Pathformer: a biological pathway informed Transformer integrating multi-omics data for disease diagnosis and prognosis

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Abstract

Multi-omics data provide a comprehensive view of gene regulation at multiple levels, which is helpful in achieving accurate diagnosis of complex diseases like cancer. To integrate various multi-omics data of tissue and liquid biopsies for disease diagnosis and prognosis, we developed a biological pathway informed Transformer, Pathformer. It embeds multi-omics input with a compacted multi-modal vector and a pathway-based sparse neural network. Pathformer also leverages criss-cross attention mechanism to capture the crosstalk between different pathways and modalities. We first benchmarked Pathformer with 18 comparable methods on multiple cancer datasets, where Pathformer outperformed all the other methods, with an average improvement of 6.3%-14.7% in F1 score for cancer survival prediction and 5.1%-12% for cancer stage prediction. Subsequently, for cancer prognosis prediction based on tissue multi-omics data, we used a case study to demonstrate the biological interpretability of Pathformer by identifying key pathways and their biological crosstalk. Then, for cancer early diagnosis based on liquid biopsy data, we used plasma and platelet datasets to demonstrate Pathformer's potential of clinical applications in cancer screen. Moreover, we revealed deregulation of interesting pathways (e.g., scavenger receptor pathway) and their crosstalk in cancer patients' blood, providing new candidate targets for cancer microenvironment study.

Keywords: Multi-omics integration; Liquid biopsy; Cancer early diagnosis; Cancer prognosis; Pathway crosstalk

Introduction

Comparing to single type of data, multi-omics data provide a more comprehensive view of gene regulation[1]. Therefore, integrating multi-omics data from tissue and liquid biopsies would be helpful in addressing challenges in disease diagnosis and prognosis, such as deregulated network between different types of molecules and data noise caused by patients' heterogeneity[2]. To integrate multi-omics data of cancer, several supervised methods have been developed, such as mixOmics[3], liNN[4], eiNN[5], liCNN[6], eiCNN[7], MOGONet[8] and MOGAT[9]. Later, the performance and interpretability of multi-omics data integration were further improved using deep learning models informed by biological pathways. For instance, a pathway-associated sparse deep neural network (PASNet) was utilized to predict the prognosis of glioblastoma multiforme (GBM) patients[10]. Recently, P-NET, a sparse neural network integrating multiple molecular features based on a multilevel view of biological pathways, was introduced to predict subtype and survival of prostate cancer patients[11]. In addition, PathCNN based on a convolutional neural network (CNN) was developed to predict the prognosis of GBM patients using principal component analysis (PCA) to define image-like multi-omics pathways[12].

These pathway-informed deep learning methods did not consider the crosstalk between omics and between pathways, although the crosstalk holds biological significance as well as pathway itself[13-15]. Meanwhile, the criss-cross attention mechanism of the Transformer would be very useful to capture the crosstalk information[16]. However, incorporating multi-omics data and their crosstalk information in a Transformer is very challenging: when processing multi-omics data, the multi-modal features are usually multiplied by the number of genes (tens of thousands), producing an extremely long input that is not acceptable by a common Transformer model (usually less than 512). Meanwhile, certain embedding methods for biological data, such as discretization and linear transformation, were introduced in the previous Transformer models[17-19], while biological information was largely lost during these kinds of embedding.

In order to integrate multi-omics data by embedding biological pathway crosstalk without information loss, we introduce a Transformer model, Pathformer, with three key steps to address the above problems. First, it ingeniously concatenates different modalities into a novel compacted multi-modal vector for each gene, which not only saves valuable information but also shortens the input. Second, Pathformer utilizes a sparse neural network based on prior pathway knowledge to transform gene embeddings into pathway embeddings. Third, Pathformer naturally incorporates pathway crosstalk network into a Transformer model with bias to enhance the exchange of information between different pathways and between different modalities (e.g., omics) as well.

Here, we first benchmarked Pathformer and 18 other integration methods in various classification tasks, using

63 multiple cancer tissue datasets from TCGA. Then, we used Pathformer to integrate various multi-omics data from

64 tissue and liquid biopsies. Through case studies on survival prediction of breast cancer and noninvasive diagnosis

65 of pan-cancer, we revealed interesting pathways, genes, and regulatory mechanisms related to cancer in human

66 tissue and plasma, demonstrating the prediction accuracy and biological interpretability of Pathformer in various

67 clinical applications.

Materials and methods

Overview of Pathformer

Pathformer is mainly designed to integrate various multi-omics data from tissue and liquid biopsies, which can be

used for different classification tasks in disease diagnosis and prognosis, such as cancer early detection, cancer

staging and survival prediction (**Fig. 1a**). It has 6 modules: 1) biological pathway and crosstalk network calculation

module, 2) multi-omics data input module, 3) biological multi-modal embedding module (key module), 4)

Transformer module with pathway crosstalk network bias, 5) classification module and 6) biological interpretability

module.

Pathformer combines prior biological pathway information (module 1, **Fig. 1a**) with multi-modal data (module

2, **Fig. 1b**) for disease diagnosis and prognosis. It introduces a new embedding method to incorporate biological

multi-modal data at both gene level and pathway level: it initiates the process by uniformly transforming different

modalities to the gene level through a series of statistical indicators, then concatenates these modalities into

compacted multi-modal vectors to define gene embedding, and employs a sparse neural network based on the gene-

to-pathway mapping to transform gene embedding into pathway embedding (module 3, **Fig. 1c**). Pathformer then

enhances the fusion of information between various modalities and pathways by combining pathway crosstalk

networks with Transformer encoder (module 4, **Fig. 1a, Supplementary Fig. 1**). Finally, a fully connected layer

serves as the classifier for different downstream classification tasks (module 5). In addition, Pathformer uses a

biological interpretable module with attention weights and SHapley Additive exPlanations[20] values to identify

important genes, pathways, modalities, and their crosstalk or regulation (module 6).

These 6 modules are described in detail below.

Module 1: curation of biological pathways and calculation of initial crosstalk network

We curated 2,289 biological pathways from four public databases including Kyoto Encyclopedia of Genes and

Genomes database (KEGG)[21], Pathway Interaction database (PID)[22], Reactome database (Reactome)[23], and

BioCarta Pathways database (BioCarta)[24]. Then, we filtered these pathways by three criteria: the gene number, the

overlap ratio with other pathways (the proportion of genes in the pathway that are also present in other pathways),

and the number of pathway subsets (the number of pathways included in the pathway). Following the principle of

moderate size and minimal overlap with other pathway information, we selected 1,497 pathways with gene number

between 15 and 100, or gene number greater than 15 and overlap ratio less than 1, or gene number greater than 15

and the number of pathway subsets less than 5. Next, we used $BinoX$[25] to calculate the crosstalk relationship of

pathways and build a pathway crosstalk network with adjacency matrix $P \in \mathbb{R}^{N_p \times N_p}$, N_p=1,497 (more details in

Supplementary Note 1).

Modules 2 and 3: multi-omics data input and multi-modal embedding

Biological multi-modal data preprocessing and embedding method are two key modules of Pathformer (**Fig. 1b, c**).

In module 2 (**Fig. 1b**), to capture more comprehensive regulatory information, we expand biological multi-omics

data into multi-modal data, including not only data from different omics sources but also variant features of the

same omics, such as RNA splicing, RNA editing, RNA alternative promoter, and so on. To obtain multi-modal data,

we use standardized bioinformatics pipeline to calculate different omics or variant features of the same omics from

raw sequence reads (more details in **Supplementary Note 5**). These multi-modal data have different dimensions,

including nucleotide level, fragment level, and gene level. For example, Pathformer's input for cancer tissue datasets

from Cancer Genome Atlas (TCGA)[26] includes gene-level RNA expression, fragment-level DNA methylation, and

both fragment-level and gene-level DNA CNV. Modalities and their dimensions level for different datasets are

described in **Supplementary Table 1**.

In module 3 (**Fig. 1c**), we proposed a new biological multi-modal embedding method of Pathformer, which

consists of gene embedding E_G and pathway embedding E_P. We represented biological multi-modal input matrix

of a sample as M, described as follows:

$$M = [M_1, M_2, M_3, \cdots, M_m], M_i \in \mathbb{R}^{N_{M_i}}, i = 1, 2, 3, \cdots, m$$

, where m is the number of modalities, and N_{M_i} is the length of input for modality i, likes the number of genes for

RNA expression, the number of editing sites for RNA editing, and the number of CpG islands for DNA methylation.

Next, we first use a series of statistical indicators to convert different modalities into gene level modal features,

and then concatenate these modal features into a compressed multi-modal vector as gene embedding E_G, which are

calculated as follows:

$$E_G = F_E(M) = [V_1, V_2, \cdots, V_i, \cdots, V_m] = [G_1, G_2, \cdots, G_l, \cdots, G_{N_g}] \in \mathbb{R}^{N_g \times D_g}$$

$$V_i = F_{E_i}(M_i) = [f_*(M_i), \cdots, f_*(M_i)] = [V_i^1, \cdots, V_i^{e_i}] \in \mathbb{R}^{N_g \times e_i}, f_* \in [f_1, \cdots, f_8]$$

, where $G_l = [V_1^{(l)}, \cdots, V_i^{(l)}, \cdots, V_m^{(l)}] \in \mathbb{R}^{D_g}$ is gene embedding of the lth gene and is a compacted multi-modal

vector; V_i is the modal features matrix of modality i; V_i^j is the jth dimension of modal features matrix for modality

i; N_g is the number of genes, $D_g = e_1 + e_2 + \cdots + e_m$ is the dimension of gene embedding; e_i is the dimension of

modal features matrix for modality i; F_E is the conversion function, which uses statistical indicators to uniformly

convert different modalities into gene level; F_{E_i} is the conversion function of modality i, and each modality's

function is constructed from distinct statistical indicator functions f_* (more details in **Supplementary Table 1**).

These statistical indicator functions include gene level score (f_1), count (f_2), minimum (f_3), maximum (f_4), mean

(f_5), entropy (f_6), weighted mean in whole gene (f_7) and weighted mean in window (f_8), formulas of which are

in **Supplementary Note 2**.

Subsequently, we used the known gene-pathway mapping relationship to develop a sparse neural network

based on prior pathway knowledge (PSNN) to transform gene embedding E_G into pathway embedding E_P, as

described below:

$$E_P = W_{sparse}^T E_G + B, E_P \in \mathbb{R}^{N_p \times D_p}$$

, where N_p is the number of pathways, $D_p = D_g$ is the dimension of pathway embedding, $W_{sparse} \in \mathbb{R}^{N_g \times N_p}$ is a

learnable sparse weight matrix, and B is a bias term. W_{sparse} is constructed based on the known relationship

between pathways and genes. When the given gene and the pathway are irrelevant, the corresponding element of

W_{sparse} will always be 0. Otherwise, it needs to be learned through training. Therefore, pathway embedding is a

dynamic embedding method. The PSNN can not only restore the mapping relationship between genes and pathways,

but also capture the different roles of different genes in pathways, and can preserve the complementarity of different

modalities. Additionally, this biological multi-modal embedding step does not require additional gene selection,

thereby avoiding bias and overfitting problems resulting from artificial feature selection.

Module 4: Transformer module with pathway crosstalk network bias

We developed the Transformer module based on criss-cross attention (CC-attention) with bias for data fusion of

pathways, modalities, and their crosstalk (**Supplementary Fig. 1**). This module has 3 blocks, each containing multi-

head column-wise self-attention (col-attention), multi-head row-wise self-attention (row-attention), layer

normalization, GELU activation, residual connection, and network update. Particularly, col-attention is used to

enhance the exchange of information between pathways, with the pathway crosstalk network matrix serving as the

bias for col-attention to guide the flow of information. Row-attention is employed to facilitate information exchange

between different modalities, and the updated pathway embedding matrix is used to update the pathway crosstalk

network matrix by calculating the correlation between pathways.

Multi-head column-wise self-attention contains 8 heads, each head is a mapping of $\boldsymbol{Q_1}, \boldsymbol{K_1}, \boldsymbol{V_1}, \boldsymbol{P}$, which are

query vector, key vector, and value vector of pathway embedding $\boldsymbol{E_P}$ and pathway crosstalk network matrix $\boldsymbol{P}$,

respectively. First, we represented the hth column-wise self-attention by $\boldsymbol{A}_{col}^{(h)}$, calculated as follows:

$$154 \qquad \boldsymbol{A}_1^{(h)} = (\boldsymbol{Q}_1 \boldsymbol{K}_1^T)/\sqrt{d}, \boldsymbol{Q}_1 = \boldsymbol{E_P} \boldsymbol{W}_{Q_1}^{(h)}, \boldsymbol{K}_1 = \boldsymbol{E_P} \boldsymbol{W}_{K_1}^{(h)}, \boldsymbol{V}_1 = \boldsymbol{E_P} \boldsymbol{W}_{V_1}^{(h)}$$

$$155 \qquad \boldsymbol{A}_{col}^{(h)} = \text{dropout}_{0.2}(\text{softmax}(\boldsymbol{A}_1^{(h)} + \boldsymbol{P})) \cdot \boldsymbol{V}_1^{(h)}$$

156   , where $h = 1,2,\cdots,H$ is the hth head; H is the number of heads; $\boldsymbol{W}_{Q_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{K_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{V_1}^{(h)} \in \mathbb{R}^{D_p \times d}$ are

157   the weight matrices as parameters; d is the attention dimension; $\text{dropout}_{0.2}$ is a dropout neural network layer with

158   a probability of 0.2; and softmax is the normalized exponential function.

159   Next, we merged multi-head column-wise self-attention and performed a series of operations as follows:

$$160 \qquad \boldsymbol{g}_1^{(h)} = sigmoid(\boldsymbol{E_P} \boldsymbol{W}_{g_1}^{(h)})$$

$$161 \qquad \boldsymbol{U}_1' = \boldsymbol{U}_1 + \boldsymbol{E_P}, \boldsymbol{U}_1 = \sum_{h=1}^{H}(\boldsymbol{g}_1^{(h)} \circ \boldsymbol{A}_{col}^{(h)}) \cdot \boldsymbol{W}_{U_1}^{(h)}$$

$$162 \qquad \boldsymbol{O}_1 = \text{dropout}_{0.2}(\text{GELU}(\text{LayerNorm}(\boldsymbol{U}_1') \cdot \boldsymbol{W}_{O_{11}})) \cdot \boldsymbol{W}_{O_{12}} + \boldsymbol{U}_1'$$

, where $h = 1,2,\cdots,H$ is the hth head; H is the number of heads; $\circ$ is the matrix dot product; $\boldsymbol{W}_{g_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{U_1}^{(h)} \in$

$\mathbb{R}^{d \times D_p}$, $\boldsymbol{W}_{O_{11}} \in \mathbb{R}^{D_p \times o}$, $\boldsymbol{W}_{O_{12}} \in \mathbb{R}^{o \times D_p}$ are the weight matrices as parameters; o is a constant; LayerNorm is the

layer normalization function; GELU is the distortion of RELU activation function; and $\text{dropout}_{0.2}$ is a dropout

neural network layer with a probability of 0.2.

Multi-head row-wise self-attention enables information exchange between different modalities. It is a regular

dot-product attention. It also contains 8 heads, and the hth row-wise self-attention, i.e., $\boldsymbol{A}_{row}^{(h)}$, is calculated as follows:

$$169 \qquad \boldsymbol{A}_2^{(h)} = (\boldsymbol{Q}_2 \boldsymbol{K}_2^T)/\sqrt{d}, \boldsymbol{Q}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{Q_2}^{(h)}, \boldsymbol{K}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{K_2}^{(h)}, \boldsymbol{V}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{V_2}^{(h)}$$

$$170 \qquad \boldsymbol{A}_{row}^{(h)} = \text{dropout}_{0.2}(\text{softmax}(\boldsymbol{A}_2^{(h)})) \cdot \boldsymbol{V}_2^{(h)}$$

171   , where $h = 1,2,\cdots,$ h is the hth head; H is the number of heads; $\boldsymbol{W}_{Q_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $\boldsymbol{W}_{K_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $\boldsymbol{W}_{V_2}^{(h)} \in \mathbb{R}^{N_p \times d}$ are

172   the weight matrices as parameters; d is the attention dimension; $\text{dropout}_{0.2}$ is a dropout neural network layer with

173   a probability of 0.2; and softmax is the normalized exponential function.

174   Subsequently, we merged multi-head row-wise self-attention and performed a series of operations. The

175   formulas are as follows:

176
$$g_2^{(h)} = sigmoid(E_P^T W_{g_2}^{(h)})$$

177
$$U_2' = \beta * U_2 + E_P^T, \ U_2 = \sum_{h=1}^{H}(g_2^{(h)} \circ A_{row}^{(h)}) \cdot W_{U_2}^{(h)}$$

178
$$O_2 = dropout_{0.2}(\text{GELU}(\text{LayerNorm}(U_2') \cdot W_{O_{21}})) \cdot W_{O_{22}} + U_2'$$

, where $h = 1,2,\cdots,$ h is the hth head; H is the number of heads; $\circ$ is the matrix dot product; $W_{g_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $W_{U_2}^{(h)} \in$

$\mathbb{R}^{d \times N_p}$, $W_{O_{21}} \in \mathbb{R}^{N_p \times o}$, $W_{O_{22}} \in \mathbb{R}^{o \times N_p}$ are the weight matrices as parameters; o is a constant; β is a constant

coefficient for row-attention; LayerNorm is the layer normalization function; GELU is the distortion of RELU

activation function; and $dropout_{0.2}$ is a dropout neural network layer with a probability of 0.2. O_2 is pathway

embedding input of the next Transformer block. In other words, when E_P is $E_P^{(0)}$, O_2 is $E_P^{(1)}$, superscripts with

parenthesis represent data at different block.

Then, we used the updated pathway embedding O_2 to update the pathway crosstalk network. We exploited the

correlation between embedding vectors of two pathways to update the corresponding element of the pathway

crosstalk network matrix. The formula is as follows:

188
$$P' = (P \cdot P^T)/N_p$$

, where P' is the updated pathway crosstalk network matrix of next Transformer block. In other words, when P' is

$P^{(1)}$, P is $P^{(0)}$, superscripts with parenthesis represent data at different block.

Module 5: classification module

Given the classification tasks in disease diagnosis and prognosis, we used the fully connected neural network as the

classification module to transform pathway embedding encoded by the Transformer module into the probability for

each label. Three fully connected neural networks each have 300, 200, and 100 neurons, with dropout probability

$dropout_c$, which is hyperparameter. More details of the classification module are described in **Supplementary**

Note 3.

Model 6: biological interpretability module

The biological interpretable module enables us to calculate the contribution of each modality, identify important

pathways and their key genes, and uncover the most critical pathway crosstalk subnetworks.

To calculate the contribution of each omics and each modality, we first integrated all matrices of row-attention

maps into one matrix by element-wise average. Then, we averaged this average row-attention matrix along with

columns as the attention weights of modalities. More details are described in **Supplementary Note 4**.

To identify important pathways and their key genes, we used SHapley Additive exPlanations[20] (SHAP value)

to calculate the contribution of each feature. It is an additive explanation model inspired by coalitional game theory,

which regards all features as "contributors". SHAP value is the value assigned to each feature, which explains the

relationship between modalities, pathways, genes and classification, implemented by "SHAP" package of *Python*

v3.6.9. Then, pathways with the top 15 SHAP values in the classification task are considered as important pathways.

For each pathway, genes with top 5 SHAP values are considered as the key genes of the pathway. The core modality

on which one gene depends indicates that the SHAP value of that gene ranks higher on this modality than on the

others. More details are described in **Supplementary Note 4**.

Particularly, the pathway crosstalk network matrix is used to guide the direction of information flow, and

updated according to updated pathway embedding in each Transformer block. Therefore, the updated pathway

crosstalk network contains not only the prior information in the initial network (module 1) but also the multi-modal

data information derived from the Transformer module (module 4), which represents the specific regulatory

mechanism in each classification task. We defined the sub-network score through SHAP value of each pathway in

sub-network, so as to find foremost sub-network for prediction, that is, hub module of the updated pathway crosstalk

network. The calculation of the sub-network score can be divided into four steps: average pathway crosstalk network

matrix calculation, network pruning, sub-network boundary determination, and score calculation. More details of

sub-network score calculations are described in **Supplementary Note 4**.

Experimental settings

Data collection and preprocessing

We assayed both tissue biopsy and liquid biopsy data in this study. First, for benchmark testing on cancer diagnosis

and prognosis, we collected multiple datasets of different cancer types from TCGA (tissue data) to evaluate the

classification performance, including 10 datasets for early- and late-stage classification, and 10 datasets for low-

and high-risk survival classification (**Supplementary Fig. 2**). In addition, we also collected and processed two types

of body fluid datasets: the plasma dataset (373 samples assayed by total cell-free RNA-seq[27,28]) and the platelet

dataset (918 samples assayed by blood platelet RNA-seq[29,30]). Through our biological information pipeline, 3 and

7 biological modalities were derived from the TCGA (tissue biopsy) datasets and the liquid biopsy datasets,

respectively. More details are described in **Supplementary Notes 5 and 7**.

Model training and test

We implemented Pathformer's network architecture using the "PyTorch" package in *Python* v3.6.9, and our codes

can be found in the GitHub repository (https://github.com/lulab/Pathformer). For model training and test, we used

5-fold cross-validation, and repeated it twice by shuffling. Before evaluating the performance on the test set, we

optimized hyperparameters (e.g., learning rate, dropout probability of classification and constant coefficient for

row-attention) and epoch numbers inside the training set only. More details of model training and test are described

in **Supplementary Note 6**.

Evaluation criteria

When evaluating the classification performance, we used at least three evaluation indicators, area under the receiver

operating characteristic curve (AUC), weighted-averaged F1 score (F1score_weighted), and macro-averaged F1

score (F1score_macro). Notably, we prioritized F1score_macro as the main evaluation criterion in this paper. This

choice stems from the imbalance of sub-classes in our data, where F1score_macro stands out as a fairer and more

robust indicator compared to other metrics such as AUC.

Results

Benchmark of Pathformer and 18 multi-omics data integration methods using TCGA data

We conducted a meticulous benchmark of Pathformer and other 18 multi-omics data integration methods for various

classification tasks in cancer diagnosis and prognosis (**Fig. 2**). These methods can be categorized into 3 types. Type

I includes early and late integration methods based on conventional classifiers, such as support vector machine

(SVM), logistic regression (LR), random forest (RF), and extreme gradient boosting (XGBoost). Type II includes

partial least squares-discriminant analysis (PLSDA) and sparse partial least squares-discriminant analysis (sPLSDA)

of mixOmics[3]. Type III consists of deep learning-based integration methods, i.e., eiNN[5], liNN[4], eiCNN[7], liCNN[6],

MOGONet[8], MOGAT[9], P-NET[11] and PathCNN[12]. Among these, eiNN and eiCNN are early integration methods

based on NN and CNN; liNN and liCNN are late integration methods based on fully connected neural network (NN)

and convolutional neural network (CNN); MOGONet and MOGAT are multi-modal integration methods based on

graph neural network; P-NET and PathCNN are representative multi-modal integration methods that combines

pathway information.

To evaluate the performance, we tested the methods on multiple TCGA datasets for two tasks, cancer survival

prediction and cancer staging. DNA methylation, DNA copy number variation (CNV), and RNA expression were

used as input. The results of optimal hyperparameter combination for each dataset are listed in **Supplementary**

Table 2. Considering the numbers of sub-classes in the TCGA data are imbalanced, we utilized the macro-averaged

F1 score as the primary evaluation metric for hyperparameter optimization and performance evaluation (**Fig. 2 and**

Tables 1-2). We also list the other evaluation indicators (e.g., AUC) in **Supplementary Table 3**.

In general, Pathformer significantly performed better than the other 18 integration methods in terms of

F1score_macro score (**Fig. 2a**) and cross-validation variances (**Fig. 2b**). In cancer low- and high-risk survival

classification tasks, comparing to the other eight deep learning methods (Type III), Pathformer's F1score_marco

showed average improvements between 6.3% and 14.6%. When comparing to eiXGBoost, which performed best in

the conventiaonl machine learning methods (Types I and II), Pathformer's F1score_marco showed an average

improvement of 8.3%. In early- and late-stage classification tasks, comparing to the deep learning methods (Type

III), Pathformer's F1score_marco showed average improvements between 5.1% and 12%. Compared to eiXGBoost,

Pathformer's F1score_marco showed an average improvement of 6.2%. Moreover, Pathformer also showed good

generalization ability in terms of stability (**Fig. 2b**).

The detailed performance comparisons of Pathformer and other integration methods for different cancer types

are shown in **Tables 1-2** and **Supplementary Table 3**. In survival classifications, Pathformer achieved the highest

F1score_macro and F1score_weighted in all the 10 datasets, and the highest AUC in 7 of 10 datasets. In stage

classifications, Pathformer achieved the highest F1score_macro in 9 of 10 datasets, the highest F1score_weighted

in 8 of 10 datasets, and the highest AUC in 6 of 10 datasets.

Ablation analysis of Pathformer

We used ablation analysis to evaluate the contributions of different input modalities and calculation modules in the

Pathformer model, based on 9 datasets for cancer survival prediction (**Fig. 3**) and 9 datasets for cancer staging

(**Supplementary Fig. 5**). The pan-cancer dataset of the 10 datasets was not used here. First, we evaluated different

input modalities, including RNA expression, DNA methylation, DNA CNV, and a combination thereof. By

comparing the performances of seven models on cancer survival risk classification, we discovered that the model

with all three modalities as input achieved the best performance, followed by RNA expression and DNA CNV

combined model and DNA methylation-only model (**Fig. 3a**). Furthermore, we observed that the performances of

models with single modality can vary greatly between datasets. For example, DNA methylation-only model

performed better than RNA expression-only and DNA CNV-only in the LUSC, LIHC, and LGG datasets, but the

opposite performances were observed in the LUAD and BLCA datasets. Ablation analysis of different modalities

on cancer stage classification showed similar results (**Supplementary Fig. 5a**). These findings underscore the

distinct behaviors of different modalities in different cancer types, highlighting the necessity of multi-modal data

integration in various cancer stage and survival risk classification tasks.

Next, we evaluated the essentialities of different calculation modules in Pathformer. We developed 4 models,

namely CC-attention, Transformer, PSNN, and NN, which successively remove one to multiple modules of

Pathformer. The "CC-attention" model is Pathformer without pathway crosstalk network bias. The "Transformer"

model is Pathformer without pathway crosstalk network bias and row-attention, using only normal attention

mechanism and pathway embeddings. The "PSNN" model directly uses classification module with pathway

embedding as input. The "NN" model directly uses classification module with gene embedding as input. As shown

in **Fig. 3b** and **Supplementary Fig. 5b**, the complete Pathformer achieved the best classification performance, while

the performance of CC-Attention, Transformer, PSNN, and NN decreased successively. This indicated that pathway

crosstalk network, attention mechanism, and pathway embedding are all integral components of Pathformer. In

particular, CC-attention exhibited significantly poorer classification performance compared to Pathformer,

providing strong evidence for the necessity of incorporating pathway crosstalk in Pathformer.

Biological significance revealed by Pathformer for breast cancer prognosis using tissue data

To further understand the decision-making process of Pathformer and validate the reliability of its biological

interpretability, we showed a case study on breast cancer survival risk classification. We demonstrated that

Pathformer can use attention weights and SHAP values to reveal modalities, pathways, and genes related to breast

cancer prognosis, which align with biological knowledges (**Fig. 4**).

First, at the omics and modality level, we visualized the contributions of different modalities for breast cancer

survival risk classification by the attention weights (**Fig. 4a**). The contribution of transcriptomic data was greatest

in breast cancer prognostic prediction, which is consistent with the results of ablation analysis (**Fig. 3a**) and findings

from other literatures[31,32]. Combining with the results of stage classification using breast cancer tissue multi-modal

data (**Supplementary Figs. 6a**), we observed that the contributions of the three modalities in both tasks were similar,

but the contributions of different features for DNA methylation in breast cancer prognosis classification were

comparable. In other words, the contributions of various features in the same modality were also different for

different classification tasks. These findings further validated the necessity of multi-modal integration and biological

multi-modal embedding process.

Next, at the pathway and gene levels, we identified key pathways with top 15 SHAP values and key genes with

top 5 SHAP values for each pathway in breast cancer survival risk classification (**Fig. 4b**). Then, we presented a

hub module of the updated pathway crosstalk network (**Fig. 4c**). Here, *complex I biogenesis* pathway, which

participates in cancer cell proliferation and metastasis[33], was identified as the most critical pathway in the

classification and a key node in the hub module of the updated pathway crosstalk network. Five mitochondrial genes

(MT-ND4, MT-ND1, MT-ND3, MT-ND6, and MT-ND2) were identified as key genes of the *complex I biogenesis*

pathway in breast cancer prognostic classification by Pathformer, which is consistent with the literatures[34]. In

addition, compared to stage classification, *Anaplastic Lymphoma Kinase (ALK) signaling* pathway is specific to

breast cancer prognosis classification. ALK promotes cell proliferation by inhibiting apoptosis[35]. Overexpression,

deletion, and copy number variations of ALK are associated with different breast cancer subtypes[36-38], especially

triple negative breast cancer with poor prognosis[39]. Furthermore, through the hub module of the updated pathway

crosstalk network (**Fig. 4c**), we discovered a series of regulatory relationships related to immune response, centered

around *FCERI-mediated MAPK activation* pathway[38], which are crucial to prognosis classification. These pathways

impact the tumor immune response by influencing the energy supply[40], activation[41], and signal transduction[42] of

immune cells.

Subsequently, to facilitate a more intuitive understanding of the impact of active pathways identified by

Pathformer on breast cancer survival risk classification, we depicted survival curves comparing patients with high

and low scores in active pathways (**Fig. 4d** and **Supplementary Fig. 7**). The pathway score for each sample was

obtained by averaging across different dimensions of pathway embedding. Log-rank tests indicated that most active

pathways identified by Pathformer, like *complex I biogenesis* pathway, significantly influenced patient survival.

Performance of Pathformer for the noninvasive diagnosis of cancer using liquid biopsy data

In clinical practice, cancer diagnosis involves not only using tissue data for cancer staging but also using liquid

biopsy data (i.e., plasma) for noninvasive early detection and screen. The later has even greater clinical significance

because early detection substantially increases five-year survival rate of cancer patients. For instance, colon cancer's

5-year survivals were reported as 93.2% for stage I, and only 8.1% for stage IV[43]. Therefore, we applied Pathformer

to liquid biopsy data, aiming to classify cancer patients from healthy controls. We curated two types of cell-free

RNA sequencing (cfRNA-seq) data derived from plasma and platelet, respectively. We then calculated seven RNA-

level modalities as Pathformer's multi-modal input, including RNA expression, RNA splicing, RNA editing, RNA

alternative promoter (RNA alt. promoter), RNA allele-specific expression (RNA ASE), RNA single nucleotide

variations (RNA SNV), and chimeric RNA (**Supplementary Note 5**). Because these seven modalities of RNA may

have information redundancy, we selected the best modality combination based on 2 times 5-fold cross validations

(**Supplementary Note 8**). The results showed that the plasma data with 7 modalities and the platelet data with 3

modalities obtain the best performances (AUCs > 0.9) (**Table 3** and **Supplementary Fig. 9**). Because cancer screen

usually requires high specificity, we particularly report sensitivities on 99% specificity in **Table 3**, all of which are above 45%. It is worth noting that the sensitivity is still above 45% on 99% specificity in the plasma data even for the early-stage cancer patients, showing its potential for early cancer diagnosis. In addition, we showed that Pathformer's performance was superior to the other representative integration methods using the liquid biopsy data (**Supplementary Table 4**).

Biological significance revealed by Pathformer in cancer patient's blood

Based on the above analysis, we also gained new insight into the deregulated alterations in plasma through Pathformer's biological interpretability module. We revealed three interesting pathways deregulated in cancer patients' blood. The first one is *binding and uptake of ligands* (e.g., oxidized low-density lipoprotein, oxLDL) *by scavenger receptors* pathway, which was identified as the most active pathway in the plasma data (**Fig. 5a**). It is well established that scavenger receptors play a crucial role in cancer prognosis and carcinogenesis by promoting the degradation of harmful substances and accelerating the immune response[44]. Scavenger receptors are also closely related to the transport process of vesicles, such as stabilin-1[45]. Meanwhile, HBB, HBA1, HBA2, FTH1, HSP90AA1 were identified as key genes in this pathway. HBB, as a known biomarker, has been demonstrated to be downregulated in gastric cancer blood transcriptomics[46]. HSP90AA1 is also a potential biomarker for various cancers[47], especially in the blood[48].

The other two interesting pathways, *DAP12 signaling* pathway and *DAP12 interactions* pathway, were revealed in both plasma and platelet data (**Fig. 5a and Supplementary Fig. 10a**). DAP12 triggers natural killer cell immune responses against certain tumor cells[49], which is regulated by platelet[50]. Among the top 5 key genes of DAP12 related pathway, B2M has been reported as a serum protein encoding gene and a widely recognized tumor biomarker[51]; HLA-E and HLA-B were reported as cancer biomarkers in tissue and plasma[52,53].

Furthermore, Pathformer provides insight into the interplay between various biological processes and their impact on cancer progression by updating pathway crosstalk network (**Fig. 5b**). In the plasma data, the link between *binding and uptake of ligands by scavenger receptors* pathway and *iron uptake and transport* pathway was a novel addition to the updated network. These two pathways lack the crosstalk relationship in the original network before training, but there are literature reports that SCARA5 (member of scavenger receptor class A) can function as a ferritin receptor[54]. The crosstalk between two pathways was amplified by Pathformer in plasma dataset, probably because they were important for classification and shared the same key gene, FTH1 (gene encoding the heavy subunit of ferritin), one of two intersecting genes between the two pathways. In summary, Pathformer's updated

377 pathway crosstalk network visualizes the information flow between pathways related to cancer classification task

378 in the liquid biopsy data, providing novel insight into the crosstalk of biological pathways in cancer patients' plasma.

Conclusion and Discussion

380 Pathformer successfully applied a Transformer model to the integrate multi-modal data for cancer diagnosis and

381 prognosis. Particularly, it introduced a novel biological embedding method based on the compacted multi-modal

382 vectors (**Fig. 1b**). Moreover, it utilized the criss-cross attention mechanism of Transformer to capture crosstalk

383 between biological pathways and regulation between modalities (i.e., different omics).

384    ***Clinical Applications of Pathformer.*** Pathformer can be applied to various classification tasks in disease

385 diagnosis and prognosis, such as early detection, cancer staging, and survival prediction. Its prediction performance

386 and biological interpretability were demonstrated through substantial benchmark and case studies focusing on

387 cancer prognosis and noninvasive diagnosis. Moreover, this framework is adaptable for the diagnosis and prognosis

388 of other complex diseases, like autoimmune disease, neurodegenerative diseases, etc.

389    ***Potential targets revealed in cancer patients' blood.*** Particularly, we revealed the scavenger receptor

390 related pathway and DAP12 related pathway in cancer patients' blood, which are associated with extracellular

391 vesicle transport[45] and immune response[49], respectively. We even found a new cancer-related pathway crosstalk in

392 blood, which is between *binding and uptake of ligands by scavenger receptors* pathway and *iron uptake and*

393 *transport* pathway. These results provide candidate targets for the mechanism study of cancer microenvironment

394 and immune system, and even new targets for cancer treatment.

395    ***Limitations of Pathformer and future directions.*** Pathformer used genes involved in pathways from four

public databases, all of which consist of protein-coding genes. However, a substantial body of literature has reported

that noncoding RNAs are also crucial in cancer prognosis and diagnosis[55]. Therefore, incorporating noncoding

RNAs and their related functional pathways into Pathformer would be promising for future work. In addition, we

used the multi-modal features derived from cfRNA-seq only in the application of liquid biopsy, because the

published cell-free multi-omics datasets[28] are usually too small to be train-and-tested. Another flaw of Pathformer

is the computing memory issue. Pathway embedding of Pathformer has prevented memory overflow of Transformer

module caused by long inputs. However, when adding more pathways or gene sets (e.g., transcription factors),

Pathformer still faces the issue of memory overflow. In the future work, we may introduce linear attention to further

improve computational speed.

Declarations

Data availability

All datasets used in this study are publicly available for academic research usages. The details of usage are also fully illustrated in Methods and Supplementary Notes.

Code availability

Source code for data preprocessing and model training is freely available at Github (https://github.com/lulab/Pathformer) with detailed instructions. Source code for comparing the other methods is also included.

Consent for publication

All authors have approved the manuscript and agree with the publication.

Competing interests

The authors declare that they have no competing interests.

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Figure Legends

Figure 1. Overview of Pathformer

Schematic of Pathformer (**a**), which integrates multi-oimcs data of tissue and liquid biopsies for disease diagnosis and prognosis. Pathformer has 6 modules: 1) biological pathway and crosstalk network calculation module, 2) multi-omics data input module (**b**), 3) biological multi-modal embedding module (**c**), 4) Transformer module with pathway crosstalk network bias, 5) classification module and 6) biological interpretability module. F_E, conversion function in the gene embedding; G, gene; P, pathway; W, weight of pathway-based sparse neural network.

Figure 2. Performance comparison among multiple multi-omics data integration methods

Average macro-averaged F1 score (**a**) and its standard deviation (**b**) are shown for each method on the TCGA datasets (all cancer types) for cancer low- and high-risk survival classification and early- and late-stage classification, respectively. Error bars are from 5-fold cross-validation repeated twice (10 values) of all datasets.

Figure 3. Ablation analysis of Pathformer for different input modalities and different calculation modules

a. Different types of input modalities (omics data types) were used as input for TCGA cancer low- and high-risk survival classification. **B.** Ablation analysis of different calculation modules in Pathformer. Error bars are from 2 times 5-fold cross-validation across 9 datasets, representing 95% confidence intervals. CC-attention, Pathformer without pathway crosstalk network bias; Transformer, only based on normal attention and pathway embedding; PSNN, only classification module with pathway embedding; NN, only classification module with gene embedding.

Figure 4. Biological interpretation of the breast cancer survival data using Pathformer

a. Contributions of different modalities for breast cancer (BRCA) survival risk classification calculated by attention weights (averaging attention maps of row-attention). **b**. Important pathways and their key genes with top SHapley Additive exPlanations (SHAP) values for BRCA survival risk classification. Among the key genes, different colors represent different pillar modalities of the genes. **c**. A hub module of the updated pathway crosstalk network for BRCA survival risk classification. Color depth and size of node represents the degree of node. Line thickness represents the weight of edge. All links are predicted by Pathformer, where known links are reported by the initial crosstalk network and new links are new predictions. **d**. Keplan-Meier curves of the most active pathway selected identified by Pathformer. P-value calculated through Log-Rank test.

Figure 5. Biological interpretation of the cancer patients' plasma data using Pathformer

a. Important pathways and their key genes revealed by Pathformer in the plasma cell free RNA-seq data when

classifying cancer patients from healthy controls. The pathways and their key genes were selected with top SHAP

values. Among the key genes, different colors represent different pillar modalities (e.g., RNA expression, RNA

editing, etc) of the genes. **b**. Hub modules of pathway crosstalk network are shown for plasma cell free RNA-seq

data. Color depth and size of node represent the degree of node. Line thickness represents the weight of edge. All

links are predicted by Pathformer, where known links are reported by the initial crosstalk network and new links

are new predictions.

Figure 1

Figure 2

Table 1. Performance comparison among multiple multi-omics data integration methods for cancer survival prediction

	BRCA[1]	KIRC	LUAD	LUSC	HNSC	BLCA	LIHC	SKCM	LGG	Pan-cancer[2]
eiLR	0.571	0.584	0.530	0.520	0.529	0.479	0.497	0.557	0.689	0.674
eiRF	0.494	0.636	0.443	0.477	0.497	0.475	0.579	0.609	0.759	0.709
eiSVM	0.467	0.656	0.487	0.471	0.466	0.459	0.436	0.630	0.640	0.705
eiXGBoost	0.564	0.653	0.530	0.519	0.476	0.549	0.550	0.594	0.723	0.694
liLR	0.569	0.619	0.479	0.481	0.512	0.456	0.487	0.554	0.718	0.696
liRF	0.473	0.622	0.453	0.452	0.478	0.438	0.428	0.609	0.724	0.712
liSVM	0.468	0.614	0.438	0.508	0.470	0.475	0.439	0.561	0.714	0.710
liXGBoost	0.523	0.585	0.481	0.491	0.483	0.504	0.500	0.532	0.715	0.697
PLSDA	0.561	0.660	0.444	0.496	0.527	0.506	0.514	0.635	0.778	0.669
sPLSDA	0.549	0.617	0.450	0.495	0.521	0.465	0.442	0.593	0.726	0.658
eiNN	0.573	0.640	0.503	0.483	0.537	0.497	0.593	0.583	0.695	0.687
eiCNN	0.510	0.548	0.462	0.529	0.512	0.474	0.578	0.582	0.631	0.688
liNN	0.576	0.631	0.521	0.480	0.523	0.518	0.551	0.590	0.702	0.713
liCNN	0.536	0.596	0.504	0.501	0.487	0.460	0.465	0.489	0.706	0.697
MOGONet	0.510	0.632	0.455	0.497	0.469	0.470	0.476	0.599	0.706	0.676
MOGAT	0.466	0.637	0.438	0.434	0.462	0.443	0.448	0.605	0.595	0.689
PathCNN	0.558	0.643	0.522	0.560	0.525	0.486	0.598	0.551	0.766	0.712
P-NET	0.640	0.661	0.551	0.509	0.559	0.470	0.578	0.641	0.715	0.733
Pathformer	**0.673**	**0.688**	**0.633**	**0.615**	**0.606**	**0.601**	**0.651**	**0.694**	**0.787**	**0.735**

[1] abbreviation of cancer type according to the TCGA terms; [2]pan-cancer dataset contains 33 cancer types of TCGA terms.
10 TCGA datasets are tested. Average macro-averaged F1 scores are listed for the two unbalanced classes, high- and low-risk survival cancer patients. Each value is the mean of 5-fold cross-validation repeated twice (10 values).

Table 2. Performance comparison among multiple multi-omics data integration methods for cancer staging.

	BRCA[1]	KIRC	LUAD	LUSC	STAD	BLCA	LIHC	SKCM	THCA	Pan-cancer[2]
eiLR	0.510	0.647	0.506	0.501	0.541	0.612	0.567	0.579	0.613	0.631
eiRF	0.488	0.723	0.503	0.509	0.540	0.647	0.528	0.571	0.660	0.622
eiSVM	0.451	0.661	0.460	0.467	0.528	0.555	0.464	0.551	0.550	0.557
eiXGBoost	0.518	0.686	0.526	0.498	0.548	0.639	0.540	0.535	0.664	0.629
liLR	0.522	0.654	0.473	0.506	0.581	0.624	0.496	0.548	0.626	0.620
liRF	0.454	0.704	0.497	0.469	0.543	0.611	0.507	0.570	0.622	0.604
liSVM	0.436	0.675	0.460	0.470	0.552	0.564	0.438	0.557	0.601	0.567
liXGBoost	0.475	0.670	0.471	0.483	0.493	0.542	0.526	0.516	0.601	0.608
PLSDA	0.456	0.710	0.462	0.487	0.565	0.609	0.521	0.562	0.627	0.572
sPLSDA	0.450	0.717	0.503	0.465	0.540	0.568	0.552	0.514	0.596	0.559
eiNN	0.545	0.669	0.549	0.515	0.555	0.636	0.569	0.614	0.528	0.617
eiCNN	0.522	0.647	0.563	0.501	0.505	0.634	0.584	0.553	0.469	0.606
liNN	0.535	0.681	0.531	0.528	0.518	0.616	0.565	0.582	0.547	0.630
liCNN	0.518	0.643	0.559	0.473	0.482	0.565	0.586	0.557	0.468	0.618
MOGONet	0.466	0.646	0.461	0.476	0.574	0.553	0.474	0.526	0.644	0.624
MOGAT	0.463	0.637	0.493	0.459	0.562	0.554	0.461	0.528	0.574	0.473
PathCNN	0.525	0.694	0.554	0.534	0.521	0.566	0.563	0.540	0.595	0.643
P-NET	0.522	0.624	0.543	0.526	0.537	0.660	0.612	0.571	0.632	**0.664**
Pathformer	**0.573**	**0.726**	**0.629**	**0.564**	**0.596**	**0.706**	**0.616**	**0.646**	**0.690**	0.657

[1] abbreviation of cancer type according to the TCGA terms; [2]pan-cancer dataset contains 21 cancer types of TCGA terms.
10 TCGA datasets are tested. Average macro-averaged F1 scores are listed for the two unbalanced classes, early- (stage I and II) and late-stage (stage III and IV) cancer patients. Each value is the mean of 5-fold cross-validation repeated twice (10 values).

Figure 3

a Ablation analysis of different modalities

b Ablation analysis of different modules

a

Contributions of different modalities on BRCA survival risk classific...

b Important pathways and key genes on BRCA survival risk classification by SHAP value

c

d

Table 3. Cancer detection performance of Pathformer based on the cell-free RNA liquid biopsy data.

Dataset	macro-averaged F1 score	weighted-averaged F1 score	AUC	Sensitivity (99% specificity)
Plasma[1]	0.843	0.877	0.914	0.488
Plasma (early stage[2])	0.853	0.869	0.916	0.479
Platelet[3]	0.889	0.903	0.938	0.481

[1]all cancer stages from I to IV; [2]cancer stage I and stage II; [3]Stage information not available.
All types of cancer patients are used as positives; the heathy controls are used as negatives. Each value is the mean of 5-fold cross-validation repeated twice (10 values).

Figure 5

a   Important pathways and key genes on plasma data classification by SHAP

Important pathways

Binding and uptake of ligands by scavenger receptors
Iron uptake and transport
Formation of fibrin clot (clotting cascade)
Colorectal cancer
The role of Nef in HIV-1 replication and disease pathogenesis
Antigen processing and presentation
DAP12 signaling
Interaction between L1 and ankyrins
Adherens junctions interactions
ADP signalling through P2Y purinoceptor 1
GRB2:SOS provides linkage to MAPK signaling for Integrins
Interferon gamma signaling
MYC repress pathway
DAP12 interactions
Gap junctions

Top5 genes of each pathway

HBB, HBA1, HBA2, FTH1, HSP90AA1
RPS27A, UBB, UBA52, FTH1, SKP1
PF4, PF4V1, F13A1, PROS1, GP1BB
TGFB1, RAC1, AKT3, RHOA, RAC2
B2M, RAC1, HLA-A, ARF1, FYN
B2M, PSME1, HLA-DRA, HLA-E, HSP90AA1
B2M, RAC1, HLA-E, GRAP2, GRB2
ACTB, ACTG1, SPTBN1, SPTAN1, ANK1
ACTB, ACTG1, CTNNB1, CTNNA1, JUP
GNB1, GNG11, GNG5, GNG8, GNB2
ITGB3, TLN1, ITGA2B, RAP1B, PTK2
B2M, HLA-DRA, HLA-E, HLA-B, HLA-A
ITGB1, FTH1, MAX, CCL5, CLU
B2M, RAC1, HLA-E, HLA-B, GRAP2
GNAS, TUBB1, PRKACB, EGF, PRKCB

RNA expression
RNA alt. promoter
RNA splicing
RNA SNV
RNA editing
RNA ASE
Chimeric RNA

Scaled z-score of SHAP value
0   1

Pillar modality of gene
- ● RNA expression   ● RNA SNV
- ● RNA alt. promoter   ● Chimeric RNA
- ● RNA splicing   ● RNA editing + Chimeric RNA
- ● RNA editing   ● RNA alt. promoter + Chimeric RNA
- ● RNA ASE   ● RNA SNV + RNA ASE

d   Hub module of the updated pathway crosstalk network for plasma data classification

Adherens junctions interactions
DAP12 signaling
HEME signaling
RHO GTPases activate IQGAPs
P53 regulation pathway
RHOBTB GTPase cycle
Vibrio cholerae infection
Regulation of TNFR1 signaling
Common pathway of fibrin clot formation
Binding and uptake of ligands by scavenger receptors
RHOF GTPase cycle
Chaperone-mediated autophagy
Interaction between L1 and ankyrins
rRNA modification in the nucleus and cytosol
Porphyrin and chlorophyll metabolic
Dilated cardiomyopathy
Iron uptake and transport
The role of Nef in HIV-1 replication and disease pathogenesis
Protein Folding
MYC activ pathway
Activation of the mRNA upon binding of the cap-binding complex and eIFs and subsequent binding to 43S

Links predicted by Pathformer
— Known links
— New links