1 Intranasal VLP-RBD vaccine adjuvanted with BECC470 confers immunity against

2 Delta SARS-CoV-2 challenge in K18-hACE2-mice

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22 Abstract

23 As the COVID-19 pandemic transitions to endemic, seasonal boosters are a plausible reality 24 across the globe. We hypothesize that intranasal vaccines can provide better protection against 25 asymptomatic infections and more transmissible variants of SARS-CoV-2. To formulate a 26 protective intranasal vaccine, we utilized a VLP-based platform. Hepatitis B surface antigen-27 based virus like particles (VLP) linked with receptor binding domain (RBD) antigen were paired with the TLR4-based agonist adjuvant, BECC 470. K18-hACE2 mice were primed and boosted 28 29 at four-week intervals with either VLP-RBD-BECC or mRNA-1273. Both VLP-RBD-BECC and 30 mRNA-1273 vaccination resulted in production of RBD-specific IgA antibodies in serum. RBD-31 specific IgA was also detected in the nasal wash and lung supernatants and were highest in VLP-RBD-BECC vaccinated mice. Interestingly, VLP-RBD-BECC vaccinated mice showed 32 33 slightly lower levels of pre-challenge IgG responses, decreased RBD-ACE2 binding inhibition, 34 and lower neutralizing activity in vitro than mRNA-1273 vaccinated mice. Both VLP-RBD-BECC and mRNA-1273 vaccinated mice were protected against challenge with a lethal dose of Delta 35 variant SARS-CoV-2. Both vaccines limited viral replication and viral RNA burden in the lungs of 36 mice. CXCL10 is a biomarker of severe SARS-CoV-2 infection and we observed both vaccines 37 38 limited expression of serum and lung CXCL10. Strikingly, VLP-RBD-BECC when administered 39 intranasally, limited lung inflammation at early timepoints that mRNA-1273 vaccination did not. VLP-RBD-BECC immunization elicited antibodies that do recognize SARS-CoV-2 Omicron 40 variant. However, VLP-RBD-BECC immunized mice were protected from Omicron challenge 41 42 with low viral burden. Conversely, mRNA-1273 immunized mice had low to no detectable virus in the lungs at day 2. Together, these data suggest that VLP-based vaccines paired with BECC 43 adjuvant can be used to induce protective mucosal and systemic responses against SARS-44 45 CoV-2.

46 Keywords: Intranasal vaccine, COVID-19 vaccine, Omicron variant, Delta variant, SARS-CoV-

47 2, K18-hACE2 mice

48 Introduction

49 Vaccines prepare the immune system to defend against disease-causing agents through a 50 simulated exposure. Attenuated or inactivated whole-viruses, viral vectors, pathogen proteins, 51 mRNA, and bacterial toxoids, are all used in vaccine formulas administered to hosts to train the 52 immune system to adequately fight off pathogens without an authentic exposure or infection. 53 The immunological memory response that develops from this priming exposure event is 54 oftentimes highly capable of protecting against severe disease and death. Still, the vaccine-55 elicited immune response differs from a natural response to pathogen exposure and may not 56 always produce superior cellular responses. The intramuscular route of administration is a 57 popular option for vaccine delivery strategies due to its low incidence of site-specific adverse 58 reactions, and optimal immunogenicity for a systemic immune response [1]. Blood supply to the 59 muscles, compared to other tissues, clears adjuvants and other vaccine components more 60 rapidly, leading to the efficient uptake and spread of antigen through the periphery. Circulating antigens are then directed to the lymph nodes where they come into contact with antigen 61 presenting cells (APC) in germinal centers for the generation of antigen-specific T cell and B cell 62 63 responses [2].

Despite the ability of intramuscular vaccines to induce systemic protection against specific pathogens, alternate routes of administration have been investigated to improve comfort and boost the timeframe of protection through elicitation of site-specific immune responses [3,4]. Intranasal vaccination is an attractive delivery route for its ability to recapitulate natural infection by respiratory pathogens, priming the mucosa—a site otherwise difficult to generate adaptive immunity in—to provide protection when its needed [5]. There is only one intranasal vaccine currently approved for human use worldwide—FluMist Quadrivalent—although its use is restricted to healthy, non-pregnant individuals between the ages of 2 and 49 due to concerns surrounding its immunogenicity and the use of a live-attenuated virus [6]. With eight orally administered vaccines (the United States have utilized oral vaccines against rotavirus, polio, and others), this together makes a limited number of whole-virus vaccines that directly target the mucosal arm of the immune system [7]. We, and others, hypothesize that a protective mucosal immune response may be the key to ultimate protection against pathogens including SARS-CoV-2 that primarily target and replicate in the upper respiratory tract's mucosal surfaces.

78 Intranasal COVID-19 vaccines are highly desirable as the COVID-19 pandemic evolves and 79 persists [5,8,9]. Not only could they circumvent the discomfort occurring after intramuscular vaccination using the current mRNA-based COVID-19 vaccines, but they may also induce a 80 superior level of protection. In the case of COVID-19 vaccines, studies have shown that 81 82 vaccinated hosts have relatively weak neutralizing antibody responses against multiple SARS-83 CoV-2 variants compared to convalescent patients [10]. Specifically, the utilization of intramuscular mRNA vaccines now and into the future as seasonal boosters poses an even 84 85 bigger problem as it does little to induce mucosal respiratory immunity that is integral to stopping viral replication and therefore preventing high transmission rates among hosts infected 86 87 with transmissible variants like Omicron. India and China were the first countries to approve the 88 vaccines BBV154 and Ad5-nCoV-S (respectively) for intranasal use [11]. By vaccinating 89 intranasally from the beginning, or by introducing intranasal boosters on top of a completed 90 intramuscular vaccine schedule, the primed immune response against SARS-CoV-2 exposures 91 can evolve to include pathogen specific IgA antibodies as well as greater B cell and tissue-92 resident memory (T_{RM}) responses throughout the respiratory tract [12–15].

Previously, our lab developed the experimental BReC-CoV-2 vaccine that was effective against
SARS-CoV-2 challenge in K18-hACE2-transgenic mice when two doses were administered
either first intramuscularly (prime) then intranasally (boost), or both intranasally [16]. To build

96 upon the protection of this formulation when administered intranasally, we aimed to improve the 97 overall immunogenicity by utilizing a virus-like particle (VLP) antigen containing SARS-CoV-2 WA-1 Receptor Binding Domain (RBD) proteins conjugated using the SpyTag system to a 98 99 Hepatitis B surface antigen (HbsAg) [17]. The VLP-RBD particle increases the ratio of RBD 100 antigen in the vaccine formulation compared to the RBD-CRM used in BReC-CoV-2. Similar to BReC-CoV-2, this VLP-based vaccine was then adjuvanted with the TLR4-agonist Bacterial 101 102 Enzymatic Combinatorial Chemistry 470 (BECC 470) to enhance both the cellular and humoral 103 immune responses [18,19]. Intranasal administration of two doses of the VLP-RBD-BECC vaccine to K18-hACE2 mice provided equal protection against disease manifestation and 104 105 morbidity, as compared to two intramuscular administrations of an mRNA vaccine (mRNA-1273; 1/10th human dose) after intranasal challenge with a lethal dose of the SARS-CoV-2 Delta 106 107 variant. VLP-RBD-BECC limited viral replication in the upper airway at two days post-challenge 108 and maintained a reduction in viral RNA in the nasal wash, lung, and brain, between days two 109 and 10. VLP-RBD-BECC vaccinated mice showed similar serum IgG titers to mRNA vaccinated 110 mice. Importantly, intranasal VLP-RBD-BECC elicited greater RBD specific IgA antibody levels 111 in the lung and nasal cavity than mRNA vaccination or challenge alone. Compared to mRNA, 112 VLP-RBD-BECC consistently maintained low histopathological inflammation scores and concentrations of proinflammatory CXCL10 in the lung tissue as well. VLP-RBD-BECC elicited 113 114 reduced amounts of Omicron-specific antibodies, however, two doses of the vaccine still demonstrated some protection against viral replication in the lungs of mice following challenge 115 116 with the Omicron variant.

117 Methods

118 Ethics and Biosafety Statement

B6.Cg-Tg(K18-ACE2)2Prlmn/J transgenic mice were purchased from Jackson Laboratories and
 used for vaccination and viral challenge studies under West Virginia University IACUC protocol

#2009036460. Mice were continuously monitored for adverse reactions to vaccination and for morbidity after challenge and were humanely euthanized according to our lab's disease scoring system. West Virginia University's Biosafety Level 3 Laboratory was used for SARS-CoV-2 challenge studies under IBC protocol #20-09-03. Before additional analysis in BSL2 laboratory space, all mouse tissues obtained from BSL3 were treated with 1% Triton by volume or placed in TRIzol (Zymo R2050-1) to inactivate virus.

127 Formulation of VLP-RBD-BECC vaccine and K18-hACE2 mouse vaccination

SARS-CoV-2 Wu RBD proteins were cloned into and purified from Komgataella phaffi as 128 129 previously described [20-22]. To form the VLP, RBD-SpyTag antigens were incubated overnight 130 with HBsAg-SpyCatcher VLP [23,24]. The BECC 470 adjuvant was obtained from Dr. Robert Ernst at the University of Maryland [18]. Vaccines were prepared in batch by sonicating 25µg 131 132 BECC 470 per dose in water for 15min, then adding RBD-HBsAg VLP (10µg per dose) and 133 incubated rotating at room temperature for 2hrs. Before administration, 10X PBS was added to bring the dose volume to 50µL. Female 7-week-old K18-hACE2 mice were intranasally 134 135 vaccinated with 25µL per nare under anesthesia with intraperitoneal ketamine (Patterson Veterinary 07-803-6637)/xylazine (Patterson Veterinary 07-808-1947). No-vaccine no-challenge 136 137 control mice were administered 50µL 1X PBS intramuscular in the hind flank. mRNA control 138 mice were administered 50µL mRNA-1273 vaccine intramuscular in the hind flank as well. All 139 vaccine groups received a second identical dose 4 weeks later.

140 Quantification of anti-SARS-CoV-2 RBD IgG antibodies by ELISA

Submandibular bleeds to collect serum were performed to assess immunogenicity 4 weeks after prime and boost doses. Serum was also collected at euthanasia via cardiac puncture. Anti-SARS-CoV-2 RBD IgG levels were quantified using ELISA and a method described previously [25]. High binding plates were coated overnight with 2 µg/mL RBD. The next day, plates were 145 washed 3x and blocked with 3% nonfat milk in PBS-Tween20. After an hour incubation at room 146 temperature, plates were washed 3x then prepared for sample: 5 µL of serum in 95 µL 1% nonfat milk-PBS-Tween20 was added to the top row, and 50 µL of 1% nonfat milk-PBS-147 148 Tween20 was added to the remaining wells for dilution across two plates. Samples were diluted 149 1:2 from row A of plate 1 to row G of plate 2, discarding before dilution into row H. Samples 150 incubated shaking at room temperature for 1 hr. Plates were washed 4x before adding 100 µL 151 secondary antibody (goat anti-mouse IgG HRP; Novus Biologicals NB7539) (diluted 1:2000) in 152 1% nonfat milk-PBS-Tween20 to all wells and shaking for an additional 1 hr at room 153 temperature. Non-bound antibodies were washed with 5x washes and 100 µL TMB substrate 154 was added to all wells. After 15 min incubation in the dark, 25 µL 2N sulfuric acid was added to 155 stop development and plate absorbances were read at 450 nm on the Synergy H1 plate reader. 156 Serum antibody levels were quantified using Area Under the Curve analysis in GraphPad Prism 157 V9.0.0.

158 Quantification of anti-SARS-CoV-2 RBD lgG antibodies by ELISA

159 To measure anti-RBD IgA in K18-hACE2 mouse tissues following challenge, the ELISA protocol 160 described previously was utilized with minor adaptations. High-binding 96-well plates were 161 coated with RBD, washed and blocked following the same protocol as was used to measure 162 IgG. Mouse samples were added to the top row of wells (5 µL of serum in 95 µL 1% nonfat milk-163 PBS-Tween20; 20 µL lung supernatant in 80 µL 1% nonfat milk-PBS-Tween20; 100 µL nasal 164 wash), then diluted down the columns 1:2 discarding before the last well. After 2 hours of 165 incubation shaking at room temperature, 100 µL anti-IgA secondary antibody diluted 1:10000 166 (goat anti-mouse IgA HRP; Novus Biologicals NB7504) was added to all wells. Secondary antibody was left to incubate for 2 hours, then plates were washed, developed, and read as 167 168 previously described.

169 In vitro SARS-CoV-2 RBD ACE2 binding assay

170 Neutralizing potential of serum antibodies collected from vaccinated K18-hACE2 mice pre- and 171 post-challenge was analyzed using MSD's V-PLEX SARS-CoV-2 Panel 22 Mouse IgG kit 172 (K15563U-2). Binding to the following antigens was assessed: COV-2 RBD, Delta RBD, 173 Gamma RBD, Beta RBD, Alpha RBD, and Omicron RBD. Serum from mice euthanized at 10 174 days post- challenge or from 4-week post-boost submandibular bleeds was diluted at 1:5, 1:50, 1:500, and 1:5000 and analyzed following the manufacturer's protocol. Binding (% 175 176 neutralization) was measured via electrochemiluminescence values compared to baseline wells 177 with no antibody binding. The percent neutralization 50 (PRNT50) was calculated by fitting a 178 nonlinear regression curve to the plotted percent binding values and interpolating unknowns in GraphPad Prism version 9. 179

180 In vitro authentic SARS-CoV-2 plaque reduction assay

181 Vero E6 ACE2/TMPRSS2 cells were plated at 70,000 cells per well in 24-well plates and 182 incubated at 37°C and 5% CO2 for 24 hours. Stock SARS-CoV-2 Delta virus (B.1.617.2 hCoV-19/USA/WV- WVU-WV118685/2021) was diluted in supplemented DMEM media. Mouse serum 183 184 was diluted 1:5 then ten-fold serial dilutions in media. Diluted mouse serum was mixed with diluted virus in a 1:1 (v/v) ratio and incubated at room temperature for 30 minutes. Cell media 185 186 was aspirated. 100µl of sample (serum + virus) was added to each well. Plates were incubated 187 at 37°C and 5% CO2 for 1 hour. Plates were gently rocked by hand every 15 minutes. After 188 incubation, 1 mL of 0.6% carboxymethylcellulose overlay was added to each well. Plates were 189 incubated at 37°C and 5% CO₂ for 4 days. On day 4, the overlay was aspirated. Wells were 190 fixed with 10% neutral-buffered formalin and stained with 0.1% crystal violet before plaques 191 were counted. Cell culture and plaque assay reagent recipes were adapted from Case, et al 192 [26].

193 SARS-CoV-2 challenge of K18-hACE2 mice

Stocks of the SARS-CoV-2 Delta variant B.1.617.2 hCoV-19/USA/WV- WVU-WV118685/2021 194 195 (GISAID Accession ID: EPI_ISL_1742834) were created from a patient sample at WVU that was propagated in Vero E6 cells (ATCC-CRL-1586) [27]. The stocks were sequenced to confirm 196 197 there were no mutations. Omicron variant (strain BA.5) stocks were obtained from the labs of 198 Dr. Luis Martinez-Sobrido and Dr. Jordi Torrelles at the Texas Biomedical Research Institute. At the time of challenge, vaccinated and control K18-hACE2 mice were anesthetized with an IP 199 injection of ketamine/xylazine, then 25 µL of a 10⁴ PFU solution of Delta or 10⁵ PFU solution of 200 Omicron virus was administered by pipette into each nare (50 µL total dose). 201

202 Disease scoring of SARS-CoV-2 challenged mice

203 K18-hACE2 mice were evaluated every day after challenge to track disease progression 204 through in-person health checks and using the SwifTAG video monitoring system. Rectal 205 temperatures and weight measurements were recorded each day in addition to scores related to 206 weight loss, changes in activity, appearance, eve closure/conjunctivitis, and respiration. Scores were awarded based on severity of disease phenotypes and follow a scale that has been 207 208 described previously [17,25,27,28]. On each day, scores in each category were combined and 209 recorded as one overall numerical score. Mice that received a total score of 5 or reached 20% 210 weight loss before day 10 post-challenge were humanely euthanized.

211 Mouse euthanasia and tissue collection

At day 2, 10, or due to meeting humane endpoint criteria, K18-hACE2 mice were euthanized with IP pentobarbitol (390mg/kg) (Patterson Veterinary 07-805-9296) followed by cardiac puncture. Blood from cardiac puncture was centrifuged to collect the serum for downstream analysis. Lung and brain tissue were dissected out for downstream histology, serology, and quantification of viral burden. Homogenization of lung and brain was performed following a previously established protocol [17,25]. A nasal wash was performed on each mouse by pushing PBS (1mL) by catheter through the nasal pharynx and collected for analysis. For RNA
purification in BSL2, lung and brain homogenate as well as nasal wash was treated with TRIzol
Reagent.

221 SARS-CoV-2 plaque assay from tissue homogenate

Vero E6 ACE2/TMPRSS2 cells were plated at 150,000 cells per well in 12-well plates and 222 223 incubated at 37°C and 5% CO2 for 24 hours. Mouse lungs collected at euthanasia were 224 weighed then homogenized in 1mL PBS. Then, homogenate was centrifuged at 15,000 x g for 5 225 minutes. Supernatant from mouse lung homogenate was diluted in media 1:3, 1:10, then four 226 ten-fold serial dilutions. Cell media was aspirated. 200µl of sample was added to each well, in 227 duplicate. Plates were incubated at 37°C and 5% CO2 for 1 hour. Plates were gently rocked by 228 hand every 15 minutes. After incubation, 2 mL of 0.6% carboxymethylcellulose overlay was added to each well. Plates were incubated at 37°C and 5% CO2 for 4 days. On day 4, the 229 230 overlay was aspirated. Wells were fixed with 10% neutral-buffered formalin and stained with 0.1% crystal violet. 231

232 *qRT-PCR quantification of SARS-CoV-2 viral copy number in mouse tissues*

233 RNA from nasal wash, lung, and brain homogenates of virus-challenged mice was purified using 234 the the Direct-zol RNA miniprep kit (Zymo Research R2053) according to the manufacturer's 235 protocol. qPCR of the SARS-CoV-2 nucleocapsid gene was then performed for each mouse 236 and sample using the Applied Biosystems TaqMan RNA to CT One Step Kit (ThermoFisher 237 Scientific 4392938) to measure viral copy number via transcript number with specifications for 238 each reaction that have been described previously [17,25,27,28].

239 Measurement of CXCL10 concentrations in mouse serum and lung

Concentrations of CXCL10 in K18-hACE2 mice were measured in serum and lung supernatant
 collected at euthanasia using the Mouse Magnetic Luminex Assay kit for mouse CXCL10/IP-

10/CRG2 (R&D Systems LXSAMSM-01). Both serum and supernatant samples were diluted 1:2
in the kit's Calibrator Diluent RD6-52 then utilized in the assay procedure provided by the
manufacturer. The assay plate was read on the Luminex MAGPIX instrument and chemokine
levels were quantified based on a standard curve.

246 Histopathological evaluation of lung tissue inflammation

247 The left lobes of mouse lungs were collected at euthanasia and stored in 10% neutral buffered 248 formalin for one week to fix. Fixed tissues were sectioned and mounted on slides, then 249 Hematoxylin and Eosin stained for analysis. Primary histopathological scoring was performed by 250 iHisto under the supervision of chief pathologist Michelle X. Yang, MD, PhD. H&E-stained slides 251 were evaluated for acute and chronic inflammation. Acute inflammation was marked by the 252 infiltration of neutrophils in the parenchyma, blood vessels, and airways. Chronic inflammation 253 was marked by mononuclear infiltrates in the same areas of the tissue. Semiguantitative scores 254 for each condition (0- none, 1- minimal, 2- mild, 3- moderate, 4- marked, 5- severe) were awarded for tissue from each mouse. Additional analysis was performed by West Virginia 255 256 University's Department of Pathology to identify more finite characteristics of inflammatory 257 pathology. Margination of inflammatory cells in blood vessels was evaluated and graded as none, mild, moderate or severe. Viral cytopathic changes in the epithelial and interstitium were 258 259 also evaluated and graded as absent, mild, moderate or severe. Other parameters that were 260 evaluated included type of inflammatory cells, distribution of the inflammatory aggregates, 261 presence of pneumonic infiltrates, bronchiolitis and vasculitis.

262 Statistical analyses

The statistical analysis of data sets in this study was performed using GraphPad Prism version 9. Mouse experiments were performed with an n=10 for all groups, with n=3 mice per group euthanized on day 2 and n=7 mice euthanized at day 10 post-challenge. Normally distributed data sets were compared using ordinary one-way ANOVA with Tukey's multiple comparisons
 tests. Kaplan-Meier survival curves were created to compare the survival of vaccinated K18-

hACE2 mice following viral challenge. Unpaired t-tests were utilized to compare two data sets.

269 **<u>Results</u>**

VLP-RBD adjuvanted with BECC 470 (TLR4 agonist) induces IgG and IgA antibody production when administered via the nasal route

272 SARS-CoV-2 infection induces mucosal immune responses including production of IgA 273 antibodies. To assess mucosal responses as well as systemic antibodies elicited by our novel 274 VLP-RBD-BECC vaccine, K18-hACE2 transgenic mice were primed via the intranasal (IN) route 275 using 10 µg VLP-RBD formulated with 25 µg BECC 470 (Fig. 1A). A control group of mice were vaccinated via the intramuscular (IM) route with 1/10th of a human dose (10 µg) of mRNA-1273--276 a highly protective Spike protein-based COVID-19 vaccine that has been used to vaccinate a 277 278 large portion of the United States population. After four weeks, mice were administered a 279 second identical boost dose of either vaccine to mimic the human COVID-19 vaccine schedule. 280 We measured levels of IgG specific to the SARS-CoV-2 Spike protein receptor binding domain (RBD) at four weeks post-prime (Fig.1B), four weeks post-boost (Fig. 1C), and after challenge 281 282 with the SARS-CoV-2 Delta strain (Fig. 1D). IM mRNA-1273 vaccinated mice showed high IgG 283 levels post-prime whereas IN VLP-RBD-BECC mice showed relatively low early antibody responses. However, at post-boost the antibody levels in IN mice rose to levels near IM mRNA, 284 285 albeit still significantly lower (Fig. 1C). At 10 days post-challenge with Delta, RBD specific IgG levels from IN VLP-RBD-BECC or mRNA remained similar to post-boost (no significance) (Fig. 286 287 1D). mRNA vaccines are known to induce low levels of IgA in serum [29,30]; however, it is not 288 clear if mRNA induces mucosal antibodies in mice. At 10 days post-challenge, low RBD-specific 289 IgA levels were indeed detectable in the serum of IM mRNA vaccinated mice (Fig. 1E). 290 vaccination with IN VLP-RBD-BECC, however, induced anti-RBD IgA antibodies at a much greater level in serum (Fig.1E), nasal wash (Fig. 1F) and lung supernatants (Fig. 1G) post challenge. These data confirm that intranasal vaccination with VLP-RBD-BECC can induce
 RBD-specific IgG antibodies in addition to highly desirable IgA antibodies.

294 IN VLP-RBD-BECC vaccinated K18-hACE2 mice produce broadly neutralizing antibodies

295 against SARS-CoV-2 variants

296 One tenet of highly effective antibody responses is pathogen neutralization which blocks host 297 cell receptor binding for viral entry. Antibodies raised in response to natural infection or vaccination bind the SARS-CoV-2 spike protein to block host cell ACE2 receptor binding and 298 299 thus inhibit downstream viral replication and the progression of infection. To assess whether or 300 not anti-RBD IgG antibodies from IN VLP-RBD-BECC could execute this inhibition, we 301 performed an in vitro ACE2-RBD binding inhibition assay using the serum from vaccinated K18-302 hACE2 at euthanasia post-challenge. Serum IgG antibodies from IM mRNA vaccinated mice 303 bound RBD from the ancestral, Alpha, Beta, and Delta SARS-CoV-2 variants of concern (VOC) and inhibited ACE2 binding at a greater capacity than serum from IN VLP-RBD-BECC mice in 304 305 vitro (Fig. 2A). VLP-RBD-BECC antibodies at a 1:500 dilution still inhibited greater than 50% 306 ACE2 binding to all VOC (Fig. 2A). At the greatest dilution, 1:5000, serum from both vaccinated 307 groups dropped below 50% binding inhibition. It should be noted that mRNA immunized mice 308 have the highest concentration of RBD-binding antibodies in serum post-vaccination and 309 challenge (Fig. 1). IgG antibodies from VLP-RBD-BECC showed a calculated percent binding 310 PRNT50 of 2341 against Delta RBD which was not dramatically reduced compared to the 311 PRNT50 of mRNA-elicited antibodies at 3741 (Fig.2B). Authentic virus neutralization was further evaluated in a viral plaque reduction assay where the SARS-CoV-2 Delta variant was 312 propagated in vitro with decreasing concentrations of serum from vaccinated mice collected 313 314 post-boost. At a low dilution (1:10), mRNA vaccinated mouse serum fully prevented plaque formation (100% reduction) and at higher dilutions (1:100 and 1:1000) still reduced plaque 315

formation by 88% or more (Fig. 3). Serum from VLP-RBD-BECC vaccinated mice was also highly effective at neutralizing virus to prevent plaque formation when added to culture media at a 1:10 dilution and reduced more than 88% or 78% of plaques at higher dilutions (1:100 and 1:1000 respectively). These assays show that although IgG antibodies elicited by our VLP-RBD-BECC vaccine have reduced inhibitory activity compared to those from mRNA, total antibodies (which may include IgM, IgA and other antibody subclasses) from these mice are still highly effective at limiting SARS-CoV-2 viral replication and plaque formation *in vitro*.

323 IN VLP-RBD-BECC protects mice against challenge with SARS-CoV-2 Delta variant

324 Each major SARS-CoV-2 VOC to emerge after the ancestral Wuhan strain, has presented 325 pathological differences in the K18-hACE2 challenge model [27,31-33]. In particular, we have 326 observed that the Delta variant requires a slightly higher dose to cause 100% mortality, but also 327 that the tissue inflammation signatures and immunological response in mice are significantly 328 different than other variants [27]. We challenged the IN VLP-RBD-BECC and IM mRNA-1273 vaccinated K18-hACE2 mice to evaluate protection conferred against the Delta variant. Four 329 weeks after boosting, mice were intranasally challenged them with a lethal dose (10⁴ PFU) of 330 331 the SARS-COV-2 Delta variant to measure protection (Fig. 1A). Intranasal and intramuscular 332 vaccines were equally matched in their disease-limiting abilities (Fig. 4). Compared to PBSvaccinated and challenged mice, IM mRNA and IN VLP-RBD-BECC vaccinated mice 333 334 maintained low disease scores over the course of the 10-day challenge window (Fig. 4A). IN 335 VLP-RBD-BECC mice did not experience dramatic weight loss or drops in temperature, similar 336 to the IM mRNA group (Fig. 4BC). VLP-RBD-BECC also conferred 100% survival compared to non-vaccinated mice which reached total morbidity and required humane euthanasia by day 6 337 post-challenge (Fig. 4D). This data together suggests that VLP-RBD-BECC administered by two 338 339 intranasal doses is as effective as mRNA-1273 at conferring protection against morbidity and mortality in SARS-CoV-2 related disease in K18-hACE2-mice. 340

341 SARS-CoV-2 viral replication is limited by intranasal VLP-RBD-BECC

342 In our challenge study, VLP-RBD-BECC and mRNA vaccinated K18-hACE2 mice were 343 euthanized at day 2 and day 10 post-Delta challenge to assess the vaccines' ability to limit viral 344 replication. Authentic plaque assays using lung supernatant from the mice euthanized at day 2 345 showed that IN VLP-RBD-BECC vaccination and IM mRNA significantly limited viral replication 346 in the lung compared to the PBS-vaccination control (Fig. 5A). Additional gRT-PCR analyses of 347 the mice's nasal wash, lung and brain tissues further supported this finding. SARS-CoV-2 viral nucleocapsid RNA copies were significantly lower in the nasal wash of VLP-RBD-BECC mice 348 349 compared to PBS vaccinated mice at day 2 and was further reduced at day 10 (Fig. 5B). In the 350 lung, viral RNA burden was significantly lower in mRNA vaccinated mice than the PBS group, however there was no significant reduction in the viral RNA burden of VLP-RBD-BECC lungs at 351 352 day 2 (Fig. 5C). At day 10, viral RNA burden in the lungs in both vaccine groups had reduced 353 significantly from the level of controls, although it remained slightly above the limit of detection (Fig. 5C). Both vaccines prevented dissemination to and detection of viral RNA in the brain at 10 354 355 days post-challenge when it was detectable in the brain tissue of control mice (Fig. 5D).

Intranasal vaccination limits the production of CXCL10 following SARS-CoV-2 challenge in K18-hACE2 mice

358 CXCL10 is a potent mediator of inflammation and immune cell homing to the tissues during 359 SARS-CoV-2 infection [34]. It's production in the lung is linked to the cytokine storm 360 experienced by hospitalized patients with severe cases of COVID-19 [35]. Previously, we identified that K18-hACE2 mice have high concentrations of CXCL10 in the lung 6 days post 361 362 delta variant challenge [27]. To assess if vaccination strategies to protect individuals against 363 severe COVID-19, including VLP-RBD-BECC, confer protection in part by limiting the production 364 of the chemokine, we quantified CXCL10 levels in the serum and lung supernatant of 365 vaccinated mice after Delta SARS-CoV-2 challenge. In the serum, CXCL10 production

366 increased at day 2 after viral challenge in PBS vaccinated control mice (Fig.6A). By day 10, this concentration had reduced slightly but at both timepoints, the concentration of the chemokine in 367 368 IM mRNA or IN VLP-RBD-BECC remained low. CXCL10 production also peaked in the lung 369 tissue of PBS vaccinated mice at day 2, to much higher concentrations than were detected in 370 the serum (Fig.6B). In vaccinated mice, CXCL10 concentrations in the lung were also limited 371 with no significant change between timepoints. The lack of CXCL10 production seen in the 372 serum and lungs of vaccinated mice when compared to controls, demonstrate that vaccination 373 effectively limits the production of proinflammatory chemokines produced in response to viral 374 challenge.

Intranasal VLP-RBD-BECC vaccination ameliorates lung inflammation in K18-hACE2 mice after Delta challenge

377 As a final aspect of vaccine evaluation, lung inflammation was measured by histopathological 378 scoring of acute and chronic inflammation phenotypes. No significant inflammation was seen in 379 the lungs of mice in the control group which served as a comparison to vaccine groups (Fig. 380 67A). Delta challenge in PBS-vaccinated mice lead to viral cytopathic and reactive-proliferative 381 changes in the epithelia of the terminal bronchioles, alveoli, and interstitial cells. Marked 382 margination of inflammatory cells in blood vessels was observed and associated with a diffuse 383 lymphocyte and histiocyte rich inflammatory infiltrate that involved 50-75% of the pulmonary 384 parenchyma. At 2 days post-challenge, intramuscularly vaccinated mice showed higher acute 385 inflammation scores than the intranasal group, suggesting that our intranasal vaccine better 386 protects mice from early development of lung infection than IM mRNA, perhaps due to the route 387 of administration and localized immune responses (Fig.7B). Interestingly, PBS vaccinated mice showed much lower lung inflammation at this time point than IM mRNA mice (there was no 388 389 significant difference between PBS and IN VLP-RBD-BECC), suggesting perhaps a delayed 390 inflammatory response to virus in the tissue. IM mRNA vaccinated mice euthanized 10 days 391 post-challenge were given low scores for acute inflammation which were not statistically 392 different from IN VLP-RBD-BECC mice (Fig.7C). The diminished extent of pulmonary 393 involvement was further supported by the observation of no significant margination of 394 inflammatory cells or viral cytopathic changes in the vaccinated groups which decreased by day 395 10 (Fig.7D). Overall, mice that were vaccinated either with IM mRNA or IN VLP-RBD-BECC 396 showed marked attenuation of total inflammation compared to PBS vaccination, and involved 397 less than 25% of the parenchyma. Inflammation in vaccinated mice consisted predominantly of 398 tight, peribronchial and perivascular lymphocyte-rich aggregates (Fig.7EF). In total this 399 histopathological analysis shows that IN VLP-RBD-BECC is able to control lung inflammation in 400 the lungs of mice throughout the post-challenge window against SARS-CoV-2 related lung 401 inflammation.

402 Mice are less protected by intranasal VLP-RBD-BECC against Omicron challenge

403 The rise of the SARS-CoV-2 Omicron variant to clinical dominance resulted in a reduction of the 404 protection that vaccines formulated against ancestral strains of the virus could provide. Using 405 serum collected at euthanasia from IN VLP-RBD-BECC mice challenged with the Delta variant, 406 we measured the capacity of IgG antibodies to bind Omicron RBD and thus prevent ACE2 binding. VLP-RBD-BECC -elicited antibodies showed reduced RBD binding against the variant 407 408 at dilutions of 1:5 and 1:50 compared to antibodies from mRNA-vaccinated mice (Fig.8A). To 409 determine how this reduced neutralization activity correlated to a potential reduction in 410 protection against the SARS-CoV-2 Omicron virus, we vaccinated a new cohort of mice with two 411 doses of mRNA intramuscularly, or VLP-RBD-BECC intranasally. After prime, VLP-RBD-BECC 412 mice again showed lower amounts of anti-Wuhan RBD IgG antibodies in serum, which increased post-boost (Fig.8B). The utility of matching vaccines to viral variant has been argued 413 as a superior method of providing protection, resulting in the formulation of bivalent (multi-414 variant) mRNA vaccines for COVID-19 [36,37]. We repeated antibody quantification ELISAs 415

using RBD from the Omicron variant and observed a reduction in anti-RBD IgG antibodies in 416 417 mRNA-vaccinated serum post-prime, and VLP-RBD-BECC serum at both time points (Fig.8C). Omicron does not cause morbidity in the K18-hACE2 transgenic mouse model, negating 418 419 survival as a means of measuring protection in vaccine studies. To assess protection from VLP-420 RBD-BECC compared to mRNA, mice were euthanized at 2 and 6 days after intranasal challenge with 10⁵ PFU Omicron to evaluate a reduction in viral replication. gRT-PCR analysis 421 422 of the viral nucleocapsid gene showed no difference between control and vaccinated groups in the nasal wash or brain (data not shown). In the lung, viral RNA copy numbers were not 423 424 significantly different between Omicron-challenged control mice and IN VLP-RBD-BECC groups 425 at day 2 or day 6 (Fig.8D). However, viral replication, measured by plaque forming units in 426 mouse lung tissue, was significantly lower at day 2 in VLP-RBD-BECC mice than in the lung 427 tissue of controls (Fig.8E). By day 6, actively replicating virus was undetectable by plaque assay 428 in any group. These data together demonstrate that although our VLP-RBD-BECC vaccine 429 formulated using RBD from an ancestral virus elicits an antibody response that less-efficiently 430 targets the Omicron variant, the vaccine still reduces the development of viral burden in the lung 431 tissue of mice.

432 Discussion

433 As of the Fall of 2022, all COVID-19 vaccines approved for human use in the United States are 434 administered via the intramuscular route. Across the globe, however, countries such as China 435 and India have begun to approve a small number of intranasally-delivered platforms for use in 436 the continued fight against COVID-19, and even more are being actively evaluated in preclinical 437 and clinical trials [11]. Bharat Biotech's adenovirus vectored vaccine (formerly ChAd-SARS-CoV-2-S, now BBV154) after extensive preclinical characterization was approved in India for 438 439 both primary vaccination and as a boost [38-40]. Another adenovirus vectored vaccine (Ad5-440 nCoV-S) by CanSino Biologics in China was approved for use as an inhalable booster after

441 initial approval for intramuscular use, highlighting the potential to explore alternative routes of administration with current vaccines to successfully incorporate intranasal vaccines into existing 442 443 vaccine schedules [41,42]. While administration of mRNA intranasally would be an attractive 444 approach to implementing an intranasal vaccine by repurposing an approved vaccine formulation, the lipid nanoparticle shells of these vaccines are not formulated for 445 446 immunogenicity in the mucosa [12]. For intranasal use, vaccine platforms based on virus-like 447 particles which are highly immunogenic and incapable of replication (unlike adenoviruses or 448 lentiviruses making them safe for the elderly or immunocompromised), are a promising future technology [43]. SpyBiotech developed a VLP-based vaccine for COVID-19 that, when 449 450 adjuvanted with alum or alum+CpG, conferred protection to rhesus macaque following SARS-451 CoV-2 challenge [23,44]. The preclinical efficacy of this vaccine quickly prompted its 452 advancement to clinical trials, and here we have evaluated this antigen by intranasal route and 453 in combination with the BECC adjuvant.

454 In our study, we evaluated the combinatorial use of SpyBiotech's VLP-RBD, and the BECC 470 455 adjuvant, established by our lab to be an effective intranasal vaccine antigen in BReC-CoV-2, 456 as an intranasal vaccine for COVID-19. K18-hACE2 mice vaccinated with two doses of 457 intranasal VLP-RBD-BECC were protected against the development of severe disease and 458 morbidity after challenge with a lethal dose of the SARS-CoV-2 Delta variant. We demonstrated 459 that intranasal VLP-RBD-BECC elicits high anti-RBD IgG and IgA production, as compared to 460 intramuscular mRNA. The neutralizing activity of serum antibodies was lower in IN mice than 461 IM, but IN vaccination was still able to limit viral replication at 2 DPC. Viral RNA burden in the 462 lungs and nasal wash was reduced by IN vaccination at 10 DPC as compared to in NVC mice. Importantly, IN vaccination prevented the dissemination of virus into the brain 10 DPC. Lung 463 464 inflammation, conferred potentially by the reduced production of the chemokine CXCL10, was 465 consistently limited after IN vaccination over the course of challenge studies (2 and 10 DPC).

466 Despite similarities in the viral RNA burden detectable in the lungs of IN and IM vaccinated mice 467 and similar scores for inflammation at day 10 post-challenge, IN VLP-RBD-BECC mice 468 displayed lower scores for lung inflammation at day 2 when compared to IM mRNA. Together 469 our data demonstrates that VLP-RBD-BECC administered via the intranasal route can provide 470 similar protection to mRNA-1273 against the Delta variant when administered intramuscularly. 471 While both intramuscular mRNA and intranasal VLP-RBD-BECC were able to fully protect mice 472 from challenge, future studies should evaluate the dose ranges and longevity of protection for 473 each vaccine formulation and vaccination scheme.

474 The hepatitis B surface antigen-based VLP-RBD used in this vaccine study utilized RBD from 475 an ancestral strain of SARS-CoV-2 (Wuhan/Washington-1). While currently approved COVID-19 vaccines on the market have utilized ancestral sequences and proteins of RBD and Spike, the 476 477 concept of matching vaccine antigen to challenge strain has recently gained more attention. 478 Emerging variants of SARS-CoV-2 acquire additional mutations to the viral Spike protein which 479 decreases the ability of neutralizing antibodies and other aspects of the immune system that 480 recognize the ancestral protein to recognize new variants like Omicron. Moderna and Pfizer-BioNTech's bivalent mRNA booster vaccines were recently approved for use in the United 481 482 States as a means of enhancing variant-specific immunity [36,37]. Both vaccines conserve 483 inclusion of genetic material from the ancestral strain, but additionally contain genetic sequences encoding the Omicron BA.4 and BA.5 variants. It's been largely reported that original 484 485 COVID-19 vaccines remained partially protective against severe disease against the Delta and 486 Omicron variants albeit with increased waning of protection over time [45]. We showed 487 previously that VLP-RBD with other adjuvants was protective intramuscularly against early SARS-CoV-2 variants of concern [17]. Intranasal VLP-RBD-BECC was 100% protective against 488 489 lethal Delta challenge in the K18-hACE2 mouse, boasting the superiority of this vaccine as 490 compared to our BreC-CoV2 vaccine [16]. One area where intranasal VLP-RBD-BECC did not 491 outperform intramuscular mRNA was in in vitro RBD-ACE2 neutralization. Although neutralizing antibodies from intranasal mice were high in serum post-boost and prevented Delta plaque 492 493 formation, and our *in vitro* binding assay showed high ACE2-RBD binding inhibition against 494 Delta when it was the challenge strain, vaccination-induced antibodies did not highly recognize 495 Omicron RBD and did not convey sterilizing immunity against early Omicron virus replication in 496 the lungs (at day 2). To meet our goal of developing a vaccination scheme that will improve 497 protection against highly transmissible viral variants, future studies with this platform would need 498 to assess the incorporation of VOC specific RBD into the VLP antigen before the vaccine moves 499 into other preclinical models like hamsters where we can assess additional correlates of 500 protection like reduced transmission.

Numerous intranasal vaccines utilizing a variety of platforms have been characterized in 501 502 preclinical K18-hACE2 mouse challenge experiments [14,16,54-58,46-53]. Intranasal vaccines 503 are also being developed by other labs to improve COVID-19 vaccines, although none reported so far have utilized the virus-like particle, and none have been evaluated preclinically against 504 505 the highly virulent and pathogenic Delta variant. The adenovirus platform is another popular 506 option for intranasal vaccines due to its high immunogenicity. One dose of ChAd-SARS-CoV-2-507 S, an adenovirus-based Spike protein-encoding vaccine that has progressed to evaluation in 508 human trials, was shown to be protective in mice, hamsters, and rhesus macaques [39,40,57]. 509 Hassan, et al. reported that ChAd-SARS-CoV-2-S confers lasting immunity against SARS-CoV-510 2 variants of concern using serological assays against variant strain RBD. Although dampened 511 compared to ancestral strains, ChAd-SARS-CoV-2-S induced neutralizing antibodies that bound 512 Delta [57]. To our knowledge, this vaccine and its correlates of protection have yet to be evaluated authentically in a challenge study with the Delta variant. Vesin et al. evaluated the 513 514 protection of a lentiviral based Spike vaccine (Lv:S) as a boost in Ad5:hACE2 transfected mice 515 later challenged with the Delta variant [56]. Although this Delta challenge model did not utilize

516 the K18-hACE2 transgenic mouse that is susceptible to SARS-CoV-2 induced pathology, it did 517 show effective limitation of viral RNA post-challenge in the lung [56]. In these studies, 518 researchers assessed the added benefits of intranasal vaccination that exist in addition to 519 protection against morbidity and mortality. Immunogenicity studies for intranasal vaccines have 520 described that they are able to not only elicit high mucosal antibody levels, but important T cell 521 populations resident to the lung and respiratory tract [59]. This priming of the mucosa is likely a 522 major contributor to reducing early disease pathology-reduced viral replication in the upper 523 respiratory tract, limited lung inflammation, and decreased transmission/viral shedding—seen by 524 our lab and others. Vesin et al. evaluated the implementation of their IN Lv:S vaccine as a booster in addition to a previously administered mRNA schedule [56]. This is an important 525 526 variable to consider as we work to implement intranasal vaccines into the long-term COVID-19 527 response as most of the world will be intramuscularly vaccinated and require additional 528 boosters. VLP-RBD-BECC could be an advantageous booster for COVID-19 protection due its 529 mucosal IgA responses which would supplement the strong IgG-dominant responses incurred 530 by mRNA priming. While VLP-RBD-BECC and mRNA were equally matched in their ability to 531 confer protection against SARS-CoV-2 challenge, we showed that antibody responses to both 532 vaccines were distinct. VLP-RBD-BECC as an intranasal vaccine primed the host for IgA production, which was not seen in mRNA vaccinated mice, but mRNA vaccinated mice showed 533 534 higher IgG production systemically. IgA responses may provide better sterilizing immunity in the mucosa, protecting against disease pathology and possibly even transmission in a manner 535 which systemic IgG levels cannot. The function of VLP-RBD-BECC induced IgA compared to 536 537 mRNA-induced IgG merits further study. Additionally, the BECC 470 adjuvant used in this 538 formulation was described to confer a Th1 dominant T cell response in BReC-CoV-2 which 539 could diversify the immune response to mRNA which is normally dominated by B cells [60]. 540 Deeper cellular analyses using these vaccines could help shed light on their unique 541 mechanisms of protection. To further enhance the translational ability of this work, a model of waning immunity would also need to be established to mimic the changes in memory responsesover time.

544 This VLP-RBD-BECC formulation shows promise as the COVID-19 pandemic progresses. 545 Additional immunogenicity studies using Omicron RBD or other emerging strain RBDs will be 546 necessary to improve the neutralizing antibody responses suggested by this challenge study. In 547 these future immunogenicity studies, it will also be important to characterize the tissue-specific 548 T cell responses to vaccination. Lung-resident T regulatory and T memory cells are vital to the lasting protection against SARS-CoV-2 [61-63]. Investigation of the neutralizing abilities of 549 550 vaccine-induced IgA responses will also be interesting for describing not just the mechanistic 551 ability of VLP-RBD-BECC to induce protection, but for also characterizing the potential of 552 intranasal vaccines against other pathogens.

553 Figure Legends

554 Figure 1: Intranasal VLP-RBD-BECC induces strong antibody responses in K18-hACE2 555 mice. Anti-RBD IgG levels were measured in serum A) four weeks post-prime vaccination, and 556 B) four weeks post-boost vaccination (n=10 mice per group). Serum, nasal wash, and lung 557 supernatant were collected at euthanasia points (NVC mice reached total morbidity by day 6 558 while all other groups survived to day 10 post-challenge) to measure C) serum anti-RBD IgG, D) 559 serum anti-RBD IgA, E) nasal wash anti-RBD IgA, and F) lung supernatant anti-RBD IgA (n=7 560 mice euthanized at 6 or 10 DPC). Each point denotes one biological replicate. One Way ANOVA with Tukey's Multiple Comparisons was performed to determine P value: ****P<0.0001, 561 ****P*<0.0006, ***P*=0.0063 562

Figure 2: Neutralizing antibodies against multiple SARS-CoV-2 VOC RBD are elicited
 from IN VLP-RBD-BECC. A) Serum IgG antibodies from mRNA or VLP-RBD-BECC vaccinated
 mice were analyzed at euthanasia point 6 (NVC) or 10 (IM mRNA or IN VLP-RBD-BECC) days

post-challenge to measure *in vitro* ACE2-RBD % binding inhibition and compared to PBSvaccinated and challenged mice. Points represent the average of n=6-7 biological replicates. Unpaired T tests were performed for statistical analysis: * = significance between mRNA and VLP-RBD-BECC at dilution point (P<0.05). B) The PRNT50 (percent neutralization 50) values were interpolated for each biological replicate from the dilution curve of % binding inhibition. way ANOVA with Tukey's Multiple Comparisons was performed for statistical analysis. ***P<0.0091, **P=0.0020.

Figure 3: Serum from VLP-RBD-BECC vaccinated mice reduce plaque formation in vitro.
Serum collected 4 weeks post-boost was added with Delta SARS-CoV-2 (n=10 biological
samples per group) to Vero E6-AT cells to measure the ability of antibodies to reduce plaque
formation. Unpaired t-tests were performed for statistical analysis: * *P*<0.05

577 Figure 4: Vaccination with VLP-RBD-BECC intranasally confers protection against lethal 578 challenge with Delta. A) Mice were monitored daily for disease progression after challenge with the sum of their disease scores reported daily for up to 10 days post-challenge. B) Daily 579 580 weight and C) temperature change was measured to monitor disease. Mice in the NVC group 581 reached morbidity by day 6 at which point disease scores stopped being reported. Any mice 582 euthanized before complete euthanasia of the group had their score retained for reporting. D) 583 Kaplan Meier survival curve of vaccinated and viral-challenged K18-hACE2 mice. (n=7 mice per 584 group)

585 Figure 5: IN VLP-RBD-BECC and IM mRNA limit viral replication and tissue viral burden.

A) PFUs from lung homogenates of mice euthanized 2 days post-challenge (n=3 mice per group). B) qRT-PCR was performed to measure viral RNAs in the nasal wash, C) lung and D) brain of mice in each experimental group euthanized at day 2 post-challenge or at euthanasia. Mice in the NVC group all reached morbidity by day 6 (symbols outlined in red) while all other groups survived to day 10. Copy numbers calculated below the limit of detection (LOD designated by dashed line) were set as equal to the LOD for reporting. One way ANOVA with Tukey's Multiple Comparisons was performed for statistical analysis. ****P<0.0001, ***P=0.0003, **P=0.0066, *P=0.0445. (n=3 mice per group euthanized at 2 DPC, n=7 mice euthanized humane endpoint or 10 DPC)

Figure 6: Vaccination limits CXCL10 production in the lung after Delta variant challenge. CXCL10 concentrations were quantified in the A) serum and B) lung supernatant of K18-hACE2 mice 2 or 10 days after SARS-CoV-2 viral challenge (NVC mice surviving past 2 DPC were euthanized 4-6 DPC). Each symbol represents one biological replicate. One way ANOVA with Tukey's Multiple Comparisons was performed for statistical analysis. *****P*<0.0001, ****P*<0.0003 ***P*<0.0013

Figure 7: Histopathological analysis shows lung inflammation is limited by VLP-RBD-601 602 BECC in SARS-CoV-2 challenged mice. A) Representative images of H&E-stained mouse lungs collected at euthanasia after viral challenge. Total inflammation scores comprised of acute 603 and chronic inflammation scores were awarded to mice euthanized B) two (n=3) or C) 10 days 604 (n=7) post-challenge (NVC mice surviving past 2 DPC were euthanized on or before 6 DPC). 605 606 For each parameter of acute and chronic inflammation, 0=none; 1=minimal; 2=mild; 607 3=moderate; 4=marked; 5=severe. D) Margination of immune cells into the blood vessels was 608 scored for each mouse where 0=none; 1=mild; 2=moderate; 3=severe. Statistical analyses were 609 performed using one way ANOVA with Tukey's Multiple Comparisons: ****P<0.0001, *P<0.0295

Figure 8: Protection from VLP-RBD-BECC is reduced against Omicron challenge. A) *In vitro* binding inhibition assays were performed to measure the binding of serum IgG antibodies collected two weeks post boost to Omicron RBD. Points denote the average of n=6 biological replicates, Unpaired T tests were performed for statistical analysis: * = significance between mRNA and VLP-RBD-BECC at dilution point (*P*<0.05). B) Anti-Wuhan RBD IgG antibodies and C) anti-Omicron RBD IgG antibodies were quantified by ELISA in serum two weeks post prime and two weeks post boost. D) qRT-PCR analysis was performed to determine copy number of the SARS-CoV-2 nucleocapsid gene in lung tissue homogenates collected 2- or 6-days postchallenge. Dashed lines indicate the limit of detection. E) Plaque assays were performed using lung tissue supernatant collected 2- or 6-days post-challenge to measure viral burden. Each point denotes one biological replicate. One Way ANOVA with Tukey's Multiple Comparisons was performed to determine *P* value: *****P*<0.0001, ****P*<0.0006, ***P*=0.0017

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642 <u>Author Contributions</u>

These studies were designed by FHD, KSL, TYW and JRB. The BECC470 adjuvant was 643 644 developed and provided by RKE. Viral strains were propagated and sequenced by MTW and 645 IM. Mouse challenge studies as well as the subsequent necropsy and tissue processing were 646 performed by KSL, OAM and MC. ELISAs were performed by NAR, OAM, and KSL. MC 647 performed plaque assays, plaque reduction assays, and *in vitro* antibody neutralization assays. 648 qRT-PCR of tissue viral RNA was performed by OAM. Luminex assays were performed by KSL. 649 Histopathological analyses of lung tissues were carried out by MSA. The data in this manuscript 650 was prepared by KSL and FHD. All authors contributed to the writing and revision of this 651 manuscript.

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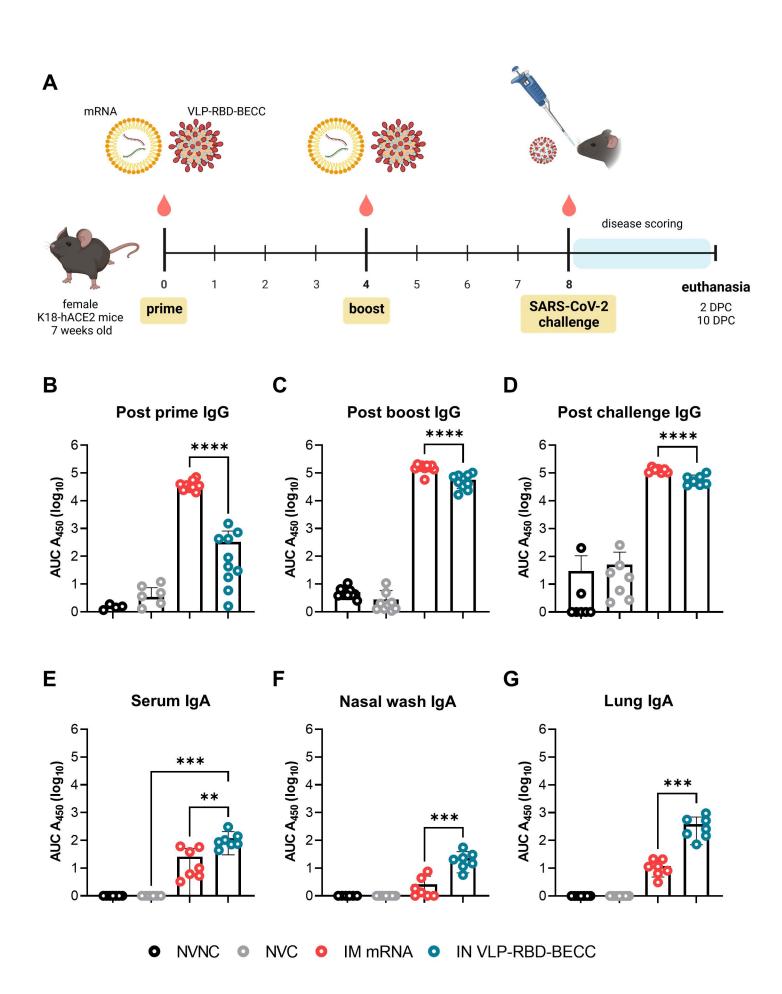
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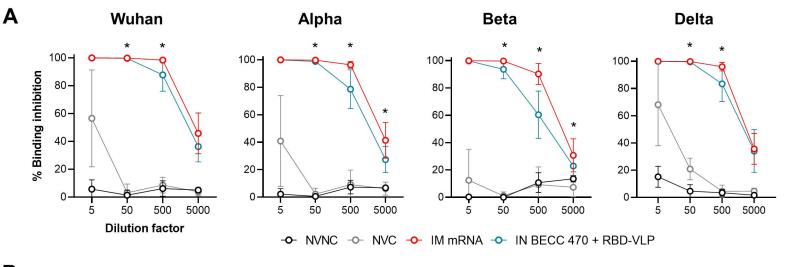
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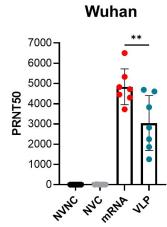
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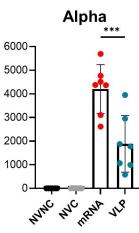
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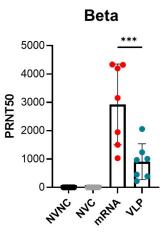


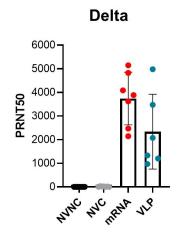


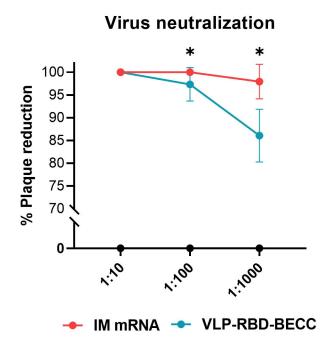


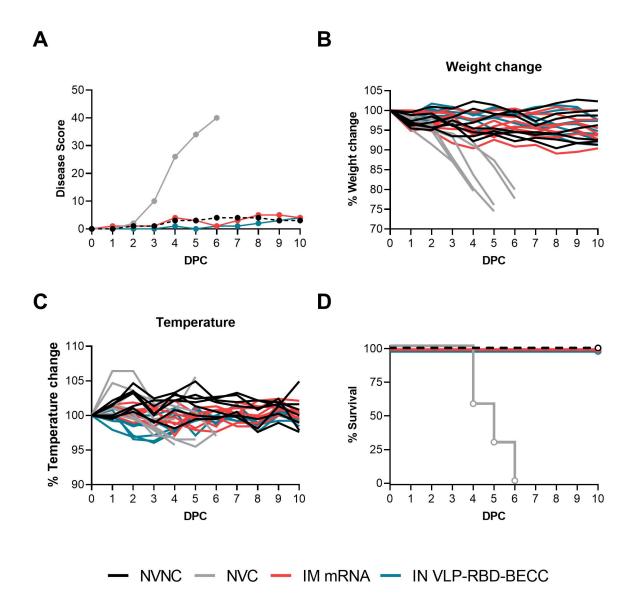


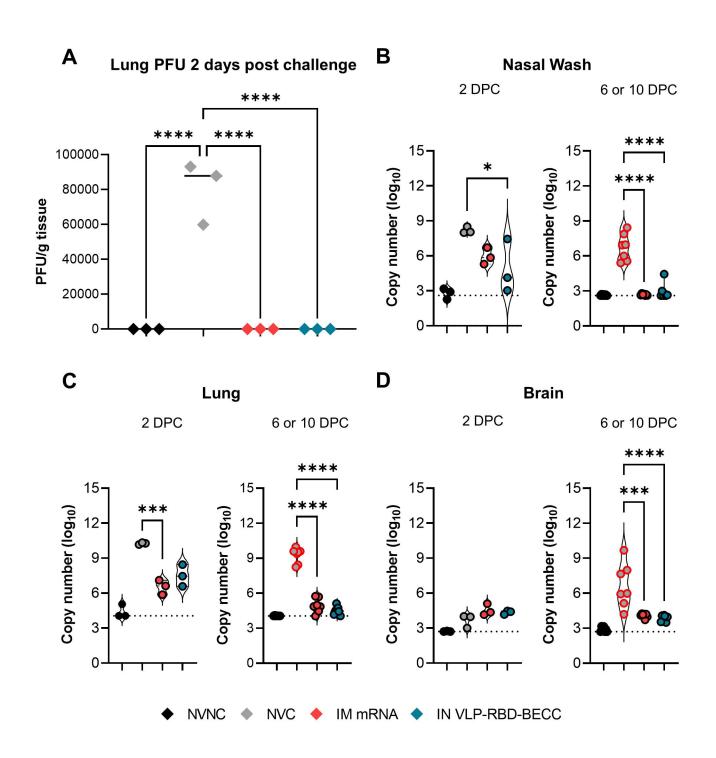
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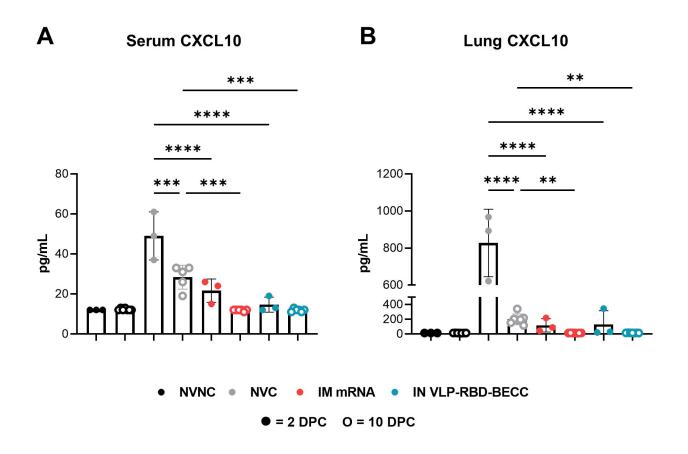


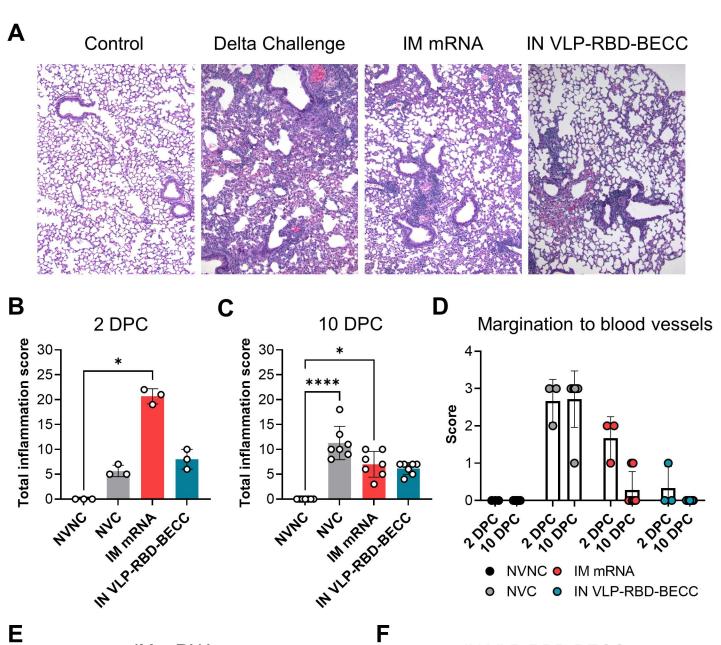




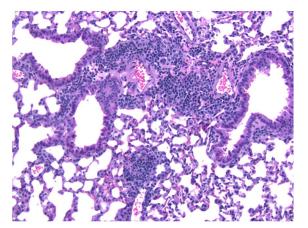








IM mRNA



IN VLP-RBD-BECC

