Title

The impact of common variants on gene expression in the human brain: from RNA to protein to

schizophrenia risk

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Abstract

The impact of genetic variants on gene expression has been intensely studied at the

transcription level and the results have been useful in linking genes to disease risk for

complex disorders, such as schizophrenia. On the other hand, the downstream impact of

these variants and the molecular mechanisms connecting transcription variation to disease

risk are not well understood. To this end, we quantitated ribosome occupancy in prefrontal

cortex samples of the BrainGVEx cohort. Together with RNA-Seq and proteomics data

from the same cohort, we performed *cis*-Quantitative Trait Locus (QTL) mapping to

identify variants associated with transcription (eQTL), ribosome occupancy (rQTL), and

protein (pQTL) level. We found that while more than half of pQTL effects originated from

transcription variation, less than half of the eQTL effects propagated to the protein level.

Leveraging multi-omics QTL results, we found 50 schizophrenia risk genes and further

identified driver mechanisms for ten. We also found risk genes that have clear eQTL

signals without discernible pQTLs.

Summary

Attenuation of eQTL effects at the protein level was found prevalent in the human brain

and its relevance in schizophrenia risk was investigated.

Introduction

Complex diseases such as neuropsychiatric disorders are multi-factorial with genetic components

(*1*, *2*). Large scale Genome-Wide Association Studies (GWAS) have uncovered thousands of

disease associated loci, signaling a promising era ahead for causal variant identification (*3*).

However, efforts in fine mapping these disease risk loci often narrowed down the underlying

causal signal to non-coding regions of the genome (*4–6*). Regulatory variants in such non-coding

regions are therefore the prime candidates for driver mutations in disease etiology. Consequently,

integrating gene expression information to pinpoint causal variants or to identify risk genes has

become a staple of genetic studies of complex diseases (*7*), with multiple consortia efforts

facilitating large scale gene expression profiling and regulatory element mapping (*8–10*). Many

powerful methods, such as *coloc*, PrediXcan, SMR/HEIDI, to name a few, have also been

developed to leverage gene expression information for fine mapping GWAS signals or for

identifying underlying risk genes (*11–13*).

Schizophrenia (SCZ) is a psychiatric disorder affecting ~1% of the world-wide population (*14*).

The heritability of SCZ has been estimated at between 60% to 80% indicating a strong genetic

component (*15*, *16*). Accordingly, the most recent schizophrenia GWAS study identified 287

significant risk loci and prioritized 120 risk genes using functional genomic data (*17*). The use of

RNA-Seq data from the brain was instrumental for risk gene prioritization by Trubetskoy et al.,

however, information from downstream gene regulation processes, such as translation rate and

protein abundance, was either not utilized or unavailable.

Measuring transcriptional changes as a proxy for gene activity has a long history in molecular

biology (*18*). In the context of human genetics, buffering of downstream effects of genetic

variants impacting gene expression (i.e. an eQTL) has been shown to be prevalent (*19, 20*). In

addition, QTLs specific to protein level have also been reported (*21*). Together, these

observations indicated the importance and potential benefits of including downstream datatypes,

such as proteomics data, as information sources for fine mapping disease regulatory variants.

Indeed recent studies using multi-omics approaches have demonstrated increased power for risk

gene identification among other benefits (*22, 23*). Of note, our recent work on genetic variants

associated with protein level in prefrontal cortex of the human brain indicated the extent of

contribution from non-synonymous coding variants to changes at protein level, and the utility of

these protein QTL variants in prioritizing GWAS risk genes for psychiatric disorders (*24*).

Another potential benefit of taking a multi-omics approach for identifying disease risk gene rests

in the potential to dissect the fine details of regulatory mechanisms driving the disease-genotype

association. Having relevant datasets to illuminate the origin and propagation of genetic impact

could potentially arrive at a conclusion of the driver regulatory process for a risk gene. Ribo-seq

is a technology that could be used to collect relevant data to fill in the gap between transcript and

protein expression. By adapting RNA-Seq to a ribosome footprinting method, ribo-seq provides

transcriptome-wide quantification of ribosome occupancy (*25, 26*), which can serve as a proxy for

the amount of active translation produced from each transcript. When analyzed in conjunction

with RNA-Seq and quantitative proteomics data, ribo-seq enables identification of translational

and post-translational regulatory events (*27*), both major steps defining the human genetics aspect

of the central dogma of molecular biology.

As a part of the consortium efforts to improve our understanding of the genetic basis of

neuropsychiatric disorders (*28*), we generated multiple data modalities that included SNP

genotyping, RNA-Seq, ribo-seq, and proteomics of postmortem cortical tissue samples of the

BrainGVEX cohort, which altogether covered multiple expression levels from transcript to

protein. In conjunction with the quantitative proteomics and transcriptome profiling results that

we previously published (*24, 29*), here we report our findings by integrating ribo-seq data to serve

as our operational definition for the level of protein translation across the transcriptome. Our

results reveal regulatory properties of common variants in the human brain and their utility in

identifying the regulatory processes driving disease risk for schizophrenia. Moreover, our results

demonstrated clear advantages of using multiple data modalities for studying disease risk

mechanisms.

Results

Measuring transcriptome-wide ribosome occupancy level in prefrontal cortex of adult human

brain to quantify the level of protein translation

To investigate regulatory impact of genetic variants in the prefrontal cortex of the human brain,

we performed ribosome profiling on 211 prefrontal cortex samples from the BrainGVEX

collection. In total we collected ~62 billion ribosome profiling reads. Consistent with the expected

ribosome footprint size, we found the average insert size of our ribo-seq libraries to range

between 27.4 and 29.5 nucleotides. Similar to prior published studies (*30*), we found on average

74 % of unwanted reads from ribosomal RNA, tRNA, and snoRNA, which contributed no

information to the translation of protein coding genes. After removing these unwanted reads, we

obtained an average of 30.3 million uniquely mapped informative reads per sample (inter-quartile

range: 20.5 ~ 37.6 million reads). When focusing our analysis on the informative reads, we found

our ribo-seq data to have ~3 times higher proportion of exon reads than that of the total RNA-Seq

data collected from the same individuals using an rRNA-depletion method (*29*). When visualized

in aggregate across annotated coding genes, we found our ribo-seq data to show strong sub-codon

periodicity at the expected positions (Fig. S1). High proportion of exon reads and strong sub-

codon periodicity reflects the enrichment of footprints from ribosomes actively engaged in

translating mature mRNA and indicates the quality of the dataset.

Multi-omics cisQTL mapping identified candidate regulatory variants and revealed translational

and post-translational attenuation of eQTL effects

To identify variants associated with inter-individual expression differences we perform cis-QTL

mapping for each data type independently. Using the full dataset (i.e. 416 RNA-Seq, 193 ribo-seq,

and 266 mass spec, which are coupled with the corresponding genotype data), we identified

11,857 eQTL (out of 16,540 genes we deem sufficiently quantitated), 3,086 rQTL (out of 25,506

genes), and 704 pQTL (out of 7,911 genes) at FDR < 0.1. The majority of the eQTL identified

here are replicated in the prefrontal cortex RNA-Seq data from the GTEx consortium (Table S1).

Intriguingly, we found large differences in the number of QTL between datatypes, suggesting that

some of the eQTL effects do not propagate all the way to the protein level. However, the

differences in the number of genes tested between datatypes make the comparison challenging to

interpret. To better compare genetic regulations captured by multi-omics QTLs derived from

multiple data modalities, we identified 175 samples with 5,080 genes that were sufficiently

quantitated across all three datatypes. Using this unified dataset, we found 2,140 eQTL, 840 rQTL,

and 246 pQTL at FDR<0.1 (Fig. 1A). Similar to the results derived from the full dataset, using

the unified dataset we found fewer significant QTLs as we move downstream the central dogma

of molecular biology.

A challenge in comparing the numbers of QTLs identified from each datatype rest in the fact that

not all true effects were identified. Tests replicating QTLs identified from one datatype in the

other datatypes can better capture the proportion of genetic effects shared between QTL types.

We performed replication tests using pi1 estimates from the qvalue method (*31*). Overall, we

found high proportion of QTLs replicated in other datatypes (Fig. 1B). However, when

considering the replication rate with the direction of genetic information flow, we found

asymmetric replication rates with the downstream datatypes to replicate less than the upstream

datatypes. More specifically, we found 90.4% rQTLs were replicated at the transcript level, but

only 65.3% eQTLs were replicated at the ribosome occupancy level. Moreover, while 76.8% of

pQTLs were replicated at the transcript level, only 24.1% of eQTLs were replicated at the protein

level (Fig. 1B). The lower percentage of eQTL and rQTL replicated at the protein level indicates

potential effect attenuation (i.e. either the inter-individual variation in gene expression becomes

smaller and therefore harder to detect or a lack of such effect in the downstream datatype).

Interestingly, in addition to the effect attenuation at the protein level, which was previously

reported for lymphoblastoid cell lines (LCLs) (*27*), here, using brain samples, we found further

asymmetry between eQTL and rQTL replication, indicating effect attenuation at the level of

translation. Similar asymmetry in proportion replicated between upstream and downstream

datatypes were observed when using a direction-aware cutoff-based approach at a wide range of

significance cutoffs used to define replication rates (Fig. S2).

While our replication tests revealed a trend of effect attenuation for eQTL variants in the

downstream phenotypes (Fig. 1B), these same observations could alternatively be explained by

differences in statistical power between technologies. An independent piece of evidence that is

not sensitive to measurement precision is needed to reach a solid conclusion. Using eQTLs

independently identified from prefrontal cortex samples by the CommonMind Consortium (*32*),

we avoided the ascertainment bias for large effect size eQTLs identified from our dataset and can

therefore directly compare effects size of eQTL variants between the three datatypes in our

dataset. A similar approach was successfully implemented to address this power issue in prior

work in LCLs (*27*). Using the 2,263 CMC eQTL that were also quantitated in our dataset, we

found the eQTL effect on the transcript expression level to be significantly larger than its effect

on ribosome occupancy level (per allele log2 fold differences: RNA 0.755 +/- 0.008 vs. ribo

0.680 +/- 0.010), which was in turn significantly larger than its effect on protein level (per allele

log2 fold differences: 0.640 +/- 0.012) (Fig. 1C, Wilcoxon rank sum test $P < 0.005$ for all

pairwise comparisons). By focusing on the effect size of independently identified eQTL, our

results strongly support the presence of downstream mechanisms attenuating eQTL effect both at

the ribosome occupancy level (translationally) and at the protein level (post-translationally).

Moreover, for the CommonMind Consortium eQTL, we found translational regulation to account

for more effect size reduction than post-translational regulation (Fig. 1C).

Identifying datatype specific QTLs and their signal colocalization with schizophrenia GWAS

The prevalent effect size reduction of eQTL raised the question of the relevance of these genetic

regulation at the organismal level. Because most of cellular tasks are executed by machineries

mainly composed of proteins, the genetic regulatory effects not reaching the protein level, either

attenuated by translational or post-translational regulation, could be less likely to have an impact

on organismal traits. To answer this question, we set out to investigate the relevance of datatype

specific QTL genes in SCZ. Consistent with prior reports (*33*), using our full dataset we found

significant proportion of SCZ heritability to be mediated by gene expression. By performing

mediated expression score regression (MESC) (*33*) on summary statistics from the Trubetskoy et

al. SCZ GWAS (*17*), we found our eQTL to mediate 7.04%, rQTL to mediate 2.96%, and pQTL

to mediate 1.99% of schizophrenia heritability.

Because regulation of a gene is often modulated by multiple genetic variants, to evaluate the

consequence of overall *cis*-QTL impact on gene expression we use PrediXcan to estimate

aggregate genetic regulatory effects for each gene. To distinguish between genes that have QTL

effects shared across multiple datatypes and genes that have datatype-specific QTL effects, for

each datatype we build PrediXcan models each with or without regressing out the other datatypes

and compute the correlation between the imputed expression from the two models. We termed

this correlation R_c. To identify R_c^2 values that reflect significant sharing between datatypes, we

permuted sample labels to emulate conditions of no real correlation between the three datatypes in

order to create empirical null distributions. Using both a false discovery rate (FDR), which is

calculated based on the empirical null, and an effect size cutoff based on R_c^2, we defined a set of

shared QTL genes and three sets of datatype-specific QTL genes. At 10% FDR, we defined

shared QTL genes by further requiring the R_c^2 to be smaller than 0.5. Using these criteria, from

the 633 genes that passed the minimum PrediXcan criteria for being included in this analysis (see

details in methods), we identified 164 shared QTL genes that have QTL effects shared between at

least two datatypes. For genes that failed to reject null at the 10% FDR cutoff (i.e. potentially

datatype-specific) we further set a conservative $R_c^2 > 0.9$ cutoff to define datatype-specific QTLs

for those QTLs that does not change after regressing out effects from other datatypes. Using these

criteria, we found 74 esQTL (mRNA-specific QTL) genes, 46 rsQTL (ribo-specific QTL) genes,

and 85 psQTL (protein-specific QTL) genes (Fig. S3, Table S2).

To investigate the relevance of datatype-specific QTL genes in schizophrenia, we performed

summary statistics-based signal colocalization between QTL signals and SCZ GWAS signals.

Using *coloc* with default prior (*11*), at a posterior probability cutoff of 70% for the signal

colocalization hypothesis, we found the esQTL of *CCDC117* and the rsQTL of *RAB15* to

colocalize with SCZ GWAS signals at loci *22q12.1* and *14q23.3*, respectively (Fig. 2). On the

other hand, for shared QTL genes, we found the eQTL of *RASA3*, the eQTL of *PCCB*, and both

the eQTL and the rQTL of *YWHAB* to colocalize with SCZ GWAS signals at loci *13q34*, *3q22.3*,

and *20q13.12*, respectively. Although we identified two datatype-specific QTLs that strongly

colonized with SCZ GWAS, the low number of QTL-GWAS colocalization identified from this

approach limited our power in subsequent analyses to determine the overall relevance of datatype-

specific QTL in SCZ. To investigate more broadly the relevance of attenuated eQTLs in SCZ risk,

we next took a complementary approach by first identifying risk genes from each datatype

separately and then investigate the relevant regulatory processes driving these disease risks.

Functional genomics identification of Schizophrenia risk genes

Regulatory variants are prime candidates for mechanistic underpinning of GWAS signals. Given

the percentage of SCZ heritability mediated by gene regulation found in our dataset, here we

expand our effort to identify risk genes for SCZ using S-PrediXcan (*34*) based on our unified

multi-omic dataset. At 5% family-wise error rate, we found 37, 20, and 8 schizophrenia risk genes,

respectively from RNA-Seq, ribo-seq, and mass spectrometry data (Fig. 3A; note that the color

code of this figure will become relevant in the next results section). Among them only three genes,

NEK4, *KHK*, and *DARS2* were consistently identified as SCZ risk genes from all three datatypes

(Fig. 3B). The majority (76%) of the risk genes were identified only from one of the three

datatypes. This limited sharing in risk gene identification between datatypes is in clear contrast to

the amount of signal sharing found between QTL types (Fig. 1B). It would appear that while QTL

signals are often shared between datatypes, different datatypes have different power in explaining

GWAS signals at different loci.

Among the 50 risk genes we identified using S-PrediXcan (i.e. the union between the risk genes

identified from each of the three datatypes), 25 has previously been reported in GWAS studies as

either the mapped genes or as one of the nearby genes under the GWAS peak (Table S3) (*17, 35–*

37). Of these prior reported genes, 12 matched the mapped genes while the remaining 13

nominated an alternative candidate gene for each GWAS locus (Note that for some of these 13

loci, the original GWAS signal was mapped to an intergenic region). Comparing our results to

other published SCZ risk gene identification studies, we found 8 matches to the risk genes

identified by Giambartolomei et al., which used RNA-Seq and DNA methylation data from

prefrontal cortex of the human brain (*22*) and 11 matches to the 120 prioritized SCZ risk genes

from Trubetskoy et al., which used colocalization with eQTL and Hi-C data (*17*). On the other

hand, for 18 of our 50 risk genes, we found no match to the known risk gene list, which we

compiled based on prior GWAS and functional genomics risk gene identification studies (*17, 22,*

35–37). Similarly, using SZDB2.0, a database aggregating SCZ risk genes from the literature, we

found 40% of our risk genes are novel findings (*38*). These "no match" novel risk genes have

relatively weak SCZ GWAS signals and are therefore challenging to identify without the help

from our multi-omics QTL dataset. For example, we found strong colocalization between a

modest schizophrenia GWAS signal at *2p23.3* and all three types of molecular QTLs of the gene

KHK (Fig. 3D). Intriguingly, the *KHK* pQTL is in opposite direction of the *KHK* eQTL and rQTL

(Fig. 3C) indicating a linked post-translational process regulating the protein level in addition to

the transcriptional regulation.

Analyses of multi-omics dataset reveal regulatory mechanisms of schizophrenia risk genes

While PrediXcan is a powerful tool for GWAS risk gene identification, it does not control for

potential horizontal pleiotropy (*39*). To this end, we perform two-sample Mendelian

Randomization (MR) with Egger regression to replicate the risk genes we identified using S-

PrediXcan. Egger regression includes an intercept term, which can be used to evaluate the level of

horizontal pleiotropy (*40*). For each risk gene we first use LD-clumping (*41*) to identify top SNPs

from independent signals as strong genetic instruments (*42*). We then test for the causal

relationship between gene regulation (i.e. QTL signal) and SCZ (i.e. GWAS). We used an

operational definition of a causal effect based on the MR test results (see methods). At 10% FDR,

of the 65 gene-by-datatype combinations (i.e. a total of 50 risk genes including some discovered

from more than one datatype), 75% (49) passed the MR-Egger test and 25% (16) failed. Of those

25% that failed the MR-Egger test, 81% (13 out of 16) failed because of horizontal pleiotropy

identified by the Egger intercept test (Table S4). A total of 39 genes were replicated in at least one

of the three datatypes. Similar, but stronger, causal effect were observed when using SuSiE (the

Sum of Single Effects) (*43*) as an alternative approach to define instrument SNPs (Fig. S4).

However, when using SuSiE for selecting instrument variables, fewer genes were tested because

some of the risk genes have no fine mapped QTL SNPs according to the SuSiE parameters we

explored.

A key strength of using a multi-omic QTL approach to identify GWAS risk genes rests in the

possibility of further narrowing down the potential regulatory mechanisms. To this end, we

further examined the likely driver mechanisms for the 39 replicated SCZ risk genes using one-

sample Mendelian Randomization to infer causality between QTL types. We focused our analysis

on independently testing for causal effect between neighboring QTL types following the direction

of information flow of the central dogma (i.e. RNA -> ribo, and ribo -> protein). Here we used

fine-mapped QTL SNPs identified from the exposure datatype (i.e. the upstream datatype) as

instrument variable for one-sample MR analysis. Among the 39 two-sample MR replicated SCZ

risk genes, we found 10 genes with significant causal effects both from eQTL to rQTL (i.e. the

upstream pathway) and from rQTL to pQTL (i.e. the downstream pathway) (Fig. 3A dark red

color datapoints). Significant causal effects detected from both pathways indicates

transcriptionally regulated protein level differences as the potential driver for these risk genes in

SCZ etiology (see an example in Fig. 4A, Fig. 4B). On the other hand, 20 and 9 replicated risk

genes have either significant causal effects only in one of the two pathways (Fig. 3A blue color

datapoints) or have no significant causal effects (Fig. 3A orange color datapoints), respectively.

Of note, for the 20 risk genes that we found only one of the two pathways show significant causal

relationships, all of which were only significant in the upstream pathway (RNA -> ribo). This

asymmetry is reminiscent of the eQTL effect attenuation described in the prior sections. However,

a failed test could indicate either a lack of effect or a lack of statistical power. As an attempt to

distinguish between these two scenarios, we directly assessed the effect size, the noise level, and

instrument strength of the one-sample MR test results. When comparing the 10 genes that showed

significant causal relationships for both pathways to the 20 genes that only showed significant

causal relationships in the upstream pathway, we found significantly smaller effect in the

downstream pathway for the 20 genes that failed the test (Fig. S5), no significant difference were

found in standard error (Fig. S5); however, we found the QTL variants used as instrument for the

downstream pathway among the 20 genes that failed the MR test for the downstream pathway to

be significantly weaker than the QTL variants used as instrument for the 10 genes that passed the

MR test for both pathways (Fig. S5). This observation indicates that for many of these 20 genes,

the weak instrument effect likely led to an underestimated effect size and consequently these

genes failed the MR test. In other words, some of these genes could also operate through a

transcriptionally regulated protein level in schizophrenia disease etiology.

On the other hand, for the 9 genes that we do not find any significant causal relationships in either

of the two pathways, when compared to the 10 genes that we found significant causal effect from

both pathways, we saw significantly smaller effect size but no significant difference in standard

error nor in instrument strength (Fig. S6). These results indicate that comparing to the 10 genes

that passed both pathways, these 9 genes failed the Mendelian Randomization tests either because

they have much smaller causal effects (i.e. false negatives) or they have no real causal

relationships between datatypes. In other words, some of these genes could be datatype-specific

risk genes. Case in point, we found *PAK6* to have similar patterns in p value distributions between

eQTL and SCZ GWAS (Fig. 4D) but no clear QTL signal in either ribo-seq or quantitative mass

spectrometry data (Fig. 4C, Fig. 4D).

Discussion

Using a panel of postmortem prefrontal cortex samples, we found clear evidence of post-

transcriptional attenuation of eQTL effects in the human brain. Many of the differences found

between individual brain transcriptomes were not present between individual brain proteomes.

This observation echoes earlier work in HapMap lymphoblastoid cell lines (27) and extends the

prior conclusion from *in vitro* cell lines to complex human tissues. Importantly, distinct from the

earlier work in lymphoblastoid cell lines, which found translation to mostly track with

transcription, we found clear attenuation of eQTL effect in ribo-seq data indicating that

translational regulatory processes are involved in eQTL effect attenuation in the human brain.

Prevalent translational attenuation of variant impact on transcriptional gene expression levels has

previously been reported in budding yeast (44, 45). However, results from follow up studies (46)

appear to present an inconsistent picture.

Here, using replication tests for Individual eQTL and testing for aggregate effect of eQTL

independently identified from CommonMind Consortium, we provided strong evidence

supporting prevalent translational attenuation of eQTL effects in the human brain. Although

operating at the molecular level, our study remained observational. Datatype-specific features

could potentially confound the results. For example, while mass spectrometry data aggregate

peptide count information by protein groups, sequencing data aggregates read count information

by genes. Mismatch between protein group and gene definition could result in mismatch in

quantification. Future work replicating this observation and elucidating molecular mechanisms of

translational attenuation of eQTL effects are needed to provide a clear understanding of the

phenomenon.

Following this observation, our current work focuses on the important question of whether the

attenuated eQTLs are still functionally (biologically) relevant. We attempted to address this

question by exploring the relevance of attenuated eQTL in SCZ, a neuropsychiatric disorder that

is highly heritable. We took two approaches to identify risk genes that have either datatype-

specific QTL signals or attenuated eQTL. An attenuated eQTL by definition is a genetic variant

that has significant association between its genotype and transcript level of the linked gene, but no

significant association in the downstream datatypes, either the ribosome occupancy or the protein

level (or both). In essence, attempts to identify attenuated eQTL (or datatype-specific QTL) are

dealing with the challenge of separating true negatives from false negatives. While we applied

very conservative criteria to identify candidate genes and performed subsequent evaluations, such

caveat is important to keep in mind when interpreting our results. Overall, from both approaches

we found clear examples of SCZ risk genes that appear to have attenuated eQTL. However, in

both cases, we were not able to evaluate whether datatype-specific QTLs were more likely to

explain SCZ risk than shared QTLs.

In the first approach, we used PrediXcan to aggregate variant impact at the gene level in order to

identify datatype-specific risk genes. Our method is distinct from published work on datatype

specific QTLs that took a SNP-based approach (27). Using a gene-based approach, we aimed to

increase the interpretability of the results and decrease the challenge of the needle in the haystack

problem. Indeed, our approach reduced our search space to 633 genes, and among these genes we

identified 213 datatype-specific QTL genes. These datatype-specific QTL genes show no

significant change after regressing out the other datatypes; they remain an R^2 higher than 0.9

between imputed expression levels generated from models with and without conditioning on the

other datatypes. Follow up mechanistic studies on these datatype-specific QTL genes could

provide insights on the nature of datatype-specific genetic regulation. From the same analysis we

also identified 164 shared QTL genes. Note that the number of shared and datatype-specific QTL

genes found here cannot be compared directly. By requiring the models built based on regressed

data to pass certain quality metric, our method filtered out genes with strong sharing between

QTL types from the analysis. The number of shared QTL genes identified here is therefore

underrepresenting the actual count. In the end, this approach produced five schizophrenia risk

genes based on signal colocalization. The limited number of genes identified prevented us from

performing the subsequent comparison between datatype-specific QTL genes and shared QTL

genes.

In the second approach, we first identified risk genes using a TWAS approach, S-PrediXcan

method. We then replicated the TWAS risk genes using two-sample Mendelian Randomization

with Egger intercept tests. While the majority of the TWAS risk genes were replicated in two-

sample Mendelian Randomization tests, more than 80% of the failed replication tests failed

because of the Egger intercept test. Our results, therefore, clearly confirmed the need of better

controlling for potential horizontal pleiotropy in TWAS studies (*40*). About 40% of the risk genes

that we identified here have not been previously reported as SCZ risk genes. These novel SCZ

risk genes tend to have weak GWAS signals and are therefore challenging to identify without the

help of functional genomics data (see Fig. 3D for an example). Finally, for each replicated risk

genes we further tested causality between QTL types using One-Sample MR to identify causal

regulatory mechanisms. We found ten risk genes that are likely contributing to SCZ risk through

transcriptionally regulated protein level. On the other hand, we also identify nine genes that show

no significant MR tests and 20 genes that only have significant MR test results from one of the

two pathways tested. The interpretation of the relative numbers here, however, is challenging.

While these failed MR tests appear to have significantly smaller causal effect, many of these tests

also used weaker instrument variables, which could underestimate the causal effect and resulted

in false negatives. With the current dataset, we unfortunately do not have enough data points to

estimate the proportion of false negative in our results. On the other hand, we do see interesting

examples supporting the possibility of SCZ risk driven by variants impacting transcriptional gene

regulation that does not propagate to the ribosome occupancy level or the protein level (Fig. 4C).

We hope by presenting the current results, our findings can inspire future studies on this topic.

An alternative, and perhaps more practical, way of looking at the relevance of attenuated eQTL is

to ask whether it is still relevant to use transcriptome profiling for risk gene identification. Since

many of the eQTL effects do not appear to affect protein level, should we collectively switch to

profile downstream protein level directly to maximize our ability in risk gene identification. Our

results clearly reject this idea. Likely because of the differences in the number of genes covered

across datatypes, our RNA-Seq data, which covers more genes than the other two datatypes,

explained more than twice the percentage of SCZ heritability explained by our ribo-seq or protein

data. When looking at the number of risk genes identified by using S-PrediXcan, we again found

the most risk genes from analyzing RNA-Seq data. Importantly, when comparing the risk genes

identified across the three datatypes, we found limited overlaps. In other words, at current sample

size, each datatype appears to be more powerful in finding risk genes at different loci, and by

collecting all three, we benefited from the complementary results. Case in point, because

proteomics data were not used in the functional genomics studies of SCZ risk genes that we

compared our results to, five out of the eight risk genes identified using protein data in this study

were not reported in the previous studies (i.e. on the "no match" list). Whether such inter-datatype

differences in risk gene identification would persist with increasing sample size remains an open

question. However, at the current scale (i.e. a sample size of ~200) there are clear benefits from

profiling all three data types for risk gene identification.

Materials and Methods

Data sources

The SNP genotypes (*24*), RNA-Seq (*29*), and quantitative mass spectrometry (*24*) data generated

for prefrontal cortex tissue samples of the BrainGVEX cohort were downloaded from the

PsychENCODE Synapse portal (https://www.synapse.org/#!Synapse:syn4590909) (See Table S5

for a summary on the number of samples in each dataset and their respective overlap with the

samples in the genotype data). The BrainGVEX cohort includes 420 Caucasians, 2 Hispanics, 1

African American, 3 Asian Americans, and 14 unknown-origin individuals. The DRAMS

software was used to detect and correct mixed-up samples (*47*). We also used PGC3 SCZ GWAS

summary statistics data obtained from the Psychiatric Genomics Consortium (*17*).

Ribosome profiling

Ribosome Profiling experiments were performed using Illumina's TrueSeq Ribo Profile

(Mammalian) Kit. TrueSeq Ribo Profile (Mammalian) Kit was developed for cell lines. We

adapted the protocol to frozen tissue samples with MP Biomedicals™ FastPrep-24™ Classic

Bead Beating Grinder and Lysis System. Specifically, 60-80 mg of frozen brain tissue was

homogenized in Lysing Matrix D tubes containing 800 µl polysome lysis buffer. The Lysing

tubes were placed on the FastPrep-24™ homogenizer set at 4.0 m/s with 20 s increments until no

visible chunks of tissue remained. Tissue lysate was incubated on ice for 10 min followed by

centrifugation at 20,000g at 4 °C for 10 minutes to pellet cell debris. Clarified lysate was

subsequently used for ribo-seq library preparation following TrueSeq Ribo Profile (Mammalian)

Kit instructions. Indexed libraries were pooled and then sequenced on an Illumina HiSeq 4000.

Note that the experimental protocol for TrueSeq Ribo Profile (Mammalian) Kit that we followed

is a modified version of the previous ribo-seq protocol published by Ingolia and colleagues (*26*),

and it has the following key modifications: Monosome isolation was performed using Sephacryl

S400 spin columns (GE; 27–5140-01) on a tabletop centrifuge instead of ultra-high speed

centrifugation in sucrose cushion. Ribosomal RNA depletion was carried out by using Ribo-Zero

Magnetic Kits and this step is moved up to right after the monosomal RNA isolation step and

before the Ribosome Protected Fragment gel isolation step.

Data processing, gene expression quantification, and normalization

For RNA-Seq data, we obtained the FASTQ raw data from the PsychENCODE BrainGVEX

project. Then we used cutadapt (v1.12) to trim adapter for fastq data with code "cutadapt –

minimum-length=5 –quality-base=33 -q 30 -a

AGATCGGAAGAGCACACGTCTGAACTCCAGTCA -A

AGATCGGAAGAGCGTCGTGTAGGGAAAGAGTGT". Then we mapped trimmed fastq onto

GENCODE Release 19 (GRCh37.p13) genome with same version's GTF by STAR (v2.4.2a).

Then we used RSEM software to quantify the read counts for each gene (*48*). The cpm() function

in the R package "limma" was used to calculate the log-transformed CPM (Counts per million).

We filtered out the genes with CPM < 1 in more than 75% samples and the samples with network

connectivity (*49*) z score < -5 (Fig. S7), which resulted in a total of 17,207 genes from 423

samples in the quantification table. We then used normalize.quantiles() function in the R package

"preprocessCore" (*50*) to normalize expression level for each sample.

For ribo-seq data we used cutadapt (v1.12) to trim adapter for fastq data with code "cutadapt -a

AGATCGGAAGAGCACACGTCT –quality-base=33 –minimum-length=25 –discard-

untrimmed". The trimmed reads were then mapped against a FASTA file of rRNA, tRNA, and

snoRNA sequence using bowtie2 (*51*) to filter out uninformative reads. The filtered reads were

then mapped to GENCODE Release 19 (GRCh37.p13) genome with corresponding transcript

model GTF file using STAR (v2.4.2a). The uniquely mapped reads, as defined by the NH:i:1 flag

of the alignment files, were kept for subsequent analysis. We used the featureCounts() function in

the R package "subreads" (*52*) to calculate gene level read counts for ribosome occupancy. The

cpm() function in the R package "limma" was used to calculate log-transformed CPM value. We

filtered out genes with CPM < 0.1 in more than 90% samples and the samples with network

connectivity (*49*) z score < -3.5 (Fig. S8), which resulted in a total of 26,552 genes quantitated

from 197 samples in the quantification table. We then used normalize.quantiles() function in the

R package "preprocessCore" (*50*) to normalize ribosome occupancy level for each sample.

For quantitative mass spectrometry data, we obtained protein quantification table from the

PsychENCODE BrainGVEX Synapse portal (https://www.synapse.org/#!Synapse:syn26017684).

This table includes abundance quantification for 11,433 proteins from 266 samples. The data

processing steps for producing the mass spectrometry quantification table is detailed in Luo et al.

(*24*). We further log-transformed and quantile normalized protein level quantification for each

sample. We matched the protein ID to gene ID according to the UCSC database, which resulted in

8,223 genes.

QTL mapping

Estimating and adjusting for unwanted factors

We used the R package "PEER" (*53*) to estimate hidden factors for RNA-Seq, ribo-seq, and

quantitative mass spectrometry data separately. The principle of selecting unwanted hidden

factors was to maximize the variance explained with the least number of factors. We identified 30,

20, and 6 hidden factors to remove from RNA-Seq, ribo-seq, and mass spectrometry data,

respectively (Fig. S9). For each gene from each datatype, we adjusted the expression level by

fitting the selected hidden factors as predictors in a linear model and taking the residuals as the

adjusted expression level. The adjusted expression levels were then further centered by mean and

scaled by standard deviation.

Genotype association tests

We identified *cis*-region expression QTL (*cis*-eQTL), ribo-seq QTL (*cis*-rQTL), and protein QTL

(*cis*-pQTL) separately using QTLTools (1.3.1) (*54*). For each gene, we defined cis-region as the

region ranging from 1Mb upstream of the 5' end of the gene to 1Mb downstream of the 3' end of

the gene (i.e. the length of the gene body plus 2 Mb in size). We tested all common SNPs (MAF >

0.05) within the cis-region using 10,000 permutations to create empirical null distributions and

used the beta-approximation approach implemented in QTLTools to estimate the empirical p

values. For each gene, we selected the SNP with the most significant empirical p value from the

genotype-expression level association tests to represent the QTL signal. To calculate a genome-

wide FDR, we used qvalue() function of the qvalue R package for multiple testing correction and

set a qvalue < 0.1 (i.e. 10% FDR) cutoff to identify significant QTLs.

Estimating mediated SCZ heritability of each omics

To estimate the proportion of SCZ heritability mediated by different omics, we used MESC (*33*).

In the first step, we calculated the overall expression score using the unwanted-factor-adjusted-

expression data (see QTL mapping section) as the individual-level gene expression data with the

corresponding BrainGVEX genotype data and the 1000 genome phase 3 genotype data as the

ancestry matched genotype data for GWAS. In the second step, we used the overall expression

score calculated in the previous step and PGC3 SCZ GWAS summary statistics to estimate SCZ

heritability mediated by each omics.

Identifying shared and datatype-specific QTL genes

Building PrediXcan gene expression prediction models

We used the PrediXcan software (*13*) to separately build gene expression prediction models for

RNA-Seq, ribo-seq, and quantitative mass spectrometry. For each gene, an Elastic Net algorithm

was used for feature selection from SNPs located within the cis-region defined for each gene (i.e.

gene body +/- 1Mb flanking regions) based on results from a ten-fold cross-validation. After that,

weights were produced for every selected SNP of each gene, which were used in the prediction

models. For each gene, we calculated the Pearson correlation between predicted and observed

gene expression (Cross-validation R, R_{cv}), which was considered as a metric for prediction

accuracy. Only the genes with $R_{cv} > 0.1$ and $P < 0.05$ were retained. We produced prediction

models for the unified set of 5,080 genes and 175 samples shared across omics.

Building conditionally independent prediction models

To identify shared and datatype-specific QTL genes among different omics, we built

conditionally independent prediction models for each omics. For each gene, we regressed from

the data the genetic regulation signals of all other omics types (i.e. the imputed quantification

level of all other omics types). The models were built based on the regressed expression (i.e. the

residual from the regression). Note that because in many cases multiple protein isoform

quantifications match to one gene, when building model for gene-based quantification datatypes,

such as mRNA and ribosome occupancy, we aggregate data across all protein isoforms for each

gene. In contrast, when building model for protein data, quantifications between isoforms were

kept separate.

More specifically, we took the following ten-step procedure to identify shared-QTL genes and to

define datatype-specific QTL genes:

Step 1: For each gene, we built original prediction models using equation 1.

$$E_k = \sum_{i=0}^{n} w_i S_i + \varepsilon \tag{1}$$

Where E_k denotes the observed expression of omics type k; w_i denotes the weight of the i-th SNP

S_i; n denotes the genotype dosage of selected SNPs by the elastic net.

Step 2: For each datatype, we then applied the respective model to the genotype data and

calculated the imputed expression $\widehat{E_k} = \sum_{i=0}^{n} w_i S_i$.

Step 3: For each gene in datatype k, we regressed the imputed expression of the other datatypes

from the observed expression using equation 2 and kept the residual.

$$E_k = \beta_1 \widehat{E_1} + \beta_2 \widehat{E_2} + \cdots + \beta_{l-1} \widehat{E_{l-1}} + e_k \tag{2}$$

555   Where β_l denotes slope of the l-th other datatypes, $\widehat{E_l}$ denotes the imputed expression of the l-th

556   other datatypes, and e_k denotes the residual for datatype k.

557   Step 4: For each gene in the datatype k, the expression value conditioning on the genetic

558   regulation of all the other datatypes was calculated as follow.

$$R_k = \overline{E_k} + e_k \tag{3}$$

560   Step 5: After that, we can build the conditional prediction models (i.e. estimate the weight

561   parameters) using equation 4.

$$R_k = \sum_{i=0}^{n} m_i S_i + \varepsilon \tag{4}$$

Where m_i is the weight of i-th SNP S_i for the conditional prediction model.

Step 6: We calculated the square of correlation of imputed gene expression between the original

model and conditional model and named it as observed R_c^2.

Step 7: To determine whether a gene share genetic regulation signals with other datatypes, we

used permutation to create a null distribution of R_c^2. We permuted the sample label for imputed

expression of the other datatypes, and repeated step 3 and step 4 to build the conditional

prediction model using permuted data, which we named conditional permutation model. We then

calculated permutation R_c^2 of imputed gene expression between the original model and

conditional permutation model.

Step 8: We performed 50 permutations and calculated empirical p values of the observed R_c^2

based on its rank among the permutation R_c^2 (i.e. ordered from the smallest to the greatest).

Step 9: We used Benjamini-Hochberg control procedure to adjust the empirical p values to

calculate FDR for shared-QTL genes.

Step 10: We defined datatype-specific QTL genes as genes with FDR > 0.1 and R_c^2 >0.9, and the

shared-QTL genes as genes with FDR <0.1 and R_c^2 <0.5.

Colocalization

We used *coloc* (*11*) to detect signal colocalization between SCZ GWAS and each QTL type at the

cis-region of each QTL gene. For each QTL gene, for all common SNPs (MAF > 0.05) within the

cis-region, we use QTL effect and GWAS summary statistics as input for coloc analysis. For QTL

effect, we calculated slope and square of slope' standard error from a linear model fit using SNP

genotype as the predictor of gene quantifications. We then used coloc.abf() function in the R

package "*coloc*" (*11*) to calculate the posterior possibility of each hypothesis using the default

prior. We use posterior probability of 70% for the colocalization hypothesis (i.e. PPH4) as the

cutoff for reporting our colocalization findings.

TWAS identification of SCZ risk genes

Gene-level association tests were performed for SCZ using S-PrediXcan (*34*) based on the

prediction models built using our omics data, which is described in the "building prediction

models" section, and the SCZ GWAS summary statistics data from PGC3 (*17*). The association

tests were done separately for each datatype. For protein data, we performed omnibus test to

incorporate p values of all protein isoforms together to calculate a single p value for the

corresponding gene. The family wise error rates for SCZ risk genes were calculated using

Bonferroni correction of nominal p values.

Two-sample Mendelian randomization (MR)

To identify causal relationships between each datatype and SCZ we used MR analysis. Here we

used fine mapped QTL SNPs as instruments, gene expression quantification at each datatype as

exposure, and SCZ GWAS signal as outcome.

More specifically, we took the following steps to test for causal relationship between gene

regulation at each omics level and SCZ:

Step 1: Here we used two methods of selecting instrument SNPs. For each gene of each QTL type,

we performed LD clumping by PLINK with "–clump-kb 1000 –clump-r2 0.5" parameters to

select instrument SNPs with p value < 0.05. Another method is fine-mapping by susie_rss()

function with default parameters in R package "SuSiE" (*43*).

Step 2: For each gene, we used harmonise_data() function in R package "TwoSampleMR" (55) to

harmonize QTL of each datatype and SCZ GWAS SNPs to be in the same direction (i.e. effect

relative to the same allele).

Step 3: We then performed Univariable Mendelian randomization (UVMR) for each gene in each

datatype separately. UVMR analysis was done using mr() function, which includes IVW and

Egger methods, in the R package "TwoSampleMR" (55).

Step 4: We used the intercept test (i.e. the Egger method) to test for horizontal pleiotropy, and

used predictor coefficient and its corresponding p value from IVW to determine the effect size

and significance of causal effects for each datatype on SCZ.

Step 5: We used Benjamini-Hochberg to adjust for multiple testing.

Step 6: We define a gene by datatype combination as causal for SCZ if the causal effect test

passed the FDR < 0.1 cutoff and the Egger intercept test passed the intercept p value > 0.05 cutoff.

One-sample Mendelian randomization

For one-sample MR we used two-stage least squares (2SLS) approach to find causal relationships

between datatypes. We performed the following analysis in two iterations, both following the

direction of genetic information flow. In the first iteration, we tested causal relationships between

transcript level and ribosome occupancy level (i.e. RNA -> ribo). In the second iteration, we

tested causal relationships between ribosome occupancy level and protein level (i.e. ribo ->

protein).

Step 1: We used the same two methods for instrument SNP selection as described in the previous

section. Here we tested two pathways (mRNA -> ribo and ribo -> protein). For mRNA -> ribo, we

used the SNPs of eQTL with p value < 0.05 and LD clumping by PLINK with "–clump-kb 1000 –

clump-r2 0.5" parameters. For ribo -> protein, we used the SNPs of rQTL with p value < 0.05 and

LD clumping by PLINK with "–clump-kb 1000 –clump-r2 0.5".

Step 2: We then combined genotype and quantification data of the relevant datatypes into a

dataframe: dat_{mr} for RNA -> ribo pathway, dat_{rp} for ribo -> protein pathway.

Step 3: For each gene, we then set formula in R package "ivreg": ivreg("ribo ~ mRNA |

$SNP_1+SNP_2+SNP_3+...+SNP_n$", data = dat_{mr}) and ivreg("protein ~ ribo |

$SNP_1+SNP_2+SNP_3+...+SNP_m$", data = dat_{rp}).

Step 4: We used the summary() function to get slope, p value of slope, intercept, p value of

intercept, F-statistic and p value of F-statistic of each ivreg object. P value of Intercept was used

to test horizontal pleiotropy, F-statistic was used to check instrument strength.

Step 5: We used Benjamini-Hochberg control procedure to adjust for multiple testing.

Step 6: We defined a causal relationship for each gene by pathway combination as the causal

effect test passed the FDR < 0.1 cutoff and the Egger intercept test passed the intercept p value >

0.05 cutoff.

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Figures and Tables

Fig. 1. Genetic regulation of gene expression in the human brain. (A) P-value quantile-

quantile plot between the observed (Y-axis) and the expected based on null distribution (X-axis).

The black line indicates the expected distribution of p values when there are no real QTL signals.

The number of cis-QTLs (i.e. the most significantly associated SNP for each gene) identified at

10% FDR is labeled in the top left inset. **(B)** Replication rate between QTL types. Proportions of

QTL replicated in the other two datatypes are listed in the 3X3 matrix. Each row is a discovery

datatype and each element of the row correspond to the proportion QTL signal replicated in the

datatype specified by the column label. For example, only 24.1% of the eQTL signal is replicated

in the protein data. **(C)** Effect size of CMC eQTL SNPs in BrainGVEX data. Mean and standard

error of absolute per allele effect across all CMC eQTL SNPs that were also analyzed in the

BrainGVEX union set is shown.

Fig. 2. Signal colocalization between schizophrenia GWAS and datatype-specific QTL. (A, C)
Boxplots summarizing normalized gene expression level stratified by QTL genotype for *RAB15*
rsQTL (A) and *CCDC117* esQTL (C). **(B, D)** Manhattan plots showing p value distribution for
each QTL type and schizophrenia GWAS for the 1Mb QTL mapping window flanking *RAB15* (B)
and *CCDC117* (D). The red line indicates the position of the lead colocalization SNP between
datatype-specific QTL and schizophrenia GWAS.

Fig. 3. Schizophrenia risk genes identified from each of the three datatypes RNA-Seq

(mRNA), ribo-seq (ribosome occupancy), and proteomics (protein) using S-PrediXcan. (A)

Manhattan plots showing significance level (i.e. -log10 FWER from S-PrediXcan) of gene-

schizophrenia association across the genome for genes that pass the 5% FWER significance cutoff.

The black horizontal dotted line indicated the significance cutoff. Risk genes are color-coded

according to the MR test results. Grey asterisks mark the risk genes that failed the two-sample

MR tests; red solid circle marks the risk genes that pass both one-sample MR tests (passing both

one-sample MR tests suggest that transcriptionally regulated protein level differences between

individuals drives the disease risk); blue triangle marks the risk genes that pass one of the two

one-sample MR tests; orange rectangle marks the risk genes that failed both one-sample MR tests.

(B) Venn diagram illustrating the number and corresponding percentage of overlapping risk genes

990   between datatypes. **(C)** Boxplots summarizing normalized gene expression level stratified by

991   eQTL genotype for *KHK*. **(D)** Manhattan plots showing p value distribution for each QTL type

992   and schizophrenia GWAS for the 1Mb QTL mapping window flanking *KHK*. The red line

993   indicates the position of the lead colocalization SNP between eQTL and schizophrenia GWAS.

Fig. 4. Signal colocalization between schizophrenia GWAS and eQTL of example risk genes.

(A, C) Boxplots summarizing normalized gene expression level stratified by QTL genotype for

NEK4 eQTL (A) and *PAK6* eQTL (C). **(B, D)** Manhattan plots showing p value distribution for

each QTL type and schizophrenia GWAS for the 1Mb QTL mapping window flanking *NEK4* (B)

and *PAK6* (D). The red line indicates the position of the colocalization lead SNP between eQTL

and schizophrenia GWAS.

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