not toxic to
HepG2 cells. Next, HepG2, cells were pre-treated with 100 μM TMA 24 h prior to treatment with
100 μM TMA and a range of NADPH concentrations (**Fig. 1B**). Despite a few statistically
significant  differences, co-treatment with TMA 100 μM and different concentrations of NADPH in
HepG2 did not change cell respiration more than 20% compared to untreated cells. Our results

show an inverse "U-shaped" or hormetic effect of NADPH on cell respiration, suggesting that an
optimal viability of cells is obtained at NADPH concentrations of 0.2 mM when co-treated with
TMA 100 µM.
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**3.2. TMA stability and lack of TMAO production in HepG2 culture:**
To eliminate the possibility that TMAO was produced by a non-cell catalyzed reaction in our assay,
different conditions were evaluated (**Fig. 2A**). In cell-free conditions (red bars) with 100 µM TMA
and 2 mM NADPH (highest concentration tested), TMA was stable and its concentrations did not
decrease over time. Similar results were reported when neither HepG2 cells nor NADPH were
present in the mixture (blue bar). TMAO was not detected in any of the cell-free conditions. When
HepG2 were treated for 24 with 100 µM TMA and 2 mM NADPH, TMA levels still did not decrease
(black bars), nor was TMAO detected. The full 24 h kinetic plot of TMA in these epxerimental
conditions can be found in the form of absolute values in **Figure S1A**, and in the form of
percentage against control in **Figure S1B**. This lack of production of TMAO (data not shown) and
degradation/use of TMA (**Fig. 2B**) was also reported in HepG2 cells co-treated with TMA 100 µM
and NADPH 0 - 2 mM. The full 24 h kinetic plot of TMA in these epxerimental conditions can be
found in the form of absolute values in **Figure S2A**, and in the form of percentage against control
in **Figure S2B**. Additionally, TMA was also not produced in our first trial (no pre-treatment with
TMA nor co-treatment with NADPH) with HepG2 over 10 h (data not shown). Overall, our results
show that TMA is not spontaneously chemically degraded nor converted into TMAO in our
experimental design in the absence of cells, and that HepG2 are also not capable of transforming
TMA into TMAO (likely due to lack of FMO3).
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280

**3.3. Lack of TMAO production in Hepa-1 cell cultures:**
Given the lack of TMAO production in human HepG2 cells, we next evaluated mouse Hepa-1
cells as another potential TMAO-producing cell model due to previously reported FMO activity of
this cell line towards other FMO substrates [30]. The lack of toxicity  and TMA degradation in the
HepG2 assays was used to design the approach in Hepa-1 cells. Hepa-1 cells were pre-treated
with 100 µM TMA for 24 h before the kinetic experiment to promote FMO3 gene expression and
activity. The initial (0h) and final (24h) concnetrations of TMA on pre-treated Hepa-1 cells co-
exposed to 10 – 100 µM TMA and 1 mM NADPH were fairly constant (**Fig. 3A**), while TMAO was
not detected at 0 or 24 h. Of note, background (no TMA and no NADPH) as well as 0 µM TMA
treatments resulted in initial and final TMA concentrations around 7 µM and 11 µM, respectively.

This is most likely due to remaining TMA from pre-treatment. Simmilarly, pre-treated Hepa-1 cells

co-exposed to 0.2 – 2 mM NADPH and 50 µM TMA reported a slight decrease of TMA over 24h,

and a lack of production of TMAO (**Fig. 3B**). In this case, background (no TMA and no NADPH)

was the same condition as before, and NADPH 0 mM reported a similar TMA behaviour as +

NADPH conditions. Overall, our results indicate that TMA is not being metabolized into TMAO by

Hepa-1 cells within 24 h. All these conditions were performed in MEM-α media. We then evaluated

if the use of complete growth media would substantially alter TMAO production. **Figure 3C** shows

that Hepa-1 cells pre-treated and non-pretreated with TMA are unable to metabolize TMA into

TMAO when co-cultured with TMA 50 µM and NADPH 1 mM for 24h. Although cell toxicity (MTT)

data is not reported, cells cultured with complete media reported a higher mitochondrial

respiration of those cultured with MEM-α, regardless of pre-treatment or non-pretreatmet with

TMA. Taken together, our data shows that Hepa-1 cells are also not an appropriate model in

which to study TMAO formation.

### 3.4. Validation of microsomal production of TMAO:

After ruling out HepG2 and Hepa-1 cell lines, we evaluated rat liver microsomes as a potential

model. Microsomes from female rats were selected based on previous findings that female rats

typically have greater FMO3 expression/activity compared to male rats and either sex of mouse

[31–34]. To validate our method, we again evaluated if TMA was stable in our experimental

conditions and that it was not oxidized into TMAO by a non-enzymatic catalyzed reaction. To do

so, we performed reactions with or without microsomes (100 µL/mL), TMA (10 µM) and/or NADPH

(2 mM), as well as 2X these concentrations. Samples were collected at 15 and 30 min (**Fig. 4**).

Our results show that the buffer does not contain TMA, and TMAO is not formed in the absence

of microsomes. Similarly, microsomes (background, no TMA added) do not supply TMA, and thus

TMAO is not formed. This shows that all TMA in our assay is exogenously added, and that all

TMAO formed comes from exogenously-added TMA via the microsomnes. We also showed that

TMAO is only formed when microsomes and TMA are incubated with NADPH. When no NADPH

is added, TMA remains stable and TMAO is not produced. Thus, microsomes, TMA and NADPH

are all required factors in the assay. Our data also shows that the reaction is fast: at 15 min all

TMA is consumed and converted into TMAO. This is also true when 2 X NADPH (4 mM) and 2 x

microsomes (200 µL/mL) are added to the mixture. Finally, our data also demonstrates that

NADPH does not spontaneously oxidize TMA into TMAO, and that microsomes are required for

the formation of TMAO. Overall, this preliminary assay suggests that 1) rat liver microsomes are

a valid model for TMA coinversion to TMAO, and 2) there is no TMA and TMAO contribution of

the microsomes and other reagents of the assay; 3) TMAO is only formed when TMA, NADPH

and microsomes are co-incubated; and 4) lower concentrations of NADPH and microsomes

should be used in further studies in order to capture the kinetics of this reaction.

Once our rat liver microsome method was validated as a model of TMA conversion to TMAO, we

sought to define appropriate TMA concentrations and characterize the kinetics of the microsomal

reaction. Due to the results of our preliminary assay, reactions were conducted at a final

concentration of 33 µL/mL microsomes, with 1 mM NADPH. TMA was tested at 0, 20 and 50 µM

(**Fig. 5**). Although the quantified concentrations were higher than anticipated based on

calculations, our data shows that adding 20 µM TMA (quantified at 29.01 µM at 1 min) was almost

completely consumed by 30 min, while when adding 50 µM TMA (quantified at 64.94 µM at 1 min)

a significant amount of TMA was still present at 30 min (28.32 µM). Thus, adding 20 µM TMA was

selected for further studies. Overall, our selected conditions were 33 µL/mL microsomes, 1 mM

NADPH and 20 µM TMA.

Finally, we evaluated the inhibitory potential of MTM, a known FMO3 competitive inhibitor, in our

microsome system. MTM was tested at 20 – 1000 µM, while other experimental conditions were

fixed at 20 µM TMA, 1 mM NADPH and 33 µL/mL microsomes. A background (no TMA and no

MTM) condition was included too. Our results corroborated that MTM at concentrations ≥ 50 µM

were able to inhibit TMA use and TMAO production ($p<0.05$ by Two-Way ANOVA, main effects:

treatment, time; effect of treatment assessed by Tukey's *post hoc* test) compared to inhibitor-free

conditions (**Fig. 6**). However, when Sidak's *post hoc* test was applied to evaluate the differences

at individual time points between inhibitor-free and MTM-supplemented conditions, only

concentrations ≥ 200 µM MTM reported relevant statistically-significant changes (**Fig. S3**). Thus,

MTM 200 µM was selected as a positive control for further experiments.

**3.5. Chlorogenic acid and related compounds do not inhibit FMO3 activity**

Once our TMAO inhibition assay method was defined and MTM optimized, we next screened for

FMO3 inhibitory effects of different chlorogenic acid-related molecules at a physiologically

relevant dose of 1 µM. Overall, few of the selected compounds significantly inhibitted TMA use

and TMAO production. No treatment other than MTM produced a kinetic curve of TMA use

statistically different (overall main effect of treatment by Two-Way ANOVA) from the inhibitor-free

control (**Fig. 7A**). The metabolite dihydrocaffeic acid (DHC) was the only compound besides MTM

to present a TMAO kinetic curve statistically different from the control (overall main effect of

treatment by Two-Way ANOVA, **Fig. 7B**). However, when the AUCs of TMA were analyzed (reflecting total TMA use over time), isoferulic and *p*-coumaric acids (along with MTM) reported statistically significant TMA increases (i.e reduced TMA use) compared to control conditions, suggesting that they inhibited TMA use (**Fig. 7C**). Nevertheless, only DHC (along with MTM) was able to statistically reduce the AUC of TMAO, which agrees with the effects observed in the kinetic curves (**Fig. 7D**). Of note, quinic acid, isoferulic and DHC statistically reduced TMAO percentage against control conditions, while no compound did the same for TMA percentage (**Fig. S4**).

In order to determine whether higher concentrations would produce inhibition, we evaluated a supraphysiological (pharmacological) dose of 50 µM only obtainable by injection. No compound inhibited the use of TMA at 50 µM. Rather, *p*-coumaric acid and *m*-coumaric acid actually increase production of TMAO , expressed as percentage of change against control conditions (**Fig. S5**). Overall, our data suggests that chlorogenic acid and its related compounds generally are likely to exert a small or no inhibitory effects on TMA conversion to TMAO by FMO3.

### 3.6. FMO3 levels in HepG2, Hepa-1 and rat microsomes

Finally, to further validate our results, levels of FMO3 in the models used were evaluated using species-specific FMO3 ELISA kits (**Fig. 8**). Of note, HepG2 cells, either treated with or without TMA 50 µM and NADPH 0.2 mM, did not report any levels of FMO3 detectable by human FMO3-specific ELISA. On the other hand, Hepa-1 cells reported high levels of FMO3 detectable by the mouse FMO3-specific ELISA after pre-treatment with TMA 50 µM and NADPH 0.2 mM, but their levels were not detected without this pre-treatment. The lack of FMO3 content in HepG2 aligns with the lack of TMAO formation in that model. Interestingly, much greater levels of FMO3 were detected in mouse Hepa-1 cells compared to rat microsomes, although Hepa-1 were unable to produce TMAO and microsomes showed great efficiency to do so.

### 4. Discussion:

Cardiovascular disease-related deaths account for 31% of all deaths worldwide [1]. Recently, increased circulating levels of TMAO were shown to promote atherosclerosis development and identified as a risk factor for CVD [2–4]. There is a need for complementary strategies to reduce cardiovascular dicsease burden worldwide. Several phytochemicals, including phenolic compounds, have been shown to inhibit TMAO production through targeting the gut microbiota [7]. We have recently identified several phenolic compounds, such as chlorogenic acid and epicatechin, as potential bioactive compounds to manage TMAO levels by inhibiting microbial

production of TMA [15–17]. However, TMA conversion to TMAO is the second step in this
metabolic pathway, and it is possible that these compounds could achieve their cardioprotective
effects by inhibiting the catalytic activity of FMO3, as reported in previous studies of other
phytochemicals [7]. We thus aimed to develop an *in vitro* screening method to evaluate the
potential of bioavailable phenolic metabolites to inhibit FMO3-catalyzed conversion of TMA to
TMAO in the liver. To achieve this, we employed human hepatic cells (HepG2), mouse hepatic
cells (Hepa-1), and rat hepatic microsomes.
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Firstly, we tried to develop a TMAO inhibition method through the use of HepG2, a convenient
and widely used hepatocyte human cell model. HepG2 is an immortalized, commercially-available
hepatic cell line isolated from a hepatocellular carcinoma from a 15-year-old white male [35]. Our
results showed that concentrations of TMA as high as 200 µM were not toxic to HepG2 cells (**Fig.
1A**). However, HepG2 cells were unable to transform TMA into TMAO. In order to attempt to
stimulate the production of TMAO, we pre-treated HepG2 cells with 100 µM for 24 h. FMO3 is a
FAD- and a NADPH-dependent enzyme [9]. Thus, after pre-treatment, HepG2 cells were treated
with TMA 200 µM and a range of NADPH concentrations from 0 – 2 mM for 24 h. Pre-treatment
with TMA and co-treatment with TMA and NADPH was generally not toxic for HepG2 cells (**Fig.
1B**). FMOs are generally thought to be a non-inducible family of genes, although several
compounds can increase their gene expression but not their protein content and activity [36]. Pre-
treatment with TMA and co-treatment with TMA and NADPH was insufficient to promote HepG2
catalytic conversion of TMA into TMAO (**Fig. 2B**). Previous studies in HepG2 cells have reported
FMO activity against different substrates, but not TMA [37]. However, other authors have shown
that although the FMO3 gene is present in HepG2 cells, HepG2 cells are unable to express FMO3
protein [38,39].  When the levels of FMO3 were analysed in lysed cells, FMO3 was not detected
(**Fig. 8**). Although the ELISA kit used was not specific to cell clutures, our results agree with the
lack of expression of FMO3 reported by others in the literature. To our knowledge, this is the first
attempt to develop a FMO3 inhibition method using non-transfected hepatic cells. We have shown
that human-derived HepG2 cells are not a good model for our purposes, attributed to their lack of
FMO3 expression.
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Our second attempt to model FMO3 inhibition (enzme activity, gene expression and/or protein
content) was to reproduce our HepG2 approach  in a mouse-derived hepatic cell line: Hepa-1.
Hepa-1 cells, derived from *Mus musculus* hepatoma, have been previously shown to express the
FMO3 gene and possess activity against ethionamide [36]. Thus, Hepa-1 cells were selected to

further develop our screening method. Hepa-1 cells were pre-treated with 100 µM TMA for 24 h,

and then treated with different concentraions of TMA (0 – 100 µM) and NADPH (0 – 2 mM). Similar

to HepG2, Hepa-1 cells were unable to metabolize TMA into TMAO (**Fig. 3A-B**) in MEM-α.

Although cell respiration increased when cultured with complete growth media (data not shown),

these cells wee unable to produce TMAO when co-treated with TMA 50 µM and NADPH 1 mM

(**Fig. 3C**), regardless of pre-treatment with TMA or not. Interestingly, we reported that Hepa-1

cells do express significant levels of some form of FMO3 (detectable by mouse-specific FMO3

ELISA) when pre-treated with TMA 50 µM and NADPH 0.2 mM (**Fig. 8**), albeit with significant

inter-sample variability (3 indvidual cell culture flasks were grown up for this assay and analyzed

separately). However, this was apparently insufficient for production of TMAO, which indicates

that Hepa-1 cells are also not a good model to study FMO3 inhibiton. This was somewhat

unexpected, especially when compared to detected FMO3 levels in rat microsomes, an efficient

model of TMAO production as per our data. A relevant factor to consider is that our results are

relative to the protein content of our samples. Microsomes were much richer in total protein than

our Hepa-1 cell lysates (data not shown), and normalization to total protein thus lowered the

relative levels of FMO3 compared to Hepa-1 cell lysates. Furthermore, the ELISA kits used to

perform these assays were not specific for cells (or microsomes for that matter) and the variability

in detected mouse Fmo3 was high. Thus, FMO3 levels should not be directly compared between

these models. Rather, this data should be interpreted as a indicator of presence (or lack of) FMO3

in our models. Finally, is important to note that ELISA detection of a specific antigen and full

catalytic activity are not synonymous, and the Hepa-1 cells are not a useful model for studying

TMA conversion to TMAO despite high levels of ELISA-detectable FMO3.Overall, our results

suggest that although cultured Hepa-1 cells express some form f FMO3, this enzyme is not

capable of significant conversion of TMA to TMAO.

Overall, our results in immortalized and commercially-available human (HepG2) and mouse

(Hepa-1) cell lines highlight the lack of ability of these cell lines to produce TMAO. To our

knowledge, although other FMO3 and FMO substrates may be metabolized by these cell lines,

this is the first study to attempt to assess whether or not these cell lines are able to metabolize

TMA into TMAO. Potentially, primary hepatic cell cultures could be used to this aim, but not Hepa-

1 and HepG2 cells. Noteworthily, this suggests that the study of compounds that can inhibit FMO3

TMAO production should be conducted in other *in vitro* models (*i.e.,* hepatic microsomes) or *in*

*vivo*. While microsomes may provide insights into direct FMO3 enzme inhibitions of chlorogenic

acid derived compunds, other mechanisms of actions, such as effects on gene expression and/or

protein content regulation, may only be evaluated *in vivo* or in primary hepatocytes [7].

Finally, we aimed to develop a rat microsome screening method that would convert TMA to TMAO

and thus allow the identification of direct FMO3 enzyme inhibitors. In this case, female rat

microsomes presented a concentration of FMO3 of 0.29 ng FMO3/mg protein (**Fig. 8**), which was

much lower compared to that of Hepa-1 cells (27.32 ng FMO3/mg protein). Despite that, these

microsomes presented FMO3 activity (**Fig. 4**), which was not the case of Hepa-1. The FMO3

activity in microsomes allowed the development of our methodology. Our initial method validity

assays demonstrated that TMA and NADPH need to be present in microsome preparations for

TMAO to be formed. The absence of either or both results in a lack of TMAO production (**Fig. 4**).

Further, we demonstrated that TMA is stable under our experimental conditions and only oxidized

into TMAO with the presence of both microsomes and NADPH. By further optimizing TMA,

NADPH and microsomes concentration, we defined our optimized method conditions at a

concentration of 20 µM TMA, 33 µL/mL microsomes, 1 mM NADPH and 45 min reaction time

(**Fig. 5**). To compare the effects of tretaments (*i.e.,* bioavailable phenolic metabolites) to a positive

control (*i.e.,* known FMO3 enzyme inhibitor) we optimized the concentrations of MTM.  Results

showed that MTM at 200 µM achieved a TMAO production and TMA use inhibition (**Fig. 6** & **Fig.**

**S3**), and thus this concentration of MTM was used in further experiments.

Once our microsomes method was optimized, we evaluated the potential of bioavailable

chlorogenic acid metabolites as FMO3 inhibitors (**Fig. 7** & **Fig. S4**) at a physiologically-relevant

dose of 1 µM that can be achieved in circulation [14,40]. We selected quinic acid, chlorogenic

acid, caffeic acid, ferulic acid, isoferulic acid, dihydrocaffeic acid, dihydroferulic acid,

dihydroisoferulic acid, *p*-coumaric acid and *m*-coumaric acid. These compounds are structurally

related to chlorogenic acid (**Fig. S6**), and are formed during  digestion and/or microbial action on

chlorogenic acid. In humans, ~1/3 of the ingested dose of chlorogenic acid in foods can be

absorbed throughout the gastrointestinal tract in its intact, unmetabolized form. About 7% of the

ingested dose of chlorogenic acid can be hydrolized in the small intestine into caffeic acid

(phenolic moiety) and quinic acid (non-phenolic meoiety), and then absorbed. The remaining

chlorogenic acid can reach the colon, where it is fermented by the gut microbiota into

dihydrocaffeic acid (reduction of double bond in side chain) as well as smaller phenolic acid

derivates. Caffeic and dihydrocaffeic acids can also be absorbed and undergo phase-II

metabolism in the gut epithelia and liver  through the catalytic activity of catechol O-methyl

transferase (COMT). This generates ferulic and isoferulic acids (positional isomers), and its

reduced froms dihydroferulic and dihydroisoferulic acids [14]. Thus, these compounds could all

be found in circulation following chlorogenic acid consumption. After the consumption of a

decaffeinated green coffee beverage rich in chlorogenic acid and related compounds, the

maximum concentration in human plasma of the most bioavailable quantified compound were of

5.9 ± 4.2 µM chlorogenic acid, and of 0.4 ± 0.03 µM *p*-coumaric acid for the least bioavailable

quantified metabolite [40].

It is important to highlight that targeting FMO3 inhibition in humans should be conducted with

caution, as a strong inhibition of this enzyme could lead to trimethylaminuria or "fish-odor

syndrome" (increased levels of circulating TMA), which generates severe psychosocial problems

related to the excretion of TMA through sweat, breath and urine [41]. However, this is unlikely, as

consumption of phytochemicals has not been previously reported to cause trimethylaminuria.

Overall, bioavailable metabolites of chlorogenic acid did not have a dramatic effect on TMA use

or TMAO production. Rather, results were very subtle. The only compound able to modulate the

kinetic curve of TMAO formation was dihydrocaffeic acid, while none did that for the curve of TMA

(**Fig. 7A – B**). This effect was translated into a higher AUC of TMAO compared to control

conditions (**Fig. 7D**). Surprisingly, the AUC of TMA for reactions with 1 µM of isoferulic acid and

*p*-comaric acid was higher than control conditions, suggesting that these compounds prevented

TMA use, but not TMAO production. When results were epressed as percentage of change

against control conditions (**Fig. S4**), quinic acid (the non-phenolic part of chlorogenic acid), ferulic

acid and dihydrocaffeic acid were able to reduce TMAO % production against control conditions.

Our data suggests that dihydrocaffeic acid could play a small role in the inhibition of *in vivo* TMAO

formation of chlorogenic acid through a direct enzyme inhibition [18].

To further validate that bioavailable phenolic metabolites from chlorogenic acid do not or very

poorly inhibit FMO3 production of TMAO, the selected metabolites were tested in our assay at a

pharmacological dose of 50 µM (**Fig. S5**), impossible to achieve through the diet. Although this is

an approach with no relevance to dietary intake, it can be useful to determine whether or not these

compounds could potentially inhibit TMAO formation at any dose. A lack of bioactivity at these

high doses virtually guarantees the lack of bioactivity at physiologically-relevant doses. Our

results show that at these high concentrations, phenolic compounds still do not inhibit the use of

TMA (expressed as percentage against control conditions). However, *p*-coumaric and *m*-

coumaric acid enhanced the production of TMAO (expressed as percentage of change against

control conditions). Our results at these higher contentrations (50 μM) do not correspond to the results found at physiological dose (1 μM). Overall, this indicates that bioavailable metabolites from chlorogenic acid are unlikely to produce an *in vivo* direct FMO3 enzyme inhibition.

Through the *in vitro* approaches in this manuscript, we demonstrated that HepG2 and Hepa-1 cell models are not a good model to study the inhibition of FMO3 activity by phenolic metabolites. This was a result of a lack of production of TMAO in these two hepatic models, attribiuted to the lack of FMO3 protein or its activity. However, we developed a fast screening method to evaluate a direct FMO3 enzyme inhibition by bioavailable phenolic metabolies in rat hepatic microsomes, with a detectable content of FMO3 that presented enzymatic activity. In conclusion, our results show that bioavailable metabolites of chlorogenic acid do not have a strong inhibition potential on the second step of TMAO formation. Rather, some minor inhibitory effects were reported for some metabolites. Of note, even a modest inhibition of hepatic FMO3 could complement the inhibition of TMA production by the microbiome *in vivo*. Overall, the lack of viable cell models to study TMAO production highlights the need of *In vivo* experiments to elucidate if different treatments (chlorogenic acid) are able to contribute to TMAO formation inhibition to mechanisms involving FMO3 (*i.e.*, downregulation of gene expression and/or protein content).

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## 7. Figure Captions

**Figure 1:** Cell respiration rate (MTT assay) in HepG2 cells exposed to different concentration of TMA (0 – 200 μM) for
10 h (**A**), and HepG2 pre-treated with TMA 100 μM for 24 h and exposed to TMA 100 μM and different concentrations
of NADPH (0 – 2 mM) for 24 h (**B**). Results are expressed as percentage of change against control conditions ± SEM
(*n*=3). Different letters indicate statistically significant differences ($p<0.05$) by One-Way ANOVA (Tukey's *post hoc* test).

**Figure 2:** Lack of spontaneous (non cell-catalyzed) production of TMAO in HepG2 cell culture conditions for 24 h (**A**).
Lack of TMAO production in HepG2 cells pretreated with 100 μM TAM 24 h prior to treatment with TMA 100 μM and

different concentrations of NADPH (0 – 2 mM) for 24 h (**B**). Results are expressed as percentage of change against

control conditions ± SEM (*n*=3).

**Figure 3:** Lack of TMAO production in Hepa-1 cells. Effect of TMA concentration: Hepa-1 cells pre-treated with 100

µM TMA for 24 h, and then co-cultured with TMA 0 – 100 µM  and NADPH 1 mM in MEM-α (**A**). Effect of NADPH

concentration: Hepa-1 cells pre-treated with 100 µM TMA for 24 h, and then co-cultured with NADPH 0 – 2 mM and

TMA 50 µM in MEM-α (**B**). Effect of media: MEM-α and complete (whole) growth media in pre-treated (TMA 100 µM

for 24 h) or un-pretreated Hepa-1 cells co-treated with TAM 50 µM and NADPH 1 mM (**C**).  Background refers to

treatments without TMA and NADPH. Results are expressed as mean ± SEM (*n*=3). TMAO levels were not detected

(n.d.) throughout these experimental conditions.

**Figure 4**: Method validation for TMA and TMAO production and stability in microsome assay. Results are expressed

as mean µM ± SEM (*n*=3).

**Figure 5**: Kinetics of TMA consumption and TMAO production in microsome assay (33 µL/mL) with NADPH 1 mM and

TMA 0 µM (**A**), 20 µM (**B**) and 50 µM (**C**). Results are expressed as mean µM ± SEM (*n*=3).

**Figure 6:** Effect of different concentrations of methimazole (MTM) on TMA consumption (**A**) and TMAO production (**B**)

in microsome assay. Results are expressed as mean µM ± SEM (*n*=3). Background corresponds to no TMA or MTM

added in the reaction mix. Treatments not sharing common  letters (to the right of the legend) have statistically different

(*p*<0.05) main effect of treatment by Two-Way ANOVA (Tukey's *post hoc* test).

**Figure 7:** Effect of chlorogenic acid-related compounds (1 µM) in the kinetic curves of TMA (**A**) and TMAO (**B**), as well

as the areas under the curve of TMA (**C**) and TMAO (**D**). Results are expressed as mean ± SEM (*n*=3). * indicates

statistical differences (*p*<0.05) in the levels of TMA or TMAO against control by Two-Way ANOVA (**A** & **B**) and by One-

Way ANOVA (**C** & **D**) (all by Dunnetts's *post hoc* test).

**Figure 8:** FMO3 levels in HepG2 and Hepa-1 (with and without pre-treatment with TMA 50µM and NADPH 0.2 mM for

24 h) and microsomes (not pre-treated). Results are expressed as ng FMO3 per mg protein ± SEM (*n*=3). Note that

the ELISA assay kit employed varied by species: mouse-specific FMO3 (kit MBS9327471) for Hepa-1 cells, rat-specific

FMO3 (kit MBS9901107) for rat microsomes, and human-specific FMO3 (MBS9901102) for HepG2 cells.

**Figure 1**

**Figure 1:** Cell respiration rate (MTT assay) in HepG2 cells exposed to different concentration of TMA (0 – 200 µM) for 10 h (**A**), and HepG2 pre-treated with TMA 100 µM for 24 h and exposed to TMA 100 µM and different concentrations of NADPH (0 – 2 mM) for 24 h (**B**). Results are expressed as percentage of change against control conditions ± SEM (*n*=3). Different letters indicate statistically significant differences (*p*<0.05) by One-Way ANOVA (Tukey's *post hoc* test).

**Figure 2**

724 **Figure 2:** Lack of spontaneous (non cell-catalyzed) production of TMAO in HepG2 cell culture conditions for 24 h (**A**). Lack of
725 TMAO production in HepG2 cells pretreated with 100 µM TAM 24 h prior to treatment with TMA 100 µM and different
726 concentrations of NADPH (0 – 2 mM) for 24 h (**B**). Results are expressed as percentage of change against control conditions ±
727 SEM (*n*=3).

**Figure 3**

Figure 3: Lack of TMAO production in Hepa-1 cells. Effect of TMA concentration: Hepa-1 cells pre-treated with 100 µM TMA for 24 h, and then co-cultured with TMA 0 – 100 µM and NADPH 1 mM in MEM-α (**A**). Effect of NADPH concentration: Hepa-1 cells pre-treated with 100 µM TMA for 24 h, and then co-cultured with NADPH 0 – 2 mM and TMA 50 µM in MEM-α (**B**). Effect of media: MEM-α and complete (whole) growth media in pre-treated (TMA 100 µM for 24 h) or un-pretreated Hepa-1 cells co-treated with TAM 50 µM and NADPH 1 mM (**C**). Background refers to treatments without TMA and NADPH. Results are expressed as mean ± SEM (*n*=3). TMAO levels were not detected (n.d.) throughout these experimental conditions.

# Figure 4

734 **Figure 4**: Method validation for TMA and TMAO production and stability in microsome assay. Results are expressed as mean μM
735 ± SEM (*n*=3).

# Figure 5

736 **Figure 5**: Kinetics of TMA consumption and TMAO production in microsome assay (33 μL/mL) with NADPH 1 mM and TMA 0 μM
737 (**A**), 20 μM (**B**) and 50 μM (**C**). Results are expressed as mean μM ± SEM (*n*=3).

# Figure 6

**Figure 6:** Effect of different concentrations of methimazole (MTM) on TMA consumption (**A**) and TMAO production (**B**) in microsome assay. Results are expressed as mean μM ± SEM (*n*=3). Background corresponds to no TMA or MTM added in the reaction mix. Treatments not sharing common letters (to the right of the legend) have statistically different (*p*<0.05) main effect of treatment by Two-Way ANOVA (Tukey's *post hoc* test).

# Figure 7

**Figure 7:** Effect of chlorogenic acid-related compounds (1 μM) in the kinetic curves of TMA (**A**) and TMAO (**B**), as well as the areas under the curve of TMA (**C**) and TMAO (**D**). Results are expressed as mean ± SEM (*n*=3). * indicates statistical differences (*p*<0.05) in the levels of TMA or TMAO against control by Two-Way ANOVA (**A** & **B**) and by One-Way ANOVA (**C** & **D**) (all by Dunnetts's *post hoc* test).

747 **Figure 8:** FMO3 levels in HepG2 and Hepa-1 (with and without pre-treatment with TMA 50µM and NADPH 0.2 mM for 24 h) and
748 microsomes (not pre-treated). Results are expressed as ng FMO3 per mg protein ± SEM (*n*=3). Note that the ELISA assay kit
749 employed varied by species: mouse-specific FMO3 (kit MBS9327471) for Hepa-1 cells, rat-specific FMO3 (kit MBS9901107) for rat
750 microsomes, and human-specific FMO3 (MBS9901102) for HepG2 cells.