BEING A TREE CROP INCREASES THE ODDS OF EXPERIENCING

YIELD DECLINES IRRESPECTIVE OF POLLINATOR DEPENDENCE

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ABSTRACT

Yields are in decline for a number of crops and regions, but the drivers of this process are poorly

known. Global decreases in pollinator abundance and diversity have been proposed as a major

driver of yield declines in crops that depend on animals, mostly bees, to produce fruits and seeds.

Alternatively, widespread tree mortality has been directly and indirectly related to global climate

change, which could also explain yield decreases in tree crops. As tree crops are expected to be

more dependent on pollinators than other crop types, disentangling the relative influence of

growth form and pollinator dependence is relevant to identify the ultimate factors driving yield

declines. The incidence of yield decline, estimated as a negative average yield growth rate from

1961 to 2020, was measured in 4270 time series, involving 136 crops and 163 countries and

territories. About one-fourth of all time series showed negative average rates of yield growth, a

characteristic associated with both high pollinator dependence and a tree growth form. Because

pollinator dependence and plant growth form were partially correlated, we disentangled the

effect of each of these two predictors using a series of generalized linear mixed models that

evaluated direct and indirect associations. Our analyses revealed a stronger association of yield

decline with growth form than with pollinator dependence, a relationship that persisted after

partialling out the effect of pollinator dependence. In particular, yield declines were more

common among tree than herbaceous and shrub crops in all major regions but in Africa, a

continent showing a high incidence of yield declines irrespective of growth form. These results

suggest that global climate change rather than pollinator decline could already be impacting tree

crop productivity.

Keywords: agriculture, climate change, growth form, pollination crisis, pollinator decline,

pollinator dependence, tree crops, tree mortality, yield decline.

INTRODUCTION

Plant breeding has played a crucial role in improving agricultural productivity through techniques such as hybridization and polyploidization, artificial selection, and genetic engineering. Along with the expansion of agriculture and the intensification in the use of external subsidies (Matson and Vitousek 2006; Aizen et al. 2022), these methods have helped feed a growing human population (Borlaug 1983; Moose and Mumm 2008; Tester and Langridge 2010). However, the sustained increase in crop yields (*i.e.*, yield growth) of many crop species in different parts of the world is now decelerating, indicating that the increase in productivity might be reaching a ceiling (Bennett et al. 2012; Ray et al. 2012; Grassini et al. 2013). This may be in part because crop improvements are limited by various plant trade-offs that impose upper boundaries on yield growth (Huot et al. 2014; Fernandez et al. 2021; Garibaldi et al. 2021). Even though resource allocation patterns in crop plants may be driven to extremes by human selection, crops have rarely explored the phenotypic space existing beyond the boundaries set by the evolution of wild plants (Milla et al. 2018; Garibaldi et al. 2021; Cunha et al. 2023).

In addition to intrinsic constraints, environmental degradation associated with global change may cause declines in crop yield. In particular, the interaction of different plant traits with a plant's changing abiotic and biotic environment may also contribute to diminishing yield growth, and result in yield decline (Bennett et al. 2012; Ray et al. 2012). One of the most influential factors affecting the yield of many crops is the availability of efficient pollinators, which have declined in many regions during the last decades due to a combination of habitat destruction, land-use change, intensive pesticide use, pathogen transmission, and climate change (Winfree et al. 2009; Potts et al. 2010; Zattara and Aizen 2021). Additionally, climate change has resulted in extreme temperature fluctuations, droughts, and flooding events (Coumou and Rahmstorf 2012; Stott 2016; Ummenhofer and Meehl 2017), which have increased plant stress

(Greenwood et al. 2017; Onyekachi et al. 2019), pest susceptibility (Jaime et al. 2019; IPPC

Secretariat 2021), and phenological mismatches (Beard et al. 2019). Two plant-related factors

that can predict crop yield decline in relation to dwindling pollination services and climate

change are pollinator dependence and growth form, respectively. Pollinator dependence can

affect a crop's susceptibility to changes in pollinator availability (Aizen et al. 2022), whereas a

plant's growth form and other functional traits can affect a plant's susceptibility to extreme

weather events and plasticity to respond to global warming (Greenwood et al. 2017; Alecrim et

al. 2023).

Dependency on pollinators varies greatly among crops and is expected to determine their

susceptibility to pollinator decline (Aizen et al. 2022). On one extreme, some crops grown for

their vegetative parts (*e.g.*, potato, carrot, tea, etc.) or their wind-pollinated seeds or fruits (*e.g.*,

wheat, maize, olive, etc.) do not rely on pollinators to any extent. On the other extreme, some

seed and fruit crops have a high degree of pollinator dependence (*e.g.*, cacao, watermelon,

vanilla, etc.), to the point that their yield would be reduced close to zero in the absence of

pollinators (Klein et al. 2007). However, more than half of all cultivated crops fall somewhere

between these two extremes, which means that their yield can be improved to different degrees

in the presence of pollinators (Aizen et al. 2009, 2022). In any event, the presence of a diverse

group of pollinators, sometimes including rare but highly effective pollinators, is relevant for

increasing the yield of most pollinator-dependent crops, particularly of those with high

dependency (Garibaldi et al. 2013; Sáez et al. 2022). For instance, the yield of several crops

tends to decrease with increasing distance from the field edge of the cultivated field in

association with a decline in the abundance and diversity of wild pollinators that thrive in field

margins (Garibaldi et al. 2011b). In addition, the yield of economically important tropical crops

such as coffee, which benefit from the pollination provided by diverse pollinator assemblages,

has declined in different countries (Aizen et al. 2020). A recent meta-analysis revealed that

relying solely on honey bees (*Apis mellifera*), the most important managed pollinator globally, is

insufficient to significantly reduce the pollination deficit of most cross-pollinated crops (Sáez et

al. 2022). Based on these findings, it is reasonable to expect that the degree of a crop's

dependence on pollinators will influence the yield response to declines in pollinator populations.

Specifically, we predict that as the dependency on pollinators increases, the occurrence of

negative trends in crop yield over the last few decades is expected to increase as well.

The growth form of plants can determine their susceptibility to global warming. Trees are

expected to show much less adaptive plasticity than herbs to a rapidly changing climate because

of their longer lifespans and slower growth rates, with shrubs characterized by intermediate life-

history attributes. For instance, spring☐flowering forest herbs are advancing their phenologies

faster than trees, thus taking advantage of the longer growing season (Alecrim et al. 2023). On

the other hand, trees have deeper root systems and greater leaf biomass than shrubs and herbs,

which allows them to tap water and nutrients from deeper in the soil and capture more sunlight

for photosynthesis. However, these traits could also make trees more vulnerable to changes in

temperature and precipitation because, in addition to their more stringent hydraulic limitations

(Choat et al. 2012), they require more resources to support their growth and metabolism. In

particular, secondary growth in trees and shrubs requires a significant amount of energy and

resources to produce the lignin that makes up the tree's woody tissue (Novaes et al. 2010), which

could increase their susceptibility to stress factors, such as drought and pests (Cailleret et al.

2017). Also, increasing occurrences of wildfires can cause direct damage to the cambium layer

responsible for wood formation (Dickinson et al. 2004), whereas extreme temperatures and

increasing incidence of droughts and frosts can cause xylem embolism and cavitation (Martínez-

Vilalta and Pockman 2002; Savi et al. 2015), all leading to growth abnormalities, partial crown

dieback, and increased mortality (Barigah et al. 2013; Cailleret et al. 2017; Greenwood et al.

2017). On the other hand, herbaceous plants can experience reduced reproduction and high

mortality in relation to extreme temperatures; however, they are probably better adapted to

overcome unsuitable climatic episodes as they can survive as dormant seeds or underground

structures (Keeley et al. 1981; Gardarin and Colbach 2015; Jongen et al. 2015). In an agricultural

context, long-lasting yield declines in tree crops can be triggered by sporadic but increasingly

frequent heat waves, frost events, or pest outbreaks, whereas in herbaceous crops, most of them

annuals, extreme climatic events, or pest outbreaks do not have long-lasting consequences as,

unlike long-lived crops, they are sown anew every year and breeding can provide adaptations

within a shorter time frame. Given this background, we expect tree crops to exhibit a higher

incidence of long-term yield declines than herb crops, whereas shrub crops would fall in

between.

The effects of pollinator dependence and growth form on yield decline cannot be studied

independently because these two factors are expected to be associated for two reasons. First,

most crops harvested for their vegetative parts, which are thus pollinator-independent, are herbs

(Klein et al. 2007). Second, among crops cultivated for their fruits and seeds, pollinator

dependence is expected to increase from herbs to trees because self-incompatibility, which

implies mandatory cross-pollination for successful fertilization, is higher in long-lived plants

(Ramírez 2022). Thus, the effect of pollinator dependence on the probability of yield decline can

be easily confounded with the effect of growth form and vice-versa when both factors are

considered separately.

135   Here we investigated the relationship between pollinator dependence and growth form

136 across crops and then analyzed whether either pollinator dependence or growth form is

137 associated with the probability of crop yield decline over the last six decades. We examined the

138 effects of pollinator dependence and growth form separately and jointly. Although our

139 assessment is global, whether a crop's yield declines or not was estimated at the country scale

140 (the smallest spatial scale available for long-term data), rather than at the regional or global

141 scale. This relatively small scale was chosen because declines in yield in some countries may be

142 compensated by increases in others, thus hiding any effect that spatially heterogeneous biotic or

143 abiotic factors may have on yield decline. Compilation and analysis of country-level data also

144 allow for the detection of geographical areas where yield decline may be more prevalent, and to

145 determine whether potential associations between the probability of yield decline and either

146 pollinator dependence or growth form vary among regions or can be regarded as a truly global

147 trend.

148 **MATERIALS AND METHODS**

149 **The database**

150 We obtained yearly data (1961-2020) on yield and cultivated area at the country level from the

151 United Nations Food and Agriculture Organization database (FAOSTAT 2021) for a total of 136

152 crops and crop items (*i.e.*, aggregations of different species or subdivisions in terms of different

153 harvested parts; see Aizen et al. 2019 for details) for which there is available information on

154 pollinator dependence (Klein et al. 2007; updated and expanded in Aizen et al. 2022) and there

155 are uninterrupted yield records since 1961 for at least one country. Data were retrieved for 163

156 countries and territories that maintained their physical integrity since 1961, resulting in a total of

4280 complete 60-yr time series (crop x country combinations). We discarded 10 series that

showed unrealistic differences in yield (> two orders of magnitude) between any two years. We

estimated yield growth rates as the slope of the least-squares linear regression of (log) yield *vs.*

year (Fig. S1). This simple statistical method provides similar point estimates as other regression

methods that consider the autocorrelation nature of time series (Altinay 2003). Given the goal of

our study, an average growth rate <0 over the period 1961-2020 was considered evidence of

yield decline independent of the absolute value of the growth rate.

For classifying crops into pollinator-dependence categories, we considered initially the

five categories established by Klein et al. (2007) based on the expected reduction in crop yield in

the absence of animal pollination: none (0% reduction), little (>0 to <10%), modest (>10 to

<40%), high (>40 to <90%) and essential (>90%). However, given the highly unbalanced

number of crops in each category, particularly when pollinator dependence was crossed with

growth form, we reduced the number of categories to three by maintaining the category "none"

and merging the categories "little" and "modest" into the category "modest" and the categories

"high" and "essential" into the category "high". Among pollinator-independent crops (category

"none"), we further distinguished among those cultivated for their vegetative parts (*i.e.*, leaves,

stems, bark, roots, tubers, etc.) *vs.* reproductive parts (*i.e.*, either fruits or seeds). By definition,

all pollinator-dependent crops (our categories "moderate" and "high") were cultivated for either

their fruits or seeds. Finally, crops were classified into one of three commonly used growth-form

categories (*i.e.*, herbs, shrubs, and trees) using existing databases (Milla 2020; Gleiser et al.

2021). To explore for potential geographical differences in yield decline associated with either

pollinator dependence or growth form, countries were grouped into one of the five following

geographical regions defined by the United Nations Geoscheme

(https://unstats.un.org/unsd/methodology/m49/): Africa, the Americas (including South, Central,

and North America), Asia, Europe, and Oceania (including Australia and New Zealand).

Data analyses

We first evaluated the association between pollinator dependence and growth form by means of a

chi-square test. Then, we ran a step-up, hypothesis-driven series of sequential generalized linear

mixed-effects models to disentangle the influence of pollinator dependence and growth form on

yield decline, considering the response variable as dichotomous based on the sign of the yield

growth rate (negative slope=declining yield, coded as 1; positive slope = non-declining yield,

coded as 0). The first model (model GLMM_0) was a pure random model to characterize

variation among crops (independent of country) and countries (independent of crop) in yield

decline, and thus only included crop and country as random crossed factors. In addition to the

random factors, the second and third models included pollinator dependence (GLMM_1a) and

growth form (GLMM_1b), respectively, while the fourth model (GLMM_2) included both

factors together to assess the effect of pollinator dependence independent of growth form, and

vice versa. GLMM_1 and GLMM_2 also included the geographical region as another fixed

factor to account for regional variation in yield decline. A last model (GLMM_3) assessed

evidence for an interaction between pollinator dependence and growth form, and whether the

influence of either of these two crop factors on yield decline depended on geographical region.

All the mixed models *sensu stricto* (*i.e.*, GLMM_1a, GLMM_1b, GLMM_2, and GLMM_3)

included the (log10) cumulative total harvested area (in square kilometers) over the period

1961-2020 for each crop (summed across countries) and for each country (summed across crops)

as covariates to account for variation among crops and among countries in the probability of

yield decline that could relate to the agricultural relevance of the crop and country, respectively.

Using the same random structure and area covariates as in the former models but considering

only data for pollinator-independent crops, we tested for differences in the probability of yield

decline between crops cultivated for their reproductive *vs*. vegetative organs. All models

considered a binomial (0,1) distribution and a *logit* link function and were implemented with the

statistical software R version 4.0.2 (R_Core_Team 2020), using the glmmTMB function of the

glmmTMB package (Brooks et al. 2017) and rechecked for consistency using the glmer function

of the lme4 package (Bates et al. 2015). Because both functions produced highly similar results

(only differing at the second or third decimal in their parameter estimates), we only report here

results from the models run with glmmTMB. The extent of multicollinearity among all fixed

factors and covariables was assessed using the adjusted Generalized Variance Inflation Factor

(GVIF, adjusted GVIF^[1/(2*df)], where df stands for degrees of freedom), estimated using the

vif function from the car package (Fox and Weisberg 2019), where adjusted GVIF values <3

indicate no serious multicollinearity problems. Effects were evaluated statistically using Wald's

type II tests because we were firstly interested in assessing the overall average effects and

secondarily the interactions. Finally, pairwise means were compared using Tukey's test for

multiple comparisons, by running the contrast function of R's lsmeans package (Lenth 2016).

Ignorance of phylogenetic non-independence due to shared evolutionary history among

crops could bias results and inflate type-I errors. However, no need for a phylogenetic correction,

with the consequent loss of statistical power, is required when there is no evidence of

phylogenetic structure in the residuals of the models (Revell 2010). To evaluate this, we first

constructed a phylogeny for the 136 crops following the protocol of Gleiser et al. (2021) and

Milla (2020). In brief, obtaining crop phylogeny involved checking accepted scientific species

names based on the Plant List (The_Plant_List_Version_2 2013) and pruning the

GBOTB.extended megaphylogeny (Jin and Qian 2019) according to the unique scientific names

assigned to each of the 136 crops. In the case of crops including a taxonomically diverse group

of species, the whole group was assigned to the most representative species within the group,

provided that the vast majority of groups are composed of a single species that is agriculturally

relevant plus other minor crops (Milla and Osborne 2021). Then, we compared all the above

non-phylogenetic models with homologous generalized linear mixed linear models with the same

fixed and random structures, but that also included a correlation matrix based on the

phylogenetic distances between crops (Revell 2010). These models were run using the

phylo_glmm function written by Li and Bolker (2019) in R language (R_Core_Team 2020).

Rather than imposing a given phylogenetic correlation structure on the random effects, this

function models trait evolution following a flexible Brownian motion process that in practice is

implemented as a sequence of independent errors (Li and Bolker 2019). Corresponding models

with and without phylogeny were compared using Akaike Information Criterion (AIC). Also,

residuals of phylogeny-ignorant models were averaged by crop species and these averaged

residuals were analyzed for evidence of a phylogenetic signal using Blomberg's K (Blomberg et

al. 2003) estimated by using the R's package phytools (Revell 2012).

RESULTS

The incidence and distribution of yield decline

We found that 22.58% (n=964) of the 4270 times-series compiled and analyzed were

characterized by negative growth rates in yield over the period 1961-2020. Consistent with the

proposal that a negative growth rate can be taken as evidence of long-term yield decline, the

mode of the maximum yield across the 964 time-series exhibiting negative growth rates was the

year 1961, the first of the time series. On the other hand, the mode of the maximum yield across

the 3306 time-series showing positive yield growth rates was the year 2020, the last year of the

time series (Fig. 1).

The expected mean probability of yield decline estimated by the random model

(GLMM_0) was 0.234 (95%CI=[0.215, 0.2548]). However, there was high variability in the

incidence of yield decline among crops and countries. The expected probability of yield decline

varied from <0.1 in widespread crops such as maize, wheat, and rape, to >0.4 for assorted crops

such as dates, cherries, walnuts, and cauliflowers (Fig. S2). Variation among countries in the

expected probability of decline was even more prominent, ranging from <0.08 in countries such

as Hungary, Turkey, Myanmar, Turkey, and China, to >0.50 in countries such as Ecuador,

Mauritius, Zimbabwe, and Trinidad and Tobago (Fig. S3). Particularly, there seems to be a high

concentration of countries with a high probability of yield decline in Central and Southern

Africa, Oceania, and to a lesser extent, the Pacific rim of South America (Fig. 2). Regional

differences in the probability of decline were strong (Table S1), with countries in Africa and

Oceania, followed by countries in the Americas, depicting the highest expected probability of

yield decline (Fig. S4).

The importance of pollinator dependence and crop growth form

Pollinator dependence and plant growth form were related traits. Pollinator dependence and

growth form were associated across the whole set of 136 crops (X^2=26.3, df=4, p<0.001).

Specifically, the yield of about 64.55% of all herbaceous crops did not depend on pollinators,

whereas only 12.66% of crops with that growth form depended highly on pollinators. On the

other hand, 24.39% of all tree crops are pollinator-nondependent whereas 48.78% are highly

dependent on pollinators (Fig. 3). Shrub crops showed intermediate values, with 37.5 and

18.75% of these crops exhibiting no or high pollinator dependence, respectively. Considering

crops cultivated exclusively for their reproductive organs (105 crops) did not alter this

association (X^2=18.4, df=4, p=0.001), with 18.18, 25.0, and 52.62% of herb, shrub, and tree

crops depending highly on pollinators, respectively.

High pollinator dependence and the tree growth form were both plant characteristics

associated with an enhanced probability of yield decline when considered separately (models

GLMM_1a and GLMM_1b; Fig. 4 and Table S1). Particularly, these two traits increased the

probability of yield decline by ~40 and ~60%, respectively (Fig. 4). However, the effect of

pollinator dependence practically disappeared, whereas the effect of plant growth form persisted

when both factors were included in the same model (GLMM_2; Fig. 4 and Table S1).

We found no evidence of an interaction between pollinator dependence and growth form,

but there was evidence of regional differences in the effect of pollinator dependence on yield

decline (GLMM_3; Table S1). This interaction was mostly attributed to Asia where, contrary to

expectation, the estimated probability of yield decline was somewhat lower among pollinator-

dependent crops (Fig. 5). There was some indication of regional differences in the effect of

growth form on the probability of yield decline (GLMM_3; Table S1). Results show that a

decline in the yield of tree crops was strongest in Europe and Oceania followed by Asia and the

Americas. Africa was the only region where yield decline was not clearly associated with tree

crops (Fig. 6).

Accounting for potentially confounding factors

We found a non-linear strong decrease in the probability of yield decline with increasing total

cultivation area per crop and country (Table S1, Fig. S5). However, the reported relations of

pollinator dependence and plant growth form with the probability of decline were corrected by

these effects. Also, data analyses revealed no evidence for differences in the estimated

probability of yield decline between crops cultivated for the reproductive *vs*. vegetative parts

(least-square means [-1SE/+1SE]=0.18 [-0.023/+0.026] *vs*. 0.14 [-0.021/+0.025]; X^2=1.89,

p=0.17). Despite the association between pollinator dependence and plant growth form, there

was no evidence that multicollinearity could introduce biases in the assessment of any of the

factors and covariates included in our analyses (all adjusted GVIFs <1.1). Last, non-phylogenetic

models provided a better fit to the data than phylogenetically-controlled models based on AIC

criteria (ΔAICs> 3), whereas there was no evidence of phylogenetic structure in the

crop-averaged residuals as revealed by the low magnitude of Blomberg's K signal (Table S1).

DISCUSSION

Crop yield declines are widespread, with approximately one-quarter of all crop × country yield

trends in our dataset exhibiting signs of decline. However, the probability of yield decline was

highly heterogeneous among crops and countries. Part of that heterogeneity was explained by

crops´ dependence on pollinators when this factor was considered in isolation. But this

association disappeared when accounting for the stronger effect of plant growth form. Therefore,

given the association between high pollinator dependence and the tree growth form in crops, the

effect of pollinator dependence on yield declines seems to be a side effect of a more direct

association between the probability of yield decline and plant growth form. In particular, we

reveal that tree crops are more likely to experience yield declines.

Pollinators are declining worldwide as a part of the ongoing global biodiversity crisis

(Potts et al. 2010; Zattara and Aizen 2021). This trend threatens not only the yield of hundreds of

crops but also the reproduction of thousands of wild plant species (Rodger et al. 2021). In

particular, diverse pollinator assemblages play a crucial role in maintaining high yields of many

317 common, nutritionally important, and economically valuable crops, such as coffee, stone-fruit

318 crops, and cucurbits (Garibaldi et al. 2013). Although dozens of studies have shown that spatial

319 and temporal local declines in wild pollinator populations negatively affect the yield of many

320 pollinator-dependent populations, evidence that pollinator decline has impacted crop yields

321 globally has remained elusive (Aizen et al. 2008, 2022). For instance, global data indicate that

322 yield growth rates and their stability seem to decrease with increasing pollinator dependence

323 (Garibaldi et al. 2011a). However, these findings cannot be taken as unequivocal evidence of the

324 impact of pollinator decline despite widespread pollination limitation (Ashman et al. 2004), as

325 they may be more strongly and proximately influenced by mate than pollinator availability

326 (Harder and Aizen 2010; Sáez et al. 2022) or by other correlated factors as shown here. In

327 addition, previous analyses of global data have failed to find a deceleration in yield growth with

328 increasing pollinator dependence (Aizen et al. 2022). In our study, we did not find evidence to

329 support the proposal that a crop's pollinator dependence is a proximal driver of yield decline

330 after accounting for the association between growth form and pollinator dependence. Asia was

331 the only region that showed evidence that the probability of yield decline changes with pollinator

332 dependence irrespective of growth form. However, the observed pattern was contrary to

333 expectations, suggesting that pollination management, including human hand pollination, might

334 have counteracted potential impacts of pollinator decline in that region (Wurz et al. 2021).

335 Therefore, even though evidence implies that pollinator decline is occurring at small as well as

336 continental spatial scales, this phenomenon does not seem to have affected crop yield globally.

337 This lack of evidence does not rule out the possibility that pollinator decline may be affecting the

338 yield of particular crops in some areas, but it implies that pollinator dependence cannot be

339 considered the primary driver of yield decline in most pollinator-dependent crops. Breeding of

new less pollinator-dependent varieties of typically highly pollinator-dependent crops, such as

almonds (Sáez et al. 2020), and more efficient management of crop pollination (Mueller et al.

2012; Röös et al. 2018) might be offsetting the effect of increasing pollination deficits due to

dwindling pollinator populations.

Beyond logging and habitat destruction, tree mortality rates have increased in many

forests around the world over the past few decades, likely as a result of climate change and

associated stressors, such as higher occurrences of insect outbreaks, wildfires, heat waves, and

frosts (Allen et al. 2010; BGCI 2021). Sustained declines in fruit and seed production, the most

common harvest of tree crops, precede tree death (Pesendorfer et al. 2019). Thus, decreasing tree

crop yields over time could signal the impacts of climate change. In addition, interannual yield

variation is higher in woody than in herbaceous crops, independent of pollinator dependence and

harvest organ (Gleiser et al. 2021). Although comparative studies on the susceptibility of

different plant growth forms to climate change are lacking, a recent study has revealed that

herbaceous plants have been more tolerant to frost than woody plants over evolutionary time

(Klimeš et al. 2022). This differential susceptibility could be due to frost-caused xylem

embolism and cavitation, which could trigger wood dieback (Martínez-Vilalta and Pockman

2002; Mayr et al. 2003). Because one of the consequences of climate change is an increasing

incidence of spring-frost damage due to advances in spring phenology (Lamichhane 2021), this

could be one of the contributing factors behind the differential yield decline of tree crops

compared to that of herbaceous crops. Even though frosts can ruin the harvest of an annual

herbaceous crop, re-sowing during the same or the year following the crop's failure would

restore prior yields. Also, the short lifespan and high relevance of several herbaceous plants as

basic staple crops make their genetic manipulation more short-term amenable and profitable than

most long-lived perennial plants (McCown 2000), resulting in the production of new crop

varieties that can adapt rapidly to a changing climate (Henry 2020). Interestingly, the two crops

showing the lowest probability of yield decline were maize and wheat (Fig. S2), two of the most

genetically engineered crops (Takeda and Matsuoka 2008).

Our findings suggest that the association of pollinator dependence with the probability of

yield decline is largely explained by its partial association with growth form. We found a higher

frequency of high pollinator dependence among tree crops, confounding the effects of pollinator

dependence and growth form. However, this association was not as complete as to prevent

evaluation of the independent effect of each of these two factors on the probability of yield

decline. In addition to showing that the relationship between growth form and the probability of

yield decline is relatively strong, we found that the increase in the probability of yield decline

among tree crops was consistent across continents, except in Africa. Africa was the continent

with the highest probability of crop yield declines, which might relate to a combination of

events, including an increasing occurrence of dry spells, and political, social, and economic

factors that affect the agricultural sector as a whole (*e.g.*, absence of significant irrigation

infrastructure), irrespective of plant growth form (Ray et al. 2012). The total cultivated area per

country and crop was also a potential confounding factor. The most widely cultivated crops were

both herbaceous and non-pollinator dependent, which showed the lowest probabilities of yield

declines. However, the reported association between plant growth form and the probability of

yield decline accounts for this potential confounding effect. In addition, the fact that most tree

crops are cultivated for either their seeds or fruits may also confound the effect of the type of

organ harvested (vegetative *vs.* reproductive) with the effect of plant growth form. Yet, we did

not find evidence that the type of organ harvested influences the probability of decline to any

significant extent. Finally, we can discard any effects of unmeasured factors phylogenetically

conserved on the probability of yield decline, given the worse goodness of fit of the

phylogenetically-explicit models and the lack of phylogenetic signal on model residuals.

Therefore, the growth form of a crop plant seems to connect more proximately with the

likelihood of exhibiting a decline in yield than any other of the factors studied.

391   Although we are interpreting the association between growth form and the probability of

yield decline in the context of climate change, it is essential to explore other explanations for this

relationship that are independent of the environmental context. One possible explanation is that

potentially decreasing market prices may have discouraged the cultivation of tree crops and the

proper management of existing cultivated fields. However, the area cultivated with fruit and seed

crops has been increasing steadily for decades (Aizen et al. 2022), with market prices for those

crops that are several times higher than those of cereals and most other crops cultivated for their

vegetative parts (Gallai et al. 2009). Another potential explanation could be the replacement of

slow-growing tree crops by fast-growing and fast-cash herbaceous crops, which may have left

remaining fields of at least some tree crops unattended, or restricted those crops to less

productive marginal areas. For example, the rapid expansion of soybean cultivation in several

countries of the Americas in the last decades has impacted the diversity of cultivated crops.

However, this replacement cannot be considered a global phenomenon, and in some regions such

as Europe and countries elsewhere, the replacement seems to have been in the opposite direction

(Aizen et al. 2019). In conclusion, the proximate factors explaining the relationship between

growth form and yield declines need to be investigated more in depth. However, climate change

seems to be a plausible overarching phenomenon behind the reported association.

CONCLUDING REMARKS

The deceleration in yield growth is a complex phenomenon with multiple causes, including

limitations in crop improvement and diminishing yield returns to increasing external subsidies

such as irrigation, fertilizers, and pesticides (Ray et al. 2012). However, yield decline, rather than

just yield growth deceleration, is also likely to reflect the consequences of widespread

environmental degradation and not just the reach of human management skills. This is

particularly so when negative growth rates are also related to biological crop traits like growth

form, as reported here. Our study also found that yield decline is widespread but exhibits high

geographic variability. While further research is needed to understand why yield decline is more

severe in some countries and regions, we found that plant growth form, rather than a crop's

pollinator dependence, is a more proximate factor explaining variation in yield decline at

regional scales. This highlights the importance of not considering any single factor in isolation

but contrasting the explanatory power of each factor with other correlated factors in

observational studies. For example, we might have reached a misleading conclusion about the

relationship between pollinator dependence and yield decline if we did not consider that

pollinator dependence is associated with growth form. In particular, our study revealed a

differential incidence of yield decline among tree crops compared to crops with other growth

forms, paralleling reports of widespread mass tree mortality associated with climate change.

While climate change can provide a general explanation for this association, more research is

needed to understand the mechanisms behind it for proper crop management and breeding. In

addition to the need for more reductionist approaches, our study identified a previously

unreported association that adds to the evidence of the potential hazards of climate change for

food security.

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compiled and analyzed the data. R.M. provided feedback on the analyses. M.A.A. wrote the

paper with input from all authors.

Data and script accessibility. Data and scripts for this publication are available on the Zenodo

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704
705 **Fig. 1.** Relative frequency of year of maximum yield for 4270 crop x country yield trends
706 (1961-2020) categorized as trends showing positive or negative average yield growths. The
707 dashed lines indicate the median year of maximum yield for each yield-growth category.

708
709
710 **Fig. 2.** World map of yield decline. The map depicts the probability of yield decline (*i.e.*, the
711 estimated proportion of crops that showed negative average growth rates in each country from
712 1961-2020) according to model GLMM_0 (see also Fig. S3).

713
714 **Fig. 3**. Relative frequency of crops with none, modest, and high dependence on pollinators
715 across growth-form categories (*i.e.*, herb, shrub, and tree crops). In parentheses, the number of
716 crops in each growth-form category.

717
Fig. 4. Probability of yield decline in relation to pollinator dependence and growth form. The
figure depicts the mean estimates (+/- 1 SE) of the proportion of crops in each pollinator
dependence and growth-form category with negative average growth rates during the period
1961-2020. The left two panels (GLMM_1a and GLMM_1b) show the results of the effect of
each factor when the other factor was not included in the model (*i.e.*, model GLMM_1a tested
the effect of pollinator dependence and model GLMM_1b tested the effect of growth form),
whereas the right two panels the results of each factor after accounting for the confounding effect
of the other factor (model GLMM_2). Means with the same letter do not provide evidence of
statistical differences at the level of $\alpha = 0.05$ according to a pairwise Tukey's a posteriori test.
Wald's type II test results are provided (see also Table S1).

728
Fig. 5. Probability of yield decline in relation to pollinator dependence by geographical region.
The figure depicts the mean estimates (+/- 1 SE) of the proportion of crops in each pollinator-
dependence category with negative average growth rates in each region during the period 1961-
2020 according to model GLMM_3. Means with the same letter in each panel do not provide
evidence of statistical differences at the level of α = 0.05 according to a pairwise Tukey's a
posteriori test.

735
Fig. 6. Probability of yield decline in relation to crop growth form by geographical region. The
figure depicts the mean estimates (+/- 1 SE) of the proportion of crops in each growth-form
category with negative average growth rates in each region during the period 1961-2020
according to model GLMM_3. Means with the same letter in each panel do not provide evidence
of statistical differences at the level of $\alpha = 0.05$ according to a pairwise Tukey's a posteriori test.

Appendix

742
Fig. S1. A randomly-chosen sample of yield trends (1961-2020) for different crops in different
countries. Point colors represent different categories of pollinator dependence (*i.e.*, none=yellow,
modest=orange, high=red). The difference in the natural logarithm of yield between any two
given years estimates the relative yield growth during that period, whereas the slope of the
regression line of (natural-log) yield as a function of year estimates the annual growth rate in
yield. The sign of the regression was used to characterize whether the yield of a given crop in a
given country showed evidence of long-term decline or not. For instance, maize in the USA
showed no evidence of decline, whereas cocoa in Costa Rica did.

751
752 **Fig. S2.** Variation in the probability of yield decline among crops. As a crop estimate of the
753 probability of yield decline, the figure depicts the expected proportion of countries (+/- 1SE) in
754 which each crop showed average growth rates in yield <0 over the period 1961-2020. Estimates
755 are based on model GLMM_0 (Table S1). Crops are classified based on their pollinator
756 dependence, growth form, and type of organ consumed.

758
759 **Fig. S3.** Variation in the probability of yield decline among countries. As a country estimate of
760 the probability of yield decline, the figure depicts the estimated proportion of crops (+/- 1SE)
761 showing average growth rates in yield <0 for each country over the period 1961-2020. Estimates
762 are based on model GLMM_0 (Table S1). Countries are classified according to geographical
763 region.

764
Fig. S4. Probability of yield decline by geographical region as estimated by the main effects
models. The figure depicts the mean estimates (+/- 1 SE) of the proportion of crops showing
negative average growth rates for countries in each region of the world (*i.e.*, AF, Africa; AM, the
Americas; AS, Asia; EU, Europe; OC, Oceania) during the period 1961-2020 according to the
main-effects GLMMs that included this factor (*i.e.*, GLMM_1a, GLMM_1b, GLMM_2). Means
with the same letter do not provide evidence of statistical differences at the level of $\alpha = 0.05$
according to a pairwise Tukey's a posteriori test. Wald's type II test results are provided (see also
Table S1).

773
Fig. S5. Probability of yield decline and cumulative cultivated area per crop and country. The
figure depicts the mean estimates (+/- 1 SE) of the proportion of countries in which a given crop
showed negative average growth rates (upper two panels) or the proportion of crops in a given
country showing negative average growth rates (lower panel) as a function of the cumulative
cultivated area (1961-2020) per crop or country, respectively. Estimates were extracted from
model GLMM_2, even though the effect of area was very similar in all models that included the
effects of cultivated area per crop and country (Table S1). In the upper panels, crops were
categorized by pollinator dependence (left) and growth form (right), and in the lower panel
countries were categorized by geographical region.

Table S1. Results of logistic mixed-model analysis assessing the effects of pollinator
dependence, growth form, and geographical region on the probability that a given crop in a given
country showed a negative average growth rate between 1961-2020. GLMM_0 only evaluates
the extent of (random) variation in the probability of yield decline among crops and countries.
All the other four models include the effects of geographical region, and (cumulative) cultivated
area per crop and country as fixed effects. Model GLMM_1a and model GLMM_1b test whether
variation among crops in the probability of yield decline can be accounted for by pollinator
dependence or by growth form, respectively. Model GLMM_2 tests for potential confounding
effects as both factors, pollinator dependence and growth form, are associated to some extent
(Fig. 3). Last, model GLMM_3 assesses whether any effect of pollinator dependence depends on
growth form, or whether any effect of crop pollinator dependence or growth form differs among
regions. Effects are evaluated statistically using Wald's type II tests. Comparisons with
homologous phylogenetic GLMM models and estimates of a phylogenetic signal in models'
residuals are provided.

A. Fixed effects

	Df	GLMM_0 X^2	GLMM_0 P	GLMM_1a X^2	GLMM_1a P	GLMM_1b X^2	GLMM_1b P	GLMM_2 X^2	GLMM_2 P	GLMM_3 X^2	GLMM_3 P
Pollinator dependence (P)	2	–	–	6.71	0.035	–	–	2.54	0.28	2.08	0.35
Growth form (G)	2	–	–	–	–	15.95	0.00034	11.64	0.0029	11.93	0.0026
Region (R)	4	–	–	26.37	$<10^{-4}$	26.16	$<10^{-4}$	26.39	$<10^{-4}$	24.75	$<10^{-4}$
P x G	4	–	–	–	–	–	–	–	–	4.06	0.40
P x R	8	–	–	–	–	–	–	–	–	19.53	0.012
G x R	8	–	–	–	–	–	–	–	–	28.53	0.00038
Area_crop	1	–	–	37.51	$<10^{-8}$	36.54	$<10^{-8}$	34.26	$<10^{-8}$	35.66	$<10^{-8}$
Area_country	1	–	–	26.19	$<10^{-7}$	25.55	$<10^{-6}$	25.76	$<10^{-6}$	26.40	$<10^{-6}$

Estimate		Slope	SE	Slope	SE	Slope	SE	Slope	SE	Slope	SE
Area_crop		–	–	-0.481	0.079	-0.461	0.076	-0.445	0.076	-0.467	0.078
Area_country		–	–	-0.359	0.070	-0.353	0.070	-0.354	0.070	-0.362	0.071

B. Random effects

	GLMM_0 Variance	GLMM_0 95% CI	GLMM_1a Variance	GLMM_1a 95% CI	GLMM_1b Variance	GLMM_1b 95% CI	GLMM_2 Variance	GLMM_2 95% CI	GLMM_3 Variance	GLMM_3 95% CI
Crop	0.466	(0.30-0.71)	0.248	(0.15-0.41)	0.217	(0.13-0.36)	0.204	(0.12-0.35)	0.197	(0.12-0.33)
Country	0.695	(0.49-0.99)	0.455	(0.31-0.66)	0.448	(0.31-0.66)	0.449	(0.31-0.66)	0.453	(0.31-0.67)

C. Model explanatory power

	GLMM_0	GLMM_1a	GLMM_1b	GLMM_2	GLMM_3
Marginal R2	0.000	0.101	0.110	0.111	0.138
Conditional R2	0.261	0.259	0.260	0.258	0.280

D. Phylogenetic effects

	GLMM_0 AIC	GLMM_0 ΔAIC	GLMM_1a AIC	GLMM_1a ΔAIC	GLMM_1b AIC	GLMM_1b ΔAIC	GLMM_2 AIC	GLMM_2 ΔAIC	GLMM_3 AIC	GLMM_3 ΔAIC
GLMM	4229.11		4148.85		4140.31		4141.82		4130.16	
Phylo-GLMM	4232.34	3.23	4152.43	3.58	4147.19	6.88	4150.26	8.44	4138.74	8.58

Residuals' signal	Estimate	P	Estimate	P	Estimate	P	Estimate	P	Estimate	P
K	$4.51*10^{-7}$	0.35	$6.79*10^{-7}$	0.13	$6.39*10^{-7}$	0.15	$6.28*10^{-7}$	0.15	$6.28*10^{-7}$	0.15

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