# Functional ultrasound imaging of stroke in awake rats.

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## Abstract

Anesthesia is a major confounding factor in preclinical stroke research as stroke rarely occurs in sedated patients. Moreover, anesthesia affects both brain functions and the stroke outcome acting as neurotoxic or protective agent. So far, no approaches were well suited to induce stroke while imaging hemodynamics along with simultaneous large-scale recording of brain functions in awake animals. For this reason, the first critical hours following the stroke insult and associated functional alteration remain poorly understood. Here, we present a strategy to investigate both stroke hemodynamics and stroke-induced functional alterations without the confounding effect of anesthesia, i.e., under awake condition. Functional ultrasound (fUS) imaging was used to continuously monitor variations in cerebral blood volume (CBV) in +65 brain regions/hemisphere for up to 3hrs after stroke onset. The focal cortical ischemia was induced using a chemo-thrombotic agent suited for permanent middle cerebral artery occlusion in awake rats, and followed by ipsi- and contralesional whiskers stimulation to investigate on the dynamic of the thalamo-cortical functions. Early (0-3hrs) and delayed (day 5) fUS recording enabled to characterize the features of the ischemia (location, CBV loss), spreading depolarizations (occurrence, amplitude) and functional alteration of the somatosensory thalamo-cortical circuits. Post-stroke thalamo-cortical functions were affected not only early after the stroke onset but were also altered secondarily and remotely from the initial insult. Overall, our procedure enables early, continuous, and chronic evaluations of hemodynamics and brain functions which, combined to stroke or other pathologies, aims to better understand physiopathologies toward the development of clinically relevant therapeutic strategies.

## Keywords

Awake rat,
Functional diaschisis,
Functional ultrasound imaging,
Ischemic stroke,
Thalamo-cortical circuit.

## Introduction

Stroke is a multifaceted and multiphasic pathology, complex to mimic under experimental conditions. Indeed, when compared to clinic, preclinical stroke models suffer from several limitations that narrow the experimental focus to few conditions[1–3]. Among these limitations, one can highlight the complexity to combine (i) imaging stroke in conscious animal models, (ii) addressing post-stroke brain functions and (iii) recording of hyperacute stroke hemodynamics, all crucial to design timely effective therapeutic strategies.

As first limitation, the use of anesthesia in preclinical studies seems to hamper the transition from animal to patient as most of stroke occurs in awake or sleeping patients[4,5], but rarely in sedated patients. Moreover, anesthetics disrupt the brain functionality, alterates neurovascular coupling[6,7], while differentially affecting metabolism, electrophysiology, temperature, blood pressure and tissue outcome by acting as neurotoxic or neuroprotective agents (see reviews[8–10]).

To date, only a few groups succeeded in inducing a stroke in awake rodents[11–14]. Moreover, post-stroke network and functional alterations have been addressed by few preclinical studies, providing evidence of functional network reorganization from minutes[15,16] to days[17–20] following stroke onset. However, these studies mostly focused on the cortical readouts and were unable to capture how deeper brain regions, like thalamic relays, were functionally and/or temporally affected remotely from the stroke insult (e.g., diaschisis)[21–23]. Furthermore, these studies were always conducted using various anesthetics (e.g., ventilated with halothane or isoflurane; medetomidine, urethane) known to differentially impact brain functions, as mentioned above.

Until recently, live monitoring of the hyperacute stroke-induced hemodynamics was restricted to few methods but often focused to the brain surface[13,24,25]. On the other hand, functional ultrasound (fUS), a recent neuroimaging modality measuring cerebral blood volume changes (CBV)[26–28], was successfully employed to measure brain functions of awake rodents[29–34], to address early post-stroke functional reorganization[16], and to track stroke-induced hemodynamics at the brain-wide scale (i.e., ischemia and spreading depolarization)[35]. However, no study has further exploited such strategies to combine together stroke hemodynamics and brain-wide functional alteration in awake rodents.

In this study, we report on the stroke induction and the alteration of somatosensory brain functions in awake rats. Using the latest improvements toward imaging of awake rodents[29,31,33] combined with chemo-thrombotic agent directly applied to the middle cerebral artery (MCA)[36,37], we were able to induce MCA occlusion (MCAo) in awake rats while capturing continuous hemodynamic changes, including ischemia and spreading depolarization, in +65 brain regions for up to 3hrs after stroke onset. Finally, we investigated on how somatosensory thalamo-cortical functional reponses were progressively altered from early (0-3hrs) to late post-stroke (5d) timepoints.

## Materials and methods

### Animals

The experimental procedures were approved by the Committee on Animal Care of the Katholieke Universiteit Leuven, following the national guidelines on the use of laboratory animals and the European Union Directive for animal experiments (2010/63/EU). The manuscript was written according to the ARRIVE Essential 10 checklist for reporting animal experiments[38]. Adult male Sprague-Dawley rats weighed between 250–400g (n=9; Janvier Labs, France) were used. During habituation rats were housed two per cage kept in a 12-hr dark/light cycle at 23°C with *ad libitum* access to water and controlled access to food (15g/rat/day). After the initial surgical procedure, rats were housed alone. See **Supplementary Table 1** reporting on animal use, experimentation, inclusion/exclusion criteria.

### Body restraint and head fixation.

The body restraint and head fixation procedures are adapted from published protocols and setup dedicated for brain imaging of awake rats[39–41]. Rats were habituated to the workbench and to be restrained in a sling suit (Lomir Biomedical inc, Canada) by progressively increasing restraining periods from minutes (5mins, 10mins, 30mins) to hours (1 and 3hrs) for one or two weeks. The habituation to head-fixation started by short (5 to 30s) and gentle head-fixation of the headpost between fingers. The headpost was then secured between clamps for fixation periods progressively increased following the same procedure as with the sling. For both body restraint and head fixation, the initial struggling and vocalization diminished over sessions. Water and food gel (DietGel, ClearH2O, USA) were provided for all body restraint and head-fixation habituation sessions. Once habituated, the cranial window for imaging was performed as described below (**Figure 1A-C**).

### Surgical procedures

Cranial window over the MCA: Rats were anesthetized with isoflurane (5% for induction, 2% for maintenance; Iso-Vet, 1000 mg/g, Dechra, Belgium) and fixed in a stereotaxic frame. The depth of anesthesia was confirmed by the absence of reflex during paw pinching. After scalp removal and tissue cleaning, a 1-mm$^2$ cranial window was performed at coordinates bregma +2mm and lateral 7mm, over the left distal branch of the MCA as reported in Brunner, Korostelev et al.[16]. A silicone plug (Body Double-Fast Set, Smooth-on, Inc., USA) was used to protect the window and ease the access to the MCA before the occlusion procedure. Then, a stainless-steel custom designed headpost was fixed with bone screws (19010-00, FST, Germany) and dental cement (Super-Bond C&B, Sun Medical Co., Japan) to the animal skull (**Figure 1B,** left) as previously described by Brunner, Grillet et al.,[33].

Cranial window for imaging: After recovery and habituation to head-fixation, a second cranial window was performed between bregma -2 to -4mm and 6mm apart from the sagittal suture (same anesthesia settings as the first cranial window; see above) following the procedure described in Brunner, Grillet et al.,[34] (**Figure 1B,** right). This cranial window aims to cover bilateral thalamo-cortical circuits of the somatosensory whisker-to-barrel pathway. A silicone plug was also used to protect the window and a headshield was added to secure it[29].

For both cranial windows, the dura mater was kept intact. After each surgery, rats were placed in their home cage and monitored until they woke up. Rats were medicated with analgesic (Buprenorphine, 0.1mg/kg, Ceva, France), anti-inflammatory (Dexamethasone, 0.5mg/kg, Dechra, Belgium) drugs injected directly after the surgery, at 24hrs and 48hrs after the surgery. An antibiotic (Emdotrim, 5%, Ecuphar, The Netherland) was added to the water bottle.

**Positionning.**
The mechanical fixation of the head-post ensures an easy and repeatabe positionning of the ultrasound probe across imaging session. The ultrasound probe is indeed fixed to a micromanipulator enabling light adjustements To find the plane of interest (containing both S1BF and thalamic relays: bregma - 3.4mm), we used brain landmarks (e.g., surface of the brain, hippocampus, superior sagittal sinus, large vessels). Note that as the headpost was carefully placed in the same position relative to the skulls landmarks (bregma and lambda), the position of the region of interest was minimal across animals

**Chemo-thrombotic stroke induction with ferric chloride solution.**
Once body restrained and head-fixed the silicone plug covering the MCA window was removed allowing the application of a drop of 20% ferric chloride solution (FeCl$_3$; Sigma Aldrich, USA) to the MCA[36,37] (**Figure 2**). Once the ischemia was visually detected using the real-time display of μDoppler images, the solution was washed out with saline to stop the reaction.

**Whisker stimulation paradigm.**
Two stimulation combs individually controlled by a stepper motor (RS Components, UK) were used to deliver mechanical 5-Hz sinusoidal deflection of ~20° of amplitude for 5s, alternatively to left and right whisker pads. For each whisker pad, trials were spaced by a period of 1min and 20s without stimulation. Thus, the effective delay between two stimulations delivered to the same whisker pad is 80 seconds from start to start. The blocks of stimulation were continuously delivered throughout the imaging sessions, time-locked with the fUS acquisition (**Figure 3**) to allow the subsequent analysis of hemodynamic responses within the fUS time-series.

**Functional ultrasoung imaging acquisition.**
Coronal μDoppler images were acquired using a 15-MHz linear probe composed of 128 piezo-elements spaced by 100μm (L22-14Vx, Vermon, France) connected to a dedicated ultrasound scanner (Vantage 128, Verasonics, USA) and controlled by a high-performance computing workstation (fUSI-2, AUTC, Estonia). This configuration allowed to image the brain vasculature with a resolution of 100μm laterally, 110μm in depth, and 300μm in elevation[34]. The ultrasound sequence generated by the software is adapted from Macé et al.[31] and Brunner, Grillet et al.[34] Ultrafast images of the brain were generated using 5 tilted plane-waves (-6°, -3°, +0.5°, +3°, +6°). Each plane wave is repeated 6 times and the recorded echoes are averaged to increase the signal to noise ration. The 5 plane-wave images are added to create compound images at a frame rate of 500Hz. To obtain a single vascular image we acquired a set of 250 compound images in 0.5s, an extra 0.3s pause is included between each image to have some processing time to display the images for real-time monitoring of the experiment. The set of 250 compound images has a mixed information of blood and tissue signal. To extract the blood signal we apply a low pass filter (cutt off 15Hz) and an SVD filter that eliminates 20 singular values. This filter aims to select all the signal from blood moving with an axial velocity higher than ~1mm/s. To obtain a vascular iimage we compute the intensity of the blood signal i.e., Power Doppler image. This image is in first approximation proportional to the cerebral blood volume[26,28]. Overall, this process enables a continious acquisition of power Doppler images at a frame rate of 1.25Hz during several hours. Then,

167 the acquired images are processed with a dedicated GPU architecture, displayed in real-time for data
168 visualization, and stored for subsequent off-line analysis[34].

169
170 **fUS data processing and analysis**
171 The data processing was performed following the procedure described by Brunner, Grillet et al.,[34].

172
*Registration to Paxinos rat brain atlas and data segmentation*
We registered the fUS dataset to a custom digital rat brain atlas used in Brunner et al.[35], using one
coronal plane (bregma -3.4mm) from the stereotaxic atlas of Paxinos[42]. The image of the brain
vasculature was manually translated and rotated to align it the coronal plane of the reference atlas. For
an accurate registration, we used landmarks such as the surface of the brain, hippocampus, superior
sagittal sinus, and other large vessels. If needed, the brain volume was scaled to fit the atlas outline.
The outcome of this registration procedure is an affine coordinate transformation : $\vec{r}' = M\vec{r} + \vec{a}$, where
$\vec{r} = (x, y, z)$ are the original coordinates image of the brain vasculature, M is the rotation and scaling
matrix and $\vec{a}$ the translation vector. The dataset was segmented into 69 anatomical regions/hemispheres
of the reference atlas (see **Supplementary Table 2**). The hemodynamic signals were averaged in each
area. The segmentation and the data processing were performed using an automated MatLab-based
pipeline. The software for data registration and segmentation is available in open-access[34].

185
*Relative cerebral blood volume (rCBV)*
We used the relative cerebral blood volume (rCBV, expressed in % as compared to baseline) to analyze
ischemia, transient hemodynamic events associated with spreading depolarizations (SDs) and
functional changes. rCBV is defined as the signal in each voxel compared to its average level during
the baseline period. After registration and segmentation, the rCBV signal was averaged in each
individual region.

192
*Analysis of stroke hemodynamics*
The extraction of the temporal traces from the ischemic area was performed based on the temporal
analysis of the rCBV signal in the primary somatosensory barrel-field cortex (S1BF). The detection of
hemodynamic events associated with SDs was performed based on the temporal analysis of the rCBV
signal in the retrosplenial granular (RSGc) and dysgranular (RSD) cortices of the left hemisphere
(ipsilesional). Hemodynamic events associated with SDs were defined as transient increase of rCBV
signal (+25%) detected with a temporal delay of <10 frames (i.e., 8s) between the two regions of interest,
validating both the hyperemia and spreading features of hemodynamic events associated with
spreading depolarizations[35,43–45]. This procedure allowed to measure the occurrence of hemodynamic
event associated with SDs over the recording period. Live recording of ischemia and spreading
depolarizations can be visualized in **Movie 1**.

204
*Activity maps*
Pre- and post-stroke recordings are reshaped in 40-s sessions, i.e., 50 frames, centered on the start of
the stimulation (at 20s), and averaged based on the whisker stimulation paradigm (left or right). In each
voxel, we compared signals along the recording in a time window before the stimulus onset and a time
window after stimulus onset using two-tailed Wilcoxon rank sum test. We obtained the z-statistics of the
test for each voxel, and consequently a z-score for the coronal cross-section. Mean activity maps for
left or right whisker stimulation (**Figure 3B** and **4A**) show z-score value calculated using a Fisher's
transform for all voxels across the coronal cross-section. Only voxels with a z-score>1.6 were
considered significantly activated (p<0.05 for a one-tailed test).

214
215 *Hemodynamic response time-courses*
216 The relative hemodynamic time course ΔrCBV was computed for each brain regions (after registration
217 and segmentation; **Figure 3C-D** and **4B**), as the rCBV change compared to baseline at each time point.
218 No additional filtering was used, and no trial was removed from the analysis.

219
*Statistical analysis*
Activated brain regions were detected from hemodynamic response time-courses using GLM followed
by t-test across animals as proposed in Brunner, Grillet et al.,[34]. The area under the curve (AUC) from
hemodynamic response time-courses was computed for individual trials in S1BF, VPM and Po regions,
for all the periods of the recording and for all rats included in this work. AUC were compared and
analysed using a non-parametric Kruskal-Wallis test corrected for multiple comparison using a Dunn's
test. Tests were performed using GraphPad Prism 10.0.1.

227
228 **Histopathology**
229 Rats were killed 24hrs after the occlusion for histological analysis of the infarcted tissue. Rats received
230 a lethal injection of pentobarbital (100mg/kg i.p. Dolethal, Vetoquinol, France). Using a peristaltic pump,
231 they were transcardially perfused with phosphate-buffered saline followed by 4% paraformaldehyde

(Sigma-Aldrich, USA). Brains were collected and post-fixed overnight. 50-μm thick coronal brain sections across the MCA territory were sliced on a vibratome (VT1000S, Leica Microsystems, Germany) and analyzed using the cresyl violet (Electron Microscopy Sciences, USA) staining procedure (see Open Lab Book for procedure). Slices were mounted with DPX mounting medium (Sigma-Aldrich, USA) and scanned using a bright-field microscope.

## Results
### Animals
Report on animal use, experimentation, exclusion criteria can be found in **Supplementary Table 1**. Rat #1 was excluded after the control session as the imaging window was too anterior to capture both cortical and thalamic responses. Rat #2 was excluded as hemodynamic responses were inconsistent during baseline (pre-stroke) period. Rat #9 showed early post-stroke reperfusion and was excluded from stroke analysis, the control session (pre-stroke) from Rat #9 was analyzed.

### Real-time imaging of stroke induction in awake rats.
We first developed a dedicated procedure for real-time imaging of stroke induction and associated evoked functional deficits in awake head-fixed rats (**Figure 1A**). Each rat was subjected to two cranial windows accessing independently the distal branch of the left MCA (**Figure 1B**, Left) and the selected brain regions to image (**Figure 1B**, Right). The latter was performed between bregma -2 and -4mm allowing for jointly monitoring the bilateral thalamo-cortical circuits of the somatosensory whisker-to-barrel pathway, including the ventroposterior medial nucleus of the thalamus (VPM) and the primary somatosensory barrel-field cortex (S1BF). Moreover, the selected coronal cross-section includes the posterior nucleus of the thalamus (Po), the reticular nucleus of the thalamus, and the ventral part of the zona incerta known for relaying information related to whiskers[46,47], and also direct efferent projections from the S1BF to other cortical and subcortical regions[48]. Prior to imaging sessions, rats were extensively trained to accept comfortable restraints in the experimental apparatus (**Figure 1C**), suitable for fUS recording of brain functions and stroke induction under awake conditions. After data acquisition, the coronal cross-section was registered and segmented on a custom-developed digital rat atlas[49] to provide a dynamic view of the changes in perfusion induced either by the stroke or evoked activity.

**Figure 1. Experimental procedure.**
**(A)** Workflow for brain imaging of awake head-fixed rats including, from left to right: animal preparation (habituation to the bench, implantation of cranial windows, training), functional ultrasound (fUS) imaging of stroke induction and brain functions, data processing, and histopathology. **(B)** Overview of the headpost implantation and cranial windows developed for combined MCAo (left) and brain imaging (right) under awake conditions. **(C)** Computer-aided design of the experimental apparatus where the animal is placed and secured in a suspended sling suit and the head fixed by the means of clamps holding the headpost implanted to the rat skull. A, Anterior; D, Dorsal; L, Left.

To overcome the limitations of conventional stroke models, we occluded the distal branch of the MCA by the mean of a chemo-thrombotic ferric chloride solution ($FeCl_3$)[36,37] while performing fUS imaging in awake rats (**Figure 2A**). It should be mentioned that the rats did not show any obvious signs of pain or discomfort (e.g., vocalization, aggressiveness) during the restrain period and occlusion procedure. The MCA occlusion (MCAo) was captured live with fUS and confirmed by the large drop of signal, i.e., ischemia, localized in the cortex of the left hemisphere (**Figure 2B, C**, **Movie 1** and **Supplementary Figure 1**) as shown with μDoppler image taken 3hrs and 5d after the stroke onset (dashed outline, **Figure 2B**, Top row). Bmode images accounting for the brain tissue echogenicity remain unchanged

early after stroke onset (3hrs) while showing focal hyper-echogenicity (dashed outline, **Figure 2B**, Bottom row) lately after stroke onset (5d) as a marker of focal lesion[50]. The stroke-induced hemodynamic changes have been continuously recorded for up to 3hrs after stroke onset, registered and segmented into 69 regions (**Supplementary Figure 1**). We first extracted the average change in rCBV ($\Delta$rCBV in %) in the S1BF cortex of the left hemisphere (blue region, **Figure 2B**) and detected an abrupt drop of rCBV down to ~40% of the baseline level after the occlusion of the MCA, followed by a progressive decrease of the rCBV to 30% of baseline level 3hrs after the stroke onset (**Figure 2C** and **Movie 1**). Second, we extracted the average rCBV change from a cortical region supplied by the anterior cerebral artery directly after the MCAo. The signal extracted from the retrosplenial granular cortex (RSGc; purple and black regions in **Figure 2B**) shows successive and transient increases of signal. It characterizes hemodynamic events associated with spreading depolarizations (SDs) in the left hemisphere (in purple; **Figure 2D** and **Movie 1**) while resulting in a slight and stable oligemia in the right hemisphere (in black; **Figure 2D** and **Supplementary Figure 1**). SD events were observed in the peri-ischemic territory of all rats subjected to MCAo and occurred in an ostensibly random fashion (**Figure 2E**); however, hemodynamic events associated with SDs showed a similar bell shape and time-course across animals (**Figure 2F**). On average, we detected 5 SD events per hour per rat. Finally, we stained brain slices 24hrs after MCAo and confirmed that $FeCl_3$-induced ischemia turned into tissue infarction (red delineation; **Figure 2G**).

**Figure 2. $FeCl_3$-stroke induction under awake conditions.**
**(A)** Front view representation of functional ultrasound (fUS) imaging during live chemo-thrombosis of the left MCA with $FeCl_3$ in awake head-fixed rats. **(B)** Set of typical coronal µDoppler images of the brain microvasculature (top row) and morphological Bmode images (bottom row) before stroke (left), 3hrs (middle), and 5d after stroke onset (right) from the same animal. µDoppler images (top left) were registered and segmented based on a digital version of the rat brain atlas (white outlines). Colored outlines (cyan, purple, and black) delineate regions of interest plotted in (C) and (D). The white dotted region of interest highlights the ischemia in µDoppler images (Top row) and tissue hyper-echogenicity in Bmode (Bottom row). **(C)** Temporal plot of the average signal ($\Delta$rCBV (%), mean±95%CI, n=5) in the barrel-field primary somatosensory cortex (S1BF, cyan) from the left hemisphere, affected by the MCAo. **(D)** Temporal plots of the average signal ($\Delta$rCBV (%)) in the retrosplenial granular cortex (RSGc) from the affected (purple) and non-affected hemisphere (black) from the same animal. **(E)** Occurrence of spreading depolarizations after MCAo. Each horizontal line represents one rat; each triangle marker depicts the occurrence of one spreading depolarization. **(F)** Temporal plots of the average signal change ($\Delta$rCBV (%), mean±95%CI, respectively black line and gray band) of hemodynamic events associated with spreading depolarizations (centered on the peak) for each rat (#1 to 5). **(G)** Typical rat brain cross-sections stained by cresyl violet to evaluate the tissue infarction at 24hrs after $FeCl_3$-induction occlusion of MCA. The infarcted territory is delineated in red. Scale bars: 1mm. D: Dorsal; L: left; R: right.

**Stroke-induced alterations of the thalamo-cortical functions.**

One hour before and during 3hrs after the occlusion of the MCA, rats received mechanical stimulation
of the whisker alternately delivered to the left and right pad using motorized combs (5-Hz sinusoidal
deflection, 20° amplitude, 5-s duration; **Figure 3A**) to capture the spatiotemporal dynamics of the
functional circuit. Before stroke, the sensory-evoked stimulations elicited a robust and statistically
significant functional response (z-score>1.6, see Material and Methods) for both left and right stimulation
(orange and green respectively; z-score maps; Pre-stroke panel, **Figure 3B** and **Movie 2**) with the
activity spatially confined in the contralateral dorsal part of the VPM and S1BF. The temporal analysis
of the somatosensory evoked responses in the contralateral hemisphere confirmed that VPM, Po, and
S1BF regions were significantly activated and for both left and right stimuli (****p<0.0001, ***p<0.001
and ****p<0.0001 respectively; Left panel, **Figure 3C**). We also detected significant increase of activity
in S2, AuD, Ect (****p<0.0001) and PRh (***p<0.001) cortices and VPL nucleus (**p<0.01; the list of
acronyms is provided in **Supplementary Table 2**), brain regions receiving direct efferent projections
from the S1BF[48,51,52], VPM or Po nuclei[53–55]. It is worth noted that no habituation or sensitization due to
the repetitiveness of whiskers stimulation was observed in cortical and subcortical regions over the pre-
stroke sessions (**Supplementary Figure 2**).
307
After the stroke, the activity map from the left pad stimulation elicited a similar response pattern as pre-
stroke; however, the right pad stimulation showed a total absence of functional response in the S1BF
cortex and a significant reduction of the response in the VPM (z-score maps; Post-stroke panel, **Figure
3B** and **Movie 2**). Over the 3hrs following stroke onset, functional responses to left whisker stimulation
were still detected in the cortical and thalamic regions of the contralateral (right) hemisphere; however,
functional responses to right whisker stimulation were only detected in subcortical nuclei (i.e., VPM, Po,
VPL), while attenuated when compared with the responses from the pre-stroke period and from the
other hemisphere (**Figure 3B, C**). Furthermore, no responses were detected at the cortical level (S1BF,
S2, and AuD; right panel, **Figure 3B, C**). A larger version of **Figure 3C** is provided in **Supplementary
Figure 3**.
318

**Figure 3. Early post-stroke alteration of whisker-to-barrel thalamo-cortical circuit.**
(**A**) Front view representation of functional ultrasound (fUS) imaging during repetitive stimulation of the left
(orange) or right whisker pad (green) with a mechanical comb in awake head-fixed rats. Whisker stimulations
were delivered alternately between left and right whisker pads before and early after MCAo. Each rat receives
45 stimuli per whisker pad each hour of imaging. (**B**) Average activity maps (z-score) from one rat depicting
evoked functional responses to either left (orange) or right whisker pads stimulation (green) registered with a
digital version of the rat Paxinos atlas (white outlines) and overlaid with the corresponding coronal µDoppler
image, before (left; Pre-stroke, average of 45 trials) and after stroke induction in the left hemisphere (right; Post-
stroke, average of 125 trials). (**C**) Region-time traces of the average hemodynamic changes (ΔrCBV(%)) in
response to right (green) or left whisker stimulation (orange) extracted from the contralateral hemisphere (left
and right, respectively) before (left; Pre-stroke, n=5, 45 trials/rat) and after stroke induction in the left hemisphere
(right; Post-stroke, n=5, 135 trials/rat). Brain regions are ordered by major anatomical structures (see
**Supplementary Table 2**). The vertical line represents the stimulus start. S1BF, S2, AuD, VPM, VPL and Po
regions are brain regions significatively activated (all pvalue<0.01; GLM followed by t-test). A larger version of
panel C is provided in **Supplementary Figure 3**. (**D**) Left, Average response curves from the S1BF, the VPM,

and Po regions before (Pre-stroke, black, n=5, 45 trials/rat), and from first to third hour after stroke induction (0-1hr, 1-2hr, 2-3hr Post-stroke, orange and green, n=5, 45 trials/hr/rat). Data are mean±95%CI. The vertical bar represents the whisker stimulus. Right, Statistical comparison of the AUC between pre-stroke and post-stroke response curves for S1BF, VPM, and Po regions (Non-parametric Kruskal-Wallis test corrected with Dunn's test for multiple comparisons; ns: non-significant; *p<0.05; **p<0.01; ***p<0.001; ****p<0.0001. See also **Supplementary Figure 4**). Scale bars: 1mm. D: Dorsal; L: left; R: right; Ctx: Cortex; Hpc: Hippocampus; Th: Thalamus; CPu: Caudate Putamen; HTh: Hypothalamus; S1BF: barrel-field primary somatosensory cortex; S2: Secondary somatosensory cortex; AuD: Dorsal auditory cortex; VPM: Ventral postero-medial nucleus of the thalamus; ; VPL: Ventral postero-lateral nucleus of the thalamus; Po: Posterior nucleus of the thalamus.

319
To better evaluate how the functional responses were affected by the stroke, we have divided the post-
stroke recording period into 3 sections of 1hr each and compared them with the 1-hr pre-stroke period
(**Figure 3D**). Temporal plots from the pre-stroke period showed robust increases in signal during the
stimulus in S1BF, VPM, and Po regions and high consistency between left and right stimuli (black plots,
**Figure 3D** and **Supplementary Figures 2-3**); fitting well the hemodynamic response functions as
previously observed[16,56]. Indeed, the hemodynamic responses were characterized by a quick increase
in signal during whisker stimulation reaching a peak after 4.0s at 18.2±1.3% (4.0s, 18.6±1.2%) of
baseline level for S1BF, 4.0s at 4.6±0.5% (3.2s, 5.8±0.7%) for VPM, and 2.4s at 2.9±0.7% (3.2s,
4.0±0.8%) for Po from the left stimulation (right, respectively; mean±95%CI) before slowly returning to
baseline level (black plots, **Figure 3D**).
330
During the first hour following the stroke onset, functional responses in the left hemisphere (i.e.,
ipsilesional) were abolished in the S1BF, S2, and AuD (0-1hr Post-stroke, ****pvalue<0.0001),
significantly decreased in the VPM (0-1hr Post-stroke, ***pvalue<0.001), and unchanged in Po and VPL
(0-1hr Post-stroke, ns; **Figure 3D**) when compared with the pre-stroke responses (Pre-stroke, black
plots, **Figure 3D**). Over the two following hours (i.e., 1-2hr and 2-3hr Post-stroke), the hemodynamic
responses captured in these regions remained similar as those detected during the first post-stroke hour
(green plots, **Figure 3D**).
338
Regarding the right hemisphere (i.e., contralesional), the functional responses of S1BF and VPM were
conserved during the first hour after the stroke onset (ns, 0-1hr Post-stroke; orange plots, **Figure 3D**).
During the two following hours, signal changes in S1BF show a significant and progressive decrease of
activity (1-2hr Post-stroke **pvalue<0.01, 2-3hr Post-stroke ****pvalue<0.0001; orange plots, **Figure
3D**; Similar observation were made for S2 and AuD) whereas responses in VPM remained stable during
the second hour post-stroke (1-2hr, ns) before significantly decreasing during the third hour (2-3hr Post-
stroke *pvalue<0.05; orange plots, **Figure 3D**). Finally, the functional responses in VPM and Po
remained unchanged over the 3hrs following the stroke onset (bottom panel, **Figure 3D**).
347
348  Activity maps, region-time traces of the 69 brain regions, mean and individual time-course for all trials
349  (left and right stimuli - including ipsi and contralateral traces), imaging timepoints (Control, Pre-Stroke,
350  Post-Stroke) for all the rats included in this work can be found in **Supplementary Figure 5.**
351
**Delayed alteration of the somatosensory thalamo-cortical pathway**
A secondary objective of this work was to evaluate the fUS ability to identify potential delayed functional
alteration within a few days after the initial injury. Two animals were imaged five days after the MCAo
following the same experimental, stimulation, imaging, and processing conditions as for the early post-
stroke session. As the number of rats imaged at this timepoint is limited to n=2, we did not performed
statistical analysis. Activity maps, region-time traces and individual trials for both right and lieft
stimulation (including ipsi and contralateral) for the each rats are provided in **Supplementary Figure 5**.
359
Five days after the MCA occlusion, we first placed the ultrasound probe over the imaging window and
adjusted its position (using micromanipulator) to find back the recording plane from Pre-Stroke session
using Bmode (morphological mode) and µDoppler imaging using brain vascular landmarks (i.e.,
vascular patterns, brain surface and hippocampus[34,35]; see **Figure 2B**). Functional responses to left
whisker stimulation were still detected in the right hemisphere (i.e., contralesional), at the cortical and
subcortical levels (orange; **Figure 4A**). As for the early post-stroke imaging period, the functional
responses to right whisker stimulation were only detected in the subcortical nuclei and not at the cortical
level (green; **Figure 4A**).

**Figure 4. Late post-stroke alteration of whisker-to-barrel thalamo-cortical circuit.**
**(A)** Activity maps (z-score; average of 45 trials) depicting evoked functional responses to left (orange) or right whisker pads stimulation (green) 5d after stroke induction. Z-score maps are registered with the Paxinos atlas (white outlines; Left) and overlaid with the corresponding coronal µDoppler image (Right). **(B)** Left; Average response curves to left and right whisker stimulation (orange and green; respectively) extracted from S1BF, VPM, and Po before (Pre-stroke, black, n=2, 45 trials/rat), 0-3hr (0-3hr Post-Stroke; light orange/green, n=2, 45 trials/hr/rat) and 5d after stroke induction (5d Post-stroke, dark orange/green, n=2, 45 trials/rat). Data are mean±95%CI. The vertical bar represents the whisker stimulus. Scale bars: 1mm. D: Dorsal; R: right; S1BF: barrel-field primary somatosensory cortex; VPM: Ventral postero-medial nucleus of the thalamus; Po: Posterior nucleus of the thalamus.

368
Second, we extracted and compared the temporal plots of the functional responses gathered 5d after
the stroke with the one obtained from the same two animals at the pre-stroke and 3hrs post-stroke
timepoints (**Figure 4B**). At this later time point, the functional responses in the left S1BF (dark green
plot, left panel, **Figure 4B.** Similar observation were made for the S2 and AuD) remained abolished
when compared with the pre-stroke period (black plot), while slightly increased when compared with the
3hrs post-stroke timepoint (green plot). The responses detected in the VPM 5d after the stroke onset
(dark green plot, left panel, **Figure 4B**) were largely decreased not only when compared with the pre-
stroke signal (black plot) but also with the 3hrs post-stroke trace (green plot). Interestingly, both the
amplitude and time-to-peak of the hemodynamic response function were very similar to those from the
early post-stroke signal (i.e., 3hrs post-stroke); however, the post-peak period was largely dampened in
the 5d post-stroke signal. A similar alteration of the hemodynamic response function was also observed
for the 5d post-stroke signal extracted from the Po nucleus when compared to the pre-stroke and 3hrs
post-stroke signals (left panel, **Figure 4B.** Similar observation were made for the VPL).
382
Regarding the right hemisphere (i.e., non-ischemic; right panel, **Figure 4B**), the S1BF functional
responses to left whisker stimulation were still reduced when compared with pre-stroke responses (black
plot) but remained similar to the traces detected at 3-hr post-stroke (orange plot, non-significant). As for
the left VPM, both the amplitude and time-to-peak of the hemodynamic responses from the right VPM
responses were consistent with pre-stroke and 3hr post-stroke values but the post-peak signal was
decreased (brown plot). The functional responses extracted from the Po and VPL did not show changes
when compared to pre-stroke and 3hrs post-stroke responses.
390
**Discussion**
With this proof-of-concept study, we document on the feasibility of the continuous brain hemodynamics
recording of a focal cerebral ischemia after MCAo in conscious rats. Using functional ultrasound
imaging, we were able to extract multiple parameters (i.e., ischemia, location and spreading
depolarization), characteristic of such cortical stroke. Then, we report on how the functional
sensorimotor thalamo-cortical circuit was altered at early and late post-stroke stages.
397
Compared to highly-invasive conventional strategies such as clipping or suturing[1,2], the FeCl$_3$ model
used here, is well suited to study stroke under awake conditions. Indeed, the use of FeCl$_3$ requires less
manipulation, allows to maintain the dura intact and strongly reduces the risk of hemorrhage[36,37] and
animal loss. Furthermore, the FeCl$_3$ model closely mimics key human stroke features including focal
ischemia, creation of blood clot, possibility of vessel recanalization, and penumbral tissue[36,37]. However,
to adequately and efficiently occlude the vessel of interest, removing a piece of skull remains required.
As mentioned in the report on animal use, one rat was excluded from the analysis as the MCA
spontaneously reperfuses, thus dropping the success rate of such model.
406
The FeCl$_3$-induced MCAo showed an abrupt and massive drop of blood perfusion remaining constant
during the entire recording period. The ischemia was confined within the cortical territory perfused by
the MCA (**Figure 2B**), and the infarct (location and size; **Figure 2G**) is in agreement with previous
observations[37,49]. We also detected transient hyperemic events associated with spreading

depolarizations (SDs) within the peri-ischemic territory, with occurence, frequency and amplitude of the
hemodynamic waves (**Figure 2D-F**) consistent with prior observation[43,49,57–61]. Moreover, the
spatiotemporal dynamic of the FeCl₃-induced MCAo is consistent with previous fUS imaging reports on
cortical ischemia with various stroke models[16,49,62].

On top of tracking large hemodynamic variation (i.e. ischemia, SDs), one asset of the fUS imaging
technology relies on its ability to track subtle hemodynamic changes in sparse brain
regions[16,26,29,31,33,34,63]. Therefore, we have evaluated how evoked functional responses reorganize at
early and late timepoints after stroke induction. Functional responses to mechanical whisker stimulation
were detected in several regions relaying the information from the whisker to the cortex, including the
VPM and Po nuclei of the thalamus, and S1BF, the somatosensory barrel-field cortex. Responses were
also observed in the S2 cortex involved in the multisensory integration of the information[46,47,64], the
auditory cortex as it receives direct efferent projection from S1BF[48,64], and the VPL nuclei of the thalamus
connected via corticothalamic projections[48].

Functional responses extracted in the left hemisphere affected by the focal ischemia (i.e., ipsilesional)
show a primary alteration of the whisker-to-barrel pathway within the first hour after the stroke onset.
While the abrupt loss in S1BF responses was mainly driven by the focal ischemia, the immediate but
partial drop in VPM responses (**Figure 3D**) might result from the direct the loss of the excitatory
corticothalamic feedback to the VPM[55,65,66], or even from a dampening of thalamocortical excitability[67].
The absence of such cortical feedback suggests that the dampened functional responses might be
driven by the intrinsic activity of the VPM in response to whisker stimulation. Five days after the initial
injury, nuclei of the thalamus (VPM and Po) were subjected to a delayed and robust functional alteration
(**Figure 4B**) as previously confirmed in other thalamic relay[68], probably associated with diaschisis, as
previously characterised by tissue staining, reduction of metabolism, functions and perfusion[21–23,53,68].
Functional responses of the S1BF extracted from the right hemisphere (i.e., contralesionel) show a
significant decrease shortly after the stroke onset (**Figure 3D**), and still detected at day 5, could be
provoked by a loss of transcortical excitability[69,70]. The late drop in VPM responses might be explained
by corticothalamic modulation of the projections toward VPM[46,70].

While preliminary, these results obtained from awake head-fixed rats are in contradiction with a similar
work by our group (fUS imaging, distal MCAo with microvascular clip, electrical whisker stimulation)
showing higher contralesional responses to whisker stimulation during early stages of ischemic stroke[16].
However, these experiments were subjected to long-term isoflurane regimen (surgery and imaging)
known to alter functional responses[71–73] as well as disrupting hemodynamics[40]. Therefore, further
studies will be needed to accurately dissect the complex and long-lasting post-stroke alterations of the
functional whisker-to-barrel pathway, including at the neuronal level by direct electrophysiology
recordings and imaging, as fUS only reports on hemodynamics as a proxy of local neuronal
activity[30,31,63,74–76]. Another limitation relies on the experimental condition as our brain imaging paradigm
was constrained to a single cross-section, thus missing out-of-plane brain regions also affected by the
stroke (e.g., ischemic size, infract extension, origin, and propagation pattern of SDs)[41] or involved in the
whisker network (e.g., superior colliculus, striatum, amygdala and cerebellum)[46]. To overcome such
limitation, one can extend the size of the cranial window to allow for larger scale imaging either by
sequentially scanning the brain[30,31,34,35,62,75,77,78], or by using the recently developed volumetric fUS
which provides whole-brain imaging capabilities in anesthetized[79] and awake rats[33]. Finally, it is
important to note that this proof-of-concept work did not specifically focus the impact of sex dimorphism
on the stroke or early behavioral outcomes following the insult that would greatly enhance the
translational value of such preclinical stroke study[80].

Beyond studying the whisker-to-barrel somatosensory circuit, the brain-wide capability of fUS opens the
door to investigate on stroke-affected brain circuits and functions using transgenic lines combined with
opto-/chemo-genetic strategies as the technology is fully mature for mice studies[31,33,34,75].

## Supplementary Materials

| Animal Tag | Imaging session | | | | | Issue |
|---|---|---|---|---|---|---|
| | Control | Baseline (1hr) | Stroke | Early Post-Stroke (3hrs) | Late Post-Stroke (1hr) | |
| Rat #1 | Session excluded | Not performed | | | Not performed | Miss functional responses from thalamic nuclei as the imaging plane was too anterior |
| Rat #2 | Not performed | Session excluded | | | Not performed | Unconsistency of functional responses during baseline. Issue with cranial window |
| Rat #3 | Not performed | Session Included | | | Not performed | |
| Rat #4 | Not performed | Session Included | | | Not performed | |
| Rat #5 | Session Included | Not performed | | | Not performed | |
| Rat #6 | Not performed | Session Included | | | + 5d Session Included | |
| Rat #7 | Not performed | Session Included | | | Not performed | |
| Rat #8 | 2d before stroke Session Included | Session Included | | | + 5d Session Included | |
| Rat #9 | 2d before stroke Session Included | Session excluded | | | Not performed | Reperfusion of the MCA after stroke onset |
| Sessions used in | - Supplementary Figures 2, 4, 5 | - Figures 2 and 3 - Movies 1 and 2 - Supplementary Figures 1 to 5 | | | - Figure 4 - Supplementary Figures 4 and 5 | |

**Supplementary Table 1.** Reporting on animal use, experimentation, exclusion criteria, and figure association.

| Region Number | Region Abbreviation | Name of the region |
|---|---|---|
| | | Cortex (Ctx) |
| 1 | RSGc | Retrosplenial granular cortex, c region |
| 2 | RSD | Retrosplenial dysgranular cortex |
| 3 | M2 | Secondary motor cortex |
| 4 | MPtA | Medial parietal association cortex |
| 5 | LPtA | Lateral parietal association cortex |
| 6 | S1Tr | Primary somatosensory cortex, trunk region |
| 7 | S1DZ | Primary somatosensory cortex, dysgranular zone |
| 8 | S1BF | Primary somatosensory cortex, barrel field |
| 9 | S2 | Secondary somatosensory cortex |
| 10 | AuD | Secondary auditory cortex, dorsal area |
| 11 | Au1 | Primary auditory cortex |
| 12 | AuV | Secondary auditory cortex, ventral area |
| 13 | Ect | Ectorhinal cortex |
| 14 | PRh | Perirhinal cortex |
| 15 | DLEnt | Dorsolateral entorhinal cortex |
| 16 | DEn | Dorsal endopiriform nucleus |
| 17 | Pir | Piriform cortex |
| 18 | RAPir | Rostral amygdalopiriform area |
| 19 | PLCo | Posterolateral cortical amygdaloid nucleus |
| 20 | PMCo | Posteromedial cortical amygdaloid nucleus |
| | | Hippocampus (HPC) |
| 21 | DLG | Dorsal lateral geniculate nucleus |
| 22 | CA2 | Field CA2 of the hippocampus |
| 23 | GrDG | Granular layer of the dentate gyrus |
| 24 | LMol | Lacunosum moleculare layer of the hippocampus |
| 25 | MoDG | Molecular layer of the dentate gyrus |
| 26 | PoDG | Polymorph layer of the dentate gyrus |
| 27 | FC | Fasciola cinereum |
| 28 | Py | Pyramidal cell layer of the hippocampus |
| 29 | SLu | Stratum lucidum of the hippocampus |
| | | Thalamus (TH) 1/2 |
| 30 | LDVL | Laterodorsal thalamic nucleus, ventrolateral part |
| 31 | LPMR | Lateral posterior thalamic nucleus, mediorostral part |
| 32 | MDL | Mediodorsal thalamic nucleus, lateral part |
| 33 | MDC | Mediodorsal thalamic nucleus, central part |
| 34 | MDM | Mediodorsal thalamic nucleus, medial part |
| 35 | OPC | Oval paracentral thalamic nucleus |

| Region Number | Region Abbreviation | Name of the region |
|---|---|---|
| | | Thalamus (TH) 2/2 |
| 36 | MHb | Medial habenular nucleus |
| 37 | LHb | Lateral habenular nucleus |
| 38 | PC | Paracentral thalamic nucleus |
| 39 | PVP | Paraventricular thalamic nucleus, posterior part |
| 40 | IMD | Intermediodorsal thalamic nucleus |
| 41 | CL | Centrolateral thalamic nucleus |
| 42 | Po | Posterior thalamic nuclear group |
| 43 | VPM | Ventral posteromedial thalamic nucleus |
| 44 | VPL | Ventral posterolateral thalamic nucleus |
| 45 | Rt | Reticular thalamic nucleus |
| 46 | VM | Ventromedial thalamic nucleus |
| 47 | Rh | Rhomboid thalamic nucleus |
| 48 | Re | Reuniens thalamic nucleus |
| 49 | Sub | Submedius thalamic nucleus |
| 50 | VRe | Ventral reuniens thalamic nucleus |
| 51 | ZID | Zona incerta, dorsal part |
| 52 | ZIV | Zona incerta, ventral part |
| 53 | STh | Subthalamic nucleus |
| | | Striatum (STR) |
| 54 | CPu | Caudate putamen |
| | | Hypothalamus (HTH) |
| 55 | SubI | Subincertal nucleus |
| 56 | A11 | A11 dopamine cells |
| 57 | A13 | A13 dopamine cells |
| 58 | PHD | Posterior hypothalamic area, dorsal part |
| 59 | DA | Dorsal hypothalamic area |
| 60 | DMC | Dorsomedial hypothalamic nucleus, compact part |
| 61 | DMD | Dorsomedial hypothalamic nucleus, dorsal part |
| 62 | DMV | Dorsomedial hypothalamic nucleus, ventral part |
| 63 | PeFLH | Perifornical part of lateral hypothalamus |
| 64 | PeF | Perifornical nucleus |
| 65 | PLH | Peduncular part of lateral hypothalamus |
| 66 | VMHSh | Ventromedial nucleus of the hypothalamus shell |
| 67 | ArcL | Arcuate hypothalamic nucleus, lateral part |
| 68 | ArcD | Arcuate hypothalamic nucleus, dorsal part |
| 69 | ArcM | Arcuate hypothalamic nucleus, medial part |

**Supplementary Table 2.** List of the 69 brain regions/hemisphere from the coronal cross-section µDoppler imaged in each rat organized by main anatomical structures. Adapted from the Paxinos rat brain atlas[42].

**Movie 1.** Movie of hemodynamic changes induced by MCA occlusion using $FeCl_3$ in awake head-fixed rats. Raw images.

**Movie 2.** Movie of thalamo-cortical functional responses to left and right whisker stimulation before and 3hrs after stroke onset.

**Supplementary Figure 1.** Hemodynamic changes (rCBV in %) induced by MCAo in 69 regions located in the ipsilesional (left panel) and contralesional hemisphere (right panel) of the imaged coronal cross-section. Regions are organized by main anatomical structures (see **Supplementary Table 2**). SDs stands for hemodynamic events associated with spreading depolarizations.

**Supplementary Figure 2.** Averaged hemodynamic response curves (ΔrCBV in %) of 45 consecutive right (green) or left whisker stimulation (orange; 1hr recording) extracted in the contralateral S1BF, VPM and Po regions (top to bottom). The corresponding individual trials presented below confirmed the stability across the recording. Vertical grey bar, the period of whisker stimulation.

**Supplementary Figure 3.** Close up view of Figure 3C.

**Supplementary Figure 4. Top Panel -** Violin plots showing the distribution of the area under the curve (AUC) extracted from hemodynamic response time-courses of individual trials in S1BF (top row), VPM (middle row) and Po regions (bottom row), for stimulation delivered either to the right (left column) or left whisker pad (right column) along all the periods of the recording (Pre-Stroke, 0-1hr Post-stroke, 1-2hr Post-Stroke, 2-3hr Post-Stroke). Each dot represents an inidivudal trial, each color represent a rat. **Bottom Panel –** Matrix comparing AUC from S1BF, VPM, and Po for right (green - top right diagonal) or left stimulation (orange - bottom left diagonal) at Pre-Stroke, 0-1hr Post-stroke, 1-2hr Post-Stroke, and  2-3hr Post-Stroke timepoints. AUC were compared and analysed using a non-parametric Kruskal-Wallis test corrected for multiple comparison using a Dunn's test.

Individual information - Rat #8 - Control

**Individual information - Rat #8 - Pre and Post-Stroke**

**Individual information - Rat#8 - Post-Stroke 5d**

Right stimulation

Contralateral responses
Ipsilesional

Ipsilateral responses
Contralesional

Left stimulation

Ipsilateral responses
Ipsilesional

Contralateral responses
Contralesional

ΔrCBV(%)
z-score
-5  30
Scale bar = 1mm
Probe center ▲

**Individual information - Rat #3 - Pre and PostStroke**

# Individual information - Rat #4 - Pre and PostStroke

Right stimulation

Left stimulation

# Individual information - Rat #6 - Pre and PostStroke

Right stimulation

Left stimulation

**Individual information - Rat #6 - Post-Stroke 5d**

**Supplementary Figure 5.** Activity maps, region-time traces of the 69 brain regions imaged, mean and individual time-courses for all trials (left and right stimuli - including contra- and ipsilateral traces) and imaging timepoints (Control, Pre-Stroke, Post-Stroke) for all the rats included in this work.

## Author contribution

| | Brunner | Montaldo | Urban |
|---|---|---|---|
| Concept | X | | |
| Methodology | X | | |
| Software | | X | X |
| Animal preparation | X | | |
| Imaging | X | | |
| Histochemistry | X | | |
| Data Analysis | X | X | |
| Visualization | X | | |
| Manuscript | X | X | X |
| Supervision | | X | X |
| Funding | | | X |

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## Competing interests
A.U. is the founder and a shareholder of AUTC company commercializing functional ultrasound imaging solutions for preclinical and clinical research.

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