Pathformer: biological pathway informed Transformer model integrating multi-modal data of cancer

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Abstract

Multi-modal biological data integration can provide comprehensive views of gene regulation and cell development. However, conventional integration methods rarely utilize prior biological knowledge and lack interpretability. To address these challenges, we developed Pathformer, a biological pathway informed deep learning model based on Transformer with bias to integrate multi-modal data. Pathformer leverages criss-cross attention mechanism to capture crosstalk between different biological pathways and between different modalities (i.e., multi-omics). It also utilizes SHapley Additive Explanation method to reveal key pathways, genes, and regulatory mechanisms. Through benchmark studies on 28 TCGA datasets, we demonstrated the superior performance and interpretability of Pathformer on various cancer classification tasks, compared to other integration models. Furthermore, we applied Pathformer to liquid biopsy multi-modal data integration with high accuracy in cancer diagnosis. Meanwhile, Pathformer revealed interesting molecularly altered pathways in cancer patients' body fluid, such as ligand binding of scavenger receptors, iron transport, and DAP12 signaling transmission, which are related to extracellular vesicle transport, platelet, and immune response.

Keywords: Multi-modal integration; Transformer; Pathway crosstalk network; Cancer diagnosis; Liquid biopsy.

Introduction

The rapid progress in high-throughput technologies has made it possible to curate multi-modal data for disease studies using genome-wide platforms. These platforms can analyze different molecular alterations in the same samples, such as DNA variances (e.g., mutation, methylation, and copy number variance) and RNA alterations (e.g., expression, alternative promoter, splicing, and editing). Integrating these multi-modal data offers a more comprehensive view of gene regulation in diseases (e.g., cancer) than analyzing single type of data[1]. For instance, multi-modal data integration is helpful in addressing certain key challenges of cancer diagnosis and prognosis, such as heterogeneity of intra- and inter-cancer, and complex molecular interactions[2]. Therefore, there is a pressing need for advanced computational methods that uncover interactions of multi-modal data in cancer.

Current algorithms for integrating multi-modal data can be broadly categorized into three groups: early integration models that merge multi-modal data into a single matrix[3,4], late integration models that process each modality separately and then combine their outputs through averaging or maximum voting[5,6], and intermediate integration models that dynamically merge multi-modal data[7,8]. Recently, instead of previous methods that mainly focus on unsupervised problems, several supervised algorithms have been proposed for classifying diseases. For example, mixOmics uses latent component analysis to find common features among multi-modal data[9]. Wang et al. proposed multi-omics graph convolutional networks (MOGONet), a late integration model that uses graph convolutional networks for modal-specific learning and view correlation discovery network for multi-modal integration[10]. Moon et al. proposed two modal data integration and interpretation algorithm (MOMA) that utilizes attention mechanisms to extract important modules[11]. These methods rely on computational inference to capture relationship between modalities, but ignore the immensely informative prior biological knowledge such as regulatory networks.

To improve the interpretability, several studies have attempted to incorporate prior biological knowledge into deep learning models for multi-modal data integration. For instance, Ma et al. proposed a visible neural network that combines with biological pathways to model the impact of gene interactions on yeast cell growth[12]. Meanwhile, pathway-associated sparse deep neural network (PASNet) was utilized to accurately predict the prognosis of glioblastoma multiforme (GBM) patients[13]. Recently, a sparse neural network integrating multiple molecular features based on a multilevel view of biological pathways, P-net, was published for the classification of prostate cancer patients[14]. Another method, PathCNN, was developed to predict survival of GBM patient by using principal component analysis (PCA) algorithms to define multi-modal pathway images and a convolutional neural network[15]. However, these algorithms rarely considered the synergy and nonlinear relationships between pathways. Given the

complexity of biological systems, understanding the pathway crosstalk is crucial for comprehending more complex

diseases[16], which can help deep learning models better capture multi-modal interactions.

Inspired by these prior works, we propose Pathformer, which combines pathway crosstalk networks and the

Transformer encoder with bias for the interpretation and classification of multi-modal data in cancer. Recently,

Transformer has demonstrated its capability in handling multi-modal tasks in computational fields[17]. It hasn't been

applied to the biological multi-modal data for lack of reliable biological embedding methods and solutions to the

memory explosion posed by the vast amount of gene inputs. These challenges are addressed by Pathformer. First,

Pathformer uses multiple statistical indicators of multi-modal data as gene embedding, which comprehensively

describes different perspectives of gene information. Second, Pathformer utilizes a sparse neural network based on

prior pathway knowledge to transform gene embeddings into pathway embeddings, which not only captures

valuable information but also addresses memory explosion issue. Third, Pathformer incorporates pathway crosstalk

networks into the Transformer model with bias to enhance the exchange of information between different modalities

and pathways.

As far as we are aware, Pathformer is the first biological multi-modal integration model that combines prior

pathways knowledge and Transformer encoder model. We evaluated Pathformer on 28 benchmark datasets of the

Cancer Genome Atlas (TCGA)[18] and demonstrated its superior performance and biological interpretability on

various cancer classification tasks, compared to other integration models. Pathformer was applied to liquid biopsy

data, which not only showed high accuracy for noninvasive cancer diagnosis but revealed interesting molecularly

altered pathways in human plasma.

Results

The Pathformer model

Pathformer utilizes biological pathway network and a Transformer encoder to allow better information fusion. It

has six modules: biological pathway input, pathway crosstalk network calculation, multi-modal data input,

biological multi-modal embedding, Transformer module with pathway crosstalk network, and classification module

(**Fig. 1a,** see **Methods** for details). Pathformer uses biological multi-modal data and biological pathway information

as input, and define biological multi-modal embedding (gene embedding and pathway embedding). It then enhances

the fusion of information between various modalities and pathways by combining pathway crosstalk networks with

Transformer encoder. Finally, a fully connected layer serves as the classifier.

We curated all pathways from four public databases, then selected 1,497 pathways based on the criterion of

gene number, overlap ratio with other pathways, and the number of pathway subsets. Next, we used *BinoX[19]*, a

classic tool for crosstalk analysis, to calculate the crosstalk relationships among the 1,497 pathways. Based on these

relationships, we created a pathway crosstalk network as Pathformer's input (see **Methods** and **Supplementary**

Notes).

Multi-modal biological data preprocessing and embedding are crucial components of Pathformer (**Fig. 1b**). We

preprocessed the raw sequence reads of DNA-seq and RNA-seq into multi-modal data, including DNA methylation,

DNA copy number, and different RNA alterations (see **Methods** and **Supplementary Notes**). These multi-modal

data are on different levels, such as nucleotide level, fragment level, and gene level, which significantly influence

data integration. To address this, we used multiple statistical indicators as gene embeddings to retain the gene

diversity across different modalities (see **Fig. 1b** and **Methods**). Subsequently, we used the known gene-pathway

mapping relationship to develop a sparse neural network based on prior pathway knowledge (PSNN) to transform

gene embedding into pathway embedding. The PSNN has two layers representing genes and pathways, respectively.

These two layers are not fully connected, but rather share a connection pruned based on the pathway and gene

inclusion relationships. If there is no correlation between a given gene and a given pathway, the connection weight

between two neurons is set to be 0; otherwise, it is learned through training (see **Methods**). Therefore, pathway

embedding is a dynamic embedding method. The PSNN can not only restore the mapping relationship between

genes and pathways, but also identify important genes in different pathways through trained weights, and can

transfer the complementarity of modalities at the gene level to the pathway level. Additionally, this biological multi-

modal embedding step does not require additional gene selection, thereby avoiding bias and overfitting problems

resulting from artificial feature selection.

Transformer module with pathway crosstalk network bias is the key module of Pathformer model (**Fig. 1c**).

Inspired by the Evoformer model used in AlphaFold2[20] for processing multiple sequences, we developed the

Transformer module based on criss-cross attention (CC-attention) with bias for data fusion of pathways and

modalities. Particularly, multi-head column-wise self-attention (col-attention) is used to enhance the exchange of

information between pathways, with the pathway crosstalk network matrix serving as the bias for col-attention to

guide the flow of information. Multi-head row-wise self-attention (row-attention) is employed to facilitate

information exchange between different modalities, and the updated multi-modal embedding matrix is used to

update the pathway crosstalk network matrix by calculating the correlation between pathways. More details of the

Transformer module are described in **Methods**.

Pathformer outperforms existing multi-modal integration methods in various classification tasks using TCGA datasets

To evaluate the performance of Pathformer, we tested model on various cancer classification tasks as benchmark

studies: cancer early- and late- stage classification (10 TCGA cancer datasets), low- and high- survival risk

classification (10 TCGA cancer datasets), and cancer subtype classification (8 TCGA cancer datasets) (see

Supplementary Fig. 1 and **Supplementary Notes**). For these tasks, DNA methylation, DNA CNV, and RNA

expression were used as input. For model training and test, we performed 2 times 5-fold cross-validation that divided

the data into a discovery set (75%) and a validation set (25%) for each test (see **Supplementary Fig. 1** and **Methods**).

We first optimized hyperparameters using 5-fold cross-validation on the discovery set, with macro-averaged F1

score as the criterion for grid search. The results of optimal hyperparameter combination for each dataset are listed

in **Supplementary Fig. 2** and **Supplementary Table 1**. Then, we trained Pathformer using the discovery set with

early stopping and tested it on the validation set.

We compared the classification performance of Pathformer with several existing multi-modal integration

methods, including early integration methods based on base classifiers, i.e., nearest neighbor algorithm (KNN),

support vector machine (SVM), logistic regression (LR), random forest (RF), and extreme gradient boosting

(XGBoost); late integration methods based on KNN, SVM, LR, RF, and XGBoost; partial least squares-discriminant

analysis (PLSDA) and sparse partial least squares-discriminant analysis (sPLSDA) of mixOmics[9]; two deep

learning-based integration methods, MOGONet[10] and PathCNN[15]. MOGONet is a multi-modal integration method

based on graph convolutional neural network. PathCNN is a representative multi-modal integration method that

combines pathway information. During comparison methods, the multi-modal data were preprocessed with the

statistical indicators and features were prefiltered with ANOVA as input (see **Supplementary Notes**).

Pathformer consistently outperformed the other integration methods in most classification tasks, evaluated by

macro-averaged F1 score (F1score_macro) (**Fig. 2**), as well as area under the receiver operating characteristic curve

(AUC) and average F1 score weighted by support (F1score_weighted) (**Supplementary Fig. 3** and **Supplementary

Table 2**). We showed F1score_macro in the main figure because it is a more robust measurement than the other two

scores for the imbalanced classes. In the cancer stage classification and survival classification tasks, Pathformer

achieved the best F1score_macro and F1score_weighted in all the 10 datasets, and the best AUC in 8 of 10 datasets.

In cancer subtype classification of TCGA, Pathformer achieved the best F1score_macro in 7 of 8 datasets, the best

F1score_weighted in 6 of 8 datasets, and the best AUC in 6 of 8 datasets. Notably, Pathformer substantially

outperformed the other methods in the challenging classification tasks like cancer early- and late- stage classification

and low- and high- survival risk classification, showing average increases of 11% and 15% in F1score_marco

compared with XGBoost, respectively. This highlights Pathformer's exceptional learning ability. Moreover, in terms

of stability, Pathformer also showed significantly better generalization ability than the other deep learning

algorithms, as indicated by the cross-validation variances (**Supplementary Fig. 4**).

Ablation analysis shows that Pathformer benefits from multi-modal integration, attention mechanism and pathway crosstalk network

We used ablation analysis to evaluate the essentialities of each type of data and each module of model in the multi-

model data integration of Pathformer, based on nine datasets of cancer early- and late- stage classification. First, we

evaluated the essentialities of seven different data inputs, including RNA expression, DNA methylation, DNA CNV,

and a combination thereof (**Fig. 3a**). By comparing the classification performances of seven models, we discovered

that the model with all three modalities as input achieved the best performance, followed by RNA expression-only

and DNA methylation-only model. Furthermore, we observed that the performances of models with single modality

can vary greatly between datasets. For example, DNA methylation-only model performed better than RNA

expression-only and DNA CNV-only in the KIRC dataset, but the opposite performances were observed in the

LUAD dataset. These findings suggest that different modalities have disparate behaviors in different cancer types,

and emphasized the necessity of multi-modal data integration in various cancer classification tasks.

Next, we also evaluated the essentialities of different modules in Pathformer. We developed 4 models, namely

CC-attention, Transformer, PSNN, and NN, which successively remove one to multiple modules of Pathformer.

CC-attention is a model without pathway crosstalk network bias. Transformer is a model without either pathway

crosstalk network bias or row-attention. PSNN is a model that directly uses classification module with pathway

embedding as input. NN is a model that directly uses classification module with gene embedding as input. As shown

in **Fig. 3b**, the complete Pathformer model achieved the best classification performance, while the performance of

CC-Attention, Transformer, PSNN, and NN decreased successively. Transformer had a significantly lower

classification performance compared to CC-Attention, but no significant improvement compared to PSNN. This

indicates that the criss-cross attention mechanism (**Fig. 1c**) plays a key role in Pathformer, with respect to

information fusion and crosstalk between different biological pathways and between different modalities (i.e., multi-

omics).

Biological interpretability of the Pathformer model

To comprehend Pathformer's decision-making process, we used averaging attention maps in row-attention to represent the contributions of different modalities, and SHapley Additive exPlanations[21] (SHAP value) to decipher the important pathways and their key genes (see **Methods**). SHAP value is a post hoc model interpretation method that assigns an importance value to each feature to explain the relationship between features and classification[21]. In addition, the z-score of SHAP values of different modalities for each pathway and gene can demonstrate modal complementarity at the gene level and the pathway level. Finally, the hub module of the updated pathway crosstalk network represents the most critical regulatory mechanism in classification, and is screened by sub-network scores based on SHAP values of pathways. Links of the updated network indicate crosstalk relationships that affect classification tasks (see **Methods**).

Here, we demonstrated the interpretability of Pathformer using the breast cancer subtype classification task as an example (**Fig. 4**). First, at the modality level, we visualized the contributions of different modalities for breast cancer subtype classification by the attention weights (**Fig. 4a**). The contribution of transcriptomic data was greater than 50% in breast cancer subtype classification, which is consistent with the fact that PAM50 is defined based on transcriptomic data[22]. Combining with the results of other classification tasks for breast cancer (**Supplementary Figs. 5a, 6a**), we observed that transcriptome always played a crucial role in various classification tasks; DNA CNV had certain contribution in subtype classification; and DNA methylation contributed substantially in early- and late-stage classification. In addition, the contributions of various statistical indicators in the same modality were also different for different classification tasks. For example, mean of DNA CNV played an important role in subtype classification, while minimum of DNA CNV had greater contribution in stage classification and survival classification. These findings further validated the necessity of multi-modal integration and biological multi-modal embedding.

Next, at the pathway and gene level, we identified the pathways with top 15 SHAP value and the genes with top 5 SHAP value of each pathway as key genes in breast cancer subtype classification (**Fig. 4b**). Then, we presented a hub module of the updated pathway crosstalk network (**Fig. 4c**). Here, *complex I biogenesis* pathway was identified as the most critical pathway in breast cancer subtype classification and a key node in the hub module of the updated pathway crosstalk network. This pathway comprises 57 genes, including mitochondrial genes and protein-coding genes. Complex I participates in the biosynthesis and redox control during cancer cell proliferation and metastasis[23]. Five mitochondrial genes (MT-ND3, MT-ND1, MT-ND4, MT-ND2, and MT-ND6) were identified as key genes of the *complex I biogenesis* pathway in breast cancer subtype classification by Pathformer. These

mitochondrial genes have been reported to exhibit distinct patterns in different breast cancer subtypes[24]. In addition,

in the hub module of the updated pathway crosstalk network, *complex I biogenesis* pathway was closely related to

TP53-regulated metabolic genes pathway and *signaling by ERBB4* pathway, and has been identified as the most

critical regulatory mechanism for breast cancer subtype classification. According to literatures, TP53 mutation

spectrum[25] and ERBB4[26] are biomarkers for breast cancer subtypes.

Moreover, many other important pathways identified by Pathformer for breast cancer subtype classification

have also already been reported previously (**Fig. 4b**). For example, the expression of *nucleotide excision repair*

pathway is reduced in TNBC, which may affect survival after platinum chemotherapy of patients[27]. RFC4 is the key

gene of this pathway, and DNA CNV of RFC4 was reported to play a crucial role in determining individual breast

cancer subtypes[28], which is consistent with the prediction of the gene's pillar module by Pathformer. Key genes of

transcription of E2F targets under negative control by p107 and p130 in complex with HDAC1 pathway were

identified as E2F1, HDAC1, RBBP4, CCNA2, and CDK1 by Pathformer. Most E2F family genes expressions are

significantly up-regulated in TNBC, and are predictive biomarkers of neoadjuvant therapies in patients with ER-

positive/HER2-negative tumors[29]. In addition to the transcriptome level, DNV CNV of E2F1 is also a susceptibility

factor for breast cancer[30], again consistent with the prediction of the gene's pillar module by Pathformer. HDAC1

is significantly lower in HER2-positive and TNBC compared to luminal A and luminal B[31].

Similarly, we also analyzed important pathways and hub modules of the updated pathway crosstalk network in

breast cancer early- and late-stage classification and high- and low-risk survival classification (**Supplementary**

Figs. 5,6). We found that *complex I biogenesis* pathway always played a crucial role in different classification tasks

of breast cancer, due to its connection between various cancer-related pathways. Particularly, in breast cancer early-

and late-stage classification, *iron uptake and transport* pathway had the greatest impact. Supportively, the transport

and storage of iron in cells are known to play a key role in carcinogenesis, cell proliferation, and the development

of breast cancer[32]. Furthermore, we found that some pathways were more important in early- and late-stage

classification than in subtype classification and survival classification, such as *collagen biosynthesis and modifying*

enzymes pathway, *Eph/ephrin signaling* pathway, *FRA* pathway, and *G1* pathway. *Roles of LAT2/NTAL/LAB in*

calcium mobilization pathway was more important in survival classification than in the other classification tasks,

which was consistent with calcium signaling pathway's function in breast cancer cells' proliferation, invasion,

apoptosis, and multidrug resistance, and with breast cancer survival[33].

Application of Pathformer to liquid biopsy data for non-invasive cancer diagnosis

Liquid biopsy is a non-invasive detection way with important clinical applications in both cancer diagnosis and status monitoring, which provides comprehensive information on transcriptome dynamics[34]. RNA alterations reflect the complementarity between different levels of information and help to overcome missed detection results of single data to further improve the accuracy of cancer diagnosis. Therefore, we used Pathformer to integrate multi-modal data of liquid biopsies for classifying cancer patients from healthy controls. We applied Pathformer to three cell-free RNA-seq datasets derived from three different blood components: plasma, extracellular vesicle (EV), and platelet datasets (see **Methods**).

We calculated seven RNA-level modalities from RNA-seq data as Pathformer's input, including RNA expression, RNA splicing, RNA editing, RNA alternative promoter (RNA alt. promoter), RNA allele-specific expression (RNA ASE), RNA single nucleotide variations (RNA SNV), and chimeric RNA. From results of 5-fold cross-validation in **Supplementary Fig. 7**, we found that the model with all modalities as input had the best comprehensive performance on three datasets, followed by RNA expression-only model and RNA alt. promoter-only model, and some models with other modalities exhibited great fluctuations on different datasets. In order to effectively integrate information without redundancy, we performed further feature selection based on different modality combinations evaluated by Pathformer. First, we calculated the contributions of each modality and its corresponding statistical indicators (**Fig. 5a**). Similar to results of cross-validation, RNA expression was the core modality across all datasets. Next, we performed 5-fold cross-validation find an optimal modality combination for each dataset (**Fig. 5b, Supplementary Table 3**). We found that plasma dataset with 7 modalities, EV dataset with 3 modalities, and platelet dataset with 3 modalities obtained the best performance. The AUCs were higher than 0.9 for all three datasets. In conclusion, Pathformer effectively integrated multi-modal data from human plasma, and accurately classified cancer patients from healthy controls.

Pathformer reveals deregulated pathways and genes in cancer patients' plasma

Because the Pathformer model has biological interpretability, we used Pathformer to predict cancer related pathways and genes in the above liquid biopsy data (**Fig. 6**). Then, we can gain insight into the deregulated alterations in body fluid (i.e., plasma) for cancer patients vs. healthy controls.

First, in comparison to cancer tissue data (**Fig. 4, Supplementary Fig. 6**), we found that vesicle transport and coagulation related pathways occupied an important position in datasets of various blood components, which is consistent with the characteristics of body fluids (**Fig. 6a-c**). Furthermore, we also observed that active pathways

and key genes of plasma dataset were more similar to those in platelet dataset, which is consistent with a recent

report showing platelet is a major origin in the plasma cell-free transcriptome[35].

Next, we examined there interesting pathways: one was found in EV data and the others were revealed from

platelet data. In both EV and plasma datasets, we found that *binding and uptake of ligands* (e.g., oxidized low-

density lipoprotein, oxLDL) *by scavenger receptors* pathway was identified as the most active pathway (**Fig. 6a, b**).

It is well established that scavenger receptors play a crucial role in cancer prognosis and carcinogenesis by

promoting the degradation of harmful substances and accelerating the immune response through endocytosis,

phagocytosis, and adhesion[36]. Scavenger receptors are also closely related to the transport process of vesicles. For

example, stabilin-1, a homeostatic receptor, has the potential to impact macrophage secretion by linking

extracellular signals and intracellular vesicular processes[37]. Meanwhile, HBB, HBA1, HBA2, FTH1, HSP90AA1

were identified as key genes in this pathway. HBB has been reported as a biomarker in thyroid cancer[38], breast

cancer[39], and gastric cancer[40]. It has also been demonstrated that HBB is significantly downregulated in gastric

cancer blood transcriptomics[40]. HSP90AA1 has also been demonstrated to be a potential biomarker for various

cancers[41], especially in the blood[42].

The other interesting pathways are *DAP12 signaling* pathway and *DAP12 interactions* pathway revealed in

both platelet and plasma datasets (**Fig. 6a, c**). DAP12 triggers natural killer cell immune responses against certain

tumor cells[43], which is regulated by platelet[44]. Among the top 5 key genes of DAP12 related pathway in both platelet

and plasma datasets, B2M was reported as a serum protein encoding gene and a widely recognized tumor

biomarker[45]; HLA-E and HLA-B were reported as cancer biomarkers in tissue and plasma[46,47].

In addition, Pathformer provides insight into the interplay between various biological processes and their

impact on cancer progression by updating pathway crosstalk network (**Fig. 6d-e**). In the plasma data, the link

between *binding and uptake of ligands by scavenger receptors* pathway and *iron uptake and transport* pathway was

a novel addition to the updated network (**Fig. 6d**). In other words, this crosstalk relationship was newly predicted

by Pathformer. The crosstalk between two pathways was amplified by Pathformer in plasma dataset, probably

because they were important for classification and shared the same key gene, FTH1, one of two intersecting genes

between the two pathways. However, in platelet dataset, this crosstalk between two pathways was not shown, when

the scavenger receptors pathway was not important enough (**Fig. 6e**). In summary, Pathformer's updated pathway

crosstalk network visualizes the information flow between pathways related to cancer classification task in the liquid

biopsy data, providing novel insight into the cross-talk of biological pathways in cancer patients' plasma.

Discussion

Pathformer utilizes a biological multi-modal embedding (**Fig. 1b**) based on pathway-based sparse neural network, providing a demonstration of applying Transformer model on biological multi-modal data integration. Particularly, we showed that the criss-cross attention mechanism (**Fig. 1c**) contributed to the classification tasks by capturing crosstalk between biological pathways and potential regulation between modalities (i.e., multi-omics).

Applications of Pathformer. Pathformer will be usefully in many clinical applications like cancer subtyping, staging, prognosis, and diagnosis. For instance, we have demonstrated excellent performance of Pathformer on noninvasive diagnosis of cancer based on multi-modal data of liquid biopsy. The accuracies (AUC scores) of cancer classification in plasma, EV, and platelet datasets were all higher than 90%. Furthermore, the interpretability of the Pathformer model can help researchers gain insights into the complex regulation processes involved in cancer. For instance, Pathformer has identified active pathways consistent with the characteristics of body fluid data, such as binding and uptake of ligands by scavenger receptors, and the DAP12 related pathway, which have been reported to be closely related to extracellular vesicle transport, platelet, and immune response during the development and progression of cancer.

Limitations of Pathformer and future directions. Pathformer used genes involved in pathways from four public databases, all of which consist of protein-coding genes. However, a substantial body of literature has reported that noncoding RNAs are also crucial in cancer prognosis and diagnosis[48]. Therefore, incorporating noncoding RNAs and their related functional pathways into Pathformer would be a potential future work. Another flaw of Pathformer is the computing memory issue. Pathway embedding of Pathformer has prevented memory overflow of Transformer module caused by long inputs. However, when adding more pathways or gene sets (e.g., transcription factors), Pathformer still faces the issue of memory overflow. In the future work, we may introduce linear attention to further improve computational speed.

Methods

Data collection and preprocessing

We collected 28 datasets across different cancer types from TCGA to evaluate classification performance of Pathformer and existing comparison methods, which consists of 8 datasets for cancer subtype classification, 10 datasets for cancer early- and late- stage classification, and 10 datasets for cancer low- and high- survival risk classification. Besides, to further verify the effect of Pathformer in cancer diagnosis, we also collected three types of body fluid datasets: the plasma dataset (comprising 373 samples assayed by total cell-free RNA-seq[49]), the extracellular vesicle (EV) dataset (comprising 477 samples from two studies assayed by exosomal RNA-seq[50,51]), and the platelet dataset (comprising 918 sample from two studies assayed by tumor-educated blood platelet RNA-seq[52,53]). Through our biological information pipeline, totally 4 and 7 biological modalities are obtained for TCGA dataset and liquid biopsy dataset, respectively. More details of data collection and preprocessing are described in **Supplementary Fig. 1** and **Supplementary Notes**.

The Pathformer model

As shown in **Fig. 1**, Pathformer consists of the following six modules: biological pathway input, pathway crosstalk network calculation, multi-modal data input, biological multi-modal embedding, Transformer module with pathway crosstalk network bias, and classification module.

Biological pathways and crosstalk network

We collected 2,289 pathways of four public databases including Kyoto Encyclopedia of Genes and Genomes database (KEGG)[54], Pathway Interaction database (PID)[55], Reactome database (Reactome)[56], and BioCarta Pathways database (BioCarta)[57]. Then, we filtered these pathways by three criteria: gene number, the overlap ratio with other pathways (the proportion of genes in the pathway that are also present in other pathways), and the number of pathway subsets (the number of pathways included in the pathway). Following the principle of moderate size and minimal overlap with other pathway information, we selected 1,497 pathways with gene number between 15 and 100, or gene number greater than 15 and overlap ratio less than 1, or gene number greater than 15 and the number of pathway subsets less than 5. Next, we used *BinoX* to calculate the crosstalk relationship of 1,497 pathways and build a pathway crosstalk network with adjacency matrix $\boldsymbol{P} \in \mathbb{R}^{N_p \times N_p}$, N_p=1,497 (more details in **Supplementary Notes**).

Biological multi-modal data input and embedding

Pathformer supports any number of modalities as input which may have different dimensions, including nucleotide

level, fragment level, and gene level. For example, Pathformer's input for TCGA datasets includes gene-level RNA

expression, fragment-level DNA methylation, and both fragment-level and gene-level DNA CNV. Pathformer's

input for liquid biopsy datasets includes gene-level RNA expression; fragment-level RNA alternative promoter,

RNA splicing, and chimeric RNA; and nucleotide-level RNA editing, RNA ASE, and RNA SNV. We represented

multi-modal input matrix of a sample as $\boldsymbol{M}$, and converted matrix $\boldsymbol{M}$ into gene encoding $\boldsymbol{E}_G$ and pathway encoding

$\boldsymbol{E}_P$. First, we used a series of statistical indicators in different modalities as gene embedding. These statistical

indicators include gene level score, count, entropy, minimum, maximum, mean, weighted mean in whole gene, and

weighted mean in window. Gene embedding is calculated as follows:

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$$\boldsymbol{E}_G = \boldsymbol{F}_E(\boldsymbol{M}) = \left[f_{E_1}(\boldsymbol{G}_1),\ f_{E_2}(\boldsymbol{G}_2), \cdots, f_{E_m}(\boldsymbol{G}_m) \right] \in \mathbb{R}^{N_g \times D_g}$$

352   , where $\boldsymbol{G}_i$ is modality i, D_g is length of gene embedding for all modalities, $\boldsymbol{F}_E$ is a series of gene embedding

353   functions. $\boldsymbol{F}_E$ uses a series of statistical indicators to uniformly convert the data of different modalities into the gene

354   level, and the embedding functions corresponding to different modalities are different (more details in

355   **Supplementary Notes**). Then, we used the known biological pathways to construct a sparse neural network for

356   converting the gene embedding $\boldsymbol{E}_G$ into the pathway embedding $\boldsymbol{E}_P$, as described below:

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$$\boldsymbol{E}_P = \boldsymbol{W}_{sparse}^T \boldsymbol{E}_G + \boldsymbol{B}, \boldsymbol{E}_P \in \mathbb{R}^{N_p \times D_p}$$

, where N_p is the number of pathways, $D_p = D_g$ is the length of pathway embedding, $\boldsymbol{W}_{sparse} \in \mathbb{R}^{N_g \times N_p}$ is a

learnable sparse weight matrix, and $\boldsymbol{B}$ is a bias term. $\boldsymbol{W}_{sparse}$ is constructed based on the known relationship

between pathways and genes. When the given gene and the pathway are irrelevant, the corresponding element of

$\boldsymbol{W}_{sparse}$ will always be 0. Otherwise, it needs to be learned through training.

Transformer module with pathway crosstalk network bias

We employed the Transformer module based on criss-cross attention with pathway crosstalk network bias, which

has 3 blocks. Each block of Transformer module contains the following processes: multi-head column-wise self-

attention (col-attention), multi-head row-wise self-attention (row-attention), layer normalization, GELU activation,

residual connection, and network update. Multi-head column-wise self-attention contains 8 heads, each head is a

mapping of $\boldsymbol{Q}_1, \boldsymbol{K}_1, \boldsymbol{V}_1, \boldsymbol{P}$, which are query vector, key vector, and value vector of multi-modal embedding and

pathway crosstalk network matrix, respectively.

First, we represented the hth column-wise self-attention by $\boldsymbol{A}_{col}^{(h)}$, calculated as follows:

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$$\boldsymbol{A}_1^{(h)} = (\boldsymbol{Q}_1 \boldsymbol{K}_1^T)/\sqrt{d}$$

$$\boldsymbol{A}_{col}^{(h)} = \text{dropout}_{0.2}(\text{softmax}(\boldsymbol{A}_1^{(h)} + \boldsymbol{P})) \cdot \boldsymbol{V}_1^{(h)}$$

, where $h = 1,2,\cdots,H$ is the hth head; H is the number of heads; $\boldsymbol{Q}_1 = \boldsymbol{E}_P \boldsymbol{W}_{Q_1}^{(h)}$, $\boldsymbol{K}_1 = \boldsymbol{E}_P \boldsymbol{W}_{K_1}^{(h)}$, $\boldsymbol{V}_1 = \boldsymbol{E}_P \boldsymbol{W}_{V_1}^{(h)}$ are

linear transformations of the input $\boldsymbol{E}_P$; $\boldsymbol{W}_{Q_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{K_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{V_1}^{(h)} \in \mathbb{R}^{D_p \times d}$ are the weight matrices as

parameters; d is the attention dimension; $\text{dropout}_{0.2}$ is a dropout neural network layer with a probability of 0.2; and

softmax is the normalized exponential function.

Next, we merged multi-head column-wise self-attention and performed a series of operations as follows:

$$\boldsymbol{g}_1^{(h)} = sigmoid(\boldsymbol{E}_P \boldsymbol{W}_{g_1}^{(h)})$$

$$\boldsymbol{U}_1 = \sum_{h=1}^{H} (\boldsymbol{g}_1^{(h)} \circ \boldsymbol{A}_{col}^{(h)}) \cdot \boldsymbol{W}_{U_1}^{(h)}$$

$$\boldsymbol{U}_1' = \boldsymbol{U}_1 + \boldsymbol{E}_P$$

$$\boldsymbol{O}_1 = \text{dropout}_{0.2}(\text{GELU}(\text{LayerNorm}(\boldsymbol{U}_1') \cdot \boldsymbol{W}_{O_{11}})) \cdot \boldsymbol{W}_{O_{12}} + \boldsymbol{U}_1'$$

, where $h = 1,2,\cdots,H$ is the hth head; H is the number of heads; $\circ$ is the matrix dot product; $\boldsymbol{W}_{g_1}^{(h)} \in \mathbb{R}^{D_p \times d}$, $\boldsymbol{W}_{U_1}^{(h)} \in$

$\mathbb{R}^{d \times D_p}$, $\boldsymbol{W}_{O_{11}} \in \mathbb{R}^{D_p \times o}$, $\boldsymbol{W}_{O_{12}} \in \mathbb{R}^{o \times D_p}$ are the weight matrices as parameters; o is a constant; LayerNorm is the

layer normalization function; GELU is the distortion of RELU activation function; and $\text{dropout}_{0.2}$ is a dropout

neural network layer with a probability of 0.2.

Multi-head row-wise self-attention enables information exchange between different modalities. It is a regular

dot-product attention without pathway crosstalk network bias. The hth row-wise self-attention, i.e., $\boldsymbol{A}_{row}^{(h)}$, is

calculated as follows:

$$\boldsymbol{A}_2^{(h)} = (\boldsymbol{Q}_2 \boldsymbol{K}_2^T)/\sqrt{d}$$

$$\boldsymbol{A}_{row}^{(h)} = \text{dropout}_{0.2}(\text{softmax}(\boldsymbol{A}_2^{(h)})) \cdot \boldsymbol{V}_2^{(h)}$$

, where $h = 1,2,\cdots,$h is the hth head; H is the number of heads; $\boldsymbol{Q}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{Q_2}^{(h)}$, $\boldsymbol{K}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{K_2}^{(h)}$, $\boldsymbol{V}_2 = \boldsymbol{E}_P^T \boldsymbol{W}_{V_2}^{(h)}$ are

linear transformations of the input $\boldsymbol{E}_P^T$; $\boldsymbol{W}_{Q_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $\boldsymbol{W}_{K_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $\boldsymbol{W}_{V_2}^{(h)} \in \mathbb{R}^{N_p \times d}$ are the weight matrices as

parameters; d is the attention dimension; $\text{dropout}_{0.2}$ is a dropout neural network layer with a probability of 0.2; and

softmax is the normalized exponential function.

Subsequently, we merged multi-head row-wise self-attention and performed a series of operations. The

formulas are as follows:

$$\boldsymbol{g}_2^{(h)} = sigmoid(\boldsymbol{E}_P^T \boldsymbol{W}_{g_2}^{(h)})$$

$$U_2 = \sum_{h=1}^{H} (g_2^{(h)} \circ A_{row}^{(h)}) \cdot W_{U_2}^{(h)}$$

$$U_2' = \beta * U_2 + E_P^T$$

$$O_2 = dropout_{0.2}(\text{GELU}(\text{LayerNorm}(U_2') \cdot W_{O_{21}})) \cdot W_{O_{22}} + U_2'$$

, where $h = 1, 2, \cdots$, h is the hth head; H is the number of heads; $\circ$ is the matrix dot product; $W_{g_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $W_{U_2}^{(h)} \in$

$\mathbb{R}^{d \times N_p}$, $W_{O_{21}} \in \mathbb{R}^{N_p \times o}$, $W_{O_{22}} \in \mathbb{R}^{o \times N_p}$ are the weight matrices as parameters; o is a constant; β is a constant

coefficient for row-attention; LayerNorm is the layer normalization function; GELU is the distortion of RELU

activation function; and $dropout_{0.2}$ is a dropout neural network layer with a probability of 0.2. O_2 is pathway

embedding input of the next Transformer block. In other words, when E_P is $E_P^{(0)}$, O_2 is $E_P^{(1)}$, superscripts with

parenthesis represent data at different block.

Then, we used the updated pathway embedding O_2 to update the pathway crosstalk network. We exploited the

correlation between embedding vectors of two pathways to update the corresponding element of the pathway

crosstalk network matrix. The formula is as follows:

$$P' = (P \cdot P^T)/N_p$$

, where P' is the updated pathway crosstalk network matrix of next Transformer block. In other words, when P' is

$P^{(1)}$, P is $P^{(0)}$, superscripts with parenthesis represent data at different block.

Classification module

In order to solve the classification tasks, we used the fully connected neural network as the classification module to

transform pathway embedding encoded by the Transformer module into the probability for each label. Three fully

connected neural networks each have 300, 200, and 100 neurons, with dropout probability $dropout_c$, which is

hyperparameter. More details of the classification module are described in **Supplementary Notes**.

Model training and test

In this study, we implemented Pathformer's network architecture using the "PyTorch" package in *Python* v3.6.9,

and our codes can be found in the GitHub repository (https://github.com/lulab/Pathformer). For model training and

test, we divided the labeled dataset into the discovery set (75%) and the validation set (25%) hierarchically. We

implemented model training, hyperparameter optimization and model early stopping on the discovery set and tested

on the validation set (**Supplementary Fig. 1**).

When training the model, we used a normal model learning strategy. We applied cross-entropy loss with class-

imbalance weight as the label prediction loss, the ADAM optimizer to train Pathformer, and the cosine annealing

learning rate method to optimized learning rate. For hyperparameter optimization, we used grid search with 5-fold

cross-validation in the discovery set. We used the macro-averaged F1 score as the selection criterion to find the

optimal combination of maximum of learning rate$\in$[1e-4, 1e-5], dropout probability of classification (c)$\in$[0.3, 0.5],

and constant coefficient for row-attention (β)$\in$[0.1,1]. For early stopping, we divided the discovery set into the

training set (75%) and the test set (25%) hierarchically, and used the macro-averaged F1 score of the test set as the

criterion for stopping training. When testing the model, we used the best model trained with optimal hyperparametric

combination in the validation set. More details of model training and test are described in **Supplementary Notes**.

Model interpretability

To better understand Pathformer's decisions, we increased the interpretability of Pathformer by calculating

contributions of different modalities, important pathways and their key genes, and hub module of the updated

pathway crosstalk network.

Contribution of each modality

In Pathformer, row-attention is used to facilitate information interaction between different modalities, that is, row-

attention map can represent the importance of each modality. According to the trained model, we obtained row-

attention maps of 8 heads in 3 blocks for each sample. For the contribution of each modality, we first integrated all

matrices of row-attention maps into one matrix by element-wise average. Then, we averaged this average row-

attention matrix along with columns as the attention weights of modalities, i.e., the contribution of modalities. The

calculation is as follows:

443
$$\boldsymbol{A}_{aver} = \frac{1}{N}\sum_{n=1}^{N}\frac{1}{BL}\sum_{b=1}^{BL}\frac{1}{H}\sum_{h=1}^{H} softmax([[\boldsymbol{A}_2^{(h)}]^{(b)}]^{(n)})$$

444
$$attention\ weight_i = \frac{1}{D_p}\sum_{j=1}^{D_p} a_{ij}, a_{ij} \text{ is the } i\text{th row and the } j\text{th columns of } \boldsymbol{A}_{aver}$$

, where N is the number of samples, BL is the number of blocks, H is the number of heads, softmax is a normalized

exponential function, and $attention\ weight_i$ is the attention weight of dimension i of pathway embedding.

Important pathways and their key genes

SHapley Additive exPlanations[21] (SHAP) is an additive explanation model inspired by coalitional game theory,

which regards all features as "contributors". SHAP value is the value assigned to each feature, which explains the

relationship between pathways, genes and classification, implemented by "SHAP" package of *Python* v3.6.9.

Specifically, we calculated SHAP values of the gene embedding and the pathway embedding encoded by

Transformer module corresponding to each sample and each category, denoted as $\boldsymbol{S}_{gn}^{(j)} \in \mathbb{R}^{D_p}$ and $\boldsymbol{S}_{pn}^{(j)} \in \mathbb{R}^{D_p}$

respectively. The SHAP values of genes and pathways are calculated as follows:

$$\text{SHAP}_g = \sum_{j=1}^{d_{out}} \sum_{e=1}^{D_p} \frac{1}{N} \sum_{n=1}^{N} \left| s_{gne}^{(j)} \right|, s_{gie}^{(j)} \in \boldsymbol{S}_{gi}^{(j)}$$

$$\text{SHAP}_p = \sum_{j=1}^{d_{out}} \sum_{e=1}^{D_p} \frac{1}{N} \sum_{n=1}^{N} \left| s_{pne}^{(j)} \right|, s_{pie}^{(j)} \in \boldsymbol{S}_{pi}^{(j)}$$

456   , where $g = 1,2, \cdots, N_g$ is the gth gene, $g = 1,2, \cdots, N_p$ is the pth pathway, $n = 1,2, \cdots, N$ is the nth sample, $e =$

457     $1,2, \cdots, D_p$ is dimension e of pathway embedding, and $j = 1,2, \cdots, d_{out}$ is the jth category of sample.

458   In addition, we calculated SHAP values of pathways and genes in different modalities, described as follows:

$$\text{SHAP}_{gi} = \sum_{j=1}^{d_{out}} \sum_{e=e_1+\cdots+e_{i-1}}^{e_i} \frac{1}{N} \sum_{n=1}^{N} \left| s_{gne}^{(j)} \right|, s_{gie}^{(j)} \in \boldsymbol{S}_{gi}^{(j)}$$

$$\text{SHAP}_{pi} = \sum_{j=1}^{d_{out}} \sum_{e=e_1+\cdots+e_{i-1}}^{e_i} \frac{1}{N} \sum_{n=1}^{N} \left| s_{pne}^{(j)} \right|, s_{pie}^{(j)} \in \boldsymbol{S}_{pi}^{(j)}$$

, where $i = 1, \cdots, m$ is the ith modality, e_i is the length of gene embedding and pathway embedding for modality i.

Finally, pathways with the top 15 SHAP values in the classification task are considered as important pathways.

For each pathway, genes with top 5 SHAP values are considered as the key genes of the pathway. The core modality

on which one gene depends indicates that the SHAP value of that gene ranks higher on this modality than on the

others.

Hub module of the updated pathway crosstalk network

In Pathformer, pathway crosstalk network matrix is used to guide the direction of information flow, and updated

according to encoded pathway embedding in each Transformer block. Therefore, the updated pathway crosstalk

network contains not only prior information but also multi-modal data information, which represents the specific

regulatory mechanism in each classification task. We defined the sub-network score through SHAP value of each

pathway in sub-network, so as to find foremost sub-network for prediction, that is, hub module of the updated

pathway crosstalk network. The calculation of the sub-network score can be divided into four steps: average pathway

crosstalk network matrix calculation, network pruning, sub-network boundary determination, and score calculation.

More details of sub-network score calculations are described in **Supplementary Notes**.

Declarations

Data availability

All datasets used in this study are publicly available for academic research usages. The details of usage are also fully illustrated in Methods and Supplementary Notes.

Code availability

Source code for data preprocessing and model training is freely available at Github (https://github.com/lulab/Pathformer) with detailed instructions. Source code for comparing the other methods is also included.

Consent for publication

All authors have approved the manuscript and agree with the publication.

Competing interests

The authors declare that they have no competing interests.

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Figure Legends

Figure 1. Overview of the Pathformer model.

a. Model architecture of Pathformer. F_E, statistical indicators in the gene embedding. **b.** Calculation of biological

multi-modal embedding. Circles, neurons in the neural network; arrows, represent the direction of information flow;

G, gene; P, pathway; W, weight of pathway-based sparse neural network. The weights of the pathway-based sparse

neural network represent the importance of different genes in different pathways. **c.** A block of Transformer module

with pathway crosstalk network bias (3 blocks used in **a**). The pathway embedding matrix is used as input and the

pathway crosstalk network matrix is used as bias. N_p, number of pathways; D_p, dimensionality of pathway

embedding; h, number of attention heads; d, attention dimension; V_1, K_1, Q_1, A_1: vale, key, query and attention

map of col-attention; V_2, K_2, Q_2, A_2: vale, key, query and attention map of row-attention; $+$, element-wise addition;

$\times$, matrix multiplication; $\circ$, matrix dot product; β, constant coefficient for row-attention.

Figure 2. Performance comparison between Pathformer and other multi-modal integration methods

Bar charts show the macro-averaged F1 score of different multi-modal integration methods in different classification

tasks of TCGA datasets. Error bars are from 2 times 5-fold cross-validation, representing 95% confidence intervals.

XGBoost refers to the early integration methods based on gradient boosted tree, while XGBoost (late) refers to the

late integration methods based on gradient boosted tree.

Figure 3. Ablation analysis of Pathformer for the classification of early- and late-stage cancer patients.

a. Different types of data (modalities) were used as input for TCGA cancer early- and late-stage classification. **b.**

Ablation analysis of different modules in Pathformer. Error bars are from 2 times 5-fold cross-validation across 8

datasets, representing 95% confidence intervals. CC-attention, Pathformer without pathway crosstalk network bias;

Transformer, Pathformer without either pathway crosstalk network bias or row-attention; PSNN, Pathformer

without Transformer module; NN, classification module only.

Figure 4. Breast cancer subtype related modalities, pathways and genes revealed by Pathformer.

a. Contributions of different modalities for breast cancer (BRCA) subtype classification calculated by attention

weights (averaging attention maps of row-attention). **b.** Important pathways and their key genes with top SHapley

Additive exPlanations (SHAP) values. Among the key genes, different colors represent different pillar modalities

of the genes. **c**. A hub module of pathway crosstalk network for BRCA subtype classification. Color depth and size

of node represents the degree of node. Line thickness represents the weight of edge. All links are predicted by

Pathformer, where known links are reported by the initial crosstalk network and new links are new predictions.

Figure 5. Pathformer integrates multi-modal liquid biopsy data for non-invasive cancer diagnosis.

a. Contributions of different input features and their statistical indicators when classifying cancer patients from

healthy controls using three liquid biopsy datasets. All mean represents the sum of mean, weighted mean and

window weighted mean. Each type of RNA splicing is the sum of all statistical indicators in this type. **b**.

Classification performance of different input combinations. Each value is the mean of 5-fold cross-validation.

Figure 6. Interpretation of the liquid biopsy data using Pathformer.

Important pathways and their key genes revealed by Pathformer in the datasets of (**a**) plasma (**b**) EV (**c**) platelet

when classifying cancer patients from healthy controls. The pathways and their key genes were selected with top

SHAP values. Among the key genes, different colors represent different pillar modalities (e.g., RNA expression,

RNA editing, etc) of the genes. Hub modules of pathway crosstalk network are shown for (**d**) plasma and (**e**) platelet

data. Color depth and size of node represent the degree of node. Line thickness represents the weight of edge. All

links are predicted by Pathformer, where known links are reported by the initial crosstalk network and new links

are new predictions.

Figure 1. Overview of the Pathformer model.

Figure 2. Performance comparison between Pathformer and other multi-modal integration methods

Figure 3. Ablation analysis of Pathformer for the classification of early- and late-stage cancer patients.

a Ablation analysis of different modalities

b Ablation analysis of different modules in Pathformer

Figure 4. Breast cancer subtype related modalities, pathways and genes revealed by Pathformer

a

Contributions of different modalities on BRCA subtype classification

b

Important pathways and key genes on BRCA subtype classification by SHAP value

c

Hub module of the updated pathway crosstalk network on BRCA subtype classification

Figure 5. Pathformer integrates multi-modal liquid biopsy data for non-invasive cancer diagnosis

Figure 6. Interpretation of the liquid biopsy data using Pathformer

a   Important pathways and key genes on plasma data classification by SHAP

b   Important pathways and key genes on EV data classification by SHAP

c   Important pathways and key genes on platelet data classification by SHAP

d   Hub module of the updated pathway crosstalk network for plasma data classification

e   Hub module of the updated pathway crosstalk network for platelet data classification