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Pathformer: biological pathway informed Transformer model

2 integrating multi-modal data of cancer

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13 Abstract

14 Multi-modal biological data integration can provide comprehensive views of gene regulation and cell development. 15 However, conventional integration methods rarely utilize prior biological knowledge and lack interpretability. To 16 address these challenges, we developed Pathformer, a biological pathway informed deep learning model based on 17 Transformer with bias to integrate multi-modal data. Pathformer leverages criss-cross attention mechanism to 18 capture crosstalk between different biological pathways and between different modalities (i.e., multi-omics). It also 19 utilizes SHapley Additive Explanation method to reveal key pathways, genes, and regulatory mechanisms. Through 20 benchmark studies on 28 TCGA datasets, we demonstrated the superior performance and interpretability of 21 Pathformer on various cancer classification tasks, compared to other integration models. Furthermore, we applied 22 Pathformer to liquid biopsy multi-modal data integration with high accuracy in cancer diagnosis. Meanwhile, 23 Pathformer revealed interesting molecularly altered pathways in cancer patients' body fluid, such as ligand binding 24 of scavenger receptors, iron transport, and DAP12 signaling transmission, which are related to extracellular vesicle 25 transport, platelet, and immune response.

26 Keywords: Multi-modal integration; Transformer; Pathway crosstalk network; Cancer diagnosis; Liquid biopsy.

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29 Introduction

30 The rapid progress in high-throughput technologies has made it possible to curate multi-modal data for disease 31 studies using genome-wide platforms. These platforms can analyze different molecular alterations in the same 32 samples, such as DNA variances (e.g., mutation, methylation, and copy number variance) and RNA alterations (e.g., 33 expression, alternative promoter, splicing, and editing). Integrating these multi-modal data offers a more 34 comprehensive view of gene regulation in diseases (e.g., cancer) than analyzing single type of data¹. For instance, 35 multi-modal data integration is helpful in addressing certain key challenges of cancer diagnosis and prognosis, such 36 as heterogeneity of intra- and inter-cancer, and complex molecular interactions². Therefore, there is a pressing need 37 for advanced computational methods that uncover interactions of multi-modal data in cancer.

38 Current algorithms for integrating multi-modal data can be broadly categorized into three groups: early 39 integration models that merge multi-modal data into a single matrix^{3,4}, late integration models that process each modality separately and then combine their outputs through averaging or maximum voting^{5,6}, and intermediate 40 41 integration models that dynamically merge multi-modal data^{7,8}. Recently, instead of previous methods that mainly 42 focus on unsupervised problems, several supervised algorithms have been proposed for classifying diseases. For 43 example, mixOmics uses latent component analysis to find common features among multi-modal data⁹. Wang et al. 44 proposed multi-omics graph convolutional networks (MOGONet), a late integration model that uses graph 45 convolutional networks for modal-specific learning and view correlation discovery network for multi-modal 46 integration¹⁰. Moon et al. proposed two modal data integration and interpretation algorithm (MOMA) that utilizes 47 attention mechanisms to extract important modules¹¹. These methods rely on computational inference to capture 48 relationship between modalities, but ignore the immensely informative prior biological knowledge such as 49 regulatory networks.

50 To improve the interpretability, several studies have attempted to incorporate prior biological knowledge 51 into deep learning models for multi-modal data integration. For instance, Ma et al. proposed a visible neural network 52 that combines with biological pathways to model the impact of gene interactions on yeast cell growth¹². Meanwhile, 53 pathway-associated sparse deep neural network (PASNet) was utilized to accurately predict the prognosis of glioblastoma multiforme (GBM) patients¹³. Recently, a sparse neural network integrating multiple molecular 54 55 features based on a multilevel view of biological pathways, P-net, was published for the classification of prostate 56 cancer patients¹⁴. Another method, PathCNN, was developed to predict survival of GBM patient by using principal 57 component analysis (PCA) algorithms to define multi-modal pathway images and a convolutional neural network¹⁵. 58 However, these algorithms rarely considered the synergy and nonlinear relationships between pathways. Given the

complexity of biological systems, understanding the pathway crosstalk is crucial for comprehending more complex
 diseases¹⁶, which can help deep learning models better capture multi-modal interactions.

61 Inspired by these prior works, we propose Pathformer, which combines pathway crosstalk networks and the 62 Transformer encoder with bias for the interpretation and classification of multi-modal data in cancer. Recently, Transformer has demonstrated its capability in handling multi-modal tasks in computational fields¹⁷. It hasn't been 63 64 applied to the biological multi-modal data for lack of reliable biological embedding methods and solutions to the 65 memory explosion posed by the vast amount of gene inputs. These challenges are addressed by Pathformer. First, 66 Pathformer uses multiple statistical indicators of multi-modal data as gene embedding, which comprehensively 67 describes different perspectives of gene information. Second, Pathformer utilizes a sparse neural network based on 68 prior pathway knowledge to transform gene embeddings into pathway embeddings, which not only captures 69 valuable information but also addresses memory explosion issue. Third, Pathformer incorporates pathway crosstalk 70 networks into the Transformer model with bias to enhance the exchange of information between different modalities 71 and pathways.

As far as we are aware, Pathformer is the first biological multi-modal integration model that combines prior pathways knowledge and Transformer encoder model. We evaluated Pathformer on 28 benchmark datasets of the Cancer Genome Atlas (TCGA)¹⁸ and demonstrated its superior performance and biological interpretability on various cancer classification tasks, compared to other integration models. Pathformer was applied to liquid biopsy data, which not only showed high accuracy for noninvasive cancer diagnosis but revealed interesting molecularly altered pathways in human plasma.

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79 **Results**

80 The Pathformer model

Pathformer utilizes biological pathway network and a Transformer encoder to allow better information fusion. It has six modules: biological pathway input, pathway crosstalk network calculation, multi-modal data input, biological multi-modal embedding, Transformer module with pathway crosstalk network, and classification module (Fig. 1a, see Methods for details). Pathformer uses biological multi-modal data and biological pathway information as input, and define biological multi-modal embedding (gene embedding and pathway embedding). It then enhances the fusion of information between various modalities and pathways by combining pathway crosstalk networks with 87 Transformer encoder. Finally, a fully connected layer serves as the classifier.

We curated all pathways from four public databases, then selected 1,497 pathways based on the criterion of gene number, overlap ratio with other pathways, and the number of pathway subsets. Next, we used $BinoX^{19}$, a classic tool for crosstalk analysis, to calculate the crosstalk relationships among the 1,497 pathways. Based on these relationships, we created a pathway crosstalk network as Pathformer's input (see **Methods** and **Supplementary Notes**).

93 Multi-modal biological data preprocessing and embedding are crucial components of Pathformer (Fig. 1b). We 94 preprocessed the raw sequence reads of DNA-seq and RNA-seq into multi-modal data, including DNA methylation, 95 DNA copy number, and different RNA alterations (see Methods and Supplementary Notes). These multi-modal 96 data are on different levels, such as nucleotide level, fragment level, and gene level, which significantly influence 97 data integration. To address this, we used multiple statistical indicators as gene embeddings to retain the gene 98 diversity across different modalities (see Fig. 1b and Methods). Subsequently, we used the known gene-pathway 99 mapping relationship to develop a sparse neural network based on prior pathway knowledge (PSNN) to transform 100 gene embedding into pathway embedding. The PSNN has two layers representing genes and pathways, respectively. 101 These two layers are not fully connected, but rather share a connection pruned based on the pathway and gene 102 inclusion relationships. If there is no correlation between a given gene and a given pathway, the connection weight 103 between two neurons is set to be 0; otherwise, it is learned through training (see **Methods**). Therefore, pathway 104 embedding is a dynamic embedding method. The PSNN can not only restore the mapping relationship between 105 genes and pathways, but also identify important genes in different pathways through trained weights, and can 106 transfer the complementarity of modalities at the gene level to the pathway level. Additionally, this biological multi-107 modal embedding step does not require additional gene selection, thereby avoiding bias and overfitting problems 108 resulting from artificial feature selection.

109 Transformer module with pathway crosstalk network bias is the key module of Pathformer model (Fig. 1c). Inspired by the Evoformer model used in AlphaFold2²⁰ for processing multiple sequences, we developed the 110 111 Transformer module based on criss-cross attention (CC-attention) with bias for data fusion of pathways and 112 modalities. Particularly, multi-head column-wise self-attention (col-attention) is used to enhance the exchange of 113 information between pathways, with the pathway crosstalk network matrix serving as the bias for col-attention to 114 guide the flow of information. Multi-head row-wise self-attention (row-attention) is employed to facilitate 115 information exchange between different modalities, and the updated multi-modal embedding matrix is used to 116 update the pathway crosstalk network matrix by calculating the correlation between pathways. More details of the

117 Transformer module are described in **Methods**.

Pathformer outperforms existing multi-modal integration methods in various classification tasks using TCGA datasets

120 To evaluate the performance of Pathformer, we tested model on various cancer classification tasks as benchmark 121 studies: cancer early- and late- stage classification (10 TCGA cancer datasets), low- and high- survival risk 122 classification (10 TCGA cancer datasets), and cancer subtype classification (8 TCGA cancer datasets) (see 123 Supplementary Fig. 1 and Supplementary Notes). For these tasks, DNA methylation, DNA CNV, and RNA 124 expression were used as input. For model training and test, we performed 2 times 5-fold cross-validation that divided 125 the data into a discovery set (75%) and a validation set (25%) for each test (see Supplementary Fig. 1 and Methods). 126 We first optimized hyperparameters using 5-fold cross-validation on the discovery set, with macro-averaged F1 127 score as the criterion for grid search. The results of optimal hyperparameter combination for each dataset are listed 128 in Supplementary Fig. 2 and Supplementary Table 1. Then, we trained Pathformer using the discovery set with 129 early stopping and tested it on the validation set.

130 We compared the classification performance of Pathformer with several existing multi-modal integration 131 methods, including early integration methods based on base classifiers, i.e., nearest neighbor algorithm (KNN), 132 support vector machine (SVM), logistic regression (LR), random forest (RF), and extreme gradient boosting 133 (XGBoost); late integration methods based on KNN, SVM, LR, RF, and XGBoost; partial least squares-discriminant 134 analysis (PLSDA) and sparse partial least squares-discriminant analysis (sPLSDA) of mixOmics⁹; two deep learning-based integration methods, MOGONet¹⁰ and PathCNN¹⁵. MOGONet is a multi-modal integration method 135 136 based on graph convolutional neural network. PathCNN is a representative multi-modal integration method that 137 combines pathway information. During comparison methods, the multi-modal data were preprocessed with the 138 statistical indicators and features were prefiltered with ANOVA as input (see Supplementary Notes).

Pathformer consistently outperformed the other integration methods in most classification tasks, evaluated by macro-averaged F1 score (F1score_macro) (**Fig. 2**), as well as area under the receiver operating characteristic curve (AUC) and average F1 score weighted by support (F1score_weighted) (**Supplementary Fig. 3** and **Supplementary Table 2**). We showed F1score_macro in the main figure because it is a more robust measurement than the other two scores for the imbalanced classes. In the cancer stage classification and survival classification tasks, Pathformer achieved the best F1score_macro and F1score_weighted in all the 10 datasets, and the best AUC in 8 of 10 datasets. In cancer subtype classification of TCGA, Pathformer achieved the best F1score macro in 7 of 8 datasets, the best F1score_weighted in 6 of 8 datasets, and the best AUC in 6 of 8 datasets. Notably, Pathformer substantially outperformed the other methods in the challenging classification tasks like cancer early- and late- stage classification and low- and high- survival risk classification, showing average increases of 11% and 15% in F1score_marco compared with XGBoost, respectively. This highlights Pathformer's exceptional learning ability. Moreover, in terms of stability, Pathformer also showed significantly better generalization ability than the other deep learning algorithms, as indicated by the cross-validation variances (**Supplementary Fig. 4**).

Ablation analysis shows that Pathformer benefits from multi-modal integration, attention mechanism and pathway crosstalk network

154 We used ablation analysis to evaluate the essentialities of each type of data and each module of model in the multi-155 model data integration of Pathformer, based on nine datasets of cancer early- and late- stage classification. First, we 156 evaluated the essentialities of seven different data inputs, including RNA expression, DNA methylation, DNA CNV, 157 and a combination thereof (Fig. 3a). By comparing the classification performances of seven models, we discovered 158 that the model with all three modalities as input achieved the best performance, followed by RNA expression-only 159 and DNA methylation-only model. Furthermore, we observed that the performances of models with single modality 160 can vary greatly between datasets. For example, DNA methylation-only model performed better than RNA 161 expression-only and DNA CNV-only in the KIRC dataset, but the opposite performances were observed in the 162 LUAD dataset. These findings suggest that different modalities have disparate behaviors in different cancer types. 163 and emphasized the necessity of multi-modal data integration in various cancer classification tasks.

164 Next, we also evaluated the essentialities of different modules in Pathformer. We developed 4 models, namely 165 CC-attention, Transformer, PSNN, and NN, which successively remove one to multiple modules of Pathformer. 166 CC-attention is a model without pathway crosstalk network bias. Transformer is a model without either pathway 167 crosstalk network bias or row-attention. PSNN is a model that directly uses classification module with pathway 168 embedding as input. NN is a model that directly uses classification module with gene embedding as input. As shown 169 in Fig. 3b, the complete Pathformer model achieved the best classification performance, while the performance of 170 CC-Attention, Transformer, PSNN, and NN decreased successively. Transformer had a significantly lower 171 classification performance compared to CC-Attention, but no significant improvement compared to PSNN. This 172 indicates that the criss-cross attention mechanism (Fig. 1c) plays a key role in Pathformer, with respect to 173 information fusion and crosstalk between different biological pathways and between different modalities (i.e., multi-174 omics).

175 **Biological interpretability of the Pathformer model**

176 To comprehend Pathformer's decision-making process, we used averaging attention maps in row-attention to 177 represent the contributions of different modalities, and SHapley Additive exPlanations²¹ (SHAP value) to decipher 178 the important pathways and their key genes (see Methods). SHAP value is a post hoc model interpretation method 179 that assigns an importance value to each feature to explain the relationship between features and classification²¹. In 180 addition, the z-score of SHAP values of different modalities for each pathway and gene can demonstrate modal 181 complementarity at the gene level and the pathway level. Finally, the hub module of the updated pathway crosstalk 182 network represents the most critical regulatory mechanism in classification, and is screened by sub-network scores 183 based on SHAP values of pathways. Links of the updated network indicate crosstalk relationships that affect 184 classification tasks (see Methods).

185 Here, we demonstrated the interpretability of Pathformer using the breast cancer subtype classification task as 186 an example (Fig. 4). First, at the modality level, we visualized the contributions of different modalities for breast 187 cancer subtype classification by the attention weights (Fig. 4a). The contribution of transcriptomic data was greater 188 than 50% in breast cancer subtype classification, which is consistent with the fact that PAM50 is defined based on 189 transcriptomic data²². Combining with the results of other classification tasks for breast cancer (Supplementary 190 Figs. 5a, 6a), we observed that transcriptome always played a crucial role in various classification tasks: DNA CNV 191 had certain contribution in subtype classification; and DNA methylation contributed substantially in early- and late-192 stage classification. In addition, the contributions of various statistical indicators in the same modality were also 193 different for different classification tasks. For example, mean of DNA CNV played an important role in subtype 194 classification, while minimum of DNA CNV had greater contribution in stage classification and survival 195 classification. These findings further validated the necessity of multi-modal integration and biological multi-modal 196 embedding.

197 Next, at the pathway and gene level, we identified the pathways with top 15 SHAP value and the genes with 198 top 5 SHAP value of each pathway as key genes in breast cancer subtype classification (Fig. 4b). Then, we presented 199 a hub module of the updated pathway crosstalk network (Fig. 4c). Here, complex I biogenesis pathway was 200 identified as the most critical pathway in breast cancer subtype classification and a key node in the hub module of 201 the updated pathway crosstalk network. This pathway comprises 57 genes, including mitochondrial genes and 202 protein-coding genes. Complex I participates in the biosynthesis and redox control during cancer cell proliferation 203 and metastasis²³. Five mitochondrial genes (MT-ND3, MT-ND1, MT-ND4, MT-ND2, and MT-ND6) were identified 204 as key genes of the *complex I biogenesis* pathway in breast cancer subtype classification by Pathformer. These

205 mitochondrial genes have been reported to exhibit distinct patterns in different breast cancer subtypes²⁴. In addition, 206 in the hub module of the updated pathway crosstalk network, *complex I biogenesis* pathway was closely related to 207 *TP53-regulated metabolic genes* pathway and *signaling by ERBB4* pathway, and has been identified as the most 208 critical regulatory mechanism for breast cancer subtype classification. According to literatures, TP53 mutation 209 spectrum²⁵ and ERBB4²⁶ are biomarkers for breast cancer subtypes.

210 Moreover, many other important pathways identified by Pathformer for breast cancer subtype classification 211 have also already been reported previously (Fig. 4b). For example, the expression of nucleotide excision repair 212 pathway is reduced in TNBC, which may affect survival after platinum chemotherapy of patients²⁷. RFC4 is the key 213 gene of this pathway, and DNA CNV of RFC4 was reported to play a crucial role in determining individual breast 214 cancer subtypes²⁸, which is consistent with the prediction of the gene's pillar module by Pathformer. Key genes of 215 transcription of E2F targets under negative control by p107 and p130 in complex with HDAC1 pathway were 216 identified as E2F1, HDAC1, RBBP4, CCNA2, and CDK1 by Pathformer. Most E2F family genes expressions are 217 significantly up-regulated in TNBC, and are predictive biomarkers of neoadjuvant therapies in patients with ER-218 positive/HER2-negative tumors²⁹. In addition to the transcriptome level, DNV CNV of E2F1 is also a susceptibility 219 factor for breast cancer³⁰, again consistent with the prediction of the gene's pillar module by Pathformer, HDAC1 220 is significantly lower in HER2-positive and TNBC compared to luminal A and luminal B³¹.

221 Similarly, we also analyzed important pathways and hub modules of the updated pathway crosstalk network in 222 breast cancer early- and late-stage classification and high- and low-risk survival classification (Supplementary 223 Figs. 5,6). We found that *complex I biogenesis* pathway always played a crucial role in different classification tasks 224 of breast cancer, due to its connection between various cancer-related pathways. Particularly, in breast cancer early-225 and late-stage classification, iron uptake and transport pathway had the greatest impact. Supportively, the transport 226 and storage of iron in cells are known to play a key role in carcinogenesis, cell proliferation, and the development 227 of breast cancer³². Furthermore, we found that some pathways were more important in early- and late-stage 228 classification than in subtype classification and survival classification, such as collagen biosynthesis and modifying 229 enzymes pathway, Eph/ephrin signaling pathway, FRA pathway, and G1 pathway. Roles of LAT2/NTAL/LAB in 230 calcium mobilization pathway was more important in survival classification than in the other classification tasks, 231 which was consistent with calcium signaling pathway's function in breast cancer cells' proliferation, invasion, 232 apoptosis, and multidrug resistance, and with breast cancer survival³³.

233 Application of Pathformer to liquid biopsy data for non-invasive cancer diagnosis

Liquid biopsy is a non-invasive detection way with important clinical applications in both cancer diagnosis and status monitoring, which provides comprehensive information on transcriptome dynamics³⁴. RNA alterations reflect the complementarity between different levels of information and help to overcome missed detection results of single data to further improve the accuracy of cancer diagnosis. Therefore, we used Pathformer to integrate multi-modal data of liquid biopsies for classifying cancer patients from healthy controls. We applied Pathformer to three cellfree RNA-seq datasets derived from three different blood components: plasma, extracellular vesicle (EV), and platelet datasets (see **Methods**).

241 We calculated seven RNA-level modalities from RNA-seq data as Pathformer's input, including RNA 242 expression, RNA splicing, RNA editing, RNA alternative promoter (RNA alt. promoter), RNA allele-specific 243 expression (RNA ASE), RNA single nucleotide variations (RNA SNV), and chimeric RNA. From results of 5-fold 244 cross-validation in Supplementary Fig. 7, we found that the model with all modalities as input had the best 245 comprehensive performance on three datasets, followed by RNA expression-only model and RNA alt. promoter-246 only model, and some models with other modalities exhibited great fluctuations on different datasets. In order to 247 effectively integrate information without redundancy, we performed further feature selection based on different 248 modality combinations evaluated by Pathformer. First, we calculated the contributions of each modality and its 249 corresponding statistical indicators (Fig. 5a). Similar to results of cross-validation, RNA expression was the core 250 modality across all datasets. Next, we performed 5-fold cross-validation find an optimal modality combination for 251 each dataset (Fig. 5b, Supplementary Table 3). We found that plasma dataset with 7 modalities, EV dataset with 3 252 modalities, and platelet dataset with 3 modalities obtained the best performance. The AUCs were higher than 0.9 253 for all three datasets. In conclusion, Pathformer effectively integrated multi-modal data from human plasma, and 254 accurately classified cancer patients from healthy controls.

255 Pathformer reveals deregulated pathways and genes in cancer patients' plasma

Because the Pathformer model has biological interpretability, we used Pathformer to predict cancer related pathways and genes in the above liquid biopsy data (**Fig. 6**). Then, we can gain insight into the deregulated alterations in body fluid (i.e., plasma) for cancer patients vs. healthy controls.

First, in comparison to cancer tissue data (**Fig. 4**, **Supplementary Fig. 6**), we found that vesicle transport and coagulation related pathways occupied an important position in datasets of various blood components, which is consistent with the characteristics of body fluids (**Fig. 6a-c**). Furthermore, we also observed that active pathways and key genes of plasma dataset were more similar to those in platelet dataset, which is consistent with a recent report showing platelet is a major origin in the plasma cell-free transcriptome³⁵.

264 Next, we examined there interesting pathways: one was found in EV data and the others were revealed from 265 platelet data. In both EV and plasma datasets, we found that binding and uptake of ligands (e.g., oxidized low-266 density lipoprotein, oxLDL) by scavenger receptors pathway was identified as the most active pathway (Fig. 6a, b). 267 It is well established that scavenger receptors play a crucial role in cancer prognosis and carcinogenesis by 268 promoting the degradation of harmful substances and accelerating the immune response through endocytosis, 269 phagocytosis, and adhesion³⁶. Scavenger receptors are also closely related to the transport process of vesicles. For 270 example, stabilin-1, a homeostatic receptor, has the potential to impact macrophage secretion by linking 271 extracellular signals and intracellular vesicular processes³⁷. Meanwhile, HBB, HBA1, HBA2, FTH1, HSP90AA1 272 were identified as key genes in this pathway. HBB has been reported as a biomarker in thyroid cancer³⁸, breast cancer³⁹, and gastric cancer⁴⁰. It has also been demonstrated that HBB is significantly downregulated in gastric 273 274 cancer blood transcriptomics⁴⁰. HSP90AA1 has also been demonstrated to be a potential biomarker for various 275 cancers⁴¹, especially in the blood⁴².

The other interesting pathways are *DAP12 signaling* pathway and *DAP12 interactions* pathway revealed in both platelet and plasma datasets (**Fig. 6a, c**). DAP12 triggers natural killer cell immune responses against certain tumor cells⁴³, which is regulated by platelet⁴⁴. Among the top 5 key genes of DAP12 related pathway in both platelet and plasma datasets, B2M was reported as a serum protein encoding gene and a widely recognized tumor biomarker⁴⁵; HLA-E and HLA-B were reported as cancer biomarkers in tissue and plasma^{46,47}.

281 In addition, Pathformer provides insight into the interplay between various biological processes and their 282 impact on cancer progression by updating pathway crosstalk network (Fig. 6d-e). In the plasma data, the link 283 between binding and uptake of ligands by scavenger receptors pathway and iron uptake and transport pathway was 284 a novel addition to the updated network (Fig. 6d). In other words, this crosstalk relationship was newly predicted 285 by Pathformer. The crosstalk between two pathways was amplified by Pathformer in plasma dataset, probably 286 because they were important for classification and shared the same key gene, FTH1, one of two intersecting genes 287 between the two pathways. However, in platelet dataset, this crosstalk between two pathways was not shown, when 288 the scavenger receptors pathway was not important enough (Fig. 6e). In summary, Pathformer's updated pathway 289 crosstalk network visualizes the information flow between pathways related to cancer classification task in the liquid 290 biopsy data, providing novel insight into the cross-talk of biological pathways in cancer patients' plasma.

291 **Discussion**

Pathformer utilizes a biological multi-modal embedding (Fig. 1b) based on pathway-based sparse neural network, providing a demonstration of applying Transformer model on biological multi-modal data integration. Particularly, we showed that the criss-cross attention mechanism (Fig. 1c) contributed to the classification tasks by capturing crosstalk between biological pathways and potential regulation between modalities (i.e., multi-omics).

296 Applications of Pathformer. Pathformer will be usefully in many clinical applications like cancer subtyping, 297 staging, prognosis, and diagnosis. For instance, we have demonstrated excellent performance of Pathformer on 298 noninvasive diagnosis of cancer based on multi-modal data of liquid biopsy. The accuracies (AUC scores) of cancer 299 classification in plasma, EV, and platelet datasets were all higher than 90%. Furthermore, the interpretability of the 300 Pathformer model can help researchers gain insights into the complex regulation processes involved in cancer. For 301 instance, Pathformer has identified active pathways consistent with the characteristics of body fluid data, such as 302 binding and uptake of ligands by scavenger receptors, and the DAP12 related pathway, which have been reported 303 to be closely related to extracellular vesicle transport, platelet, and immune response during the development and 304 progression of cancer.

305 Limitations of Pathformer and future directions. Pathformer used genes involved in pathways from four 306 public databases, all of which consist of protein-coding genes. However, a substantial body of literature has reported that noncoding RNAs are also crucial in cancer prognosis and diagnosis⁴⁸. Therefore, incorporating noncoding 307 308 RNAs and their related functional pathways into Pathformer would be a potential future work. Another flaw of 309 Pathformer is the computing memory issue. Pathway embedding of Pathformer has prevented memory overflow of 310 Transformer module caused by long inputs. However, when adding more pathways or gene sets (e.g., transcription 311 factors), Pathformer still faces the issue of memory overflow. In the future work, we may introduce linear attention 312 to further improve computational speed.

313

314 Methods

315 Data collection and preprocessing

316 We collected 28 datasets across different cancer types from TCGA to evaluate classification performance of 317 Pathformer and existing comparison methods, which consists of 8 datasets for cancer subtype classification, 10 318 datasets for cancer early- and late- stage classification, and 10 datasets for cancer low- and high- survival risk 319 classification. Besides, to further verify the effect of Pathformer in cancer diagnosis, we also collected three types 320 of body fluid datasets: the plasma dataset (comprising 373 samples assayed by total cell-free RNA-seq⁴⁹), the extracellular vesicle (EV) dataset (comprising 477 samples from two studies assayed by exosomal RNA-seq^{50,51}), 321 322 and the platelet dataset (comprising 918 sample from two studies assayed by tumor-educated blood platelet RNAseq^{52,53}). Through our biological information pipeline, totally 4 and 7 biological modalities are obtained for TCGA 323 324 dataset and liquid biopsy dataset, respectively. More details of data collection and preprocessing are described in 325 Supplementary Fig. 1 and Supplementary Notes.

326 The Pathformer model

As shown in Fig. 1, Pathformer consists of the following six modules: biological pathway input, pathway crosstalk
 network calculation, multi-modal data input, biological multi-modal embedding, Transformer module with pathway
 crosstalk network bias, and classification module.

330 Biological pathways and crosstalk network

331 We collected 2,289 pathways of four public databases including Kyoto Encyclopedia of Genes and Genomes database (KEGG)⁵⁴, Pathway Interaction database (PID)⁵⁵, Reactome database (Reactome)⁵⁶, and BioCarta 332 333 Pathways database (BioCarta)⁵⁷. Then, we filtered these pathways by three criteria: gene number, the overlap ratio 334 with other pathways (the proportion of genes in the pathway that are also present in other pathways), and the number 335 of pathway subsets (the number of pathways included in the pathway). Following the principle of moderate size and 336 minimal overlap with other pathway information, we selected 1,497 pathways with gene number between 15 and 337 100, or gene number greater than 15 and overlap ratio less than 1, or gene number greater than 15 and the number 338 of pathway subsets less than 5. Next, we used *BinoX* to calculate the crosstalk relationship of 1,497 pathways and build a pathway crosstalk network with adjacency matrix $P \in \mathbb{R}^{N_p \times N_p}$, $N_p = 1,497$ (more details in Supplementary 339 340 Notes).

341 Biological multi-modal data input and embedding

342 Pathformer supports any number of modalities as input which may have different dimensions, including nucleotide 343 level, fragment level, and gene level. For example, Pathformer's input for TCGA datasets includes gene-level RNA 344 expression, fragment-level DNA methylation, and both fragment-level and gene-level DNA CNV. Pathformer's 345 input for liquid biopsy datasets includes gene-level RNA expression; fragment-level RNA alternative promoter, 346 RNA splicing, and chimeric RNA; and nucleotide-level RNA editing, RNA ASE, and RNA SNV. We represented 347 multi-modal input matrix of a sample as M, and converted matrix M into gene encoding E_G and pathway encoding 348 E_{P} . First, we used a series of statistical indicators in different modalities as gene embedding. These statistical 349 indicators include gene level score, count, entropy, minimum, maximum, mean, weighted mean in whole gene, and 350 weighted mean in window. Gene embedding is calculated as follows:

351
$$\boldsymbol{E}_{G} = \boldsymbol{F}_{E}(\boldsymbol{M}) = \left[f_{E_{1}}(\boldsymbol{G}_{1}), f_{E_{2}}(\boldsymbol{G}_{2}), \cdots, f_{E_{m}}(\boldsymbol{G}_{m})\right] \in \mathbb{R}^{N_{g} \times D_{g}}$$

352 , where G_i is modality *i*, D_g is length of gene embedding for all modalities, F_E is a series of gene embedding 353 functions. F_E uses a series of statistical indicators to uniformly convert the data of different modalities into the gene 354 level, and the embedding functions corresponding to different modalities are different (more details in 355 **Supplementary Notes**). Then, we used the known biological pathways to construct a sparse neural network for 356 converting the gene embedding E_G into the pathway embedding E_P , as described below:

$$E_P = W_{sparse}^T E_G + B, E_P \in \mathbb{R}^{N_p \times D_p}$$

358 , where N_p is the number of pathways, $D_p = D_g$ is the length of pathway embedding, $W_{sparse} \in \mathbb{R}^{N_g \times N_p}$ is a 359 learnable sparse weight matrix, and **B** is a bias term. W_{sparse} is constructed based on the known relationship 360 between pathways and genes. When the given gene and the pathway are irrelevant, the corresponding element of 361 W_{sparse} will always be 0. Otherwise, it needs to be learned through training.

362 Transformer module with pathway crosstalk network bias

We employed the Transformer module based on criss-cross attention with pathway crosstalk network bias, which has 3 blocks. Each block of Transformer module contains the following processes: multi-head column-wise selfattention (col-attention), multi-head row-wise self-attention (row-attention), layer normalization, GELU activation, residual connection, and network update. Multi-head column-wise self-attention contains 8 heads, each head is a mapping of Q_{1}, K_{1}, V_{1}, P , which are query vector, key vector, and value vector of multi-modal embedding and pathway crosstalk network matrix, respectively.

369 First, we represented the *h*th column-wise self-attention by $A_{col}^{(h)}$, calculated as follows:

$$A_1^{(h)} = (\boldsymbol{Q}_1 \boldsymbol{K}_1^T) / \sqrt{d}$$

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371
$$\boldsymbol{A}_{col}^{(h)} = \operatorname{dropout}_{0.2}(\operatorname{softmax}(\boldsymbol{A}_1^{(h)} + \boldsymbol{P})) \cdot \boldsymbol{V}_1^{(h)}$$

372 , where $h = 1, 2, \dots, H$ is the *h*th head; *H* is the number of heads; $Q_1 = E_P W_{Q_1}^{(h)}$, $K_1 = E_P W_{K_1}^{(h)}$, $V_1 = E_P W_{V_1}^{(h)}$ are 373 linear transformations of the input E_P ; $W_{Q_1}^{(h)} \in \mathbb{R}^{D_P \times d}$, $W_{K_1}^{(h)} \in \mathbb{R}^{D_P \times d}$, $W_{V_1}^{(h)} \in \mathbb{R}^{D_P \times d}$ are the weight matrices as 374 parameters; *d* is the attention dimension; dropout_{0.2} is a dropout neural network layer with a probability of 0.2; and 375 softmax is the normalized exponential function.

376 Next, we merged multi-head column-wise self-attention and performed a series of operations as follows:

377
$$\boldsymbol{g}_1^{(h)} = sigmoid(\boldsymbol{E}_P \boldsymbol{W}_{g_1}^{(h)})$$

378
$$\boldsymbol{U}_{1} = \sum_{h=1}^{H} (\boldsymbol{g}_{1}^{(h)} \circ \boldsymbol{A}_{col}^{(h)}) \cdot \boldsymbol{W}_{U_{1}}^{(h)}$$

$$U_1' = U_1 + E_1$$

380
$$\boldsymbol{\theta}_1 = \text{dropout}_{0.2}(\text{GELU}(\text{LayerNorm}(\boldsymbol{U}_1') \cdot \boldsymbol{W}_{0_{11}})) \cdot \boldsymbol{W}_{0_{12}} + \boldsymbol{U}_1'$$

381 , where $h = 1, 2, \dots, H$ is the *h*th head; *H* is the number of heads; \circ is the matrix dot product; $W_{g_1}^{(h)} \in \mathbb{R}^{D_p \times d}, W_{U_1}^{(h)} \in$ 382 $\mathbb{R}^{d \times D_p}, W_{O_{11}} \in \mathbb{R}^{D_p \times o}, W_{O_{12}} \in \mathbb{R}^{o \times D_p}$ are the weight matrices as parameters; *o* is a constant; LayerNorm is the 383 layer normalization function; GELU is the distortion of RELU activation function; and dropout_{0.2} is a dropout 384 neural network layer with a probability of 0.2.

385 Multi-head row-wise self-attention enables information exchange between different modalities. It is a regular 386 dot-product attention without pathway crosstalk network bias. The *h*th row-wise self-attention, i.e., $A_{row}^{(h)}$, is 387 calculated as follows:

389
$$A_{row}^{(h)} = \operatorname{dropout}_{0.2}(\operatorname{softmax}(A_2^{(h)})) \cdot V_2^{(h)}$$

390 , where $h = 1, 2, \dots$, h is the *h*th head; *H* is the number of heads; $Q_2 = E_P^T W_{Q_2}^{(h)}$, $K_2 = E_P^T W_{K_2}^{(h)}$, $V_2 = E_P^T W_{V_2}^{(h)}$ are 391 linear transformations of the input E_P^T ; $W_{Q_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $W_{K_2}^{(h)} \in \mathbb{R}^{N_p \times d}$, $W_{V_2}^{(h)} \in \mathbb{R}^{N_p \times d}$ are the weight matrices as 392 parameters; *d* is the attention dimension; dropout_{0.2} is a dropout neural network layer with a probability of 0.2; and 393 softmax is the normalized exponential function.

394 Subsequently, we merged multi-head row-wise self-attention and performed a series of operations. The 395 formulas are as follows:

$$\boldsymbol{g}_2^{(h)} = sigmoid(\boldsymbol{E}_P^T \boldsymbol{W}_{g_2}^{(h)})$$

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397
$$\boldsymbol{U}_{2} = \sum_{h=1}^{H} (\boldsymbol{g}_{2}^{(h)} \circ \boldsymbol{A}_{row}^{(h)}) \cdot \boldsymbol{W}_{U_{2}}^{(h)}$$

$$\mathbf{U}_2' = \boldsymbol{\beta} * \boldsymbol{U}_2 + \boldsymbol{E}_P^T$$

399
$$\boldsymbol{O}_2 = dropout_{0,2}(\text{GELU}(\text{LayerNorm}(\boldsymbol{U}_2') \cdot \boldsymbol{W}_{O_{21}})) \cdot \boldsymbol{W}_{O_{22}} + \boldsymbol{U}_2'$$

400 , where h = 1, 2, ..., h is the *h*th head; *H* is the number of heads; \circ is the matrix dot product; $W_{g_2}^{(h)} \in \mathbb{R}^{N_p \times d}, W_{U_2}^{(h)} \in$ 401 $\mathbb{R}^{d \times N_p}, W_{O_{21}} \in \mathbb{R}^{N_p \times o}, W_{O_{22}} \in \mathbb{R}^{o \times N_p}$ are the weight matrices as parameters; *o* is a constant; β is a constant 402 coefficient for row-attention; LayerNorm is the layer normalization function; GELU is the distortion of RELU 403 activation function; and *dropout*_{0.2} is a dropout neural network layer with a probability of 0.2. O_2 is pathway 404 embedding input of the next Transformer block. In other words, when E_p is $E_p^{(0)}, O_2$ is $E_p^{(1)}$, superscripts with 405 parenthesis represent data at different block.

406 Then, we used the updated pathway embedding \boldsymbol{o}_2 to update the pathway crosstalk network. We exploited the 407 correlation between embedding vectors of two pathways to update the corresponding element of the pathway 408 crosstalk network matrix. The formula is as follows:

$$409 \qquad \mathbf{P}' = (\mathbf{P} \cdot \mathbf{P}^T) / N_n$$

410 , where P' is the updated pathway crosstalk network matrix of next Transformer block. In other words, when P' is 411 $P^{(1)}$, P is $P^{(0)}$, superscripts with parenthesis represent data at different block.

412 Classification module

In order to solve the classification tasks, we used the fully connected neural network as the classification module to transform pathway embedding encoded by the Transformer module into the probability for each label. Three fully connected neural networks each have 300, 200, and 100 neurons, with dropout probability $dropout_c$, which is hyperparameter. More details of the classification module are described in **Supplementary Notes**.

417 Model training and test

In this study, we implemented Pathformer's network architecture using the "PyTorch" package in *Python* v3.6.9, and our codes can be found in the GitHub repository (https://github.com/lulab/Pathformer). For model training and test, we divided the labeled dataset into the discovery set (75%) and the validation set (25%) hierarchically. We implemented model training, hyperparameter optimization and model early stopping on the discovery set and tested on the validation set (**Supplementary Fig. 1**).

423 When training the model, we used a normal model learning strategy. We applied cross-entropy loss with class-

424 imbalance weight as the label prediction loss, the ADAM optimizer to train Pathformer, and the cosine annealing 425 learning rate method to optimized learning rate. For hyperparameter optimization, we used grid search with 5-fold 426 cross-validation in the discovery set. We used the macro-averaged F1 score as the selection criterion to find the 427 optimal combination of maximum of learning rate \in [1e-4, 1e-5], dropout probability of classification (c) \in [0.3, 0.5], 428 and constant coefficient for row-attention (β) $\in [0.1,1]$. For early stopping, we divided the discovery set into the 429 training set (75%) and the test set (25%) hierarchically, and used the macro-averaged F1 score of the test set as the 430 criterion for stopping training. When testing the model, we used the best model trained with optimal hyperparametric 431 combination in the validation set. More details of model training and test are described in **Supplementary Notes**.

432 Model interpretability

433 To better understand Pathformer's decisions, we increased the interpretability of Pathformer by calculating 434 contributions of different modalities, important pathways and their key genes, and hub module of the updated 435 pathway crosstalk network.

436 Contribution of each modality

In Pathformer, row-attention is used to facilitate information interaction between different modalities, that is, rowattention map can represent the importance of each modality. According to the trained model, we obtained rowattention maps of 8 heads in 3 blocks for each sample. For the contribution of each modality, we first integrated all matrices of row-attention maps into one matrix by element-wise average. Then, we averaged this average rowattention matrix along with columns as the attention weights of modalities, i.e., the contribution of modalities. The calculation is as follows:

443
$$A_{aver} = \frac{1}{N} \sum_{n=1}^{N} \frac{1}{BL} \sum_{b=1}^{BL} \frac{1}{H} \sum_{h=1}^{H} softmax([[A_{2}^{(h)}]^{(b)}]^{(n)})$$

444 attention weight_i =
$$\frac{1}{D_p} \sum_{j=1}^{D_p} a_{ij}$$
, a_{ij} is the *i*th row and the *j*th columns of A_{aver}

445 , where *N* is the number of samples, *BL* is the number of blocks, *H* is the number of heads, softmax is a normalized 446 exponential function, and *attention weight*_i is the attention weight of dimension *i* of pathway embedding.

447 Important pathways and their key genes

SHapley Additive exPlanations²¹ (SHAP) is an additive explanation model inspired by coalitional game theory, which regards all features as "contributors". SHAP value is the value assigned to each feature, which explains the relationship between pathways, genes and classification, implemented by "SHAP" package of *Python* v3.6.9. Specifically, we calculated SHAP values of the gene embedding and the pathway embedding encoded by bioRxiv preprint doi: https://doi.org/10.1101/2023.05.23.541554; this version posted May 24, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

452 Transformer module corresponding to each sample and each category, denoted as $S_{gn}^{(j)} \in \mathbb{R}^{D_p}$ and $S_{pn}^{(j)} \in \mathbb{R}^{D_p}$

453 respectively. The SHAP values of genes and pathways are calculated as follows:

454
$$SHAP_g = \sum_{j=1}^{d_{out}} \sum_{e=1}^{b_p} \frac{1}{N} \sum_{n=1}^{N} \left| s_{gne}^{(j)} \right|, s_{gie}^{(j)} \in \mathbf{S}_{gi}^{(j)}$$

455
$$SHAP_p = \sum_{j=1}^{d_{out}} \sum_{e=1}^{D_p} \frac{1}{N} \sum_{n=1}^{N} \left| s_{pne}^{(j)} \right|, s_{pie}^{(j)} \in S_{pi}^{(j)}$$

456 , where $g = 1, 2, \dots, N_g$ is the *g*th gene, $g = 1, 2, \dots, N_p$ is the *p*th pathway, $n = 1, 2, \dots, N$ is the *n*th sample, $e = 1, 2, \dots, D_p$ is dimension *e* of pathway embedding, and $j = 1, 2, \dots, d_{out}$ is the *j*th category of sample.

458 In addition, we calculated SHAP values of pathways and genes in different modalities, described as follows:

459
$$SHAP_{gi} = \sum_{j=1}^{d_{out}} \sum_{e=e_1 + \dots + e_{i-1}}^{e_i} \frac{1}{N} \sum_{n=1}^{N} \left| s_{gne}^{(j)} \right|, s_{gie}^{(j)} \in S_{gi}^{(j)}$$

460
$$SHAP_{pi} = \sum_{j=1}^{d_{out}} \sum_{e=e_1 + \dots + e_{i-1}}^{e_i} \frac{1}{N} \sum_{n=1}^{N} \left| s_{pne}^{(j)} \right|, s_{pie}^{(j)} \in S_{pi}^{(j)}$$

461 , where *i* = 1, ..., *m* is the *i*th modality, *e_i* is the length of gene embedding and pathway embedding for modality *i*.
462 Finally, pathways with the top 15 SHAP values in the classification task are considered as important pathways.
463 For each pathway, genes with top 5 SHAP values are considered as the key genes of the pathway. The core modality
464 on which one gene depends indicates that the SHAP value of that gene ranks higher on this modality than on the
465 others.

466 Hub module of the updated pathway crosstalk network

467 In Pathformer, pathway crosstalk network matrix is used to guide the direction of information flow, and updated 468 according to encoded pathway embedding in each Transformer block. Therefore, the updated pathway crosstalk 469 network contains not only prior information but also multi-modal data information, which represents the specific 470 regulatory mechanism in each classification task. We defined the sub-network score through SHAP value of each 471 pathway in sub-network, so as to find foremost sub-network for prediction, that is, hub module of the updated 472 pathway crosstalk network. The calculation of the sub-network score can be divided into four steps: average pathway 473 crosstalk network matrix calculation, network pruning, sub-network boundary determination, and score calculation. 474 More details of sub-network score calculations are described in Supplementary Notes.

475

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476 **Declarations**

477 Data availability

- 478 All datasets used in this study are publicly available for academic research usages. The details of usage are also
- 479 fully illustrated in Methods and Supplementary Notes.

480 Code availability

- 481 preprocessing training Github Source code for data and model is freely available at 482 (https://github.com/lulab/Pathformer) with detailed instructions. Source code for comparing the other methods is
- also included.

484 **Consent for publication**

485 All authors have approved the manuscript and agree with the publication.

486 **Competing interests**

487 The authors declare that they have no competing interests.

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- 498

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628

629 Figure Legends

630

631 Figure 1. Overview of the Pathformer model.

632 **a.** Model architecture of Pathformer. F_E , statistical indicators in the gene embedding. **b.** Calculation of biological 633 multi-modal embedding. Circles, neurons in the neural network; arrows, represent the direction of information flow; 634 G, gene; P, pathway; W, weight of pathway-based sparse neural network. The weights of the pathway-based sparse 635 neural network represent the importance of different genes in different pathways. c. A block of Transformer module 636 with pathway crosstalk network bias (3 blocks used in **a**). The pathway embedding matrix is used as input and the 637 pathway crosstalk network matrix is used as bias. N_p , number of pathways; D_p , dimensionality of pathway 638 embedding; h, number of attention heads; d, attention dimension; V_1 , K_1 , Q_1 , A_1 : vale, key, query and attention 639 map of col-attention; V_2 , K_2 , Q_2 , A_2 : vale, key, query and attention map of row-attention; +, element-wise addition; 640 ×, matrix multiplication; •, matrix dot product; β , constant coefficient for row-attention. 641

642 Figure 2. Performance comparison between Pathformer and other multi-modal integration methods

Bar charts show the macro-averaged F1 score of different multi-modal integration methods in different classification
tasks of TCGA datasets. Error bars are from 2 times 5-fold cross-validation, representing 95% confidence intervals.
XGBoost refers to the early integration methods based on gradient boosted tree, while XGBoost (late) refers to the
late integration methods based on gradient boosted tree.

647

648 Figure 3. Ablation analysis of Pathformer for the classification of early- and late-stage cancer patients.

a. Different types of data (modalities) were used as input for TCGA cancer early- and late-stage classification. b.
Ablation analysis of different modules in Pathformer. Error bars are from 2 times 5-fold cross-validation across 8
datasets, representing 95% confidence intervals. CC-attention, Pathformer without pathway crosstalk network bias;
Transformer, Pathformer without either pathway crosstalk network bias or row-attention; PSNN, Pathformer
without Transformer module; NN, classification module only.

654

655 Figure 4. Breast cancer subtype related modalities, pathways and genes revealed by Pathformer.

a. Contributions of different modalities for breast cancer (BRCA) subtype classification calculated by attention
 weights (averaging attention maps of row-attention).
 b. Important pathways and their key genes with top SHapley
 Additive exPlanations (SHAP) values. Among the key genes, different colors represent different pillar modalities

- 659 of the genes. c. A hub module of pathway crosstalk network for BRCA subtype classification. Color depth and size 660 of node represents the degree of node. Line thickness represents the weight of edge. All links are predicted by 661 Pathformer, where known links are reported by the initial crosstalk network and new links are new predictions.
- 662

663 Figure 5. Pathformer integrates multi-modal liquid biopsy data for non-invasive cancer diagnosis.

a. Contributions of different input features and their statistical indicators when classifying cancer patients from
 healthy controls using three liquid biopsy datasets. All mean represents the sum of mean, weighted mean and
 window weighted mean. Each type of RNA splicing is the sum of all statistical indicators in this type.
 Classification performance of different input combinations. Each value is the mean of 5-fold cross-validation.

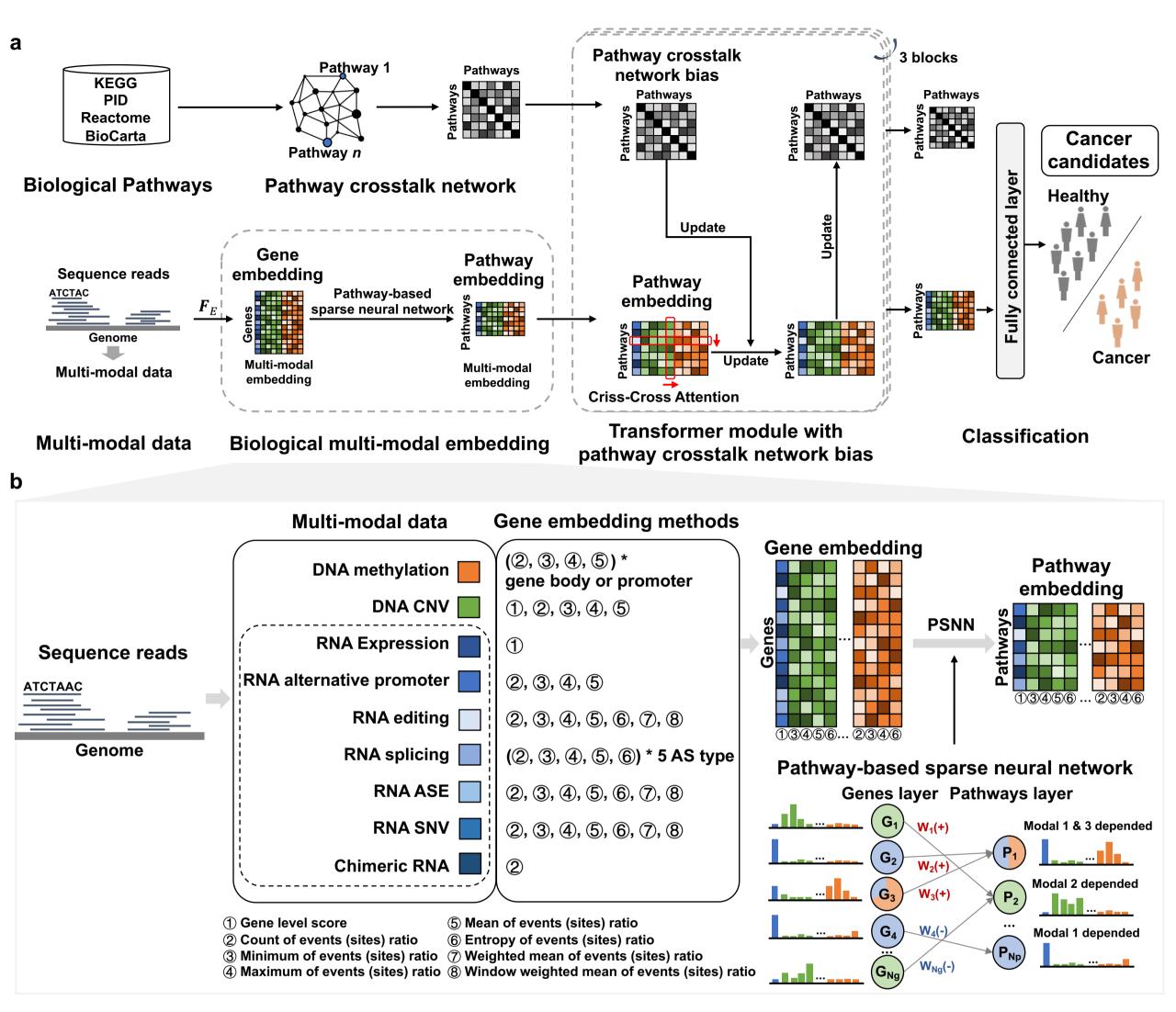
668

669 Figure 6. Interpretation of the liquid biopsy data using Pathformer.

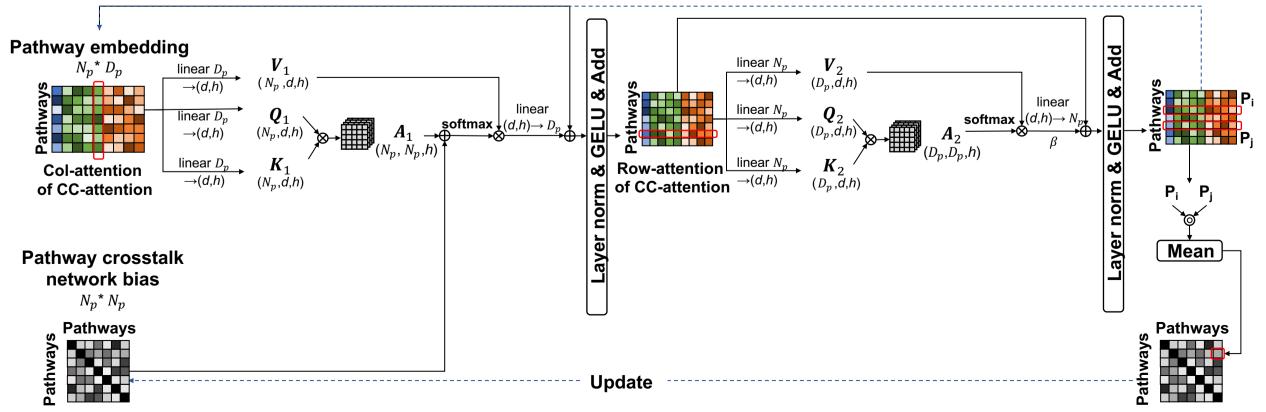
Important pathways and their key genes revealed by Pathformer in the datasets of (a) plasma (b) EV (c) platelet when classifying cancer patients from healthy controls. The pathways and their key genes were selected with top SHAP values. Among the key genes, different colors represent different pillar modalities (e.g., RNA expression, RNA editing, etc) of the genes. Hub modules of pathway crosstalk network are shown for (d) plasma and (e) platelet data. Color depth and size of node represent the degree of node. Line thickness represents the weight of edge. All links are predicted by Pathformer, where known links are reported by the initial crosstalk network and new links are new predictions.

677

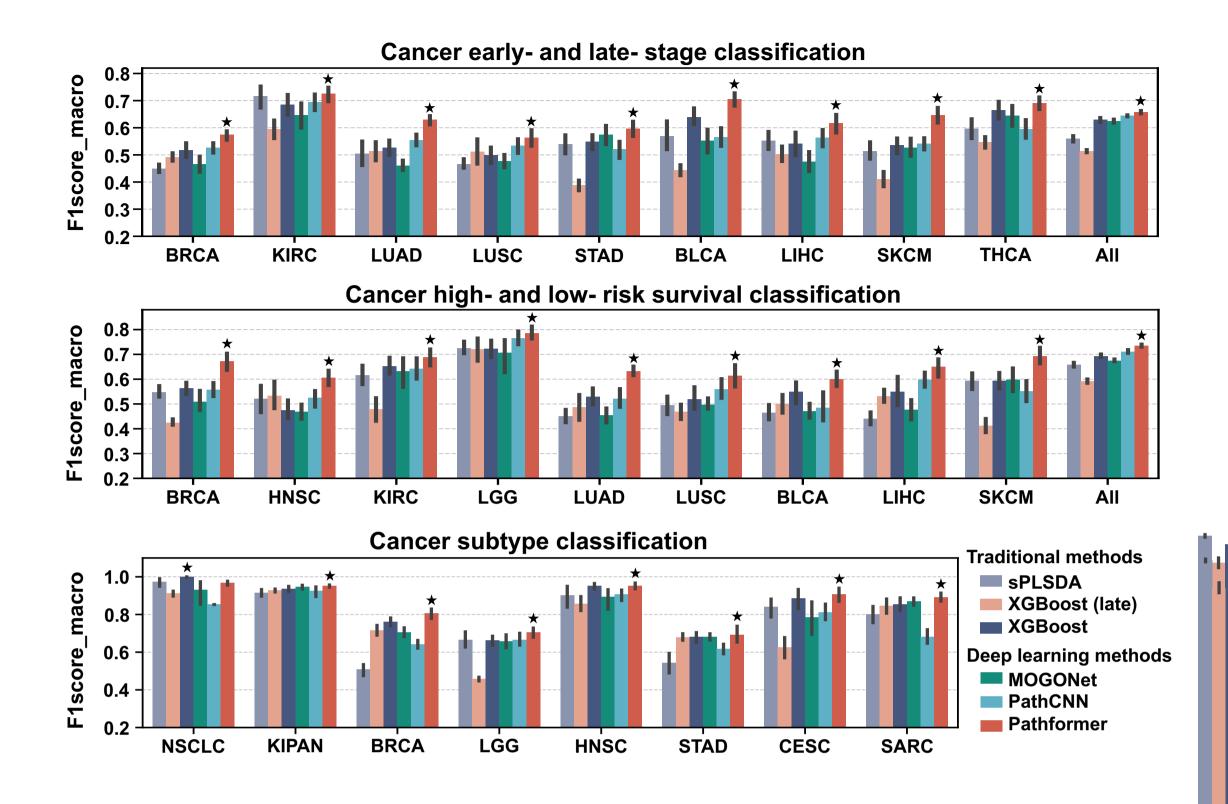
Figure 1. Overview of the Pathformer model.

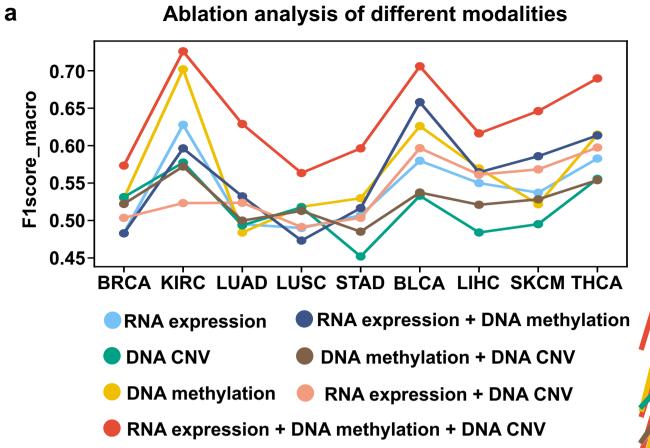


Update









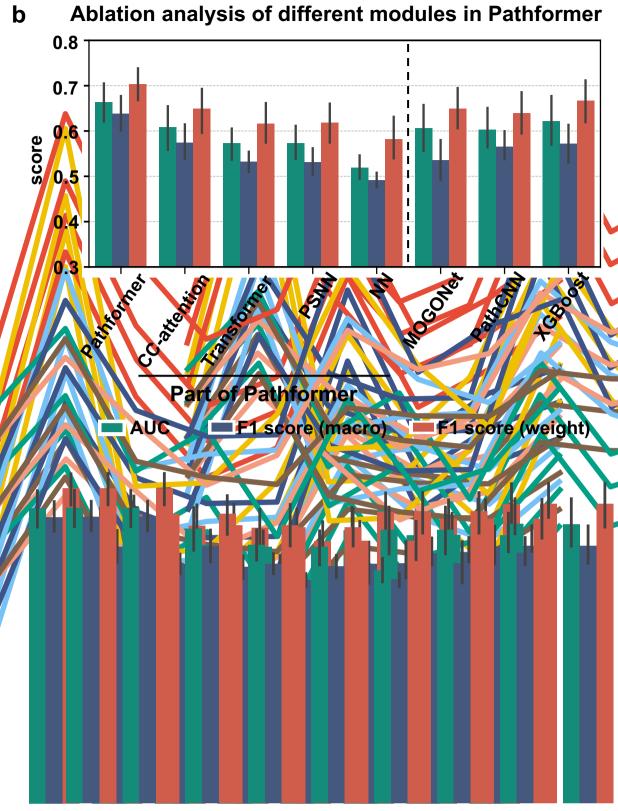
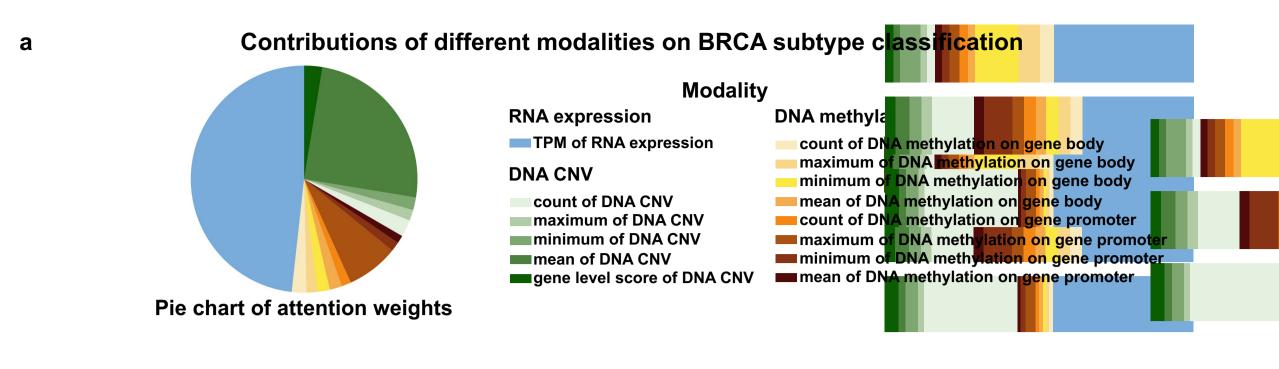


Figure 4. Breast cancer subtype related modalities, pathways and genes revealed by Pathformer

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Important pathways and key genes on BRCA subtype classification by SHAP value

Important pathways	Top5 genes of each pathway			
Complex I biogenesis	MT-ND3, MT-ND1, MT-ND4, MT-ND2, MT-ND6	Z-sco	re of SHAP va	lue
Nucleotide excision repair	PCNA, RBX1, RPA1, CETN2, RFC4	F	RNA expression	
Transcription of E2F targets under negative control	E2F1, HDAC1, RBBP4, CCNA2, CDK1			
Translesion synthesis by POLH	UBC, RPS27A, PCNA, UBA52, UBB	0	1	1
RNA polymerase I promoter escape	H2BC21, H2BC12, H2BC5, H2BC8, H2AC8	DNA methylation		
Translesion synthesis by POLK	UBC, RPS27A, PCNA, UBA52, UBB			
Cardiac muscle contraction	MT-CO2, MT-CO1, MT-CO3, MT-CYB, ATP1B1	0	1	1
Formation of ATP by chemiosmotic coupling	MT-ATP6, MT-ATP8, ATP5F1B, ATP5F1E, ATP5MC2	DNA CNV		
Antigen activates B Cell Receptor (BCR) leading to generation	IGKV1-5, IGLC2, IGLV3-1, GRB2, PIK3R1			
TRAF6 mediated NF-kB activation	MAP3K1, NFKBIA, APP, TRAF2, SAA1	0		1
Allograft rejection	HLA-A, HLA-DRB1, HLA-DRA, HLA-B, HLA-DRB5	Pilla	r modality of g	iene
TP53 regulates transcription of cell cycle genes	PCNA, E2F1, SFN, CDKN1A, EP300			
DNA damage bypass	UBC, RPS27A, PCNA, UBA52, UBB		RNA expression	
NCAM1 interaction	COL3A1, COL4A2, COL5A1, GFRA1, AGRN	•	DNA methylatio	n
TGFBR pathway	GRB2, RHOA, YWHAE, SHC1, PPP2CA		• DNA CNV	

Hub module of the updated pathway crosstalk network on BRCA subtype classification

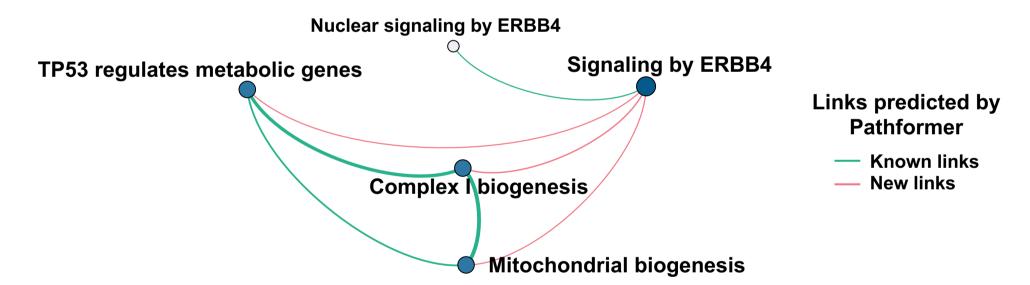


Figure 5. Pathformer integrates multi-modal liquid biopsy data for non-invasive cancer diagnosis

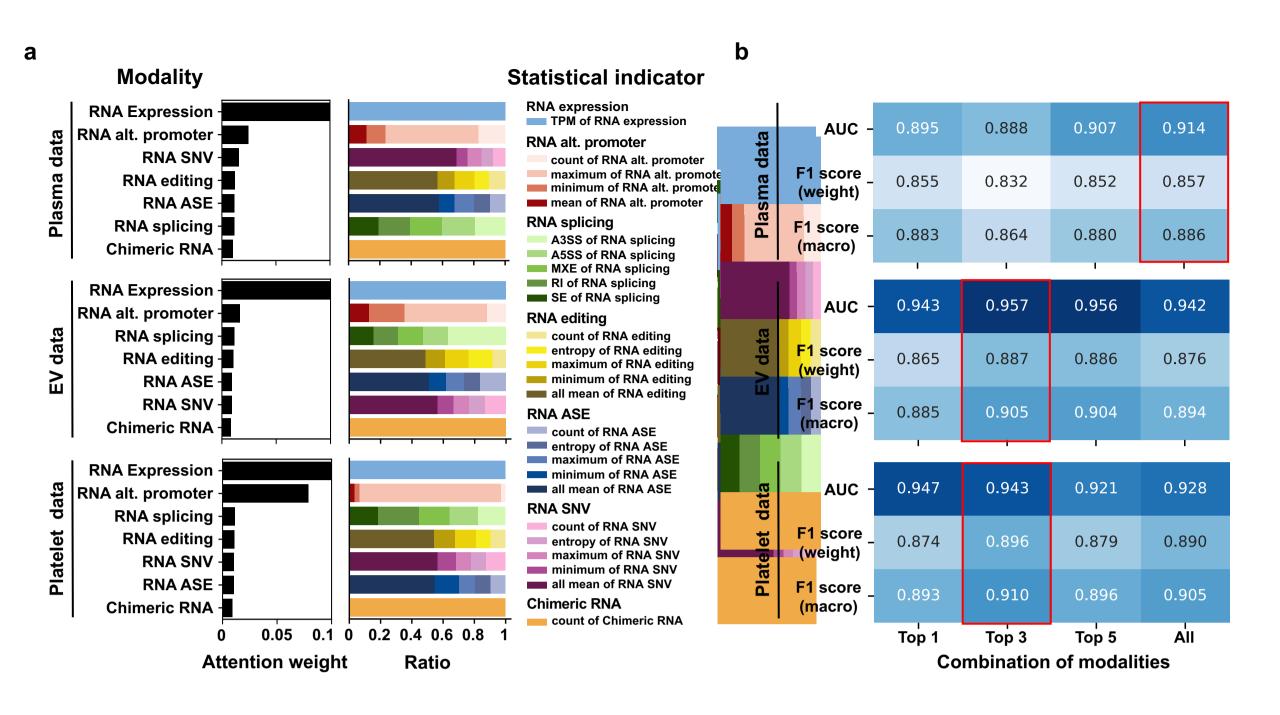


Figure 6. Interpretation of the liquid biopsy data using Pathformer

Important pathways and key genes on plasma data classification by SHAP

Top5 genes of each pathway HBB, HBA1, HBA2, FTH1, HSP90AA1 RPS27A, UBB, UBA52, FTH1, SKP1 **PF4, PF4V1, F13A1, PROS1, GP1BB** TGFB1, RAC1, AKT3, RHOA, RAC2 B2M, RAC1, HLA-A, ARF1, FYN B2M, PSME1, HLA-DRA, HLA-E, HSP90AA1 B2M, RAC1, HLA-E, GRAP2, GRB2 ACTB, ACTG1, SPTBN1, SPTAN1, ANK1 ACTB, ACTG1, CTNNB1, CTNNA1, JUP GNB1, GNG11, GNG5, GNG8, GNB2 Z-score of SHAP ITGB3, TLN1, ITGA2B, RAP1B, PTK2 **RNA** expression B2M, HLA-DRA, HLA-E, HLA-B, HLA-A ITGB1, FTH1, MAX, CCL5, CLU 0 B2M, RAC1, HLA-E, HLA-B, GRAP2 **GNAS**, TUBB1, PRKACB, EGF, PRKCB

Important pathways

Binding and uptake of ligands by scavenger receptors Iron uptake and transport Formation of fibrin clot (clotting cascade) **Colorectal cancer** The role of Nef in HIV-1 replication and disease pathogenesis Antigen processing and presentation **DAP12** signaling Interaction between L1 and ankyrins Adherens iunctions interactions ADP signalling through P2Y purinoceptor 1 **GRB2:SOS** provides linkage to MAPK signaling for Integrins Interferon gamma signaling MYC repress pathway **DAP12** interactions Gap junctions

Important pathways and key genes on EV data classification by SHAP

Important pathways

Binding and uptake of ligands by scavenger receptors Assembly of collagen fibrils and other multimeric structures Thyroid cancer Purinergic signaling and infection by Leishmania Smooth muscle contraction Kinesin Transport of connexons to the plasma membrane **RNA** polymerase II transcribes snRNA genes Platelet sensitization by LDL **COPI-independent Golgi-to-ER retrograde traffic Viral Messenger RNA Synthesis FMLP** pathway Interaction between L1 and Ankyrins **NFAT TF pathway BCR 5 pathway**

Top5 genes of each pathway

HBB, HBA2, HBA1, FTH1, HSP90AA1 CTSS, CD151, PLEC, CTSB, ITGA6 TPM3, CCND1, CTNNB1, MAPK3, MYC APP, TXNIP, HSP90AB1, NFKB1, HMOX1 TLN1, TPM3, MYL9, TPM1, TPM4 TUBB1, KIF2A, TUBA1B, TUBA8, TUBA1C TUBB1, TUBA1B, TUBA8, TUBA1C, TUBB4B GTF2B, POLR2J, POLR2L, RNU11, GTF2F1 FGR, PTPN6, PPP2CA, PLA2G4A, PTPN11 TUBB1, TUBA1B, DYNLL1, PLA2G4A, TUBA8 POLR2J, POLR2L, GTF2F1, POLR2E, POLR2A RAC1, NFKBIA, CALM3, GNA15, CALM1 ACTB, ACTG1, SPTBN1, SPTAN1, ANK1 FOS, NFATC3, E2F1, TBX21, NFATC2 RAC1, PIK3R1, GRB2, LYN, IKBKG

RNA alt. promoter 0 **RNA** splicing 0 **RNA** editing 0 **RNA ASE** 0 **RNA SNV** 0 **Chimeric RNA** 0

Pillar modality of gene

•RNA expression • RNA alt. promoter RNA splicing RNA editing Important pathways and key genes on platelet data classification by SHAP RNA ASE RNA SNV Chimeric RNA • RNA editing + Chimeric RNA • RNA alt. promoter + Chimeric RNA RNA SNV + RNA ASE

Important pathways

DAP12 signaling B2M, RAC1, HLA-E, GRB2, GRAP2 The role of Nef in HIV-1 replication and disease pathogenesis Iron uptake and transport Nef-mediates down modulation of cell surface receptors... Interferon gamma signaling Antigen processing and presentation **DAP12** interactions IL12 2 pathway Infection with Mycobacterium tuberculosis **Mitochondrial Fatty Acid Beta-Oxidation CD8 TCR downstream pathway** P53 hypoxia pathway Signaling by BRAF and RAF1 fusions Antigen presentation folding and peptide loading of class I MHC RAS pathway

Top5 genes of each pathway

B2M, RAC1, ARF1, FYN, HLA-A FTH1, UBB, RPS27A, UBC, SKP1 B2M, ARF1, HLA-A, AP2S1, AP2M1 B2M, HLA-E, PRKCD, HLA-B, HLA-A B2M, HLA-E, HSP90AA1, HLA-B, HLA-A B2M, RAC1, HLA-E, GRB2, GRAP2 B2M, FOS, HLA-A, STAT1, STAT3 B2M, UBB, RPS27A, UBC, UBA52 HADHA, ACOT7, ACADVL, ECHS1, ACADM B2M, FOS, PRKCB, HLA-A, IL2RG CDKN1A, RPA1, HSP90AA1, BAX, GADD45A ITGA2B, ACTB, VCL, ITGB3, CALM1 B2M, HLA-E, HLA-B, HLA-A, CANX RAC1, RHOA, CDC42, BAD, RAF1

e Hub module of the updated pathway crosstalk

network for platelet data classification

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Hub module of the updated pathway crosstalk d network for plasma data classification

