# Cholinergic activation of corticofugal circuits in the mature mouse prefrontal cortex

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## Summary

In layer 5 of the neocortex, ACh promotes cortical output to the thalamus and brainstem by preferentially enhancing the postsynaptic excitability of pyramidal tract (PT) neurons relative to neighboring intratelencephalic (IT) neurons. Less is known about how ACh regulates the excitatory synaptic drive of IT and PT neurons. To address this question, spontaneous excitatory postsynaptic potentials (sEPSPs) were recorded in pairs of IT and PT neurons in slices of prelimbic cortex from adult female and male mice. ACh (20 μM) enhanced sEPSP amplitudes, frequencies, rise-times, and half-widths preferentially in PT neurons, an effect blocked by the muscarinic acetylcholine receptor antagonist atropine (1 μM). When challenged with pirenzepine (1 μM), an antagonist selective for M1-type muscarinic receptors, ACh failed to enhance excitatory drive to PT neurons and instead reduced sEPSP frequencies. The cholinergic increase in sEPSP amplitudes and frequencies in PT neurons was not sensitive to blockade of GABAergic receptors with gabazine (10 μM) and CGP52432 (2.5 μM), but was blocked by tetrodotoxin (1 μM), suggesting that ACh enhances action-potential-dependent excitatory synaptic transmission in PT neurons. ACh also preferentially promoted synchronous sEPSPs in pairs of PT neurons relative to shuffled data across pairs. Finally, selective chemogenetic silencing of hM4Di-expressing PT neurons with clozapine-N-oxide (5 μM) was sufficient to block cholinergic enhancement of sEPSP amplitudes and frequencies in PT neurons. These data demonstrate that, in addition to enhancing the postsynaptic excitability of PT neurons, M1 receptor activation promotes corticofugal output by amplifying recurrent excitation within PT neuron networks.

**Key words**: Prefrontal cortex, prelimbic cortex, acetylcholine, muscarinic receptor, pyramidal neuron, mouse

## Introduction

Output from the neocortex can be segregated into two broad, mostly non-overlapping channels based on the axonal projection patterns of pyramidal neuron subpopulations: pyramidal tract (PT) neurons provide corticofugal output to deep subcortical structures, such as the thalamus and brainstem, whereas most corticocortical projections arise primarily from intratelencephalic (IT) projecting neurons (for review, see Baker et al., 2018a). These pyramidal neuron subpopulations exist side-by-side in layer 5 of the neocortex, including in the prelimbic cortex, an area critical for decision making and goal-directed behavior (for reviews, see Sharpe et al., 2019; Green and Bouton, 2021).

A growing literature has revealed that IT and PT neurons are differentially sensitive to neuromodulators allowing for brain-state-dependent regulation of cortical circuit output (e.g., Dembrow et al., 2010; e.g., Avesar and Gulledge, 2012; Gee et al., 2012; Elliott et al., 2018). Experiments in this laboratory and others has revealed that ACh preferentially enhances the intrinsic

excitability of PT neurons, relative to IT neurons, in juvenile (Joshi et al., 2016) and adult rodents (Dembrow et al., 2010; Baker et al., 2018b) via activation of postsynaptic M1-type muscarinic acetylcholine receptors (mAChRs; Gulledge et al., 2009). Yet, rather than directly exciting PT neurons, ACh increases the gain of PT neurons to amplify their action potential output in response to excitatory synaptic drive (Andrade, 1991; Gulledge and Stuart, 2005; Williams and Fletcher, 2019). Thus, determining the net effect of ACh on excitatory cortical circuits requires an understanding of how ACh modulates the excitatory synaptic drive to IT and PT neurons.

Prior studies have consistently reported that ACh acts presynaptically to suppress glutamate release onto pyramidal neurons in both the neocortex (Vidal and Changeux, 1993; Gil et al., 1997; Tsodyks and Markram, 1997; Hsieh et al., 2000; Atzori et al., 2005; Levy et al., 2006) and hippocampus (Hounsgaard, 1978; Valentino and Dingledine, 1981; Segal, 1982; Hasselmo and Schnell, 1994; Hasselmo et al., 1995; Fernandez de Sevilla et al., 2002; Goswamee and McQuiston, 2019). This inhibitory effect of ACh on excitatory synaptic transmission is attributable primarily to activation of M4-type mAChRs (Kimura and Baughman, 1997; Shirey et al., 2008; Eggermann and Feldmeyer, 2009; Dasari and Gulledge, 2011; Yang et al.,

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2020). However, ACh may also enhance glutamate release at some excitatory cortical synapses via presynaptic nicotinic acetylcholine receptors (nAChRs; Gil et al., 1997; Aramakis and Metherate, 1998; Urban-Ciecko et al., 2018; Yang et al., 2020). Finally, ACh has been reported to increase (Yang et al., 2020) or decrease (Atzori et al., 2005) the frequency of spontaneous "miniature" glutamatergic synaptic events in some neocortical neuron populations, and may increase both the frequency and amplitude of action-potential-dependent spontaneous excitatory events in layer 5 neurons of the prefrontal cortex (Shirey et al., 2009).

Aside from the substantial evidence for muscarinic suppression of transmitter release at cortical synapses, results in the studies above are quite variable. This may reflect developmental differences in cholinergic signaling (e.g., Aramakis and Metherate, 1998), as most of the studies described above utilized neurons from young and adolescent animals (8-days old to ~4 weeks old). Alternatively, variation may arise from differences in cortical areas studied, species (e.g., mice vs rats; see Elliott et al., 2018), or differences in other experimental parameters. Of note, only one prior study tested for projection-specific cholinergic modulation of excitatory drive (Yang et al., 2020), doing so in two populations of layer 6 projection neurons from immature ($\leq$ 3 weeks old) animals.

This study was designed to directly compare the impact of ACh on the net excitatory drive of layer 5 IT and PT neurons in the adult medial prefrontal (prelimbic) cortex. Given the considerable evidence for muscarinic suppression of neocortical excitatory synapses across diverse experimental conditions, the hypothesis was formed that ACh should reduce the frequency and amplitudes of spontaneous excitatory postsynaptic potentials (sEPSPs) in both IT and PT neurons. As detailed below, this hypothesis was tested during simultaneous recordings of sEPSPs in pairs of IT and PT neurons in slices of adult mouse prelimbic cortex.

## Methods

### Animals and ethical approvals

Experiments were performed using female and male 6- to 16-week-old (mean ± SD of 9.2 ± 2.5 weeks-old; n = 185 neurons from 45 mice) C57BL/6J wild-type mice cared for under protocols approved by the Institutional Animal Care and Use Committee of Dartmouth College. Animals were bred and maintained on a 12h light-dark cycle with free access to food and water in facilities accredited by the Association for Assessment and Accreditation of Laboratory Animal Care.

### Animal surgeries

For some experiments, an AAV retrograde virus expressing hSyn-hM4D$_i$ (Addgene #50475) was injected into the pons of 6- to 9-week-old mice to express the G$_{i/o}$-coupled hM4Di DREADD ("designer receptor exclusively activated by designer drugs") selectively in PT neurons in the prefrontal cortex. Under sterile surgical conditions, animals were anesthetized with continuous isoflurane (~2%) and a craniotomy made above the pons at coordinates (in mm relative to lambda) of -1.00 lateral and -0.20. A 33 gauge microsyringe (Hamilton) containing undiluted virus was slowly lowered 4.55 mm over a period of ~5 minutes and then left in place for anther 5 minutes before the virus was injected into the pons at a rate of 50 nL per minute (total volume of 450 nL). Five minutes after the injection was completed, the microsyringe was slowly removed and the wound sutured. Mice were allowed to recover from surgery for at least 21 days before use in experiments.

### Slice preparation

Mice were anesthetized with vaporized isoflurane and decapitated. Brains were rapidly removed and sliced in an artificial cerebral spinal fluid (aCSF) composed of (in mM): 125 NaCl, 25 NaHCO$_3$, 3 KCl, 1.25 NaH$_2$PO$_4$, 0.5 CaCl$_2$, 6 MgCl$_2$, 0.01 ascorbic acid, and 25 glucose (saturated with 95% O$_2$ / 5% CO$_2$). Coronal brain slices (250 μm thickness) containing the medial prefrontal cortex were stored in a holding chamber filled with normal recording aCSF containing 2 mM CaCl$_2$ and 1 mM MgCl$_2$ for 1 hour at 35 °C, and then maintained at room temperature (~27 °C) until use in experiments.

### Electrophysiology

Slices were transferred to a recording chamber (~0.5 ml volume) perfused continuously (~6 ml/min) with oxygenated aCSF heated to 35-36 °C. Layer 5 pyramidal neurons in the prelimbic cortex were visualized using oblique illumination with a 60x water-immersion objective (Olympus). Electrical recordings were made of layer 5 neurons using patch pipettes (5-7 MΩ) filled with a solution containing (in mM): 140 K-gluconate, 2 NaCl, 2 MgCl$_2$, 10 HEPES, 3 Na$_2$ATP, and 0.3 NaGTP, pH 7.2 with KOH. Data were acquired in current-clamp using a 2-electrode amplifier (dPatch, Sutter Instrument) connected to a Mac Studio computer (Apple) running SutterPatch software under Igor Pro (Sutter Instrument). Capacitance was maximally neutralized and series resistance compensated with bridge balance. Membrane potentials were typically sampled at 25 kHz (250 kHz for action potential waveforms), filtered at 5 kHz (50 kHz for action potentials), and corrected for the liquid junction potential (+12 mV).

Neurons were classified as IT or PT neurons based on their physiological profiles, as quantified by a physiology index (PI) that successfully identified 95% of retrograde-labeled projection neurons from an earlier study (Baker et al., 2018b; **see Figure 1D**). Similar physiological measures have been used by others to sort IT and PT neurons in the medial prefrontal cortex (mPFC; Lee et al., 2014; Elliott et al., 2018; Meda et

al., 2019). The PI was computed for each neuron based on the magnitude of the HCN-channel-mediated rebound "sag" potential (as a percent of the peak hyperpolarization following a current pulse sufficient to generate a peak hyperpolarization of ~20 mV below the resting membrane potential), the "slope of sag" rebound (as measured over a 50 ms period beginning at the time of peak hyperpolarization), and the neuron's input resistance ($R_N$), according to the following formula:

$$PI = \frac{sag\ (\%) \times slope\ of\ sag\ (\frac{mV}{s})}{R_N\ (M\Omega)}$$

When calculated for retrograde-labeled neurons studied in a previous paper (Baker et al., 2018b), layer 5 IT neurons projecting to the contralateral hemisphere (n = 78) had a mean (± SD) PI of 0.85 ± 1.72, while labeled pons-projecting PT neurons (n = 170) had a mean PI of 16.9 ± 11.9. In this study, neurons were classified as "IT" if their calculated PI was less than 2.6 (one SD above the mean for labeled IT neurons in Baker et al., 2018b) or "PT" if their PIs were greater than 5.0 (one SD below the mean of labeled PT neurons in the previous study). Neurons with PIs between 2.6 and 5.0 were considered ambiguous and excluded from analysis. When tested against labeled commissural (IT) and brainstem projecting (PT) neurons from another previous paper (Avesar and Gulledge, 2012), this classification scheme misidentified only 1 of 43 (2.3%) neurons.

(typically in the range of 300 - 500 pA) and sampled at 250 kHz.

Following baseline physiological measurements, spontaneous excitatory postsynaptic potentials (sEPSPs) were recorded over 30 minutes with neurons "held" at their resting membrane potentials using the dPatch "dynamic holding" function that introduces a slow bias current to counteract the depolarizing influence of ACh or other drugs (e.g., clozapine-n-oxide) to maintain the original resting potential even as much faster synaptic potentials are faithfully recorded. Quantifying the ACh-induced bias current over time also provided a measure of the postsynaptic effects of ACh. During recordings, ACh and other substances, as described below, were bath-applied for periods of time (typically 7 minutes for bath-applied ACh). Data were analyzed offline using Axograph (axograph.com). Each 30-minute sweep of spontaneous activity was high-pass filtered at 0.2 Hz, low-pass filtered at 5 kHz, and notch-filtered at 60 Hz. sEPSPs were then detected and captured using a template with a 2 ms rise and an 18 ms decay and a threshold of 5 times the nose standard deviation to minimize any chance of false-positive events (see **Figure 2**). sEPSP peaks were measured as the average amplitude across a 0.3 ms period centered on the peak of the sEPSP.

*Drugs*

Most drugs were dissolved in water to make stock solutions (typically 1000x concentrations) that were frozen as aliquots and used as needed during experiments. Acetylcholine (Fisher Scientific) was bath-applied with physostigmine hemisulfate (eserine; Tocris Bioscience), a blocker of acetylcholinesterase. Atropine and pirenzepine dihydrochloride were purchased from Sigma-Aldrich. Tetrodotoxin (TTX) citrate and CGP 52432 were purchased from HelloBio, Inc. Clozapine *N*-oxide (CNO) dihydrochloride was purchased from Tocris Biosciences and made fresh daily. SR-95531 (gabazine) was purchased from MedChemExpress and dissolved in DMSO for stock solutions.

Table 1. Physiological classification of IT and PT neurons for each experiment

| Figure | Neuron type | n | $R_N$ (MΩ) | Sag (%) | Sag slope (mV/s) | Physiology index |
|---|---|---|---|---|---|---|
| Figure 3 | IT | 19 | 165 ± 84 | 7.6 ± 3.6 | 13 ± 8 | 0.74 ± 0.64 |
| | PT | 19 | 56 ± 12 | 18.7 ± 4.5 | 50 ± 16 | 18.5 ± 11.7 |
| Figure 4 | PT | 10 | 58 ± 15 | 19.2 ± 3.8 | 50 ± 15 | 18.6 ± 10.2 |
| Figure 5 | PT | 13 | 49 ± 12 | 16.0 ± 3.0 | 38 ± 10 | 13.3 ± 5.8 |
| Figure 6 | PT | 19 | 46 ± 13 | 18.0 ± 5.2 | 38.3 ± 10 | 16.9 ± 9.7 |
| Figure 7 | PT | 16 | 67 ± 17 | 21.3 ± 6.2 | 49 ± 16 | 17.6 ± 12.1 |
| Figure 8 | IT | 24 | 145 ± 57 | 9.2 ± 3.5 | 16 ± 9 | 1.19 ± 0.9 |
| | PT | 44 | 57 ± 15 | 17.6 ± 4.1 | 46 ± 16 | 15.6 ± 8.5 |
| Figure 9 | PT | 21 | 52 ± 14 | 18.0 ± 4.9 | 42 ± 15 | 16.9 ± 11.2 |

Data are shown as means ± SD. Statistical treatments are not applicable, as IT and PT populations were defined based on measurements of $R_N$, % sag, and sag slope (i.e., the physiology index; see **Methods**).

For each neuron, additional physiological measurements were made of the resting membrane potential ($V_M$), the amplitude of afterhyperpolarizations (AHPs), and action potential (AP) attributes including AP threshold (as detected with a 50 mV/ms threshold), peak voltages, amplitudes from threshold, and widths at half-amplitudes (i.e., half-widths; **Table 2**, **Figure 1E**). AHPs were generated with a train of brief (2-ms) high amplitude (3 nA) current pulses delivered at 50 Hz for three seconds (Gulledge et al., 2013), and peak AHPs were measured relative to initial resting membrane potentials. APs were evoked with 20 ms current pulses

*Experimental Design and Statistical Analysis*

When data are descriptive and convey variability within populations (e.g., physiological properties of IT and PT neurons), data are presented as means ± standard deviations (SD). When data are used to compare mean values (e.g., in plots of sEPSP attributes) data are presented as means ± standard error of the mean (SEM). Within group mean effects of drug treatments (e.g., ACh) and comparisons of effects across pairs of neurons (e.g., in IT-PT paired recordings) used two-

**Figure 1**. Identifying intratelencephalic (IT) and pyramidal tract (PT) layer 5 projection neurons. *A*) Image of a coronal slice of mouse brain containing the medial prefrontal cortex (5x objective) showing the locations of recording electrodes in layer 5. *B*) Voltage responses in the two neurons recorded in *A* (top and middle traces) to a series of current steps (lower traces). Note that neuron 1 (red) has 1) larger voltage deflections to current steps (lower traces) and a correspondingly larger input resistance, 2) a smaller depolarizing "sag" response after a 20 mV hyperpolarizing step (indicative of limited HCN channel activation), and 3) a smaller slope of the sag response than measured in neuron 2 (blue). These values were used to compute physiology

indexes (PI; see Methods) of 1.85 and 18.6 for neurons 1 and 2, respectively. *C*) Plots of the voltage-current relationships for data shown in *B*. Linear regressions from -50 to +50 pA were used to calculate the input resistances of these neurons. *D*) PIs calculated for 248 retrograde-labeled commissural projection (COM) neurons (a type of IT neuron) and corticopontine (CPn) neurons (a type of PT neuron) from Baker et. al. (2018). Labeled COM neurons (n = 78) had a mean (± SD) PI of 0.85 ± 1.72, whereas labeled CPn neurons (n = 170) had a mean PI of 16.9 ± 11.9. In this study, cutoffs of 1 standard deviation from the mean (2.6 or 5.0) were used to physiologically classify IT and PT neurons, respectively, with neurons with PIs between 2.6 and 5 remaining unclassified and excluded from analysis. This approach correctly classified 92.7% of neurons from Baker et al., and misclassified only 3.6% of neurons, with the remainder being left unclassified (PIs of between 2.6 and 5.0). *E₁*) Brief (20 ms) current steps were used to evoke action potentials captured at 250 kHz (30 superimposed trials) in the IT and PT neurons shown in *B* and *C*. *E₂*) Magnification of the peak-aligned action potentials shown in *E₁*, showing measurements made of spike thresholds, amplitudes, peaks, and widths at half-amplitude, as listed in **Table 2**.

tailed Student's t-tests for paired samples. Comparisons of effects across different groups utilized two-tailed Student's t-tests for samples with unequal variance. Because of the limited usefulness of p-values from statistical tests (e.g., Nieuwenhuis et al., 2011; e.g., Wasserstein et al., 2019), no assumptions are made of p-value "significance" (i.e., α). Instead, to better quantify the meaningfulness of physiological differences observed in IT and PT neurons, and the impact of ACh on sEPSP attributes, effect sizes are reported as Cohen's *d*, a measure of the standardized mean difference between groups or conditions, according to the following formula:

$$d = \frac{|(\bar{x}_A - \bar{x}_B)|}{\sqrt{\dfrac{(n_A - 1)SD_A^2 + (n_B - 1)SD_B^2}{n_A + n_B - 2}}}$$

where $\bar{x}_A$ and $\bar{x}_B$ are the mean values of a parameter (e.g., sEPSP amplitude), while $SD_A$ and $SD_B$ are the standard deviations for those values, measured across groups (e.g., IT neurons vs PT neurons) or

**Table 2**. Additional physiological measurements of IT and PT neurons for each experiment

| Figure | Neuron type | n | Resting $V_M$ (mV) | AHP amplitude (mV) | AP threshold (mV) | AP peak (mV) | AP amplitude (mV) | AP half-width (ms) |
|---|---|---|---|---|---|---|---|---|
| Figure 3 | IT | 19 | -83.6 ± 3.8 | -6.3 ± 3.1 | -49.3 ± 4.3 | +44.5 ± 6.1 | 93.8 ± 7.5 | 604 ± 104 |
| | PT | 19 | -79.5 ± 2.7 | -7.1 ± 1.2 | -54.3 ± 3.6 | +45.7 ± 8.0 | 103.4 ± 6.7 | 553 ± 65 |
| | IT vs PT t-test* | | < 0.001 | 0.250 | <0.001 | 0.116 | 0.001 | 0.031 |
| | Effect size (*d*) | | **1.26** | 0.37 | **1.26** | **0.70** | **1.35** | 0.58 |
| Figure 4 | PT | 10 | -80.4 ± 2.9 | -7.1 ± 0.7 | -55.1 ± 3.0 | +48.5 ± 3.9 | 103.6 ± 4.8 | 524 ± 32 |
| Figure 5 | PT | 13 | -80.1 ± 2.4 | -6.6 ± 1.2 | -53.2 ± 2.6 | +46.5 ± 4.6 | 98.4 ± 6.4 | 507 ± 70 |
| Figure 6 | PT | 19 | -78.5 ± 3.8 | -7.1 ± 1.2 | -56.3 ± 2.1 | +45.7 ± 2.7 | 101.1 ± 3.8 | 457 ± 49 |
| Figure 7 | PT | 16 | -77.7 ± 2.4 | -7.1 ± 1.1 | -52.4 ± 3.7 | +45.9 ± 7.6 | 98.3 ± 8.6 | 565 ± 70 |
| Figure 8 | IT | 24 | -82.5 ± 3.2 | -5.8 ± 1.4 | -47.0 ± 4.9 | +43.9 ± 6.4 | 90.9 ± 6.8 | 686 ± 112 |
| | PT | 44 | -78.9 ± 3.2 | -6.6 ± 1.6 | -42.4 ± 3.2 | +47.0 ± 6.3 | 99.3 ± 7.3 | 553 ± 79 |
| | IT vs PT t-test† | | <0.001 | 0.033 | <0.001 | 0.065 | <0.001 | <0.001 |
| | Effect size (*d*) | | **1.30** | 0.60 | **1.54** | 0.55 | **1.33** | **1.64** |
| Figure 9 | PT | 21 | -79.0 ± 2.2 | -6.9 ± 0.7 | -55.0 ± 2.0 | +44.0 ± 4.2 | 99.0 ± 4.5 | 462 ± 59 |
| All neurons | IT | 43 | -83.0 ± 3.5 | -6.0 ± 2.3 | -48.0 ± 4.7 | +44.1 ± 6.2 | 92.1 ± 7.2 | 652 ± 115 |
| | PT | 142 | -79.0 ± 3.0 | -6.9 ± 1.2 | -53.6 ± 3.2 | +46.6 ± 5.7 | 100.1 ± 6.6 | 522 ± 78 |
| | IT vs PT t-test† | | <0.001 | 0.025 | <0.001 | 0.028 | <0.001 | <0.001 |
| | Effect size (*d*) | | **1.30** | 0.54 | **1.58** | 0.42 | **1.20** | **1.48** |

Data are shown as means ± SD. *Student's t-tests for paired samples (p-values) were used for comparisons of means of physiological attributes for IT and PT neurons. †Student's t-tests assuming unequal variances (p-values) were used for comparisions of physiological attributes across IT and PT neuron populations.

conditions (e.g., baseline conditions and in the presence of ACh). Measurements of sEPSP properties were made during the final one-minute periods of baseline condi-

tions and ACh exposure. By convention, effect sizes ~0.8 or larger are considered as having "large" impact (i.e., with an overlap of standard deviations being only ~50% or less), and values of ~0.5 and ~0.2 considered as having "medium" or "small" impacts, respectively, according to the degree of overlap in their distributions (Cohen, 1988). In data tables, notable effect sizes (those that are ~0.8 or larger) are shown in bold.

## Results

### ACh enhances spontaneous EPSPs preferentially in PT neurons

As previously described in this laboratory and others (Dembrow et al., 2010; Joshi et al., 2016; Baker et al., 2018b), ACh preferentially enhances the intrinsic excitability of neocortical PT neurons relative to neighboring IT neurons in layer 5 to promote corticofugal output to the thalamus and brainstem. What is less established is how ACh regulates the afferent excitatory drive of these two projection neuron subtypes. To quantify the net impact of ACh on overall excitatory drive, whole-cell recordings were made from pairs of layer 5 neurons in the mouse prelimbic cortex. IT and PT neurons were identified based on their physiological responses to depolarizing and hyperpolarizing current steps (see **Methods**, **Figure 1A-D**, and **Table 1**). Identified IT and PT neurons also differed in other physiological measures, including their resting membrane potentials ($V_M$), the amplitudes of afterhyperpolarizations, and action potential (AP) attributes including spike thresholds, peaks, amplitudes, and half-widths (**Figure 1E** and **Table 2**).

Based on previous work showing that ACh suppresses glutamate release at some corticocortical synapses in the neocortex (Vidal and Changeux, 1993; Gil et al., 1997; Tsodyks and Markram, 1997; Hsieh et al., 2000; Atzori et al., 2005; Levy et al., 2006), it was hypothesized that exposure to ACh would reduce the amplitude and frequency of sEPSPs in IT and PT neurons in the prelimbic cortex. To test this, sEPSPs were monitored continuously in 19 pairs of layer 5 IT (mean PI of 0.74 ± 0.64 [SD]) and PT (mean PI of 18.7 ± 11.5) neurons in the mouse prelimbic cortex over a 30-minute period during which ACh (20 μM; with 10 μM eserine, a blocker of acetylcholinesterase) was bath applied for seven minutes (**Figure 3A**). Contrary to expectations, ACh *increased* sEPSP amplitudes and frequencies preferentially in PT neurons (**Figure 3A - E**; **Table 3**). Despite their lower input resistances (**Table 1**), mean sEPSP amplitudes tended to be larger in PT neurons relative to IT neurons regardless of experimental condition (**Table 3**), whereas other sEPSP attributes, including mean frequency, rise-time, and half-width were similar among the two neuron subtypes (**Table 1**).

Mean population time courses for each sEPSP attribute and holding current for 19 IT and PT pairs are

**Figure 2**. Detection of spontaneous excitatory postsynaptic potentials (sEPSPs) in IT and PT neurons. *A*) Recordings of the membrane potentials of a pair of IT and PT neurons. The final ten seconds (orange shading) are expanded in *B*. *B*) Green dots show sEPSPs detected by the sEPSP template (see Methods). Several sEPSPs captured by event-detection are shown at an expanded timescale.

shown in **Figure 3D - H**. The effects of ACh peaked about 5 minutes into the 7 minute exposure and typically plateaued or slightly decreased in magnitude during the final two minutes in ACh before returning to near-baseline values by minute 30. For example, peak increases in sEPSP amplitudes and frequencies occurred, on average, 4.9 ± 0.6 minutes and 5.1 ± 0.5 minutes, respectively, into ACh exposure for IT neurons, and 4.3 ± 0.9 minutes and 5.4 ± 0.5 minutes for PT neurons. To allow for consistent comparisons across all neurons and experiments, comparisons of sEPSP attributes and holding currents were made during the final 1-minute of baseline conditions (or during the final 1-minute of exposure to cholinergic antagonists, TTX, or CNO, in experiments below) and during the final 1-minute of exposure to ACh, regardless of where the peak effects of ACh were observed. For the 19 pairs of IT and PT neurons tested, ACh reversibly increased sEPSP amplitudes by 16 ± 6% (mean + SEM) and 38 ± 13% in IT and PT neurons, respectively, and reversibly increased sEPSP frequencies selectively in PT neurons by 50 ± 14% (**Table 3**). ACh also increased sEPSP rise-times and half-widths preferentially in PT neurons, by 29 ± 7% and 16 ± 5%, respectively, compared to much smaller changes in IT neurons (**Table 3**). Finally, ACh generated larger depolarizing currents in PT neurons, as reflected in the larger (-98 ± 8 pA vs -40 ± 12 pA for IT neurons) holding currents necessary to keep PT neurons at their initial resting membrane potentials during ACh exposure (**Figure 3H** and **Table 3**). This preferential postsynaptic action of ACh on PT neuron excitability is consistent with previous reports (Dembrow et al., 2010; Joshi et al., 2016; Baker et al., 2018b) showing that ACh preferentially excites PT neurons. The new data presented here go further to demonstrate that ACh also

**Figure 3**. Acetylcholine (ACh) selectively enhances excitatory synaptic input to PT neurons. **A**) In a pair of IT (red) and PT (blue) neurons, membrane potentials were recorded at the soma for thirty minutes. After a five-minute baseline period, 20 µM ACh (with 10 µM eserine) was bath applied for seven minutes. An automated slow bias ("holding") current was used to keep neurons near their resting membrane potential throughout recordings. **B**) Expanded 10-second traces of membrane potentials taken at the end of the baseline period (left), after seven minutes of ACh exposure (middle), and at the end of the experiment (right), as indicated in **A**. **C**) All sEPSPs detected in the final 1-minute periods of baseline recording (left), ACh exposure (middle), and wash (right) in the IT and PT neurons, superimposed. **D**) Plots of mean sEPSP amplitudes (± SEM) over time for 19 pairs of simultaneously recorded IT (red) and PT (blue) neurons (30-second means). **E**) Similar to **D**, but plotting mean sEPSP frequencies over time for 19 pairs of IT and PT neurons. **F - H**) Plots of mean sEPSP 20-80% rise-times (**F**), widths at half-amplitude (**G**), and the bias currents necessary to keep neurons at their resting membrane potentials (**H**).

**Table 3**. Cholinergic modulation of sEPSPs in IT-PT pairs (n = 19)

| sEPSP attribute | Neuron type | Baseline | +ACh | Wash | Change in ACh | ACh vs baseline* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| **sEPSP amplitude (mV)** | IT | 0.57 ± 0.03 | 0.68 ± 0.08 | 0.64 ± 0.05 | +16 ± 6% | 0.056 | 0.44 |
| | PT | 0.69 ± 0.04 | 0.91 ± 0.06 | 0.74 ± 0.04 | +38 ± 13% | **0.007** | **0.94** |
| | IT-PT t-test* (p-value) | 0.013 | 0.020 | 0.063 | 0.157 | | |
| | Effect size (Cohen's *d*) | **0.79** | **0.80** | 0.48 | 0.50 | | |
| **sEPSP frequency (Hz)** | IT | 5.8 ± 0.4 | 6.1 ± 0.6 | 5.0 ± 0.4 | +5 ± 6% | 0.435 | 0.14 |
| | PT | 6.8 ± 0.5 | 9.2 ± 0.5 | 5.7 ± 0.4 | +50 ± 14% | <0.001 | **1.13** |
| | IT-PT t-test* (p-value) | 0.079 | <0.001 | 0.223 | 0.015 | | |
| | Effect size (Cohen's *d*) | 0.49 | **1.39** | 0.40 | **0.94** | | |
| **sEPSP rise-time (ms)** | IT | 1.65 ± 0.11 | 1.77 ± 0.13 | 1.75 ± 0.15 | +10 ± 6% | 0.249 | 0.24 |
| | PT | 1.62 ± 0.08 | 2.03 ± 0.11 | 1.79 ± 0.11 | +29 ± 7% | <0.001 | **1.02** |
| | IT-PT t-test* (p-value) | 0.820 | 0.109 | 0.864 | 0.039 | | |
| | Effect size (Cohen's *d*) | 0.07 | 0.52 | 0.05 | 0.68 | | |
| **sEPSP half-width (ms)** | IT | 11.5 ± 0.4 | 12.1 ± 0.5 | 11.5 ± 0.4 | +6 ± 3% | 0.051 | 0.33 |
| | PT | 11.9 ± 0.5 | 13.5 ± 0.5 | 12.5 ± 0.5 | +16 ± 5% | 0.003 | **0.80** |
| | IT-PT t-test* (p-value) | 0.394 | 0.027 | 0.140 | 0.056 | | |
| | Effect size (Cohen's *d*) | 0.24 | **0.79** | 0.54 | 0.62 | | |
| **Holding current (pA)** | IT | -4 ± 3 | -44 ± 12 | -6 ± 8 | -40 ± 12 pA | 0.004 | **1.02** |
| | PT | -1 ± 5 | -99 ± 9 | -10 ± 7 | -98 ± 8 pA | <0.001 | **3.03** |
| | IT-PT t-test* (p-value) | 0.605 | <0.001 | 0.355 | **<0.001** | | |
| | Effect size (Cohen's *d*) | 0.18 | **1.15** | 0.13 | **1.28** | | |

Data are shown as means ± SEM. *Student's t-test for paired samples.

preferentially increases the excitatory drive of PT neurons, suggesting that ACh will promote corticofugal output from the cortex via parallel enhancement of synaptic drive and increased postsynaptic gain.

*Cholinergic enhancement of sEPSPs requires M1-type muscarinic receptors*

In the medial prefrontal cortex, most postsynaptic effects of ACh in layer 5 pyramidal neurons are mediated by muscarinic ACh receptors (mAChRs; Gulledge et al., 2009; Hedrick and Waters, 2015). The test whether mAChRs are involved in regulating the excitatory drive of PT neurons, atropine (1 μM), a potent mAChR antagonist, was bath applied five minute prior to additional application of ACh (20 μM, with eserine; **Figure 4**). Atropine on its own had little impact on sEPSP attributes or holding currents (**Table 4**), but its presence blocked cholinergic modulation of sEPSPs and limited the amount of postsynaptic holding current necessary to keep neurons at initial resting membrane potentials (n = 13 PT neurons; **Figure 4**, **Table 4**). These data demonstrate that cholinergic enhancement of sEPSPs is mediated by mAChRs in prelimbic PT neurons.

A previous study reported that activation of M1-type mAChRs increased the frequency and amplitude of excitatory postsynaptic currents (EPSCs) in layer 5

pyramidal neurons in the rodent prefrontal cortex (Shirey et al., 2009). To determine whether selective enhancement of sEPSP attributes in PT neurons depends on M1 receptors, ACh was applied in the presence of the M1-selective antagonist pirenzepine (PZP; 1 μM). As was the case for atropine, PZP on its own had little impact on sEPSP attributes or holding currents (n = 13 PT neurons; **Figure 5**, **Table 5**). Further, PZP blocked the ability of ACh to enhance sEPSP attributes and reduced ACh-induced holding currents relative to the 19 control PT neurons from **Figure 3** (p < 0.001; *d* = 2.1). Instead, ACh in the presence of PZP *reduced* the frequency of sEPSPs by 22 ± 9%, from 7.7 ± 0.5 Hz to 5.8 ± 0.5 Hz (p = 0.011; *d* = 1.05). This suggests that ACh acting on non-M1 receptors acts to reduces sEPSP frequencies in PT neurons, an effect that is normally masked by M1 mAChRs that mediate a stronger cholinergic enhancement of sEPSPs.

*Enhancement of sEPSPs by ACh does not require changes in GABAergic circuits*

In the recording conditions employed, GABA-mediated synaptic responses are expected to reverse very close to the resting membrane potential (Gulledge and Stuart, 2003), and therefore likely do not contribute to measured sEPSPs. However, ACh might regulate GABAergic circuits in the cortex that influence the net excitatory drive of PT neurons (e.g., cholinergic disinhibition of excitatory circuits). To test whether ACh affects sEPSPs in PT neurons via modulation of GABAergic circuits, blockers of both GABA$_A$ (gabazine, 10 μM) and GABA$_B$ (CGP52432 [CGP], 2.5 μM) receptors were bath applied seven minutes prior to additional application of ACh (n = 13; **Figure 6**). Gabazine and CGP had little if any effect on sEPSPs or holding currents on their own, and their presence did not block cholinergic enhancement of sEPSP attributes or postsynaptic holding currents in PT neurons (**Table 6**). In the presence of the GABA blockers, ACh enhanced sEPSP amplitudes and frequencies (by 28 ± 10% and 34 ± 10%, respectively), amounts similar to those observed in PT neurons in the absence of GABAergic antagonists in the IT-PT pairs described above (p values ranging from 0.697 to 0.175 for sEPSP rise times and half-widths, respectively, and *d* ranging from 0.44 (for sEPSP half-widths) to 0.13 (for sEPSP rise-times). Thus, cholinergic enhancement of excitatory drive to PT neurons does not require modulation of GABAergic synaptic transmission.

**Figure 4**. Cholinergic enhancement of sEPSPs in PT neurons is mediated by muscarinic acetylcholine (ACh) receptors. *A*) Ten-second samples of membrane potential from a PT neuron taken at the end of the baseline recording period (far left), after four minutes of exposure to 1 µM atropine (a muscarinic receptor antagonist; middle left), after an additional seven minutes of exposure to atropine and 20 µM ACh (with 10 µM eserine; middle right), and after twelve minutes of wash (far right), as indicated in *B*. *B*) Superimposed sEPSPs detected in the final one-minute periods of baseline recording, atropine-only exposure, atropine+ACh exposure, and wash, as indicated, for the neuron shown in *A*. *C* - *D*) Plots of mean sEPSP amplitude (*C*) and frequency (*D*) over time for 10 PT neurons exposed to atropine and ACh (30-second means). *E* - *G*) Plots of mean sEPSP rise-time (*E*), width at half-amplitude (*F*), and the bias current necessary to keep neurons at their resting membrane potentials (*G*).

**Table 4**. Atropine blocks cholinergic enhancement of sEPSPs (n = 10 PT neurons)

| sEPSP attribute | baseline | +atropine | +ACh | Wash | Change in ACh | ACh vs atropine* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| sEPSP amplitude (mV) | 0.79 ± 0.12 | 0.72 ± 0.04 | 0.69 ± 0.04 | 0.75 ± 0.06 | -3 ± 4% | 0.257 | 0.24 |
| sEPSP frequency (Hz) | 6.6 ± 0.9 | 6.1 ± 0.8 | 6.0 ± 0.9 | 5.9 ± 0.8 | +2 ± 10% | 0.378 | 0.12 |
| sEPSP rise-time (ms) | 1.48 ± 0.08 | 1.43 ± 0.03 | 1.50 ± 0.07 | 1.56 ± 0.14 | +5 ± 6% | 0.424 | 0.39 |
| sEPSP half-width (ms) | 11.9 ± 0.4 | 11.6 ± 0.2 | 11.8 ± 0.3 | 12.0 ± 0.5 | +2 ± 3% | 0.602 | 0.19 |
| Holding current (pA) | -17 ± 8 | -14 ± 9 | -43 ± 18 | -85 ± 54 | -29 ± 17 pA | 0.119 | 0.64 |

Data are shown as means ± SEM. *Student's t-test for paired samples.

### *ACh promotes action-potential-dependent excitatory transmission in PT neurons*

The "spontaneous" EPSPs described above comprise two distinct subtypes of synaptic release. Some sEPSPs result from synaptic release following action-potentials occurring spontaneously (i.e., not experimentally evoked) in the local network, while other sEPSPs reflect action-potential-independent "miniature" events occurring stochastically at individual synaptic boutons. To test whether ACh regulates one subtype of synaptic release or the other (or both) tetrodotoxin (TTX; 1 µM), a blocker of voltage-gated sodium channels, was bath applied for seven minutes prior to the additional application of ACh (n = 13; **Figure 7**). On it's own, TTX reduced sEPSP amplitudes (by an average of 14.9 ± 4%; n = 16 PT neurons; p = 0.028, *d* = 0.76) sEPSP frequencies (by 26 ± 3%; p < 0.001, *d* = 1.10), and half-widths (by 6.3 ± 1.6%; p = 0.002, *d* = 0.96), but had little, if any, effect on sEPSP rise times (*d* = 0.01) or holding currents (*d* = 0.08). This suggests that roughly 20% of sEPSPs recorded in baseline conditions reflect action-potential dependent release at synapses that are somewhat larger than the average miniature EPSP (or mEPSP; i.e., those recorded in the presence of TTX).

More strikingly, the presence of TTX blocked cholinergic enhancement of mEPSP amplitudes, frequencies, and half-widths (**Table 7**). Instead, in the presence of TTX, mEPSP frequencies were further reduced in ACh, by an average of 15 ± 6% (p = 0.005; *d* = 0.68). While unable to enhance excitatory drive onto PT neurons, ACh in the presence of TTX continued to engage potent postsynaptic depolarizing currents (by an average of 98 ± 10 pA; p < 0.001, *d* = 1.96) and increased mEPSP rise-times by 22 ± 6 (p = 0.016, *d* = 0.49). These data demonstrate that ACh selectively enhances action-potential-dependent excitatory synaptic transmission, and that ACh-induced changes in EPSP rise-times likely reflect postsynaptic changes in electrotonic structure, rather than changes in synaptic release.

### *ACh promotes synchronous input to PT neurons*

Unlike "miniature" transmission, which occurs stochastically at individual boutons, action-potential-dependent transmission is expected to promote transmitter release (with some probability) from all boutons on a given axon. Given that ACh promotes action-potential-dependent glutamate release, it is plausible that ACh

**Figure 5**. Cholinergic enhancement of sEP-SPs in PT neurons is mediated by M1-type muscarinic acetylcholine (ACh) receptors. *A*) Ten-second samples of membrane potential from a PT neuron taken at the end of the baseline recording period (far left), after four minutes of exposure to 1 µM pirenzepine (an antagonist for M1 muscarinic receptors; middle left), after an additional seven minutes of exposure to pirenzepine and 20 µM ACh (with 10 µM eserine; middle right), and after twelve minutes of wash (far right), as indicated in *B*. Superimposed sEPSPs detected in the final one-minute periods of baseline recording, pirenzepine-only exposure, pirenzepine+ACh exposure, and wash for the neuron shown in *A*. *C - D*) Plots of mean sEPSP amplitude (*C*) and frequency (*D*) over time for 13 PT neurons exposed to pirenzepine and ACh (30-second means). Note that, in the presence of pirenzepine, ACh *reduced*, rather than enhanced, sEPSP frequency in PT neurons. *E - G*) Plots of mean sEPSP rise-time (*E*), width at half-amplitude (*F*), and the bias current necessary to keep neurons at their resting membrane potentials (*G*).

**Table 5**. Pirenzepine (PZP) blocks cholinergic enhancement of sEPSPs (n = 13 PT neurons)

| sEPSP attribute | Baseline | +PZP | +ACh | Wash | Change in ACh | ACh vs PZP* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| sEPSP amplitude (mV) | 0.66 ± 0.02 | 0.63 ± 0.02 | 0.69 ± 0.05 | 0.64 ± 0.02 | +10 ± 9% | 0.328 | 0.41 |
| sEPSP frequency (Hz) | 8.0 ± 0.6 | 7.7 ± 0.5 | 5.8 ± 0.5 | 5.8 ± 0.5 | -22 ± 9% | 0.011 | **1.05** |
| sEPSP rise-time (ms) | 1.78 ± 0.06 | 1.79 ± 0.07 | 1.80 ± 0.10 | 1.71 ± 0.08 | 0 ± 5% | 0.959 | 0.01 |
| sEPSP half-width (ms) | 11.7 ± 0.2 | 12.0 ± 0.3 | 12.7 ± 0.4 | 11.8 ± 0.4 | +7 ± 3% | 0.074 | 0.57 |
| Holding current (pA) | +2 ± 9 | -13 ± 10 | -24 ± 9 | +15 ± 8 | -37 ± 7 pA | <0.001 | **1.11** |

Data are shown as means ± SEM. *Student's t-test for paired samples.

may promote synchronous input to populations of neurons innervated by a common set of axons. To test whether this was the case in the 19 IT-PT paired recording experiments, a 0.5 ms window was used to find "synchronous" events occurring in both neurons (**Figure 8A**, **B**). The probability of synchronous events in baseline conditions was very low (0.94 ± 1.92% [SD] of sEPSPs; n = 19 pairs) and not consistently above the "chance" level of synchronous events within a 0.5 ms window (0.38 ± 0.29%; n = 36 shuffled IT-PT pairings per IT-PT pair; p = 0.221, *d* = 0.41; **Table 8**). Application of ACh failed to enhance synchronous input probabilities in IT-PT pairs (0.78 ± 1.37% of sEPSPs) or shuffled pairs (0.42 ± 0.30%; **Figure 8C**). These data demonstrate that ACh does not enhance the likelihood of synchronous excitatory input to IT and PT neurons.

To test whether ACh might promote synchronous excitatory input in homotypic pairs of neurons, additional paired recordings of sEPSPs were made from IT-

IT (n = 12) and PT-PT (n = 22) pairs (**Figure 8C**). In IT-IT pairs, ACh inconsistently increased the probability of synchronous sEPSPs from 0.37 ± 0.25% [SD] of sEPSPs in baseline conditions to 0.78 ± 0.77% in the final four minutes of ACh exposure (n = 12; p = 0.128, *d* = 0.72; **Table 8**). This compared to a smaller but more consistent increase in "synchronous" sEPSPs in shuffled pairs (n = 44 for each actual pair of IT neurons) that reflects the small increase in sEPSP frequencies observed in IT neurons exposed to ACh (**Table 9**). In contrast, the probability of synchronous sEPSPs in PT-PT pairs (n = 22) was substantially increased by exposure to ACh (**Figure 8C**), from 0.59 ± 36% (mean ± SD) of sEPSPs in baseline conditions to 2.05 ± 2.06% of sEPSPs during the final four minutes of ACh exposure (p = 0.003, *d* = 0.99; **Table 8**). This contrasted with the much smaller increase in the rate of synchronous sEPSPs observed in shuffled pairs (n = 84 for each actual PT-PT pair; p = 0.010, *d* = 0.87 vs PT-PT paired data; **Table 8**). When comparing raw changes in synchronous input probabilities, PT-PT pairs showed larger mean changes (+1.47 ± 2.02%) than observed in IT-IT (0.41 ± 0.87%; p = 0.043, *d* = 0.61) or IT-PT (-0.6 ± 11.1; p = 0.002, *d* = 1.01) pairs. These data demonstrate that ACh preferentially promotes the occurrence of synchronous sEPSPs in PT neurons. It is worth noting that, despite directly testing for unitary connectivity among all pairs recorded for this study, no unitary connections were identi-

**Figure 6**. Acetylcholine (ACh) enhancement of excitatory drive does not require modulation of GABAergic circuits. **A**) Ten-second samples of membrane potential from a PT neuron taken at the end of the baseline recording period (far left), after seven minutes of exposure to 10 μM gabazine and 2.5 μM CGP52432 (antagonists of GABA$_A$ and GABA$_B$ receptors, respectively; middle left), after an additional seven minutes of exposure to GABA receptor blockers and 20 μM ACh (with 10 μM eserine; middle right), and after ten minutes of wash (far right), as indicated in **B**. Superimposed sEPSPs detected in the final one-minute periods of baseline recording, after GABA blockade, the addition of ACh, and after ten minutes of wash for the neuron shown in **A**. **C - D**) Plots of mean sEPSP amplitude (**C**) and frequency (**D**) over time for 13 PT neurons exposed to GABA blockers and ACh (30-second means). **E - G**) Plots of mean sEPSP rise-time (**E**), width at half-amplitude (**F**), and the bias current necessary to keep neurons at their resting membrane potentials (**G**).

fied, regardless of which projection neuron subtypes were studied. This likely reflects truncated axons in coronal slices of prefrontal cortex, as in all experiments slices were placed such that apical dendrites were level with, or descended into, the slice to preserve dendritic architecture. A consequence of this is that axons of neurons close to the surface of the slice are frequently severed a few hundred μm from the soma.

Finally, the effects of ACh on sEPSP attributes were measured and compared across neuron subtype in the IT-IT (24 IT neurons) and PT-PT (44 PT neurons) paired recordings described above. As was found in IT-PT pairs, ACh preferentially enhanced sEPSP amplitudes (by 53 ± 10%; $p < 0.001$, $d = 1.20$), frequencies (by 42 ± 6%; $p < 0.001$, $d = 1.65$), rise-times (by 25 ± 4%; $p < 0.001$, $d = 1.11$), and half-widths ($p < 0.001$, $d = 1.09$) in PT neurons (n = 44; $p$ values at or below 0.005 and effect sizes ranging from 0.70 to 1.21 vs 24 IT neurons; **Figure 8D - H**; **Table 9**). Similarly, the holding currents necessary to maintain neurons at their resting membrane potentials was much larger in PT neurons (-108 ± 10 pA) than in IT neurons (-29 ± 5 pA; $p < 0.001$, $d = 1.46$). Thus, the results from paired IT-IT and PT-PT recordings (68 neurons in total) represent an independent reproduction of the ACh effect on sEPSPs observed in the original 19 IT-PT pairs (compare **Figure 8D - H** with **Figure 3D - H**). This provides additional confidence that cholinergic enhancement of sEPSPs

**Table 6**. Effects of ACh in the absence of GABAergic synaptic transmission (n = 13 PT neurons)

| sEPSP attribute | Baseline | +gabazine/ CGP52432 | +ACh | Wash | Change in ACh | ACh vs gabazine* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| sEPSP amplitude (mV) | 0.67 ± 0.04 | 0.66 ± 0.03 | 0.83 ± 0.07 | 0.66 ± 0.03 | +28 ± 10% | 0.018 | **0.93** |
| sEPSP frequency (Hz) | 7.5 ± 0.4 | 7.7 ± 0.6 | 10.1 ± 0.7 | 7.1 ± 0.7 | +34 ± 10% | 0.002 | **1.05** |
| sEPSP rise-time (ms) | 1.59 ± 0.05 | 1.51 ± 0.07 | 1.87 ± 0.07 | 1.63 ± 0.09 | +22 ± 5% | <0.001 | **1.47** |
| sEPSP half-width (ms) | 12.2 ± 0.4 | 12.4 ± 0.5 | 13.4 ± 0.4 | 13.4 ± 0.6 | +7 ± 3% | 0.019 | 0.60 |
| Holding current (pA) | 9 ± 6 | 12 ± 11 | -78 ± 14 | +33 ± 11 | -90.5 pA | <0.001 | **2.01** |

Data are shown as means ± SEM *Student's t-test for paired samples.

**Table 7**. Blockade of voltage-gated sodium channels with tetrodotoxin (TTX) suppresses cholinergic enhancement of excitatory synaptic transmission (n = 13 PT neurons)

| sEPSP attribute | Baseline | +TTX | +ACh | Wash | Change in ACh | ACh vs TTX* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| sEPSP amplitude (mV) | 0.85 ± 0.07 | 0.69 ± 0.03 | 0.72 ± 0.04 | 0.71 ± 0.04 | +5 ± 5% | 0.332 | 0.22 |
| sEPSP frequency (Hz) | 8.0 ± 0.5 | 5.9 ± 0.4 | 4.9 ± 0.4 | 4.2 ± 0.4 | -15 ± 6% | 0.005 | 0.68 |
| sEPSP rise-time (ms) | 2.00 ± 0.15 | 1.99 ± 0.19 | 2.32 ± 0.15 | 1.96 ± 0.14 | +22 ± 6% | 0.016 | 0.49 |
| sEPSP half-width (ms) | 13.1 ± 0.3 | 12.2 ± 0.2 | 12.4 ± 0.3 | 13.0 ± 0.5 | +2 ± 2% | 0.260 | 0.27 |
| Holding current (pA) | +3 ± 7 | 0 ± 10 | -98 ± 14 | -19 ± 14 | -98 ± 10 pA | <0.001 | **1.96** |

Data are shown as means ± SEM. *Student's t-test for paired samples.

**Figure 7**. Cholinergic enhancement of excitatory synaptic drive requires action potentials. *A*) Ten-second samples of membrane potential from a PT neuron taken at the end of the baseline recording period, after seven minutes of exposure to 1 µM tetrodotoxin (TTX; a blocker of voltage-gated sodium channels), after an additional seven minutes of exposure to TTX and 20 µM acetylcholine (ACh, with 10 µM eserine), and after ten minutes of wash, as indicated in *B*. Superimposed sEP-SPs detected in the final one-minute periods of baseline recording, after TTX application, the additional application of ACh, and after ten minutes of wash for the neuron shown in *A*. *C - D*) Plots of mean sEPSP amplitude (*C*) and frequency (*D*) over time for 16 PT neurons exposed to TTX and ACh (30-second bins). *E - G*) Plots of mean sEPSP rise-time (*E*), width at half-amplitude (*F*), and the bias current necessary to keep neurons at their resting membrane potentials (*G*). Note that, in the presence of TTX, the postsynaptic effect of ACh as quantified by changes in holding current remains robust. The ACh-induced increase in mEPSP rise-time in the absence of other changes suggests that this effect is also mediated by postsynaptic effects of ACh on electrotonic structure.

occurs preferentially in PT neurons.

### Silencing PT neurons blocks cholinergic enhancement of excitatory drive

The results described above demonstrate that ACh enhances action-potential-dependent glutamate release preferentially onto PT neurons in the mouse mPFC. What might the mechanism be for this effect? A number of observations suggest that cholinergic excitation of PT neurons, as a population, could explain the selective impact of ACh on sEPSPs in those neurons. First, the M1 receptors implicated in cholinergic enhancement of sEPSP amplitudes and frequencies also preferentially promote persistent action potential generation in PT neurons (Dembrow et al., 2010; Joshi et al., 2016; Baker et al., 2018b). Thus, M1-dependent activation of populations of PT neurons could explain an increase in sEPSP frequency. Second, PT axons preferentially innervate other PT neurons, rather than IT neurons, in local cortical circuits (Morishima and Kawaguchi, 2006; Brown and Hestrin, 2009; Kiritani et al., 2012). This could explain why cholinergic enhancement of excitatory drive is preferential to PT neurons. Finally, unitary PT-PT synaptic connections tend to be larger than unitary connections in IT-IT or IT-PT pairs (Morishima and Kawaguchi, 2006; Morishima et al., 2011), which could explain the increase in sEPSP amplitude, and perhaps half-width, following ACh application.

To test whether recurrent network activity in populations of PT neurons contributes to the cholinergic increase in sEPSPs observed in PT neurons, a retrograde AAV virus encoding the $G_i$-coupled inhibitory hM4Di receptor was injected into the pons of mice three weeks before experiments to allow targeted inhibition of PT neu-

**Table 8**. ACh preferentially promotes synchronous excitatory inputs in PT neuron pairs

| Cell pairs | n | Synchronous sEPSPs (% of total sEPSPs) | | | Raw change in ACh | ACh vs baseline* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| | | Baseline | +ACh | Wash | | | |
| **IT-IT pairs** | 12 | 0.37 ± 0.25 | 0.78 ± 0.77 | 0.23 ± 0.20 | +0.41 ± 0.87 | 0.128 | 0.72 |
| **IT-IT shuffled†** | 12 x 44 | 0.44 ± 0.27 | 0.48 ± 0.29 | 0.44 ± 0.28 | +0.05 ± 0.07 | 0.033 | 0.17 |
| **Pairs vs shuffled** | t-test* (p-value) | 0.372 | 0.206 | 0.006 | 0.184 | | |
| | Effect size (Cohen's *d*) | 0.26 | 0.51 | **0.86** | 0.59 | | |
| **IT-PT pairs** | 19 | 0.94 ± 1.92 | 0.78 ± 1.37 | 0.27 ± 0.28 | -0.6 ± 11.1 | 0.473 | 0.10 |
| **IT-PT shuffled†** | 19 x 36 | 0.38 ± 0.29 | 0.42 ± 0.30 | 0.40 ± 0.33 | +1.4 ± 1.1 | 0.065 | 0.13 |
| **Pairs vs shuffled** | t-test* (p-value) | 0.221 | 0.268 | 0.082 | 0.381 | | |
| | Effect size (Cohen's *d*) | 0.41 | 0.36 | 0.41 | 0.29 | | |
| **PT-PT pairs** | 22 | 0.59 ± 0.36 | 2.05 ± 2.06 | 0.75 ± 1.04 | +1.47 ± 2.02 | 0.003 | **0.99** |
| **PT-PT shuffled†** | 24 x 84 | 0.52 ± 0.28 | 0.75 ± 0.27 | 0.55 ± 0.30 | +0.22 ± 0.13 | <0.001 | **0.82** |
| **Pairs vs shuffled** | t-test* (p-value) | 0.407 | 0.007 | 0.375 | 0.010 | | |
| | Effect size (Cohen's *d*) | 0.20 | **0.89** | 0.27 | **0.87** | | |

Data are shown as means ± SD for synchronous (within a 0.5 ms window) sEPSPs captured in the final four minutes each of baseline recording, ACh exposure, and wash. *Student's t-test for paired samples. †SDs for shuffled data are means of the SDs computed individually for each pair of neurons and all their possible shuffled partners (n = 44, 36, and 84, respectively, for each pair of IT-IT, IT-PT, and PT-PT neurons), rather than across the means for each of those data sets, which would otherwise generate artifically small SDs.

**Figure 8**. Acetylcholine (ACh) promotes synchronous excitatory input selectively in pairs of PT neurons. *A*) A pair of "synchronous" sEP-SPs detected in an IT-PT pair of neurons (i.e., sEPSPs initiating within a 0.5 ms window). *B*) Plots of sEPSP amplitudes over 30 minutes in paired recordings of an IT (left) and PT (right) neuron. Green dots indicate synchronous EPSPs detected in both neurons. *C*) Histograms showing the mean number of detected synchronous sEPSPs (0.5 ms window) over time (30 second bins) for pairs of IT neurons (left; red n = 12 pairs), IT-PT pairs (middle; purple; the same 19 pairs as shown in **Figure 3**), and PT-PT pairs (right; blue; n = 22 pairs). Green data indicate the number of "synchronous" events detected in all possible shuffled data, providing a measure of chance likelihood of synchronous input detection. Acetylcholine increased the likelihood of synchronous events preferentially in PT-PT pairs. *D*) Plots of mean sEPSP amplitudes (± SEM; 30-second means) over time for the 24 IT (red) and 44 PT (blue) neurons recorded in the homotypic IT-IT and PT-PT pairs that contributed to panel *C*. *E*) Mean sEPSP frequencies over time for IT and PT neurons. *F - H*) Plots of mean sEPSP rise-times (20-80%, *F*), widths at half-amplitude (*G*), and the bias currents necessary to keep neurons at their resting membrane potentials (*H*). Note that these data from IT-IT and PT-PT pairs represent an independent replication of results from the IT-PT pairs shown in **Figure 3**.

**Table 9**. sEPSPs in IT and PT neurons from IT-IT (n = 12) and PT-PT (n = 22) pairs

| sEPSP attribute | Neuron type (n) | Baseline | +ACh | Wash | Change in ACh | ACh vs baseline* (p-value) | Effect size (Cohen's d) |
|---|---|---|---|---|---|---|---|
| **sEPSP amplitude (mV)** | IT (24) | 0.55 ± 0.04 | 0.61 ± 0.03 | 0.55 ± 0.06 | +16 ± 6% | 0.026 | 0.35 |
| | PT (44) | 0.68 ± 0.02 | 1.01 ± 0.05 | 0.74 ± 0.05 | +53 ± 10% | <0.001 | **1.20** |
| | IT-PT t-test[†] | 0.006 | <0.001 | 0.005 | 0.001 | | |
| | Effect size (Cohen's d) | **0.82** | **1.32** | 0.65 | 0.70 | | |
| **sEPSP frequency (Hz)** | IT (24) | 7.7 ± 0.4 | 8.0 ± 0.5 | 6.3 ± 0.3 | +4 ± 5% | 0.397 | 0.12 |
| | PT (44) | 8.0 ± 0.3 | 10.9 ± 0.3 | 7.1 ± 0.3 | +42 ± 6% | <0.001 | **1.65** |
| | IT-PT t-test[†] | 0.497 | <0.001 | 0.050 | <0.001 | | |
| | Effect size (Cohen's d) | 0.18 | **1.55** | 0.47 | **1.12** | | |
| **sEPSP rise-time (ms)** | IT (24) | 1.72 ± 0.06 | 1.66 ± 0.08 | 1.75 ± 0.09 | -2 ± 4% | 0.272 | 0.17 |
| | PT (44) | 1.65 ± 0.05 | 2.02 ± 0.05 | 1.70 ± 0.04 | +25 ± 4% | <0.001 | **1.11** |
| | IT-PT t-test[†] | 0.399 | <0.001 | 0.603 | <0.001 | | |
| | Effect size (Cohen's d) | 0.21 | **1.05** | 0.15 | **1.21** | | |
| **sEPSP half-width (ms)** | IT (24) | 13.3 ± 0.4 | 13.5 ± 0.3 | 13.0 ± 0.4 | +2 ± 3% | 0.492 | 0.10 |
| | PT (44) | 12.5 ± 0.2 | 14.0 ± 0.2 | 13.4 ± 0.2 | +12 ± 2% | <0.001 | **1.09** |
| | IT-PT t-test[†] | 0.102 | 0.187 | 0.366 | 0.005 | | |
| | Effect size (Cohen's d) | 0.47 | 0.37 | 0.23 | **0.80** | | |
| **Holding current (pA)** | IT (24) | +4 ± 6 | -25 ± 9 | +11 ± 19 | -29 ± 5 pA | <0.001 | **0.78** |
| | PT (44) | -5 ± 3 | -111 ± 10 | -6 ± 9 | -108 ± 10 | <0.001 | **2.22** |
| | IT-PT t-test[†] | 0.159 | <0.001 | 0.413 | <0.001 | | |
| | Effect size (Cohen's d) | 0.42 | **1.45** | 0.24 | **1.46** | | |

Data are shown as means ± SEM. *Student's t-tests for paired samples were used to compare sEPSP attributes across baseline and cholinergic conditions. [†]Student's t-tests assuming unequal variances (p-values) were used for comparisons of sEPSP attributes in IT and PT neurons for each experimental condition.

## Discussion

### Acetylcholine enhances excitatory drive preferentially in PT neurons

Given the wealth of data demonstrating cholinergic suppression of glutamate release at excitatory cortical synapses (see above), it was expected that ACh would reduce spontaneous excitatory synaptic drive in both IT and PT neurons. The results described above demonstrate this is not the case. Instead, in two independent experiments, ACh promoted excitatory drive preferentially in PT neurons, while having little impact on sEPSP frequency or amplitude in IT neurons (**Figures 3 and 8**). Cholinergic facilitation of excitatory drive in PT neurons is particularly noteworthy because ACh preferentially enhances their intrinsic excitability, as reflected in the larger holding currents necessary to keep PT neurons at their initial resting membrane potentials (e.g., **Figures 3H and 8H**), a result that is consistent with prior reports of selective cholinergic excitation of PT neurons (Dembrow et al., 2010; Joshi et al., 2016; Baker et al., 2018b). The present results are also consistent with those of Shirey et al. (2009), who found that M1 receptor activation enhanced the amplitude and frequency of spontaneous, but not miniature, EPSPs in layer 5 neurons in the medial PFC of young (<4-week-old) rats. The study reported here goes further by demonstrating that the cholinergic increase in excitatory drive is preferential to PT neurons and reflects recurrent excitation within networks of PT neurons (**Figure 9**). Thus, ACh release in the cortex engages two parallel mechanisms to promote corticofugal output to the brainstem: preferential increase of PT neuron excitability, and robust recurrent excitatory drive within PT networks.

Cholinergic enhancement of excitatory drive in PT neurons is attributed to M1-type muscarinic receptor activation, as it was blocked by both atropine (a broad spectrum mAChR antagonist; see **Figure 4**) and pirenzepine (an M1-selective antagonist; see **Figure 5** and Shirey et al., 2009). It is notable that, after blockade of M1 receptors, ACh moderately *decreased* sEPSP frequency in PT neurons, a result that aligns with the well-established M4-dependent presynaptic inhibition of glutamate release at neocortical (Eggermann and Feldmeyer, 2009; Yang et al., 2020) and hippocampal (Kimura and Baughman, 1997; Shirey et al., 2008; Dasari and Gulledge, 2011) synapses. Cholinergic enhancement of PT-PT recurrent activity occurring in parallel with sup-

rons (**Figure 9**). Bath application of CNO (5 μM; an agonist for hM4Di receptors) was effective in inhibiting PT neurons, as evident from the large positive holding currents (+99 ± 14 pA; n = 21 PT neurons) necessary to maintain neurons at their initial resting membrane potential (**Figure 9I**, **Table 10**). CNO on its own had little impact on sEPSP amplitudes or rise-times, but modestly decreased sEPSP frequencies (by 9.6 ± 3%; n = 21; p = 0.002, d = 0.72) and half-widths (by 5.8 ± 1.9%; p = 0.006, d = 0.65). In the continued presence of CNO, application of ACh activated inward currents in PT neurons (-102 ± 11 pA; p < 0.001, d = 1.73) and increased sEPSP rise-times (by 13 ± 4%; p = 0.013, d = 0.63), indicating relatively normal postsynaptic actions of ACh (e.g., compare to **Figure 7E**, **G**). However, CNO abolished cholinergic enhancement of sEPSP amplitudes and frequencies (**Figure 9C - H**, **Table 10**), suggesting that the increase in sEPSP amplitudes and frequencies observed under cholinergic conditions reflects enhanced AP generation in networks of interconnected PT neurons. Thus, ACh may amplify PT output from the cortex through a combination of increased excitability of PT neurons, and enhanced recurrent excitatory drive within networks of PT neurons.

**Figure 9**. Chemogenetic inhibition of PT neurons blocks cholinergic enhancement of excitatory drive. *A*) Diagram of injection of AAV-retrograde hM4Di-mCherry virus into the pons to express hM4Di receptors selectively in PT neurons in the cortex (left), and diagram of recording setup, using clozapine N-oxide (CNO; 5 µM) to silence PT neurons. *B*) Image of hM4Di-m-Cherry-expressing layer 5 PT neurons in the medial prefrontal cortex (5x objective, artificially colored) three weeks after virus injection into the pons. *C*) Ten-second recordings of membrane potential from a hM4Di-mCherry-expressing PT neuron taken at the end of the baseline recording period (far left), after seven minutes of exposure to 5 µM CNO (to activate hM4Di receptors; middle left), after an additional seven minutes of exposure to CNO and 20 µM acetylcholine (ACh, with 10 µM eserine; middle right), and after ten minutes of wash (far right), as indicated in *D*. *D*) Superimposed sEPSPs detected in the final one-minute periods of baseline recording, after CNO application, following the additional application of ACh, and after ten minutes of wash for the neuron shown in *C*. *E* - *F*) Plots of mean sEPSP amplitude (*E*) and frequency (*F*) over time for 21 PT neurons exposed to CNO and ACh (30-second means). *G* - *I*) Plots of mean sEPSP rise-time (*G*), width at half-amplitude (*H*), and the bias current necessary to keep neurons at their resting membrane potentials (*I*). Note that CNO induced a large outward current before ACh induced its own inward current, and that ACh induced an increase in sEPSP rise-times and holding currents even while having no effect on sEPSP amplitudes, frequencies, or half-widths (compare with **Figure 7C - G**).

**Table 10**. Silencing of PT neurons blocks cholinergic enhancement of sEPSPs (n = 21 PT neurons)

| sEPSP attribute | Baseline | + CNO | +ACh | Wash | Change in ACh | ACh vs CNO* (p-value) | Effect size (Cohen's *d*) |
|---|---|---|---|---|---|---|---|
| sEPSP amplitude (mV) | 0.81 ± 0.07 | 0.85 ± 0.08 | 0.89 ± 0.07 | 0.73 ± 0.04 | +10 ± 6% | 0.512 | 0.14 |
| sEPSP frequency (Hz) | 10.1 ± 0.4 | 9.1 ± 0.3 | 9.6 ± 0.4 | 8.0 ± 0.4 | +8 ± 5% | 0.175 | 0.37 |
| sEPSP rise-time (ms) | 1.86 ± 0.05 | 1.87 ± 0.07 | 2.10 ± 0.09 | 1.92 ± 0.08 | +13 ± 4% | 0.013 | 0.63 |
| sEPSP half-width (ms) | 13.3 ± 0.2 | 12.5 ± 0.3 | 13.3 ± 0.3 | 12.9 ± 0.3 | +7 ± 2% | 0.002 | 0.55 |
| Holding current (pA) | +11 ± 3 | +99 ± 14 | -3 ± 11 | +53 ± 10 | -102 ± 11 pA | <0.001 | **1.73** |

Data are shown as means ± SEM. *Student's t-test for paired samples.

pression of other glutamatergic afferents is expected to further focus corticofugal output among populations of synaptically-coupled PT neurons.

Activation of mAChRs is also reported to increase the amplitude and frequency of action-potential-dependent inhibitory postsynaptic currents (IPSCs) in cortical pyramidal neurons (Kawaguchi, 1997; Kondo and Kawaguchi, 2001), potentially via direct activation of somatostatin-expressing GABAergic interneurons

(Kawaguchi, 1997; Fanselow et al., 2008; Xu et al., 2013; Chen et al., 2015; Obermayer et al., 2018). On the other hand, ACh can suppress GABA release at perisomatic inhibitory synapses made by fast-spiking interneurons (Kruglikov and Rudy, 2008)(see also, Aramakis et al., 1997; Salgado et al., 2007; Nunez et al., 2012). These data suggest that ACh may enhance inhibition to tuft dendrites while disinhibiting perisomatic regions of projection neurons. In the present study, cholinergic enhancement of sEPSPs was similar in control conditions with intact GABAergic networks and in conditions in which GABAergic synaptic transmission was blocked (**Figure 6**). This suggests that cholinergic modulation of inhibitory circuits is not required to enhance the excitatory synaptic drive of PT neurons in the adult prefrontal cortex. As most of the prior studies described above utilized immature (pre-weening) rodents (but see Chen et al., 2015), there may be developmental changes in

the cholinergic sensitivity of inhibitory cortical circuits. Alternatively, because PT neurons typically establish perisomatic connections to other PT neurons in the local network (Morishima et al., 2011), and inputs from ACh-sensitive somatostatin neurons typically occur further out in apical dendrites (Silberberg and Markram, 2007), there may be limited interaction among the two inputs. Regardless, enhanced inhibition of the apical tuft would be expected to further shift the weight of excitatory drive toward more proximal PT-PT recurrent connections.

Although pyramidal neurons in the mPFC lack significant postsynaptic nicotinic responses to ACh (Gulledge and Stuart, 2005; Hedrick and Waters, 2015), presynaptic nAChRs may modulate glutamate (Lambe et al., 2003; Couey et al., 2007; Aracri et al., 2013) and GABA (Couey et al., 2007; Aracri et al., 2010) release onto layer 5 neurons in the dorsal medial prefrontal cortex. In addition, ACh may excite a subpopulation of cortical GABAergic interneurons that express postsynaptic nAChRs (Poorthuis et al., 2014). The present study tested the role of ACh, rather than specific cholinergic agonists, in an effort to capture the net effect of ACh on network activity in IT and PT neurons, regardless of which receptor subtypes might be involved (i.e., mAChRs or nAChRs). However, the ability of atropine to completely block all cholinergic effects on sEPSPs (**Figure 4**) suggests that nAChRs are not effective in regulating local network excitatory drive to adult PT neurons under the experimental conditions employed here (see also Aramakis and Metherate, 1998).

*Acetylcholine promotes recurrent activity in networks of PT neurons*

Simultaneous recordings in pairs of neurons allowed direct comparisons of net excitatory drive to IT and PT neurons, and for the detection and measurement of synchronous sEPSPs under different experimental conditions. Regardless pair type, in baseline conditions the rate of synchronous sEPSPs was not above random chance (i.e., it was similar to rates of coincident input occurring in shuffled data from unpaired neurons). ACh reversibly increased the rate of synchronous sEPSPs three-fold above random chance (to ~ 2% of sEPSPs) selectively in PT-PT pairs (**Figure 8**; **Table 8**). Given that application of TTX reduced sEPSP frequency by ~25% (**Figure 7**), it can be estimated that mEPSPs account for the remaining ~75% of events. The abundance of mEPSPs, which by nature are not purposefully synchronized across release sites, and which are not themselves enhanced by ACh, occludes the full impact of ACh in synchronizing action-potential-evoked sEPSPs in PT neurons. Therefore, it is likely that cholinergic stimulation will synchronize upwards of ~8% of action-potential-dependent sEPSPs in PT-PT pairs. The source of the additional excitatory drive to PT neurons was attributable to recurrent PT networks because they were

eliminated by chemogenetic silencing of PT neurons (**Figure 9**). While there remains a lack of *in vivo* data regarding synchronized excitatory input to PT neurons, it is notable that populations of PT neurons in the motor cortex sharing similar projection targets exhibit concurrent activity during motor behavior (Nelson et al., 2021) (see also, Olivares-Moreno et al., 2019), raising the possibility that they share excitatory drive. Given that PT neurons selectively form strong unitary connections with other PT neurons (Morishima and Kawaguchi, 2006; Morishima et al., 2011), and that cortical ACh release is associated with the initiation of motor activity (Day et al., 1991; Parikh et al., 2007; Jing et al., 2020; Lohani et al., 2022), ACh may act to facilitate coordinated activity in ensembles of PT neurons during behavior.

*Conclusions and considerations*

The results of this study demonstrate that ACh, acting on M1-type mAChRs, promotes corticofugal output of the prefrontal cortex by enhancing recurrent excitation in networks of PT neurons. The focus of this study was to determine the net impact of ACh on synaptic drive to IT an PT neurons within local networks. However, many excitatory synapses arise from long-distance corticocortical and thalamocortical afferents that are not expected to contribute to action-potential-dependent synaptic signaling in the *ex vivo* conditions utilized here. Therefore, to fully understand how ACh regulates information flow in the neocortex, it will be important for future studies to test the relative presynaptic cholinergic sensitivities across different excitatory cortical afferents. This has been done to a limited extent in the hippocampus, where differential presynaptic sensitivity to ACh is thought to shift the weight of synaptic drive from Shaffer collateral (CA3-to-CA1) inputs toward more distal excitatory inputs from the entorhinal cortex (Hasselmo and Schnell, 1994; Thorn et al., 2017). While there are some data suggestive of similar afferent-specific cholinergic modulation in the neocortex (Gil et al., 1997; Hsieh et al., 2000; Lambe et al., 2003; Yang et al., 2020), a more complete understanding of how ACh regulates cortical output will require experiments that test the afferent-specific impact of ACh across a range of isolated local and long-distance excitatory inputs to IT and PT neurons.

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