Difference in genetic architecture is a key driver in plant-to-plant interaction: case study of height and rust damages in Populus nigra

Authors: Mathieu Tiret[1,3]*, Leopoldo Sánchez[2], Martin Lascoux[1].

Affiliations:

- 1: Program in Plant Ecology and Evolution, Department of Ecology and Genetics, EBC and SciLife Lab, Uppsala University, Uppsala, Sweden
- 2: BioForA, INRAE, ONF, Orléans, France.
- 3: IGEPP, INRAE, Institut Agro, Université de Rennes, Domaine de la Motte, Le Rheu, France.

* corresponding author: mathieu.tiret@inrae.fr

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Abstract:

Adaptation to competition during the evolutionary history of plants leads to a reduction of the

overall group performance of plant elite material in the field. Traits related to competitive

ability can be affected by the presence or absence of kin in the neighborhood. Consequently,

local relatedness might reveal plant-to-plant interaction that can enhance the predictive

abilities of genomic models when accounted for. However, recurrent family selection

increases relatedness among all elite material and can blur the effect of relatedness on

neighbors' phenotypes. To overcome this difficulty, we analyzed data from the French

breeding program of *Populus nigra* L., where 1,452 genotypes were replicated six to eight

times, each time encountering a different neighborhood. We assessed local relatedness and

investigated genomic estimated breeding values on tree height and rust vulnerability with a

single-step GBLUP incorporating local relatedness as a covariate. Results suggests that

including local relatedness as an additional factor to GBLUP models is significantly more

important for rust resistance than for tree height, mainly due to their contrasting genetic

architecture – oligogenic for the former and polygenic for the latter.

Introduction

Breeding generally consists in redirecting evolutionary trade-offs towards new equilibria that often decrease fitness in favor of other economically interesting traits, or towards paths that were insufficiently explored by evolution in natural populations, such as group selection (Weiner, 2019). Although the importance of group selection in nature is controversial (Maynard Smith, 1964; Lewontin, 1970; Wilson & Wilson, 2007; Okasha, 2020), in a breeding program, group selection can be used to improve overall performance (e.g., robust productivity, tolerance to biotic or abiotic stress, or long-term response to selection), by keeping only those candidates that contribute favourably to plant-to-plant interactions. The performance of an individual can then be decomposed into direct and indirect breeding values (also called direct and indirect genetic effects), where the former is the intrinsic value of an individual without any competitors, and the latter the ability of the individual to increase or decrease performance due to competition (Griffing, 1967; Bijma & Wade, 2008). Breeding can benefit from accounting for both, especially in contexts in which the indirect genetic effect is strong, such as when intraspecific competition is strong among candidates (Weiner et al., 2017). Indeed, selfish strategies (in the sense of selfish genes), although increasing individual fitness, are often costly for the populations in breeding schemes - and breeding circumventing such strategies ended up with very successful results (e.g., Donald, 1981; Donald and Hamblin, 1983; Weiner et al., 2010). Competitive behavior can, therefore, be a burden that breeders might want to select against. Despite the early success of some ideotypes optimizing group performance, current breeding programs do not necessarily focus on integrating this dimension (e.g., Denison et al., 2003; Murphy et al., 2017; Montazeaud et al., 2020). Consequently, the pool of elite genotypes used nowadays might be mostly composed of selfish genotypes, since early mass selection, bypassing group dynamic, favored selfish genotypes for their vigorous phenotypes (Murphy et al., 2017). It is

therefore increasingly important in order to reach sustained genetic gain in breeding

programs to counteract the effect of natural selection acting at the deployment phase, and to

correct past artificial selection trajectories focussed on competitive (i.e., selfish) individuals .

In the specific case of plant breeding, resource competition between candidates occurs with

the nearest plants (Casper et al., 1997; Milbau et al., 2007; File et al., 2011). The intensity of

competition among neighbors may depend on their relatedness, or local relatedness, either

because of kin selection, which predicts that relatives may cooperate (Hamilton, 1964), or

niche partitioning, which predicts, on the contrary, that similar individuals may compete for

similar resources (Silvertown, 2004). Therefore, plant-to-plant interaction can partly be due

to local relatedness in one way or the other (Cahill et al., 2011), so that classic models can

be improved by incorporating the information of neighboring genotypes. Although kin

recognition was reported to be not particularly relevant in crop species because of their

already high relatedness (e.g., Murphy et al., 2017), we might be able to detect it

nonetheless in breeding schemes that have been less intensive, such as forest tree

breeding. Group selection is in fact expected to give better genetic progress for perennial

species, since (i) inbreeding coefficient is much lower than in crop species (even though

inbreeding depression can be higher; Lesaffre & Billiard, 2021), and (ii) positive or negative

interactions between neighbors last a lifetime.

Part of the phenotypic variance is due to the micro-environmental heterogeneity, and

accounting for it has been shown to improve predictions (Cappa et al., 2022). Among the

micro scale heterogeneity, local relatedness by variable neighborhood can create

heterogeneity, hence a phenotypic variance that, when not accounted for, can bias genetic

estimates. To account for heterogeneity caused by local relatedness, we chose to conduct

our analyses on *Populus nigra* L.. Black poplar is a Eurasian riparian forest tree that

contributes, as a parent along with *Populus deltoides* Bartr. ex Marsh., to one of the most

widely used hybrid tree in forest breeding (*Populus x canadensis*), and is widely deployed as

clones, constituting a model in which kin recognition, if any, should be the strongest. The

dataset, stemming from the French breeding program with 1,452 genotypes replicated six to

eight times, created different neighborhoods for each replicated genotype (Pégard et al.,

2020), all resulting from controlled crosses of 34 parents from natural populations, some of

which were already used in the breeding programme for their performance. To detect any

signal of group selection, we focused on two quantitative traits relevant for breeding, tree

height and rust vulnerability. Growth of Black poplar is particularly susceptible to foliar rust,

caused by a fungus (*Melampsora larici-populina* Kleb.) that leads to a reduction in

photosynthesis efficiency, and might lead to the death of susceptible clones (Legionnet et

al., 1999). Rust development is strongly influenced by susceptibility of nearby trees, hence

putatively linked to local relatedness, whereas tree height is a poor indicator of competition

and is mostly related to the fertility of the trial. Height is known to have a polygenic

architecture (Du et al., 2016), and rust resistance to have a mono- or oligogenic architecture

(e.g., Jorge et al., 2005), implying that relatedness – as the amount of shared alleles – will

have different consequences on those two traits: genetic redundancy of height probably

making it less sensitive to local relatedness than rust resistance.

In order to assess the contribution of local relatedness in the context of genomic selection

(Meuwissen et al., 2001), we performed genomic evaluations with a multitrait single-step

Genomic Best Linear Unbiased Predictor (ssGBLUP; Legarra et al., 2009; Christensen et al.,

2010). Estimating breeding values with ssGBLUP is a solid baseline in forest trees as

recently shown (e.g., Cappa et al., 2019; Ratcliffe et al., 2017), especially its multitrait

version that is known to give higher accuracy (Calus et al., 2011). By including local

relatedness and spatial micro-environmental autocorrelation as random effects in ssGBLUP

models, we assessed whether plant-to-plant interactions – phenotypic covariance between

neighbors – could be due to local relatedness. We assessed local relatedness with different

subsets of SNPs, each maximizing or minimizing one of the following features: Minor Allele

Frequency, Ancestry Informativeness Coefficient, significance in a Genome Wide

120   Association Study, or heritability. We show that local relatedness significantly impacts the

121   phenotype of an individual and, when surrounded by related individuals, rust damage is

122   significantly more important, whereas no interaction was visible on tree height. Differences in

123   genetic architecture seem to play a role in plant-to-plant interactions.

125 Material and Methods

Plant material. The study is based on previously published data (Pégard et al., 2019, 2020).

Seventeen male and 17 female *Populus nigra* were sampled in France, from 21 natural

populations with no a priori selection and 13 from the French poplar breeding program for

their performance on a range of traits, including growth and rust resistance. These

individuals were used as parents in a factorial crossing plan and double-pair mating scheme.

Clones of both parents and progeny, and a hundred additional individuals from breeding

programs, were grown in four sequential experimental trials (parallel strips of two lines of

trees, with an inter-strip spacing of 1m and an inter-strip spacing of 2 metres) at the same

location (Guéméné-Penfao, France, 47°37'59"N, 1°49'59"W), each with a randomized

incomplete 26 block design of single tree-plots (0.9 m x 1.0 m). In total, the experiment

comprised 10,301 trees (including 7,169 trees with genotype information), 42 full-sib

families, 5 half-sib families, 1,452 genotypes (each replicated on average 7.09 times), and

an Unknown Parent Group (UPG) of 105 genotypes (Table S1). Family size ranged from 1 to

119, with an average of 30.2 genotypes per family. Twenty genotypes and 17 families were

shared across the four trials.

Phenotype measurements. We focused on two phenotypic traits on one-year-old trees:

height and rust vulnerability (both measured on field). Height was assessed with a

graduation rod (in cm), and rust vulnerability (natural infection) was assessed on a scale of 1

to 9 : 1 when no rust was observed on the tree and 9 when more than 75% of lamina was

covered by rust on more than 25% of the leaves (Legionnet et al., 1999). The homogeneity

of rust pressure across the trial was assured by the level of infection measured on control

individuals, being equally infected across blocks (clone ID: BDG). Some missing values were

present across the trials (dead trees or unexploitable data), but at least 95.29%, 96.05%,

and 95.13% of the trees were phenotyped for height, rust vulnerability, or both traits,

respectively. Given the low missing rate, we assumed that missing values only marginally

unbalanced the block design, and so we used default parameters of the software packages

for handling missing values when analyzing the data.

In each trial, every phenotype was first corrected for micro-environmental heterogeneity with

a multitrait mixed model as follows:

$Y_{ijkl} = \mu + BLOCK_i + SPLINE + e_{ijkl}$

where 'Y_{ijkl}' is the l-th phenotype of the k-th replicate of the j-th genotype in the i-th trial, μ is

the grand mean, '$BLOCK_i$' the random effect of the i-th block, '$SPLINE_i$' B-spline spatial

autocorrelation correction over the surface of rows and columns (Cappa & Cantet, 2007),

and 'e_{ijkl}' the residuals. Both the block effect and the residuals follow a Gaussian distribution

with diagonal covariance matrices, and the model was fitted separately by trial. We used the

function *remlf90* (R package *breedR*; Munoz and Sanchez, 2014) with the parameters

'model' set to 'splines' and 'method' set to 'em'. All further analyses were performed on the

residuals of this model, i.e., on spatially adjusted phenotypes.

Genomic data. Out of the 1,452 genotypes, 1,034 were genotyped (25 parents and 1,009

offspring), using a *Populus nigra* 12K custom Infinium Bead-Chip (Illumina, San Diego, CA)

(Faivre-Rampant et al., 2016). Details of the DNA extraction protocol, bioinformatic pipelines,

and SNP mapping on the 19 chromosomes are given in Faivre-Rampant et al. (2016) and

Pégard et al. (2019, 2020). It is worth noting that the SNP chip array included SNP in QTLs

and expression candidate genes associated with, among other traits, rust resistance, but not

with vertical growth. We retained SNPs with a Minor Allele Frequency (MAF) > 5%; in total,

7,129 SNPs were retained for further analyses (out of 7,513 initial SNPs).

Genomic relationship matrix. In order to build the relationship matrix necessary for

inferring breeding values, we combined pedigree and genomic information (Legarra et al.,

2009; Christensen et al., 2010). The pedigree relationship matrix A^Γ (following the notation of

Legarra et al., 2009) was built using the tabular rule method (Emik and Terrill, 1949),

modified to account for a single metafounder (assuming a single base population for the

Unkown Parent Group or UPG; Legarra et al., 2015), with a self-relationship of $\gamma = 8\sigma_p^2$, with

σ_p^2 the variance of allele frequencies across markers (Garcia-Baccino et al., 2017). The

combined relationship matrix H was summarized in Aguilar et al. (2019), and is:

$\quad$ $H^{-1} = (A^\Gamma)^{-1} + (0,0;0; G^{-1} - (A_{22}^\Gamma)^{-1})$ $\quad\quad\quad\quad\quad\quad\quad\quad\quad$ (1),

where A_{22}^Γ is the submatrix of A^Γ corresponding to the genotyped individuals, $G = (1-\alpha)\,(\omega_a +$

$\omega_b \times G_V) + \alpha\,A_{22}^\Gamma$, with G_V the genomic relationship matrix estimated from the SNPs and

scaled following the first scaling method of Van Raden (2008), α a scaling parameter (here

equal to 0.05), and ω_a and ω_b chosen to equate the average inbreeding and the average

relationships in G_V and A_{22}^Γ as in Christensen et al. (2012). As the sample size was relatively

small, the matrix H^{-1} was obtained by simply inverting H with the function *solve* (R package

base).

Population structure. In order to control for population structure and avoid spurious

associations, we computed a distance matrix $1 - H^*$, where H^* is the correlation matrix

obtained from H using the function *cov2cor* (R package *stats*), and the minus sign is to

convert similarities to distances. We then used Multidimensional Scaling (MDS) on the

distance matrix with the function *cmdscale_lanczos* (R package *refund*; Goldsmith et al.,

2022; Miller, 2022) with the parameter 'k' set to 5 (hence explaining > 90% of the variance),

and 'eig' set to 'TRUE'. Negative eigenvalues were set to null, and the variance explained by

an axis was computed as the ratio between its squared eigenvalue and the sum of the

squared eigenvalues. Additionally, to assess how well the family structure was captured, we

measured the variable V_F defined as the average within family variance on the first five axes

(the lower the V_F, the better the family structure is captured). To make MDSs comparable,

we normalized V_F by the sum of squares of the first five eigenvalues.

Breeding value inferences. The spatially adjusted phenotypes were then used to infer the

breeding values with a multitrait single-step Genomic Best Linear Unbiased Predictor

(ssGBLUP), as follows:

$Y_{ijkl} = \mu + mds1_j + mds2_j + TRIAL_i + ANIMAL_j + e_{ijkl}$,   (2)

where 'Y_{ijkl}' is the l-th phenotype of the k-th replicate of the j-th genotype in the i-th trial, μ is

the grand mean, '$mds1_j$' and '$mds2_j$' the fixed effects on the j-th genotype of the first and

second axes of the MDS, respectively, '$TRIAL_i$' the random effect of the i-th trial, '$ANIMAL_j$'

the polygenic effect of the j-th genotype, and 'e_{ijkl}' the residuals. Both the trial effect and the

residuals follow a Gaussian distribution with diagonal covariance matrices, and the polygenic

effect follows a Gaussian distribution with a covariance matrix equal to $k.H \times \Sigma$, where k is a

scaling parameter equal to $1 - \gamma/2$ (Legarra et al., 2015), H the combined relationship matrix,

x the Kronecker product, and Σ the 2x2 covariance matrix between height and rust

vulnerability. Estimates of coefficients and variance components of model (2) were obtained

with the function *remlf90* (R package *breedR*) through one run with the 'method' parameter

set to 'em' until convergence, then another run with the parameter 'method' set to 'ai' and the

parameter 'progsf90.option' set to 'maxrounds 1'. The output estimate of '$ANIMAL_j$' is the

Estimated Breeding Value (EBV) of the j-th genotype. The additive variance was obtained

from the diagonal elements of Σ, and used to estimate heritability (the denominator of which

also comprises the residual variance). The predictive ability (PA) of the model was defined

as Pearson's correlation coefficient between the breeding values re-estimated from the

effect sizes (see below) and the adjusted phenotypes corrected by the trial effect (i.e., $Y_{ijkl} -$

$TRIAL_i$).

Genome-Wide Association Study (GWAS). Following the equations of Aguilar et al.

(2019), the effect size of the SNPs was back-solved from the EBVs, along with their

standard error and their p-values. This process was performed trait by trait (i.e., without

accounting for the multitrait dimension) without loss of information, and taking all effects as

fixed, as the Pearson's correlation between the ssGBLUP's EBV and the breeding values re-

estimated by the effect sizes was greater than 0.999.

With the estimated effect sizes and the standard errors, we also computed an alternative to

the p-value accounting for multiple testing: the local false sign rate (lfsr) estimated with an

empirical Bayes approach for adaptive shrinkage using the function *ash* (R package *ashr*;

Stephens, 2017). In order to detect GWAS peaks (regions in the chromosomes with a high

density of significant SNPs), we used a custom peak detection script on the profile of lfsr

(Tiret & Milesi, 2021) with default parameters. The script filtered out significant hits that are

not statistically detected as a peak which is defined here as an abnormally high

concentration of significant SNPs in a small chromosomic area.

Neighborhood. In order to account for the effect of the neighborhood, we estimated the

breeding values with an additional fixed effect, the local relatedness, defined as the average

relationship (from the matrix H) between a focal individual and its neighbors (eight or less if

on boundaries; as the king's moves on a chessboard). Local relatedness was orthogonal to

any micro-environmental effect, because of the random block design. The re-estimated

breeding values were denoted nEBV.

SNP subsets. We investigated the effect of sampling SNPs on the ssGBLUP (i.e., weighted

ssGBLUP). We selected subsets based on population and quantitative genetics features

computed after a first run of ssGBLUP: MAF, Ancestry Informativeness Coefficient (AIM;

Rozenberg et al., 2003), and for each trait, significance in the GWAS, and additive variance.

For each feature, we kept one-tenth of the whole set (713 SNPs, a sample size close to

previous studies on weighted GBLUP, e.g., Li et al., 2018) with either the smallest or the

largest values, ending up with 12 subsets. AIM was estimated per family with the R script

provided by Cappa et al. (2022). Additive variance explained by a SNP was estimated as

$2p(1-p)a^2$, where p is the MAF, and a the effect size (assuming no dominance nor interaction

deviation of the genetic variance; p.129, Falconer, 1989). We re-estimated the combined

relationship matrix for each SNP subset by re-estimating in the equation (1) the genomic

relationship matrix G_v with the SNP subset. With the re-estimated matrices H, we re-

performed the MDSs, and re-estimated the PAs of the EBVs and nEBVs (where local

relatedness were measured with the re-estimated H).

Statistical tests. For each SNP subset, we computed the confidence interval of the

Predictive Ability (PA) with a 1000 iteration bootstrap (re-sampling individuals), without re-

estimating the covariance matrix Σ. Here, the bootstrap was only used to assess the

variance, so that we centered the bootstrapped PA around the true PA. To assess the effect

of sampling, these subsets were compared to 1000 random samples of 713 SNPs (the size

of the SNP subsets). Comparisons were performed with a Student's one-sample *t*-test

(denoted t_1 with a degree of freedom or df of 999 corresponding to the number of bootstrap

iterations), or a Welch two-sample *t*-test (denoted t_2 with a varying df), both using the

function *t.test* (R package *stats*). The sign of the *t* statistic is arbitrarily reported as positive

when greater than a baseline (e.g., the true PA), and negative when not. Models were

compared with a likelihood ratio test with a df of 1 using the function *lrtest* (R package *lmtest*;

Zeileis & Hothorn, 2002). All scripts were written in R v4.1.3 (R Core Team, 2022).

Results

Tree height and rust vulnerability both exhibited high heritabilities (0.469 and 0.666, respectively), high predictive abilities (0.677 and 0.755 respectively), and a strong independence, with an estimated genetic correlation of 0.022. The GWAS did not overfit phenotypic variation: swapping phenotypes (permutation test) among family members of the same block (i.e., only randomly mismatching Mendelian sampling) significantly reduced the average significance of the SNPs ($t_1 < -2.59 \times 10^2$, $p < 0.001$). Only one genetic variant was significantly associated with rust vulnerability, located on chromosome 1, and none for tree height (Fig. 1A, 1B). The Q-Q plot on rust vulnerability revealed that some SNPs were significantly associated with a level of significance well above the 95% confidence interval (Fig. 1D); most of them being in the identified peak. None of the SNPs were significantly associated with tree height after Bayesian shrinkage, and Q-Q plot revealed a classic population structure overcorrection (Fig. 1C).

Common and most heritable alleles structured families

The population under study is structured in a hierarchical way: first into populations, then into families. Population structure, captured by the first eigenvalues (Patterson et al., 2006), substantially shaped genetic diversity, as the first two eigenvalues alone explained more than 50% of the variance (40.0% and 24.5% respectively; Fig. S1). Because the population underwent family selection during breeding in the past, family structure is strong and can even be apprehended visually (Fig. 2). Several statistics significantly supports the strong family structure: (i) randomly shuffling the family ID increased by 9.96 times the within "family" variance ($t_1 = 3.70 \times 10^3$, $p < 0.001$); (ii) when restricting to common alleles, the first two eigenvalues of the PCA still explained a large part of the variance (56.2%), showing that family structure is bound to selection, as expected under family selection.

Structure is generally assumed to be reflected by polymorphism at neutral genes scattered

across the genome, to the point where samples of SNPs can reveal population structure

quite accurately if they are sufficiently large. In order to understand what drove the family

structure, similarly to using annotation to focus on some SNPs, we subset 10% of the SNPs

first randomly and then according to their MAF, AIM, significance in a GWAS, or additive

variance, thus redefining relatedness with a different set of linkage disequilibrium. In our

dataset, random samples of SNPs, performed quite poorly compared to the whole set of

SNPs ($t_1 = 2.12 \times 10^2$, $p < 0.001$), although the SNPs were scattered along the genome.

However, SNPs had a different structuring power at the family level according to the way

they were sampled (Fig. 3): SNPs with the largest AIM, performing better than random

samples ($t_1 = 3.86 \times 10^2$, $p < 0.001$), and even than the whole set; and SNPs with the largest

MAF and the largest additive variance, performing better than random samples ($t_1 > 1.09 \times$

10^2, $p < 0.001$). This result suggests that family structure information is carried by patches

over the genome instead of being scattered across the genome.

Local relatedness improves ssGBLUP fit for rust vulnerability

Although the growth of focal individuals was not affected by their neighbors, damage due to

rust was more severe the more related the focal individuals were with their neighbors.

Indeed, the genomic models incorporating local relatedness as a covariate had significantly

higher likelihood than without for rust vulnerability ($\chi^2 = 9.83$, $p = 0.002$), but not for tree

height ($\chi^2 = 0.09$, $p = 0.236$). As for family structure which is driven by some SNPs, different

categories of SNPs had a different impact on focal phenotypes. When accounting for

relatedness via the different subsets of SNPs, the Akaike Information Criterion (AIC)

generally decreased (Fig. S2). However, for rust vulnerability, only the subset of SNPs with

the largest MAF significantly increased the likelihood of the model ($\chi^2 = 4.02$, $p = 0.04$), that

is the one that best captured the family structure. Likelihood was not significantly improved

by SNPs with the largest AIM ($\chi^2 = 0.15$, $p = 0.703$) or the largest additive variance ($\chi^2 =$

2.65, $p = 0.104$, respectively), and was significantly worse with other subsets ($\chi^2 > 6.66$, $p <$

0.010). For tree height, no subset of SNPs improved the likelihood (χ^2 < 3.48, p > 0.062). In

other words, when focal individuals had related individuals in their vicinity, the focal

phenotypes were more affected: in this case, the vicinity of related individuals caused

significantly more rust damage.

The results suggest that shared vulnerability is the cause of rust damage amplification in the

related neighborhood. In support of this claim, the covariance between random pairs of

neighboring individuals' rust vulnerability was enriched by local relatedness: from an average

of 0.28 for the whole set to 0.43 when only the most related neighbors (highest quartile)

were retained, suggesting that local relatedness was a proxy for phenotypic similarity for the

trait rather than the cause of plant-to-plant interactions (through kin selection or niche

partitioning).

In spite of genomic models being sensitive to local relatedness, improvement of predictive

abilities (PA) was limited (Fig. S3) - probably due to a lack of power. Indeed, incorporating

local relatedness did not significantly change the PA neither with the whole set of SNPs ($|t_2|$

< 0.594, p > 0.553), nor with any of the alternative subsets ($|t_2|$ < 1.03, p > 0.301), with a

slightly better PA when incorporating local relatedness for SNPs with the smallest MAF on

rust vulnerability (t_2 = 1.73, df = 2.00 x 10^3, p = 0.0841), and SNPs with the smallest tree

height significance on rust vulnerability (t_2 = 1.97, df = 2.00 x 10^3, p = 0.0491). See the

Supplementary materials for further details.

Discussion

Genomic selection in forest tree breeding has successfully predicted growth and wood quality for many species (Lenz et al., 2020), such as Eucalyptus (e.g. Resende et al., 2012), pines (e.g., Isik et al., 2016), or spruces (e.g., Beaulieu et al., 2014). The predictive ability (PA) of the underlying genomic models relies on the ability of genotypic data to capture the covariance between candidate loci, thereby reflecting how much genetic similarity explains phenotypic similarities (Powell et al., 2010). An additional source of phenotypic covariances are micro-environmental effects, and accounting for spatial autocorrelation can enhance genomic predictions (Cappa et al, 2022). In our case, on top of spatial autocorrelation, we accounted for local relatedness, another source of heterogeneity. Results show that part of the plant-to-plant interaction can be ascribed to the relatedness with neighbors. In the case of the Black poplars under study, for rust vulnerability the interaction was more likely due to relatedness being a proxy rather than revealing kin selection/niche partitioning. Additionally, relatedness increases rust damages, whether focal individuals and neighbors are resistant or not, suggesting that inoculum pressure partly increases with relatedness. The lack of diversity at the local scale can hence be detrimental, whatever the level of resistance of individuals.

Differences in genetic architecture driving local relatedness

It is generally assumed that tree height is a complex trait with a highly polygenic architecture, which might not be the case for rust vulnerability (Du et al. 2016; Jorge et al., 2005). The composition of our SNP array may also have had an influence on the way both architectures were '*captured*', as the chip was enriched during its development in QTLs and candidate expression genes related to rust resistance (Faivre-Rampant et al., 2016), but not for height, the most polygenic of the two traits. The low genetic correlation between both traits is consistent with some previous reports (e.g., Beaulieu et al., 2020; Liu et al., 2022), though

not all (e.g., Lenz et al., 2021; Cappa et al., 2022); we will assume in our case that

orthogonality is strong enough to show that the traits are non-redundant, with independent

architectures.

The differences in genetic architecture between the two traits studied, as well as the design

of the SNP array, may have determined the results in terms of association, with only one

major gene detected (as a peak) for rust, in contrast to the absence of associations for the

more polygenic trait. Another element that may have influenced the association for rust is its

phenotypic scoring, which is based on a qualitative scale of nine notations. Even if it should

be taken cautiously, it is not uncommon to encounter a simpler genetic architecture for

response to biotic constraints compared to complex quantitative traits (e.g., gene-for-gene

resistance; Flor, 1971; Jorge et al., 2005). Consequently, many haplotype combinations can

lead to tall individuals, but few to rust resistance, because a polygenic architecture is

redundant; in other words, when individuals are related, their probability of both being

resistant is higher than that of both being tall. Local relatedness thus reveals a shared

vulnerability within the neighborhood.

Selection of relatedness or co-selection of relatives?

Interactions between neighbors may depend on local relatedness, and whether this

interaction is positive or negative depends on the relative strength of kin selection (Hamilton,

1964) and niche partitioning (Silvertown, 2004). As mentioned above, the importance of

group selection in nature is still debated, but local relatedness has been shown to be

perceived through kin recognition in plant species (e.g., Dudley et al., 2007; Murphy et al.,

2009). Such recognition could then lead to favorable (kin selection) or unfavorable (niche

partitioning) interactions. One such detrimental interaction is competition. Competition can

be accounted for in a quantitative genetic model by assigning to an individual one direct

genetic effect (DGE) as the effect of its genes on its own phenotype, and one indirect

genetic effect (IGE) as the effect of its genes on phenotypes of surrounding individuals

(Griffing, 1967; potential applications in Muir, 2005). Competition occurs when DGE and IGE

are negatively correlated. A positive correlation from such a model would suggest a

cooperative synergistic interaction. Although adding complexity to the model, including

competition has been shown to reduce bias in covariance estimation (Costa e Silva et al.,

2013). In our case, in addition to attempts to include interactions, IGE resulted in a lower

predictive ability than with the ssGBLUP baseline (results not shown).

The simpler genetic architecture of rust vulnerability compared to that of tree height might

play a role in shaping the phenotypes. Family structure was driving similarity of rust

vulnerability, and combination of similar vulnerability resulted in increased rust damage. The

amplifying effect is even stronger in perennials as shared vulnerability can be perceived by

successive generations of pathogens. Consistent with the increased disease resilience

conferred by varietal association in a field (e.g., Smithson and Lenne, 1996; Burdon and

Thrall, 2009), the local aggregation of susceptible individuals amplified rust damage.

Resistance similarity and relatedness were strongly linked, but it is likely that the more

complex the genetic architecture, the easier it is to dissociate phenotypic similarity and

relatedness.

Once it has been shown that neighbourhood relatedness is indeed correlated with similarity

at focal phenotypes, the difficulty in disentangling kin selection from niche partitioning is to

determine whether the relatedness is a cause, as an expression of kin selection or niche

competition, or a consequence, as an expression of a confounding between relatedness and

phenotypic interaction. In the latter case, the focal phenotype would be modified by that of its

neighbors through plant-to-plant interactions, and if phenotypic interaction increases when

phenotypes are similar (i.e., directional selection, e.g., competition for resource uptake), it

can be confused with the effect of relatedness, as genetically related individuals tend to have

similar phenotypes.

Whether there was kin selection/competition in addition to the phenotypic interaction, or

whether we simply lacked statistical power, is difficult to assess. Selection on local

relatedness due to kin selection or niche partitioning - selection on relatedness - might be

confused with the fact that selection leads to phenotypic convergence, that in turn leads to a

genotypic convergence - a co-selection of related. The randomization in the block design

and repetition can partly limit this confusion, but it is evident that interpreting the data is

fraught with difficulties, as the tallest individuals showed also a higher relatedness with the

rest of the population. The fact that family structure was mainly carried by SNPs likely

associated with selection (following the hypothesis of Yang et al., 2010 and Biddanda et al.,

2020) suggest that relatives were co-selected, as is often the case in breeding programs

implementing family selection. An inevitable consequence is that, as seen in crop selection,

interesting genotypes for kin selection/cooperation have been lost during domestication

(Fréville et al., 2022), making it difficult for genomic selection to account for local relatedness

(as predictive abilities show).

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Author Contributions

MT was responsible for writing the report, conducting the search, extracting and analysing data, interpreting results, updating reference lists. LS was responsible for designing the experiments and screening potentially eligible studies. ML and LS contributed to interpreting results and provided feedback on the report.

Competing Interests

The authors declare that there is no conflict of interest.

Data Archiving

The data that support the findings of this study are openly available on DATA INRAE at https://data.inrae.fr/privateurl.xhtml?token=b79ab1ca-ebb9-47c6-9272-1568c0c33d70.

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Figures

Figure 1. GWAS on tree height and rust vulnerability in Populus nigra. **(A)**. Manhattan plot of the GWAS on tree height. The dots are the log significance of the markers. The solid horizontal line stands for the 5% significance level, and the dashed horizontal line stands for the 5% FDR level. The vertical dashed lines are position where significant hits were detected as being part of a peak. **(B)**. Idem as panel A, for rust vulnerability. **(C)**. Q-Q plot of the GWAS on tree height. The dots were the quantile of the markers; the solid line is the 1-slope segment; the gray cross is the position of the median; and the gray area is the 95% confidence interval. **(D)**. Idem as panel C, for rust vulnerability.

Figure 2. The first two axes of the Multidimensional Scaling (MDS) of Populus nigra. **(A)**. MDS with labels of the fathers (with black large shapes). Colored and smaller shapes are the offspring, the shape corresponding to its father. **(B)**. The same MDS as in panel A., but labeled for the mothers.

Figure 3. Within family variance (V_F) for each subset of SNPs. The black dashed horizontal line is the average V_F of randomly sampled SNPs, the gray dashed horizontal lines delimit the 95% confidence interval, and the black horizontal dotted line is V_F of the entire set of SNPs. Red dots are when selected for tree height, and blue for rust vulnerability. SNP subsets: largest or smallest MAF (+ or - MAF), largest or smallest AIM (+ or - AIM), most or least significant (+ or - $\log_{10}(p)$), and most or least heritable for tree height (+ or - h^2).