Title: Multimodal gradients of human basal forebrain connectivity

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Abstract

The cholinergic innervation of the cortex originates almost entirely from

populations of neurons in the basal forebrain (BF). Structurally, the ascending BF

cholinergic projections are highly branched, with individual cells targeting multiple

different cortical regions. However, it is not known whether the structural organization

of basal forebrain projections reflects their functional integration with the cortex. We

therefore used high-resolution 7T diffusion and resting state functional MRI in humans

to examine multimodal gradients of BF cholinergic connectivity with the cortex. Moving

from anteromedial to posterolateral BF, we observed reduced tethering between

structural and functional connectivity gradients, with the most pronounced dissimilarity

localized in the nucleus basalis of Meynert (NbM). The cortical expression of this

structure-function gradient revealed progressively weaker tethering moving from

unimodal to transmodal cortex, with the lowest tethering in midcingulo-insular cortex.

We used human [18F] fluoroethoxy-benzovesamicol (FEOBV) PET to demonstrate that

cortical areas with higher concentrations of cholinergic innervation tend to exhibit lower

tethering between BF structural and functional connectivity, suggesting a pattern of

increasingly diffuse axonal arborization. Optogenetic tracing of cholinergic projections

and [18F] FEOBV PET in mice confirmed a gradient of axonal arborization across

individual BF cholinergic neurons. Like humans, cholinergic neurons with the highest

arborization project to cingulo-insular areas of the mouse isocortex. Altogether, our

findings reveal that BF cholinergic neurons vary in their branch complexity, with certain

subpopulations exhibiting greater modularity and others greater diffusivity in the

functional integration of their cortical targets.

Introduction

The basal forebrain (BF) (Fig. 1) is a collection of subcortical cholinergic cell groups which provide the major sources of acetylcholine to the cortex and hippocampus[1]. Structurally, the ascending cholinergic projections are highly branched, with individual cells often targeting multiple different cortical areas[2–4]. The total arborization of a single human cholinergic BF neuron is estimated to have a length in excess of 100 meters[4].

The organization of ascending BF cholinergic projections may reflect complex spatial topographies of connectivity with the cortex[5,6]. Axonal tracing studies in rats suggest that BF cholinergic neurons are grouped into ensembles which target functionally interrelated cortical areas[7]. In humans, patterns of functional connectivity in distinct BF subregions have been found to overlap with distinct cortico-cortical networks[8–10]. Consistent with a spatial topography of projections, subregional structural changes in BF gray matter and white matter integrity are associated with distinct patterns of cortical degeneration and cognitive dysfunction[11–15]. In neurodegenerative diseases such as Alzheimer's (AD), early dysfunction or loss of specific BF cholinergic fibers may alter local neuronal functions in cholinoreceptive cortical areas[16]. Although these separate lines of evidence suggest that the cortex expresses topographies of BF structural and functional connectivity, the intermodal relationship of these topographies to one another is unknown.

How does the structural organization of cholinergic BF projections relate to their

functional integration in the cortex? One possibility is that gradients of BF structural and

functional connectivity are closely tethered. This would be expected if white matter

tracts emanating from local populations of BF cholinergic neurons target local patches

of cortex, with each axonal projection exhibiting relatively uniform branch complexity of

its terminal fields[5]. In this scenario, BF functional connectivity would reflect these

structurally segregated cortical modules. Alternatively, gradients of BF structural and

functional connectivity might exhibit negligible overlap. This pattern would be expected

if populations of BF cholinergic neurons project diffusely to multiple distinct and

overlapping cortical areas[5]. In this scenario, BF functional connectivity would reflect

mixtures of these diffuse afferent inputs. Finally, a third possibility is that the profile of

tethering between gradients of BF structural and functional connectivity itself falls along

a gradient. This pattern would be expected if populations of BF cholinergic neurons vary

in their branch complexity, with certain subpopulations exhibiting greater modularity

and others greater diffusivity in the functional integration of their cortical targets.

Here we addressed the relationship between structural and functional

connectivity in the ascending BF projections. We used multimodal imaging combining

high-resolution 7 Tesla (7T) diffusion (dMRI) and resting state functional MRI (rsfMRI) in

a cohort of 173 individuals from the Human Connectome project (HCP)[17]. From these

datasets, we derived gradients of the continuous transitions in BF functional and

structural connectivity with the cortex. In both modalities, a single gradient dominated

the explained variance in connectivity, characterized by an anteromedial to

posterolateral axis spanning the BF nuclei. To quantify BF structure-function tethering

directly, we computed the residual variance between (a) the gradients of structural and

functional connectivity within the BF and (b) the expression of these gradients on the

cortical surface. Consistent with a gradient of branch complexity across the BF, we

found closer tethering between BF structural and functional connectivity in medial areas

of the BF, with stronger divergence in posterolateral areas overlapping the nucleus

basalis of Meynert (NbM). The cortical expression of this structure-function tethering

was weakest in midcingulo-insular hubs of the ventral attention network[18–26]. Using *in*

vivo molecular imaging of the human and mouse cortical cholinergic projections, in

combination with mouse optogenetic labeling of individual BF cholinergic projections,

we provide cross-species translational evidence that the observed gradient of tethering

between BF structural and functional connectivity is likely shaped by the complexity of

axonal arborization in BF cholinergic neurons. In mouse and human, BF cholinergic

neurons with greater arborization appear to target evolutionarily conserved midcingulo-

insular cortical areas.

Results

<u>Primary BF structural and functional gradients</u>

We built dMRI and rsfMRI connectomes of the BF using high-resolution 7T MRI

HCP data (*n*=173)[27] and a well-validated stereotactic BF atlas which provides

annotations for its separate nuclei (Fig. 1)[28]. For each individual, the structural and

functional connectomes were separately defined by an M-by-N matrix, where M

represents the voxels in the entire BF and N represents the cortical parcels of the HCP

multimodal parcellation (HCP-MMP)[29]. For rsfMRI data, the matrices encode the

interregional temporal correlations in blood oxygenation level-dependent signals. For

dMRI data, the matrices encode the streamline counts between different regions of the

brain and BF. We confirmed that the probabilistic tractography of the BF streamlines

recapitulates prior ex vivo anatomical tracing[30] and in vivo diffusion mapping[14,31] work

on the human BF projectome which distinguish midline cingulum and lateral

capsular/perisylvian white matter projection routes (Supplemental Fig. 1).

For each modality, we computed gradients of connectivity using the BrainSpace

toolbox[32]. Starting from the input matrices encoding the rsfMRI and dMRI data

(averaged across individuals), we used a kernel function to build an affinity matrix

encoding interregional similarity of features. These matrices were decomposed via

nonlinear dimension reduction into a set of eigenvectors describing axes of BF

connectivity with cortical targets. The axes were then projected back to the BF space

(Supplemental Fig. 2A).

The first gradient for both BF structural and functional connectivity data

explained the most (30%) variance followed by a reduction in explained variance by 50%

for the second gradient (Fig. 2A). We therefore focused on the first principal gradient in

each modality. In both the structural and functional gradient, a smooth gradient

transition from anteromedial to posterolateral BF was observed (Fig. 2B,C). The

connectivity profiles are consistent with findings from histological mapping of the

individual BF nuclei [12,28] and in prior cluster-based parcellations of the BF from rsfMRI[8–

10]. Note that the hemispheric asymmetry in these gradients is due to the original

stereotaxic mapping of the BF atlas (Fig. 2B; Methods).

We next computed gradient-weighted cortical maps[33] to determine how BF

gradients were expressed by the cortex. The gradient-weighted cortical maps were

created by multiplying each row of the initial connectivity matrix ($M_{BF\ voxels}$ x $N_{cortical}$

$_{parcels}$) with the corresponding structural principal gradient (sG1) or functional principal

gradient (fG1) value to create a gradient-weighted connectivity matrix. Finally, all rows

of this gradient-weighted matrix were averaged to produce a single cortical

representation of the particular gradient (see Supplemental Fig. 2B and Methods). For

the gradient-weighted cortical map corresponding to sG1 (sG1ctx; Fig. 2D left), we

observed a smooth macroscale transition from the anteromedial to posterolateral

cortical surface. By contrast, the gradient-weighted cortical map corresponding to fG1

(fG1ctx; Fig. 2D right) exhibited a more patch-like pattern with moderate differentiation

of gradient weights between midline and lateral cortical areas.

<u>A gradient of structure-function tethering in BF connectivity</u>

We next examined the magnitude of shared variance, or tethering, between BF

structural and functional gradients to determine their spatial similarity to one another.

To do so, we computed voxel-wise regressions for the fG1 and sG1 structure-function

gradient pair and extracted their corresponding residuals (see Methods). The residual

map encoding BF structure-function tethering was projected back to BF space, which

revealed an anteromedial to posterolateral topography, with the lowest structure-

function tethering localized in posterolateral subregions (Fig. 2E). We next computed

the gradient-weighted cortical map encoding our residualized map of BF structure-

function tethering. The resulting cortical map (Fig. 2F) exhibited decreased tethering

moving from unimodal to transmodal cortex, with lowest tethering in the anterior

cingulate, insular and frontal opercular cortices.

How does the smooth transition of tethering between BF structural and

functional connectivity (Fig. 2E) align with the histologically defined boundaries of the

BF anteromedial (Ch1,2,3) and posterolateral (Ch4,4p) nuclei (Fig. 2B)[28]? To address this

question, we examined if the distributions of residual values within Ch1,2,3 and Ch4,4p

differed from one another. We performed permutation tests with fitted surrogate maps

(see Methods) to compute the difference in both the means and dispersion between

Ch1,2,3 and Ch4,4p. Dispersion was quantified by the coefficient of variation (CoV)

defined as the ratio of the standard deviation to the mean of the data. The mean

residual values were not different between Ch1,2,3 and Ch4,4p (p_{perm}=0.40). However,

the residual values in Ch4,4p exhibited significantly greater variability in comparison to

Ch1,2,3 (p_{perm}=0.01). This latter finding suggests that structure-function tethering within

Ch4,4p was more inhomogeneous than Ch1,2,3 (Fig. 3A).

We then asked how well the cortical residual-weighted map of BF structure-

function tethering (Fig. 2F) aligns with the spatial topographies of intrinsic cortico-

cortical resting state networks. To do so, we compared the mean and CoV of the

distributions of residual values captured by each of 7 Yeo macroscale resting state

networks[25] covering the entire human cerebral cortex. A pattern in which the

distributions of these residual values are well delineated from one another across the

different cortico-cortical networks (distinct means, lower CoVs) would indicate that each

network falls along a continuum of BF structure-function tethering defined by the

observed anteromedial-to-posterolateral gradient. By contrast, a pattern in which the

distributions of residuals are more spread out across the different cortico-cortical

networks (overlapping means, higher CoVs) would indicate that each network exhibits a

mixture of lower and higher BF structure-function tethering. We found that the mean of

the residuals significantly differed among networks ($F_{6,330}$ =47.55, p=0.001, Fig. 3B), with

the ventral attention network characterized by significantly higher values than the visual

(p=0.001) and somatomotor networks (p=0.001). The dorsal attention, limbic,

frontoparietal and default mode networks exhibited an intermediate tendency for

structure-function tethering. However, we also observed that the CoVs captured by

each cortico-cortical network also differed ($F_{6,330}$=47.55, p=0.001). Again, the ventral

attention network exhibited significantly greater heterogeneity in residual values than

the visual (p_{perm}=0.001) and somatomotor networks (p_{perm}=0.001; Fig. 3B). Taken

together, these findings support a continuum of BF structure-function tethering.

Cortico-cortical networks with hubs primarily in the unimodal cortex (visual,

somatomotor) tend to exhibit higher tethering, while networks with hubs primarily in

the transmodal cortex tend to exhibit lower tethering .

To assess the stability of the dominant structural and functional connectivity

gradients (sG1 and fG1) and the gradient of their tethering, we performed cross-

validation analyses across the 173 individuals in the sample (see Methods). We found

that each individual's observed gradients were consistently highly correlated with the

model (split-half or leave-one-out), consistent with stable gradient organization across

individuals (Supplemental Fig. 3).

Having confirmed the stability of sG1, fG1, and their tethering across individuals,

we next examined if gradients with lower explained variance, e.g. sG2 and fG2, may

reveal additional profiles of BF structure-function tethering. To explore this possibility,

we computed the 'knee-point' in the curve of explained variance for the complete set of

observed structural and functional gradients (see Methods), where the change in

explained variance became negligible[34]. The knee-point corresponded to sG6 for

structural gradients and fG4 for functional gradients (Fig 2A, red versus blue color

gradient component). For each of the 24 structure-function gradient pairs in this 6 x 4

cross-modality gradient matrix (Supplemental Fig. 4A), we computed the structure-

function tethering metric as before. When we examined the average of the 24 residual

maps (Supplemental Fig. 4B), we observed a high degree of correspondence to the sG1-

fG1 residual map (r=0.918; Supplemental Fig. 4C), even when excluding sG1-fG1 pairing

from the average (r=0.878; Supplemental Fig. 4D). These findings imply that the gradient

of tethering between BF structural and functional connectivity is captured by a single

dominant profile (Fig. 2E).

<u>BF structure-function tethering reflects an arborization gradient of cholinergic neurons</u>

We next explored what properties of BF connectivity might shape the observed

gradient profile of structure-function tethering in the cortex. Currently, $[^{18}F]$FEOBV

positron emission tomography (PET) provides the most direct *in vivo* assay of cortical

cholinergic innervation in humans. The $[^{18}F]$FEOBV radiotracer binds to the vesicular

acetylcholine transporter (VAChT), a glycoprotein expressed solely by cholinergic

neurons, with the highest density of expression on the presynaptic terminals [35]. We

acquired distribution volume ratios (DVR) of $[^{18}F]$FEOBV binding from a group of healthy

cognitively normal young adults (*n*=13; mean age=24.54, 3 females)[36], and produced an

average map representing the BF cortical cholinergic projectome (Fig. 4A; see Methods).

We then examined the correlation between the cortical expression of BF structure-

function detethering (cortical residual map from Fig. 2F) and cholinergic innervation

estimated from the average $[^{18}F]$FEOBV map using spatial spin tests[37]. Surprisingly, we

found that cortical areas exhibiting lower BF structure-function tethering, i.e., more

branching, exhibited a higher density of BF cholinergic input, i.e., higher VAChT

concentration (R=0.28, p_{spin}=0.02; Fig. 4B). We replicated these associations with three

other publicly available atlases of $[^{18}F]$FEOBV PET [38–40] and found similar strong positive

relationships (Supplemental Fig. 6).

Why might BF structural and functional connectivity diverge in cortical regions

more densely innervated by BF cholinergic input? One possibility is that cortical areas

expressing lower tethering between BF structural and functional connectivity tend to

receive inputs from more highly branched cholinergic neurons. Under this 'arborization

gradient' account, these cortical areas would exhibit strong BF functional connectivity

and dense VAChT expression from multiple converging collateral arbors, but relatively

weak structural connectivity due to greater diffusivity in the ascending tracts. To test

this hypothesis, we computed seed-based connectivity of the BF with each HCP-MMP

cortical parcel for both the rsfMRI (Pearson r-values) and dMRI (streamline counts)

datasets, and then projected these to the cortical surface. For the cortical estimate of BF

seed-based connectivity in each modality, we examined its spatial relationship with

cortical VAChT concentration. Consistent with an arborization gradient, we observed a

significant positive relationship of cortical VAChT concentrations with BF functional

connectivity (R=0.501, p_{spin}=0.002), but not structural connectivity (R=-0.118,

p_{spin}=0.549; Fig. 4C). Moreover, the relationship of BF functional connectivity with

cortical VAChT was significantly stronger than that observed for BF structural

connectivity (average difference of 0.608 between correlation coefficients with 95% CI

[0.727, 0.493], p_{boot}<0.001, as revealed by bootstrap analysis), with the greatest

divergence between the two modalities in cortical parcels with higher VAChT

concentrations.

If the arborization of BF cholinergic neurons differs depending on the cortical

target of their projections, this pattern may reflect the cortical target's function

(unimodal versus transmodal) and biophysical constraints on the cholinergic projection

itself. The brain organizes its connections to optimize communication while minimizing

the biological resources required for these connections, or 'wiring cost'[41–43]. Neurons

with long-range projections which extend from one brain region to another therefore

often have fewer axonal branches than neurons with shorter-range projections. The BF

cholinergic neurons may therefore balance the total distance traveled by their

ascending axonal projections with the total number of axonal arborizations. To test this

hypothesis, we used the diffusion tractography data to compute the average white

matter fiber length from the BF ROI to each cortical parcel (Methods). The fiber length

estimates were then projected to the cortical surface (see Methods, Fig. 5A) and

compared to BF structure-function tethering using spin tests [37,44]. Consistent with a

distance/arborization tradeoff, we detected a significant negative relationship where

cortical areas receiving longer fibers tend to exhibit closer structure-function tethering

(R=-0.280, p_{spin}=0.027, Fig. 5B) and lower VAChT concentration (R=-0.483, p_{spin}=0.004,

Supplemental Fig. S6). When examining the separate relationships of BF structural

connectivity (stream-line counts) and functional connectivity (Pearson r-values) with

fiber lengths (Fig 5C), we found that cortical areas which receive longer fibers tend to

express higher streamline counts (R=0.455, p_{spin}=0.0003). This relationship was

significantly stronger than that observed between fiber length and resting state

correlations with the BF (R=-0.226, p_{spin}=0.455) with a difference of -0.771 between

correlation coefficients (95% CI [-0.664, -0.881], p_{boot}<0.001).

These findings are consistent with a pattern in which more individual BF neurons

(with high wiring costs) are needed to innervate distal cortical regions due to lower

branching. We therefore next computed an estimate of BF wiring cost[45,46] by multiplying

the average fiber length with the total number of streamlines received from the BF for

each MMP cortical parcel. In this fiber distance-weighted structural connectivity map

(Figure 6A), the further away and the stronger the structural connectivity, the larger the

wiring cost. Expectedly, the highest wiring costs were observed in cortical areas which

receive input from cholinergic neurons predicted to have the lowest branching, e.g.

primary visual and somatomotor cortex. These cortical areas also tend to have the

farthest geodesic distances from the BF (Figure 6B).

<u>Cellular evidence for a gradient of arborization in BF cholinergic neurons</u>

Thus far, the distance/arborization tradeoff observed in humans implies that the

branch complexity of individual cholinergic neurons is shaped by a combination of the

physical proximity and function of its cortical targets. However, a limitation of human *in*

vivo MRI is that it cannot resolve single cell axonal branching of cholinergic neurons. To

test the arborization gradient hypothesis more directly, we leveraged a whole brain

atlas of mouse BF cholinergic projections[2] derived from cell-type specific optogenetic

labeling of individual BF cholinergic soma and axonal projections (Supplemental Fig.

S7A). For each neuron, the targets of its axonal branches were labeled using the Allen

Mouse Brain Atlas[47], and classified according to whether any one of their branches

targeted transmodal (iso)cortical areas. We identified 7/50 neurons fitting this criterion

and extracted the annotations corresponding to their cortical targets from the 3D Allen

Mouse Brain Atlas (Fig 7A). The target areas include infralimbic and cingulate cortical

areas within the mouse homologue of the salience network[48,49]. The remaining 43

neurons target unimodal sensory, motor or subcortical areas.

We next counted the number of distinct brain-wide targets for each of the 50 BF

cholinergic neurons. There was substantial variation in branch counts, ranging from

neurons with only a single target to neurons with up to 12 different targets across the

brain (Fig 7B). A permutation test comparing the number of branches between these

two sets of neurons (transmodal targeting versus unimodal/subcortical targeting)

revealed significantly higher branch counts in the transmodal targeting subset (10k

permutation, t=3.2, p_{perm}=0.004). To compare the distributions of BF neuronal branching

in mice with our metric of BF neuronal branching in humans (structure-function

tethering), we sorted the BF residuals (Figure 7C inset) from low to high across the 599

voxels comprising the full BF ROI. Consistent with mice, the distribution was right-

skewed with a small concentration of higher residual values and a long left tail of

smaller values (Fig 7C). For mouse and human, we split the respective distributions of

neuronal branching and voxel residuals into tertiles to examine the concentrations of

values (higher versus lower) relative to the total number of observations. For mice, the

highest tertile contained only a small percentage (4%) of neurons with > 8 branches (Fig.

7D). Similarly for humans, the highest tertile contained a relatively small percentage

(13%) of BF voxels (Fig. 7E). In both species, BF cholinergic neurons with very high

branching thus represent a relatively smaller proportion of the total neuronal

population. The shapes of the continuous distributions for single cell branch counts (Fig

7B) and for voxel residuals (Fig 7C) were also highly similar to one another (Spearman's

rank correlation = 0.975; Fig 7F), despite the fact that they originate from separate

species and measurement techniques.

To bridge the gap between measures of central cholinergic innervation in human

and mouse, we acquired *in vivo* [^{18}F]FEOBV PET data in wild-type (WT) mice (*n*=11) and

quantified VAChT concentrations in the salience network regions (Fig. 7A) identified by

the prior optogenetic tracing study[2] and a control region of interest comprising Allen

Mouse Brain Atlas annotations for the visual cortex (see Methods, Supplemental Fig.

S7B). In humans, we extracted [^{18}F]FEOBV PET measures of VAChT from the homologous

ventral attention and visual networks, as defined from the a priori Yeo [25] networks (Fig.

3B). In both mice and humans, we found that VAChT concentrations were significantly

higher in salience network hubs compared to the visual cortex (Fig. 7G; mouse:

t_{10}=11.54, p<0.0001; human: t_{12}=17.19, p<0.0001). These findings provide further

translational evidence that BF cholinergic neurons exhibit an arborization gradient

which is shaped by the function and physical distance of their cortical targets.

To emphasize the features capturing the BF cholinergic arborization gradient in

humans, we generated a cortical surface integrating measures of (1) BF structure-

function tethering, (2) cortical VAChT concentration, and (3) fiber lengths of BF white

matter projections (Methods, Fig. 8A). The highest convergence of these three features

selectively colocalizes midcingulo-insular hubs of the ventral attention network (white

boundaries). Altogether, our findings accord with a gradient of BF cortical cholinergic

innervation which captures both modular (more neurons, fewer branches) and diffuse

(fewer neurons, more branches) properties of functional integration[5], depending on the

subregional point of origin within the BF and the cortical targets (Fig. 8B).

Discussion

We examined how the structural organization of cholinergic BF projections

relates to their functional integration in the human and mouse cortex. Our findings,

derived from multimodal in vivo brain imaging and cell type specific tracing of BF

cholinergic axons, imply that the branch complexity of cholinergic projections may

reflect properties of the cortical areas they target. Moving up the cortical hierarchy,

neuronal populations with both increasingly diverse repertoires of cortical-cortical

connectivity and shorter projection distances from the BF receive input from

increasingly branched BF cholinergic neurons.

We considered the spatial relationship of the BF arborization gradient (defined

from the residuals between BF structural and functional connectivity) to an established

set of the brain's core resting state networks[25]. Our findings indicate a pattern of

cortical cholinergic innervation where shifts in axonal branching align spatially with

boundaries of cortico-cortical network hubs. Cholinergic neurons with the highest

arborizations target hubs of the midcingulo-insular network. Moving down this

arborization gradient, frontoparietal and temporal network hubs exhibit an innervation

profile of intermediate branching. Finally, cholinergic neurons with the fewest arbors

per cell appear to preferentially target unimodal sensory cortices. In this hierarchically

organized projection pattern, cholinergic neurons with fewer branches would need to

be more numerous than those with many branches in order to provide coverage of an

equivalent cortical surface area. Consistent with this prediction, cortical areas which

receive higher numbers of BF streamlines tend to be farther away from the BF in terms

of fiber lengths (Fig. 5C). Moreover, when we split estimates of axonal branch counts

into tertiles in both mouse (cells) and human (voxels), the lowest tertile comprised >60%

of observations (Fig. 7D,E), while the uppermost tertile comprised <15%. Hence, the BF

appears to be populated by a significantly larger proportion of neurons with relatively

fewer branches.

What is the functional significance of a cholinergic arborization gradient in this

hierarchical cortical architecture? Neuroimaging work examining the functional and

structural connectivity of the brain's cortico-cortical networks has consistently identified

the midcingulo-insular in high level selection and enhancement of both exogenous

(sensory) and endogenous sources of input[18–26]. These two streams of information are

thought to reflect a fundamental division of labor in the brain's network organization.

Support for this division comes from work demonstrating that exogenous and

endogenous networks tend to be functionally anticorrelated with one another[50–54],

reflecting their competitive interactions for representational dominance of the outside

sensory world versus internal mental content. The midcingulo-insular areas are unique

in that they exhibit positive temporal correlations with networks in both the exogenous

and endogenous processing streams, implying a superordinate role in selecting between

the two[26,55–57]. We also recently provided meta-analytic evidence that task-related

midcingulo-insular activations, as measured by fMRI, exhibit among the strongest

patterns of pharmaco-modulation by cholinergic agonists and task-related co-

activations with the BF[58]. The profile of BF cholinergic arborization and strength of its

modulatory regulation on midcingulo-insular cortex provide further evidence of its

privileged supervisory status.

Cortical cholinergic signaling is thought to play a central role in promoting the

selection and processing of relevant stimuli while suppressing competing irrelevant

information[5,59–61]. Parallel lines of research in mouse and non-human primates has

started to uncover the cortical circuit and computation which enable this core property

of brain function[62]. The BF cholinergic inputs form an integral component of a canonical

disinhibitory microcircuit expressed throughout the cortex, composed of pyramidal

neurons and multiple classes of interneurons[63–71], possibly organized at the columnar

scale[72,73]. Targeted release of acetylcholine in this microcircuit may bias mutually

suppressive responses of neuronal populations competing for attentional resources

[62,74–76], allowing one population to dominate over others. Moving up the cortical

hierarchy, individual cholinergic neurons may require larger arborizations to bias

competitive responses across increasingly large and spatially distributed populations of

cortical neurons. We speculate that coalitions of BF cholinergic neurons may coordinate

the release of acetylcholine[77,78] along this arborization gradient. These transient

coordinated patterns of signaling may act to flexibly segregate[79] assemblies of

neurons[80-83] across the cortical hierarchy to form coherent attentional episodes [84].

A diversity of branch complexity in BF cholinergic neurons may also account for

differences in their vulnerability to aging and disease. Cell type specific labeling and

transcriptomic analyses examining morphological and functional properties which

increase a neuron's vulnerability to age-related neurodegenerative disease such as AD

have consistently demonstrated large axonal projections as a key risk factor [4,85-87]. The

observed decreased structure-function tethering in the postero-lateral BF, and in

particular the NbM, is consistent with neurons exhibiting large arborizations. This

morphofunctional property of NbM cholinergic neurons may increase their vulnerability

to dysfunction in the aging brain. In parallel, our observation that ventral attention

network may receive input from the most highly branched NbM cholinergic neurons

implies that these cortical areas might exhibit higher vulnerability to dysfunctional

cholinergic signaling in the aging brain. It is also notable that the BF cholinergic neurons

with fewer arborizations, which our findings suggest primarily target the primary and

somatosensory cortices, constitute projection zones which are relatively spared by

pathology in early stages of AD [88].

Our findings are subject to several important methodological considerations.

First, the basal forebrain is a small subcortical structure with poorly defined anatomical

boundaries. We therefore used a probabilistic atlas to localize its constituent nuclei.

However, when using probabilistic BF atlases in combination with data collected at

spatial resolutions typical of 3T structural (1.5 mm^3) and functional MRI (3 mm^3),

aliasing of adjacent structures has been shown to systematically overestimate the BF

gray matter[89]. To mitigate this issue, we used optimized MRI protocols to acquire high

spatial resolution dMRI (1.05 mm^3) and rsfMRI (1.6 mm^3) at 7T [90,91]. Second, our

measures of structural connectivity were estimated using streamline tractography on

diffusion-weighted imaging, which can be susceptible to false positives and negatives in

certain brain areas[89]. It is therefore possible that the regional variation in BF structure–

function correspondence is partly explained by regional variation in tractography

performance. Another concern is the susceptibility-related spatial distortions near the

BF region for fast readout scans, such as those used for the rsfMRI acquisitions by the

HCP. Although corrected for using a separately acquired field map, these spatial

distortions might lead to suboptimal probing of BF voxels in such data with possible

contamination from white matter (WM) tissue and cerebro-spinal fluids (CSF). To limit

the impact of the latter on the functional time series analysis, additional denoising using

the average WM and CSF timeseries was performed.

In sum, we demonstrate that multimodal gradients of BF connectivity reveal

spatially inhomogeneous patterns of structure-function tethering in the cortex, with the

lowest tethering in mid-cingulate and anterior insular cortical areas involved in salience

detection and allocation of attentional resources throughout the brain. These cortical

areas tend to be located proximal to the BF and receive disproportionately higher

concentrations of cholinergic innervation. Our findings conform to a model in which this

multimodal BF gradient is shaped in part by axonal branch complexity.

Materials and Methods

We used high-resolution minimally pre-processed 7T MRI HCP data (n=173)[27]

and the existing stereotactic atlas of the BF [28] to build structural and functional

connectomes. Any further pre- and postprocessing was done on the high-performance

computing cluster of the Digital Research Alliance of Canada. Workflows were built

using Snakemake[92] with the full workflow available on GitHub (see data and code

availability for specifics). Individual connectomes were averaged and reduced to a 2-

dimensional *M*-by-*N* matrix describing the pairwise connectivity strength between *M* BF

ROI voxels and *N* cortical regions[29]. The BrainSpace toolbox[32] was used to capture the

gradients which, as well as any further analysis, was done using Jupyter Notebooks [93].

<u>Human Data Acquisition</u>

High-resolution 7T dMRI and rsfMRI data were downloaded from the HCP data

repository [17]. We used the minimally pre-processed data (described in ref [27]) consisting

of 173 healthy subjects (69 male, 104 female) aged 22 to 35 years. The dMRI images

were collected with a 1.05 mm^3 isotropic voxel size, TR=7000 ms, TE=71.2 ms, b-

values=1000, 2000 s/mm^2 , FOV=210 x 210 mm^2. Resting-state fMRI images were

collected with a 1.6 mm^3 isotropic voxel size, TR=1000 ms, TE=22.2 ms, FOV=208 mm^2,

spanning 4 runs of 16-minute duration each, per subject. For anatomical imaging, two

T$_1$-weighted (T$_1$w) scans were obtained using a three-dimensional (3D) magnetization-

prepared rapid gradient-echo (MPRAGE)[94] sequence with identical geometries and a 0.7

mm^3 isotropic voxel size. Full details of the acquisition parameters can be found in the

HCP S1200 release reference manual [95].

<u>Basal Forebrain Mask</u>

The BF ROI was created using the existing stereotactic atlas of the BF [28]. This

stereotactic BF atlas is based on histological sections obtained from 10 postmortem

brains. The magnocellular cell groups were delineated in each slice, 3D reconstructed

and warped into the MNI single-subject reference space[96]. The atlas consists of 4

subregions of the BF defined in the nomenclature: Ch1-2, Ch3, Ch4, and Ch4p[97]. For

each subregion, a stereotactic probabilistic map has a range of 0 to 10 indicating the

number of brains containing the specific magnocellular cell groups in the given voxel.

Note that this atlas is not left, right symmetrical; hence, our BF ROI is asymmetrical. Our

BF ROI is created by thresholding these subregion masks to 50% first and then

combining all to get a mask covering full BF. This BF ROI mask was then warped into

MNI152 non-linear 6th generation atlas (MNI152Nlin6Asym) [96].

<u>Structural Connectivity Reconstruction</u>

Diffusion tractography was performed to get a connectivity matrix for diffusion

data. As part of the minimal preprocessing pipeline data release, all subjects underwent

FreeSurfer processing (v5.3.0-HCP)[98]. The BF ROI mask was then first resampled and

transformed to the individual subjects' minimally preprocessed volume space (0.7mm^3).

Volumetric cortical labels were built by mapping the HCP-MMP 1.0 surface

parcellation[29] using Connectome Workbench's ribbon-constrained *label-to-volume-*

mapping function and FreeSurfer-derived surfaces. The BF ROI voxels were used as

seeds, and the 180 cortical regions in each hemisphere were combined and used as

targets to perform probabilistic tractography using FSL's *probtrackx* [99] with 5000

streamlines per BF ROI voxel. The resulting probability maps (Supplemental Fig. 1C) in

the BF quantified the number of streamlines that reached each target. The maps were

resampled to MNI space[100] and 1.6 mm^3 resolution to match the functional connectivity

data and reduced to a 2-dimensional *M*-by-*N* matrix, where *M* represents the voxels in

the BF ROI (599 voxels) and *N* is the cortical targets (180 each hemisphere) with their

corresponding number of streamlines. Additionally, the 'wiring cost' connecting the BF

ROI with the cortex was calculated by multiplying the number of streamlines with their

average length for each cortical target (Gollo et al. 2018). The *M*-by-*N* connectivity

feature matrix, as well as cortical wiring cost map for all 173 subjects were averaged to

calculate the gradients and wiring cost, respectively.

<u>Functional Connectivity Reconstruction</u>

First, the BF ROI mask in the minimally preprocessed volume space was

resampled to the 1.6 mm^3 isotropic voxel size of the rsfMRI data and added to the

subject's subcortical parcellation. A functional connectivity matrix was then created for

each subject by calculating the temporal correlation between BF voxels and cortical

ROIs. All four runs (i.e., two sets of 16 min. runs with posterior-to-anterior and anterior-

to-posterior phase-encoding) of the minimally preprocessed and ICA-FIX denoised

rsfMRI data[101] were used. Since the BF ROI is not included in the dense timeseries

provided by HCP, these were regenerated using the updated subcortical parcellation to

include the BF ROI voxels for further processing. Subsequent processing included ROI-

constrained subcortical smoothing to match the cortical sampling density using the

scripts provided by HCP [98], as well as additional signal filtering (i) based on the average

WM and CSF timeseries using *ciftify* [102] and (ii) by applying a Wishart filter as proposed

previously[103,104] to selectively smooth unstructured noise more than the structured

blood   oxygenation   level-dependent   signal.   Average   cortical   ROI   timeseries

(concatenated across runs) were then extracted using the HCP-MMP 1.0 surface

parcellation[29]. The 2-dimensional *M*-by-*N* functional connectivity matrices were

constructed by calculating the Pearson's correlation coefficient for each BF ROI voxel

(*M*) to each of the cortical parcels (*N,* 180 each hemisphere) and averaged over all

subjects to calculate group-wise gradients.

Gradient Calculation

526    Connectivity gradients were calculated using the BrainSpace toolbox[32]. Group

527 averaged connectivity matrices were used as input to the *GradientMaps* function, using

528 the normalized angle kernel and diffusion map embedding approach. This nonlinear

529 dimension reduction method transforms the connectivity matrix into a low-dimensional

530 representation to construct connectivity gradients and their corresponding lambda

531 values (i.e., explained variance)[105]. BF voxels that are characterized by similar

532 connectivity patterns will have a gradient value closer together, whereas voxels with

533 litter or no similarity are farther apart. These gradients were then mapped back onto

534 the BF voxel space to visualize continuous transitions in functional and structural

535 connectivity patterns (Supplemental Fig. 2A).

536    In addition, gradient-weighted cortical maps were created by multiplying each

537 row of the BF-cortical connectivity matrix with the corresponding gradient value of that

538 BF voxel [106] (Supplemental Fig. 2B). The distribution of cortical gradient-weighted values

539 was then decomposed into seven functional networks[25] using the HCP-MMP 1.0

540 parcellation-based 7 Yeo networks as defined in ref [25,107]. These include the visual,

541 somatomotor, dorsal attention, ventral attention, limbic, frontoparietal, and default

542 mode network.

543    Residual values (structure-function tethering) for each of the BF voxels and HCP-

544 MMP parcels were calculated based on the regression between the structural against

545 the functional gradients for the BF and gradient-weighted cortical maps, respectively.

546 <u>Human [^{18}F]FEOBV PET</u>

[18F]FEOBV PET acquisition and preprocessing is described in Kanel et al [108]. Each

individual [18F]FEOBV PET image was intensity normalized to the subject's supratentorial

white matter uptake to create a parametric DVR [18F]FEOBV PET image[36]. The original

PET atlases were transformed to *10k_fsavg* surface-space and parcellated to HCP-MMP

1.0[29]. The values of each cortical parcel encoding the relative concentration of

cholinergic nerve terminals were rescaled[109] and visualized on an inflated surface (Fig.

4A). Additional [18F]FEOBV maps[38,39] were obtained from the Neuromap toolbox[44] to

examine the reproducibility of our results.

<u>Mouse [18F]FEOBV PET</u>

All small-animal imaging procedures were conducted in accordance with the

Canadian Council of Animal Care's current policies and were approved by the University

of Western Ontario's Animal Care Committee (Animal Use Protocols: #2016-104, #2020-

163) and the Lawson Health Research Institute's Health and Safety board.

High-resolution 9.4T MRI and [18F]FEOBV PET data were acquired at the Centre

of Functional and Metabolic Mapping (Agilent Animal MRI Scanner, Bruker) and the

Lawson Health Research Institute's Preclinical Imaging Facility (Inveon DPET, Siemens

Healthineers), respectively. Data were acquired in 11 (5 male, 6 female) WT mice

(VAChT$^{flox/flox\,110}$; C57BL/6J) at 6 months of age.

In vivo dynamic [18F]FEOBV PET data were acquired as 150-minute list-mode

emission scans, performed with a timing window of 3.432 ns and a 350–640 keV

discrimination energy range. Mice were anesthetized (1.5-2.0% isoflurane in 1.0 L/min

$O_{2\ (g)}$) and then injected intravenously with a ~20 Megabecquerel (MBq) dose of

$[^{18}F]$FEOBV. Data were binned into consecutive frames of increasing duration (n_{frames} =

43), consisting of 15 frames of 40 seconds, followed by 28 frames of 300 seconds. An

OSEM3D algorithm with two iterations and 18 subsets was used to reconstruct

$[^{18}F]$FEOBV PET images with the following parameters: matrix dimensions: 128 mm ×

128 mm × 159 mm; voxel resolution: 0.776 mm × 0.776 mm × 0.796 mm.

Parametric volume of distribution (V_T) images were estimated for each mouse by

fitting the whole-brain $[^{18}F]$FEOBV time-activity curves with the multi-time point

graphical analysis methodology described by [111], where an image-derived input function

(IDIF) served as the reference. The IDIF was obtained from a volume of interest placed in

the lumen of the left ventricle of the mouse heart [112], and the start of the linear portion

(t*) was determined from the curve fitting, where t* = ~11.33 minutes.

Pre-processing of mouse $[^{18}F]$FEOBV V_T images was performed using SPM12

(https://www.fil.ion.ucl.ac.uk/spm/software/spm12/) in MATLAB R2020b. Preparation

of $[^{18}F]$FEOBV V_T images included resizing, centering, and re-orienting the images to

follow the right-hand coordinate system (RAS). Each V_T image was then coregistered to

its corresponding native space MRI image using normalized mutual information. Finally,

all $[^{18}F]$FEOBV V_T images were cropped to the native space MRI bounding box

dimensions and nonlinearly warped to the study-specific anatomical mouse brain

template (see below). To parcellate mouse $[^{18}F]$FEOBV V_T images, we performed non-

linear registration of the study-specific mouse brain template to the annotated images

from the Allen Mouse Brain Atlas[47].

For anatomical MRI, mice were anesthetized (1.5-2.0% isoflurane in 1.5 L/min O_2

$_{(g)}$) and then positioned in the center of a 30 mm RF volume coil (Bruker). Four iterations

of magnetization transfer (MT)-weighted spoiled gradient-recalled echo (GRE) images

were acquired using a fast low angle shot 3D pulse sequence with the following

parameters: FOV = 16 mm x 16 mm x 10 mm; matrix dimensions: 128 x 128 x 8; voxel

resolution = 0.125 mm^3, slice thickness = 10 μm; TR = 30 ms; TE = 2.77 ms; FA = 9°; off-

resonance saturation = 4.5 kHz (Gaussian pulse = 10 ms duration); effective FA = 900°[113].

Pre-processing of mouse anatomical brain images was performed using SPM12

(https://www.fil.ion.ucl.ac.uk/spm/software/spm12/) in MATLAB R2020b. For each

mouse, the anatomical brain images (*n*=4) were first averaged, then resized, centered,

and re-oriented to follow the RAS. Using normalized-cross correlation, the images were

then coregistered to the mouse brain template from ref [114], bias corrected using

MICO[115], and then segmented using tissue prior probability maps for gray matter, WM,

and CSF tissue compartments. For each mouse, the tissue segments from this step were

combined to mask out the surrounding skull and tissue. Finally, the gray and white

matter segmentations were used to create nonlinear transformations for diffeomorphic

registration to a population specific average template using geodesic shooting [116].

<u>Geodesic Distance</u>

Geodesic distance along the cortical surface was calculated using the geodesic

library (https://github.com/the-virtual-brain/tvb-gdist) based on the algorithm that

approximates the exact distance along the shortest path between two nodes (or

vertices) on a triangulated surface mesh[117]. An average BF seed node was created for

the left and right hemispheres separately by (i) projecting the BF mask onto the

59k_fs_LR white matter surface of the individual subjects using Connectome

Workbench's *volume-to-surface-mapping* function, (ii) averaging across all subjects to

get a probability map, (iii) resampling to the *10k_fsavg* surface-space as suggested by

the HCP study (https://wiki.humanconnectome.org) and (iv) then by thresholding at 0.5

to obtain a final binary BF seed on the cortical surface. A distance value was then

assigned to each cortical vertex based on the minimum geodesic distance along the

10k_fsavg pial surface to the BF seed node to avoid the medial wall. To match with the

resolution of the cortical connectivity results, the geodesic distance map was

parcellated using the HCP-MMP 1.0 atlas as implemented in the neuromaps toolbox [44],

and rescaled to values between 0 and 1 [109].

<u>Statistical analyses</u>

Permutation tests with surrogate maps[118] were used to compute statistical

significance for the BF gradients and the distribution of residuals. BF gradient values for

structural and functional connectivities were first rescaled between 0 and 1 and

Euclidean distance was used to calculate the distance matrix among all voxels within the

original BF ROI[109]. Variograms were then permuted (N=1000) using the *SurrogateMaps*

function implemented in the BrainSpace toolbox [32]. Parameters were adjusted in the

case of a suboptimal fit compared to the empirical data (pv=60, random_state=1234).

The final variograms were used to build and compare null probability for the mean and

CoV between BF subregions.

To assess the stability of structural and functional connectivity gradients and the

gradient of their tethering, we performed split-half and leave-one-out cross-validation

analyses across the 173 individuals in the sample (Supplemental Fig. 3). For each split, or

subject left out, the model was trained on the remaining individuals, and the predicted

gradient was compared to the observed gradient for the left-out individual.

Spin tests[37], as implemented in the neuromaps toolbox [44], were used to compare

cortical maps based on N=10k permuted maps. All cortical maps were parcellated using

the HCP-MMP 1.0 atlas [32] and values were rescaled between 0 and 1 [109]. In addition to

the spin tests, a bootstrapping analysis was performed to quantify the difference

between structural and functional connectivity and their correlation with [^{18}F]FEOBV

PET maps and fiber length. Here, bootstrapping was applied 10k times (by randomly

selecting sets of regions during each iteration) to build a null probability of correlation

coefficients for statistical inference based on the observed difference between the two

modalities.

<u>Data and code Availability</u>

The Human Connectome (HCP) project dataset is available at

http://www.humanconnectomeproject.org/. The workflow for reconstructing structural

connectivity matrix from the HCP data and a subcortical region of interest is available at

https://github.com/sudesnac/diffparc-smk [119]; and the functional connectivity workflow

at https://github.com/khanlab/subcorticalparc-smk [120]. All other code used to conduct

the reported analyses and create the figures are available at

https://github.com/sudesnac/HumanBF-Connectivity [121].

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Fig. 1: A 3D view of histologically defined BF subdivisions defined by Zaborsky et al[28] projected on a glass brain. The anteromedial nuclei are displayed in yellow and posterolateral nuclei in purple.

Fig. 2. Basal forebrain (BF) gradients of structural and functional connectivity. (A) Scree plots showing the variance explained by each gradient of structural (left) and functional connectivity (right). Gradients falling above the knee-point[34] are denoted as red. (B) The BF atlas projected into 599 voxels color coded according to a priori histologically defined anteromedial or posterolateral nuclei. (C) The first principal gradient of the BF based on structural (sG1; left) and functional (fG1; right) connectivity both revealed an anteromedial to posterolateral axis. The lower bound of gradient values is represented by blue while the upper bound is represented by red. (D) Gradient-weighted cortical maps corresponding to sG1 (left) and fG1 (right). (E) Residual values encoding tethering between structural and functional connectivity at each BF voxel. Darker green values indicate lower tethering between structural and functional connectivity. (F) Gradient-weighted cortical maps corresponding to structure-function tethering.

Fig. 3. Distributions of basal forebrain (BF) and cortical residuals. (A) Histogram plot showing the distribution of residuals within the *a priori* histologically defined anteromedial (Ch1,2,3) and posterolateral (Ch4,4p) BF nuclei. The minimum and maximum values correspond to the same scale as in Fig 2E. (B) Histogram plot showing the distribution of residuals within each of the 7 intrinsic resting state networks identified by Yeo et al[25]. The color-coding for different networks is based on the original parcellation. The minimum and maximum values correspond to the same scale as in Fig 2F.

Fig. 4. Multimodal gradients of basal forebrain (BF) connectivity in relation to *in vivo* molecular imaging of VAChT with [¹⁸F]FEOBV PET. (A) Average VAChT concentrations for 13 cognitively normal younger adults reveal the density of presynaptic cholinergic terminals across the cortical surface. (B) The spatial relationship of cortical VAChT concentrations (panel A) with the cortical map encoding BF structure-function tethering (Fig 2F). Each point in the scatter plot represents cortical parcels based on HCP-MMP 1.0 parcellation[29] color coded according to the Yeo networks[25] (Fig. 3B). (C) The spatial relationship of cortical VAChT concentrations (panel A) with seed-based connectivity for the BF structural (blue) and functional (orange) datasets.

Fig. 5. Multimodal gradients of basal forebrain (BF) connectivity in relation to diffusion tractography estimates of white matter fiber lengths. (A) Average white matter fiber lengths for 173 cognitively normal younger adults reveal cortical areas receiving the longest BF projections located in the primary visual and somatomotor cortices. (B) The spatial relationship of BF white matter fiber lengths (panel A) with the cortical map encoding BF structure-function tethering (Fig 2F). Each point in the scatter plot represents cortical parcels based on HCP-MMP 1.0 parcellation[29] color coded according to the Yeo networks[25] (Fig. 3B). (C) The spatial relationship of BF white matter fiber lengths (panel A) with seed-based connectivity for the BF structural (blue) and functional (orange) datasets.

Fig. 6. Cortical wiring costs and geodesic distances for basal forebrain (BF) projections. (A) Wiring costs represent the BF white matter streamline counts for each cortical parcel weighted by their average fiber length[45] (Fig 5A). Wiring costs are highest in primary visual and somatomotor cortices. (B) Geodesic distances from the BF to each parcel on the cortical surface.

Fig. 7. A cortical gradient of basal forebrain (BF) arborization in mouse and human. (A) In a whole brain atlas of mouse BF cholinergic neurons[2] (n=50) , 7/50 labeled neurons targeted transmodal (iso)cortical areas (color coded on sagittal, coronal and 3D renders of the Allen Mouse Brain Atlas[47] common coordinate framework v3. (B) The number of distinct brain-wide targets for each of the 50 BF cholinergic neurons (branch counts) were ranked low to high (black bars denote neurons targeting transmodal areas, panel A). (C) The residual values encoding BF structure-function tethering in humans across 599 voxels of the BF region-of-interest (inset) were ranked low to high. (D and E) For mouse and human, distributions of neuronal branching and voxel residuals were split into tertiles to examine the concentrations of values (higher versus lower) relative to the total number of observations. (F) The rank ordered residuals across 599 BF voxels (downsampled to 50, blue) are superimposed over the branch counts of 50 mouse neurons (light gray) shown in panel B. (G) Comparisons of *in vivo* [18F]FEOBV PET data between ventral attention and visual networks (human) and between salience network regions (panel A) and a visual cortical control ROI (wild type mice, *n*=11).

Fig. 8. Multimodal map of the human basal forebrain (BF) cholinergic projectome. (A) A cortical surface emphasizing the arborization gradient of BF cholinergic neurons was constructed by summing the intensity normalized maps encoding (1) BF structure-function tethering (higher values indicate lower tethering), (2) cortical VAChT concentration (higher values indicate higher VAChT), and (3) inverted fiber lengths of BF white matter projections (higher values indicate shorter length). The highest convergence of these three features selectively colocalizes midcingulo-insular hubs of the ventral attention network (white boundaries derived from Yeo et al[25]). (B) Depending on the subregional point of origin within the BF (anteromedial or posterolateral) and cortical target, BF cholinergic neurons may exhibit either a modular (more neurons, fewer branches) or diffuse (fewer neurons, more branches) profile of arborization.