Enhancing Collaborative Neuroimaging Research: Introducing COINSTAC Vaults for Federated Analysis and Reproducibility

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

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DM, RK, VDC: conceptualization. DM, SB, SaPa, and PP: methodology. DM, SB, SaPa, KRM, PP, BB, JR: writing-original draft preparation. SB, SaPa: data analysis. SP and VDC: supervision. All authors writing-review and editing, read, and agreed to the published version of the manuscript.

Keywords

COINSTAC, Neuroimaging, Federated learning, reproducibility, Open Science, Datasets, Privacy, collaborative analysis

Abstract

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Collaborative neuroimaging research is often hindered by technological, policy, administrative, and methodological barriers, despite the abundance of available data. COINSTAC is a platform that successfully tackles these challenges through federated analysis, allowing researchers to analyze datasets without publicly sharing their data. This paper presents a significant enhancement to the COINSTAC platform: COINSTAC Vaults (CVs). CVs are designed to further reduce barriers by hosting standardized, persistent, and highly-available datasets, while seamlessly integrating with COINSTAC's federated analysis capabilities. CVs offer a user-friendly interface for self-service analysis, streamlining collaboration and eliminating the need for manual coordination with data owners. Importantly, CVs can also be used in conjunction with open data as well, by simply creating a CV hosting the open data one would like to include in the analysis, thus filling an important gap in the data sharing ecosystem. We demonstrate the impact of CVs through several functional and structural neuroimaging studies utilizing federated analysis showcasing their potential to improve the reproducibility of research and increase sample sizes in neuroimaging studies.

Contribution to the field

Neuroimaging research faces numerous challenges, including technological, policy, administrative, and methodological barriers that hinder collaboration and data sharing. COINSTAC is an already established open-source platform that advances open science by streamlining federated analyses and facilitating seamless integration of modular computations. This study presents the new COINSTAC Vaults (CVs), an enhancement to the COINSTAC platform, which addresses the current challenges of the field by offering standardized, persistent, and highly-available datasets, integrating seamlessly with federated analysis capabilities. In this paper, we demonstrate the impact of CVs through several studies utilizing federated analysis, showcasing their potential to improve the reproducibility of research and increase sample sizes in neuroimaging studies. COINSTAC Vaults improve the reproducibility of research and enable larger sample sizes in neuroimaging studies. Unlike traditional data sharing platforms, CVs facilitate federated learning, ensuring privacy protection and fostering asynchronous collaboration between institutions. By offering easy access to public datasets and secure contributions from private dataset owners, CVs promote open science and data-driven discovery.

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Enhancing Collaborative Neuroimaging Research: Introducing COINSTAC Vaults for Federated Analysis and Reproducibility

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2 ABSTRACT

Collaborative neuroimaging research is often hindered by technological, policy, administrative,
and methodological barriers, despite the abundance of available data. COINSTAC is a platform
that successfully tackles these challenges through federated analysis, allowing researchers
to analyze datasets without publicly sharing their data. This paper presents a significant
enhancement to the COINSTAC platform: COINSTAC Vaults (CVs). CVs are designed to
further reduce barriers by hosting standardized, persistent, and highly-available datasets, while
seamlessly integrating with COINSTAC's federated analysis capabilities. CVs offer a user-friendly
interface for self-service analysis, streamlining collaboration and eliminating the need for manual
coordination with data owners. Importantly, CVs can also be used in conjunction with open
data as well, by simply creating a CV hosting the open data one would like to include in the
analysis, thus filling an important gap in the data sharing ecosystem. We demonstrate the impact
of CVs through several functional and structural neuroimaging studies utilizing federated analysis
showcasing their potential to improve the reproducibility of research and increase sample sizes in
neuroimaging studies.

Keywords: COINSTAC, neuroimaging, federated learning, reproducibility, open science, datasets, privacy, collaborative analysis

1 INTRODUCTION

In recent years, neuroimaging has seen a growing emphasis on data sharing and collaborative research, as evidenced by the development of new standards (e.g., Brain Imaging Data Structure (BIDS) Gorgolewski et al. (2016)), open-source software tools, and data repositories. Neuroinformatics consortia such as Enhancing NeuroImaging Genetics through Meta-Analysis consortium (ENIGMA) Thompson et al. (2014), and data repositories such as OpenNeuro Markiewicz et al. (2021) and National Institutes of Health Data Archive [1], were created to facilitate analysis of data and combining data from multiple sites. Pooling data from many studies allows for larger sample sizes that produce more statistical power Andrade (2020); Biswal et al. (2010). Though the quantity of neuroimaging data is increasing, there are still barriers to collaboration in the form of technological, policy, administrative, and methodological constraints that can negatively affect data accessibility.

In this section, we discuss in detail some of the challenges associated with collaborative analysis, particularly in centralized approaches, where the data need to be pooled in one location to perform an analysis. We also discuss COINSTAC, a tool built on the principles of federated analysis to enable analysis without the need to centralize data.

1.1 Technological Challenges

Technological constraints, such as storage space, download speed, and processing power, play a significant role in the feasibility of performing collaborative analyses on large datasets Homer et al. (2008); McGuire et al. (2011) such as neuroimaging data. Existing data repositories can contain high-resolution neuroimaging files covering tens of thousands of subjects, with sizes ranging from megabytes to multiple petabytes. Downloading the MPI-Leipzig Mind-Brain-Body dataset Babayan et al. (2020-07-22) (369.78GB) at the global median download speed of 76.32Mbps[2] onto a modern MacBook Pro with 512GB of storage[3] would take 11 hours and 33 minutes, consuming 72.2 percent of the machine's total storage space. The requirements for storage space and download time can increase when an analysis involves aggregating multiple large datasets. Additionally, processing power may be a limiting factor for performing computations, particularly when certain types of analyses are designed to run on specific hardware like GPUs, which can demand resources beyond the capacity of smaller research groups or institutions with limited budgets.

1.2 Policy and Privacy Challenges

Due to the potentially sensitive nature of neuroimaging datasets, their use in collaborative analysis is often restricted by policies intended to preserve privacy. Collaboration methods include aggregating data in a centralized repository or using Data Usage Agreements (DUAs) Thompson et al. (2014) Thompson et al. (2017). These methods can be cumbersome and, in some cases, insufficient. DUAs may take months or even years to approve without any guarantee of the data's utility. Data sharing might be limited by law, policy, or proprietary restrictions, largely driven by re-identification concerns. In situations where only summary data can be shared, differences in analysis methodology may result in inconsistent measures for meta-analysis Rootes-Murdy et al. (2022).

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[1] https://nda.nih.gov/

[2] https://www.speedtest.net/global-index

[3] https://www.apple.com/macbook-pro-14-and-16/specs/

1.3 Administrative Challenges

Administrative challenges can arise when collaborating on an analysis, as various steps demand researchers' time and attention. These steps may include communicating between agencies, formulating and signing data-sharing agreements, agreeing on data preparation and analysis processes, procuring technical resources, monitoring and auditing processes, performing data transfer, initiating computations, disseminating results of analyses and so on.

The efficiency of collaborative analysis is influenced by how quickly these manual steps are executed. Synchronized availability of researchers can present a barrier to the collaboration process. When researchers work asynchronously, each step in a serial process requiring manual interaction introduces potential delays. This can be particularly challenging when researchers are distributed across multiple time zones or have limited time to perform manual tasks. Furthermore, researchers' availability may be constrained by the need for expertise and authority, such as having the authority to sign a data-sharing agreement or the technical expertise to run the appropriate Python script against a dataset. Often, these manual steps must be executed for each new analysis, which can slow down and even impede collaborative analysis. By addressing these administrative barriers, research teams can more effectively collaborate and streamline their analysis processes, ultimately contributing to the advancement of neuroimaging research.

1.4 Methodological differences

Variability in methodological approaches to data processing and analysis can make reproducing studies challenging Vogt (2023). To validate results, researchers must adhere to the exact methodology used in the original study, which necessitates clear communication of the specific methods employed. However, as methods are often chosen on a case-by-case basis, replicating studies can be time-consuming and difficult Esteban et al. (2019), and sometimes even impossible. Moreover, when multiple studies adopt different methodologies, combining their results meaningfully becomes challenging, hindering the execution of meta-analyses.

To overcome these barriers, we introduce COINSTAC [4], a tool that supports federated analysis for neuroimaging data.

1.5 Federated analysis using COINSTAC

Federated analysis (also federated learning, or decentralized analysis) Rootes-Murdy et al. (2022); Kairouz et al. (2021); Plis et al. (2016) allows for multiple datasets to be used in analyses without source data being directly shared. Instead, data holders run computations on their local data and only share the outputs, which are often group-level data derivatives or summary statistics. For example, sites may compute an average or other summary on their local data and send that information. Typically, these summaries are much smaller, meaning that not only are the source data not shared, and the technical challenges associated with the transfer of datasets are removed. A second potential benefit is additional privacy guarantees for the data holders. From a purely policy perspective, datasets are analyzed without being moved from their original location and data holders can determine which computations are and are not allowed on their data. From a technical perspective, strong end-to-end encryption can prevent third parties from acquiring the data derivatives. Depending on the trust model, additional privacy protections are possible, including emerging technologies like secure multiparty computation and differential privacy Dwork and Roth (2013); Heikkilä et al. (2020); Imtiaz et al. (2021); Bonawitz et al. (2017, 2016); Senanayake et al. (2022)

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[4] `http://coinstac.trendscenter.org`

The Collaborative Informatics and Neuroimaging Suite Toolkit for Anonymous Computation
(COINSTAC)[5] Plis et al. (2016); Ming et al. (2017); Gazula et al. (2020, 2023); Turner et al. (2022)
is a tool developed to support federated analysis specifically for neuroimaging data by overcoming the
aforementioned barriers to collaboration through the use of federated analysis and standardization of
collaboration methods. COINSTAC enables researchers to run decentralized neuroimaging analyses to
perform larger collaborative studies Rootes-Murdy et al. (2022); Turner et al. (2022).

The COINSTAC desktop application provides an easy-to-use graphical user interface (GUI) for
coordinating and executing federated analysis pipelines among multiple collaborators. Image preprocessing
and a variety of univariate and multivariate approaches (e.g., VBM regression, group ICA) can be completed
within the app.

One limitation of the original implementation of COINSTAC is that it requires synchronized
coordination Jwa and Poldrack (2022), users have to coordinate among data owners to confirm their
systems are online, that the data are organized within the same structure and that the data are mapped
properly within the COINSTAC system. The need for a centralized coordinator can delay contingent
analyses.

In this paper, we address this limitation by showcasing a method for hosting both private and public
datasets where the datasets are persistently accessible for analysis using COINSTAC without the need for
synchronized effort from data owners. Analysis of public datasets is made more accessible by removing the
need to find, download, preprocess, and prepare datasets for analysis. We provide curated data vaults for
various openly available neuroimaging data which COINSTAC users can simply include in their analyses.
Private dataset access can be restricted to a pre-approved list of computations. Standardizing access to data
vaults in the COINSTAC system simplifies analysis, optimizes computational performance, and promotes
the reusability of neuroimaging datasets.

2 METHOD

117 In this section, we discuss COINSTAC and the extension of the COINSTAC framework with the addition
118 of vaults, their architecture, and various use-cases they enable. All code for COINSTAC and COINSTAC
119 Vaults can be found in the COINSTAC Github repository[6].

2.1 COINSTAC

To understand how Vaults improve the workflow of federated analysis in COINSTAC, we will describe
the COINSTAC system and how it is used.

The main components of the COINSTAC system are: the desktop application, the central server, and
computation containers. The desktop application provides a graphical user interface (GUI) and manages
local computation containers used to participate in federated analyses. The central server manages the
central database and runs the containers that act as the inner node in federated analyses.

In the COINSTAC desktop application, users join collections of users called "consortia" to collaborate
on an analysis pipeline. A consortium is a group formed by individual COINSTAC users, each with their
machine that is capable of being a node in a federated analysis pipeline. Each member within a consortium

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[5] https://coinstac.org/

[6] https://github.com/trendscenter/coinstac

will act as a node in the federated analysis group by running local computations inside of a container on their system.

The following is how a researcher would use the COINSTAC user interface to create a consortium and run a federated analysis pipeline:

- Log in as a user
- Join (as a member) or create (as an owner) a consortium
- Configure a set of computations (a pipeline) to be performed by a consortium
- Map their local data to the pipeline
- Initiate the pipeline (a run)
- View the results of the pipeline run

2.2 COINSTAC Vaults

Figure 1. Adding Vault data to an analysis pipeline

2.2.1 Purpose and high level overview

The Vaults system is an extension of the COINSTAC platform that allows datasets to be persistently available for participation in federated analyses without requiring manual action from data owners apart from the initial setup. COINSTAC consortium owners can independently add Vaults members to their consortia, allowing vault datasets to participate in federated analyses without the need for coordination between consortia owners and Vault data owners. The Vault client allows datasets to be made available to the larger COINSTAC ecosystem, giving the ability for others to run pipelines using the Vault's data without it ever leaving its respective system.

149 ### 2.2.2 Using the GUI to add a Vault to a consortium and run an analysis

150 Vault clients can be added to a consortium by a consortium owner without any action required from the
151 owner of the Vault data, as shown in Fig. 1.

152 ### 2.2.3 Hosting Vaults

Figure 2. Different types of participants interacting with COINSTAC

Making datasets available for federated analysis through COINSTAC is simple using Vaults. Vaults can
be hosted in a variety of compute environments such as: on personal machines, on-premises servers, on a
cluster of compute nodes, or in a virtual cloud. Both publicly available datasets and private datasets can be
made available to the COINSTAC platform via Vaults. COINSTAC consortia can include any combination
of diverse types of data: public and private datasets, data hosted on local machines, TReNDS-hosted Vaults,
and third-party Vaults connected to COINSTAC as shown in Fig. 2.

In addition to TReNDS-hosted vaults, data owners are able to host their own (public or private) data
as Vaults (Fig. 3) by using the coinstac-vault-client software package at `https://www.npmjs.com/`
`package/coinstac-vault-client`.

The process for hosting a dataset in a Vault is described below:

• The user installs the Vault client on the host machine.

• The user configures the Vault client by specifying the directory of the dataset.

• The user makes a request to the COINSTAC team to have the Vault be added to the COINSTAC
ecosystem.

• The COINSTAC team will give the user API keys to be added to the user's local Vault configuration.

After this process, the Vault becomes available for use in the COINSTAC system. Consortium owners can
select to include the Vault in their consortium and perform federated analysis using Vault data. Whether the
data was downloaded from a public repository or collected privately, the process is the same for both types
of data since the source data stays on the user's local machine.

2.2.4 Vault architecture overview

The Vault client software package is built upon the same core code as the COINSTAC desktop application
to manage containers and execute computation pipelines. However, it omits the user interface (UI)

Figure 3. Process of creating a Vault in COINSTAC

component and includes additional code that enables the client to be persistently online and available. The
desktop application has been modified to allow consortium owners to add Vault clients to their consortium
via the GUI.

The Vault client is a NodeJS server running on the local machine, responsible for maintaining a persistent
connection with the COINSTAC system using the coinstac-vault-client package. The server communicates
with the COINSTAC central server using websockets and HTTP protocols. It manages the life-cycle of
containers (Docker, Singularity) through the coinstac-container-manager package, which is responsible
for isolating and executing the computations within the federated analyses. The Vault client also utilizes
other core COINSTAC libraries such as coinstac-client-core, coinstac-client-server, coinstac-pipeline, and
coinstac-common, all of which are npm packages, to ensure seamless integration with the COINSTAC
ecosystem. An overview is shown in figure 4.

Message passing, which is an integral part of federated analyses, is handled by the Vault client using
MQTT (MQ Telemetry Transport) and HTTP protocols. MQTT is a lightweight messaging protocol
optimized for high-latency or unreliable networks.

For pipeline runs in consortia that only use Vaults, the result data is uploaded to a secure Amazon S3
bucket, which can then be downloaded by consortium members using the desktop application. This ensures
that the results are securely stored and easily accessible by authorized users.

In summary, the Vault architecture in COINSTAC improves the overall efficiency and user experience of
performing federated analyses. By maintaining a persistent connection, the Vault client ensures that datasets
are readily available for analysis without the need for manual intervention by data owners. Additionally, the
integration of the Vault client within the COINSTAC ecosystem allows for seamless interaction between
the desktop application and the Vaults, making it simple for consortium owners to include Vault data in
their federated analyses.

2.2.5   Vault use-cases

In this section, we present various use-cases that highlight the benefits and versatility of Vaults in
COINSTAC.

Figure 4. Architecture of Vaults in COINSTAC

2.2.5.1 Curated Vaults

201   TReNDS actively curates and hosts public datasets, making them readily available for the COINSTAC
202   community through the creation of Vaults. These curated Vaults ensure that the public datasets are vetted,
203   of high quality, and easily accessible. Users can contribute to this initiative by hosting Vaults for other
204   public datasets, further expanding the range of data resources available within COINSTAC.
205

2.2.5.2 User with local data

206   A researcher with a local dataset can benefit from Vaults by accessing and combining data from additional
207   datasets containing relevant variables. This process is particularly useful when the researcher's local data is
208   insufficient for conducting a robust analysis. By collaborating with other COINSTAC consortium members
209   and incorporating data from Vaults, the researcher can increase the sample size and statistical power of
210   their study without requiring a Data Use Agreement (DUA) or other additional steps.
211

2.2.5.3 User with no local data

212   For investigators who do not have their own data but want to analyze existing datasets, Vaults provide a
213   valuable solution. The investigator can create a consortium, add selected Vaults using the COINSTAC UI,
214

Figure 5a. β of age. **Figure 5b.** β for sex. **Figure 5c.** β for $diagnosis$.

Figure 5. Rendered images show voxel-wise β values corresponding to the age, sex and $diagnosis$ covariates using COBRE VBM data Vault in COINSTAC. For age, negative values show that the gray matter volume decreases with age. For sex, positive values indicate male's gray matter volume is greater than female's gray matter volume and vice versa. For Diagnosis, positive values indicate control's gray matter volume is greater than patient's gray matter volume and vice versa.

215 and initiate the analysis. This approach enables the investigator to obtain meaningful insights from existing
216 datasets without needing to coordinate with the Vault data owners.

2.2.5.4 *User with limited storage/computing resources*

Vaults are also advantageous for researchers with limited storage or computing resources. For example,
a researcher with a low-powered laptop and minimal storage capacity can still analyze large datasets by
creating a consortium and running an analysis using only Vault clients. The data processing occurs on
the respective Vault servers, and the results are sent back to the investigator, eliminating the need for
high-capacity local hardware.

By addressing these diverse use-cases, COINSTAC Vaults offer a flexible and efficient solution for
researchers to access, collaborate, and analyze datasets in a federated environment.

3 RESULTS

225 In this section, we provide details of several different types of Vaults hosted on COINSTAC and also
226 perform some analysis using these Vaults showing that adding of Vault data increases sample size and
227 statistical power of results.

3.1 TReNDS VBM COBRE

The TReNDS VBM COBRE Vault contains structural MRI images from 152 participants, approximately
half healthy volunteers and half individuals diagnosed with schizophrenia, collected as part of the Mind
Research Network COBRE study Aine et al. (2017). The Vault includes gray matter MRI images that have
been run through a VBM preprocessing pipeline in the SPM toolbox. In addition, we have demographic
information, symptom severity scales, and cognitive measures to select from when building a desired model.
Figure 5 shows the beta images from running VBM regression on all the voxels from normalized smoothed
gray matter images from the TReNDS COBRE Vault. Age, sex, and diagnosis information were used as
covariates in the regression model. Results show decreases in brain volume with age, reduced volume in
visual areas and along the gray/white boundary in females, and reduced volume in insular-temporal and
medial frontal regions in schizophrenia patients, consistent with previous results.

The following section describes this use-case with 55 participant's structural MRI scans collected under
MCIC project Gollub (2013). The results from running regression on the normalized smoothed gray matter
images from this project are shown in figure 6.

Figure 6a. β of age.   **Figure 6b.** β for sex.   **Figure 6b.** β for $diagnosis$.

Figure 6. Rendered images show voxel-wise β values corresponding to the age, sex, and $diagnosis$ covariates using MCIC sMRI data in COINSTAC. For age, negative values show that the gray matter volume decreases with age. For sex, positive values indicate male's gray matter volume is greater than female's gray matter volume and vice versa. For Diagnosis, positive values indicate control's gray matter volume is greater than patient's gray matter volume and vice versa.

Figure 7a. β of age.   **Figure 7b.** β for sex.   **Figure 7b.** β for $diagnosis$.

Figure 7. MCIC+COBRE Vault: Rendered images show voxel-wise β values corresponding to the age, sex, and $diagnosis$ covariates using MCIC sMRI data along with the data in the COBRE Vault in COINSTAC. For age, negative values show that the gray matter volume decreases with age. For sex, positive values indicate male's gray matter volume is greater than female's gray matter volume and vice versa. For Diagnosis, positive values indicate control's gray matter volume is greater than patient's gray matter volume and vice versa.

Using the MCIC dataset, we similarly see widespread reduction in brain volume for age, visual and
gray/white boundary reductions in volume in females, and insular-temporal and medial frontal (as well as
more wide spread) reductions in schizophrenia patients.

The TReNDS VBM COBRE Vault was combined with the MCIC dataset, allowing for an increased
sample size, in the same regression analysis to examine diagnostic effects while accounting for age and sex.
The combined dataset was largely consistent with the individual site analysis, with the exception of the
male/female effect which shows a more complex pattern of increases and decreases, though still largely
conforming to reductions in white/gray matter boundary and primary visual area volumes. Gupta et al.
(2015). Results of this study are shown in figure 7.

3.2 TReNDS FreeSurfer COBRE

This Vault contains data from 152 subjects, approximately half controls and half individuals with chronic
schizophrenia, collected as part of the Mind Research Network COBRE study [7]. The Vault includes cortical
and sub-cortical volumetric and surface-based measurements from two FreeSurfer atlases, Desikan-Killiany
and Destrieux. In addition, we have a total of 11 variables across demographic, cognitive and substance use
to select from when building a desired model.

We ran Ridge regression on the above Vault data on Freesurfer volumetric and surface based measurements
on about 500 regions of interest. We noticed the following differences between controls and patients.

Controls have higher values in temporal lobe, as shown in the thickness measurements of tables 1 2 3 4 5

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[7] http://fcon_1000.projects.nitrc.org/indi/retro/cobre.html

Figure 8a. β of age. **Figure 8b.** β for sex.

Figure 8. Rendered images show voxel-wise β values corresponding to the age and sex covariates using CMI VBM Vault data in COINSTAC. For age, negative values show that the gray matter volume decreases with age. For sex, positive values indicate male's gray matter volume is greater than female's gray matter volume and vice versa.

3.3 Child Mind Institute (CMI) VBM VAULT

This Vault contains data from 922 children and adolescents (ages 6 – 22, 603 Male and 319 female), collected as part of the Healthy Brain Network study Alexander et al. (2017). The Vault includes gray matter segmentation data from an SPM VBM preprocessing pipeline. In addition, we have a total of 11 variables across various demographic, cognitive and substance use domains to select from when building a desired model.

Figure 8 shows the beta images from running regression on all the voxels from normalized smoothed gray matter images from the CMI VBM VAULT. Age and sex were used as covariates in the regression model. Results were largely consistent with those from the MCIC and COBRE analyses, showing widespread volume reductions with age, and reductions along the gray/white matter boundary in females.

3.4 TReNDS NeuroMark Group-ICA COBRE VAULT

Group ICA Calhoun et al. (2001) is one of the frequently used preprocessing computations for neuroimaging data. Data preprocessed with group ICA can be used to perform different types of analyses. This GICA Vault comprises data from 189 subjects from the COBRE project analyzed with Neuromark template which uses 66 predefined ROIs. This Vault data includes independent component analysis (ICA) maps, Functional network connectivity maps (FNC) data etc. that have been generated using spatially constrained ICA with the `Neuromark_fMRI_1.0 template`(available in the GIFT software[8],[9]) including 53 intrinsic networks (components). This Vault data can be readily used for secondary analysis like mancova. In this case, we use the GICA pre-processed data from the Vault to perform univariate regression analysis, the results of which are shown in figure 9.

The Neuromark fMRI domains identified in Du et al. Briefly, these seven identified network templates were divided based on anatomical and functional propertiesDu et al. (2020). In each subfigures, one color in the composite maps corresponds to an intrinsic connectivity network (ICN). The Neuromark_fMRI_1.0 template is available in the GIFT software10.

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[8] http://trendscenter.org/software/gift
[9] http://trendscenter.org/data

Figure 9a. Effects of age.   **Figure 9b.** Effects for sex.   **Figure 9c.** FNC

Figure 9. Rendered images show univariate regression results demonstrating the effects of age and sex on correlation between the 53 independent components and FNC correlation map using Vault data in COINSTAC.

Figure 10. The Neuromark fMRI 1.0 template with 53 intrinsic networks (components) from 7 major networks.

4 DISCUSSION

In recent decades, data sharing has driven substantial advancements in the field of neuroimaging and
expanded opportunities for open science collaboration. Although data sharing has undeniable merits,
it also faces inherent limitations, including technological, policy, administrative, and methodological
barriers that can hinder progress. COINSTAC Vaults and the federated computing framework within
COINSTAC uniquely address these challenges by enabling data analysis while maintaining privacy
protection, specifically in the context of neuroimaging research. The 'always-on' status of Vaults streamlines
collaboration between institutions by eliminating the need for synchronized efforts across users. The
accessibility and user-friendly interface of COINSTAC Vaults serve as powerful tools for reproducible

research, an area that has faced significant criticism in recent years. By bolstering the collaborative
capabilities of federated learning and addressing the limitations of traditional data sharing, COINSTAC
Vaults provide a cutting-edge solution for the neuroimaging community, pushing the boundaries of data
analysis and open science.

COINSTAC offers a user-friendly GUI for the neuroimaging field, enabling federated learning on
neuroimaging data with ease. Its extensive library includes numerous algorithms and pipelines, facilitating
efficient processing of large datasets. Currently, over twenty computations are available in open-source
repositories, allowing users to create versatile analytic pipelines. The integration of Vaults further enhances
the user experience by providing access to diverse datasets, enabling efficient analysis with robust data,
and fostering collaboration across institutions asynchronously.

Compared to OpenNeuro [10], and OpenfMRI A.Poldrack and Gorgolewski (2017) like projects, where
users can access data, download them and perform analysis on their own, Vaults allow users to perform
neuroimaging analysis in federated learning platform immediately, without the need to download data and
toolboxes onto a centralized computing environment. Vaults can help researchers to run an initial test on a
data or their algorithm quickly to help setup their hypotheses or validate it to save time before they commit
to a big project.

In addition to being faster to execute by being immediately available with no downloading or manual
coordination, curated Vaults that follow documented standards make studies easier to design, execute, and
reproduce. For example: Neuroimaging datasets can contain a large number of variables that apply to each
subject: demographic information, cognitive measures, etc. The number of these variables can range from
tens to hundreds. Using standard naming conventions makes it easier for researchers to understand what
each variable tracks so that they can select the relevant variables for their study. Standard and predictable
ways for handling missing data in Vaults makes it easier for researchers to design their analyses.

COINSTAC is unique in its commitment to open science, with its open-source platform promoting
seamless integration of modular computations and streamlining federated analyses. The addition of
COINSTAC Vaults reinforces this commitment by simplifying dataset inclusion in federated analyses,
encouraging community contributions, and preserving privacy for private datasets. By offering easy access
to public datasets and enabling secure contributions from private dataset owners, COINSTAC Vaults foster
collaboration and dedication to open science.

4.1 Limitations and Challenges

COINSTAC Vaults offer numerous benefits, but there are also limitations and challenges to consider. One
concern is that allowing arbitrary summary queries on a dataset might enable an attacker to reconstruct
the data. To mitigate such risks, the system must be privacy-preserving from "end-to-end," incorporating
techniques like secure multiparty computation or differential privacy. Implementing these methods can be
difficult due to floating point implementation issues Ilvento (2020a,b); Mironov (2012) and the introduction
of noise, which may increase error or variance in the analysis results.

While differentially private algorithms can provide stronger privacy guarantees, sharing data derivatives
without differential privacy might be adequate in some situations, depending on the trust model and privacy
concerns of data holders. These issues should be addressed on a case-by-case basis.

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[10] https://openneuro.org/

Additional challenges include data distribution, network bandwidth, and communication speed. Federated
learning and open-source solutions can help address some of these problems, but further research and
development are needed to optimize COINSTAC Vaults' performance in various research settings. Our
"Decentralized Sparse Deep Artificial Neural Networks in COINSTAC (CPU and GPU enabled)" algorithm
allows users to save network bandwidth when transferring thousands of derived data/machine learning
parameters across nodes.

In summary, COINSTAC Vaults mark a significant advancement in federated neuroimaging research,
data privacy preservation, and open science promotion. By tackling the existing limitations and challenges,
COINSTAC Vaults can further improve collaboration and innovation within the field.

5 CONCLUSION

The neuroimaging field is experiencing rapid growth, generating substantial data volumes. However, access
to this data is challenged by technological, privacy, administrative, and methodological constraints. In this
study, we present COINSTAC Vaults as a solution that streamlines data access and analysis, specifically in
the context of neuroimaging research. COINSTAC Vaults ensure continuous availability of high-quality
data, promoting the advancement of open science and fostering efficient collaboration between researchers.

We invite researchers to use COINSTAC Vaults in their studies and to host their own datasets using
COINSTAC Vaults. By adopting COINSTAC Vaults, the neuroimaging community can overcome the
barriers associated with traditional data sharing and analysis methods, paving the way for groundbreaking
discoveries.

5.1 Future Work

The long-term vision for COINSTAC and COINSTAC Vaults includes:

• Introducing new user interface features, such as the ability to search Vaults and filter by covariates, to
improve user experience and efficiency.
• Making new datasets available as Vaults, including those from OpenNeuro, the Autism Brain Imaging
Data Exchange (ABIDE), the National Institute of Mental Health Data Archive (NDA), the Open
Access Series of Imaging Studies (OASIS), and the Image and Data Archive (IDA), to enhance the
diversity of Vaults.
• Increase BIDS (Brain Imaging Data Structure) support to all major neuroimaging modalities and Vault
datasets, to ensure interoperability and ease of use.
• Increase compliance to programs such as the FAIR (Findability, Accessibility, Interoperability, and
Reuse) Guiding Principles for scientific data management and stewardship, to enhance the overall data
sharing ecosystem.
• Exploring the integration of differential privacy techniques to further safeguard data privacy, while
preserving the utility of data analysis.

CONFLICT OF INTEREST STATEMENT

364 The authors declare that the research was conducted in the absence of any commercial or financial
365 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

366 DM, RK, VDC: conceptualization. DM, SB, SaPa, and PP: methodology. DM, SB, SaPa, KRM, PP, BB,
367 JR: writing—original draft preparation. SB, SaPa: data analysis. SP and VDC: supervision. All authors
368 writing—review and editing, read, and agreed to the published version of the manuscript.

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Table 1. Global freesurfer stats for $lh\_S\_temporal\_inf\_thickness$

Global stats – lh_S_temporal_inf_thickness	$\beta 0$ (const)	$\beta 1$ (age)	$\beta 2$ (sex)	$\beta 3$ (isControl_True)
Coefficient	2.5552	-0.0052	0.0323	0.1071
t Stat	44.8963	-5.014	1.0642	4.1085
P-value	0	0	0.289	1.00E-04
R Squared	0.237444911			
Degrees of Freedom	145			

Table 2. Global freesurfer stats for $rh\_S\_oc - temp\_lat\_thickness$

Global Stats – rh_S_oc-temp_lat_thickness	$\beta 0$ (const)	$\beta 1$ (age)	$\beta 2$ (sex)	$\beta 3$ (isControl_True)
Coefficient	2.5331	-0.0036	0.0127	0.1158
t Stat	38.8572	-3.0471	0.3663	3.878
P-value	0	0.0027	0.7147	2.00E-04
R Squared	0.149884354			
Degrees of Freedom	145			

Table 3. Global freesurfer stats for $lh\_middletemporal\_thickness$

Global Stats – lh_middletemporal_thickness	$\beta 0$ (const)	$\beta 1$ (age)	$\beta 2$ (sex)	$\beta 3$ (isControl_True)
Coefficient	3.0038	-0.0057	-0.0134	0.0829
t Stat	60.2257	-6.3161	-0.5056	3.63
P-value	0	0	0.6139	4.00E-04
R Squared	0.275552216			
Degrees of Freedom	145			

Table 4. Global freesurfer stats for $lh\_superiortemporal\_thickness$

Global Stats – lh_superiortemporal_thickness	$\beta 0$ (const)	$\beta 1$ (age)	$\beta 2$ (sex)	$\beta 3$ (isControl_True)
Coefficient	2.9341	-0.0067	0.0169	0.0682
t Stat	52.568	-6.6199	0.5679	2.6659
P-value	0	0	0.571	0.0085
R Squared	0.266849477			
Degrees of Freedom	145			

Table 5. Global freesurfer stats for $Left\_Inf\_Lat\_Vent$

Global Stats – Left_Inf_Lat_Vent	$\beta 0$ (const)	$\beta 1$ (age)	$\beta 2$ (sex)	$\beta 3$ (isControl_True)
Coefficient	428.1455	4.8982	-144.8783	-164.4922
t Stat	5.1293	3.2264	-3.2585	-4.3021
P-value	0	0.0015	0.0014	0
R Squared	0.22384763			
Degrees of Freedom	145			

Pipeline Creation

Name *
Test pipeline

Description *
This is a test Pipeline

Timeout for clients (default: infinite)

☐ Set active on this consortium   ☐ Only send results to consortia owner

Owning Consortium

TEST CONSORTIUM

GO TO CONSORTIUM   SAVE PIPELINE

☐ Share this pipeline with other consortia

Vault Users: ℹ

Select an user   ⌄   ADD VAULT USER

VBM Vault

ADD COMPUTATION STEP

No computations added

Types of nodes available for COINSTAC consortia

Investigator with no local data

Site with local data

Vault client with open data

Vault client with closed data

Hosting a COINSTAC Vault

The user downloads the Vault client onto a machine containing data

The user works with the COINSTAC team to configure their Vault

The Vault is ready for federated analysis

COINSTAC Vault hosting

COINSTAC service interaction between third-party-hosted Vaults and TReNDs-hosted Vaults

z=-22   x=0   z=-18

Subcortical Domain (SC: 5 ICNs)

X = 13 mm   Y = -19 mm   Z = 11 mm

Cognitive-Control Domain (CC: 17 ICNs)

X = -27 mm   Y = -34 mm   Z = -1 mm

Auditory Domain (AUD: 2 ICNs)

X = 43 mm   Y = -7 mm   Z = 11 mm

Default-Mode Domain (DM: 7 ICNs)

X = -5 mm   Y = -55 mm   Z = 33 mm

Sensorimotor Domain (SM: 9 ICNs)

X = 49 mm   Y = -26 mm   Z = 44 mm

Cerebellar Domain (CB: 4 ICNs)

X = -31 mm   Y = -61 mm   Z = -43 mm

Visual Domain (VS: 9 ICNs)

X = 45 mm   Y = -55 mm   Z = -8 mm